

DP ID | N 3 0 3 4 2 0

Client ID

DEMAT ACCOUNT OPENING FORM
INDIVIDUALS/HUF

Astha Credit & Securities (P) Ltd.

Member: National Securities Depository Limited | www.asthatrade.com

138/42, Usha Preet, Opposite Apex Bank, Malviya Nagar, Bhopal - 462003

© 0755-4220793, 4254499 Fax : 0755- 4220795, Mob : 9669695611 e-mail : asthadp@rediffmail.com

(Please fill in all the details in CAPITAL LETTERS only)

ANNEXURE - J

Form No. :

I/We request you to open a depository account in my / our name as per the following details :

Type of account ☐ Ordinary Resident ☐ H.U.F. ☐ NRI-Repatriable ☐ NRI Non Repatriable ☐ Others (please specify)

Date

SOLE/FIRST HOLDER'S DETAILS

Name (Mr./Ms.) _____

Name of Father / Husband _____

Permanent Address _____

City _____ State _____ Pincode _____

Correspondence Address _____

City _____ State _____ Pincode _____

Telephone No. _____ Mobile No. _____ SMS Facility ☐ Yes ☐ No

Fax Number _____ E-mail ID: _____

Date of Birth DD MM YYYY

Occupation Service/Student/Housewife/ Landlord/Business/Professional/Agriculture/Others _____ MAPIN ID _____

OTHER HOLDER's DETAILS

☐ Second Holder Name (Mr./Ms.) _____

Name of Father / Husband _____

Address _____

City _____ State _____ Pincode _____

Telephone No. _____ Mobile No. _____

Fax Number _____ E-mail ID: _____

Date of Birth DD MM YYYY

Occupation Service/Student/Housewife/ Landlord/Business/Professional/Agriculture/Others _____ MAPIN ID _____

☐ Third Holder Name (Mr./Ms.) _____

Name of Father / Husband _____

Address _____

City _____ State _____ Pincode _____

Telephone No. _____ Mobile No. _____

Fax Number _____ E-mail ID: _____

Date of Birth DD MM YYYY

Occupation Service/Student/Housewife/ Landlord/Business/Professional/Agriculture/Others _____ MAPIN ID _____

BANK DETAILS

Bank Sub-type Savings Account Current Account OD Account Other

Bank Name _____

Bank Account No. _____

Branch Address _____

Pin Code : _____

9-Digit Code Number of the Bank and Branch
appearing on the MICR issued by the Bank

(Please attach copy of Cancelled Cheque for verification of Bank A/c. No. & MICR Code)

ADDITIONAL FINANCIAL DETAILS

Financial Status (Annual Income)	<input type="checkbox"/> Upto 2 Lakh	<input type="checkbox"/> < Rs. 5 Lakh	<input type="checkbox"/> Rs. 5 - 10 Lakh	<input type="checkbox"/> Rs. 10 - 15 Lakh	<input type="checkbox"/> > Rs. 15 Lakh
Nature of Business					

GUARDIAN DETAILS *(in case the sole holder is a minor)*

Name (Mr./Ms.)			
Relationship (if any)			
Address			
	City	State	Pincode
Date of Birth (of minor)			

IN CASE OF NRI's

Foreign Address			
	Pincode :		
RBI Reference No.	RBI approval date (dd/mm/yyyy)		

Address for communication/ Corporate Benefits (Default option is Local Address)	Local / Permanent Address	
	Correspondence Address / Foreign Address	

FINANCIAL DETAILS

	P.A.N./G.I.R. No.										IT Circle/Ward/District No.	
Sole / First Holder												
Second Holder												
Third Holder												
Guardian (in case of minor)												

STANDING INSTRUCTIONS**Standing Instructions**

I/We hereby authorise you to receive credits automatically into my / our demat account	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Account to be operated through Power of Attorney (POA)	Yes <input type="checkbox"/>	No <input type="checkbox"/>
SMS Alert facility	Yes <input type="checkbox"/>	No <input type="checkbox"/>

Please paste recent Passport size Photographs in the space provided below :

Sole / First Holder

Signature
across
photograph



Second Holder

Signature
across
photograph

Third Holder

Signature
across
photograph

DECLARATION

The rules and regulation of the depository participants pertaining to an account which are in force now have been read by me/us and I/We have understood the same and I/We agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me / us are true to the best of my / our knowledge as on the date of making such application. I/We further agree that any false / misleading information given by me or suppression of any material fact will render my account liable for termination and further action.

	Name (s)	① Signature (s) with black ink only
Sole/First Holder (Mr./Ms.)	<input type="text"/>	<input checked="" type="text"/>
Second Holder (Mr./Ms.)	<input type="text"/>	<input type="text"/>
Third Holder (Mr./Ms.)	<input type="text"/>	<input type="text"/>
Guardian (In case of Minor)	<input type="text"/>	<input type="text"/>

The Identity of the applicant(s) is certified 'In-Person'

DP Name : Astha Credit & Securities (P) Ltd.

DP ID : IN303420

Branch : Service centre

In-Person Verification done by (DP Staff Details) :

Employee Name :

Employee Code : Signature :

Date : Place :

Applicants Signature (s) (signed in the presence of DP Staff)



Signature ②

(Sole/First Holder)

(Second Holder)

(Third Holder)

Payment Details :

In case you trade regularly, it will be in your interest to take the transaction statement by e-mail. Hence kindly furnish your e-mail ID

Cheque / Cash (Amount) :

Cheque No.

Cheque Date :

Bank Details :

For any information please contact us at

- 138/42, Usha Preet, Opposite Apex Bank, Malviya Nagar, **BHOPAL (M.P.)** - 462003 ☎ 0755-4220793, 4254499 Fax: 0755- 4220795, Mob: 9669695611
- Shop No. 11, Sarla Tower, Sonagiri B-Sector, Piplani, **BHOPAL (M.P.)** - 462021, ☎ 0755 - 4282422, Mob : 9425019002
- Srishti Complex, Zone-I, M.P. Nagar, **BHOPAL (M.P.)** - 462011, ☎ 0755 - 4229296
- F-01, Sai Apartment, Near Maratha Boarding, Jayendragani, Lashkar, **GWALIOR (M.P.)** - 474009 ☎ 0751 - 4087183, 9893221731
- C-369, Surya Nagar, **GHAZIABAD (U.P.)** - 201211, ☎ 0120 - 4376910, Mob : 9313878239

Notes :

1. All communication shall be sent at the address of the Sole/first holder only.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate of a Notary Public or a Special Executive Magistrate.
3. As per NSDL Circular No. NSDL/PI/2004/1622 dated September 7, 2004 pursuant to SEBI Circular No. MRD/DoP/Dep/Cir-29/2004 dated August 24, 2004 a copy of any one of the following documents may be accepted as proof of identity / proof of address (local / correspondence/foreign address as the case may be) :

For Office Use only

	Date	Name	Signature :
Entered By			
Released By			

Documents required for Account Opening For Proof of Identity please attach : ● Proof of Identity Copy of PAN CARD (Compulsory)

For Proof of Address : In case if the address mentioned in the above documents is different from that stated in the account opening form, Kindly submit any one of the following to confirm the present address :

● Copy of Passport ● Copy of Voter ID Card ● Copy of Driving License ● Copy of Ration Card (containing name of account holder) ● Copy of Bank Passbook/Statement (**not more than two months old**) ● Copy of Electricity Bills (**not more than two months old**) ● Copy of Telephone Bills (**not more than two months old**) ● Copy of Leave & Licenses Agreement / Agreement for Sale. ● Self-declaration by High Court & Supreme Court Judges, giving the new address **in respect of their own accounts**.

Please present original for reference.

In addition, latest passport size photograph (One)

Instructions :

1. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, Holder of Attorney can not nominate. If the account is held jointly all joint holders will sign the nomination form.
2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
3. The nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange control in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a Nominee shall be valid discharge by the depository against the legal heir.
6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-Individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of Attorney can not cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
7. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.

Form No.

DP ID : IN303420

**Astha Credit & Securities (P) Ltd.**

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ACKNOWLEDGEMENT

Received the application from Mr./Ms. _____

as the sole/first holder alongwith _____ and _____

as the second and third holders respectively for opening of a Depository Account. Your client Id will be intimated to you shortly on acceptance.
Please quote DP ID & Client Id allotted to you in all your future correspondence

Date _____

Participant Stamp & Signature



Astha Credit & Securities(P) Ltd.

ANNEXURE - JA

FORM FOR NOMINATION / CANCELLATION OF NOMINATION

(TO BE FILLED IN BY INDIVIDUAL APPLYING SINGLY OR JOINTLY)

☐ I/We the undersigned wish to make a nomination in respect of my B.O. account and nominee details are follows :

☐ I/We the undersigned do not wish to make a nomination in respect of my B.O. account .

I/We _____ and _____ the holders of beneficiary owner account bearing client id no. _____ Held with **M/s Astha Credit & Securities (P) Ltd.** a NSDL Depository Participant bearing DP ID - IN303420 wish to "make a nomination"/cancel the nomination dated _____ and do hereby "nominate/cancel the nomination made by me/us on the _____ day of _____ the following person in whom all rights of transfer and/or amount payable in respect of securities held in the depository by me/us in the said beneficiary owner account shall vest in the event of my/our death" and consequently all rights and liabilities in respect of beneficiary ownership in the securities held by me/us in the said account shall vest in me/us.

(* strike out whichever is not applicable)

Signature of Nominee
Across Photograph

Photograph of
Guardian
(In case minor)

Signature of Guardian
across Photograph

NAME AND ADDRESS OF NOMINEE

Name

Relation with the Applicant (If Any)

AddressPin Code

Date of Birth DD MM YYYY

(To be Furnished in case the Nominee is a Minor)

Signature of the Nominee : ✓

** The Nominee is a Minor whose Guardian is

Address of the Guardian

.....Pin Code

Signature of the Guardian : ✓

(In Case of Guardian his Photograph should be affix) (To be deleted if not Applicable)



Signature ③

(Sole/First Holder)

(Second Holder)

(Third Holder)

Witnesses :

S.No.	Name	Address	Signature with date
1.			
2.			

Instructions :

1. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, Holder of Attorney can not nominate. If the account is held jointly all joint holders will sign the nomination form.
2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
3. The nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange control in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a Nominee shall be valid discharge by the depository against the legal heir.
6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-Individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of Attorney can not cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
7. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.

Agreement between the depository participant and the person seeking to open an account with the depository participant

This agreement made at and entered into this _____ Day of _____

between _____
situated at _____

Please affix special
adhesive stamp of
Rs. 100/-

B.O. - Beneficial Owner's

(herein after called the B.O.) and Astha Credit & Securities (P) Ltd. 138/42, Usha Preet, Opposite Apex Bank, Malviya Nagar, Bhopal.

(herein after called the Depository Participant).

Witnesseth

Whereas the B.O. has furnished to the depository participant the duly filled in application form requesting therein to open an account with the depository participant.

Now therefore in consideration of the depository participant having agreed to open an account for the B.O., both the parties to the agreement hereby covenant and agree as follows:-

1. The B.O. shall pay such charges to the depository participant for the purpose of opening and maintaining his account, for carrying out the instruction of the B.O. and for rendering such other services as may be agreed to from time to time between the depository participant and the B.O. as set out in schedule a. The depository participant shall reserve the right to revise the charges by giving not less than thirty days notice in writing to the B.O.
2. The B.O. shall have the right to get securities which have been admitted on the depository dematerialized in the form and manner laid down under the bye laws and business rules. The depository participant further undertakes that it shall not create or permit to subsist any mortgage, charge or other encumbrance over all or any of such securities submitted for demate realization except on the instruction of the B.O.
3. The depository participant hereby undertakes that it shall maintain separate account of its own securities held in dematerialized form with the depository and shall not commingle the same with the securities held in dematerialized form on behalf of the B.O.
4. The depository participant undertakes that a transfer to and from the accounts of the B.O. shall be made only on the basis of an order, Instructions, direction or mandate duly authorised by B.O. and that the depository participant shall maintain adequate audit trail of such authorization.
5. The depository participant agrees that the B.O. may give standing instruction with regard to the crediting of securities in his account and the depository participant shall act according to such instructions.
6. The depository participant undertakes to provide a transaction statement including statement of accounts, If any to B.O. at monthly intervals unless the depository participant and the B.O. have agreed for provision of such statement at shorter intervals. However, if there is no transaction in the account, then the depository participant shall provide such statement to the B.O. at least once a quarter.
7. The depository participant shall have the right to terminate this agreement, for any reason what ever, provided the depository participant has given a notice in writing of not less than thirty days to the B.O. as well as to the depository similarly, the B.O. shall have the right to terminate this agreement and close his account held with depository participant, provided, no charges are payable by him to the depository participant. In such an event, the B.O. shall specify whether the balance in its account should be transferred to another account of the client held with another depository participant or to rematerialise the security balances held based on the instructions of the B.O., the depository participant shall initiate the procedure for transferring such security balances or rematerialise such security balance within a period of thirty days. As per the procedure laid down in the bye laws and business rules. Provided further, termination of this agreement shall not affect the right, liabilities and obligations of either party and shall continue to bind the parties to their satisfactory completion.
8. On the failure of the B.O. of pay the charges as laid out in clause (1) of this agreement within a period of thirty days from the date of demand depository participant may terminate this agreement and close the account of the B.O. by requiring it to specify whether the balances in

its account be transferred to the account of the B.O. held with another participant or be rematerialised in the manner specified in the bye laws and business rules.

9. The B.O. further agrees that in event of the B.O. committing a default in the payment of any of the amounts provided in clause (1) within a period of thirty days from the Date of demand, without prejudice to the right of the Depository Participant to close the account of the B.O., the Depository Participant may charge interest@ not more than 24% p.a. or such other rate as may be specified by the Executive Committee from time to time for the period of such default. In case the B.O. has failed to make the payment of any of the amount as provided in clauses (1) of the agreement, the Depository Participant shall have the right to discontinue the Depository service till such time he makes the payment along with interest, if any after giving two days notice to the B.O.
 10. The Depository Participant shall have a right to provide such information related to the B.O. account as may be requested by the National Securities Depository Limited from time to time.
 11. The B.O. shall have the right to create a pledge of the securities held in the dematerialised form with the Depository Participant only in accordance with the procedure and subject to the restriction laid down under the Bye Laws and Business Rules.
 12. The Depository shall not be liable to Client in any manner towards losses, liabilities and expenses arising from the claims of third parties and from taxes and other governmental charges in respect of securities credited to the B.O. account.
 13. The B.O. may exercise the right to freeze his account maintained with the Depository Participant so as to lock the securities held with the Depository Participant in accordance with the procedure prescribed in the Bye Laws and Business Rules.
 14. The B.O. may exercise the right to defreeze his account maintained with the Depository Participant in accordance with the procedure and subject to the restrictions laid down under the Bye Law and Business Rules.
 15. The B.O. shall notify the Depository Participant, within seven days, of any change in the details set out in the application form submitted to the Depository Participant at the time of opening the account or furnished to the Depository Participant from time to time.
 16. The Depository Participant undertakes to resolve all legitimate grievance of the B.O. against the Depository Participant within a period of thirty days.
 17. The Depository Participant and the B.O. shall abide by the arbitration and conciliation procedure prescribed under the Bye Law of the National Securities Depository Limited and that such procedure shall be applicable to any disputes between the Depository Participant and the B.O.
 18. The Depository Participant and the B.O. further agree that all claims differences and disputes, arising out of or in relation to dealings on the Depository including any transaction made subject to the Bye Laws or Business Rules of the Depository or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfillment, or the rights, obligation and liabilities of the parties there to including any question of whether such dealings, transactions have been entered into or not shall be subject to the exclusive jurisdiction of the courts at BHOPAL only.
- In witness where of the B.O. and the Depository Participant has caused these present to be executed as of the day and year first above written. Signed and delivered by



Signature ④

(Sole/First Holder)

(Second Holder)

(Third Holder)

(for and on behalf to the client)

Witness (Mr./Mrs) _____

Address _____

Signature of Witness _____

Signed and Delivered by.....

(for and on behalf of Astha Credit & Securities (P) Ltd.)

Witness (Mr./Mrs.) _____

Address _____

Signature of Witness _____

FOR RECEIPT OF STATEMENTS / BILLS BY EMAIL

WHEREAS the B.O. has permitted the Participant to provide the transaction statement through internet (web-based and / or email)

NOW THEREFORE in consideration of the Participant having agreed to provide the transaction statement through internet (web-based and / or e-mail), both the parties to the agreement hereby covenant and agree as follows :-

1. The B.O. hereby agrees and authoreses the Depository participant to provide the transaction statements and any other documents, information and communications including but not limited to billing statements, holding statements, policies, procedures, notices, FAQs etc electronically through internet by sending it on his / her its e-mail id or by publishing / available as a download form the website of the Depository Participant or by making it available as a download from the website of the Depository Participant.
2. The B.O. further agrees not to hold the Depository Participant responsible for late / non receipt of the same for any reasons including failure of email servers, loss of connectivity or the mail box being full or otherwise becoming incapable of receiving the billing Statements, holding statements, policies, procedures, notices, FAQs etc. e-mail or due to any technical reasons beyond the control of the Depository Participant and agrees to completely rely on the log reports of the dispatching software of the Depository Participant as a conclusive proof of dispatch of e-mail to him / her / it and not to dispute the same.
3. The B.O. agrees not to receive transaction Statement in paper form from the Participant. Provided however that, in case when the Participant is not able to provide Transaction Statement to its B.O. through internet (web-based / email) due to any unforeseen problems, the Participant should ensure that the Transaction Statement reaches to the B.O. in physical form as per the time schedule stipulated in the Bye Laws & Business Rules of NSDL.
4. The Participant shall install adequate systems to restrict the access of the electronic statements, documents, information and communications etc. only to the B.O.
5. The B.O. accepts and undertakes the sole responsibility for the usage, confidentiality, protection and secrecy of all security codes, passwords, customer user identification numbers, identification protocols etc., by whatever name called and the B.O. hereby indemnifies the Depository Participant absolutely and in all respects and agrees not to

hold the Depository Participant or any of its officers, employees or service providers, responsible for any losses / consequences if any access to his communications by use of such codes, passwords, customer user identification numbers, identification protocols etc. including cases where these security codes etc. are acquired by any person through theft, hacking or mimicked by forgery / electronic forgery of any other modes or manner. The B.O. hereby agrees that immediately on coming to know of any unauthorized access / or use of any services of systems by any unauthorized person on account of breach of security, theft of password, breach of confidentiality, crime etc., it shall be the duty of the client to take all such necessary steps including but not limited to informing the Depository Participant of the same and ensuring that such breach, loss of passwords and / or confidentiality are immediately stopped and agrees hereby that client shall inform the Depository Participant in writing that such breach has occurred and request the Depository Participant for facilities to be disabled and the B.O. hereby agrees that notwithstanding such information to Depository Participant the client unconditionally agrees to accept all instructions / transactions / deals entered / executed / accepted by the Depository Participant under a bonafide belief that these are from or under directions of the B.O. or B.O. attorneys or authorized person notwithstanding any loss (s) of passwords / security codes, hacking of the systems etc. by any person.

6. The B.O. hereby agrees and declares that the he / she / it has fully understood and assessed the risks involved in using the facility to receive communications in electronic form such as but not limited to misusing of passwords, internet frauds, technology risks etc., and is entering into this agreement with full indemnification of the Depository Participant on account of any damages, losses or negative consequence which B.O. may suffer in any manner what ever.
7. The B.O. further agrees that in the event of change in his / her / its email address, the B.O. shall immediately inform the same to the Depository Participant in writing by either registered post or through a digitally signed email. The Participant shall verify and confirm with the B.O. about the change. Further the Participant shall confirm with the Client about validity of the email address atleast once in a year.
8. The Participant and the B.O. shall have the right to terminate this agreement after giving a notice of atleast 10 day to the other party.
9. The above terms and conditions are in addition to and not in contravention of the terms and conditions forming a part of the "AGREEMENT BETWEEN THE PARTICIPANT AND THE PERSON SEEKING TO OPEN AN ACCOUNT WITH THE PARTICIPANT" signed by the B.O. at the time of opening the account with the Depository.

Terms & Condition

1. All Depository charges will be debited to B.O. trading account.
2. Transaction cum Billing statements will be sent once in a month provided there is a transaction. Else once in a quarter.
3. The rates depend on the existing NSDL charges and will be revised on revision by NSDL with prior advance notice of 30 days.
4. Service Tax be charged on total bill amount at the rates as may be notified by the Government from time to time.
5. The DP reserves the right to "freeze Demat Account for debit transactions" in case B.O. fail to pay service charges by due date mentioned in bills.
6. Bills for service charges will be raised monthly. Charge quoted above are for the services listed. Any Service not listed above will be charged separately as per DP's policy.

Demat services and charges schedule (Schedule A)

SERVICES	CHARGES		
	SCHEME C (With or without POA)	SCHEME E (With POA) One time deposit Rs. 1,000/- (Non - Refundable)	SCHEME F (With POA) One time deposit Rs. 10,000/- (Refundable on Closure of a/c not before 3 years)
1. Account Opening Charge	NIL	NIL	NIL
2. Annual Maintenance Charges	Rs. 150/- AMC for 1st year then Rs. 300/-	NIL	NIL
3. A/c Closing	NIL	NIL	NIL
3. Dematerialisation	Rs. 3/- per Cert subject to minimum of Rs. 25/- plus postage actual	Rs. 3/- per Cert subject to minimum of Rs. 25/- plus postage actual	Rs. 3/- per Cert subject to minimum of Rs. 25/- plus postage actual
4. Rematerialisation	0.15% of Market Value	0.15% of Market Value	0.15% of Market Value
5. Kitcharges	30/- (One time)	30/- (One time)	30/- (One time)
6. Transaction Charges Buy (Mkt & off Mkt) Sale (Mkt & off Mkt)	NIL 0.05% (min. Rs. 20/-) plus NSDL Charges	NIL 0.05% (min. Rs. 20/-) plus NSDL Charges	NIL 0.05% (min. Rs. 20/-) plus NSDL Charges
7. Pledge Services : Creation Closure Invocation	0.44% subject to min. of Rs. 50/- 0.44% subject to min. of Rs. 50/- 0.44% subject to min. of Rs. 50/-	0.44% subject to min. of Rs. 50/- 0.44% subject to min. of Rs. 50/- 0.44% subject to min. of Rs. 50/-	0.44% subject to min. of Rs. 50/- 0.44% subject to min. of Rs. 50/- 0.44% subject to min. of Rs. 50/-
8. POA	125/-	125/-	125/-
9. Documentation Charges	125/-	125/-	125/-
10. All Taxes/levies/CESS as applicable, will be charged Extra	Actual Charges	Actual Charges	Actual Charges

Choice Scheme - Scheme C ☐ Scheme E ☐ Scheme F ☐

Signature/s B.O. ☒ (1) Holder (2) Holder (3) Holder

Name of Client

Client ID Date

B.O. with Nil Holding and No transaction within two years DP has right to close the Account.

Agreement between the depository participant and the person seeking to open an account with the depository participant

This agreement made at and entered into this _____ Day of _____

between _____

situated at _____

B.O. - Beneficial Owner's

(herein after called the B.O.) and Astha Credit & Securities (P) Ltd. 138/42, Usha Preet, Opposite Apex Bank, Malviya Nagar, Bhopal.

(herein after called the Depository Participant).

Witnesseth

Whereas the B.O. has furnished to the depository participant the duly filled in application form requesting therein to open an account with the depository participant.

Now therefore in consideration of the depository participant having agreed to open an account for the B.O., both the parties to the agreement hereby covenant and agree as follows:-

1. The B.O. shall pay such charges to the depository participant for the purpose of opening and maintaining his account, for carrying out the instruction of the B.O. and for rendering such other services as may be agreed to from time to time between the depository participant and the B.O. as set out in schedule a. The depository participant shall reserve the right to revise the charges by giving not less than thirty days notice in writing to the B.O.
2. The B.O. shall have the right to get securities which have been admitted on the depository dematerialized in the form and manner laid down under the bye laws and business rules. The depository participant further undertakes that it shall not create or permit to subsist any mortgage, charge or other encumbrance over all or any of such securities submitted for demate realization except on the instruction of the B.O.
3. The depository participant hereby undertakes that it shall maintain separate account of its own securities held in dematerialized form with the depository and shall not commingle the same with the securities held in dematerialized form on behalf of the B.O.
4. The depository participant undertakes that a transfer to and from the accounts of the B.O. shall be made only on the basis of an order, Instructions, direction or mandate duly authorised by B.O. and that the depository participant shall maintain adequate audit trail of such authorization.
5. The depository participant agrees that the B.O. may give standing instruction with regard to the crediting of securities in his account and the depository participant shall act according to such instructions.
6. The depository participant undertakes to provide a transaction statement including statement of accounts, If any to B.O. at monthly intervals unless the depository participant and the B.O. have agreed for provision of such statement at shorter intervals. However, if there is no transaction in the account, then the depository participant shall provide such statement to the B.O. at least once a quarter.
7. The depository participant shall have the right to terminate this agreement, for any reason what ever, provided the depository participant has given a notice in writing of not less than thirty days to the B.O. as well as to the depository similarly, the B.O. shall have the right to terminate this agreement and close his account held with depository participant, provided, no charges are payable by him to the depository participant. In such an event, the B.O. shall specify whether the balance in its account should be transferred to another account of the client held with another depository participant or to rematerialise the security balances held based on the instructions of the B.O., the depository participant shall initiate the procedure for transferring such security balances or rematerialise such security balance within a period of thirty days. As per the procedure laid down in the bye laws and business rules. Provided further, termination of this agreement shall not affect the right, liabilities and obligations of either party and shall continue to bind the parties to their satisfactory completion.
8. On the failure of the B.O. of pay the charges as laid out in clause (1) of this agreement within a period of thirty days from the date of demand depository participant may terminate this agreement and close the account of the B.O. by requiring it to specify whether the balances in

its account be transferred to the account of the B.O. held with another participant or be rematerialised in the manner specified in the bye laws and business rules.

9. The B.O. further agrees that in event of the B.O. committing a default in the payment of any of the amounts provided in clause (1) within a period of thirty days from the Date of demand, without prejudice to the right of the Depository Participant to close the account of the B.O., the Depository Participant may charge interest@ not more than 24% p.a. or such other rate as may be specified by the Executive Committee from time to time for the period of such default. In case the B.O. has failed to make the payment of any of the amount as provided in clauses (1) of the agreement, the Depository Participant shall have the right to discontinue the Depository service till such time he makes the payment along with interest, if any after giving two days notice to the B.O.
 10. The Depository Participant shall have a right to provide such information related to the B.O. account as may be requested by the National Securities Depository Limited from time to time.
 11. The B.O. shall have the right to create a pledge of the securities held in the dematerialised form with the Depository Participant only in accordance with the procedure and subject to the restriction laid down under the Bye Laws and Business Rules.
 12. The Depository shall not be liable to Client in any manner towards losses, liabilities and expenses arising from the claims of third parties and from taxes and other governmental charges in respect of securities credited to the B.O. account.
 13. The B.O. may exercise the right to freeze his account maintained with the Depository Participant so as to lock the securities held with the Depository Participant in accordance with the procedure prescribed in the Bye Laws and Business Rules.
 14. The B.O. may exercise the right to defreeze his account maintained with the Depository Participant in accordance with the procedure and subject to the restrictions laid down under the Bye Law and Business Rules.
 15. The B.O. shall notify the Depository Participant, within seven days, of any change in the details set out in the application form submitted to the Depository Participant at the time of opening the account or furnished to the Depository Participant from time to time.
 16. The Depository Participant undertakes to resolve all legitimate grievance of the B.O. against the Depository Participant within a period of thirty days.
 17. The Depository Participant and the B.O. shall abide by the arbitration and conciliation procedure prescribed under the Bye Law of the National Securities Depository Limited and that such procedure shall be applicable to any disputes between the Depository Participant and the B.O.
 18. The Depository Participant and the B.O. further agree that all claims differences and disputes, arising out of or in relation to dealings on the Depository including any transaction made subject to the Bye Laws or Business Rules of the Depository or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfillment, or the rights, obligation and liabilities of the parties there to including any question of whether such dealings, transactions have been entered into or not shall be subject to the exclusive jurisdiction of the courts at BHOPAL only.
- In witness where of the B.O. and the Depository Participant has caused these present to be executed as of the day and year first above written. Signed and delivered by



Signature ⑥

(Sole/First Holder)

(Second Holder)

(Third Holder)

(for and on behalf to the client)

Witness (Mr./Mrs) _____

Address _____

Signature of Witness _____

Signed and Delivered by.....

(for and on behalf of Astha Credit & Securities (P) Ltd.)

Witness (Mr./Mrs.) _____

Address _____

Signature of Witness _____

FOR RECEIPT OF STATEMENTS / BILLS BY EMAIL

WHEREAS the B.O. has permitted the Participant to provide the transaction statement through internet (web-based and / or email)

NOW THEREFORE in consideration of the Participant having agreed to provide the transaction statement through internet (web-based and / or e-mail), both the parties to the agreement hereby covenant and agree as follows :-

1. The B.O. hereby agrees and authorizes the Depository participant to provide the transaction statements and any other documents, information and communications including but not limited to billing statements, holding statements, policies, procedures, notices, FAQs etc electronically through internet by sending it on his / her its e-mail id or by publishing / available as a download form the website of the Depository Participant or by making it available as a download from the website of the Depository Participant.
2. The B.O. further agrees not to hold the Depository Participant responsible for late / non receipt of the same for any reasons including failure of email servers, loss of connectivity or the mail box being full or otherwise becoming incapable of receiving the billing Statements, holding statements, policies, procedures, notices, FAQs etc. e-mail or due to any technical reasons beyond the control of the Depository Participant and agrees to completely rely on the log reports of the dispatching software of the Depository Participant as a conclusive proof of dispatch of e-mail to him / her / it and not to dispute the same.
3. The B.O. agrees not to receive transaction Statement in paper form from the Participant. Provided however that, in case when the Participant is not able to provide Transaction Statement to its B.O. through internet (web-based / email) due to any unforeseen problems, the Participant should ensure that the Transaction Statement reaches to the B.O. in physical form as per the time schedule stipulated in the Bye Laws & Business Rules of NSDL.
4. The Participant shall install adequate systems to restrict the access of the electronic statements, documents, information and communications etc. only to the B.O.
5. The B.O. accepts and undertakes the sole responsibility for the usage, confidentiality, protection and secrecy of all security codes, passwords, customer user identification numbers, identification protocols etc., by whatever name called and the B.O. hereby indemnifies the Depository Participant absolutely and in all respects and agrees not to

hold the Depository Participant or any of its officers, employees or service providers, responsible for any losses / consequences if any access to his communications by use of such codes, passwords, customer user identification numbers, identification protocols etc. including cases where these security codes etc. are acquired by any person through theft, hacking or mimicked by forgery / electronic forgery of any other modes or manner. The B.O. hereby agrees that immediately on coming to know of any unauthorized access / or use of any services of systems by any unauthorized person on account of breach of security, theft of password, breach of confidentiality, crime etc., it shall be the duty of the client to take all such necessary steps including but not limited to informing the Depository Participant of the same and ensuring that such breach, loss of passwords and / or confidentiality are immediately stopped and agrees hereby that client shall inform the Depository Participant in writing that such breach has occurred and request the Depository Participant for facilities to be disabled and the B.O. hereby agrees that notwithstanding such information to Depository Participant the client unconditionally agrees to accept all instructions / transactions / deals entered / executed / accepted by the Depository Participant under a bonafide belief that these are from or under directions of the B.O. or B.O. attorneys or authorized person notwithstanding any loss (s) of passwords / security codes, hacking of the systems etc. by any person.

6. The B.O. hereby agrees and declares that the he / she / it has fully understood and assessed the risks involved in using the facility to receive communications in electronic form such as but not limited to misusing of passwords, internet frauds, technology risks etc., and is entering into this agreement with full indemnification of the Depository Participant on account of any damages, losses or negative consequence which B.O. may suffer in any manner what ever.
7. The B.O. further agrees that in the event of change in his / her / its email address, the B.O. shall immediately inform the same to the Depository Participant in writing by either registered post or through a digitally signed email. The Participant shall verify and confirm with the B.O. about the change. Further the Participant shall confirm with the Client about validity of the email address atleast once in a year.
8. The Participant and the B.O. shall have the right to terminate this agreement after giving a notice of atleast 10 day to the other party.
9. The above terms and conditions are in addition to and not in contravention of the terms and conditions forming a part of the "AGREEMENT BETWEEN THE PARTICIPANT AND THE PERSON SEEKING TO OPEN AN ACCOUNT WITH THE PARTICIPANT" signed by the B.O. at the time of opening the account with the Depository.

Terms & Condition

1. All Depository charges will be debited to B.O. trading account.
2. Transaction cum Billing statements will be sent once in a month provided there is a transaction. Else once in a quarter.
3. The rates depend on the existing NSDL charges and will be revised on revision by NSDL with prior advance notice of 30 days.
4. Service Tax be charged on total bill amount at the rates as may be notified by the Government from time to time.
5. The DP reserves the right to "freeze Demat Account for debit transactions" in case B.O. fail to pay service charges by due date mentioned in bills.
6. Bills for service charges will be raised monthly. Charge quoted above are for the services listed. Any Service not listed above will be charged separately as per DP's policy.

Demat services and charges schedule (Schedule A)

SERVICES	CHARGES		
	SCHEME C (With or without POA)	SCHEME E (With POA) One time deposit Rs. 1,000/- (Non - Refundable)	SCHEME F (With POA) One time deposit Rs. 10,000/- (Refundable on Closure of a/c not before 3 years)
1. Account Opening Charge	NIL	NIL	NIL
2. Annual Maintenance Charges	Rs. 150/- AMC for 1st year then Rs. 300/-	NIL	NIL
3. A/c Closing	NIL	NIL	NIL
3. Dematerialisation	Rs. 3/- per Cert subject to minimum of Rs. 25/- plus postage actual	Rs. 3/- per Cert subject to minimum of Rs. 25/- plus postage actual	Rs. 3/- per Cert subject to minimum of Rs. 25/- plus postage actual
4. Rematerialisation	0.15% of Market Value	0.15% of Market Value	0.15% of Market Value
5. Kitcharges	30/- (One time)	30/- (One time)	30/- (One time)
6. Transaction Charges Buy (Mkt & off Mkt) Sale (Mkt & off Mkt)	NIL 0.05% (min. Rs. 20/-) plus NSDL Charges	NIL 0.05% (min. Rs. 20/-) plus NSDL Charges	NIL 0.05% (min. Rs. 20/-) plus NSDL Charges
7. Pledge Services : Creation Closure Invocation	0.44% subject to min. of Rs. 50/- 0.44% subject to min. of Rs. 50/- 0.44% subject to min. of Rs. 50/-	0.44% subject to min. of Rs. 50/- 0.44% subject to min. of Rs. 50/- 0.44% subject to min. of Rs. 50/-	0.44% subject to min. of Rs. 50/- 0.44% subject to min. of Rs. 50/- 0.44% subject to min. of Rs. 50/-
8. POA	125/-	125/-	125/-
9. Documentation Charges	125/-	125/-	125/-
10. All Taxes/levies/CESS as applicable, will be charged Extra	Actual Charges	Actual Charges	Actual Charges

Choice Scheme - Scheme C ☐ Scheme E ☐ Scheme F ☐

Signature/s B.O. ✓ (1) Holder (2) Holder (3) Holder

Name of Client

Client ID Date

B.O. with Nil Holding and No transaction within two years DP has right to close the Account.

POWER OF ATTORNEY

(To be executed to
stamp paper of
Rs. 100/-)

To all to whom these present shall come I/ we _____
individual Indian inhabitant send greetings _____
Whereas I/we have a beneficiary account bearing BO-ID _____ in the name and style of _____

(Here in after referred to as 'said account') with National Securities Depository Ltd. through depository participant **Astha Credit & Securities (P) Ltd.**, bearing DP-ID IN303420 having its head office at Usha Preet Complex, 138/42, Malviya Nagar, Bhopal (M.P.) (here in after called "The DP"). and whereas I/We am/are an investor/s and engaged in buying & selling of securities through **Astha Credit & Securities (P) Ltd.** member of NSE bearing SEBI Reg. No. - INB/F231222739

Due to exigency and paucity of time, I/We am/are desirous of appointing agents/attorneys to operate the said account on my/our behalf in the manner herein after appering.

Now know we all and these present witness that I/we, the above named do hereby nominate, constitute and oppoint **Astha Credit & Securities (P) Ltd.** an indian company having its registered officce at Usha Preet Complex, 138/42, Malviya Nagar, Bhopal, M.P. as my/our true and lawful attorney (s) [here in after referred to as "**The Attorney (s)**"] for me/us and or my/our behalf and in my/our name to do all such acts, deeds and things and exercise the following powers and authorities and give all such instructions as mentioned below concerning the said accounts as I/we myself/ourselves could give if I/we was/were personally present.

1. To instruct the DP to debit securities to said account and/or transfer securities from the said account, to the extent of shares sold through **Astha Credit & Securities (P) Ltd.** for pay in obligations as well as for margins towards stock exchange both in cash and derivatives segments to the demat account (s) existing as of the day or which may be opened in future by **Astha Credit & Securities (P) Ltd.** for above purpose (s). The details of existing accounts are as under :

Sr. No.	DP Name	Account Type	DP-ID	A/c No.	CM BP ID
1.	Astha Credit & Securities (P) Ltd.	Pool a/c	IN303420	10000660	IN564254
2.	Astha Credit & Securities (P) Ltd.	Client Beneficiary	IN303420	10000660	

2. To return the securities to me/my accounts by M/s **Astha Credit & Securities (P) Ltd.** stock broker that may have been received by it/them (i.e., stock broker) erroneously or those securities that it/they (i.e., stock broker) was/were not entitled to receive from me.
3. To pledge the securities in favour of the stock broker for the limited purpose of meeting my/our margin requirements in connection with the trades executed by me/us on any recognized stock exchange through the stock broker.
4. To send consolidated summary of my/our scrip-wise buy and sell positions taken with average rates to me/us by way of SMS/email on a daily basis, notwithstanding any other documents to be disseminated as specified by SEBI from time to time.
5. To apply for various products like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares, tendering shares in open offers etc pursuant to oral/written/electronic instructions given by me/us to the stock broker.

I/We further agree and confirm that the powers and authorities conferred by this power of Attorney shall continue until it is revoked (without notice) in writing by me and that the said revocation shall be effective from the date on which the revocation notice is received by the stock broker in his office at : Usha preet Complex, 138/42, Malviya Nagar, Bhopal, M.P.

And further I/We hereby agree and confirm that the powers and authorities conferred by this "Power of Attorney" shall continue until I/We have given an intimation in writing to the contrary, to the DP at their registered address at Usha Preet Complex, 138/42, Malviya Nagar, Bhopal (M.P.). However such revocation shall not be applicable for any outstanding margin/delivery obligation arising out of the trades carried out prior to receiving request for revocation of POA.

(Signed and delivered - by the within named)

1st Holder ✓ _____ 2nd Holder ✓ _____ 3rd Holder ✓ _____

Witnesses :

Signature ✓ _____

Name : _____

Address : _____

Place : **Bhopal**

Date ____/____/____

(Signed the Attorney)

for :

Astha Credit & Securities (P) Ltd.

**Authority to recover all relevant DP Charges
through Debit in Trading Account**

Date

Beneficial Owner's Name

To,

M/S. ASTHA CREDIT & SECURITIES (P)LTD DP ID : IN 303420

I/We, am/are having a Beneficiary Owner Account No. With your DP,

I/We also have a Trading Account (Code No:) With you

I/We hereby authorize you to recover all DP service charges i.e. Documentation & Stamp, Account Maintenance, Debit instructions, Pledge, Demat Remat charges etc. pertaining to my aforesaid BO Account by Debiting to my above mentioned Trading Account.

✓ Signature

Witness Name

Signatures

