

Regularizing and Optimizing LSTM Language Models

Stephen Merity¹ Nitish Shirish Keskar¹ Richard Socher¹

20160413 Soyoung Yoon
20150824 Jaeyoung Hwang
20150390 Dongmin Seo

Regularizing and Optimizing LSTM Language Models

1. Introduction ✓
2. Previous Approaches
3. Optimization Techniques
4. Regularization Techniques
5. Evaluation
6. Conclusion & Contributions

Introduction



Language modeling is **useful** for pre-training decoders in Seq2Seq architectures, and **custom architectures** often proposed.

- ✓ Apply **Generalization / Regularization** Techniques
- ✓ Propose new **Optimization** Techniques (NT-AvSGD)
- ✓ Apply **pointer model & QRNN**

Attained **State of The Art performance** for many tasks
and become popular baseline model for LM papers

Regularizing and Optimizing LSTM Language Models

1. Introduction
2. Previous Approaches ✓
3. Optimization Techniques
4. Regularization Techniques
5. Evaluation
6. Conclusion & Contributions

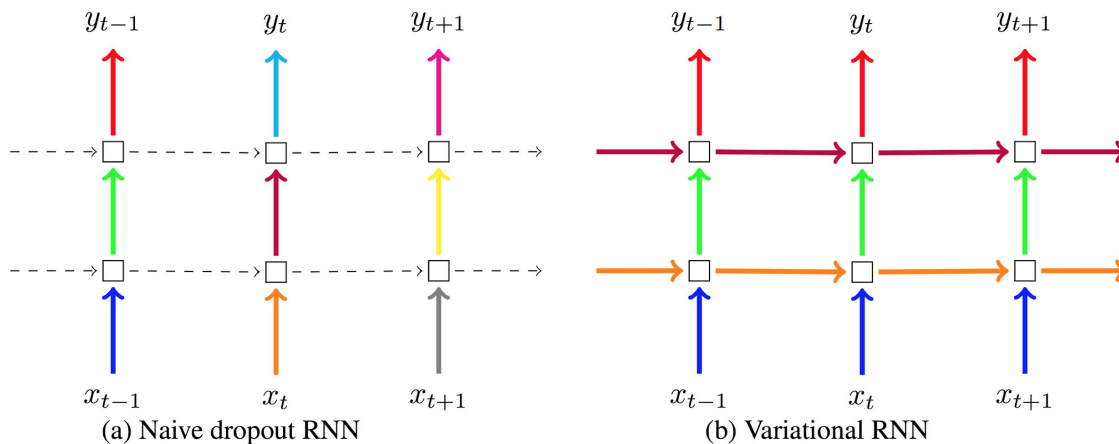


Neural networks suffer from over-parameterization (**overfitting**) - **Regularization** is important for performance

Dropout & Batch normalization: good for feed-forward and CNNs

BUT Naive dropout disrupt RNN's ability to retain long term dependencies

-> 1. Retain **same** dropout mask over multiple time steps (variational dropout) ✓



2. Limit updates to RNN's hidden state (\approx Zone-out)

3. Limit updates to RNN's recurrent state

3-1. Restrict capacity of matrix

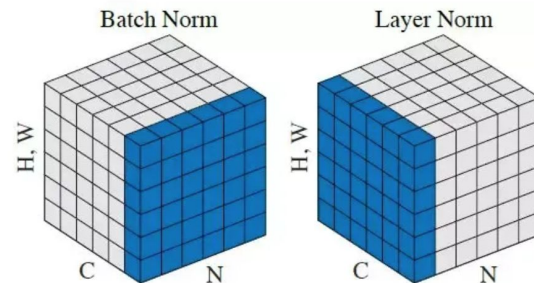
3-2. Through element-wise interactions

4. Normalization Techniques

4-1. Batch Normalization

4-2. Recurrent Batch Normalization

4-3. Layer Normalization



Need additional training parameters -> increase sensitivity of model

Regularizing and Optimizing LSTM Language Models

1. Introduction
2. Previous Approaches
3. Optimization Techniques ✓
4. Regularization Techniques
5. Evaluation
6. Conclusion & Contributions

Optimization - NT-AvSGD

- SGD
 - Use **mini-batch** rather than entire data when calculate loss function
- ASGD (Averaged-SGD)
 - **K**: total number of iterations
 - **T**: user-specified averaging ($T < K$)
 - But, **unclear guidelines** for the learning-rate and T
- NT-ASGD (Non-monotonically Triggered ASGD)
 - **Well defined guidelines** for the learning-rate and T

$$\min_w \frac{1}{N} \sum_{i=1}^N f_i(w),$$

f_i is the loss function for i 'th data point

$$\frac{1}{(K - T + 1)} \sum_{i=T}^K w_i$$

Averaging Term

Optimization - NT-AvSGD

$$\frac{1}{(K - T + 1)} \sum_{i=T}^K w_i$$

$$\min_w \frac{1}{N} \sum_{i=1}^N f_i(w),$$

f_i is the loss function for i 'th data point



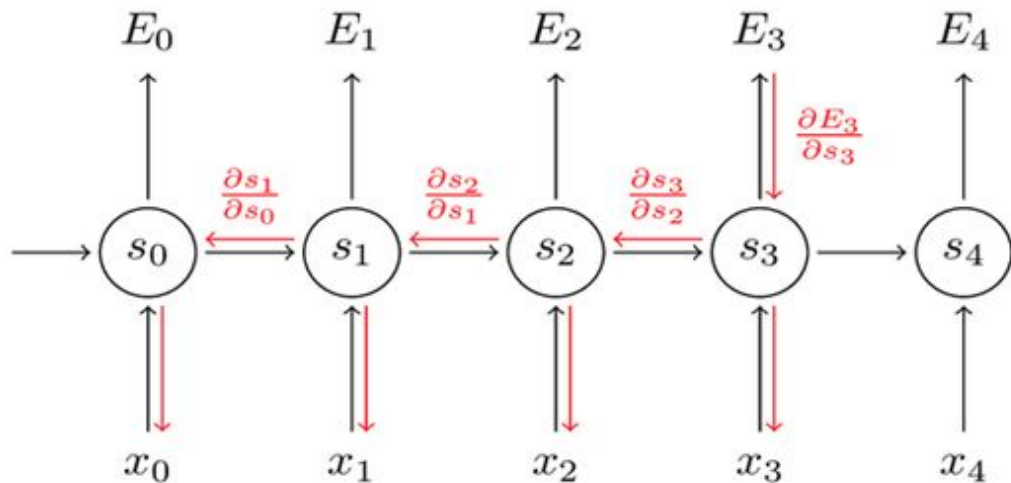
Averaging Term

Regularizing and Optimizing LSTM Language Models

1. Introduction
2. Previous Approaches
3. Optimization Techniques
4. Regularization Techniques ✓
5. Evaluation
6. Conclusion & Contributions

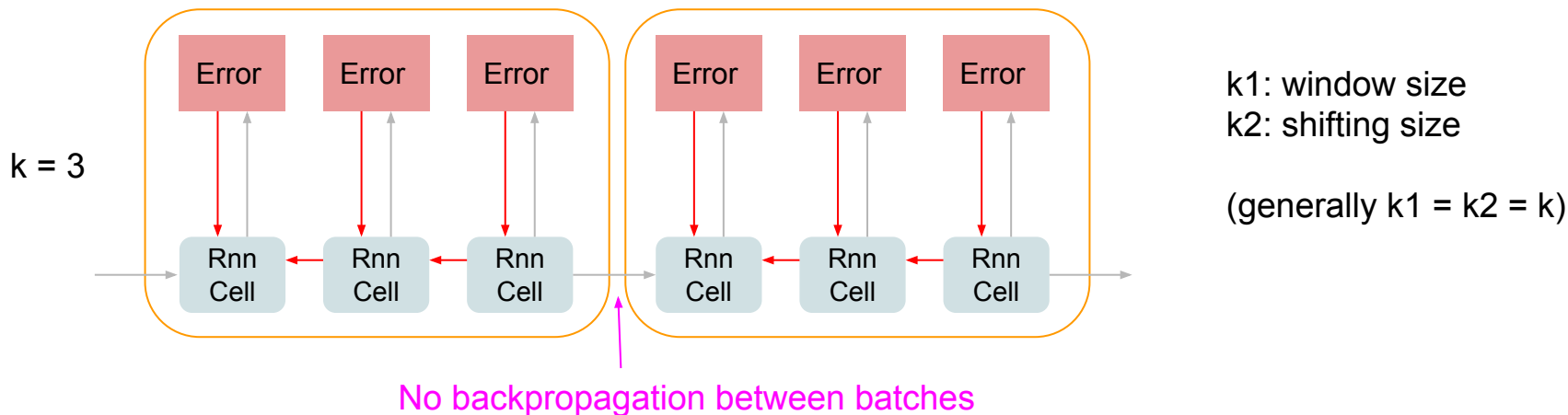
Variable length backpropagation sequences

- recap: BPTT
(Backpropagation Through Time)



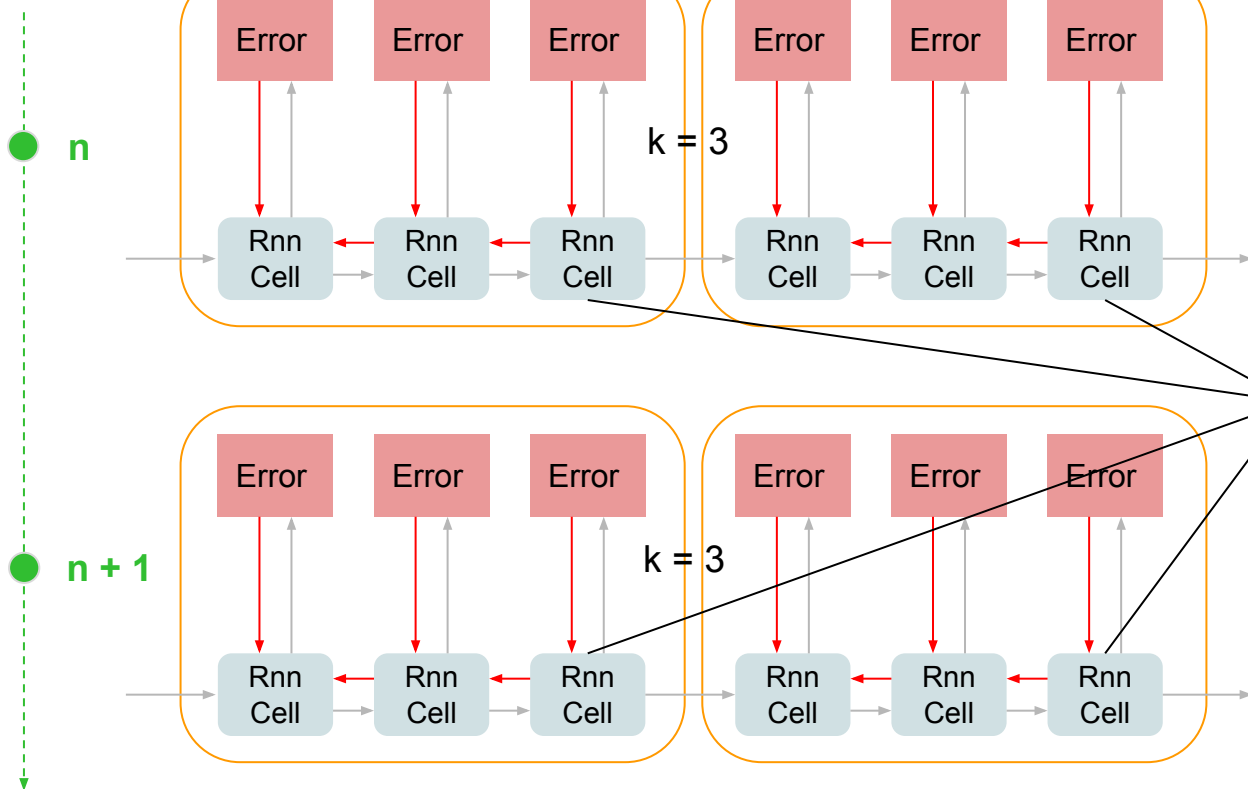
Variable length backpropagation sequences

- truncated-BPTT
 - Limit backprop distance
 - Apply BPTT for each divided batch



Truncated BPTT

epoch



k_1 : window size

k_2 : shifting size

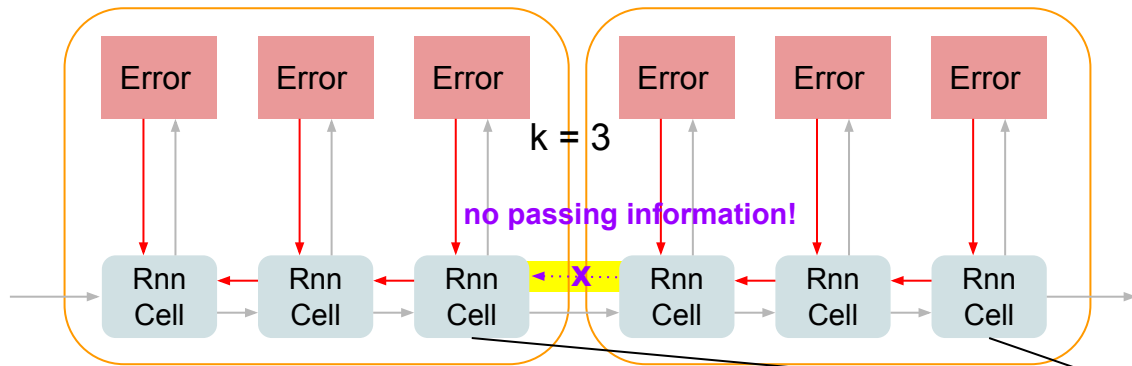
(generally $k_1 = k_2$)

No elements to backprop into
(when $i \% k == 0$)

Variable length backpropagation sequences

epoch

n

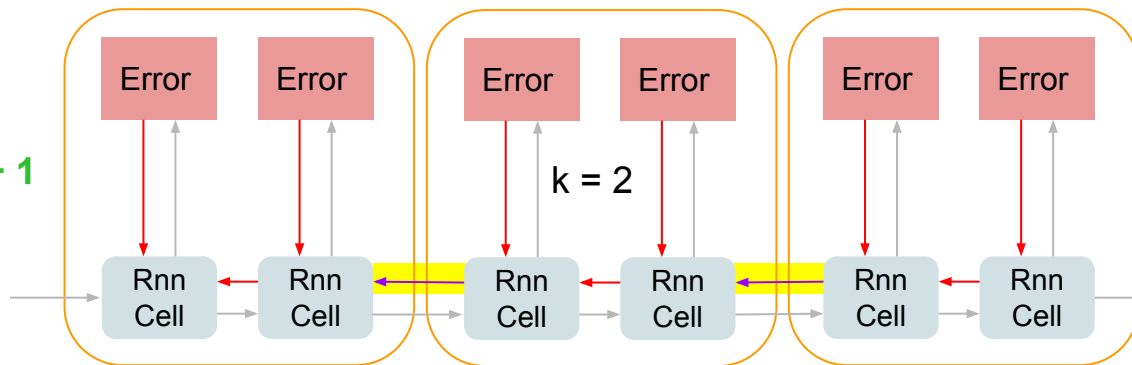


$k1$: window size

$k2$: shifting size

(generally $k1 = k2$)

$n + 1$

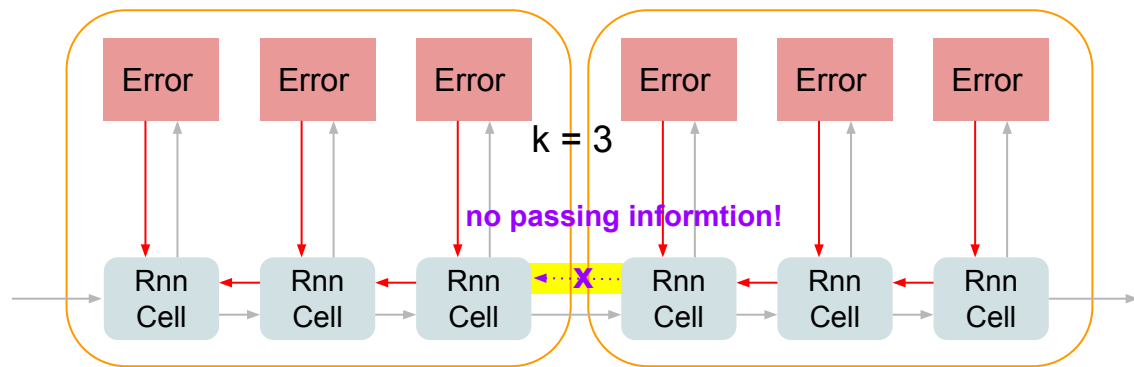


← current epoch's backprop
← prev epoch's backprop

Variable length backpropagation sequences

epoch

n



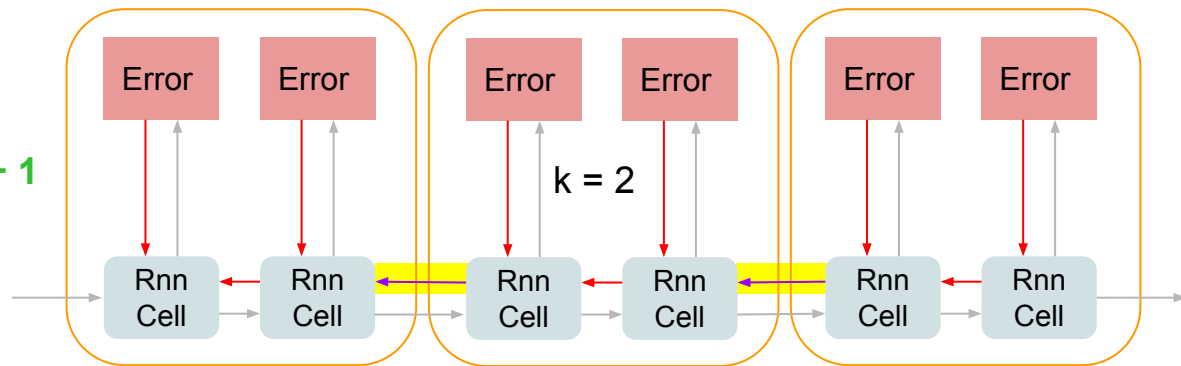
$k1$: window size

$k2$: shifting size

(generally $k1 = k2$)

No elements to backprop into
(when $i \% k == 0$)

$n + 1$

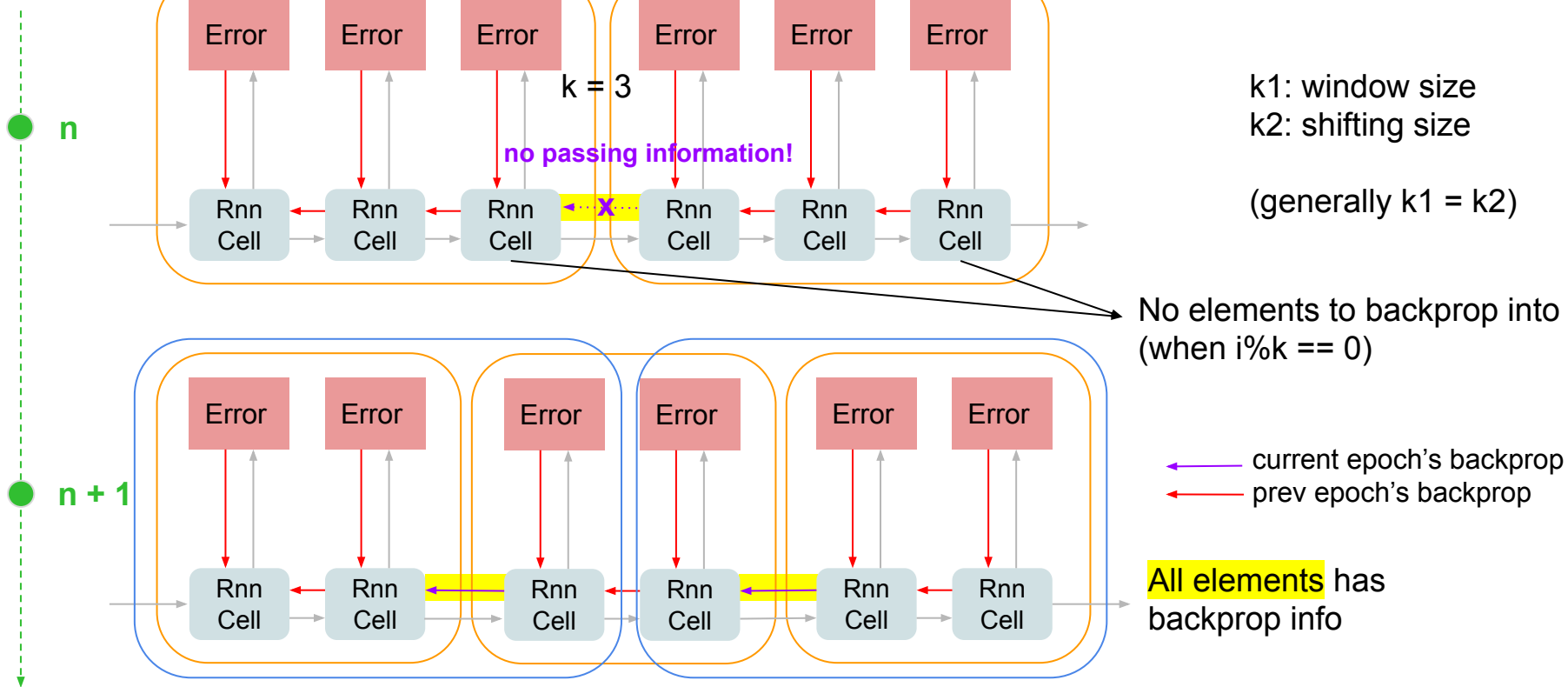


← current epoch's backprop
← prev epoch's backprop

All elements has
backprop info

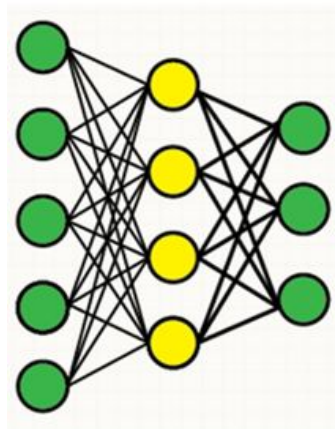
Variable length backpropagation sequences

epoch

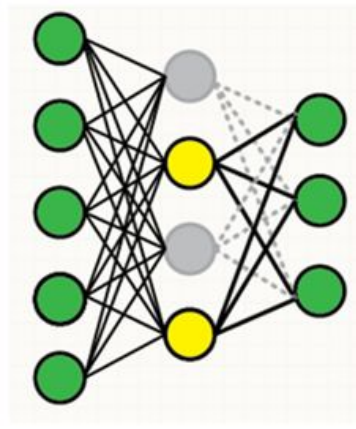


Variational Dropout

- Known Techniques: DropConnect

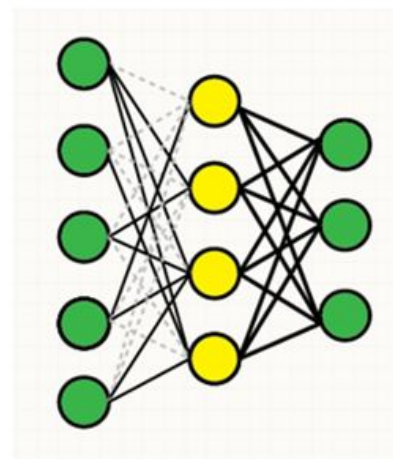


Original



Dropout

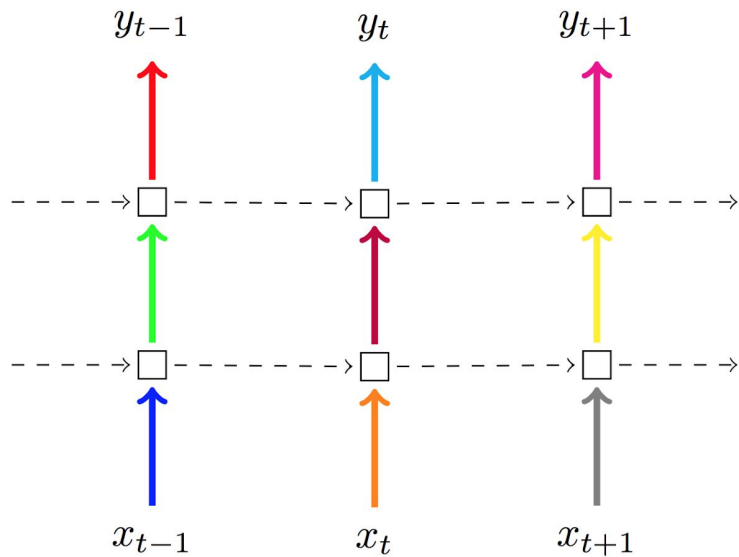
-> delete node



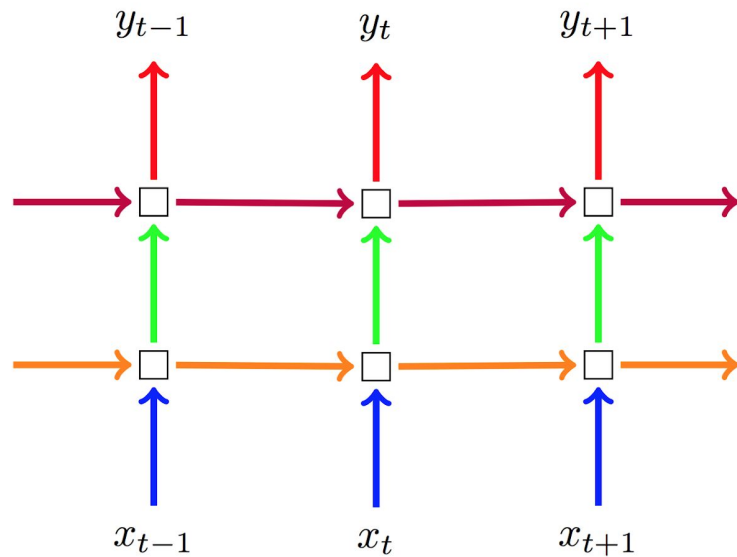
DropConnect

-> delete connections(weights)

Variational Dropout



(a) Naive dropout RNN



(b) Variational RNN

same dropout mask for multiple connections!

Embedding dropout

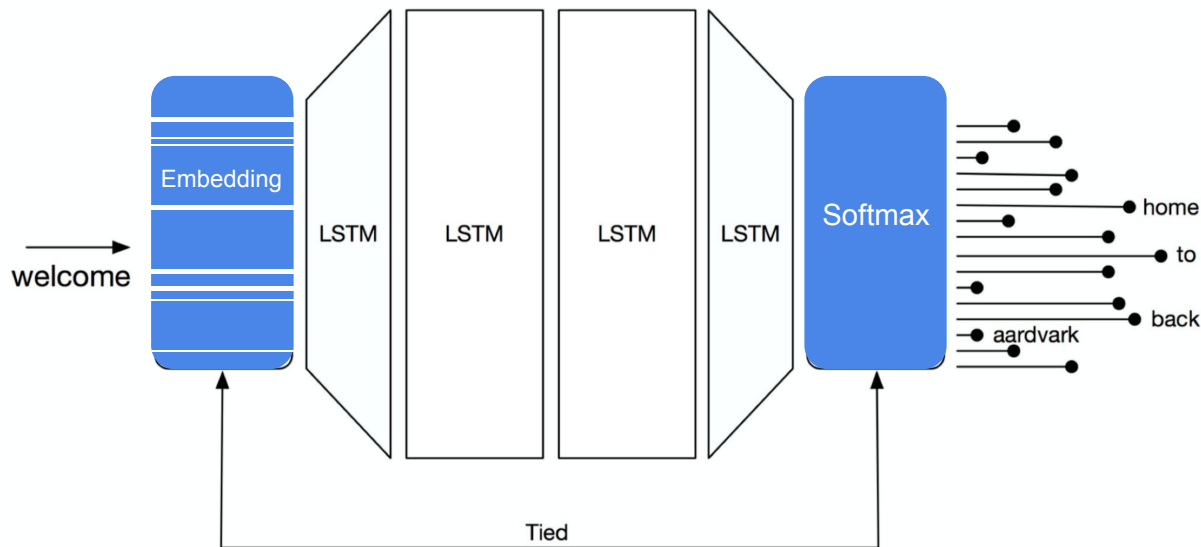
- Dropout on the embedding matrix at a word level



Embedding Matrix

Weight tying

embedding layer weight
==
softmax layer weight



Independent embedding size and hidden size

Reduce embedding size

=> reduce total parameters

AR and TAR

AR (Activation Regularization)

on individual unit activations

$$\alpha L_2(m \odot h_t)$$

alpha <- scale coefficient
m <- dropout mask

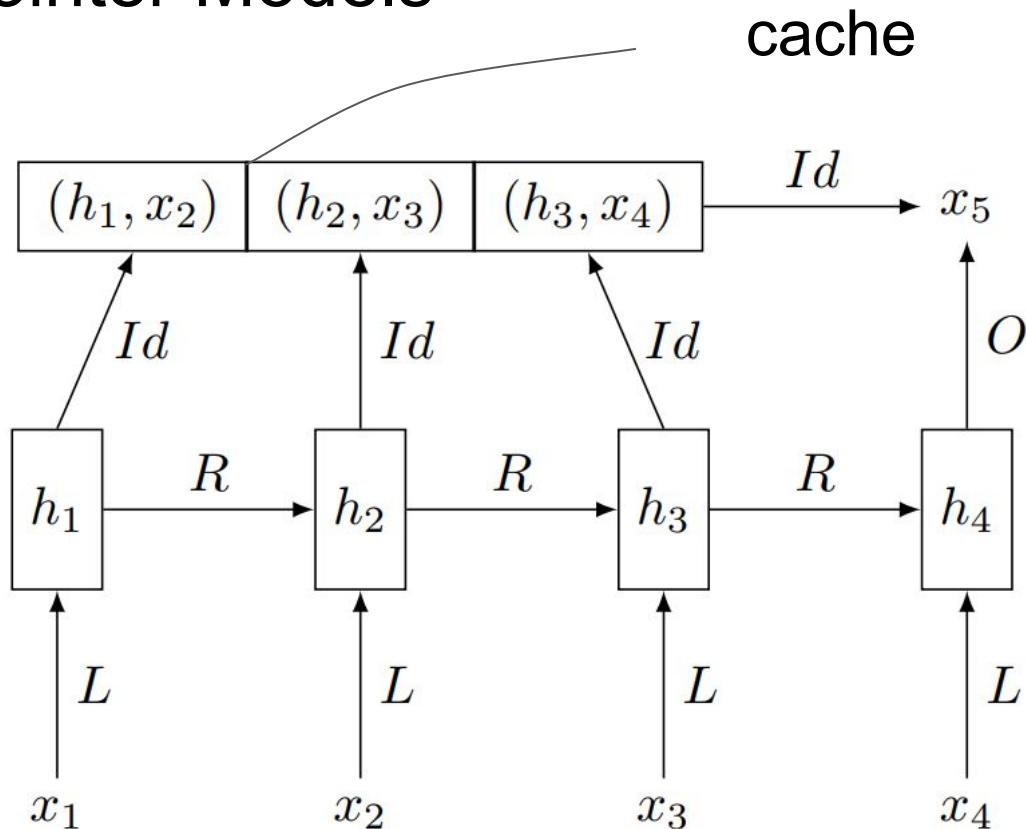
TAR(Temporal Activation Regularization)

on difference in outputs of an RNN

$$\beta L_2(h_t - h_{t+1})$$

beta <- scale coefficient

Pointer Models



Pointer models(neural cache model) can be directly added **on top** of a pre-trained language model

h : hidden state
 x : word

Regularizing and Optimizing LSTM Language Models

1. Introduction
2. Previous Approaches
3. Optimization Techniques
4. Regularization Techniques
5. Evaluation ✓
6. Conclusion & Contributions

Evaluation



Penn Treebank(PTB) & WikiText-2(WT2)

Single model perplexity: **lower** is better.

Model	Parameters	Validation	Test
Zaremba et al. (2014) - LSTM (medium)	20M	86.2	82.7
Zaremba et al. (2014) - LSTM (large)	66M	82.2	78.4
Gal & Ghahramani (2016) - Variational LSTM (medium)	20M	81.9 ± 0.2	79.7 ± 0.1
Gal & Ghahramani (2016) - Variational LSTM (medium, MC)	20M	—	78.6 ± 0.1
Gal & Ghahramani (2016) - Variational LSTM (large)	66M	77.9 ± 0.3	75.2 ± 0.2
Gal & Ghahramani (2016) - Variational LSTM (large, MC)	66M	—	73.4 ± 0.0
Kim et al. (2016) - CharCNN	19M	—	78.9
Merity et al. (2016) - Pointer Sentinel-LSTM	21M	72.4	70.9
Grave et al. (2016) - LSTM	—	—	82.3
Grave et al. (2016) - LSTM + continuous cache pointer	—	—	72.1
Inan et al. (2016) - Variational LSTM (tied) + augmented loss	24M	75.7	73.2
Inan et al. (2016) - Variational LSTM (tied) + augmented loss	51M	71.1	68.5
Zilly et al. (2016) - Variational RHN (tied)	23M	67.9	65.4
Zoph & Le (2016) - NAS Cell (tied)	25M	—	64.0
Zoph & Le (2016) - NAS Cell (tied)	54M	—	62.4
Melis et al. (2017) - 4-layer skip connection LSTM (tied)	24M	60.9	58.3
AWD-LSTM - 3-layer LSTM (tied)	24M	60.0	57.3
AWD-LSTM - 3-layer LSTM (tied) + continuous cache pointer	24M	53.9	52.8

+ variational
dropout

Evaluation



Penn Treebank(PTB) & WikiText-2(WT2)

Single model perplexity: **lower** is better.

Model	Parameters	Validation	Test
Zaremba et al. (2014) - LSTM (medium)	20M	86.2	82.7
Zaremba et al. (2014) - LSTM (large)	66M	82.2	78.4
Gal & Ghahramani (2016) - Variational LSTM (medium)	20M	81.9 ± 0.2	79.7 ± 0.1
Gal & Ghahramani (2016) - Variational LSTM (medium, MC)	20M	—	78.6 ± 0.1
Gal & Ghahramani (2016) - Variational LSTM (large)	66M	77.9 ± 0.3	75.2 ± 0.2
Gal & Ghahramani (2016) - Variational LSTM (large, MC)	66M	—	73.4 ± 0.0
Kim et al. (2016) - CharCNN	19M	—	78.9
Merity et al. (2016) - Pointer Sentinel-LSTM	21M	72.4	70.9
Grave et al. (2016) - LSTM	—	—	82.3
Grave et al. (2016) - LSTM + continuous cache pointer	—	—	72.1
Inan et al. (2016) - Variational LSTM (tied) + augmented loss	24M	75.7	73.2
Inan et al. (2016) - Variational LSTM (tied) + augmented loss	51M	71.1	68.5
Zilly et al. (2016) - Variational RHN (tied)	23M	67.9	65.4
Zoph & Le (2016) - NAS Cell (tied)	25M	—	64.0
Zoph & Le (2016) - NAS Cell (tied)	54M	—	62.4
Melis et al. (2017) - 4-layer skip connection LSTM (tied)	24M	60.9	58.3
AWD-LSTM - 3-layer LSTM (tied)	24M	60.0	57.3
AWD-LSTM - 3-layer LSTM (tied) + continuous cache pointer	24M	53.9	52.8

+ cache pointer

Evaluation



Penn Treebank(PTB) & WikiText-2(WT2)

Single model perplexity: **lower** is better.

Model	Parameters	Validation	Test
Zaremba et al. (2014) - LSTM (medium)	20M	86.2	82.7
Zaremba et al. (2014) - LSTM (large)	66M	82.2	78.4
Gal & Ghahramani (2016) - Variational LSTM (medium)	20M	81.9 ± 0.2	79.7 ± 0.1
Gal & Ghahramani (2016) - Variational LSTM (medium, MC)	20M	—	78.6 ± 0.1
Gal & Ghahramani (2016) - Variational LSTM (large)	66M	77.9 ± 0.3	75.2 ± 0.2
Gal & Ghahramani (2016) - Variational LSTM (large, MC)	66M	—	73.4 ± 0.0
Kim et al. (2016) - CharCNN	19M	—	78.9
Merity et al. (2016) - Pointer Sentinel-LSTM	21M	72.4	70.9
Grave et al. (2016) - LSTM	—	—	82.3
Grave et al. (2016) - LSTM + continuous cache pointer	—	—	72.1
Inan et al. (2016) - Variational LSTM (tied) + augmented loss	24M	75.7	73.2
Inan et al. (2016) - Variational LSTM (tied) + augmented loss	51M	71.1	68.5
Zilly et al. (2016) - Variational RHN (tied)	23M	67.9	65.4
Zoph & Le (2016) - NAS Cell (tied)	25M	—	64.0
Zoph & Le (2016) - NAS Cell (tied)	54M	—	62.4
Melis et al. (2017) - 4-layer skip connection LSTM (tied)	24M	60.9	58.3
AWD-LSTM - 3-layer LSTM (tied)	24M	60.0	57.3
AWD-LSTM - 3-layer LSTM (tied) + continuous cache pointer	24M	53.9	52.8

+ weight tying

Evaluation

+ NT-AvSGD

Model	Parameters	Validation	Test
Inan et al. (2016) - Variational LSTM (tied) ($h = 650$)	28M	92.3	87.7
Inan et al. (2016) - Variational LSTM (tied) ($h = 650$) + augmented loss	28M	91.5	87.0
Grave et al. (2016) - LSTM	—	—	99.3
Grave et al. (2016) - LSTM + continuous cache pointer	—	—	68.9
Melis et al. (2017) - 1-layer LSTM (tied)	24M	69.3	65.9
Melis et al. (2017) - 2-layer skip connection LSTM (tied)	24M	69.1	65.9
AWD-LSTM - 3-layer LSTM (tied)	33M	68.6	65.8
AWD-LSTM - 3-layer LSTM (tied) + continuous cache pointer	33M	53.8	52.0



Penn Treebank(PTB) & **WikiText-2(WT2)**

Evaluation

+ Pointer

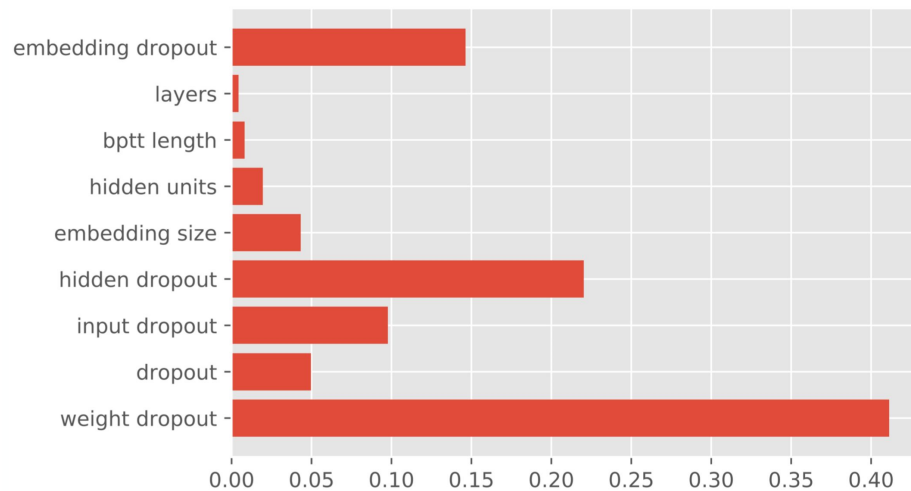
Model	Parameters	Validation	Test
Inan et al. (2016) - Variational LSTM (tied) ($h = 650$)	28M	92.3	87.7
Inan et al. (2016) - Variational LSTM (tied) ($h = 650$) + augmented loss	28M	91.5	87.0
Grave et al. (2016) - LSTM	—	—	99.3
Grave et al. (2016) - LSTM + continuous cache pointer	—	—	68.9
Melis et al. (2017) - 1-layer LSTM (tied)	24M	69.3	65.9
Melis et al. (2017) - 2-layer skip connection LSTM (tied)	24M	69.1	65.9
AWD-LSTM - 3-layer LSTM (tied)	33M	68.6	65.8
AWD-LSTM - 3-layer LSTM (tied) + continuous cache pointer	33M	53.8	52.0

Penn Treebank(PTB) & **WikiText-2(WT2)**

Evaluation - Hyperparameter Importance!

Model	PTB		WT2	
	Validation	Test	Validation	Test
AWD-LSTM (tied)	60.0	57.3	68.6	65.8
– fine-tuning	60.7	58.8	69.1	66.0
– NT-ASGD	66.3	63.7	73.3	69.7
– variable sequence lengths	61.3	58.9	69.3	66.2
– embedding dropout	65.1	62.7	71.1	68.1
– weight decay	63.7	61.0	71.9	68.7
– AR/TAR	62.7	60.3	73.2	70.1
– full sized embedding	68.0	65.6	73.7	70.7
– weight-dropping	71.1	68.9	78.4	74.9

Each variant is evaluated by removing each feature



Importance of each feature
for decreasing the perplexity of the model

Regularizing and Optimizing LSTM Language Models

1. Motivation & Research Problem
2. Previous Approaches
3. Optimization Techniques
4. Regularization Techniques
5. Evaluation
6. Conclusion & Contributions ✓

Conclusion

Developed AWD-LSTM == ASGD Weight-Dropped LSTM (through DropConnect)

Optimization: Non-monotonic triggered ASGD >> SGD

Regularization: variable BPTT length, Variational/Embedding Dropout, AR & TAR, Independent embedding size & hidden size

Neural cache model: Further decrease perplexity

Contributions

No modifications are required for LSTM implementations

- Can be easily integrated to any blackbox LSTM layers
(ex: can still use cuDNN LSTM)
- Generally applicable across other sequence learning tasks

Achieve State-of-the-Art Perplexity

- Became popular **baseline model** for LM papers (Universal Language Model Fine-tuning for Text Classification)