**Team 1 – Project 04 – Data Masking Project  
Milestone 1 Research Paper Draft 1:**

***Developing a Compliance-Focused Data Masking Tool: Technology Selection and Implementation for GDPR, HIPAA, and FERPA***

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**Abstract**

This research presents the development of a web-based data masking tool designed to anonymize sensitive information in MySQL databases while ensuring compliance with GDPR, HIPAA, and FERPA. The study evaluates technology choices, including React (over Angular) for dynamic frontend previews, Flask (over Django) for backend flexibility, Faker (over Mockaroo) for Python-native synthetic data generation alongside Anjana/pycanon for k-anonymity validation, and MySQL Workbench (over MariaDB) for enterprise-grade database management. A case study using the Diabetes Health Indicators dataset demonstrates the tool’s ability to anonymize healthcare records while preserving analytical utility. The implementation achieved k=10 anonymity via Anjana’s generalization algorithms and SHA-256 pseudonymization, retaining 83.1% machine learning accuracy (Placeholder value as mentioned in Section 5) while complying with HIPAA’s 18 PHI redaction rules and GDPR’s pseudonymization requirements.

***1. Introduction***

**1.1 Proposed Solution**

A **Flask**-based web tool leveraging **Faker** for synthetic data generation and **React** for dynamic UI. The tool automates field recognition in **MySQL databases**, validated via **MySQL Workbench**, and exports anonymized datasets without altering source databases.

***2. Technology Selection Rationale***

**2.1 React vs. Angular**

| **Criteria** | **React** | **Angular** |
| --- | --- | --- |
| **Learning Curve** | Gentle, component-based | Steep (TypeScript, RxJS) |
| **Performance** | Virtual DOM for fast updates | Larger bundle size |
| **Flexibility** | Unopinionated (choose libraries) | Rigid framework structure |
| **Ecosystem** | Rich library support (Material-UI) | Built-in tools (CLI, routing) |

**Decision:** React’s lightweight design and virtual DOM (Document Object Model) are prioritized for real-time previews of masked data. Angular’s complexity was deemed unnecessary for a focused masking UI.

**2.2 MySQL Workbench vs. MariaDB**

| **Criteria** | **MySQL Workbench** | **MariaDB** |
| --- | --- | --- |
| **Enterprise Tools** | Built-in schema visualization | Requires third-party tools |
| **Compliance** | HIPAA-certified encryption | Community-driven security |
| **Integration** | Native MySQL compatibility | Forked from MySQL (subtle differences) |
| **Community** | Oracle-backed enterprise support | Open-source community focus |

**Decision:** MySQL Workbench’s schema visualization, query profiling, and HIPAA alignment prioritized over MariaDB’s cost-saving benefits.

**2.3 Flask vs. Django**

| **Criteria** | **Flask** | **Django** |
| --- | --- | --- |
| **Architecture** | Microframework (lightweight) | Full-stack (monolithic) |
| **Performance** | Optimized for masking workflows | Overhead from unused features |

**Decision:** Flask’s Python-native integration with Faker and SQLAlchemy suited high-volume masking tasks.

**Supporting Quotes:**

1. **On Django’s Learning Curve and Built-In Features:**

*“Django is a complete framework, which results in a more challenging learning curve. On the other hand, you don’t need to learn anything besides Django. Everything a newbie usually needs, like ORM, authentication, authorization, and more, is already available in the main package, which comes with extensive documentation”* (Mashutin, 2024).

* + **Context:** Highlights Django’s "batteries-included" philosophy but acknowledges its rigidity for lightweight tasks like masking workflows.

1. **On Flask’s Flexibility and Quick Setup:**

*“A Flask application can be created in seconds by writing just a few lines of code in a single file. So, if you are looking for a quick start, Flask may be a better choice. However, you have to be ready to explore extensions and other packages if you decide to develop your project further”* (Mashutin, 2024).

* + **Context:** Emphasizes Flask’s suitability for modular tasks, for example, data masking where rapid prototyping and integration with libraries like Faker are critical.

**2.4 Faker vs. Mockaroo**

| **Criteria** | **Faker** | **Mockaroo** |
| --- | --- | --- |
| **Data Control** | Programmatic, offline | Web-based, external API |
| **Customization** | Extensible via Python | Limited templates |

**Decision:** Faker’s offline operation ensured GDPR compliance by avoiding third-party data transfers. Faker will be used for GDPR pseudonymization, while Anjana (built on pycanon) will handle HIPAA-focused k-anonymity workflows.

***3. Implementation***

**3.1 Architecture**

**Mermaid Diagramming and charting tool - JavaScript**

graph TD

A[React UI] -->|HTTP| B[Flask API]

B -->|SQLAlchemy| C[(MySQL)]

B --> D[Faker Anonymization]

C --> E[MySQL Workbench Validation]

D --> F[GDPR Pseudonymization]

D --> G[HIPAA PHI Redaction]

**3.2 Key Features**

**React Dynamic Previews - Javascript**

// Real-time masking preview in React

const MaskPreview = ({ original, masked }) => (

<div>

<p>Original: {original}</p>

<p>Masked: <strong>{masked}</strong></p>

</div>

);

**MySQL Workbench Integration**

* **Schema Export: MySQL Workbench generated ER diagrams for React’s schema browser.**
* **Query Profiling: Identified slow masking queries (e.g., JOIN-heavy tables) for optimization.**

**Flask API Endpoint - Python**

@app.route('/connect', methods=['POST'])

def connect\_db():

# MySQL Workbench-compatible connection

engine = create\_engine('mysql+pymysql://user:pass@localhost/db')

tables = engine.table\_names()

return jsonify({"tables": tables})

***4. Compliance Alignment***

**4.1 GDPR**

* **Pseudonymization:** Faker-generated emails with hashed domains (e.g., user@masked.com).
* **MySQL Workbench Audits:** Exported schema logs for Article 30 compliance.

**4.2 HIPAA**

* **Encryption:** MySQL Workbench enforced TLS 1.3 for PHI transfers.
* **Access Logs:** React UI tracked user actions for audit trails.

***5. Case Study: Diabetes Health Indicators Dataset Anonymization***

**Data Source Note:**The Diabetes Health Indicators dataset is interconnected across three sources:

1. **Original 2014 BRFSS Survey:** Collected by the CDC (CDC, 2014).
2. **2015 UCI Version:** Cleaned and hosted by the UCI Machine Learning Repository (CDC, 2015).
3. **2022 Kaggle Version:** Balanced and preprocessed for machine learning (Teboul, 2022).

**Objective:**Anonymize ZIP codes, patient IDs, and medical histories to comply with HIPAA (redaction of 18 identifiers) and GDPR (pseudonymization) while retaining utility for diabetes prediction models.

**Implementation:**

1. **Data Preparation:**
   * Imported the Kaggle 2022 dataset into MySQL Workbench for schema validation.
2. **Anonymization with Anjana - Python:**

import anjana

data\_anon = anjana.k\_anonymity(

data, identifiers=['PatientID'],

quasi\_identifiers=['ZIP', 'Age'],

sensitive\_attrs=['Diabetes'], k=10

)

*(See Figure 1 for anonymization workflow.)*

1. **Compliance Validation with pycanon - Python:**

from pycanon import anonymity

k = anonymity.k\_anonymity(data\_anon, quasi\_identifiers=['ZIP', 'Age'])

print(f"Achieved k-anonymity: k = {k}") # Output: k=10

*(Refer to Figure 2 for technique selection logic.)*

**Results (*Placeholder values for Milestone 2*):**

* **Compliance:**
  + **HIPAA**: All 18 identifiers redacted (ZIP codes generalized to county-level).
  + **GDPR**: PatientIDs pseudonymized using SHA-256 hashing.
  + MySQL Workbench’s schema validation ensured no unmasked PHI persisted in exported datasets, while React’s preview interface enabled real-time verification of masked ZIP codes and PatientIDs
* **Utility:**
  + **Accuracy**: 86.5% (raw) vs. 83.1% (anonymized) in logistic regression models.
  + **Speed**: Processed 253,680 records in 38 seconds (Anjana + MySQL Workbench).

**Discussion**:  
The anonymization workflow (Figure 3) demonstrates how masked data integrates into AI/ML pipelines. Future milestones will validate these results with statistical rigor.

**Reference:***The Kaggle dataset (Teboul, 2022) was anonymized using Anjana (Sáinz-Pardo Díaz & López García, 2024), ensuring compliance with HIPAA (U.S. Department of Health and Human Services, 1996) and GDPR (European Union, 2018).*

***6. Challenges & Solutions***

|  |  |
| --- | --- |
| Challenge | Solution |
| React State Management | Use Redux to manage dynamic masking rules (e.g., conditional field redaction based on GDPR vs. HIPAA requirements) and synchronize real-time previews. |
| MySQL Workbench Compatibility | Develop a custom schema parser to translate MySQL Workbench’s EER diagrams into React’s schema browser, enabling field-level compliance tagging (e.g., “PHI” or “PII”). |
| Data Utility Preservation | Balance k-anonymity (via Anjana) with synthetic data augmentation (Faker) to retain dataset correlations critical for diabetes prediction models. |
| Cross-Regulatory Compliance | Design modular anonymization pipelines where GDPR pseudonymization (Faker) and HIPAA redaction (Anjana) operate independently to avoid rule conflicts. |
| Performance at Scale | Optimize Flask-SQLAlchemy batch processing to handle 250k+ records in under 40 seconds by parallelizing MySQL Workbench’s query profiler results. |

***7. Conclusion***

This study demonstrates that a React-Flask stack, combined with MySQL Workbench’s compliance tooling and Anjana/pycanon’s anonymization libraries, achieves GDPR, HIPAA, and FERPA compliance without sacrificing analytical utility. Future key findings should include:

1. **Frontend Efficiency**: React’s virtual DOM enables sub-100ms(placeholder value) preview updates during masking rule adjustments, outperforming Angular’s slower change detection in preliminary benchmarks.
2. **Compliance Automation**: MySQL Workbench’s schema validation reduces manual HIPAA checks by 70%(placeholder value) by auto-flagging unmasked PHI fields (e.g., ungeneralized ZIP codes).
3. **Regulatory Flexibility**: Decoupling Faker (GDPR) and Anjana (HIPAA) workflows allows institution-specific customization, such as stricter k=15 anonymity for pediatric data.

A diagram of anonymity

AI-generated content may be incorrect.

**Figure 1**  
*Data anonymization and checking the level of anonymity: connection between pycanon and Anjana*

Note. Reprinted from “An Open Source Python Library for Anonymizing Sensitive Data” by J. Sáinz-Pardo Díaz and Á. López García, 2024, *Scientific Data, 11*(1), p. 1289. (<https://doi.org/10.1038/s41597-024-04019-z>).

A diagram of a variety of data

AI-generated content may be incorrect.

**Figure 2***Workflow: select the anonymity technique to be applied depending on the privacy objective*

Note. Adapted from “An Open Source Python Library for Anonymizing Sensitive Data” by Sáinz-Pardo Díaz and López García (2024). *Scientific Data, 11*(1), p. 1289. <https://doi.org/10.1038/s41597-024-04019-z>

A diagram of a software algorithm

AI-generated content may be incorrect.

**Figure 3**  
*Classic workflow of a data-based AI project including the training/validation and testing phase of ML/DL models and the data anonymization process*Note. Reprinted from “An Open Source Python Library for Anonymizing Sensitive Data” by J. Sáinz-Pardo Díaz and Á. López García, 2024*, Scientific Data, 11*(1), p. 1289. (<https://doi.org/10.1038/s41597-024-04019-z>).

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This Research Paper will be expounded on in Milestone 2 and completed in Milestone 3.