

# Lecture Note-Numerical Analysis (5): Roots of the Polynomial Equation

## 1. Definition of n-th order polynomials and their computation

### ○ N-th order polynomials

$$\begin{aligned} f(x) &= a_n x^n + a_{n-1} x^{n-1} + a_{n-2} x^{n-2} + a_{n-3} x^{n-3} + \cdots + a_1 x + a_0, \quad (a_n \neq 0) \\ &= a_0 + a_1 x + \cdots + a_{n-3} x^{n-3} + a_{n-2} x^{n-2} + a_{n-1} x^{n-1} + a_n x^n \end{aligned}$$

### ○ Computation of n-th order polynomial

$$(1) \quad f(x) = a_0 + a_1 x + \cdots + a_{n-3} x^{n-3} + a_{n-2} x^{n-2} + a_{n-1} x^{n-1} + a_n x^n$$

$$\text{Number of multiplications} = 1+3+4+5+\dots+(n+1) = (n+1)(n+2)/2 = O(n^2)$$

$$\text{Number of additions} = n$$

$$(2) \quad f(x) = a_0 + x(a_1 + x(a_2 + x(a_3 + x(\cdots + x(a_{n-1} + a_n x)))) \cdots)$$

$$\text{Number of multiplications} = 1+1+1+1+\dots+1 = n = O(n)$$

$$\text{Number of additions} = n$$

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**Function PolyVal(n, a, x, p)**

**! Pseudo code for calculating n-th order polynomial**

**! input: n(order), a(1:n+1)(coefficients), x(independent variables)**

**! outout: p(polynomia value)**

**!-----**

**p = a(n)**

**do j=n, 1, -1**

**p = a(j-1) + x\*p**

**end do**

**!-----**

**End PolyVal**

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## 2. Polynomial Deflation: Removal of roots from a polynomial

○ **Removal of one root  $x = \alpha$  from  $f(x)$  to get  $(n-1)$ -th order polynomial  $g(x)$**

$$\begin{aligned} f(x) &= a_n x^n + a_{n-1} x^{n-1} + a_{n-2} x^{n-2} + a_{n-3} x^{n-3} + \cdots + a_1 x + a_0, \quad (a_n \neq 0) \\ &= (x - \alpha)g(x) + r_0 \quad (r_0 = 0) \end{aligned}$$

$$g(x) = b_{n-1} x^{n-1} + b_{n-2} x^{n-2} + b_{n-3} x^{n-3} + \cdots + b_1 x + b_0, \quad (b_{n-1} \neq 0)$$

If  $\alpha = 0$ ,  $b_{j-1} = a_j$ ,  $j = 1, 2, \dots, n$  with  $a_0 = 0$

If  $\alpha \neq 0$ ,

$$\begin{aligned} f(x) &= (x - \alpha)g(x) \\ &= (x - \alpha)(b_{n-1} x^{n-1} + b_{n-2} x^{n-2} + b_{n-3} x^{n-3} + \cdots + b_1 x + b_0) + r_0 \\ &= x(b_{n-1} x^{n-1} + b_{n-2} x^{n-2} + b_{n-3} x^{n-3} + \cdots + b_1 x + b_0) + r_0 \\ &\quad - \alpha(b_{n-1} x^{n-1} + b_{n-2} x^{n-2} + b_{n-3} x^{n-3} + \cdots + b_1 x + b_0) + r_0 \\ &= b_{n-1} x^n + (b_{n-2} - \alpha b_{n-1})x^{n-1} + (b_{n-3} - \alpha b_{n-2})x^{n-2} + \cdots + (b_1 - \alpha b_2)x^2 + (b_0 - \alpha b_1)x - \alpha b_0 + r_0 \end{aligned}$$

Therefore, we can get the following relations and pseudo code

$$\begin{array}{ccc}
 \begin{array}{c}
 b_{n-1} = a_n ( \\
 b_{n-2} - \alpha b_{n-1} = a_{n-1} \\
 (b_{n-3} - \alpha b_{n-2}) = a_{n-2} \\
 \vdots \\
 (b_1 - \alpha b_2) = a_2 \\
 (b_0 - \alpha b_1) = a_1 \\
 -\alpha b_0 + r = a_0
 \end{array}
 & \Rightarrow &
 \begin{array}{c}
 b_{n-1} = a_n \\
 b_{n-2} = a_{n-1} + \alpha b_{n-1} \\
 b_{n-3} = a_{n-2} + \alpha b_{n-2} \\
 \vdots \\
 b_1 = a_2 + \alpha b_2 \\
 b_0 = a_1 + \alpha b_1 \\
 r_0 = a_0 + \alpha b_0
 \end{array}
 \end{array}
 \Rightarrow
 \begin{array}{c}
 b_{n-1} = a_n \\
 b_j = a_{j+1} + \alpha b_{j+1}, (j = n-2, \dots, 0)
 \end{array}$$

If  $x = \alpha$  is not the root (not quotient), then there exists a constant residual such as

$$r_0 = a_0 + \alpha b_0$$

- **Removal of two roots**  $x = \alpha, x = \beta$  ( $\alpha, \beta \in C$ ; complex pairs) from  $f(x)$  to get  $(n-2)$ -th order polynomial  $g(x)$  when the order of polynomial  $n$  is greater than 2 ( $n=3,5,\dots$ ).

$$\begin{aligned}
 q(x) &= (x - \alpha)(x - \beta) = x^2 - (\alpha + \beta)x + \alpha\beta \\
 &= x^2 + q_1x + q_0
 \end{aligned}
 \quad , \text{where} \quad
 \begin{aligned}
 q_1 &= -(\alpha + \beta) \\
 q_0 &= \alpha\beta
 \end{aligned}$$

$$\begin{aligned}
 f(x) &= a_n x^n + a_{n-1} x^{n-1} + a_{n-2} x^{n-2} + a_{n-3} x^{n-3} + \dots + a_1 x + a_0, \quad (a_n \neq 0) \\
 &= q(x)g(x) + r_1x + r_0 \quad (r_1 = 0, r_0 = 0) \\
 g(x) &= b_{n-2} x^{n-2} + b_{n-3} x^{n-3} + \dots + b_1 x + b_0, \quad (b_{n-2} \neq 0) \\
 f(x) &= (x^2 + q_1x + q_0)(b_{n-2} x^{n-2} + b_{n-3} x^{n-3} + \dots + b_1 x + b_0)
 \end{aligned}
 \tag{1}$$

$$\begin{aligned}
f(x) &= (x^2 + q_1x + q_0)(b_{n-2}x^{n-2} + b_{n-3}x^{n-3} + \dots + b_1x + b_0) + r_1x + r_0 \\
&= x^2(b_{n-2}x^{n-2} + b_{n-3}x^{n-3} + \dots + b_1x + b_0) + r_1x + r_0 \\
&\quad + q_1x(b_{n-2}x^{n-2} + b_{n-3}x^{n-3} + \dots + b_1x + b_0) + r_1x + r_0 \\
&\quad + q_0(b_{n-2}x^{n-2} + b_{n-3}x^{n-3} + \dots + b_1x + b_0) + r_1x + r_0 \\
&= b_{n-2}x^n + (b_{n-3} + q_1b_{n-2})x^{n-1} + (b_{n-4} + q_1b_{n-3} + q_0b_{n-2})x^{n-2} \\
&\quad + (b_{n-5} + q_1b_{n-4} + q_0b_{n-3})x^{n-3} + \dots \\
&\quad + (b_0 + q_1b_1 + q_0b_2)x^2 + (q_1b_0 + q_0b_1 + r_1)x + q_0b_0 + r_0 \\
&= a_n x^n + a_{n-1}x^{n-1} + a_{n-2}x^{n-2} + a_{n-3}x^{n-3} + \dots + a_1x + a_0
\end{aligned} \tag{2}$$

Therefore, we can get the following relations and pseudo code

$ \begin{aligned} &b_{n-2} = a_n \\ &b_{n-3} + q_1b_{n-2} = a_{n-1} \\ &b_{n-4} + q_1b_{n-3} + q_0b_{n-2} = a_{n-2} \\ &b_{n-5} + q_1b_{n-4} + q_0b_{n-3} = a_{n-3} \\ &\quad \vdots \\ &b_1 + q_1b_2 + q_0b_3 = a_3 \\ &b_0 + q_1b_1 + q_0b_2 = a_2 \\ &q_1b_0 + q_0b_1 + r_1 = a_1 \\ &q_0b_0 + r_0 = a_0 \end{aligned} $	$\rightarrow$	$ \begin{aligned} &b_{n-2} = a_n \\ &b_{n-3} = a_{n-1} - q_1b_{n-2} \\ &b_{n-4} = a_{n-2} - q_1b_{n-3} - q_0b_{n-2} \\ &b_{n-5} = a_{n-3} - q_1b_{n-4} - q_0b_{n-3} \\ &\quad \vdots \\ &b_1 = a_3 - q_1b_2 - q_0b_3 \\ &b_0 = a_2 - q_1b_1 - q_0b_2 \\ &r_1 = a_1 - q_1b_0 - q_0b_1 \\ &r_0 = a_0 - q_0b_0 \end{aligned} $
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(3)

$$\begin{aligned} & b_{n-2} = a_n \\ \rightarrow & b_{n-3} = a_{n-1} - q_1 b_{n-2} \\ & b_j = a_{j+2} - q_1 b_{j+1} - q_0 b_{j+2}, (j = n-4, n-5, \dots, 0) \end{aligned} \quad (4)$$

**If  $x = \alpha, x = \beta$  is not the root (not quotient), then there exists a 1<sup>st</sup> order residual**

$$R(x) = r_1 x + r_0 = (a_1 - q_1 b_0 - q_0 b_1)x + (a_0 - q_0 b_0) \quad (5)$$

**On the other hand, if  $R(x) = 0$  with  $r_1 = r_0 = 0$ , the equation becomes**

$$f(x) = (x^2 + q_1 x + q_0)(b_{n-2} x^{n-2} + b_{n-3} x^{n-3} + \dots + b_1 x + b_0) = 0$$

**Therefore, we can obtain two solutions by solving  $q(x) = x^2 + q_1 x + q_0 = 0$  such as**

$$x = \frac{-q_1 \pm \sqrt{q_1^2 - 4q_0}}{2}$$

**And, the following equation is left for the next roots corresponding to the following equation**

$$g(x) = b_{n-2} x^{n-2} + b_{n-3} x^{n-3} + \dots + b_1 x + b_0 = 0 \quad (6)$$

**Here, Eq (6) can be expressed when  $n \leq 5$**

$$g(x) = \begin{cases} b_1 x + b_0 = 0, & (n=3) \\ b_2 x^2 + b_1 x + b_0 = 0, & (n=4) \\ b_3 x^3 + b_2 x^2 + b_1 x + b_0 = 0, & (n=5) \end{cases} \rightarrow \begin{cases} x = -\frac{b_0}{b_1}, & (n=3) \\ x = \frac{-b_1 \pm \sqrt{b_1^2 - 4b_2 b_0}}{2b_2}, & (n=4) \\ \text{repeat above process} \end{cases}$$

**Therefore, we have an important question how to make  $R(x) = 0$  by adjusting  $q_1$  and  $q_0$ .**

- As shown by Eq (3) and (4), all coefficients of  $b_j, (j = n - 2, n - 3, \dots, 0),$   $r_1, r_0$  are the functions of  $(q_1, q_0).$  And,  $R(x) = 0$  can be satisfied by the following relations

$$R(x) = 0 \Rightarrow \begin{cases} r_1(q_1, q_0) = 0 \\ r_0(q_1, q_0) = 0 \end{cases}$$

which is the nonlinear algebraic equation and can be solved using the Newton-Raphson method such as

$$\begin{pmatrix} q_1 \\ q_0 \end{pmatrix}_{k+1} = \begin{pmatrix} q_1 \\ q_0 \end{pmatrix}_k - \alpha \left\{ \frac{\partial(r_1, r_0)}{\partial(q_1, q_0)} \right\}^{-1} \begin{pmatrix} r_1(q_1, q_0) \\ r_0(q_1, q_0) \end{pmatrix}_k$$

## ○ Examples of Polynomial Deflation

### (a) Formula

$$\begin{aligned}
 b_{n-2} &= a_n \\
 b_{n-3} &= a_{n-1} - q_1 b_{n-2} \\
 b_j &= a_{j+2} - q_1 b_{j+1} - q_0 b_{j+2}, \quad (j = n-4, n-5, \dots, 0) \\
 r_1 &= a_1 - q_1 b_0 - q_0 b_1 \\
 r_0 &= a_0 - q_0 b_0
 \end{aligned}$$

### (b) Example 1: 3<sup>rd</sup> order polynomial

$$f(x) = x^3 - 1 \rightarrow a_3 = 1, a_2 = a_1 = 0, a_0 = -1$$

$$b_1 = 1$$

$$b_0 = -q_1$$

$$r_1 = q_1^2 - q_0 = 0 \rightarrow r_1(q_1, q_0) = 0$$

$$r_0 = -1 + q_0 q_1 = 0 \rightarrow r_2(q_1, q_0) = 0$$

$$\begin{aligned}
 q_1^3 &= 1 \\
 q_1 &= 1 \\
 q_0 &= 1 \\
 b_1 &= 1 \\
 b_0 &= -1
 \end{aligned}$$

$$\rightarrow f(x) = (x-1)(x^2 + x + 1)$$

### (c) Example 2: 4<sup>th</sup> order polynomial

$$f(x) = x^4 + x^2 + 1 \rightarrow a_4 = 1, a_3 = 0, a_2 = 1, a_1 = 0, a_0 = 1$$



$$b_2 = a_4 = 1$$

$$b_1 = a_3 - q_1 b_2 = -q_1$$

$$b_0 = a_2 - q_1 b_1 - q_0 b_2 = 1 + q_1^2 - q_0$$

$$r_1 = a_1 - q_1 b_0 - q_0 b_1 = -q_1 (1 + q_1^2 - q_0) + q_0 q_1 = -q_1 (1 + q_1^2 - 2q_0) = 0$$

$$r_0 = a_0 - q_0 b_0 = 1 - q_0 (1 + q_1^2 - q_0) = -q_0 q_1^2 + q_0^2 - q_0 + 1 = 0$$

$$\rightarrow \begin{cases} r_1(q_1, q_0) = 0 \\ r_2(q_1, q_0) = 0 \end{cases}$$

$$\begin{aligned} q_1 (q_1^2 - 2q_0 + 1) &= 0 \rightarrow q_1 = 0 \text{ or } q_1^2 = 2q_0 - 1 \\ -q_0 q_1^2 + q_0^2 - q_0 + 1 &= 0 \rightarrow q_0^2 - q_0 + 1 = 0 \text{ or } q_0^2 = 1 \end{aligned}$$

**i)**  $q_0 = 1, q_1 = \pm 1 \rightarrow b_2 = 1, b_1 = \mp 1, b_0 = 1$

$$f(x) = (x^2 \pm x + 1)(x^2 \mp x + 1) = (x^2 + x + 1)(x^2 - x + 1)$$

**ii)**  $q_0 = -1, q_1 = \pm\sqrt{3}i$  ,which are not solution since  $q_1$  is a complex number

**iii)**  $q_1 = 0, q_0 = \frac{1 \pm \sqrt{3}i}{2}$  ,which are not solution since  $q_0$  is a complex number

**(c) Example 3: 5<sup>th</sup> order polynomial**

$$f(x) = x^5 - x^4 + x^3 - x^2 - x + 1 \rightarrow a_5 = 1, a_4 = -1, a_3 = 1, a_2 = -1, a_1 = 1, a_0 = 1$$

$$b_3 = a_5 = 1$$

$$b_2 = a_4 - q_1 b_3 = -1 - q_1$$

$$b_1 = a_3 - q_1 b_2 - q_0 b_3 = 1 + q_1(1 + q_1) - q_0 = q_1^2 + q_1 - q_0 + 1$$

$$b_0 = a_2 - q_1 b_1 - q_0 b_2 = -1 - q_1(q_1^2 + q_1 - q_0 + 1) + q_0(1 + q_1) \\ = -q_1^3 - q_1^2 + q_1 q_0 - q_1 - 1$$

$$r_1 = a_1 - q_1 b_0 - q_0 b_1 = 1 + q_1(q_1^3 + q_1^2 - q_1 q_0 + q_1 + 1) - q_0(q_1^2 + q_1 - q_0 + 1) \\ = q_1^4 + q_1^3 - 2q_1^2 q_0 + q_1^2 + q_1^2 + q_1 - q_0 + 1 = 0$$

$$r_0 = q_0 q_1^3 + q_0 q_1^2 - q_1 q_0^2 + q_0 q_1 + q_0 + 1 = 0$$

$$\rightarrow \begin{cases} r_1(q_1, q_0) = 0 \\ r_2(q_1, q_0) = 0 \end{cases}$$

**Highly complex, use a numerical method such as the Newton-Raphson method**

### 3. Muller's Method to find one real root: Local quadratic approximation of function

- **Local quadratic approximation of f(x) with given 3-point data such as**

$(x_0, f_0), (x_1, f_1), (x_2, f_2)$  where  $f_0 = f(x_0), f_1 = f(x_1), f_2 = f(x_2)$

$$(x_0, f_0) \rightarrow f(x) = a(x - x_0)_2 + b(x - x_0) + f_0$$

$$(x_1, f_1) \rightarrow f_1 = a(x_1 - x_0)_2 + b(x_1 - x_0) + f_0$$

$$(x_2, f_2) \rightarrow f_2 = a(x_2 - x_0)_2 + b(x_2 - x_0) + f_0$$

Using the last two equations, we can calculate coefficients a and b as follows

Let

$$h_1 = x_1 - x_0 \quad d_1 = f_1 - f_0$$

$$h_2 = x_2 - x_0 \quad d_2 = f_2 - f_0$$

Then,

$$\begin{aligned} ah_1^2 + bh_1 &= d_1 \\ ah_2^2 + bh_2 &= d_2 \end{aligned} \rightarrow \begin{cases} a = \frac{d_1 h_2 - d_2 h_1}{h_1^2 h_2 - h_2^2 h_1} \\ b = \frac{d_1 h_2^2 - d_2 h_1^2}{h_1 h_2^2 - h_2 h_1^2} \end{cases}$$

- **Approximated roots of local quadratic approximation of f(x)**

$$f(x) = a(x - x_0)_2 + b(x - x_0) + f_0$$

$$= ax^2 + (b - 2ax_0)x + ax_0^2 - bx_0 + f_0$$

$$\rightarrow x = \frac{-(b - 2ax_0) \pm \sqrt{(b - 2ax_0)^2 - 4a(ax_0^2 - bx_0 + f_0)}}{2a}$$

**Choose the nearest  $x$  to  $x_2$  as the approximated solution of the root  $x_R$**

**Then, repeat the above procedure after the following shifting in three points**

$$(x_1, f_1) \rightarrow (x_0, f_0)$$

$$(x_2, f_2) \rightarrow (x_1, f_1)$$

$$(x_R, f_R) \rightarrow (x_2, f_2)$$

#### 4. Bairstow's Method: Newton like method to find 1<sup>st</sup> or 2<sup>nd</sup> order quotient of the given polynomial.

(If we find a 2<sup>nd</sup> order quotient, we can calculate complex pair of roots or two real roots.)

##### ○ Background Rationale

- Assume an approximated 2<sup>nd</sup> order quotient  $q(x)$  as the form

$$\begin{aligned} f(x) &= q(x)g(x) \\ q(x) &= x^2 - rx - s \end{aligned} \quad \text{with two roots of } x = \frac{r \pm \sqrt{r^2 + 4s}}{2} \quad (\text{reals or complex pair})$$

- Since  $q(x) = x^2 - rx - s$  approximates the quotient, there exists the residual such as

$f(x) = q(x)g(x) = (x^2 - rx - s)g(x) + R(x)$ , where the residual  $R(x)$  is the 1<sup>st</sup> order and can be calculate using a method similar to Polynomial Deflation.

$$\begin{aligned} R(x) &= (a_1 - q_1b_0 - q_0b_1)x + (a_0 - q_0b_0) \\ &= (a_1 + rb_0 + sb_1)x + (a_0 + sb_0) \end{aligned}$$

-If  $R(x) = (a_1 + rb_0 + sb_1)x + (a_0 + sb_0) = 0$ , then  $q(x)$  is the true quotient and we can find two roots by solving  $q(x) = 0$

**(Question) How to define  $r, s$  in  $q(x) = x^2 - rx - s$  to meet  $R(x) = 0$  for all  $x$ .**

Answer: By solving for  $r, s$

$$R_1(r, s) = a_1 + rb_0 + sb_1 = 0$$

$$R_2(r, s) = a_0 + sb_0 = 0$$

However,  $b_0, b_1$  are the functions of  $r, s$ , Therefore, we should solve the nonlinear system of equations

$$R_1(r, s) = 0$$

$$R_2(r, s) = 0$$

(Question) How to solve above nonlinear algebraic equation?    Answer: Newton Raphson Method

### ○ Newton Raphson Method revisited

- Definition of the system of nonlinear equations

$\mathbf{f}(\mathbf{x}) = 0$ ,  $\mathbf{f} \in R^n$ ,  $\mathbf{x} \in R^n$  , which has n unknowns  $\mathbf{x} \in R^n$  and n nonlinear equations  $\mathbf{f} \in R^n$

- Newton Raphson Method

$$\mathbf{x}_{j+1} = \mathbf{x}_j - \mathbf{G}^{-1} \mathbf{f}(\mathbf{x}_j)$$

$$\frac{d\mathbf{f}}{d\mathbf{x}} = \mathbf{G} = \begin{pmatrix} \frac{df_1}{dx_1} & \frac{df_1}{dx_2} & \dots & \frac{df_1}{dx_n} \\ \frac{df_2}{dx_1} & \frac{df_2}{dx_2} & \dots & \frac{df_2}{dx_n} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{df_n}{dx_1} & \frac{df_n}{dx_2} & \dots & \frac{df_n}{dx_n} \end{pmatrix} \in R^{n \times n}$$

## ○ Bairstow's Method

$$R_1(r, s) = a_1 + rb_0 + sb_1 = 0$$

$$R_2(r, s) = a_0 + sb_0 = 0$$

$$\begin{aligned} \frac{\partial R_1(r, s)}{\partial r} &= b_0 + r \frac{\partial b_0}{\partial r} + s \frac{\partial b_1}{\partial r} \\ \frac{\partial R_2(r, s)}{\partial r} &= s \frac{\partial b_0}{\partial r} \end{aligned}$$

$$\begin{aligned} \frac{\partial R_1(r, s)}{\partial s} &= r \frac{\partial b_0}{\partial s} + b_1 + s \frac{\partial b_1}{\partial s} \\ \frac{\partial R_2(r, s)}{\partial s} &= b_0 + s \frac{\partial b_0}{\partial s} \end{aligned}$$

Using the Newton-Raphson method,  $\mathbf{x}_{j+1} = \mathbf{x}_j - \mathbf{G}^{-1} \mathbf{f}(\mathbf{x}_j)$

$$\begin{pmatrix} r_{j+1} \\ s_{j+1} \end{pmatrix} = \begin{pmatrix} r_j \\ s_j \end{pmatrix} - \begin{pmatrix} b_0 + r \frac{\partial b_0}{\partial r} + s \frac{\partial b_1}{\partial r} & r \frac{\partial b_0}{\partial s} + b_1 + s \frac{\partial b_1}{\partial s} \\ s \frac{\partial b_0}{\partial r} & b_0 + s \frac{\partial b_0}{\partial s} \end{pmatrix}^{-1} \begin{pmatrix} a_1 + rb_0 + sb_1 \\ a_0 + sb_0 \end{pmatrix}$$

For RHS of the equation, use  $r = r_j, s = s_j, b_0 = b_0(r_j, s_j), b_1 = b_1(r_j, s_j)$

(Question) How to estimate  $\begin{pmatrix} b_0 + r \frac{\partial b_0}{\partial r} + s \frac{\partial b_1}{\partial r} & r \frac{\partial b_0}{\partial s} + b_1 + s \frac{\partial b_1}{\partial s} \\ s \frac{\partial b_0}{\partial r} & b_0 + s \frac{\partial b_0}{\partial s} \end{pmatrix} ?$

**Answer: use the central difference formula**

5. Pseudo code for Bairstow's Method to find two roots for the polynomial  $f(x) = 0$  and the quotient polynomial after the polynomial deflation using the quadratic quotient  $q(x) = x^2 - r x - s$ .

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Function Bairstow(n, a, IT_max, epsilon, b, rr, ir, res)
!-----
!n:          (input) order of the polynomial (n>2)
!a(0:n):     (input) coefficient of the polynomial
!IT_max:     (input) maximum allowed iteration number
!epsilon:    (input) tolerance in function residual
!b(0:n-1):   (output) coefficient of quotient polynomial after Polynomial Deflation
!rr(1:2):    (input/output) estimation of real part of two roots (input/output)
!ir(1:2):    (input/output) estimation of imaginary part of two roots (input/output)
!res(1:2):   (output) residual polynomial coefficient as the form  $R(x)=r(2)x+r(1)$ 
!
!res0(1:2):  (local) residual due to zero perturbation (local)
!resp(1:2):  (local) residual due to positive perturbation (local)
!resm(1:2):  (local) residual due to negative perturbation (local)
!grad(1:2,1:2) (local) gradient estimation using central difference
!-----
if a(n)=0, exit with notice of "polynomial order is less than n"
if (imag_root(1)+ imag_root(2)) != 0, exit with notice of "roots are not complex pair"
! define quadratic quotient of the form  $q(x) = x^2 - rx - s$ 
    r = rr(1) + rr(2)
    s = - rr(1)*rr(2) + ir(1)*ir(2)
!define small perturbation for central difference formula
    dr= 0.01;
    ds= 0.01;
!iteration of Newton-Raphson to find the  $q(x) = x^2 - rx - s$  which reduces the residual near to zero.
    do iter =1, IT_max
        call FUNCTION Poly_Defl_two(n, a, -r,-s, b, res0)
!central difference formula to calculate gradient for the residual function
        rp = r+dr; call FUNCTION Poly_Defl_two(n, a, -rp,-s, b, resp)

```



```

rm = r-dr; call FUNCTION Poly_Defl_two(n, a, -rm,-s, b, resm)
grad(1:2,1) = 0.5*(resp(1:2) - resm(1:2))/dr

sp = s+ds; call FUNCTION Poly_Defl_two(n, a, -r,-sp, b, resp) s
m = s-ds; call FUNCTION Poly_Defl_two(n, a, -r,-sm, b, resm)
grad(1:2,2) = 0.5*(resp(1:2) - resm(1:2))/ds
!update the quotient polynomial
(r0;s0)← (r;s)
(r;s) ← (r;s) – inv(grad)*(res0(1), res0(2))
!termination condition
if norm(res0) < epsilon, exit
if sqrt((r-r0)*(r-r0)+ (s-s0)*(s-s0)) < epsilon, exit
!
end do
!
call FUNCTION Poly_Defl_two(n, a, -r,-s, b, res)
call Function Quadroot(1,-r,-s,r1,r2,i1,i2,nr)
rr(1) = r1; rr(2)= r2;ir(1)= i1, ir(2)=i2;
!-----
End Bairstow

```

---

## 6. Pseudo code to find N-roots of $f(x) = 0$ using Bairstow's Method

---

i) check N ( N=1 or N=2)

if N=1, return after finding one real root

if N=2, return after finding two roots

root\_real(1)  $\leftarrow$  real part of one root

root\_imag(1)  $\leftarrow$  imaginary part of one root

root\_real(2)  $\leftarrow$  real part of the other root

root\_imag(2)  $\leftarrow$  imaginary part of the other root

ii) set  $M = \text{int}(N/2)+1$ ,  $k=0$ ,  $NR=0$

iii) repeat until  $k=M$

$k=k+1$

(a) calculate the quotients of  $f(x) = a_n x^n + a_{n-1} x^{n-1} + a_{n-2} x^{n-2} + a_{n-3} x^{n-3} + \dots + a_1 x + a_0$

$$f(x) = q(x)g(x)$$

$$q(x) = x^2 + q_1 x + q_0$$

$$g(x) = b_{n-2} x^{n-2} + b_{n-3} x^{n-3} + \dots + b_1 x + b_0$$

(b) calculate two roots of  $q(x) = x^2 + q_1 x + q_0$

root\_real(NR+1)  $\leftarrow$  real part of one root

root\_imag(NR+1)  $\leftarrow$  imaginary part of one root

root\_real(NR+2)  $\leftarrow$  real part of the other root

root\_imag(NR+2)  $\leftarrow$  imaginary part of the other root

NR=NR+2  $\leftarrow$  number of roots found

(c) Check the order of  $g(x) = b_{n-2} x^{n-2} + b_{n-3} x^{n-3} + \dots + b_1 x + b_0$

NR\_remained = N-NR

check NR\_remained NR\_remained=1 f or NR\_remained=2)

ind a root when NR\_remained=1

find two roots when NR\_remained=2

```

    return
end if
(d) redefine  $f(x) = a_{n-2}x^{n-2} + a_{n-3}x^{n-3} + \cdots + a_1x + a_0$ 
 $a_j \leftarrow b_j, \quad j = n-2, n-3, \dots, 0$ 
(e) repeat step iii)

```

---

## 7. Library and Packages for root location

- **Excel**
- **Matlab**
- **IMSL**
- **Matlib Libraries**