

# NUMPY 基础

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# Facts

- ① Initial release: As Numeric, 1995; as NumPy, 2006
- ② Stable release: 1.11.2 / 3 October 2016;
- ③ Website: <http://www.numpy.org>
- ④ History: <https://en.wikipedia.org/wiki/NumPy>



# What is NumPy?

- ① NumPy is the fundamental package for scientific computing in Python.
- ② It is a Python library that provides a multidimensional array object, various derived objects (such as masked arrays and matrices), and an assortment of routines for fast operations on arrays, including
  - ① mathematical,
  - ② logical,
  - ③ shape manipulation,
  - ④ sorting, selecting, I/O,
  - ⑤ discrete Fourier transforms, basic linear algebra,
  - ⑥ basic statistical operations, random simulation and much more.



# NumPy

- ① At the core of the NumPy package, is the `ndarray` object.
- ② This encapsulates n-dimensional arrays of homogeneous data types, with many operations being performed in compiled code for performance.
- ③ The points about sequence size and speed are particularly important in scientific computing.



# ndarray object

- ① Vectorization: Vectorization describes the absence of any explicit looping, indexing, etc., in the code - these things are taking place, of course, just “behind the scenes” in optimized, pre-compiled C code.
- ② Broadcasting: Broadcasting is the term used to describe the implicit element-by-element behavior of operations.
- ③ NumPy fully supports an object-oriented approach with ndarray. ndarray is a class, possessing numerous methods and attributes.



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- Basic Operations
- Indexing, Slicing and Iterating

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# array function

- 1 create an array from a regular Python list or tuple using the `array` function. The type of the resulting array is deduced from the type of the elements in the sequences.
- 2 array transforms sequences of sequences into two-dimensional arrays, sequences of sequences of sequences into three-dimensional arrays, and so on.
- 3 Often, the elements of an array are originally unknown, but its size is known.



## arrays with initial placeholder content

- 1 The function `zeros` creates an array full of zeros,
- 2 the function `ones` creates an array full of ones,
- 3 the function `empty` creates an array whose initial content is random and depends on the state of the memory.
- 4 the function `identity` creates the diagonal array,
- 5 the function `eye` creates an array with ones on the diagonal and zeros elsewhere.



# arange and linspace function

- 1 `arange` :
- 2 `linspace` :



# attributes of an ndarray object

- 1 ndarray.ndim
- 2 ndarray.shape
- 3 ndarray.size
- 4 ndarray.dtype
- 5 ndarray.itemsize
- 6 ndarray.data



- Array Creation
- Basic Operations
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# Basic Operations

- 1 Arithmetic operators on arrays apply elementwise. A new array is created and filled with the result.
- 2 The matrix product can be performed using the dot function or method:
- 3 Many unary operations, such as computing the sum of all the elements in the array, are implemented as methods of the ndarray class.
- 4 by specifying the axis parameter you can apply an operation along the specified axis of an array(类似于 R 中的 apply 函数)



# 例子

```
1 import numpy as np
2 a=np.arange(4)
3 b=np.array([2,5,8,9])
4 a*b
5
6 A=np.arange(12).reshape(3,4)
7 B=np.arange(13,25).reshape(4,3)
8 np.dot(A, B)
9 A.dot(B)
10 A.sum()
11 A.sum(axis=0)
12 A.sum(axis=1)
13
14 """END"""
```



# Universal Functions

- 1 NumPy provides familiar mathematical functions such as sin, cos, and exp.
- 2 In NumPy, these are called "universal functions"(ufunc).
- 3 Within NumPy, these functions operate elementwise on an array, producing an array as output.

```
1 A=np.arange(12).reshape(3,4)
2 np.exp(A)
3 np.sqrt(A)
4
5 """END"""
```





- Array Creation
- Basic Operations
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# Indexing, Slicing and Iterating

- ① One-dimensional arrays can be indexed, sliced and iterated over, much like lists and other Python sequences.

```
1 x=np.arange(12)**2
2 x[3]
3 x[2:6]
4 x[7:]
5 x[::-1]
6 x[9:2:-3]
7
8 """END"""
```



# Indexing, Slicing and Iterating

- 2 Multidimensional arrays can have one index per axis. These indices are given in a tuple separated by commas.
- 3 When fewer indices are provided than the number of axes, the missing indices are considered complete slices.

```
1 A=np.arange(24).reshape(4,6)
2 A[2,3]
3 A[1:3, 2:4]
4 A[1]
5 A[:, 2:4]
6 A[..., 3]
7
8 """END"""
```



# Indexing, Slicing and Iterating

- ❶ The dots (...) represent as many colons as needed to produce a complete indexing tuple.
- ❷ For example, if  $x$  is an array with 5 axes, then
  - $x[1, 2, \dots]$  is equivalent to  $x[1, 2, :, :, :]$ ,
  - $x[\dots, 3]$  to  $x[:, :, :, :, 3]$
  - $x[4, \dots, 5, :]$  to  $x[4, :, :, 5, :]$



## Indexing, Slicing and Iterating

- 6 Iterating over multidimensional arrays is done with respect to the first axis
- 7 if one wants to perform an operation on each element in the array, one can use the flat attribute which is an iterator over all the elements of the array

```
1 import numpy as np
2 A=np.arange(24).reshape(4,6)
3 for i in A:
4     """打印A的各行"""
5     print(i)
6
7 for i in A.flat:
8     """打印A中的每个元素"""
9     print(i)
10
11 """END"""
```



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## Changing the shape of an array

- ① An array has a shape given by the number of elements along each axis
- ② The shape of an array can be changed with various commands.
- ③ Note that the following three commands all return a modified array, but do not change the original array:
  - ① `ndarray.ravel()`, `ndarray.T`, `ndarray.reshape`
- ④ the `ndarray.resize` method modifies the array itself

```

1 import numpy as np
2 a = np.floor(10 * np.random.random((3,4)))
3 a.shape
4
5
6
7 a.ravel()
8 a.T
9 a.reshape(2,6)
10 a.resize(2,6)
11
12 """END"""

```



# Stacking together different arrays

- 1 `hstack, vstack`
- 2 `column_stack, row_stack`
- 3 `concatenate`
- 4 `c_, r_`





# Splitting one array into several smaller ones

- ① `hsplit`
- ② `vsplit`
- ③ `array_split`



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# Indexing with Arrays of Indices-1D

## ① 使用 np.array 对象作为索引下标可以取非连续元素

```

1 a = np.arange(12) ** 2 # the first 12 square numbers
2 i = np.array( [ 1,1,3,8,5 ] ) # an array of indices
3 a[i] # the elements of a at the positions i
4 array([ 1, 1, 9, 64, 25])
5
6 j = np.array( [ [ 3, 4], [ 9, 7 ] ] )
7 a[j]
8
9
10
11 """END"""

```



## Indexing with Arrays of Indices-2D

- ② We can also give indexes for more than one dimension. The arrays of indices for each dimension must have the same shape.
- ③ Naturally, we can put  $i$  and  $j$  in a sequence (say a list) and then do the indexing with the list.

```

1  a = np.arange(12).reshape(3,4)
2  i = np.array([[0,1], [1,2]])
3  j = np.array([[2,1], [3,3]])
4
5  a[i]
6  a[i,j]
7  a[i, 2]
8  a[:,j]
9
10 L = [i,j]
11 a[L]
12
13 """END"""

```



# Indexing with Boolean Arrays

- ① use boolean arrays that have the same shape as the original array
- ② for each dimension of the array we give a 1D boolean array selecting the slices we want
- ③ Note that the length of the 1D boolean array must coincide with the length of the dimension (or axis) you want to slice.

```

1 a = np.arange(12).reshape(3,4)
2 b=a>4
3 a[b]
4 a[b]=0
5
6 a = np.arange(12).reshape(3,4)
7 b1 = np.array([False,True,True])
8 b2 = np.array([True,False,True,False])
9 a[b1,:]
10 a[b1]
11 a[:,b2]
12 a[b1,b2]
13
14 """END"""

```



# Indexing with strings

- ❶ Structured arrays are ndarrays whose datatype is a composition of simpler datatypes organized as a sequence of named fields.
- ❷ You can access and modify individual fields of a structured array by indexing with the field name.
- ❸ One can index and assign to a structured array with a multi-field index, where the index is a list of field names.

```

1 x = np.array([('Rex', 9, 81.0), ('Fido', 3, 27.0)],
2             dtype=[('name', 'U10'), ('age', 'i4'), ('weight', 'f4')
3             ])
4 x['name']
5 x[['name', 'age']]
6 """END"""

```



## The `ix_()` function

- 1 The `ix_` function can be used to combine different vectors so as to obtain the result for each n-uplet. (类似于 R 中的 `expand.grid` 函数)
- 2 For example, if you want to compute all the  $a+b*c$  for all the triplets taken from each of the vectors `a`, `b` and `c`:

```

1 a = np.array([2,3,4,5])
2 b = np.array([8,5,4])
3 c = np.array([5,4,6,8,3])
4 ax,bx,cx = np.ix_(a,b,c)
5
6 result = ax+bx * cx
7 result
8
9 """END"""

```



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# 简介

- 1 A universal function (or ufunc for short) is a function that operates on ndarrays in an element-by-element fashion.
- 2 That is, a ufunc is a “vectorized” wrapper for a function that takes a fixed number of specific inputs and produces a fixed number of specific outputs.
- 3 In NumPy, universal functions are instances of the `numpy.ufunc` class.
- 4 Many of the built-in functions are implemented in compiled C code.



# 常见通用函数

- ① Math operations
- ② Trigonometric functions
- ③ Floating functions
- ④ ...



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# Order statistics

函数	功能
<code>amin(a[, axis, out, keepdims])</code>	Return the minimum of an array or minimum
<code>amax(a[, axis, out, keepdims])</code>	Return the maximum of an array or maximum
<code>nanmin(a[, axis, out, keepdims])</code>	Return minimum of an array or minimum
<code>nanmax(a[, axis, out, keepdims])</code>	Return the maximum of an array or maximum
<code>ptp(a[, axis, out])</code>	Range of values (maximum - minimum)
<code>percentile(a, q[, axis, out, ...])</code>	Compute the qth percentile of the data
<code>nanpercentile(a, q[, axis, out, ...])</code>	Compute the qth percentile of the data



# Averages and variances

函数	功能
<code>median(a[, axis, out, overwrite_input, keepdims])</code>	Compute the median along the specified axis
<code>average(a[, axis, weights, returned])</code>	Compute the weighted average
<code>mean(a[, axis, dtype, out, keepdims])</code>	Compute the arithmetic mean
<code>std(a[, axis, dtype, out, ddof, keepdims])</code>	Compute the standard deviation
<code>var(a[, axis, dtype, out, ddof, keepdims])</code>	Compute the variance
<code>nanmedian(a[, axis, out, overwrite_input, ...])</code>	Compute the median along the specified axis, ignoring NaNs
<code>nanmean(a[, axis, dtype, out, keepdims])</code>	Compute the arithmetic mean, ignoring NaNs
<code>nanstd(a[, axis, dtype, out, ddof, keepdims])</code>	Compute the standard deviation, ignoring NaNs
<code>nanvar(a[, axis, dtype, out, ddof, keepdims])</code>	Compute the variance, ignoring NaNs

注：几何平均数，调和平均数函数在 `scipy` 中



# Correlating

函数	功能
<code>corrcoef(x[, y, rowvar, bias, ddof])</code>	Return Pearson product-moment correlation coefficients
<code>correlate(a, v[, mode])</code>	Cross-correlation of two 1-dimensional arrays
<code>cov(m[, y, rowvar, bias, ddof, fweights, ...])</code>	Estimate a covariance matrix, given data and weights



# Histograms



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# 常见矩阵运算- Matrix and vector products

函数	功能
<code>dot(a, b)</code>	Dot product of two arrays.
<code>vdot(a, b)</code>	Return the dot product of two vectors.
<code>inner(a, b)</code>	Inner product of two arrays.
<code>outer(a, b)</code>	Compute the outer product of two vectors.
<code>linalg.matrix_power(M, n)</code>	Raise a square matrix to the (integer) power n.
<code>kron(a, b)</code>	Kronecker product of two arrays.



# 常见矩阵运算- Decompositions

函数	功能
<code>linalg.cholesky(a)</code>	Cholesky decomposition.
<code>linalg.qr(a)</code>	Compute the qr factorization of a matrix.
<code>linalg.svd(a)</code>	Singular Value Decomposition.
<code>linalg.eig(a)</code>	Compute the eigenvalues and right eigenvectors of a square array.



# 常见矩阵运算- Norms and other numbers

函数	功能
<code>linalg.norm(x)</code>	Matrix or vector norm.
<code>linalg.cond(x)</code>	Compute the condition number of a matrix.
<code>linalg.det(a)</code>	Compute the determinant of an array.
<code>linalg.matrix_rank(M)</code>	Return matrix rank of array using SVD method
<code>trace(a)</code>	Return the sum along diagonals of the array.



# 常见矩阵运算- Solving equations and inverting matrices

函数	功能
<code>linalg.solve(a, b)</code>	Solve a linear matrix equation, or system of linear scalar equations.
<code>linalg.tensorsolve(a, b)</code>	Solve the tensor equation $a \times b = x$ for $x$ .
<code>linalg.lstsq(a, b)</code>	Return the least-squares solution to a linear matrix equation.
<code>linalg.inv(a)</code>	Compute the (multiplicative) inverse of a matrix.
<code>linalg.pinv(a)</code>	Compute the (Moore-Penrose) pseudo-inverse of a matrix.



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# 简介

- ❶ 下面这些函数主要用于随机抽样和生成随机数字，关于概率，分位点等计算见 `scipy.stats` 模块
- ❷ 下面函数都以 `np.random.` 开始
- ❸ 有很多功能相同名字不同的函数



# Simple random data

函数	功能
<code>rand(d0, d1, ..., dn)</code>	Random values in a given shape.
<code>randn(d0, d1, ..., dn)</code>	Return a sample (or samples) from the "standard normal"
<code>randint(low[, high, size, dtype])</code>	Return random integers from low (inclusive) to high (exclusive)
<code>random_integers(low[, high, size])</code>	Random integers of type np.int between low and high, inclusive
<code>random_sample([size])</code>	Return random floats in the half-open interval [0.0, 1.0).
<code>random([size])</code>	Return random floats in the half-open interval [0.0, 1.0).
<code>randf([size])</code>	Return random floats in the half-open interval [0.0, 1.0).
<code>sample([size])</code>	Return random floats in the half-open interval [0.0, 1.0).
<code>choice(a[, size, replace, p])</code>	Generates a random sample from a given 1-D array
<code>bytes(length)</code>	Return random bytes.



# Permutations

函数	功能
shuffle(x)	Modify a sequence in-place by shuffling its contents.
permutation(x)	Randomly permute a sequence, or return a permuted range.





# Distribution

函数	功能
<code>beta(a, b[, size])</code>	Draw samples from a Beta distribution.
<code>binomial(n, p[, size])</code>	Draw samples from a binomial distribution.
<code>chisquare(df[, size])</code>	Draw samples from a chi-square distribution.
<code>dirichlet(alpha[, size])</code>	Draw samples from the Dirichlet distribution.
<code>exponential([scale, size])</code>	Draw samples from an exponential distribution.
<code>f(dfnum, dfden[, size])</code>	Draw samples from an F distribution.
<code>gamma(shape[, scale, size])</code>	Draw samples from a Gamma distribution.
<code>geometric(p[, size])</code>	Draw samples from the geometric distribution.
<code>gumbel([loc, scale, size])</code>	Draw samples from a Gumbel distribution.
<code>hypergeometric(ngood, nbad, nsample[, size])</code>	Draw samples from a Hypergeometric distribution.
<code>laplace([loc, scale, size])</code>	Draw samples from the Laplace or double exponential distribution.
<code>logistic([loc, scale, size])</code>	Draw samples from a logistic distribution.
<code>lognormal([mean, sigma, size])</code>	Draw samples from a log-normal distribution.
<code>logseries(p[, size])</code>	Draw samples from a logarithmic series distribution.



# Distributions

函数	功能
<code>multivariate_normal(mean, cov[, size, ...])</code>	Draw random samples from a multivariate normal distribution.
<code>negative_binomial(n, p[, size])</code>	Draw samples from a negative binomial distribution.
<code>noncentral_chisquare(df, nonc[, size])</code>	Draw samples from a noncentral chi-square distribution.
<code>noncentral_f(dfnum, dfden, nonc[, size])</code>	Draw samples from the noncentral F distribution.
<code>normal([loc, scale, size])</code>	Draw random samples from a normal (Gaussian) distribution.
<code>pareto(a[, size])</code>	Draw samples from a Pareto II or Lomax distribution.
<code>poisson([lam, size])</code>	Draw samples from a Poisson distribution.
<code>power(a[, size])</code>	Draws samples in $[0, 1]$ from a power distribution with parameter $a$ .
<code>rayleigh([scale, size])</code>	Draw samples from a Rayleigh distribution.
<code>standard_cauchy([size])</code>	Draw samples from a standard Cauchy distribution.
<code>standard_exponential([size])</code>	Draw samples from the standard exponential distribution.
<code>standard_gamma(shape[, size])</code>	Draw samples from a standard Gamma distribution.
<code>standard_normal([size])</code>	Draw samples from a standard Normal distribution.
<code>standard_t(df[, size])</code>	Draw samples from a standard Student's t distribution.
<code>triangular(left, mode, right[, size])</code>	Draw samples from the triangular distribution over the interval $[left, right]$ .
<code>uniform([low, high, size])</code>	Draw samples from a uniform distribution.
<code>vonmises(mu, kappa[, size])</code>	Draw samples from a von Mises distribution.
<code>wald(mean, scale[, size])</code>	Draw samples from a Wald, or inverse Gaussian, distribution.
<code>weibull(a[, size])</code>	Draw samples from a Weibull distribution.
<code>zipf(a[, size])</code>	Draw samples from a Zipf distribution.



# Random generator

函数	功能
<code>RandomState([seed])</code>	Container for the Mersenne Twister pseudo-random number generator.
<code>seed([seed])</code>	Seed the generator.
<code>get_state()</code>	Return a tuple representing the internal state of the generator.
<code>set_state(state)</code>	Set the internal state of the generator from a tuple.



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# Functions and Methods Overview

## 1 Array Creation:

arange, array, copy, empty, empty\_like, eye, fromfile, fromfunction, identity, linspace, logspace, mgrid, ogrid, ones, ones\_like, r, zeros, zeros\_like

## 2 Conversions:

ndarray.astype, atleast\_1d, atleast\_2d, atleast\_3d, mat

## 3 Manipulations:

array\_split, column\_stack, concatenate, diagonal, dsplit, dstack, hsplit, hstack, ndarray.item, newaxis, ravel, repeat, reshape, resize, squeeze, swapaxes, take, transpose, vsplit, vstack



# Functions and Methods Overview

- ❶ **Questions:**  
all, any, nonzero, where
- ❷ **Ordering:**  
argmax, argmin, argsort, max, min, ptp, searchsorted, sort
- ❸ **Operations:**  
choose, compress, cumprod, cumsum, inner, ndarray.fill, imag, prod, put, putmask, real, sum
- ❹ **Basic Statistics:**  
cov, mean, std, var
- ❺ **Basic Linear Algebra:**  
cross, dot, outer, linalg.svd, vdot



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# Numpy 中的其他常用模块

- ① String operations
- ② Datetime Support Functions
- ③ Discrete Fourier Transform (`numpy.fft`)
- ④ Financial functions
- ⑤ Functional programming
- ⑥ Logic functions
- ⑦ Mathematical functions
- ⑧ Matrix library (`numpy.matlib`)
- ⑨ `numpy.polynomial` package
- ⑩ 具体用法和更多模块可以参考 [Numpy reference\[numpy-ref.pdf\]](#) 中的 Routines 内容

