# **Concurrent Programming**

15-213: Introduction to Computer Systems 22<sup>nd</sup> Lecture, Nov. 11, 2010

#### **Instructors:**

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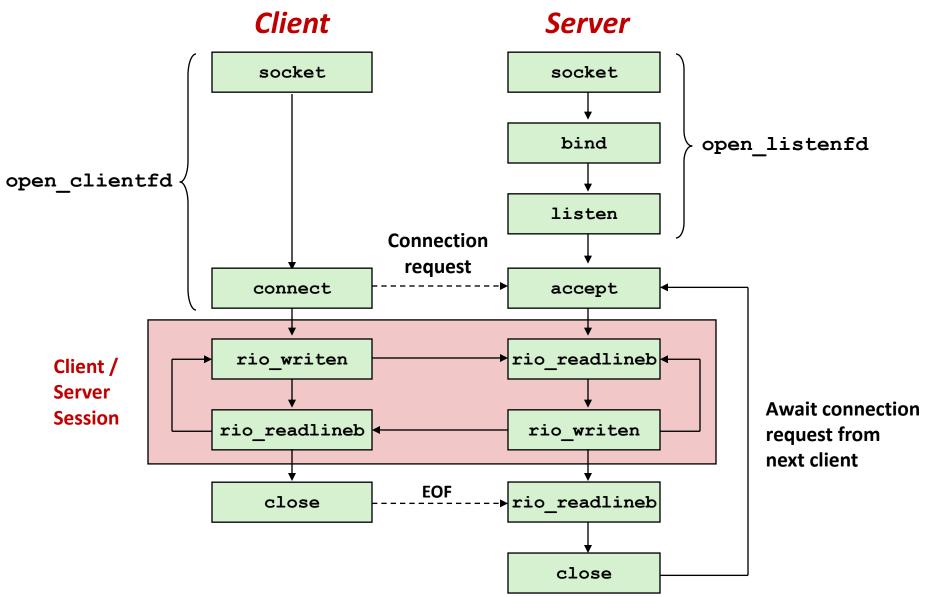
# **Concurrent Programming is Hard!**

- The human mind tends to be sequential
- The notion of time is often misleading
- Thinking about all possible sequences of events in a computer system is at least error prone and frequently impossible

# **Concurrent Programming is Hard!**

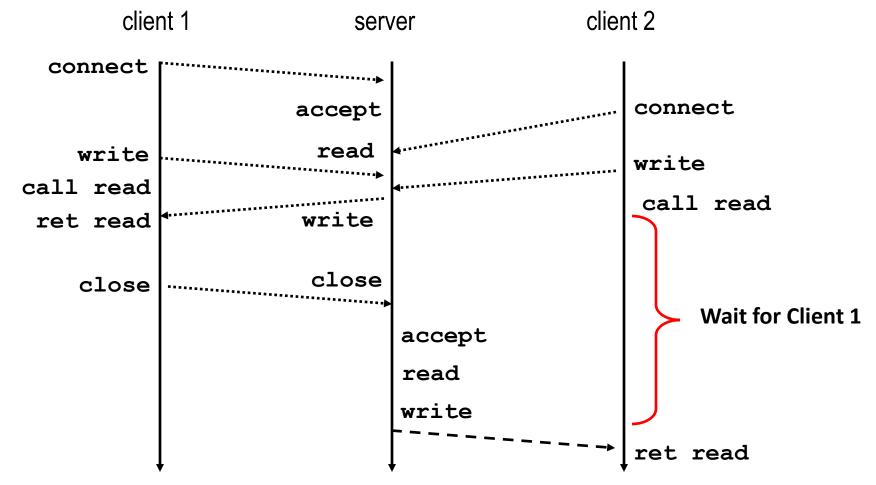
- Classical problem classes of concurrent programs:
  - Races: outcome depends on arbitrary scheduling decisions elsewhere in the system
    - Example: who gets the last seat on the airplane?
  - Deadlock: improper resource allocation prevents forward progress
    - Example: traffic gridlock
  - Livelock / Starvation / Fairness: external events and/or system scheduling decisions can prevent sub-task progress
    - Example: people always jump in front of you in line
- Many aspects of concurrent programming are beyond the scope of 15-213

## **Iterative Echo Server**



## **Iterative Servers**

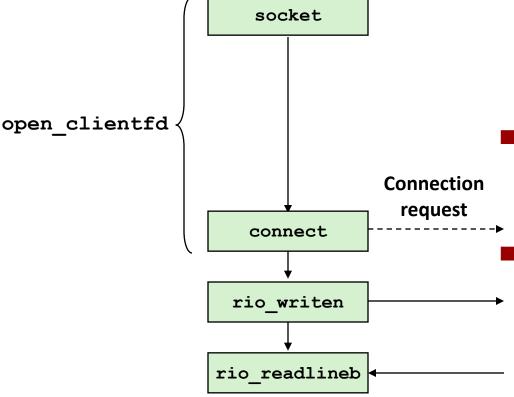
Iterative servers process one request at a time



## Where Does Second Client Block?

Second client attempts to connect to iterative server

#### Client



#### Call to connect returns

- Even though connection not yet accepted
- Server side TCP manager queues request
- Feature known as "TCP listen backlog"

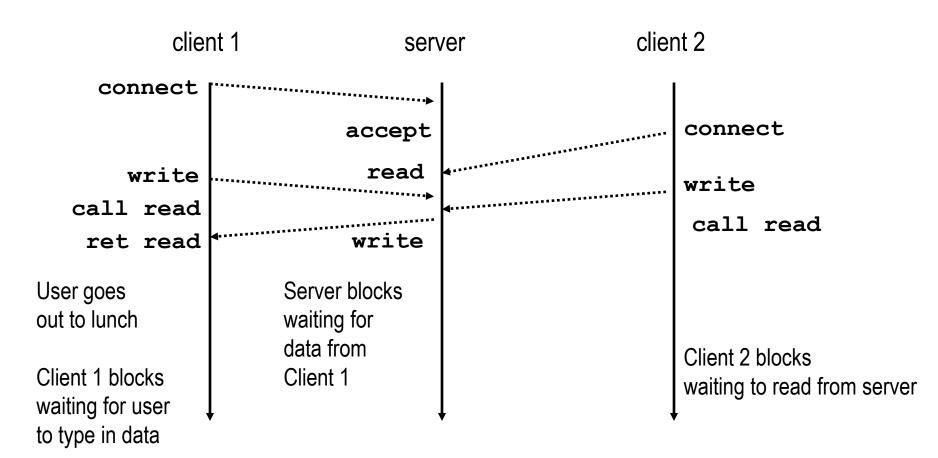
#### Call to rio\_writen returns

Server side TCP manager buffers input data

# Call to rio\_readlineb blocks

 Server hasn't written anything for it to read yet.

## **Fundamental Flaw of Iterative Servers**



#### Solution: use concurrent servers instead

 Concurrent servers use multiple concurrent flows to serve multiple clients at the same time

# **Creating Concurrent Flows**

Allow server to handle multiple clients simultaneously

#### 1. Processes

- Kernel automatically interleaves multiple logical flows
- Each flow has its own private address space

#### 2. Threads

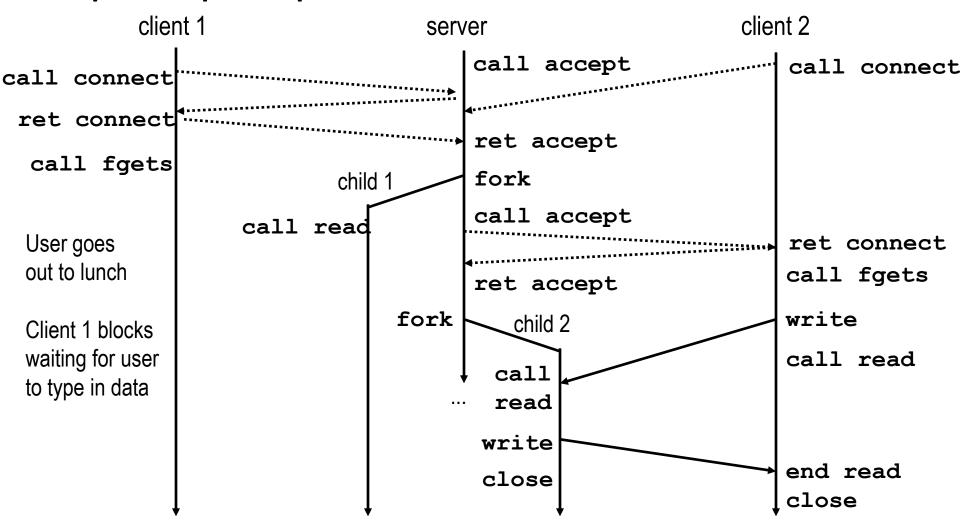
- Kernel automatically interleaves multiple logical flows
- Each flow shares the same address space

### 3. I/O multiplexing with select()

- Programmer manually interleaves multiple logical flows
- All flows share the same address space
- Relies on lower-level system abstractions

# **Concurrent Servers: Multiple Processes**

Spawn separate process for each client



## **Review: Iterative Echo Server**

```
int main(int argc, char **argv)
    int listenfd, connfd;
    int port = atoi(argv[1]);
    struct sockaddr in clientaddr;
    int clientlen = sizeof(clientaddr);
    listenfd = Open listenfd(port);
    while (1) {
      connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
      echo (connfd);
      Close (connfd);
    exit(0);
```

- Accept a connection request
- Handle echo requests until client terminates

## **Process-Based Concurrent Server**

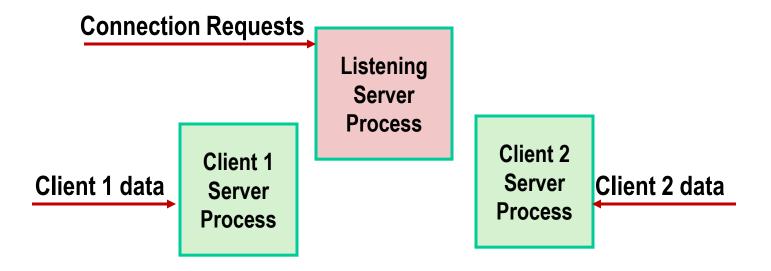
```
int main(int argc, char **argv)
                                         Fork separate process for
                                           each client
   int listenfd, connfd;
   int port = atoi(argv[1]);
                                         Does not allow any
   struct sockaddr in clientaddr;
                                           communication between
   int clientlen=sizeof(clientaddr);
                                           different client handlers
   Signal(SIGCHLD, sigchld handler);
   listenfd = Open listenfd(port);
   while (1) {
       connfd = Accept(listenfd, (SA *) &clientaddr, &clientlen);
       if (Fork() == 0) {
           Close(listenfd); /* Child closes its listening socket */
           echo(connfd); /* Child services client */
           Close (connfd); /* Child closes connection with client */
                          /* Child exits */
           exit(0);
       Close(connfd); /* Parent closes connected socket (important!) */
```

# Process-Based Concurrent Server (cont)

```
void sigchld_handler(int sig)
{
    while (waitpid(-1, 0, WNOHANG) > 0)
    ;
    return;
}
```

Reap all zombie children

## **Process Execution Model**

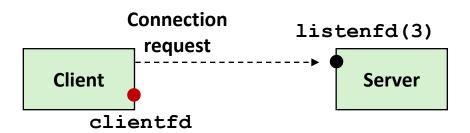


- Each client handled by independent process
- No shared state between them
- Both parent & child have copies of listenfd and connfd
  - Parent must close connfd
  - Child must close listenfd

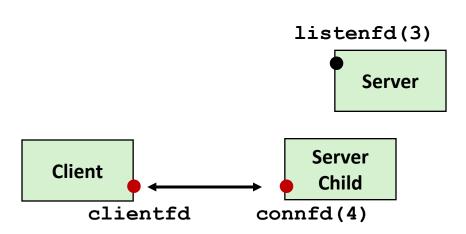
# Concurrent Server: accept Illustrated



1. Server blocks in accept, waiting for connection request on listening descriptor
listenfd



2. Client makes connection request by calling and blocking in connect



3. Server returns connfd from accept. Forks child to handle client. Client returns from connect. Connection is now established between clientfd and connfd

# Implementation Must-dos With Process-Based Designs

- Listening server process must reap zombie children
  - to avoid fatal memory leak
- Listening server process must close its copy of connfd
  - Kernel keeps reference for each socket/open file
  - After fork, refcnt (connfd) = 2
  - Connection will not be closed until refent (connfd) == 0

# **View from Server's TCP Manager**

#### Client 1 Client 2 Server

srv> ./echoserverp 15213

cl1> ./echoclient greatwhite.ics.cs.cmu.edu 15213

srv> connected to (128.2.192.34), port 50437

cl2> ./echoclient greatwhite.ics.cs.cmu.edu 15213

srv> connected to (128.2.205.225), port 41656

Connection	Host	Port	Host	Port
Listening			128.2.220.10	15213
cl1	128.2.192.34	50437	128.2.220.10	15213
c12	128.2.205.225	41656	128.2.220.10	15213

# **View from Server's TCP Manager**

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### Port Demultiplexing

- TCP manager maintains separate stream for each connection
  - Each represented to application program as socket
  - New connections directed to listening socket
  - Data from clients directed to one of the connection sockets.

# **Pros and Cons of Process-Based Designs**

- + Handle multiple connections concurrently
- + Clean sharing model
  - descriptors (no)
  - file tables (yes)
  - global variables (no)
- + Simple and straightforward
- Additional overhead for process control
- Nontrivial to share data between processes
  - Requires IPC (interprocess communication) mechanisms
    - FIFO's (named pipes), System V shared memory and semaphores

# **Approach #2: Multiple Threads**

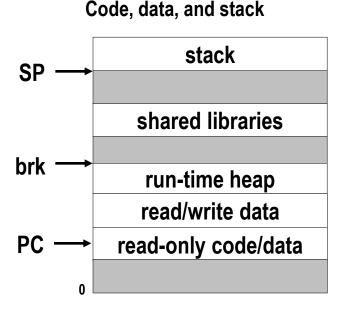
- Very similar to approach #1 (multiple processes)
  - but, with threads instead of processes

## **Traditional View of a Process**

Process = process context + code, data, and stack

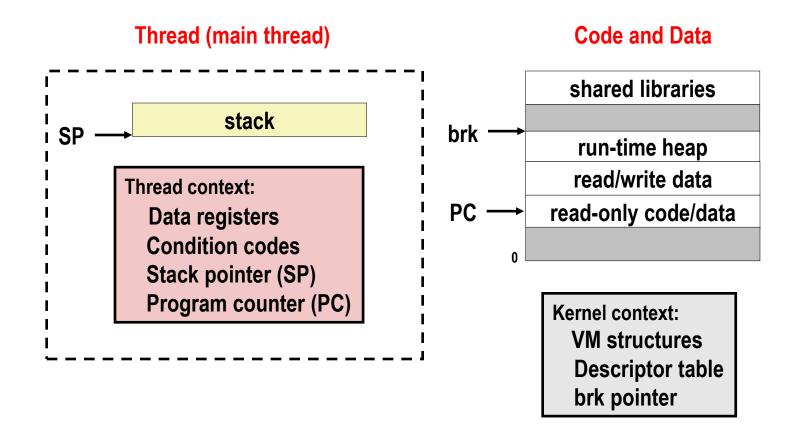
#### **Process context**

Program context:
Data registers
Condition codes
Stack pointer (SP)
Program counter (PC)
Kernel context:
VM structures
Descriptor table
brk pointer



## **Alternate View of a Process**

Process = thread + code, data, and kernel context



# **A Process With Multiple Threads**

- Multiple threads can be associated with a process
  - Each thread has its own logical control flow
  - Each thread shares the same code, data, and kernel context
    - Share common virtual address space (inc. stacks)
  - Each thread has its own thread id (TID)

Thread 1 (main thread)

stack 1

Thread 1 context:

Data registers

Condition codes

SP1

PC1

Shared code and data

shared libraries

run-time heap

read/write data

read-only code/data

**Kernel context:** 

VM structures
Descriptor table
brk pointer

**Thread 2 (peer thread)** 

stack 2

Thread 2 context:

**Data registers** 

**Condition codes** 

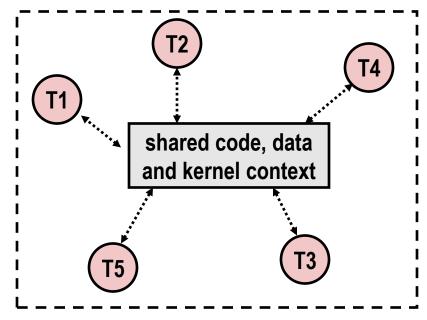
SP2

PC2

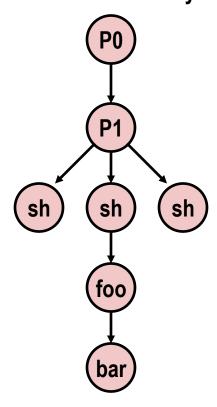
# **Logical View of Threads**

- Threads associated with process form a pool of peers
  - Unlike processes which form a tree hierarchy

#### Threads associated with process foo



#### **Process hierarchy**



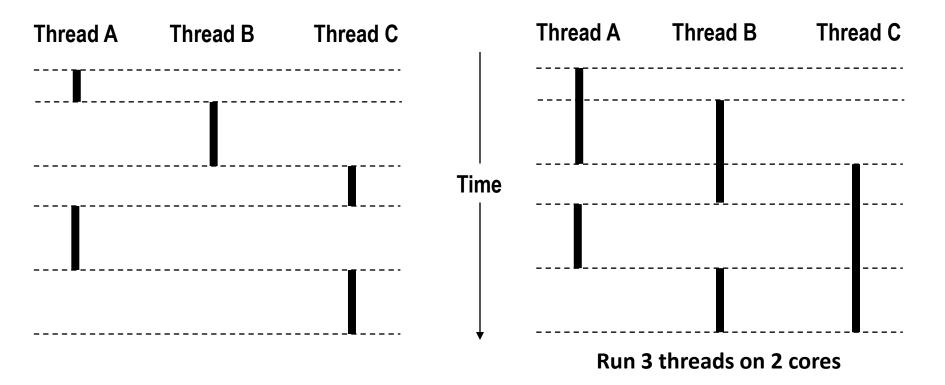
## **Thread Execution**

### Single Core Processor

Simulate concurrency by time slicing

#### Multi-Core Processor

Can have true concurrency



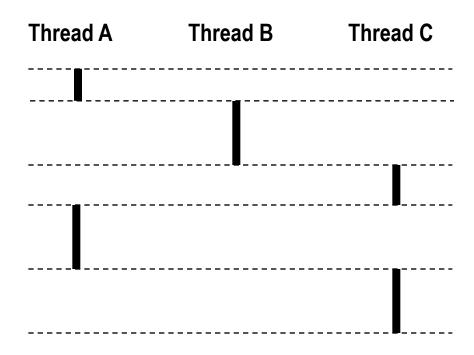
# **Logical Concurrency**

- Two threads are (logically) concurrent if their flows overlap in time
- Otherwise, they are sequential

#### Examples:

- Concurrent: A & B, A&C
- Sequential: B & C

**Time** 



## Threads vs. Processes

#### How threads and processes are similar

- Each has its own logical control flow
- Each can run concurrently with others (possibly on different cores)
- Each is context switched

### How threads and processes are different

- Threads share code and some data
  - Processes (typically) do not
- Threads are somewhat less expensive than processes
  - Process control (creating and reaping) is twice as expensive as thread control
  - Linux numbers:
    - ~20K cycles to create and reap a process
    - ~10K cycles (or less) to create and reap a thread

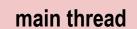
# Posix Threads (Pthreads) Interface

- Pthreads: Standard interface for ~60 functions that manipulate threads from C programs
  - Creating and reaping threads
    - pthread create()
    - pthread join()
  - Determining your thread ID
    - pthread self()
  - Terminating threads
    - pthread cancel()
    - pthread exit()
    - exit() [terminates all threads], RET [terminates current thread]
  - Synchronizing access to shared variables
    - pthread\_mutex\_init
    - pthread mutex [un]lock
    - pthread cond init
    - pthread cond [timed]wait

# The Pthreads "hello, world" Program

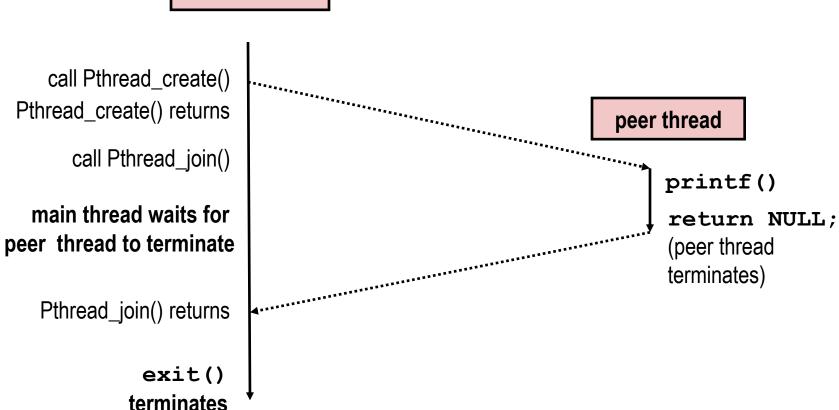
```
/*
 * hello.c - Pthreads "hello, world" program
 */
#include "csapp.h"
                                                  Thread attributes
                                                   (usually NULL)
void *thread(void *vargp);
int main() {
                                                  Thread arguments
  pthread t tid;
                                                     (void *p)
  Pthread create (&tid, NULL, thread, NULL);
  Pthread join(tid, NULL);
  exit(0);
                                                 return value
                                                   (void **p)
/* thread routine */
void *thread(void *vargp) {
  printf("Hello, world!\n");
  return NULL;
```

# Execution of Threaded"hello, world"



main thread and

any peer threads



## **Thread-Based Concurrent Echo Server**

```
int main(int argc, char **argv) {
    int port = atoi(argv[1]);
    struct sockaddr in clientaddr;
    int clientlen=sizeof(clientaddr);
   pthread t tid;
    int listenfd = Open listenfd(port);
   while (1) {
      int *connfdp = Malloc(sizeof(int));
      *connfdp = Accept(listenfd,
                        (SA *) &clientaddr, &clientlen);
      Pthread create(&tid, NULL, echo thread, connfdp);
```

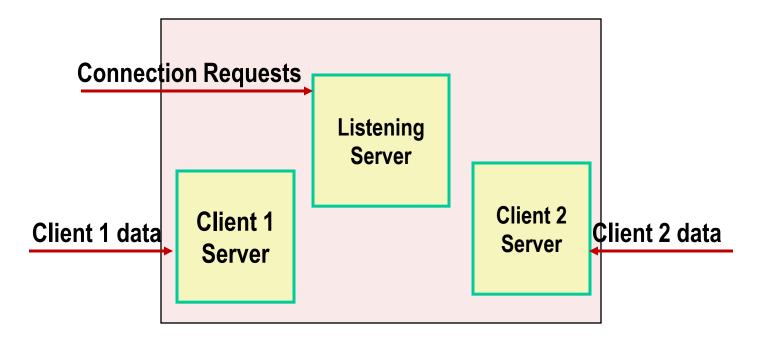
- Spawn new thread for each client
- Pass it copy of connection file descriptor
- Note use of Malloc()!
  - Without corresponding Free()

# **Thread-Based Concurrent Server (cont)**

```
/* thread routine */
void *echo_thread(void *vargp)
{
   int connfd = *((int *)vargp);
   Pthread_detach(pthread_self());
   Free(vargp);
   echo(connfd);
   Close(connfd);
   return NULL;
}
```

- Run thread in "detached" mode
  - Runs independently of other threads
  - Reaped when it terminates
- Free storage allocated to hold clientfd
  - "Producer-Consumer" model

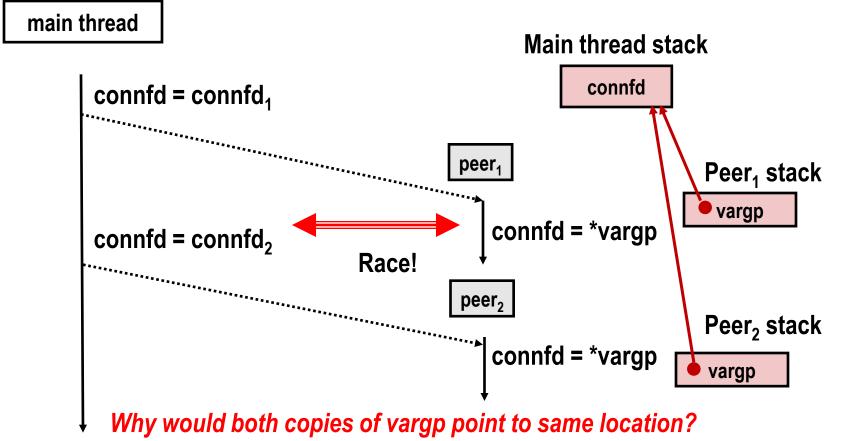
## **Threaded Execution Model**



- Multiple threads within single process
- Some state between them
  - File descriptors

## **Potential Form of Unintended Sharing**

```
while (1) {
    int connfd = Accept(listenfd, (SA *) &clientaddr, &clientlen);
    Pthread_create(&tid, NULL, echo_thread, (void *) &connfd);
}
```



## Could this race occur?

#### Main

#### **Thread**

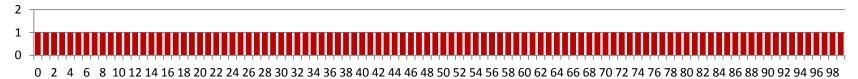
```
void *thread(void *vargp)
{
  int i = *((int *)vargp);
  Pthread_detach(pthread_self());
  save_value(i);
  return NULL;
}
```

#### Race Test

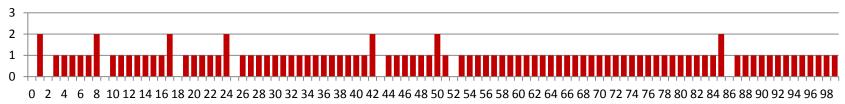
- If no race, then each thread would get different value of i
- Set of saved values would consist of one copy each of 0 through 99.

# **Experimental Results**

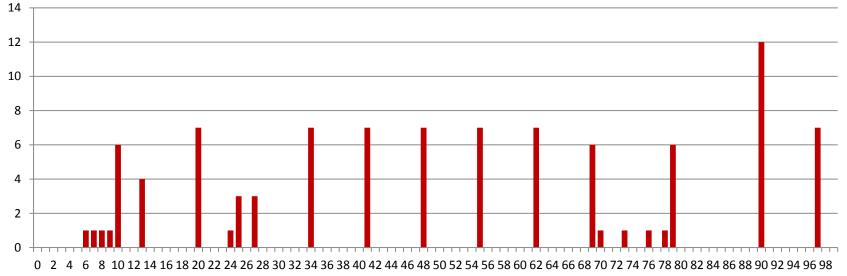
#### No Race



#### Single core laptop



#### **Multicore server**



The race can really happen!

## **Issues With Thread-Based Servers**

- Must run "detached" to avoid memory leak.
  - At any point in time, a thread is either joinable or detached.
  - Joinable thread can be reaped and killed by other threads.
    - must be reaped (with pthread\_join) to free memory resources.
  - Detached thread cannot be reaped or killed by other threads.
    - resources are automatically reaped on termination.
  - Default state is joinable.
    - use pthread\_detach (pthread\_self()) to make detached.
- Must be careful to avoid unintended sharing.
  - For example, passing pointer to main thread's stack
    Pthread create(&tid, NULL, thread, (void \*)&connfd);
- All functions called by a thread must be thread-safe
  - Stay tuned

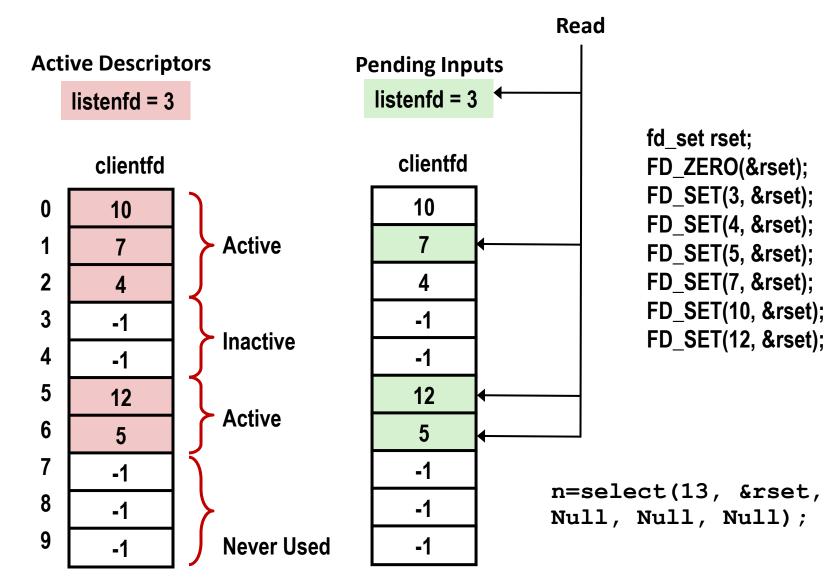
# Pros and Cons of Thread-Based Designs

- + Easy to share data structures between threads
  - e.g., logging information, file cache.
- + Threads are more efficient than processes.
- Unintentional sharing can introduce subtle and hard-toreproduce errors!
  - The ease with which data can be shared is both the greatest strength and the greatest weakness of threads.
  - Hard to know which data shared & which private
  - Hard to detect by testing
    - Probability of bad race outcome very low
    - But nonzero!
  - Future lectures

# **Event-Based Concurrent Servers Using I/O Multiplexing**

- Use library functions to construct scheduler within single process
- Server maintains set of active connections
  - Array of connfd's + listenfd
- Repeat:
  - Determine which connections have pending inputs
  - If listenfd has input, then accept connection
    - Add new connfd to array
  - Service all connfd's with pending inputs
- Details in book (next lecture)

# I/O Multiplexed Event Processing



# Pros and Cons of I/O Multiplexing

- + One logical control flow.
- + Can single-step with a debugger.
- + No process or thread control overhead.
  - Design of choice for high-performance Web servers and search engines.
- Significantly more complex to code than process- or threadbased designs.
- Hard to provide fine-grained concurrency
  - E.g., our example will hang up with partial lines.
- Cannot take advantage of multi-core
  - Single thread of control

# **Approaches to Concurrency**

#### Processes

- Hard to share resources: Easy to avoid unintended sharing
- High overhead in adding/removing clients

#### Threads

- Easy to share resources: Perhaps too easy
- Medium overhead
- Not much control over scheduling policies
- Difficult to debug
  - Event orderings not repeatable

### I/O Multiplexing

- Tedious and low level
- Total control over scheduling
- Very low overhead
- Cannot create as fine grained a level of concurrency
- Does not make use of multi-core