

Database Design

week 4

First Normal Form (1NF)

In 1NF, tables are flat

Student

name	GPA	Courses		
A	3	<table><tr><td></td></tr><tr><td></td></tr></table>		
B	3.5	<table><tr><td></td></tr><tr><td></td></tr></table>		

This is not 1NF

Student

name	GPA

Course

course

Takes

name	course

may need to add keys

Data Anomalies

Redundancy → repeating data

Updated an. → need to change several places

Delete an. → lose info we don't want

ex:

name	ssn	phone	city
f	1	P1	S
f	1	P2	S
J	2	P3	C

→ one person ^{can} have multiple phones but lives in 1 city

redundancy → f, 1, S

update → F moves to London → need to update 2 rows
delete → F deletes phone. what city is he in?

Soln:

name	<u>ssn</u>	city

<u>ssn</u>	phone

Functional Dependencies

- A form of constraints

$A \rightarrow B$

- If in your table, 2 rows agree on A column, then they must agree on B.

	A		B
r	a1		b1
t	a1		b1

$A \rightarrow B$

t-r agree on A \Rightarrow they agree on B.

ex:

id	name	phone	pos
1	S	1234	C
2	M1	9876	S
3	S	9876	S
4	M2	1234	L

FD holds

$id \rightarrow name, phone, pos$

• $pos \rightarrow phone$

FD does not hold

• $phone \rightarrow pos$

1 violating row is enough for saying it is not F.D.

ex1:

name	cat	color	dept	price
g	g1	gr	toy	49
t	g1	gr	toy	39

$name \rightarrow color$

satisfies

$cat \rightarrow dept$

satisfies

$color, cat \rightarrow price$

does not

$\hookrightarrow gr, g1 \rightarrow 49 \neq$
 $gr, g1 \rightarrow 39$

ex2:

name	cat	color	dept	price
g	g1	gr	toy	49
t	g1	bl	toy	89
g	g1	gr	office	59

$name \rightarrow color$ ✓

$cat \rightarrow dept$ ✓

$color, cat \rightarrow price$ ✓

Observation:

if $name \rightarrow color$
 $cat \rightarrow dept$
 $color, cat \rightarrow price$

FD ✓

then $name, cat \rightarrow price$

Why? \rightarrow Later

Goal: Find all FD, look for bad ones.

Armstrong's Rules

Decomposition	$A \rightarrow BC$ then $A \rightarrow B, A \rightarrow C$
Union	$A \rightarrow B$ and $A \rightarrow C$ then $A \rightarrow BC$
Transitivity	$A \rightarrow B$ and $B \rightarrow C$ then $A \rightarrow C$
Reflexivity	$\underline{YK} \subseteq X$ then $X \rightarrow Y$
Augmentation	$X \rightarrow Y$ then $XZ \rightarrow YZ$
Pseudotransitivity	$X \rightarrow Y$ and $WY \rightarrow Z$ then $WX \rightarrow Z$

1) Splitting and Combine

$A_1, A_2, \dots, A_n \rightarrow B_1, B_2, \dots, B_n$
 then
 $A_1, A_2, \dots, A_n \rightarrow B_1$
 $A_1, A_2, \dots, A_n \rightarrow B_2$
 $A_1, A_2, \dots, A_n \rightarrow B_n$

2) Trivial

$A_1, A_2, \dots, A_n \rightarrow A_i$ where $i = 1, 2, \dots, n$
 $\text{name, cat} \rightarrow \text{name}$

3) Transitive

ex: Given

1. $\text{name} \rightarrow \text{color}$
2. $\text{cat} \rightarrow \text{dept}$
3. $\text{color, cat} \rightarrow \text{price}$

4. $\text{name, cat} \rightarrow \text{name}$	trivial on 4	
5. $\text{name, cat} \rightarrow \text{color}$	transitivity (4,1)	$\text{name, cat} \rightarrow \text{name}$ $\text{name} \rightarrow \text{color}$ $\text{name, cat} \rightarrow \text{color}$
6. $\text{name, cat} \rightarrow \text{cat}$	trivial 6	
7. $\text{name, cat} \rightarrow \text{color, cat}$	union 5,6	$\text{name, cat} \rightarrow \text{color}$ $\text{name, cat} \rightarrow \text{cat}$ \cup $\text{name, cat} \rightarrow \text{color, cat}$
8. $\text{name, cat} \rightarrow \text{price}$	transitivity (7,3)	$\text{name, cat} \rightarrow \text{color, cat}$ $\text{color, cat} \rightarrow \text{price}$ $\text{name, cat} \rightarrow \text{price}$

Hard to list all of them.

Attribute Closures

Given $\begin{cases} \text{name} \rightarrow \text{color} \\ \text{cat} \rightarrow \text{dept} \\ \text{color, dept} \rightarrow \text{price} \end{cases}$

$$(\text{name})^+ = \{ \text{name, color} \}$$

$$\Rightarrow (\text{name, cat})^+ = \{ \text{name, cat, color, dept, price} \}$$

$$(\text{color})^+ = \{ \text{color} \}$$

Hence $\text{name, cat} \rightarrow \text{color, dept, price}$

ex: $R(A, B, C, D, E, F)$

Given $\begin{cases} A, B \rightarrow C \\ A, D \rightarrow E \\ B \rightarrow D \\ A, F \rightarrow B \end{cases}$

$$(A, B)^+ = ?$$

$$\text{soln: } (A, B)^+ = \{ A, B, C, D, E \}$$

$$(A, F)^+ = ?$$

$$\text{soln: } (A, F)^+ = \{ A, F, B, C, D, E \}$$

$AF \rightarrow BCDE$ (AF) together is a key!

ssn	name	addr	hobby
1	J	a	hike
1	J	a	bike

redundancy \rightarrow change in address, more than 1 row

delete \rightarrow Suppose a person gives up all hobbies, set hobby = NULL \rightarrow no, hobby is part of key
delete row \rightarrow no (lose info)

insert \rightarrow cannot insert a person w.o. hobby

Decomposition

Person1 (ssn, name, addr)

Hobbies (ssn, hobby)

Suppose we have $\text{addr} \rightarrow \text{zip}$
how can we deal with this insertion

We can use assertions

addr	zip
odti	06809
odti	06809
odti	06809

A. FD as
Check **Not exists**

Select *
F Person P1, Person P2
W $P1.ad = P2.ad$ and
 $P1.zip \neq P2.zip$

\Rightarrow It will do after insert.
We can use before / after and
new row.
However, it works for only 1 insertion
How about multiple insertion?
Add this

EX: Suppose we are given the table before

Is this a F.D \Rightarrow $addr \rightarrow zip$.

How can I find this?

Soln: For each addr, group by addr. If there is 2 in the count of the
zipcode, it is not F.D.

Sel —
—
group by addr
having count(distinct zipcode)

Why do we need Attribute Closures?

- We are interested in
is $X \rightarrow A$ implied by the given set of F.D.

Soln: 1st compute X^+
2nd check if A in X^+

EX: $A, B \rightarrow C$
 $A, D \rightarrow B$
 $B \rightarrow D$

$A^+ \{A\}$
 $B^+ \{B, D\}$
 $C^+ \{C\}$
 $D^+ \{D\}$

4 attributes

$2^4 - 1$ F.D

$AB^+ \{A, B, C, D\}$
 $AC^+ \{A, C\}$
 $AD^+ \{A, D, B, C\}$

$$\begin{aligned} S &\rightarrow M \\ M, C &\rightarrow R \\ C &\rightarrow T \end{aligned}$$

$$\Rightarrow \begin{aligned} S^+ &= \{S, M\} \\ SC^+ &= \{S, C, M, T, R\} \end{aligned}$$

Superkey \rightarrow set of attributes $A_1, A_2, \dots, A_n \rightarrow B$

key \rightarrow min of superkey

ex2: Product (name, price, cat, col)

$$\begin{aligned} \text{name, cat} &\rightarrow \text{price} \\ \text{cat} &\rightarrow \text{color} \end{aligned}$$

$$(\text{name, cat})^+ = \{\text{name, cat, price, color}\} \rightarrow \text{Superkey}$$

$$(\text{name})^+ = \{\text{name}\}$$

$$(\text{cat})^+ = \{\text{cat, color}\}$$

\rightarrow Since they don't cover all attributes, they are not keys.

(name, cat) is key

★ in ex1, we have 5 attributes. 2^5 work, too much time consuming.

Instead, we can look at L.h.s.

$$\begin{aligned} S &\rightarrow M \\ M, C &\rightarrow R \\ C &\rightarrow T \end{aligned}$$

$$S^+ = \{S, M\} \quad \text{no key}$$

$$M^+ = \{M\} \quad "$$

$$C^+ = \{C, T\} \quad "$$

$$SM^+ = \{S, M\} \quad "$$

$$SC^+ = \{S, C, M, R, T\} \quad \checkmark$$

ex: Enrollment (Student, Address, Course, Room, Time)

$$\begin{aligned} S &\rightarrow A \\ R, T &\rightarrow C \\ S, C &\rightarrow R, T \end{aligned}$$

Find keys

Sol:

$$S^+ = S, A \quad \times$$

$$R^+ = R \quad \times$$

$$T^+ = T \quad \times$$

$$C^+ = C \quad \times$$

$$SC^+ = S, C, A, R, T \quad \checkmark$$

$$RTS^+ = R, T, S, C, A \quad \checkmark$$

Which one do we pick?

Depends on the design

either C, T. $SC \rightarrow PK$
 $RTS \rightarrow \text{unique}$

or C, T. $RTS \rightarrow PK$
 $SC \rightarrow \text{unique}$

minating Anomalies

$X \rightarrow A$ is ok F.D if X is (super) key

$X \rightarrow A$ is not OK otherwise

ex:

name	ssn	phone	city

$ssn \rightarrow name, city$

What is the key?

Soln: Key is (ssn, phone)

Hence, $ssn \rightarrow name, city$ is bad F.D

ssn	phone

$FD = \{ \}$

(ssn, phone) is key
no redundancy ✓

ssn	name	city

$FD \{ ssn \rightarrow name, city \}$

(ssn) is key

no bad dependency ✓

Key or Keys

Given $R(A, B, C)$ define F.D. st there are 2 or more keys.

Soln: $A \rightarrow BC$
 $B \rightarrow AC$

$A^+ = \{A, B, C\}$

$B^+ = \{B, A, C\}$

A and B are keys

3 keys

Soln: $A \rightarrow B$
 $B \rightarrow C$
 $C \rightarrow A$

$A^+ = \{A, B, C\}$

$B^+ = \{B, C, A\}$

$C^+ = \{C, A, B\}$

A, B and C are keys

3rd Normal Form (BCNF)

$\forall X$, either $X^+ = X$ or $X^+ = \text{all attributes}$

ex: $R(A, B, C)$

$A \rightarrow BC$

$B \rightarrow AC$

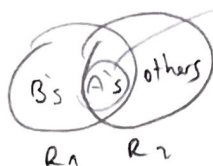
$$\Rightarrow \begin{aligned} A^+ &= A, B, C \\ B^+ &= B, A, C \\ C^+ &= C \end{aligned} \quad \checkmark$$

ex: $R(A, B, C)$

$AB \rightarrow C$

$BC \rightarrow A$

$$\Rightarrow \begin{aligned} A^+ &= A \\ B^+ &= B \\ C^+ &= C \end{aligned} \quad \begin{aligned} AB^+ &= ABC \\ BC^+ &= BCA \end{aligned} \quad \checkmark$$



we need A for joining R_1 and R_2

★ Is there 2 attr. relation that is not BCNF?

- No

A	B

suppose $A \rightarrow B$
 suppose $B \rightarrow A$
 suppose AB ✓
 suppose $\{ \}$

then $A^+ = A, B$ ✓
 then $B^+ = B, A$ ✓

// ✓

ex:

name	ssn	phone	city

$SSN \rightarrow \text{name, city}$

$(SSN, \text{phone})^+ = \{SSN, \text{name, city, phone}\}$
 $(SSN)^+ = (SSN, \text{name, city})$ X not all attributes
 $(\text{phone})^+ = (\text{phone})^+ \quad \text{X}$

what is key $\rightarrow (SSN, \text{phone})$

ssn	name	city

$SSN \rightarrow \text{name, city}$ ✓

$SSN^+ = (SSN, \text{name, city})$ ✓

ssn	phone

$\{ \}$ ✓

Person (name, SSN, age, hair, phone)

SSN \rightarrow name, age

age \rightarrow hair

Decompose!

Soln: Identify the key

$(SSN, age)^+ = \{SSN, age, name, hair\}$ phone?

$(SSN, phone)^+ = \{SSN, name, age, hair, phone\}$ ✓
SSN \rightarrow name SSN \rightarrow age age \rightarrow hair

Both SSN \rightarrow name, age and age \rightarrow hair are bad F.D.

1. goal: Pick SSN \rightarrow name, age

Person

P1(SSN, name, age)

SSN \rightarrow name, age

F.D.V

SSN \rightarrow name, age ✓ SSN \rightarrow hair color ? where is age \rightarrow hair ?	It is not a mistake It is OK
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P21(SSN, hair)

✓

get better att + SSN

P2(SSN, phone, hair)

SSN \rightarrow hair.

SSN⁺ = {SSN, hair} phone is missing

P22(SSN, phone)

✓

2. goal: Pick age \rightarrow hair

Person

P1(age, hair)

✓

P2(age, SSN, name, phone)

SSN⁺ \rightarrow {name, age} phone?

P21(SSN, name, age)

✓

P22(SSN, phone)

✓

SSN \rightarrow name, age ✓

age \rightarrow hair ✓

1st table, what is the hair color of this age \rightarrow 2 joins
In 2nd table, - - - From P1.

Design depends on the queries.

Q: If I have a big table and only 1 bad FD, should I decompose?

A: If you don't have many update, delete, insert, then you can live with that.

ex:

Student (sid, name, addr, dept)

Transcript (sid, cid, grade)

If I have this query too many times \rightarrow What is grades of Ayse?

Then this table gives faster results (redundant info but faster)
Transcript (sid, sname, cid, grade)