



Computer Programming I

Sorting Algorithms, Part 2

Merge Sort Algorithm

- Start with an unordered list of elements.
- Repeatedly break this list into roughly equal parts.
- Continue until each part consists of only a single element.
 - A single element is sorted!
- Merge each sorted part (starting from single elements).
 - Put each element in the proper position
- https://www.youtube.com/watch?v=XaqR3G_NVoo

Merge Sort Example

6 5 3 1 8 7 2 4

Merge Sort Example

6	5	3	1	8	7	2	4
---	---	---	---	---	---	---	---

6	5	3	1
---	---	---	---

8	7	2	4
---	---	---	---

6	5
---	---

3	1
---	---

8	7
---	---

2	4
---	---

6	5	3	1
---	---	---	---

8	7	2	4
---	---	---	---

5	
---	--

1	
---	--

7	
---	--

2	
---	--

5	6
---	---

1	3
---	---

7	8
---	---

2	4
---	---

Merge Sort Example

5	6
---	---

1	3
---	---

7	8
---	---

2	4
---	---

1			
---	--	--	--

2			
---	--	--	--

1	3		
---	---	--	--

2	4		
---	---	--	--

1	3	5	
---	---	---	--

2	4	7	
---	---	---	--

1	3	5	6
---	---	---	---

2	4	7	8
---	---	---	---

Merge Sort Example

1	3	5	6
---	---	---	---

2	4	7	8
---	---	---	---

1							
---	--	--	--	--	--	--	--

1	2						
---	---	--	--	--	--	--	--

1	2	3					
---	---	---	--	--	--	--	--

1	2	3	4				
---	---	---	---	--	--	--	--

1	2	3	4	5			
---	---	---	---	---	--	--	--

1	2	3	4	5	6		
---	---	---	---	---	---	--	--

1	2	3	4	5	6	7	
---	---	---	---	---	---	---	--

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

Merge Sort Implementation

```
def merge_sort(values, fromIndex, toIndex):  
    if fromIndex < toIndex:  
        #Find midpoint in the partition  
        mid = (fromIndex + toIndex) // 2  
  
        #Recursively sort left and right partitions  
        merge_sort(values, fromIndex, mid)  
        merge_sort(values, mid + 1, toIndex)  
  
        #Merge left and right partition in sorted order  
        merge(values, fromIndex, mid, toIndex)
```

Merge Sort Implementation

```
def merge(values, fromIndex, mid, toIndex):  
    #Size of merged partition  
    merged_size = toIndex - fromIndex + 1  
    merged_values = []          #Temporary list for merged values  
  
    for i in range(merged_size):  
        merged_values.append(0)  
  
    merge_pos = 0               #Position to insert merged number  
    left_pos = fromIndex       #Initialize left partition position  
    right_pos = mid + 1        #Initialize right partition position
```


Merge Sort Implementation

```
#Add smallest element from left or right partition to merged values
```

```
while left_pos <= mid and right_pos <= toIndex:
```

```
    if values[left_pos] < values[right_pos]:
```

```
        merged_values[merge_pos] = values[left_pos]
```

```
        left_pos = left_pos + 1
```

```
    else:
```

```
        merged_values[merge_pos] = values[right_pos]
```

```
        right_pos = right_pos + 1
```

```
    merge_pos = merge_pos + 1
```

```
#If left partition is not empty, add remaining elements to merged values
```

```
while left_pos <= mid:
```

```
    merged_values[merge_pos] = values[left_pos]
```

```
    left_pos = left_pos + 1
```

```
    merge_pos = merge_pos + 1
```

Merge Sort Implementation

```
#If right partition is not empty, add remaining elements to merged values  
while right_pos <= toIndex:
```

```
    merged_values[merge_pos] = values[right_pos]
```

```
    right_pos = right_pos + 1
```

```
    merge_pos = merge_pos + 1
```

```
#Copy merge number back to values
```

```
merge_pos = 0
```

```
while merge_pos < merged_size:
```

```
    values[fromIndex + merge_pos] = merged_values[merge_pos]
```

```
    merge_pos = merge_pos + 1
```

Quick Sort

- In Quicksort we repeatedly partition the list into low and high parts (each part unsorted),
 - move large elements to one side of the list and small ones to the other
- Then recursively sort each of those parts.
- To partition the list, quicksort chooses a pivot to divide the values into low and high parts.
- <https://www.youtube.com/watch?v=ywWBy6J5gz8&t=202s>

Quick Sort – Algorithm

1. Choose a **Pivot** Element – This element *will be* put somewhere in the “middle” of the list.
 - The left side of the list will hold all the elements smaller than or equal to the pivot element (low/left partition)
 - The right side will hold all elements larger than the pivot (high/right partition)
 - The pivot location may depend on the algorithm used. We'll use the middle element of a sub-list as the pivot.
2. Partition the current list in two parts:
 [elements \leq pivot] [elements $>$ pivot]
3. Recursively quicksort the left and right partitions (back to step 1)

How to partition?

- The partitioning algorithm uses two index variables `leftIndex` and `rightIndex`, initialized to the left and right sides of the current elements being sorted.
- As long as the value at index `leftIndex` is less than the pivot value, the algorithm increments `leftIndex`, because the element should remain in the low partition.
- Likewise, as long as the value at index `rightIndex` is greater than the pivot value, the algorithm decrements `rightIndex`, because the element should remain in the high partition.

How to partition?

- Then, if `leftIndex >= rightIndex`, all elements have been partitioned, and the partitioning algorithm returns `rightIndex`, which is the index of the last element in the left partition.
- Otherwise, the elements at `leftIndex` and `rightIndex` are swapped to move those elements to the correct partitions.
- The algorithm then increments `leftIndex`, decrements `rightIndex`, and repeats.

Quicksort - Partition

Initial list: v

9	40	82	69	90	36	87
---	----	----	----	----	----	----



v[li]
<
pivot?
move



v[ri]
>
pivot?
move

Pivot shown in red
li = left index
ri = right index

9	40	82	69	90	36	87
---	----	----	----	----	----	----



v[li]
<
pivot?
move



v[ri]
>
pivot?
STOP

Quicksort - Partition

9	40	82	69	90	36	87
---	----	----	----	----	----	----



v[li]
<
pivot?
STOP



v[ri]
>
pivot?
STOP

Swap 82 and 36

9	40	36	69	90	82	87
---	----	----	----	----	----	----




v[li]
<
pivot?
move



v[ri]
>
pivot?
move

Quicksort - Partition

9	40	36	69	90	82	87
---	----	----	----	----	----	----


li == ri

Partition point found

9	40	36	69
---	----	----	----

Left/low partition

90	82	87
----	----	----

Right/high partition

Repeat the process with the left partition

Repeat the process with the right partition

Quicksort

Index values	0	1	2	3	4	5	6
Initial list	9	40	82	69	90	36	87
	9	40	36	69	90	82	87
	9	40	36	69	90	82	87
	9	36	40	69	82	90	87
	9	36	40	69	82	90	87
	9	36	40	69	82	90	87
	9	36	40	69	82	90	87
Sorted	9	36	40	69	82	87	90

Pivot shown in red

Quick Sort Implementation

```
def quick_sort(values, fromIndex, toIndex):  
    splitPoint = 0  
    #Base case: If there are 1 or zero items to sort, partition  
    #is already sorted  
    if fromIndex < toIndex:  
        #Partition the data within the list. Value splitPoint  
        #returned from partitioning is location of last item in  
        #low partition  
        splitPoint = partition(values, fromIndex, toIndex)  
  
        #Recursively sort low partition (fromIndex to splitPoint)  
        #and high partition (splitPoint + 1 to toIndex)  
        quick_sort(values, fromIndex, splitPoint)  
        quick_sort(values, splitPoint + 1, toIndex)
```

Quick Sort Implementation

```
def partition(values, fromIndex, toIndex):  
    # Pick middle element as pivot  
    midpoint = fromIndex + (toIndex - fromIndex) // 2  
    pivot = values[midpoint]  
  
    # Initialize variables  
    done = False  
    leftIndex = fromIndex  
    rightIndex = toIndex  
    while not done:  
        # Increment leftIndex while values[leftIndex] < pivot  
        while values[leftIndex] < pivot:  
            leftIndex = leftIndex + 1  
  
        # Decrement rightIndex while pivot < values[rightIndex]  
        while pivot < values[rightIndex]:  
            rightIndex = rightIndex - 1
```

Quick Sort Implementation

```
# If there are zero or one items remaining, all numbers are  
# partitioned. Return rightIndex  
if leftIndex >= rightIndex:  
    done = True  
else:  
    # Swap values[leftIndex] and values[rightIndex]  
    # update low and high  
    swap(values, leftIndex, rightIndex)  
    leftIndex = leftIndex + 1  
    rightIndex = rightIndex - 1  
  
return rightIndex
```