

# Image Caption Generation

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## Dataset

### ArtEmis Dataset

[ArtEmis](#) (Art Emotions) is derived from the WikiArt collection of artworks, where each image is paired with human-written captions expressing emotions, interpretations, or descriptions of the artwork.

For this assignment, you will use a subset of the dataset for **image caption generation** — predicting a short, meaningful textual caption for each artwork.

- **Dataset Characteristics:** Multimodal (Image  $\rightarrow$  Text)
- **Feature Type:** Pixel values (images), text (captions)
- **# Instances:** ~80,000 (subset 5–10k recommended as per your system permits)
- **Text Features:** Emotion-rich captions (short textual descriptions)
- **Target Variable:** Generated caption (sequence of words)

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## Objectives

- Understand and implement CNNs for image feature extraction without pre-training.
  - Use LSTMs for sequence generation (caption generation).
  - Explore and compare different text embedding strategies (TF-IDF and pre-trained embeddings).
  - Combine CNN and LSTM architectures for a multimodal generation task.
  - Use Transformer for image caption generation
  - Practice clean experimental reporting, visualization, and interpretation.
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## Deliverables

Submit a **ZIP folder** containing:

1. **Jupyter Notebook (ArtEmis\_Caption\_Generation.ipynb)**
    - Contains all code, outputs, and visualizations.
    - Written as a clear report with headings and explanations along with loss curves.
  2. **Trained Model Files** (.h5 or .pt)
  3. **README File** with:
    - Setup and execution instructions
    - Dataset preprocessing steps
  4. .py files used for training, pre-processing, evaluation.
  5. Report
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## Tasks / Outline

### 1. Introduction

- Briefly describe the ArtEmis dataset and its focus on emotional art captions.
  - Clearly define the goal: **Generate captions from image inputs** using a
    - CNN + LSTM model trained from scratch.
    - Transformer for image + text
  - Mention that the task will compare text embeddings and sequence modeling variants.
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### 2. Exploratory Data Analysis (EDA)

- Explore the dataset:
  - Number of samples, average caption length, vocabulary size



- Common words and bigrams in captions
  - Visualize sample images with their ground-truth captions
  - Identify caption diversity and patterns across artwork styles or emotions.
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### 3. Preprocessing

#### Images

- Resize all images to a fixed shape (e.g., 128×128 or 224×224).
- Normalize pixel values to [0, 1].

#### Text

- Convert captions to lowercase, remove punctuation, tokenize.
  - Build a vocabulary (limit vocabulary size to ~5,000–10,000).
  - Add *start* (<start>) and *end* (<end>) tokens.
  - Pad or truncate sequences to uniform length.
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### 4. Text Representation

Implement and compare **three text embedding strategies**:

#### 1. TF-IDF Embeddings

- Compute TF-IDF vectors for words and use them as input to the LSTM.
- Optionally reduce dimensionality (e.g., with PCA) to manage model size.

#### 2. Pre-Trained Word Embeddings

Choose **any two** from the following:



- **Word2Vec**
- **GloVe**
- **FastText**

Use them as **non-trainable embeddings** initialized from pre-trained weights.

For each embedding type:

- Report vocabulary size, embedding dimension, and token coverage.
  - Compare generated captions qualitatively and quantitatively (BLEU, ROUGE, etc.).
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## 5. Model 1 – CNN + LSTM Network

### Architecture

- Use a custom CNN (from scratch) as the image encoder to extract features (avoid transfer learning) with multiple convolutional and pooling layers.
- Output a compact image feature vector (e.g., 256D).
- Build an LSTM or BiLSTM network to generate captions word by word.
- Inputs: Previous word embedding + image feature vector.
- Outputs: Next word in the sequence.
- Experiment with:
  - Different embedding dimensions
  - Hidden sizes (e.g., 128, 256)
  - Dropout layers

### Training Objective

- Use **Categorical Cross-Entropy Loss** (mask padding tokens).



- Optimize using Adam or SGD optimizers.
- Track training and validation loss per epoch.

### **Evaluation**

- Generate captions for test images.
  - Compare generated vs. reference captions using metrics like BLEU, ROUGE, or CIDEr.
  - Display a few sample images with their predicted captions.
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## **6. Model 2 – Vision-Language Transformer**

### **Architecture**

- Replace both CNN and LSTM with Transformer-based components.
  - Use:
    - A Vision Transformer (ViT) or custom Patch Transformer Encoder for images.
    - A Text Transformer Decoder for caption generation.
  - The image is divided into patches, which are embedded and processed by a transformer encoder.
  - The decoder attends to both previously generated tokens and image embeddings to produce captions.
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### **Training Objective**

- Use Categorical Cross-Entropy Loss to predict the next token in the sequence.
- Train end-to-end, allowing both visual and textual embeddings to be optimized together.



- Experiment with:
    - Sequence lengths
    - Number of transformer layers
    - Attention heads
    - Embedding dimensions
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## Evaluation

- Compare results with the CNN + LSTM baseline in terms of:
    - Caption fluency and relevance
    - BLEU, METEOR, or CIDEr scores
  - Visualize attention maps to interpret how visual patches influence generated words.
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## 6. Training and Evaluation

- Split the dataset into training, validation, and test sets.
- Use **categorical cross-entropy loss** and **Adam optimizer**.
- Apply **early stopping** to prevent overfitting.

## Evaluation Metrics

- BLEU (Bilingual Evaluation Understudy)
- ROUGE (Recall-Oriented Understudy for Gisting Evaluation)
- METEOR (optional)
- Qualitative evaluation: generated captions for sample images.

## Comparisons



- TF-IDF vs. Word2Vec vs. GloVe/FastText embeddings
  - LSTM vs. BiLSTM architectures
  - Compare training loss curves, BLEU scores, and caption diversity between models.
  - Discuss the effect of embedding choice on model performance.
  - Reflect on how the Transformer handles long-range dependencies compared to LSTM.
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## 7. Analysis & Discussion

- How does embedding choice affect caption fluency and accuracy?
  - Which model setup generates the most diverse or coherent captions?
  - Does the model learn emotional or stylistic cues from artworks?
  - Discuss failure cases (e.g., repetitive or incomplete captions).
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## 8. Conclusion

Summarize:

- Key insights from model performance
  - Effect of embedding choice and architecture design
  - Challenges in training from scratch
  - Ideas for improving generation quality
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## 9. References

- ArtEmis Dataset: Achlioptas et al., *CVPR 2021*
- Pre-trained embeddings: Word2Vec, GloVe, FastText documentation



- BLEU/ROUGE evaluation metrics references
  - Any external tutorials or documentation used
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## Important Notes

- Libraries are allowed
  - Code and explanations must be original — plagiarism or AI-generated work is not permitted.
  - Use comments and markdowns to explain every decision.
  - Visualize generated captions clearly.
  - Proper citations are mandatory.
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## Evaluation

During evaluation, a **new subset of unseen artwork images** will be released.

Your task will be to:

- Generate captions for the new images using your trained model.
- Display top-3 generated captions per image.