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On linear layouts of graphs with SAT

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Abstract

During the course of this research project an application to compute linear layouts of graphs was created. This application provides a wide variety of options and constraints to force the resulting layout in the desired shape. The application in based on python and flask. The actual computing is done by creating SAT instances from the given problem and pass those instances to the lingeling SAT solver. The application provides a extensivly documented REST API to accept problem instances. This API is currently only used by the frontend located at http://algo.inf.uni-tuebingen.de/linearlayouts/ . But in principle everybody is able to implement their own frontend to accommodate their needs.

Zusammenfassung

Im Verlauf dieses Forschungprojekts wurde eine Anwendung entwickelt welche lineare Layouts von graphen berechnen kann. Die Anwendung stellt eine große Bandbreite an Optionen und Bedingungen zur verfügung mithilfe derer es möglich ist das errechnete lineare Layout in die gewünschte Form zu zwingen. Die Anwendung basiert auf Python und Flask. Die Errechnung des linearen Layouts basiert darauf, dass die gegebene Probleminstanz zuerst in ein SAT Problem umgewandelt wird. Danach wird die SAT Instanz an den SAT Solver "lingeling" übergeben. Das Ergebniss wird zurück umgewandelt und dem Benutzer zur Verfügung gestellt. Die Anwendung stellt eine vollständig dokumentierte REST API zur Verfügung um Probleminstanzen anzunehmen. Diese Schnittstelle wird zur Zeit nur von der Frontend http://algo.inf.uni-tuebingen.de/linearlayouts/ verwendet. Doch im Prinzip kann jede Person ein eigenes, an ihre speziellen Bedürfnisse angepasstes, Frontend erstellen.

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Chapter 1

Motivation

This the goal of this application is to find linear layouts from given graphs under the restriction of various constraints. There is an already existing tool implemented by [Pup] . But this tool is limited to finding general linear layouts with no constraints regarding individual pages or even individual graph elements. The problem is that many proofs and scientific papers about linear layouts require a specific structure of the resulting linear layout. If such a proof has to be investigated with the previous mentioned tool, it would take a lot of time to create even the graph which produces a linear layout as required. This problem is intensified by the fact that for most graphs more than on linear layout exists of which only one is interesting.

This application will help to omit this graph finding phase and directly restrict an arbitrary graph to the desired properties. For this reason the a dedicated frontend will be developed which allows graph manipulation and attaching constraints to all graph elements. This application will then accept a problem definition consisting of the graph, the arguments for the linear layout and a list of additional constraints.

To make this application even more useful there will be no dependencies on the used frontend. Meaning anybody can use the server from whichever frontend suits their need as long as they honor the api contract.

Chapter 2

Technology basics

This chapter describes the technology and the frameworks used in the project.

2.1 REST APIs

REST Interfaces or APIs are meant to provide an interface to other programs. Instead of the HTML[1] files normal webservices deliver, a webservice providing a REST interface delivers files in the JSON[2] format. Like a normal webserver is providing different sites at different sub URLs, see the footnotes, a REST service can also provide different data at different sub URLs.

In addition to different URLs a REST API can also use the different HTTP verbs[3] to do different things. Per convention a request with the verb GET does read some data from the server, whereas a request with the verb POST does write data to the server. One combination of sub URL and HTTP verbs does identify a so called endpoint.

Each endpoint in a REST service has a specified JSON format in which it accepts data and a specified format in which it returns data.

The external Interface this application provides is a REST interface. For an detailed information which endpoints are available and which format they use see the root page of the server

2.2 Python

This application is implemented in python.

"Python is an easy to learn, powerful programming language. It has efficient high-level data structures and a simple but effective approach to object-oriented programming. Python's elegant syntax and dynamic typing, together with its interpreted nature, make it an ideal language for scripting and rapid application development in many areas on most platforms.

The Python interpreter and the extensive standard library are freely available in source or binary form for all major platforms from the Python Web site, https://www.python.org/, and may be freely

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[1] https://en.wikipedia.org/wiki/HTML
[2] https://en.wikipedia.org/wiki/JSON
[3] https://en.wikipedia.org/wiki/Hypertext_Transfer_Protocol#Request_methods

distributed. The same site also contains distributions of and pointers to many free third party Python modules, programs and tools, and additional documentation.

The Python interpreter is easily extended with new functions and data types implemented in C or C++ (or other languages callable from C). Python is also suitable as an extension language for customizable applications." [Fou19]

2.3 Flask and Flask-restplus

Flask is a python framework which enables a Developer to write REST services with python.

Flask-restplus is another framework which enables the Developer to easily define the data format of each REST endpoint created.

With these two Frameworks the external interface of the service is implemented. The implementation of the interface is mainly done in the class *App*

2.4 SQlite

SQLite is a database engine which does not need a server. Instead all the work a database server normally does is inlcuded in the SQLite client. SQLite does provide a fully featured SQL[4] interface. The data is stored in a single file in the SQLite file format. Typically those files have the extension *.db*. Python already contains such a SQLite client. The application stores the computed results in such a SQLite database.[5] The class encapsulating the database access from the rest of the application is *DataStore*

2.5 Boolean satisfiability problem

The boolean satisfiability problem is the problem of finding a interpretation which satisfies a given boolean formula. For simple formulas such as the following, this is rather trivial.

$$A \wedge B \wedge C$$

But as the formula grows and introduces more variables and clauses the time to find a satisfying interpretation of the formula grows. The "boolean satisfiability problem" or short "SAT Problem" is NP-complete.

SAT Solvers try to find an interpretation of a given formula by using computers and optimized algorithms. There are also competitions for the best SAT Solver. In order to make things more easy the formula for a solver hast to be in the conjunctive normal form (CNF).[6] Small problem instances contain roughly 3000 variables and 80000 CNF clauses and are solved within 0.1 seconds.

In order to achieve the Goals mentioned in *Motivation*, the application does formulate the problem as a boolean formula and passes this formula to the SAT Solver.

The translation of the problem in a boolean formula and back is task of the class *SatModel*. The actual solving of this formula is passed to the SAT Solver lingeling

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[4] https://en.wikipedia.org/wiki/SQL
[5] https://www.sqlite.org/index.html
[6] https://en.wikipedia.org/wiki/Conjunctive_normal_form

2.6 Setup project

This project requires the *lingeling* binary present on the system. Currently lingeling does only support UNIX operating systems. So the application only runs in UNIX environments.

But also unix like environments like cygwin[7] or Windows Subsystem for Linux (WSL)[8] are supported to run lingeling as the application itself does not depend on unix but only on python which is platform independent.

For detailed information on how to build and run the project see the README.md file

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[7] https://www.cygwin.com/
[8] https://en.wikipedia.org/wiki/Windows_Subsystem_for_Linux

Chapter 3

Theoretical baseline

This chapter first describes linear layouts and the different types of linear layouts. Afterwards it provides insight in how the constraints from the linear layout itself and the additional constraint are encoded with SAT

3.1 Linear layout

A linear layout of a graph simply states that all nodes are on one line. The interesting thing on such a layout is the order in which the nodes appear and how the edges are placed around this nodes. The two linear layouts used in the application are book embeddings and queues. The sample graph to demonstrate this two layouts will be the Goldner–Harary graph shown in this image.
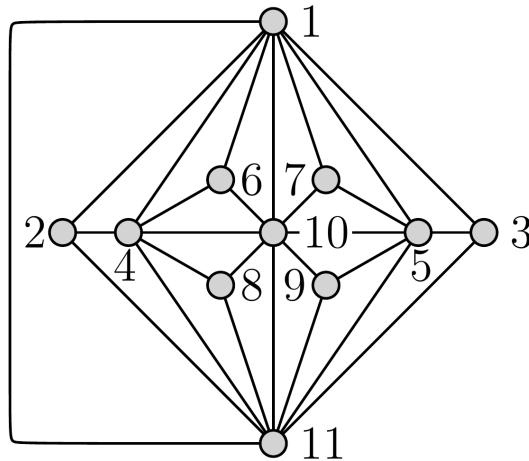

Fig. 3.1: Goldner–Harary graph

3.1.1 Book embedding

A book embedding layout in quantified in the number of pages or colors an graph needs for its book embedding. The directive of the book embedding is, that no two edges of the same color intersect.

Therefor all edges represent a stack. The given graph Fig. 3.1 as book embedding can be seen in Fig. 3.2.
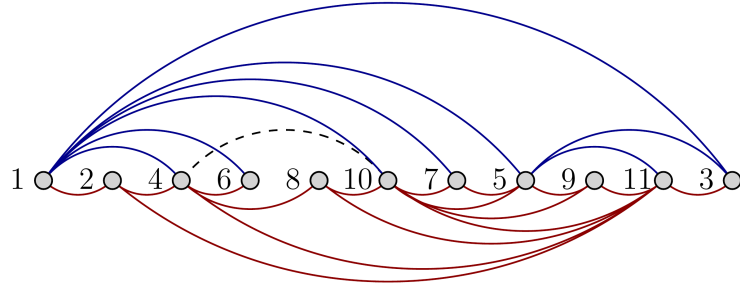
Fig. 3.2: Goldner–Harary graph as book embedding / stack

3.1.2 Queue embedding

A queue embedding layout of the graph shown in Fig. 3.1 is show in Fig. 3.3. A Queue embedding is subject to the constraint that not two edges of one color do completely enclose each other.
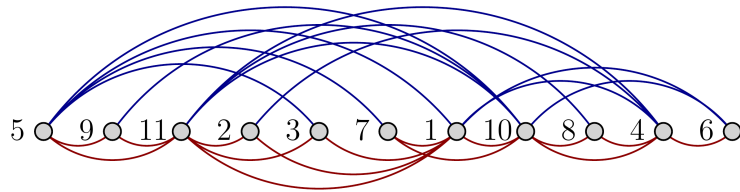

Fig. 3.3: Goldner–Harary graph as queue

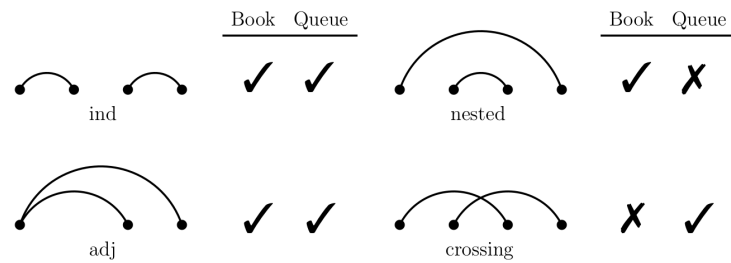Fig. 3.4 shows a summary on allowed patterns within linear layouts.

Fig. 3.4: Summary on linear layouts taken from [Wol18]

3.2 Encoding with SAT

The in *Motivation* defined problem gets hard to solve because of so many possible solutions. The application translates this problem to a SAT problem and let it be solved by specialized SAT solvers. This chapter describes how the Problem is encoded with SAT.

3.2.1 Linear layout

The basic parameters of a layout like node order or edge assignment are encoded according to chapter two of this paper [BKZ15] .

Let $G = (E, V)$ with $V = \{v_1, v_2, \cdots, v_n\}$ and $E = \{e_1, e_2, \cdots, e_m\}$. p denotes the index of the page and P denotes all pages.

The node order is then defined as $\sigma(v_i, v_j) \quad \forall v_i, v_j \in V$ with pairwise distinct i,j. For σ then holds asymmetry and transitivity.

The edge to page assignment of edge i to page p is denoted by $\phi_p(e_i)$. Clearly has $\phi_1(e_i) \vee \cdots \vee \phi_p(e_i) \forall e_i \in E$ to hold to assign each edge to at least one page.

For each STACK page p the following clauses are added to forbid alternating patterns of vertexes of e1 and e2 if all vertexes are distinct.

$$\phi_p(e_1) \wedge \phi_p(e_2) \implies \neg(\sigma(e_1n_i, e_2n_k) \wedge \sigma(e_2n_k, e_1n_j) \wedge \sigma(e_1n_j, e_2n_l))$$
$$\text{w.r.t:}$$
$$\{e_1n_i, e_1n_j\} \in V(e_1), \{e_2n_k, e_2n_l\} \in V(e_2)$$
$$e_1n_i \neq e_1n_j \neq e_2n_k \neq e_2n_l$$
$$\forall e_1 \neq e_2 \in E$$

For QUEUE pages q there are clauses added which forbid enclosing patterns.

$$\phi_q(e_1) \wedge \phi_q(e_2) \implies \neg(\sigma(e_1n_i, e_2n_k) \wedge \sigma(e_2n_k, e_2n_l) \wedge \sigma(e_2n_l, e_1n_j))$$
$$\text{w.r.t:}$$
$$\{e_1n_i, e_1n_j\} \in V(e_1), \{e_2n_k, e_2n_l\} \in V(e_2)$$
$$e_1n_i \neq e_1n_j \neq e_2n_k \neq e_2n_l$$
$$\forall e_1 \neq e_2 \in E$$

3.2.2 Page constraints

In addition to the type, each page can have an additional constraint.

The first of such constraints is the DISPENSABLE constraint which does restrict the order of each vertex to at most one. The coresponding clauses are:

$$\neg\phi_p(e_1) \vee \neg\phi_p(e_2)$$
$$\text{w.r.t:}$$
$$V(e_1) \cap V(e_2) \neq \{\} \quad \forall e_1, e_2 \in E$$

The second of such constraints is FOREST. Which enforces, that the graph on page p is acyclic. To encode this new variables have to be introduced. One type for the parent relationship and one

for the ancestor relationship. The ancestor relationship is used to forbid cyclic graphs. The detailed formulation is described in chapter 2.1 of this paper [BKZ15].

The TREE constraint is also described there. It basically adds the a additional variable to indicate if one node is the root of the tree and allows only one root.

The implementation of this constraints is located in `add_page_constraints()`

3.2.3 Additional constraints

The application supports a wide variety of additional constraints the user can impose on the given problem instance. In the following the there will be a short description of the constraint in text form followed by the logical projection. The title will represent the constraint type accepted by the API. The implementation to this constraints is found in `add_additional_constraints()`.

EDGES_ON_PAGES

This constraint forces the given edges E^* to a given pages P^*. The implementation is simply

$$\bigwedge_{p \in P^*} \phi_p(e) \quad \forall e \in E^*$$

EDGES_SAME_PAGES

This constraint will force the given edges $e_1, \cdots, e_n$ to the same page. Because there is no general way to formulate this constraint in CNF for an arbitrary number of pages it is only implemented up to four pages. The general formulation is as follows

$$\bigvee_{p \in P} (\phi_p(e_{i-1}) \wedge \phi_p(e_i)) \quad \forall i \in [2, n]$$

EDGES_DIFFERENT_PAGES

This constraint forces all given edges E^* on different pages. It will create unsolvable instances if more edges are given than there are pages. In this case the application will throw an error. The constraint is paiwise implemented as

$$\bigwedge_{p \in P} (\neg\phi_p(e_i) \vee \neg\phi_p(e_j)) \quad \forall e_i \neq e_j \in E^*$$

EDGES_TO_SUB_ARC_ON_PAGES

This constraint is rather special to the proof sketched in [Yan86]. According to the description in the proof this constraint enforces the following. If an edge has one endpoint in one of two specifically designated nodes s, t and the other endpoint between them, then it is restricted to certain pages P^*. The logical formula is:

$$\bigvee_{p \in P^*} (\phi_p(e)) \quad \forall e \in E \mid V(e) \cap \{s, t\} \neq \{\} \wedge V(e) \in [s, t]$$

EDGES_FROM_NODES_ON_PAGES

The current constraint is an less strict version of the *EDGES_TO_SUB_ARC_ON_PAGES* constraint. Given this constraint all edges from the given nodes V^* to the given pages P^*.

$$\bigvee_{p \in P^*} (\phi_p(e)) \quad \forall e \in E \mid V(e) \cap V^* \neq \{\}$$

NODES_PREDECESSOR

This and the following constraints apply to nodes. The current constraint does require that one set of nodes V_1^* is before an other set of nodes V_2^*.

$$\sigma(n_i, n_j) \quad \forall n_i \in V_1^*; n_j \in V_2^*$$

NODES_ABSOLUTE_ORDER

Whereas the previous constraint is only able to encode relative order, does this constraint encode absolute order. So no node is in between the given node order $n_1, \cdots, n_j$ and they apear in exactly this order. The logical encoding uses exactly this trick:

$$\sigma(n_{i-1}, n_i) \wedge \left(\bigwedge_{n_x \in V \mid n_i \neq n_x \neq n_{i-1}} \neg \left(\sigma(n_{n-1}, n_x) \wedge \sigma(n_x, n_i) \right) \right) \quad \forall i \in [2, j]$$

NODES_REQUIRE_PARTIAL_ORDER

A series of *NODES_PREDECESSOR* constraint with one node in each set can be expressed with this constraint. It simply enforces, that the nodes appear in the order $n_1, \cdots, n_j$. The formula is as simple as it can get with:

$$\bigwedge_{i \in [2, j]} \sigma(n_{i-1}, n_i)$$

NODES_FORBID_PARTIAL_ORDER

This encodes the opposite of *NODES_REQUIRE_PARTIAL_ORDER*. The constraint is satified as soon as two of the nodes switch their relative position. The formula is:

$$\neg \left(\bigwedge_{i \in [2, j]} \sigma(n_{i-1}, n_i) \right)$$

NODES_CONSECUTIVE

This constraint is similar to *NODES_ABSOLUTE_ORDER* with two nodes but without regarding the particular order of the nodes. This constraint only requires that between the two given nodes n_1, n_2 is no other node. The corresponding formula is.

$$\sigma(n_1, n_2) \Leftrightarrow \sigma(n_i, n_1) \vee \sigma(n_2, n_i) \quad \forall n_i \in V \mid n_2 \neq n_i \neq n_1$$

Chapter 4

Implementation

This chapter describes the architecture of the application and highlights implementation details. It will first describe how the different application components work together. Then the signatures and the code comments of the core classes are included and at the end of this chapter there will be some considerations regarding the performance optimization.

4.1 Architecture

The three main classes are:

- *App*: This class contains the interface definition to the outside world via the REST API.

- *SatModel*: This class contains the logic to generate the clauses for the sat solver.

- *SolverInterface*: This class wires the two previously mentioned classes together.

The following diagram shows how one request flows through the system. The schema flask validates against is created in *create_app()*
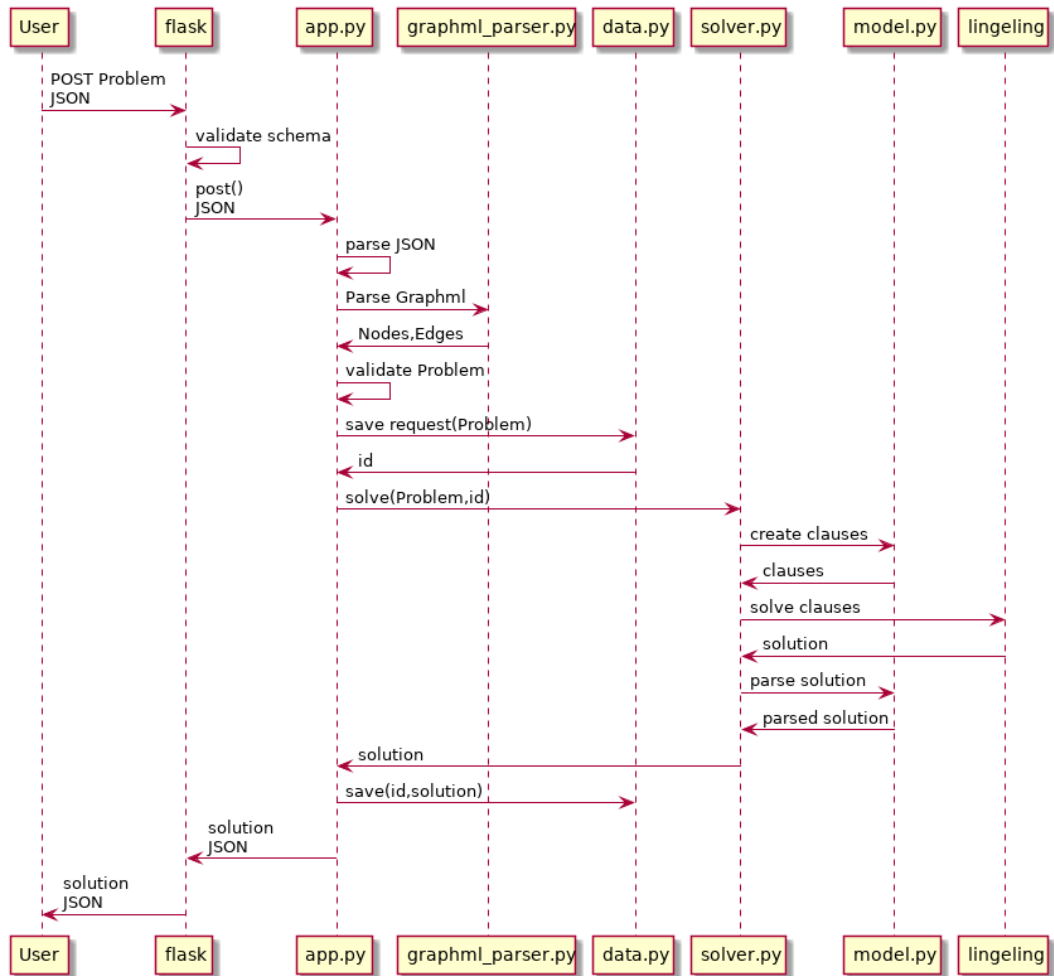
Fig. 4.1: Flow diagram of one request

4.2 Core Classes

class be.app.**App**

    This class creates the entry point for the REST interface. The entry point will perform all marshalling and un marshalling operations. Also will the entry point provide some basic sanitation to the given input. The non complete list of checks are:

- Duplicated ids

- Graph size withing fairness bounds

- Structural verification

create_app() → flask.app.Flask

    Initialises the the app and the api object. It adds all the provided endpoints. Also does this method define the documentation for the swagger UI and the definitions for the api object structure.

> **Returns** the app object

`data_path = 'data.db'`
> The path used to store the database file

`class` `be.model.`**`SatModel`**(*pages, edges: be.custom_types.Edge, node_ids: List[int],*
> *constraints*)
>
> This class is responsible for generating the clauses corresponding to the given Problem instance.

`add_additional_constraints()`
> Adds the clauses to encode the given additional constraints

`add_page_assignment_clauses()`
> Ensures that each edge is on at least one page.

`add_page_constraints()`
> Generates the clauses to encode the page type as well as additional page constraints like DISPERSIBLE or TREE

`add_relative_node_order_clauses()`
> Ensures that asymmetry and transitivity are encoded

`get_page_assignments_result()` → List[be.custom_types.PageAssignment]
> Reads the result and translates it back to edge to page assignments
>
> > **Returns** The list of page assignments

`get_vertex_order_result()` → List[str]
> Reads the result and translates it back into a the computed order of vertexes
>
> > **Returns** the order of the vertexes

`parse_lingeling_result`(*dimacs_string*)
> Takes the result string from lingeling and parses it back into the model.
>
> > **Parameters** **`dimacs_string`** – the result string from lingeling

`to_dimacs_str()`
> generates a string in DIMACS format encoding all the clauses. Out to conserve memory, the clauses will be deleted after the string generation.

The following module is the glue code between the *App*: class which handles the external interface and the *SatModel*: class which does the heavy lifting in creating the SAT clauses and calling the SAT solver.

`class` `be.solver.`**`SolverInterface`**
> This class provides an simplified interface to the *SatModel*:

`classmethod solve`(*nodes, edges, pages, constraints, entity_id*) →
> be.custom_types.SolverResult
>
> Initialises the class :class *.SatModel*: with the given parameters and triggers the clause generation. Afterwards the created clauses are send to the SAT solver and the result is parsed back and returned.
>
> > **Parameters**
> >
> > - **`nodes`** – the nodes/vertexes of the problem instance
> >
> > - **`edges`** – the edges of the problem instance
> >
> > - **`pages`** – the pages of the problem instance

- **constraints** – the constraints of the problem instance

- **entity_id** – the id of the problem instance. This is used to wrap any exception in an `IdRelatedException` in order to pass the id to the handling method.

   Returns the solved result of the problem instance

4.3 Auxiliary classes

The parsing of the graphml string happens on a low level xml basis without constructing a graph. The only validation will be to check if the nodes referenced by the edges are actually present.

   As id are taken from the following hierarchy: Userdata at the xml element, id of the xml element, for edges generated from <source node>-<target node>. This hierarchy ensures that the API can use a wide variety of valid graphml as input.

   The following interface is provided:

be.graphml_parser.**get_nodes_and_edges_from_graph** (*string: str) -> (typing.List[str], typing.List[be.custom_types.Edge]*)

   Obtains node and enge information from a string containing a graphml definition. For the ids or the elements, the userdata is used first. If there is none then the xml ids of the elements are used. For edges the xml id is not mandatory and therefor if neither userdata nor xml id is present for edges then the used ids are consisting of *<SOURCE_NODE_ID>-<TARGET_NODE_ID>*

   Param the graphml string

   Returns the lists of node ids and edges

class be.data.**DataStore**(*data_path*)

   This class abstracts the underlying data store from the application. It provides methods to perform insert new data and to obtain already stored data

   get_all (*limit=20*, *offset=0*)

   This method obtains multiple stored elements. Also provides parameters to implement pagination

   Parameters

- **limit** – the number of elements to return at max

- **offset** – the offset where to start

   Returns a list of elements

   get_by_id(*elem_id*)

   Obtains an element by id

   Parameters elem_id – the element id

   Returns the element or None if the id was not found.

   insert_new_element(*element*)

   Inserts a new elements into the data store and return the inserted element including the generated id

Parameters **element** – the element to store

Returns the stored element

update_entry(*elem_id*, *element*)
    Updates the entry with the given id to contain the new contents

Parameters

- **elem_id** – the element id

- **element** – the new element content

Returns the updated element

4.4 Performance Analysis

This chapter sheds light on the particular hot spots of the application regarding technical optimization.

The Following snippet shows the time the python interpreter needed for the different methods. This was measured by the ProfilerMiddleware[9] of werkzeug[10]:

```
PATH: '/embeddings'
        2387712 function calls (2381351 primitive calls) in 4.810␣
→seconds

  Ordered by: internal time, call count
  List reduced from 617 to 30 due to restriction <30>

  ncalls  tottime  filename:lineno(function)
    5059    1.163  {method 'poll' of 'select.poll' objects}
       1    0.868  ./SAT/server/be/model.py:72(static_to_dimacs)
       5    0.668  ./SAT/server/be/model.py:728(static_encode_page_
→constraint_stack)
       1    0.456  ./SAT/server/be/model.py:11(static_node_order_
→generation)
  395040    0.415  ./SAT/server/be/model.py:53(static_get_order_clauses)
  152353    0.375  {built-in method numpy.array}
   51485    0.154  ./SAT/server/be/utils.py:45(get_duplicates)
   51485    0.110  {method 'sort' of 'numpy.ndarray' objects}
  100865    0.094  {method 'tolist' of 'numpy.ndarray' objects}
  839228    0.069  {method 'append' of 'list' objects}
       1    0.068  ./SAT/server/be/solver.py:15(solve)
   51485    0.065  {method 'copy' of 'numpy.ndarray' objects}
   51485    0.046  site-packages/numpy/core/fromnumeric.py:815(sort)
  508258    0.0410 {built-in method builtins.len}
       1    0.036  {method 'translate' of 'str' objects}
       3    0.031  {method 'commit' of 'sqlite3.Connection' objects}
      12    0.030  {method 'replace' of 'str' objects}
   50097    0.018  {method 'extend' of 'list' objects}
   51485    0.013  site-packages/numpy/core/numeric.py:541(asanyarray)
    5040    0.011  {built-in method posix.write}
```

(continues on next page)

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[9] https://werkzeug.palletsprojects.com/en/0.15.x/middleware/profiler/#module-werkzeug.middleware.profiler
[10] https://werkzeug.palletsprojects.com/en/0.15.x/

```
      1    0.003  ./SAT/server/be/solver.py:57(_call_lingeling_with_
↪string)
 3814/38   0.003  copy.py:132(deepcopy)
      1    0.003  ./SAT/server/be/model.py:359(add_page_constraints)
     21    0.002  {built-in method posix.read}
```

The *tottime* defines the time the interpreter ran this particular method without jumping to a sub method. The first line here *{method 'poll' of 'select.poll' objects}* is actually the waiting loop for the SAT solver to finish.

The first method which is self implemented is the call to *static_to_dimacs* which is why this method got fairly much attention in order to get optimized as much as possible. See sub section one of this chapter.
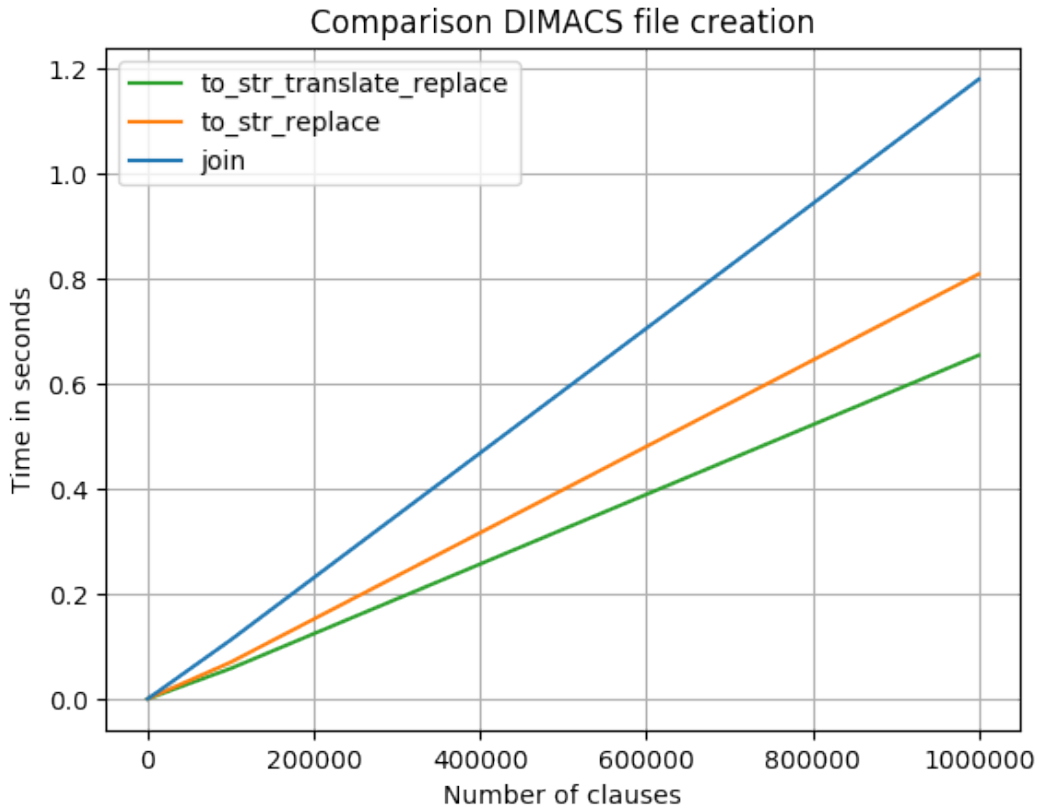
4.4.1 DIMACS File generation

Fig. 4.2: Performance comparison of dimacs file generation

The figure shows that the time complexity of the problem is already linear and optimization can only aim to make the line less steep. This problem is ultimately not hard, but for bigger instances string sizes of several gigabytes are not unheard of and this simply takes its time.

4.4.2 Algorithms before technology

The application iterates a lot over edges or nodes. Often to create permutations of two or more edges. More often than not these permutations are not sensitive to ordering. In early iterations of this application to create permutations of 3 edges, there would be three loops over all the edges like this:

```python
for i in a:
 for j in a:
    if i == j:
        continue
    for k in a:
        if k == i or k == j:
            continue
        # do something
        pass
```

The difference to the following more intelligent algorithm below should be obivous. Not only does the second algorithm only produce a fraction of the loops but the loops which are done are all used.:

```python
for i in range(len(a)):
    for j in range(i):
        for k in range(j):
            # do something
            pass
```

The difference in performance is show below.

Even with by optimising the slow algorithm to run a hundred times faster it would still not beat the intelligent algorithm. Therefor the lesson is clearly optimize algorithms before technology.

4.4.3 Ahead of time

Initially the application used a logic framework like sympy to generate the CNF clauses from the definition shown in *Encoding with SAT*. This works pretty well out of the box. The problem was that the transformation to a CNF form had to be done millions of times per problem. This was realy slow. The solution was to invest some brain power to formulate the CNF clauses and use the already transformed clauses during the application. The Downside of this is for example that certain constraints like *EDGES_SAME_PAGES* only work for as much pages as there are predefined clauses present.
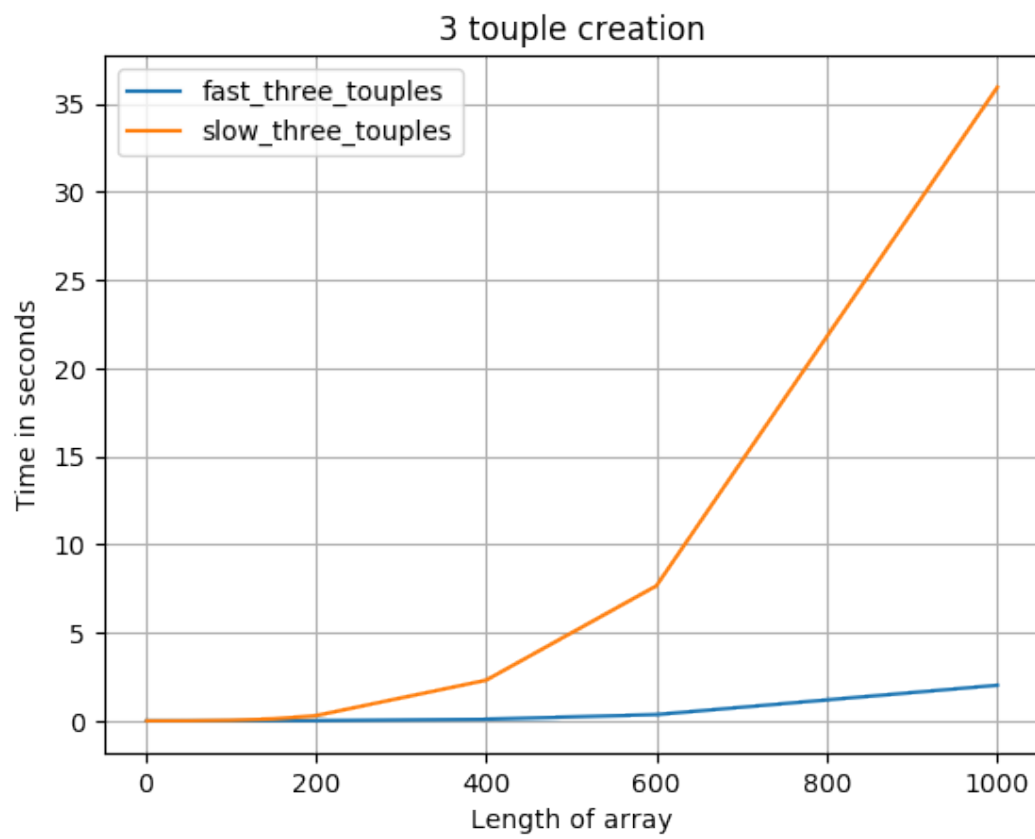
Fig. 4.3: Performance comparison of different 3-tuple generation

Chapter 5

Conclusion

The application clearly works for its intended use case. We where able to check several assumption from [Yan86] and found new insights into the problem or linear layouts. The Frontend integrates nicely with the given API and there are already several new ideas on what to check next with this application.

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