

# Image Transforms

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# Introduction

- In this lesson we will cover the following topics:
  - Spatial domain vs. transform domain
  - Eigen-Faces
  - Discrete Fourier Transform (DFT)
  - Discrete Cosine Transform (DCT)

# Introductory Examples

## --Basis theory

- Digital basis
  - 110      Binary scale
  - 10        **Decimal scale**
  - A         Hexadecimal scale
- Vector basis
  - Polar coordinates     $(p, \theta)$
  - **Euclidean coordinates**     $(x, y)$
  - $(10, 10) = 10*(1, 0) + 10*(0, 1) = 10*(1, 1) + 0*(1, -1)$
- Matrix basis

**Linear  
Algebra**

# Spatial domain vs. transform domain

- Spatial domain

120	72
40	24

=

120	

+

	72

+

	24

+

40	

- In spatial domain the image is “regarded” as a set of pixels each with an intensity; the intensity is a function  $x(n, m)$  of the position  $(n, m)$
- What is the basis here?

# Spatial domain vs. transform domain

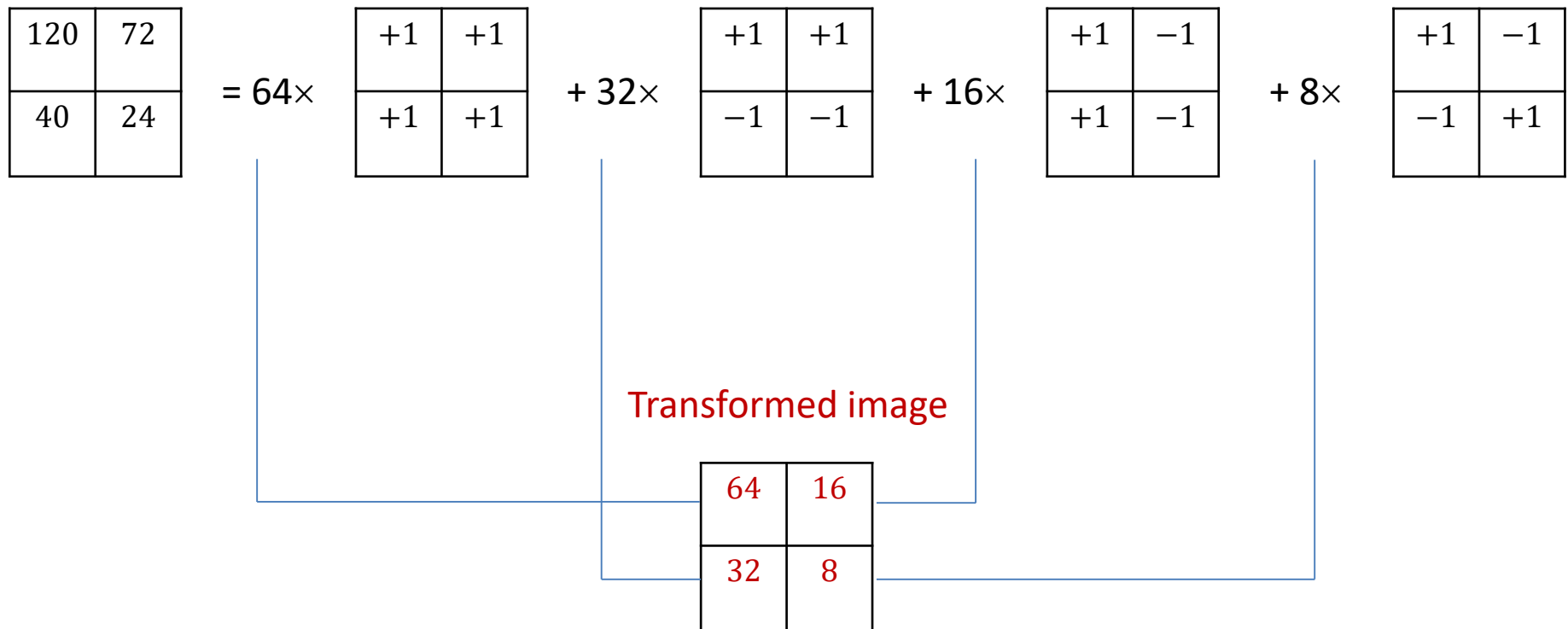
- Transform domain

$$\begin{bmatrix} 120 & 72 \\ 40 & 24 \end{bmatrix} = 64 \times \begin{bmatrix} +1 & +1 \\ +1 & +1 \end{bmatrix} + 32 \times \begin{bmatrix} +1 & +1 \\ -1 & -1 \end{bmatrix} + 16 \times \begin{bmatrix} +1 & -1 \\ +1 & -1 \end{bmatrix} + 8 \times \begin{bmatrix} +1 & -1 \\ -1 & +1 \end{bmatrix}$$

- In transform domain the image is “regarded” as a combination of some other “images”.

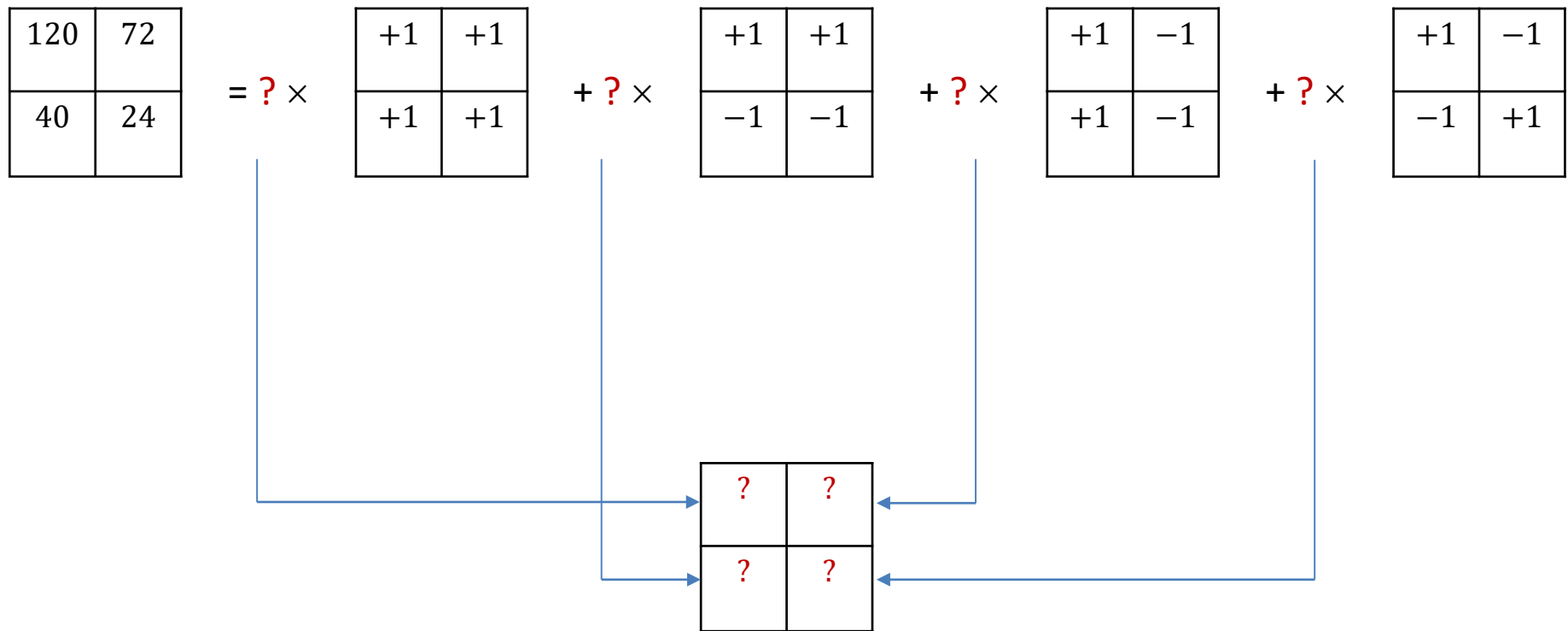
# Spatial domain vs. transform domain

- Transform domain



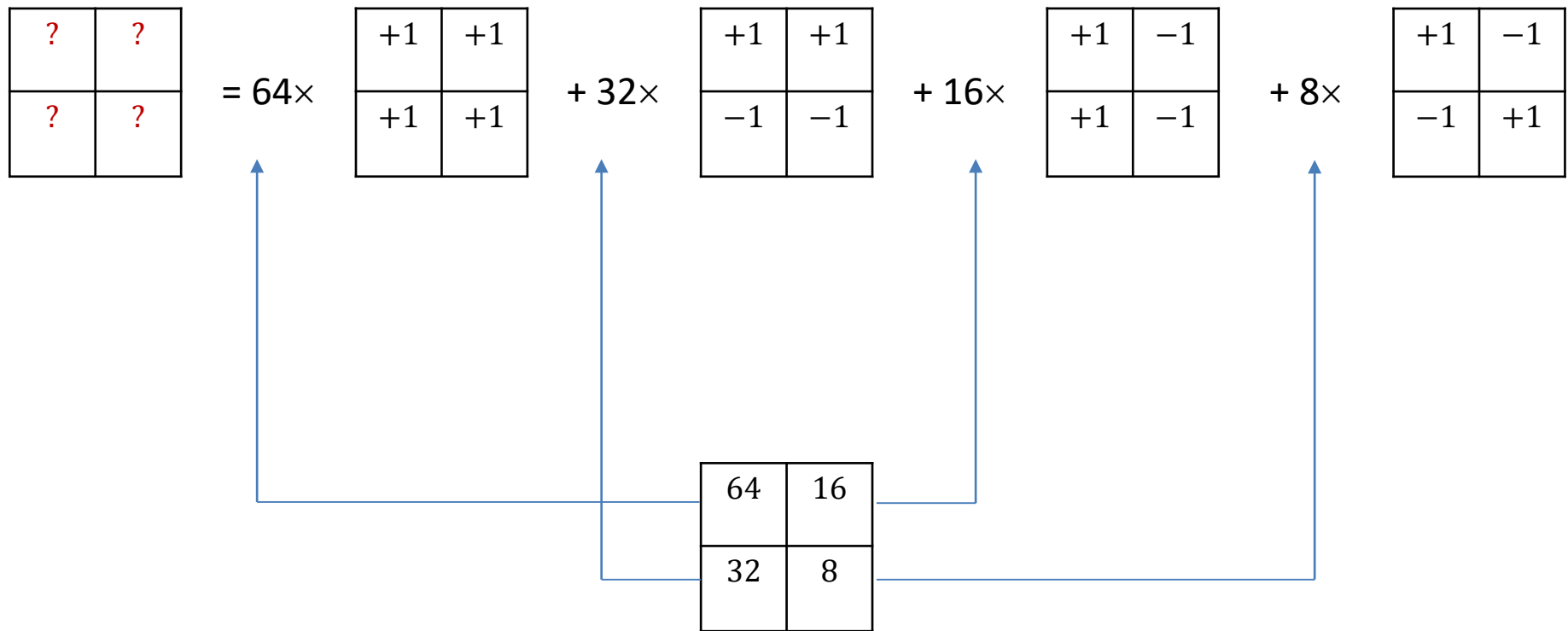
# Spatial domain vs. transform domain

- Transform domain (**forward** transform-analysis)



# Spatial domain vs. transform domain

- Transform domain (**inverse** transform-synthesis )





# Spatial domain vs. transform domain

- Transform domain

120	72
40	24

→

64	16
32	8

- Spatial domain

120	72
40	24

=

120	

+

	72

+

	24

+

40	

# Spatial domain vs. transform domain

- Transform domain

These images allow us to describe other 2×2 images

$$\begin{bmatrix} 64 & 0 \\ 0 & 0 \end{bmatrix} = 16 \times \begin{bmatrix} +1 & +1 \\ +1 & +1 \end{bmatrix} + 16 \times \begin{bmatrix} +1 & +1 \\ -1 & -1 \end{bmatrix} + 16 \times \begin{bmatrix} +1 & -1 \\ +1 & -1 \end{bmatrix} + 16 \times \begin{bmatrix} +1 & -1 \\ -1 & +1 \end{bmatrix}$$

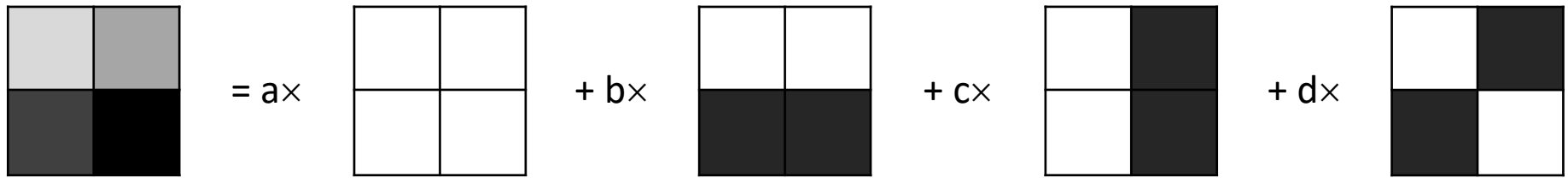
- Spatial domain

$$\begin{bmatrix} 120 & 72 \\ 40 & 24 \end{bmatrix} = \begin{bmatrix} 120 & \\ & \end{bmatrix} + \begin{bmatrix} & 72 \\ & \end{bmatrix} + \begin{bmatrix} & \\ & 24 \end{bmatrix} + \begin{bmatrix} & \\ 40 & \end{bmatrix}$$

Two basic factors of the transform domain

# Spatial domain vs. transform domain

- The **basis** of the transform domain



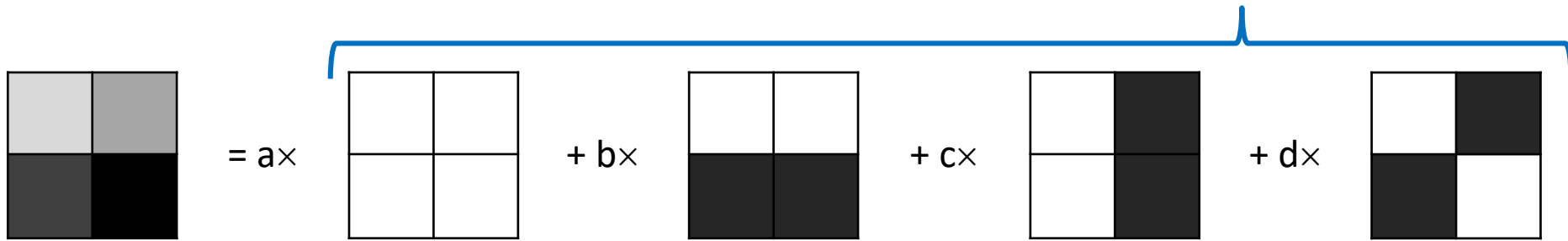
– To have an image transform **you need** to have:

- a **basis** for the image space; Normally, the number of images of the basis is equal to the number of pixels in the images
- The basis allows to describe any image of the image space (completed)
- in general an **inverse** transform (i.e., the forward transform is **invertible**)

# Spatial domain vs. transform domain

- Coefficients

These images allow us to describe any 2×2 image



- In transform domain the image is “regarded” as a combination of other “images”.

Why do we need transforms ?

# Why do we need transforms ?

- It gives us more **flexibility** to **manipulate** data (e.g., filtering, compression)
- Provide us more **tools** to **understand** the data (e.g., classification).
  - **Different applications** require **different** types of transform.

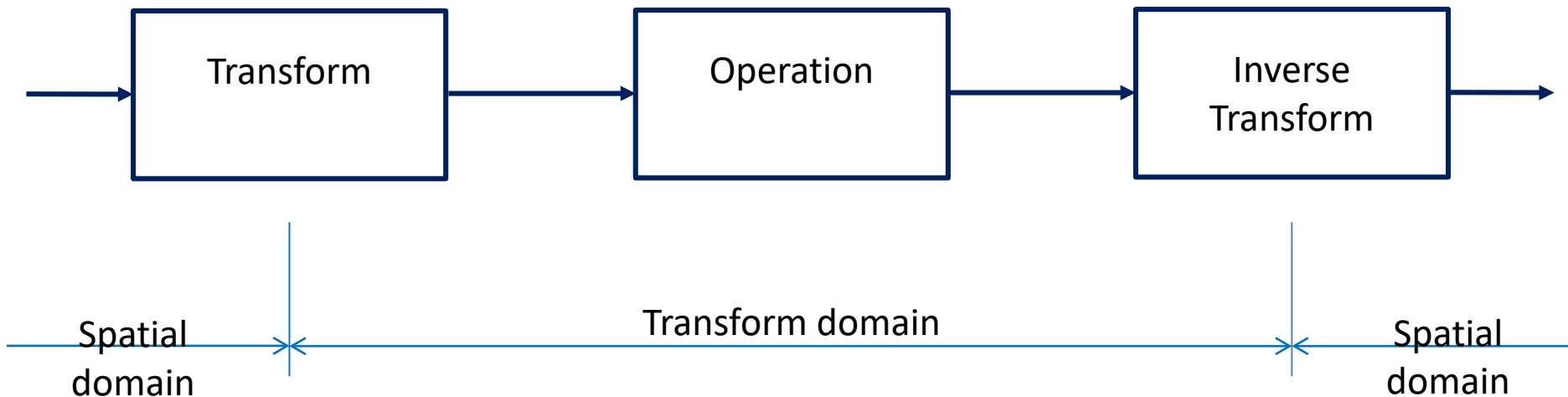
# Image Transforms

- Many **image processing** tasks are **best performed** in the transform domain rather than spatial domain.



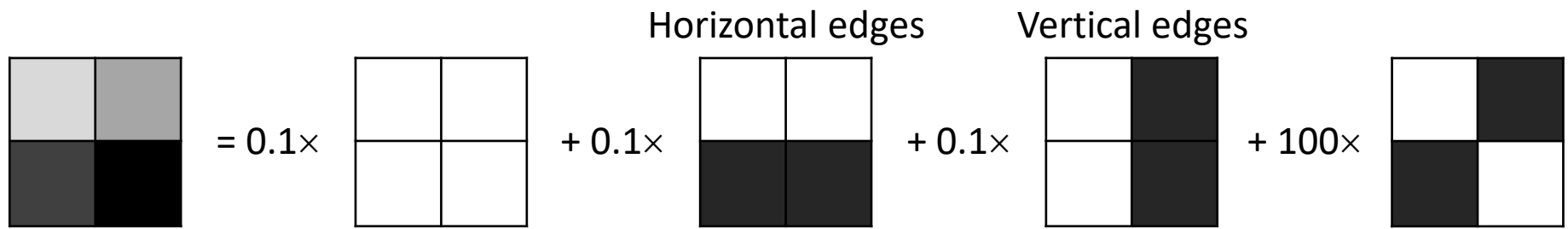
# Image Transforms

- Key **steps** of image transform operation:
  - Transform the image
  - Carry the **task(s)** in the *transformed domain*.
  - Depending on the end-user application *inverse transform* to return to the spatial domain.



# Why do we need transforms ?

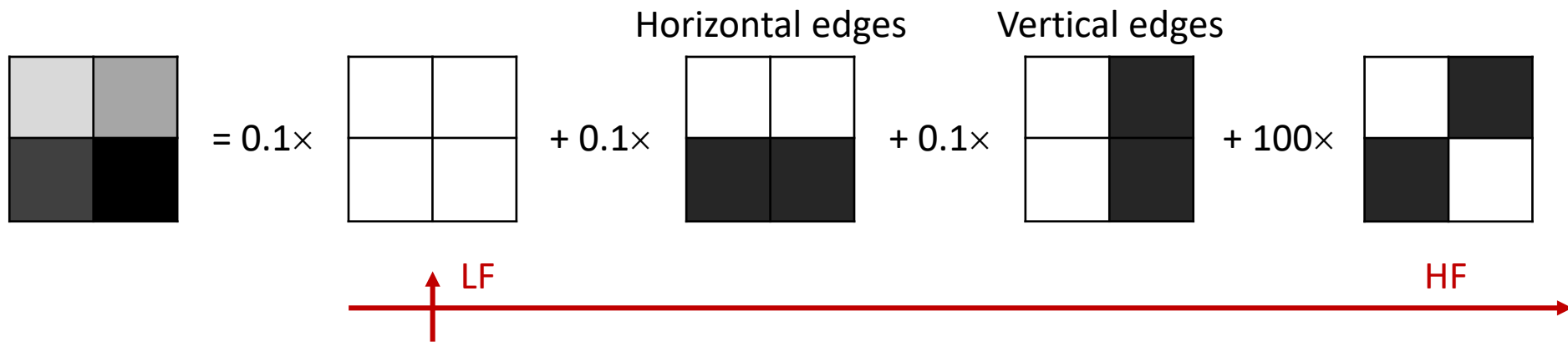
- Filtering process



- Will the output be very different from the input image after:
  - a HPF ?
  - A LPF ?

# Why do we need transforms ?

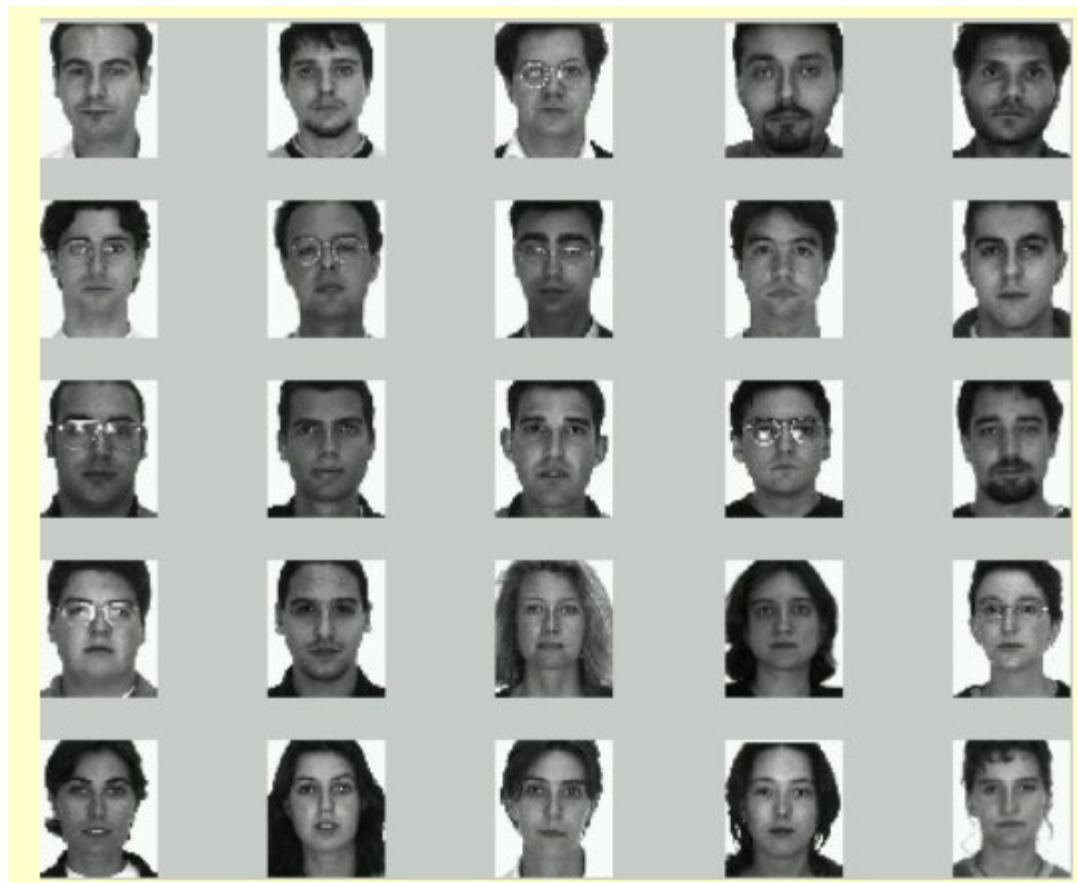
- Filtering process



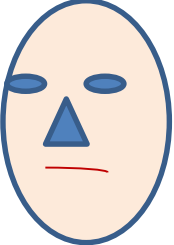
- Allows to have **better understanding** of the filtering process

# Why do we need transforms ?

- Eigen-faces ...



# Eigen-faces

- Any **human face** can be **regarded** as a **combination** of some “**standard faces**” →   
eigen-faces.
  - For example, someone face might be composed of the “**average face**” plus 5% from eigenface 1, 0 % from eigenface 2, and so on ...
- Usually to achieve a **fair approximation** of most faces **not** many **eigenfaces** are required.

# Eigen-faces

$$\begin{aligned} & \text{Target Face} = a \times \text{Eigenface 1} + b \times \text{Eigenface 2} + c \times \text{Eigenface 3} + d \times \boxed{\phantom{\text{Eigenface}}} \\ & + e \times \boxed{\phantom{\text{Eigenface}}} + f \times \boxed{\phantom{\text{Eigenface}}} + g \times \boxed{\phantom{\text{Eigenface}}} + \dots \end{aligned}$$

Orthogonal Basis  
Orthonormal Basis

# Eigen-faces

- Orthogonal Basis
  - Orthogonality of two vectors:  $\langle \mathbf{v}, \mathbf{w} \rangle = 0$
  - $\{\mathbf{e}_k\}$ :  $\langle \mathbf{e}_j, \mathbf{e}_k \rangle = \begin{cases} q(\mathbf{e}_k) & j = k \\ 0 & j \neq k \end{cases}$  where  $q(\mathbf{v}) = |\mathbf{v}|^2$
- Orthonormal Basis
  - $\{\mathbf{e}_k\}$ :  $\langle e_j, e_k \rangle = \begin{cases} 1 & j = k \\ 0 & j \neq k \end{cases}$
  - How to do the normalization?
    - $x_{norm} = \frac{x}{|x|} = \left( \frac{x_1}{|x|}, \frac{x_2}{|x|}, \dots, \frac{x_n}{|x|} \right)$

# How to generate Eigen-faces ?

- A **set of eigenfaces** can be **generated** by performing a mathematical process called **Principal Component Analysis** (PCA) on a large set of images representing different faces.
- <https://en.wikipedia.org/wiki/Eigenface>



1. Prepare a training set of face images. The pictures constituting the training set must be all resampled to a common pixel resolution ( $r \times c$ ) elements. For this implementation, it is assumed that all images of the training set are of the same size.
2. Subtract the mean. The average image  $\mathbf{a}$  has to be calculated and then subtracted from each image in the training set.
3. Calculate the eigenvectors and eigenvalues of the covariance matrix  $\mathbf{S}$ . Each eigenvector of this covariance matrix are therefore called eigenfaces. They are the practical applicability of eigenfaces stems from the possibility to compute the principal components.
4. Choose the principal components. Sort the eigenvalues in descending order and choose the first  $k$  principal components. Total variance  $v = n \cdot (\lambda_1 + \lambda_2 + \dots + \lambda_n)$ ,  $n$  = number of data images.
5.  $k$  is the smallest number satisfies: 
$$\frac{n(\lambda_1 + \lambda_2 + \dots + \lambda_k)}{v} > \epsilon$$


# Eigen-faces



The basis here is not about features we are familiar with, such as nose, eyes, etc, it is about “faces” that are linearly independent

# Face recognition and classification

- Face **classification/detection** can be achieved by **comparing** how faces are **represented** by the **basis** (i.e., the **weight** of the eigen faces).

Name	Lenna
Photo	
Eigen weights	a, b, c, ...

- Using eigenface representation **allows** to **record** a person's face using a **list of values** (one value for each eigenface) → **less space** is taken to represent each person's face.

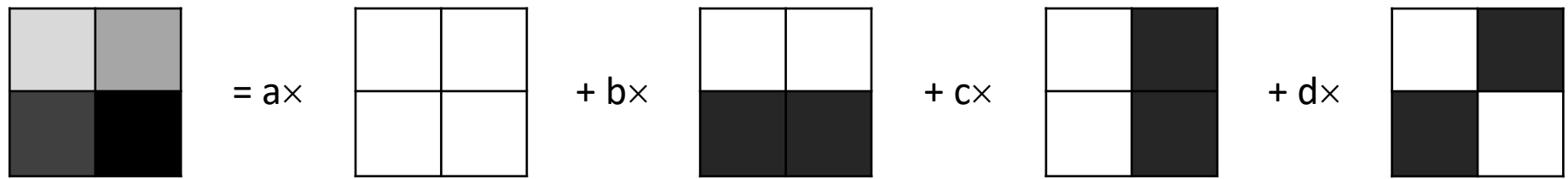
# Eigen-faces

- How to get the eigen-faces (i.e., basis)?
  - Skipped
- How to get the weights of a face
  - Forward analysis
- How to generate a face with the given weights
  - Inverse analysis
- How to use Eigen-faces?
  - Classification
  - Compression

How do we build a basis for the image  
space

# In general, how do we build a basis for the image space ?

$$\vec{V} = a\hat{i} + b\hat{j} + c\hat{k} + \dots$$



– A basis of images should have:

- **Linearly independent** “images” (avoid redundancy)
- Enough elements in the basis to represent the whole image space  $\rightarrow$  in principle, the **number of images** in the **basis** is **equal** to the number of **pixels**.

How to find the transformed domain  
version of an image

# How to find the transformed domain version of an image

- Let us suppose we want to get b

$$\begin{bmatrix} 120 & 72 \\ 40 & 24 \end{bmatrix} = a \times \begin{bmatrix} +1 & +1 \\ +1 & +1 \end{bmatrix} + b \times \begin{bmatrix} +1 & +1 \\ -1 & -1 \end{bmatrix} + c \times \begin{bmatrix} +1 & -1 \\ +1 & -1 \end{bmatrix} + d \times \begin{bmatrix} +1 & -1 \\ -1 & +1 \end{bmatrix}$$



# How to find the transformed domain version of an image

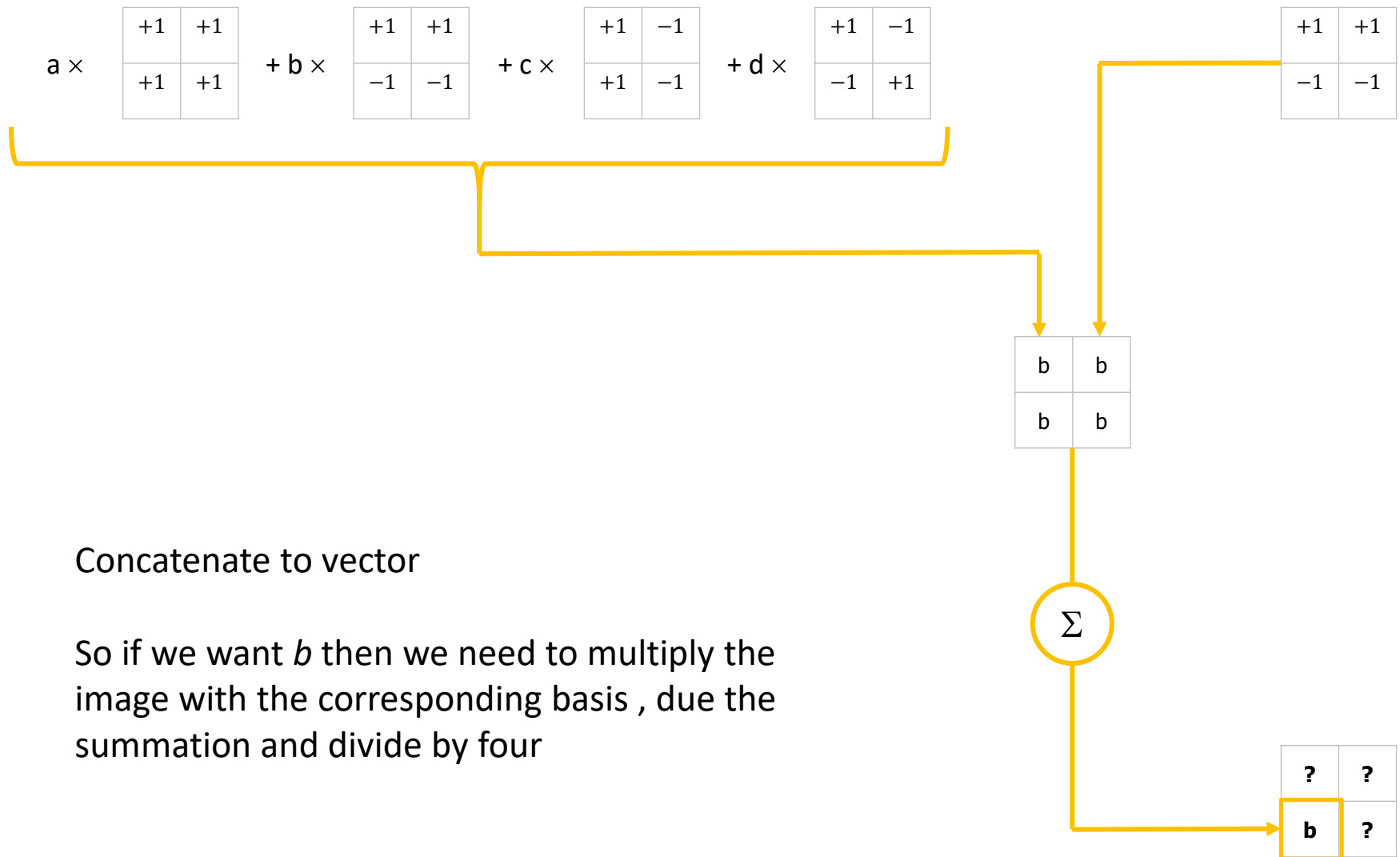
- Let us suppose we want to get b

$$\begin{bmatrix} 120 & 72 \\ 40 & 24 \end{bmatrix} = a \times \begin{bmatrix} +1 & +1 \\ +1 & +1 \end{bmatrix} + b \times \begin{bmatrix} +1 & +1 \\ -1 & -1 \end{bmatrix} + c \times \begin{bmatrix} +1 & -1 \\ +1 & -1 \end{bmatrix} + d \times \begin{bmatrix} +1 & -1 \\ -1 & +1 \end{bmatrix}$$

$$\vec{V} = a\hat{i} + b\hat{j} + c\hat{k} + \dots$$

$$\hat{j}\vec{V} = b$$

# How to find the transformed domain version of an image



# How to find the transformed domain version of an image

120	72
40	24

+1	+1
-1	-1

Concatenate to vector

So if we want  $b$  then we need to multiply the image with the corresponding basis, due the summation and **divide by four**

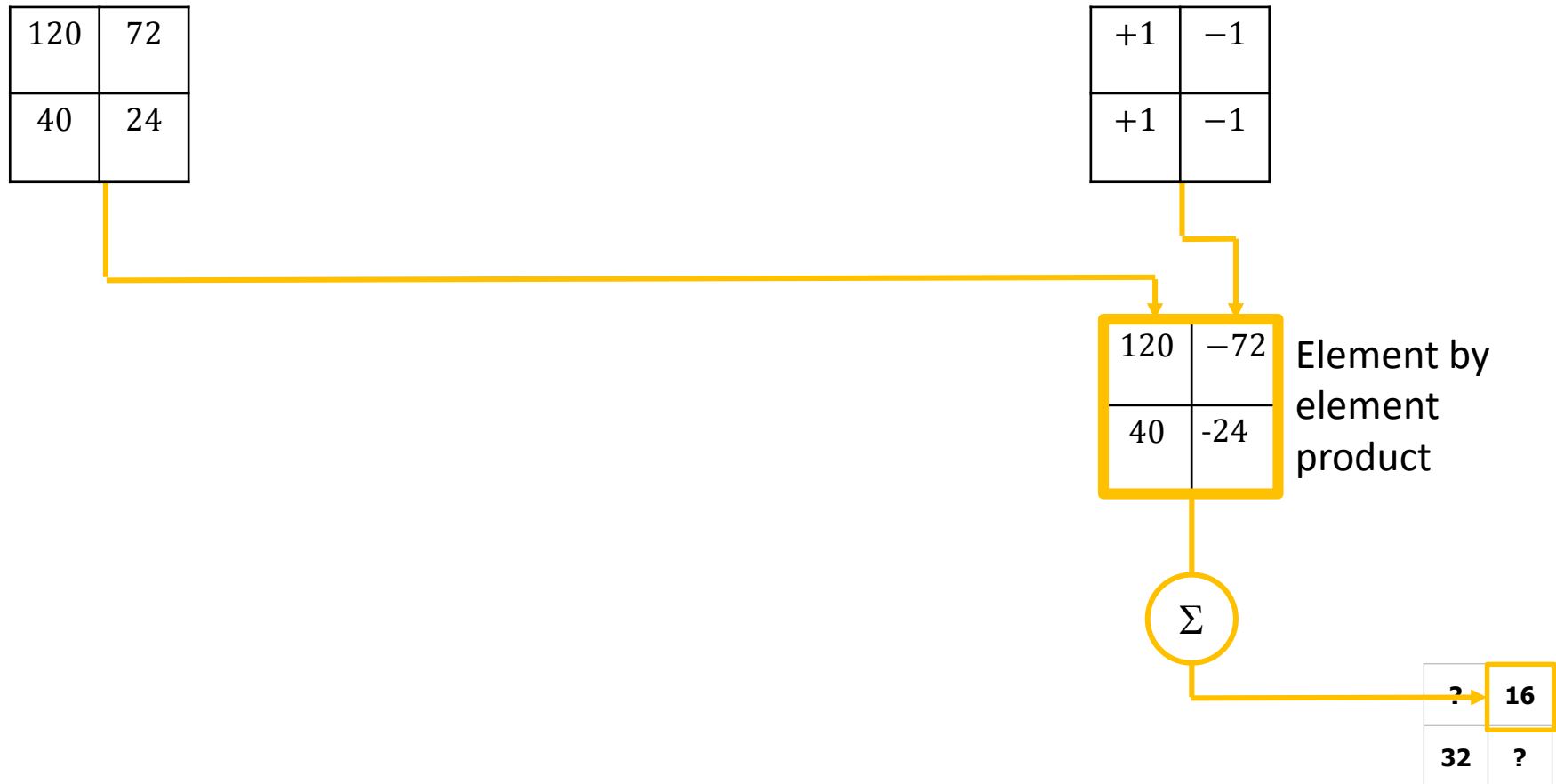
120	72
-40	-24

Element by  
element  
product

$\Sigma$

?	?
32	?

# How to find the transformed domain version of an image



# Discrete Fourier Transform

# Discrete Fourier Transform

- Used in a wide range of applications: image analysis, filtering, reconstruction and compression
- Such transformations map a function to a set of coefficients of basis functions.
- The basis functions are sinusoidal and are therefore strongly localized in the frequency domain, but not in the time domain

# 1-D DFT

- Discrete Fourier Transform is used to **decompose** a **signal** into **sine** and **cosine** components

$$F(k) = \frac{1}{N} \sum_{n=0}^{N-1} x(n) e^{-j2\pi k \frac{n}{N}}$$

$$= \sum_{n=0}^{N-1} x(n) W(n, k)$$

$$e^{ix} = \cos x + j \sin x$$

Complex value  
↙ ↘  
Real                  Imaginary

$$x(n) = \sum_{k=0}^{N-1} F(k) e^{j2\pi n \frac{k}{N}} = \sum_{k=0}^{N-1} F(k) \left( \cos\left(2\pi n \frac{k}{N}\right) + j \sin\left(2\pi n \frac{k}{N}\right) \right)$$

# 1-D DFT in matrix notation

$$F(k) = \frac{1}{N} \sum_{n=0}^{N-1} x(n) e^{-j2\pi k \frac{n}{N}}$$

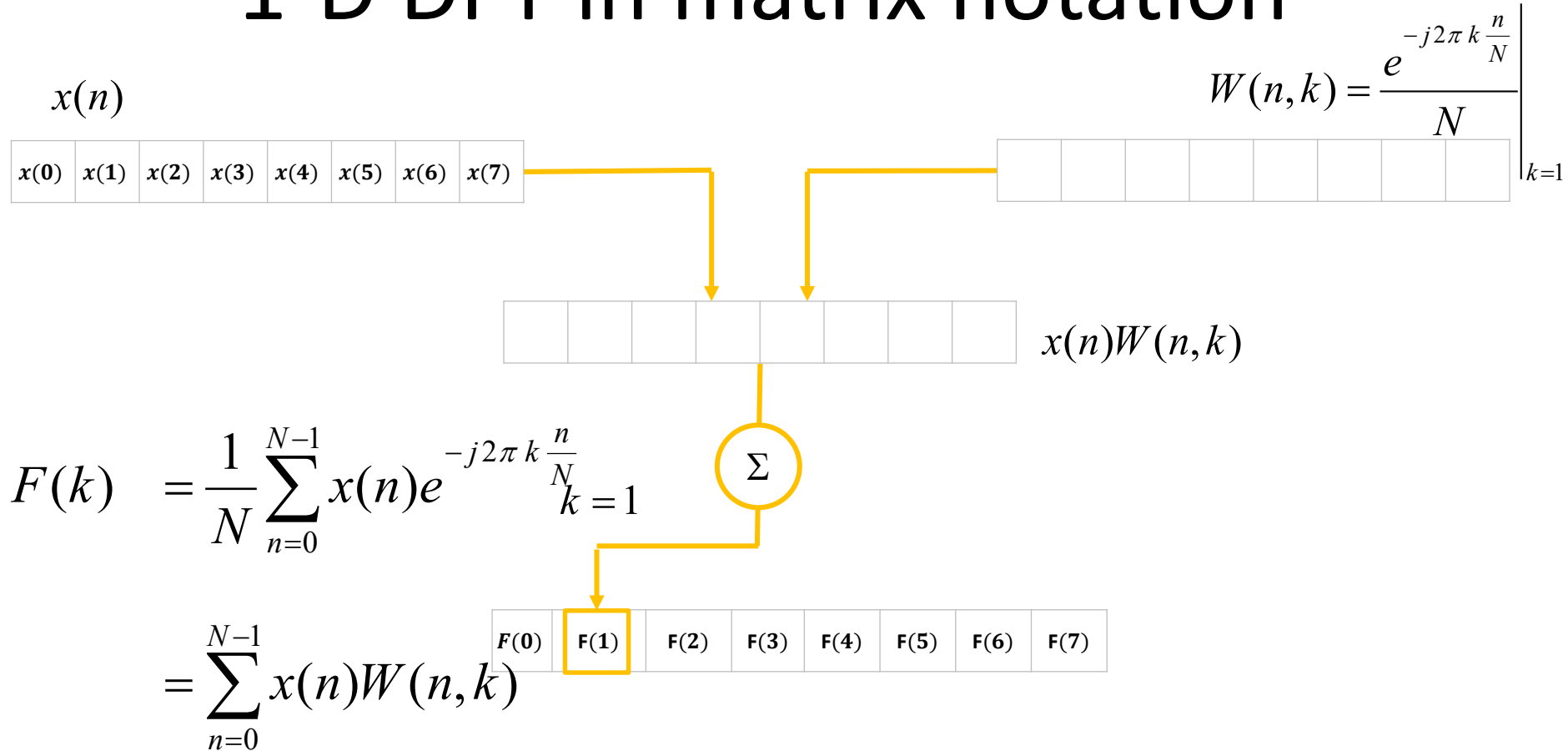
$$F(k) = \frac{1}{N} \begin{bmatrix} e^{-j2\pi k \frac{0}{N}} & e^{-j2\pi k \frac{1}{N}} & e^{-j2\pi k \frac{2}{N}} & \dots & e^{-j2\pi k \frac{N-1}{N}} \end{bmatrix} \times \begin{bmatrix} x(0) \\ x(1) \\ x(2) \\ \vdots \end{bmatrix}$$

T

$$\begin{bmatrix} F(0) \\ F(1) \\ F(2) \\ \vdots \end{bmatrix} = \frac{1}{N} \begin{bmatrix} e^{-j2\pi(0)\frac{0}{N}} & e^{-j2\pi(0)\frac{1}{N}} & e^{-j2\pi(0)\frac{2}{N}} & \dots & e^{-j2\pi(0)\frac{N-1}{N}} \\ e^{-j2\pi(1)\frac{0}{N}} & e^{-j2\pi(1)\frac{1}{N}} & e^{-j2\pi(1)\frac{2}{N}} & \dots & e^{-j2\pi(1)\frac{N-1}{N}} \\ e^{-j2\pi(2)\frac{0}{N}} & e^{-j2\pi(2)\frac{1}{N}} & e^{-j2\pi(2)\frac{2}{N}} & \dots & e^{-j2\pi(2)\frac{N-1}{N}} \\ \vdots & \vdots & \vdots & \dots & \vdots \end{bmatrix} \times \begin{bmatrix} x(0) \\ x(1) \\ x(2) \\ \vdots \end{bmatrix}$$

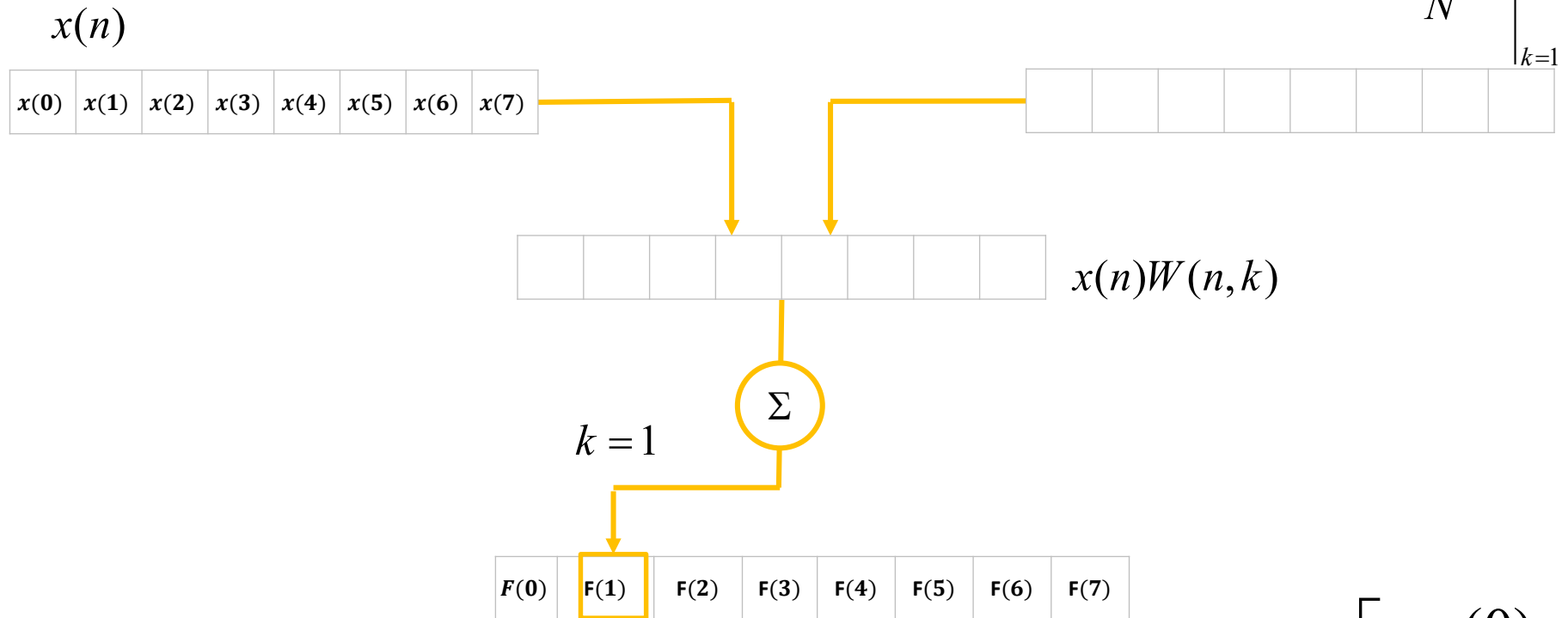


# 1-D DFT in matrix notation



# 1-D DFT in matrix notation

$$W(n,k) = \frac{e^{-j2\pi k \frac{n}{N}}}{N} \Big|_{k=1}$$



$$F(k) = \frac{1}{N} \begin{bmatrix} e^{-j2\pi k \frac{0}{N}} & e^{-j2\pi k \frac{1}{N}} & e^{-j2\pi k \frac{2}{N}} & \dots & e^{-j2\pi k \frac{N-1}{N}} \end{bmatrix} \times \begin{bmatrix} x(0) \\ x(1) \\ x(2) \\ \vdots \\ x(N-1) \end{bmatrix}$$

# Orthogonality

## Orthogonality

The vectors  $u_k = \left[ e^{\frac{2\pi i}{N}kn} \mid n = 0, 1, \dots, N-1 \right]^T$  form an **orthogonal basis** over the set of  $N$ -dimensional complex vectors:

$$u_k^T u_{k'}^* = \sum_{n=0}^{N-1} \left( e^{\frac{2\pi i}{N}kn} \right) \left( e^{\frac{2\pi i}{N}(-k')n} \right) = \sum_{n=0}^{N-1} e^{\frac{2\pi i}{N}(k-k')n} = N \delta_{kk'}$$

where  $\delta_{kk'}$  is the **Kronecker delta**. (In the last step, the summation is trivial if  $k = k'$ , where it is  $1+1+\dots=N$ , and otherwise is a **geometric series** that can be explicitly summed to obtain zero.)

[https://en.wikipedia.org/wiki/Discrete\\_Fourier\\_transform](https://en.wikipedia.org/wiki/Discrete_Fourier_transform)

# Two-dimensional Discrete Fourier Transform (2D – DFT)

# Two-dimensional DFT

- A **rectangular** image  $x(n,m)$  of size  $N \times N$  has the two-dimensional DFT (2-D DFT):

$$\begin{aligned} F(k,l) &= \sum_{n=0}^{N-1} \sum_{m=0}^{N-1} x(n,m) W(n,m,k,l) \\ &= \frac{1}{N^2} \sum_{n=0}^{N-1} \sum_{m=0}^{N-1} x(n,m) e^{-j2\pi \left( \frac{kn}{N} + \frac{lm}{N} \right)} \end{aligned}$$

# Two-dimensional DFT

- The **base functions** are **sine** and **cosine** waves with increasing frequencies

$$W(n, m, k, l) = \frac{1}{N^2} e^{-j2\pi \left( \frac{kn}{N} + \frac{lm}{N} \right)}$$

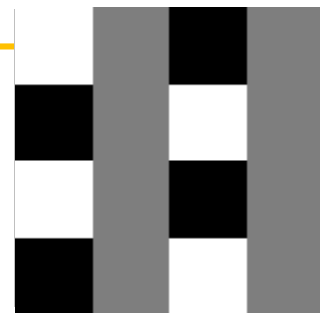
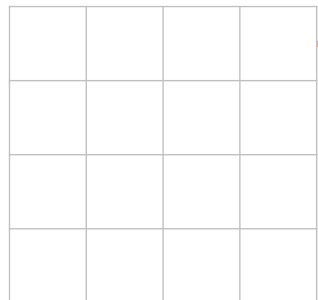
- $F(0,0)$**  represents the DC-component which corresponds to the **average brightness**

$$F(0,0) = \frac{1}{N^2} \sum_{n=0}^{N-1} \sum_{m=0}^{N-1} x(n, m)$$

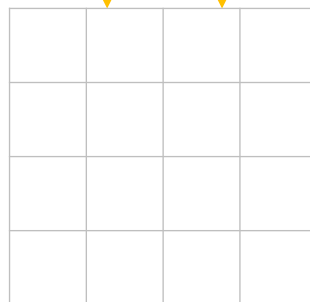
- $F(k,l)$  ( $k>0, l>0$ )** represents the AC-component

# Two-dimensional DFT

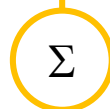
$x(n, m)$



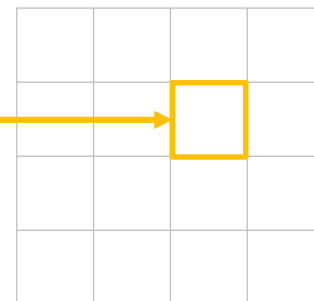
$real(W(n, m, 1, 2))$



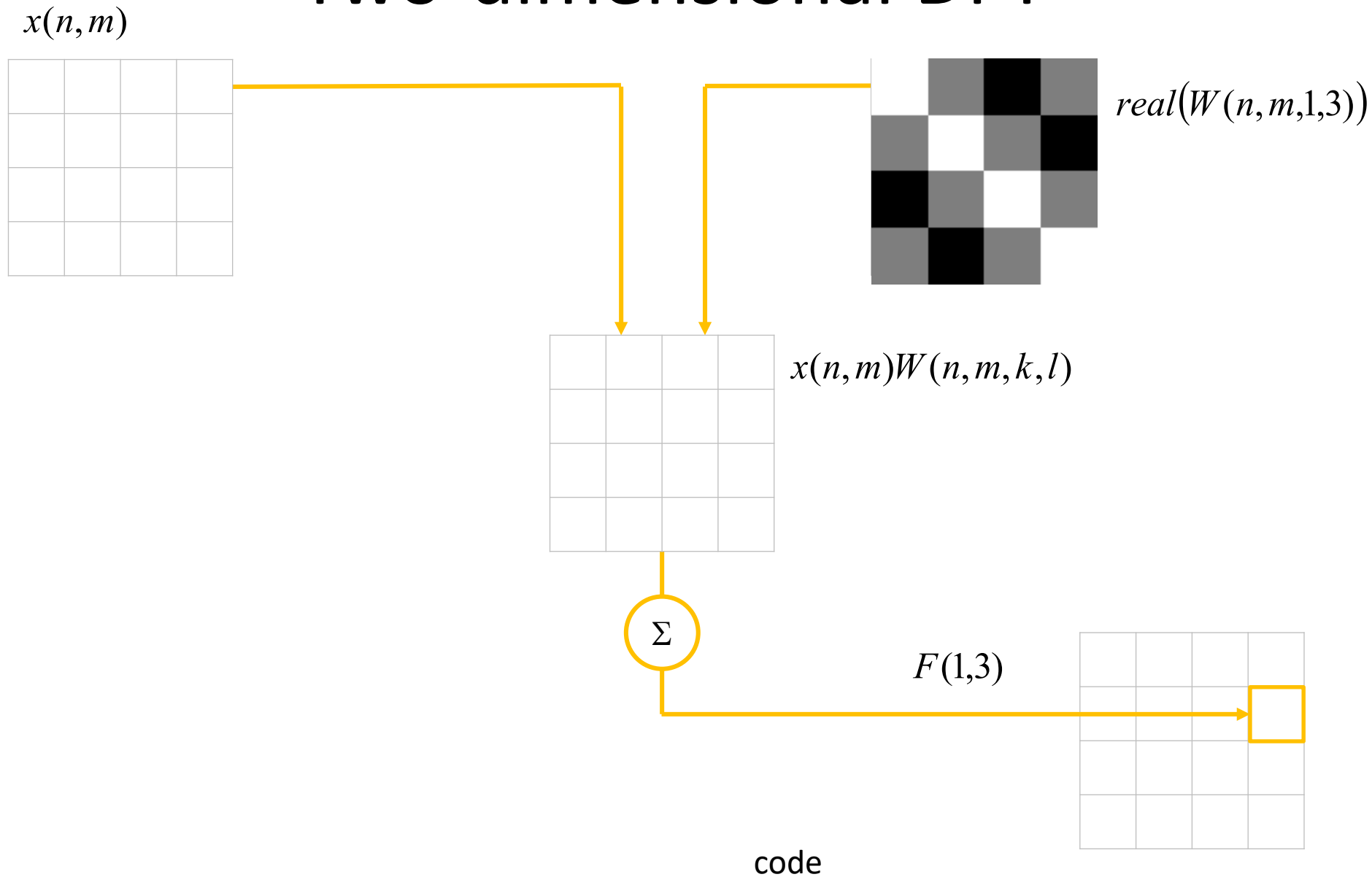
$x(n, m)W(n, m, k, l)$



$F(1, 2)$



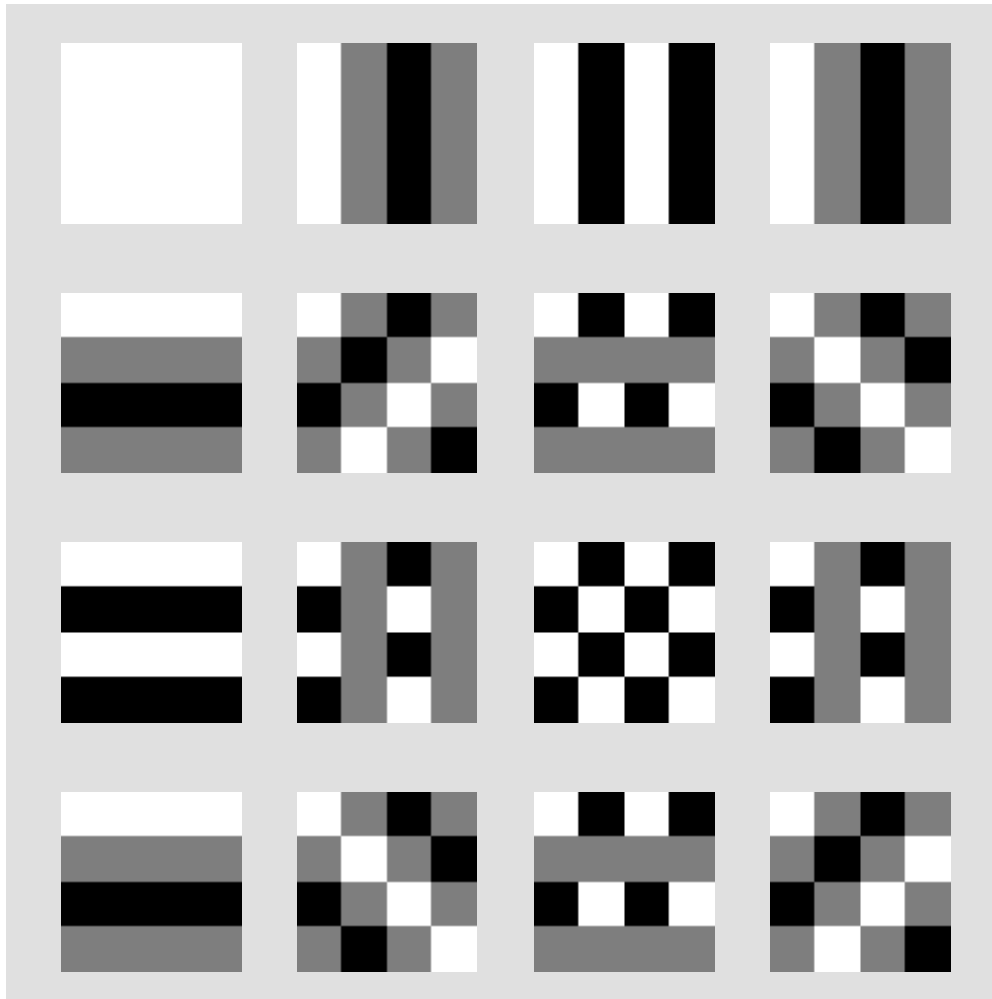
# Two-dimensional DFT





# Two-dimensional DFT

The left side images form a basis for the 4X4 image space



Basis functions of 4X4 2D-DFT  
( $\text{real}\{W(n,m,k,l)\}$ )

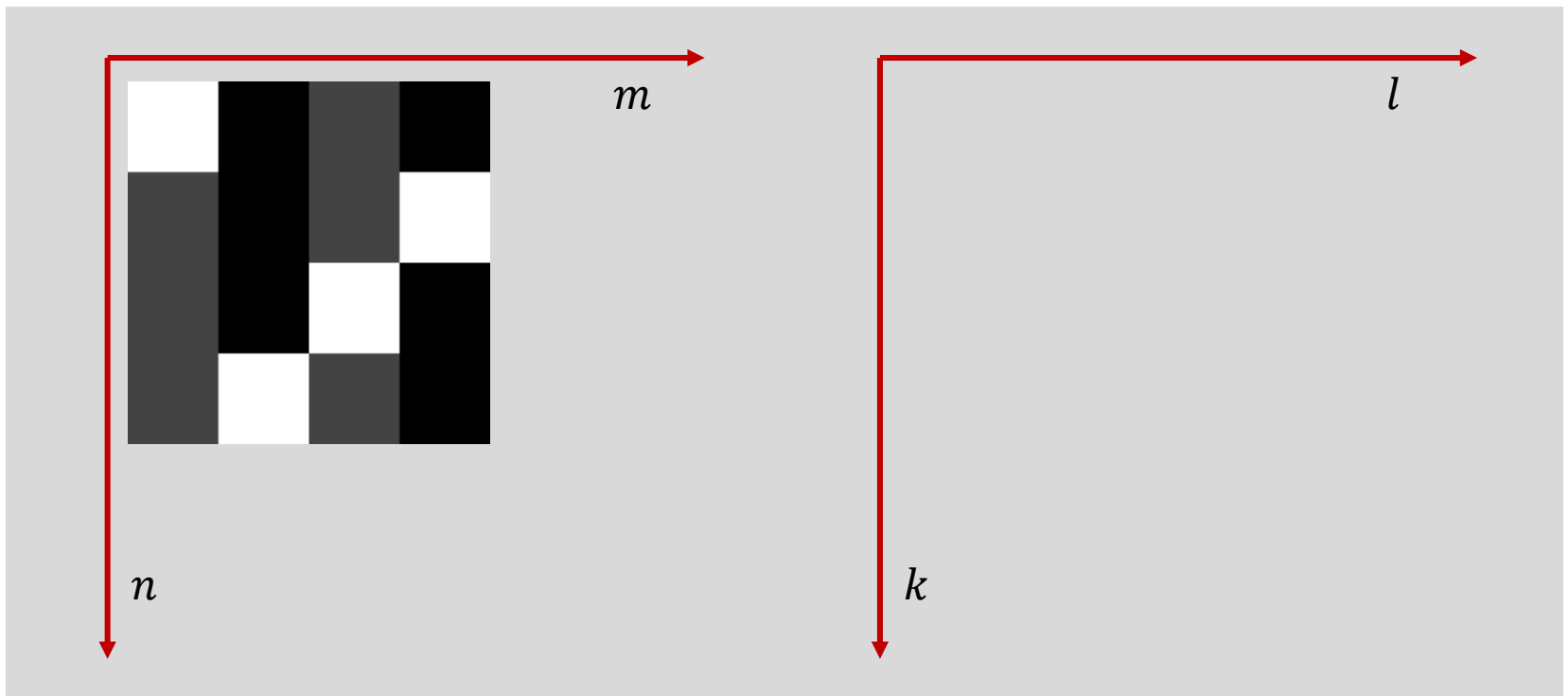
Any 4X4 image could be represented as a linear combination of the basis functions

When it is applied to an 4X4 image, it yields a 4X4 matrix of weighted values corresponding to how much of each basis function is present in the image

An 4x4 image that just contains one shade of gray will yield only a weighted value for the upper left hand DFT basis function (which has no frequencies in the x or y direction).

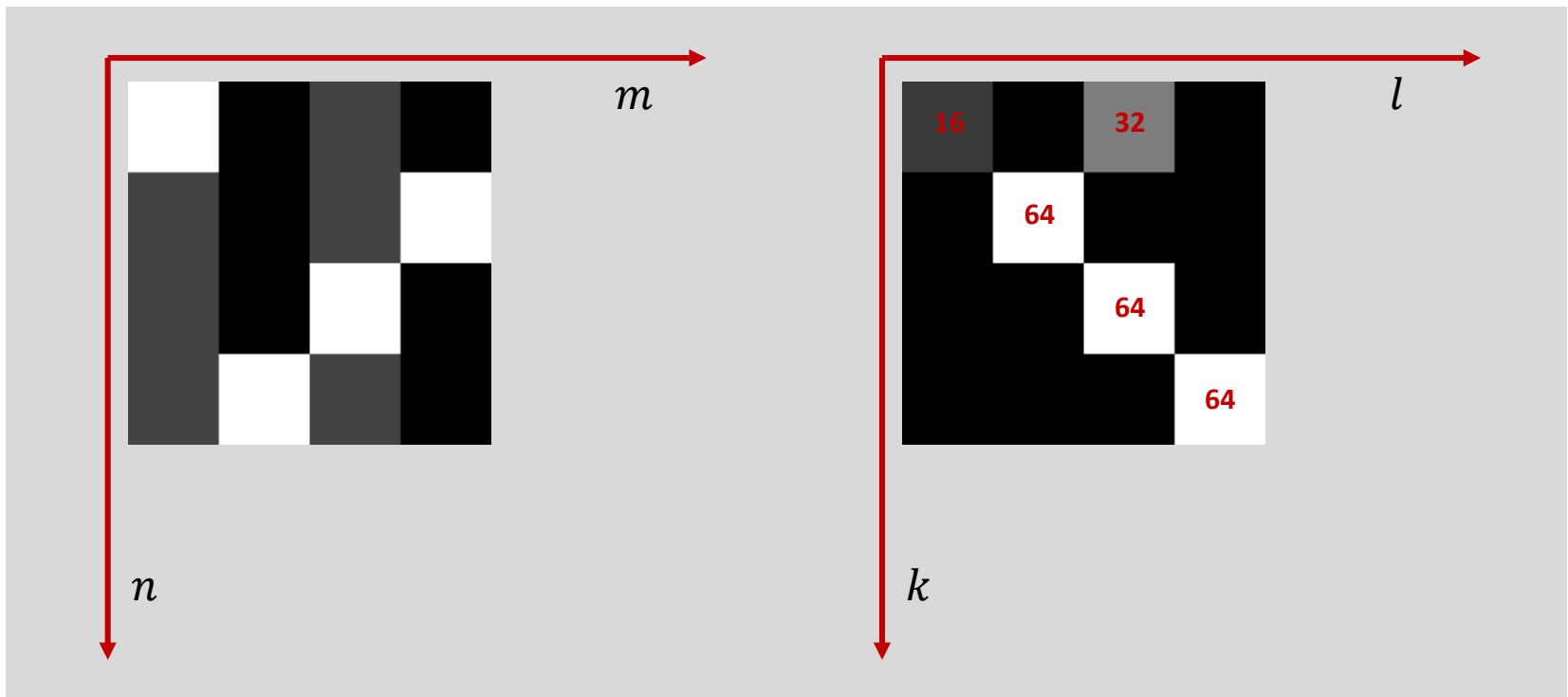
# Two-dimensional DFT

- How the DFT of the following 4x4 image will look like ?

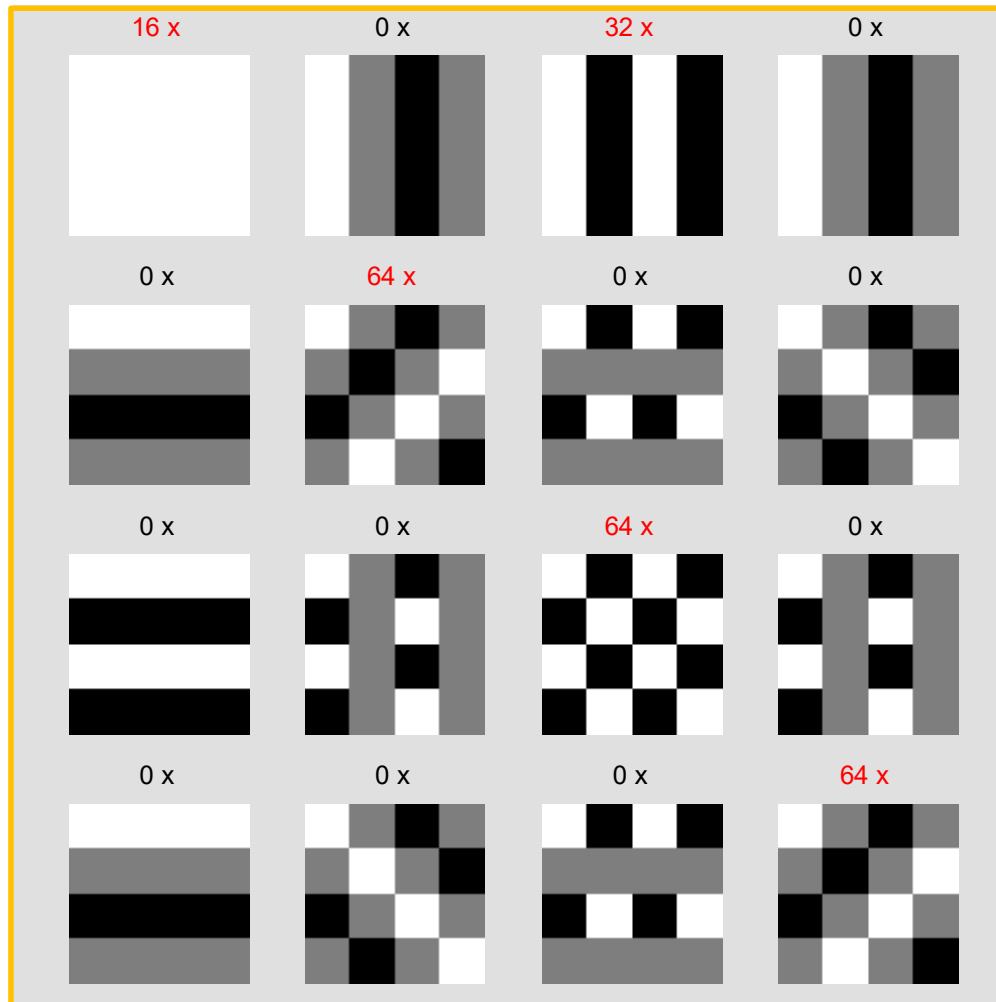


# Two-dimensional DFT

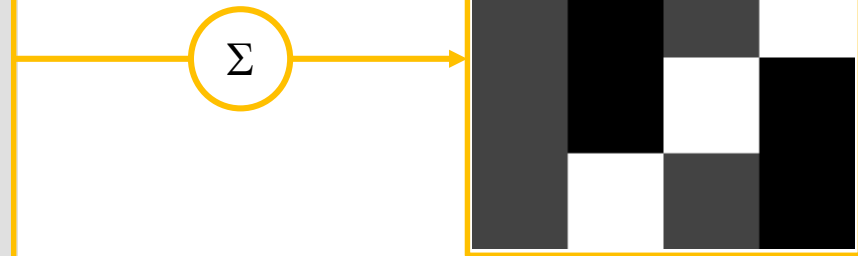
- The forward (analysis) DFT of an 4x4 image
  - In this example the imaginary part is zero, thus it is not shown



# Two-dimensional DFT



When it is applied to an 4X4 image, it yields a 4X4 matrix of weighted values corresponding to how much of each basis function is present in the image



The inverse (synthesis ) DFT, which generates an image

# Two-dimensional DFT

- The **complexity of directly computing** the 2D-DFT :
  - Each **output point** would require  $N^2$  **floating point multiplications**
  - Since there are  $N^2$  **output points** in a  $N \times N$  image, the computational **complexity of the DFT is  $O(N^4)$** 
    - $N = 1024 \approx 1000 \rightarrow N^4 = 10^{12}$
  - FFT

# Separability of 2-D DFT

- A **double sum** has to be calculated for **each** output-image **point**.
- However ...

$$\begin{aligned} F(k,l) &= \frac{1}{N^2} \sum_{n=0}^{N-1} \sum_{m=0}^{N-1} x(n,m) e^{-j2\pi \left( \frac{kn}{N} + \frac{lm}{N} \right)} \\ &= \frac{1}{N^2} \sum_{n=0}^{N-1} \sum_{m=0}^{N-1} x(n,m) e^{-j2\pi \left( \frac{kn}{N} \right)} e^{-j2\pi \left( \frac{lm}{N} \right)} \\ &= \frac{1}{N^2} \sum_{n=0}^{N-1} \left\{ \left[ \sum_{m=0}^{N-1} x(n,m) e^{-j2\pi \left( \frac{lm}{N} \right)} \right] e^{-j2\pi \left( \frac{kn}{N} \right)} \right\} \end{aligned}$$

# Separability of 2-D DFT

- However, because the **DFT is *separable***, it can be written as

$$\begin{aligned} F(k, l) &= \frac{1}{N^2} \sum_{n=0}^{N-1} \left\{ \left[ \sum_{m=0}^{N-1} x(n, m) e^{-j2\pi \left( \frac{lm}{N} \right)} \right] e^{-j2\pi \left( \frac{kn}{N} \right)} \right\} \\ &= \frac{1}{N} \sum_{n=0}^{N-1} \left\{ P(n, l) e^{-j2\pi \left( \frac{kn}{N} \right)} \right\} \\ P(n, l) &= \frac{1}{N} \sum_{m=0}^{N-1} x(n, m) e^{-j2\pi \left( \frac{lm}{N} \right)} \end{aligned}$$

# Separability of 2-D DFT

- The spatial domain image is **firstly transformed** into an **intermediate image** using **1-D DFT applied to the rows** (columns)

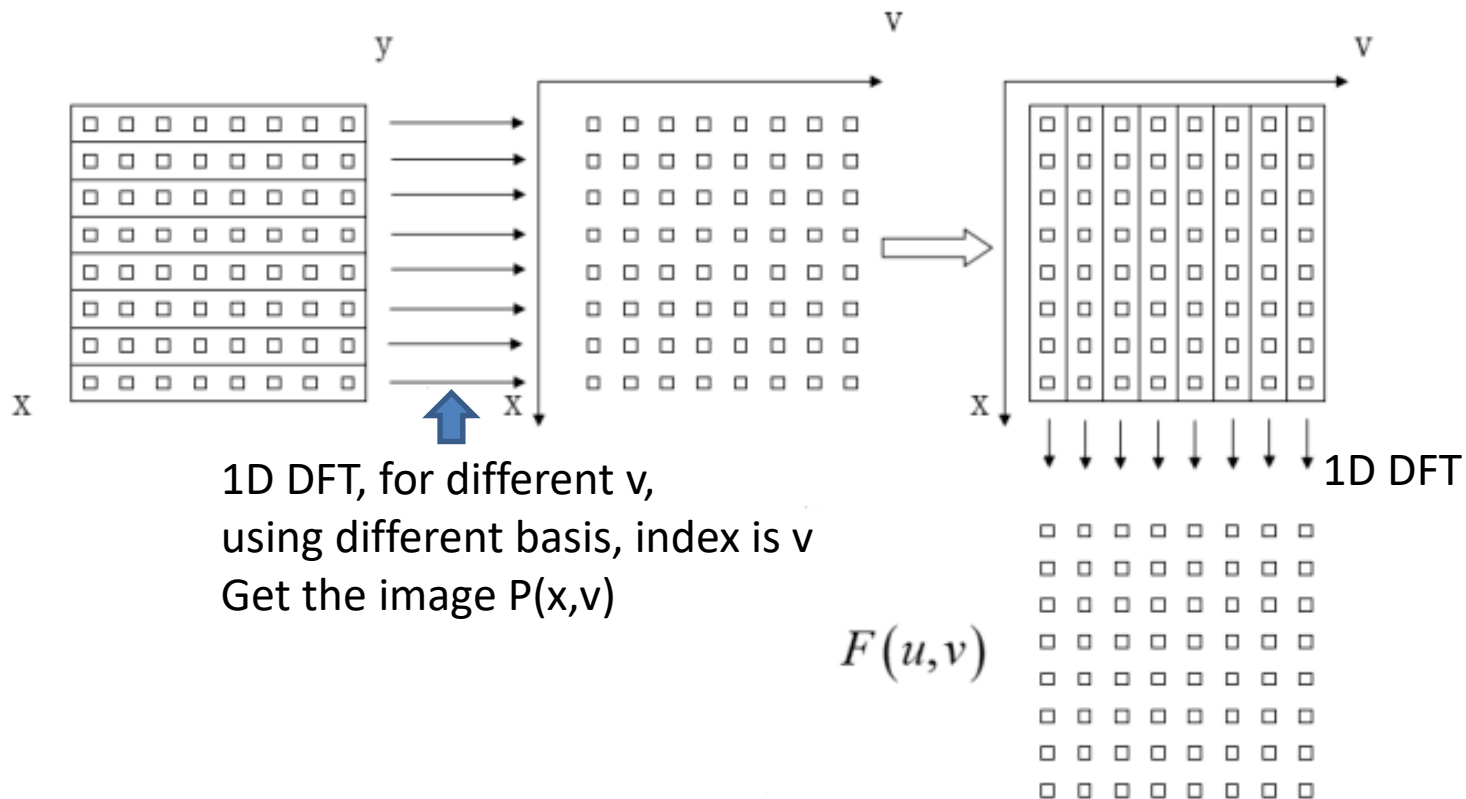
$$P(n, l) = \frac{1}{N} \sum_{m=0}^{N-1} x(n, m) e^{-j2\pi \left( \frac{lm}{N} \right)}$$

- This **intermediate** image is then transformed into the final image, again using **1-D DFT applied to columns** (rows)

$$F(k, l) = \frac{1}{N} \sum_{n=0}^{N-1} P(n, l) e^{-j2\pi \left( \frac{kn}{N} \right)}$$



# Separability of 2-D DFT



# Properties of the 2D-DFT

- The **DFT** and its **inverse** are **periodic**:
  - Let us look at the **1D-DFT** case:

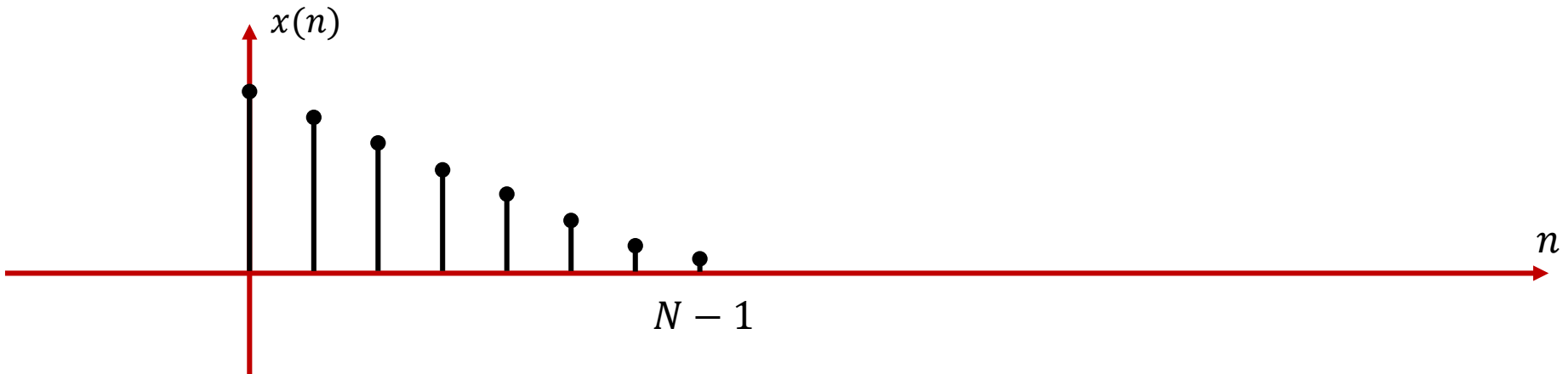
$$F(k + N) = \frac{1}{N} \sum_{n=0}^{N-1} x(n) e^{-j2\pi n \frac{k+N}{N}} = \frac{1}{N} \sum_{n=0}^{N-1} x(n) e^{-j2\pi n \frac{k}{N}} e^{-j2\pi n} = F(k)$$

$$x(n + N) = \sum_{k=0}^{N-1} F(k) e^{j2\pi k \frac{n+N}{N}} = x(n)$$

- The period is **N**

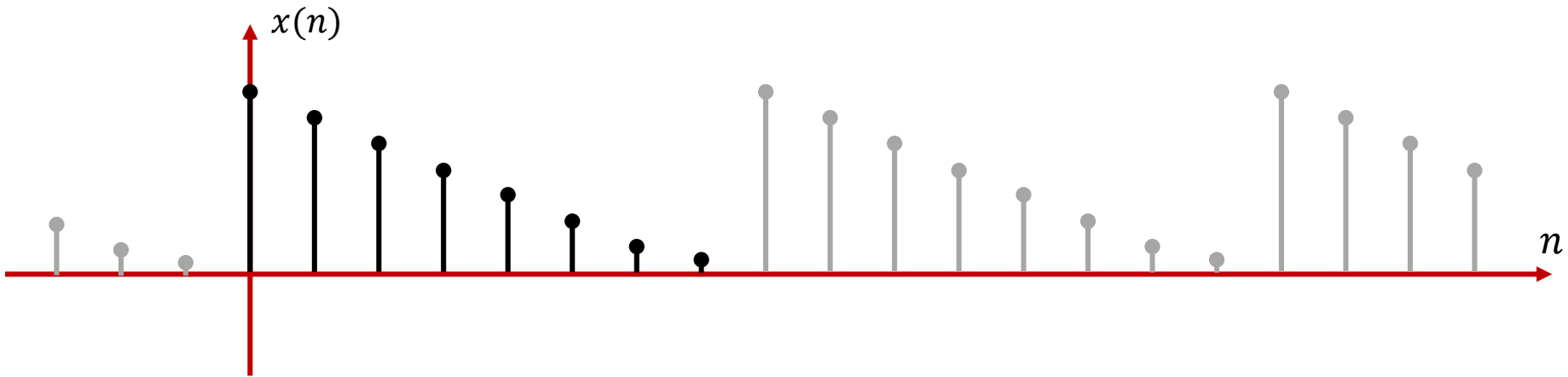
# Properties of the 2D-DFT

- If  $x(n)$  is a **finite domain function** shown in the following :



# Properties of the 2D-DFT

- The use of DFT to represent a **finite domain function** can be thought of as **implicitly defining** an **extension** of the function **outside the domain**.
- Once we write a function as a **sum of complex exponential functions** we can **evaluate** that sum **at any point  $n$** , even where the **original function  $x(n)$**  was **not declared**.



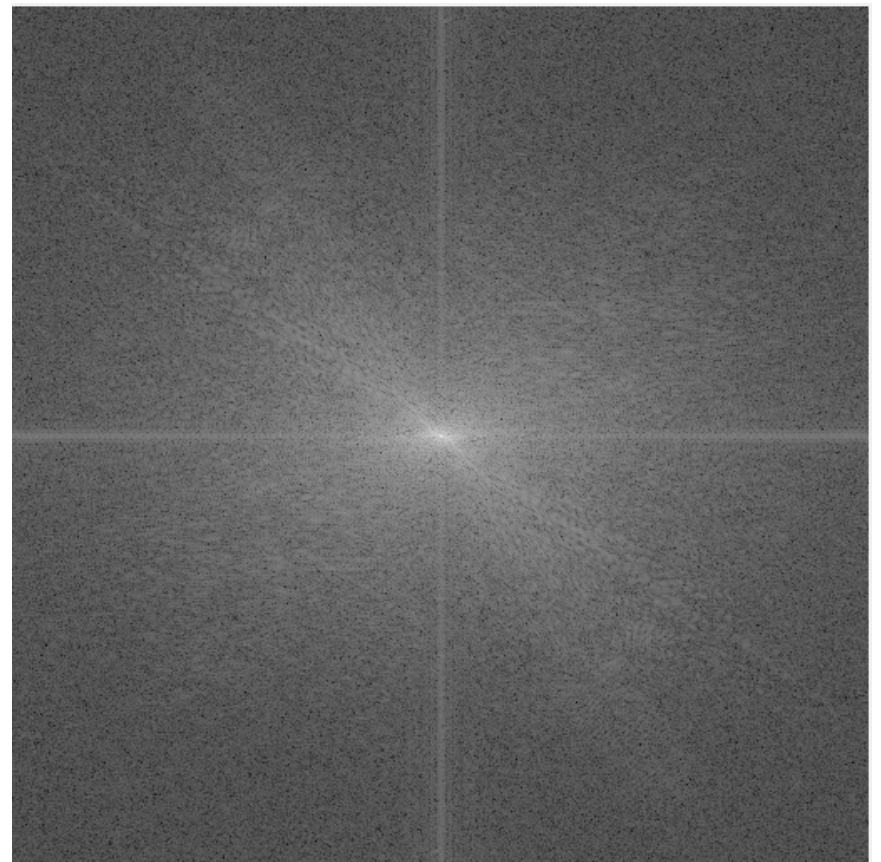
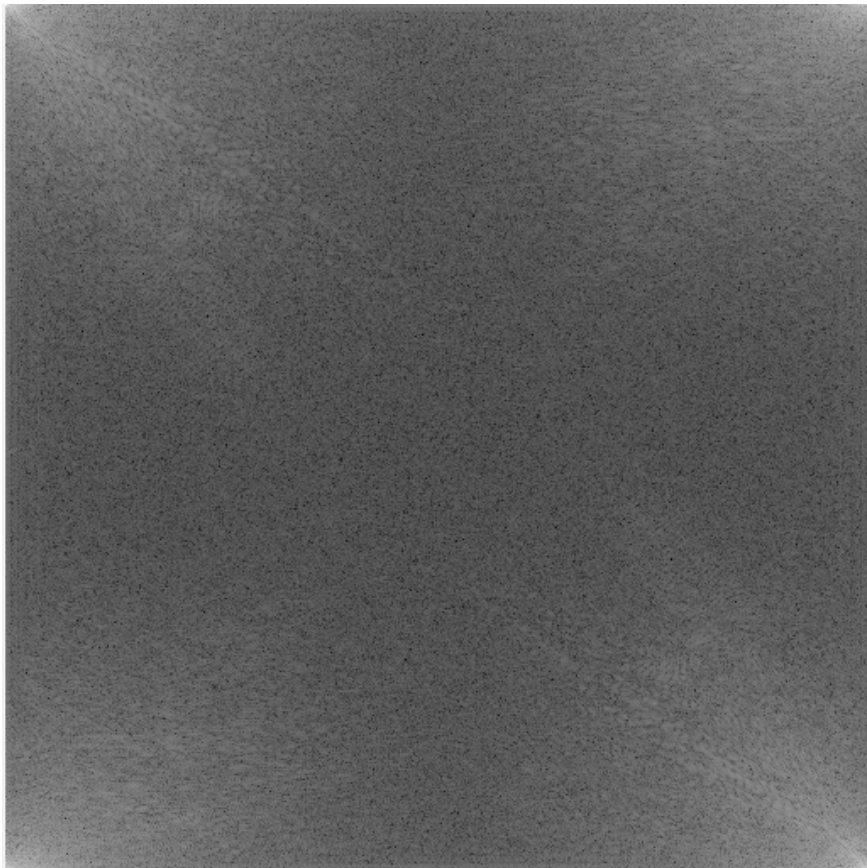
# Matlab : Computing 2D-DFT

- 2D DFT and inverse Fourier transforms are implemented in `fft2` and `ifft2`, respectively
- `fftshift`: Move origin (DC component) to image center for display
- Example:

```
>> %I = imread('test.png'); generate an  
image  
>> F = fftshift(fft2(I));  
>> imshow(log(abs(F)), []);  
>> imshow(angle(F), []);  
u = real(z), v = imag(z), r = abs(z), and  
theta = angle(z)
```

# Matlab : Computing 2D-DFT

- `fftshift`



# Properties of 2-D DFT

- The DFT produces a **complex valued** matrix “image”
- It is displayed with two images, typically *magnitude* and *phase*, however, **usually** only the **magnitude** is **displayed**
- The **Fourier domain** image has a much **greater range** than the image in the spatial domain. Hence, its values are usually calculated and stored in **float values** and represented in **log-scale**

# Properties of the 2D-DFT

- The DFT / 2D-DFT produces **complex values**
- It is displayed with two images, typically *magnitude* and *phase*.

$$\begin{aligned} F(k) &= \frac{1}{N} \sum_{n=0}^{N-1} x(n) e^{-j2\pi k \frac{n}{N}} = |F(k)| e^{j\angle F(k)} \\ &= \frac{1}{N} \sum_{n=0}^{N-1} x(n) \left( \cos(2\pi k \frac{n}{N}) - j \sin(2\pi k \frac{n}{N}) \right) \end{aligned}$$

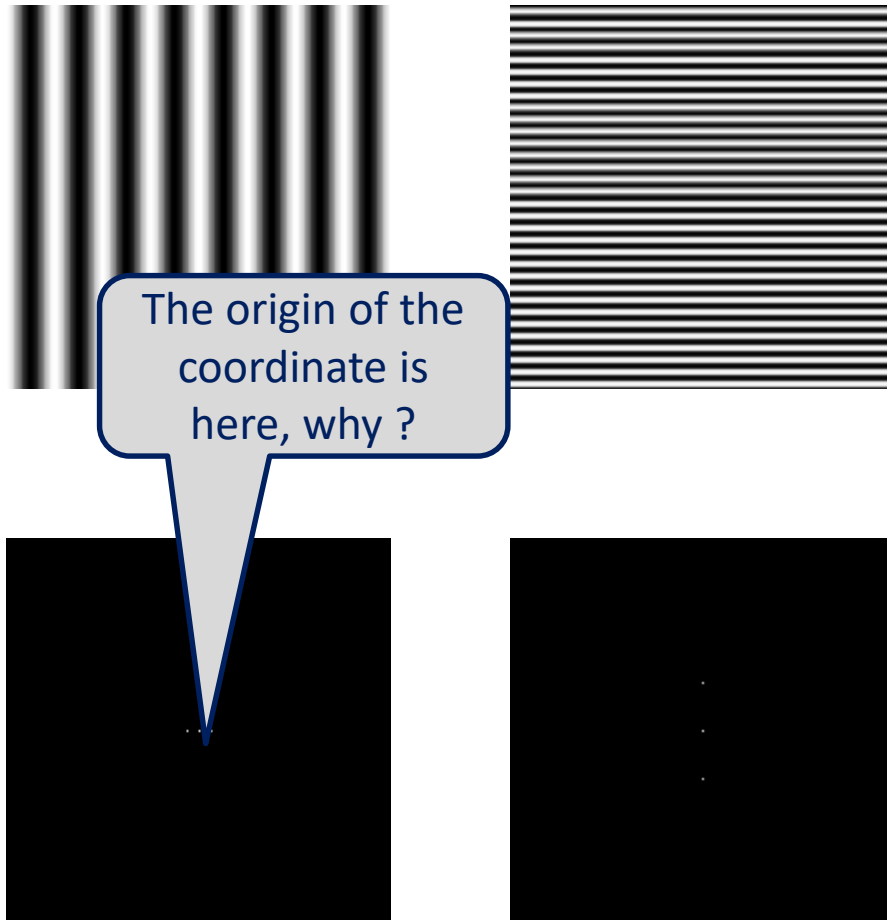


# Properties of the 2D-DFT

- For **real images**, the DFT is **symmetrical** about the **origin (or N/2)**

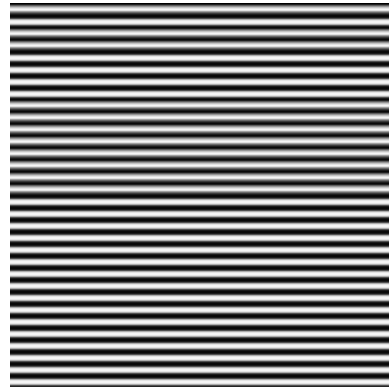
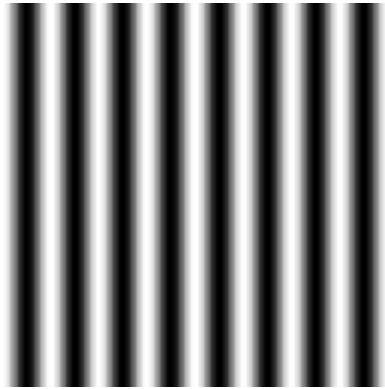
$$\begin{aligned} F(k, l) &= \frac{1}{N^2} \sum_{n=0}^{N-1} \sum_{m=0}^{N-1} x(n, m) e^{-j2\pi \left( \frac{kn}{N} + \frac{lm}{N} \right)} \\ F(N-k, N-l) &= \frac{1}{N^2} \sum_{n=0}^{N-1} \sum_{m=0}^{N-1} x(n, m) e^{-j2\pi \left( \frac{(N-k)n}{N} + \frac{(N-l)m}{N} \right)} \\ &= \frac{1}{N^2} \sum_{n=0}^{N-1} \sum_{m=0}^{N-1} x(n, m) e^{-j2\pi \left( \frac{(-k)n}{N} + \frac{(-l)m}{N} \right)} \\ &= \frac{1}{N^2} \sum_{n=0}^{N-1} \sum_{m=0}^{N-1} x(n, m) e^{+j2\pi \left( \frac{kn}{N} + \frac{lm}{N} \right)} = F^*(k, l) \end{aligned}$$

# 2-D DFT : example 1

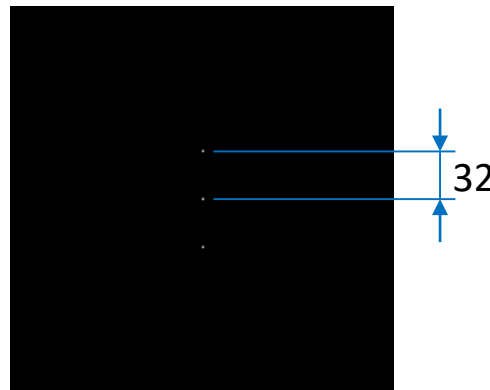


- Images that are **pure cosines** have particularly **simple DFT**
- Pure horizontal cosine of **8 cycles** and pure vertical cosine of 32 cycles.
- The **DFT just has a single component**, represented by **2 bright spots symmetrically** placed about the center of the FT image
- The **center of the image** is the **origin of the frequency coordinate system**.

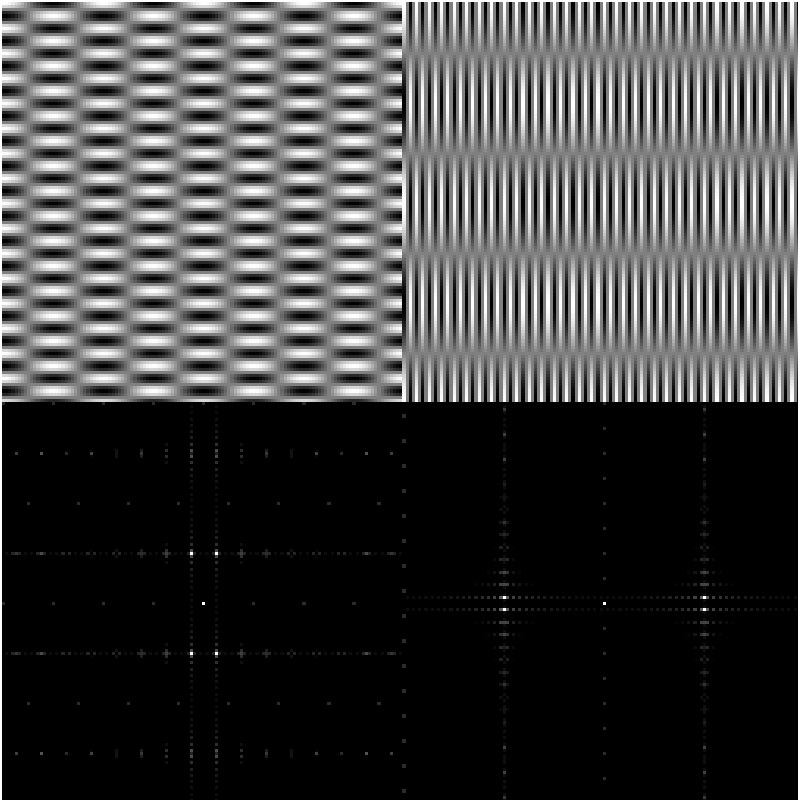
# 2-D DFT : example 1



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# 2-D DFT : example 1

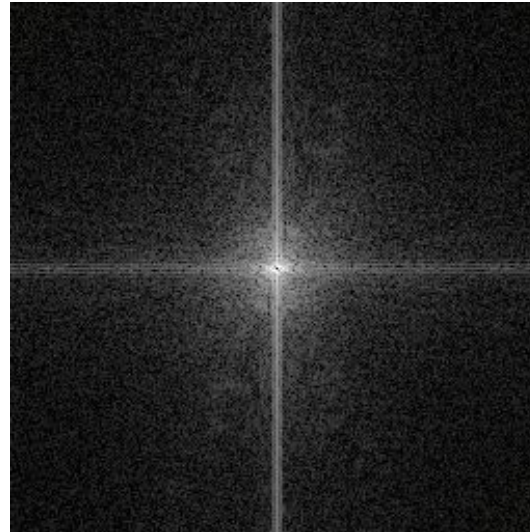


- Images of 2D cosines with both horizontal and vertical components.
- (left) 4 cycles horizontal and 16 cycles vertically.
- (right ) 32 cycles horizontally and 2 cycles vertically
- For real images, the FT is symmetrical about the origin so the 1st and 3rd (2nd and 4th) quadrants are the same

# 2-D DFT : example 2



(a) Chest radiograph

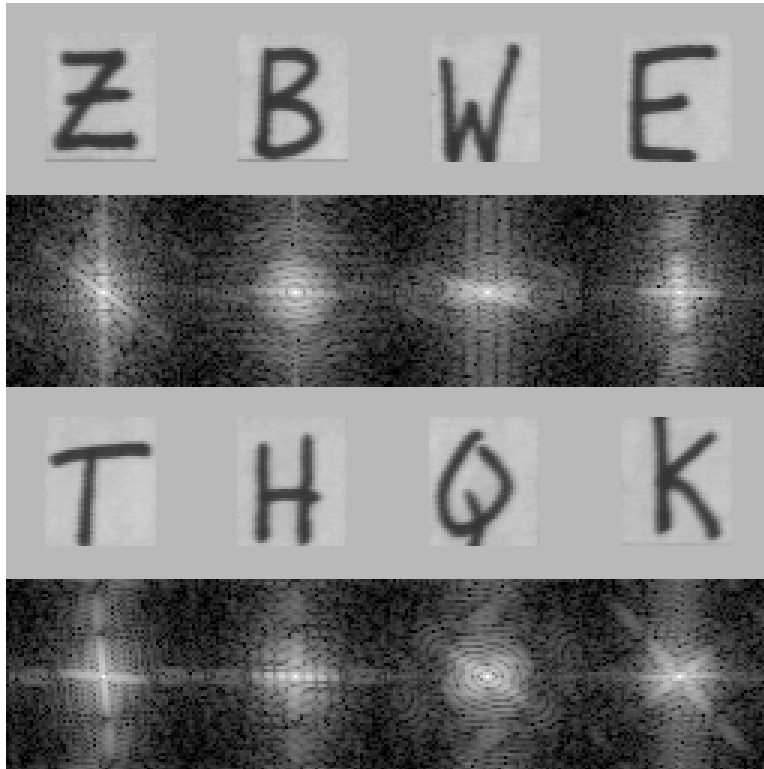


(b) 2-D Fourier spectrum of (a)

*broad range of spatial frequencies*  
*significant vertical and horizontal features, due to ribs and vertebral column*



## 2-D DFT: example 3

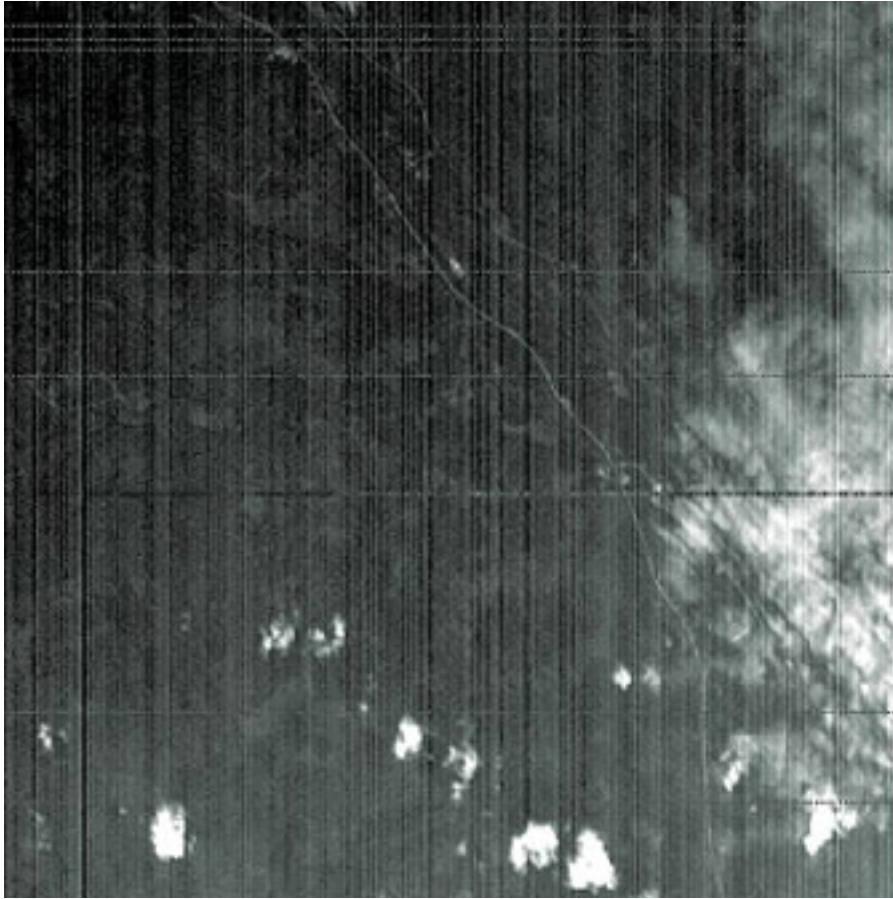


*The DFTs **tend** to have **bright lines perpendicular** to lines in the original letter.*

*If the letter has **circular segments**, then so does the FT.*



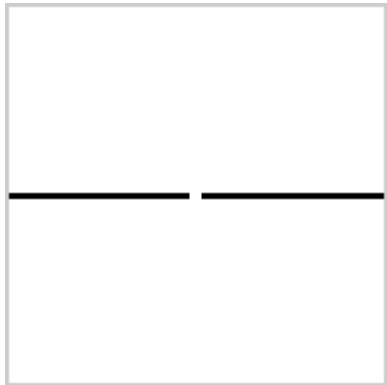
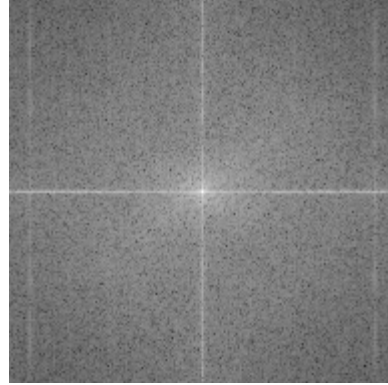
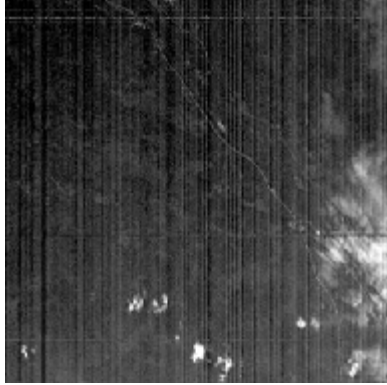
# Noise reduction using DFT



code

- Hyperspectral images can present semi-periodic partially deterministic disturbance patterns, which come from the image formation process and are characterized by a high degree of spatial and spectral coherence.
- **Vertical striping:** problem of CHRIS images; changes in temperature produce a dilation of the slit that results in a complex vertical pattern dependent on the sensor's temperature this hamper the operational use of CHRIS images since latter processing stages are drastically affected by these anomalous pixels.
- These errors must be identified and corrected by making use of both spatial and spectral information of the anomalous pixel and its neighbors.

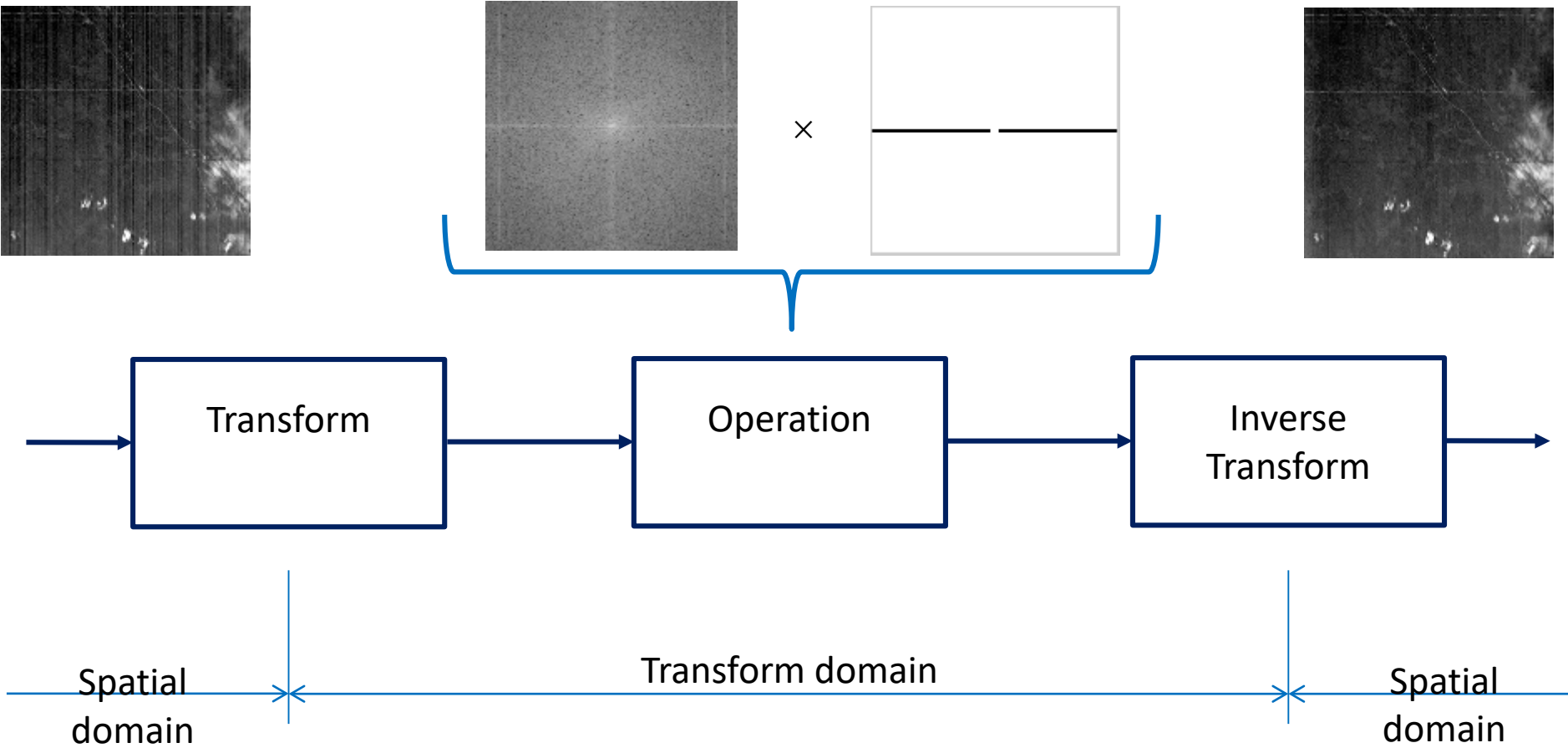
# Noise reduction using DFT



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- These errors must be identified and corrected by making use of both spatial and spectral information of the anomalous pixel and its neighbors.



# Noise reduction using DFT



# Some limitations of DFT for image processing

- To evaluate the DFT we need to work with **complex numbers** → due to the multiplication with the complex kernel it is more **difficult** for **implementation**
- Both **magnitude** and **phase** information are **relevant** for the **reconstruction** of the image.
- So when **processing** an image in the DFT domain we need to **understand** what will **happen** to both the **magnitude** and **phase**
  - Difficult to handle and display

# Why do we have the imaginary part in the DFT ?

- Let us look at the 1D-DFT case:

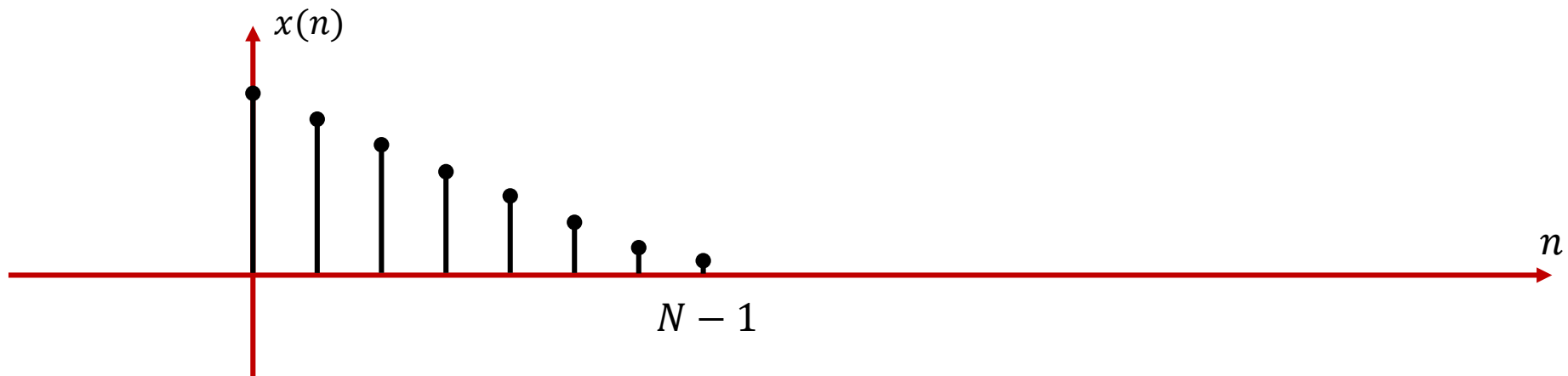
$$F(k) = \frac{1}{N} \sum_{n=0}^{N-1} x(n) e^{-j2\pi k \frac{n}{N}} = \frac{1}{N} \sum_{n=0}^{N-1} x(n) \left( \cos(2\pi k \frac{n}{N}) - j \sin(2\pi k \frac{n}{N}) \right)$$

- **Cosine** waves are due to the even component of the signal  $x(n)$
- **Sine waves** are due to the odd component of the signal  $x(n)$

How to get rid of the imaginary part in  
the DFT ?

# How to get rid of the imaginary part in the DFT ?

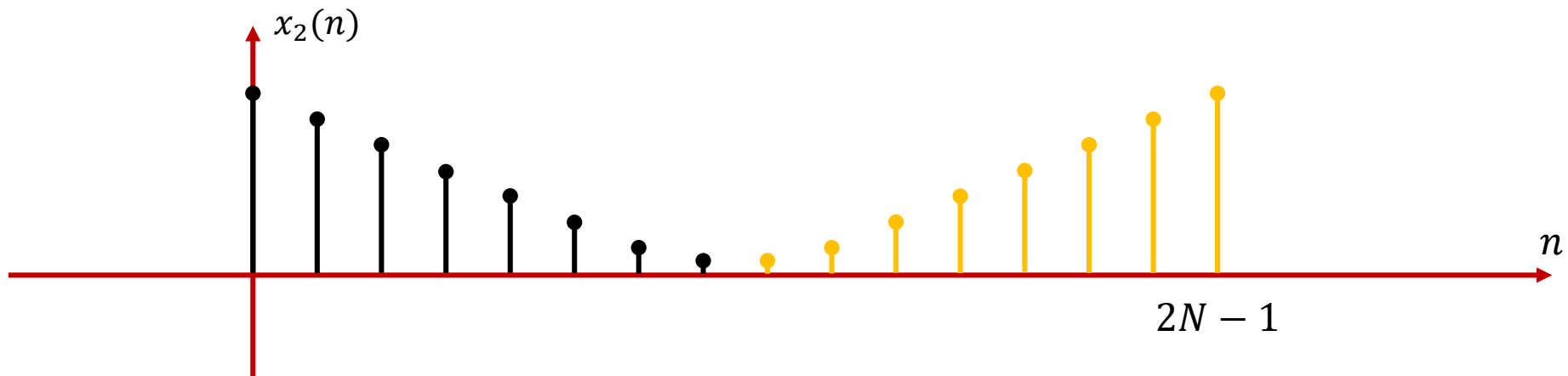
- We need to have an **even symmetry** signal
- **How** to make the following signal **even symmetry** signal ?



# How to get rid of the imaginary part in the DFT ?

- Let **suppose** that a **new** signal is generated from  $x(n)$  such that:

$$x_2(n) = \begin{cases} x(n) & ; \quad 0 \leq n \leq N-1 \\ x(2N-1-n) & ; \quad N \leq n \leq 2N-1 \end{cases}$$



# How to get rid of the imaginary part in the DFT ?

$$\begin{aligned}
 F(k) &= \frac{1}{2N} \sum_{n=0}^{2N-1} x_2(n) e^{-j2\pi k \frac{n}{2N}} = \frac{1}{2N} \left( \sum_{n=0}^{N-1} x(n) e^{-j2\pi k \frac{n}{2N}} + \sum_{n=N}^{2N-1} x(2N-1-n) e^{-j2\pi k \frac{n}{2N}} \right) \\
 &= \frac{1}{2N} \left( \sum_{n=0}^{N-1} x(n) \left( e^{-j2\pi k \frac{n}{2N}} + e^{-j2\pi k \frac{(2N-1-n)}{2N}} \right) \right) \\
 \textcircled{1} \rightarrow &= \frac{1}{2N} \left( \sum_{n=0}^{N-1} x(n) \left( e^{-j2\pi k \frac{n}{2N}} + e^{j2\pi k \frac{(1+n)}{2N}} \right) \right) \\
 \textcircled{2} \rightarrow &= \frac{1}{2N} \left( \sum_{n=0}^{N-1} x(n) e^{j\pi k \left( \frac{1}{2N} \right)} \left( e^{-j\pi k \left( \frac{2n+1}{2N} \right)} + e^{j\pi k \left( \frac{2n+1}{2N} \right)} \right) \right) \\
 &= \frac{e^{j\pi k \left( \frac{1}{2N} \right)}}{N} \left( \sum_{n=0}^{N-1} x(n) \cos \left( \pi k \left( \frac{2n+1}{2N} \right) \right) \right)
 \end{aligned}$$

$\textcircled{1} \rightarrow \textcircled{2}$ :  
 $e^{-j2\pi k \frac{n}{2N}} + e^{j2\pi k \frac{(1+n)}{2N}}$   
 $= e^{j2\pi k \frac{1}{4N}} (e^{-j2\pi k \frac{2n+1}{4N}} + e^{j2\pi k \frac{2n+1}{4N}})$   
 $= e^{j\pi k \frac{1}{2N}} (e^{-j\pi k \frac{2n+1}{2N}} + e^{j\pi k \frac{2n+1}{2N}})$   
 $\underbrace{\hspace{10em}}_{\cos}$

# How to get rid of the imaginary part in the DFT ?

$$\begin{aligned}
 F(k) &= \frac{1}{2N} \sum_{n=0}^{2N-1} x_2(n) e^{-j2\pi k \frac{n}{2N}} = \frac{1}{2N} \left( \sum_{n=0}^{N-1} x(n) e^{-j2\pi k \frac{n}{2N}} + \sum_{n=N}^{2N-1} x(2N-1-n) e^{-j2\pi k \frac{n}{2N}} \right) \\
 &= \frac{1}{2N} \left( \sum_{n=0}^{N-1} x(n) \left( e^{-j2\pi k \frac{n}{2N}} + e^{-j2\pi k \frac{(2N-1-n)}{2N}} \right) \right) \\
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 &= \frac{e^{j\pi k \left( \frac{1}{2N} \right)}}{N} \left( \sum_{n=0}^{N-1} x(n) \cos \left( \pi k \left( \frac{2n+1}{2N} \right) \right) \right)
 \end{aligned}$$

The **summation** is just on the **first N values**, interesting, no ?



# Discrete Cosine Transform

# Discrete Cosine Transform

- The **1-D** discrete cosine transform is defined as :

$$F(k) = \alpha(k) \sum_{n=0}^{N-1} x(n) \cos \left[ \frac{(2n+1)k\pi}{2N} \right]$$

$$k = 0, \dots, N-1$$

$$\alpha(k) = \begin{cases} \sqrt{\frac{1}{N}} & ; \quad k = 0 \\ \sqrt{\frac{2}{N}} & ; \quad k \neq 0 \end{cases}$$

This normalization parameters makes the DCT matrix orthonormal

# Discrete Cosine Transform

- DCT **express** a signal in terms of a **sum** of **cosines** with **different frequencies** and **amplitudes**.
- The DCT of real function,  $F(k)$ , is **real**
- In general, the DCT is **not** the real part of the DFT
- **A is real and orthogonal**
  - rows of A form orthonormal basis
  - A is not symmetric!

# Inverse DCT

- Similarly, the **Inverse DCT** (IDCT) is defined as

$$x(n) = \sum_{k=0}^{N-1} \alpha(k) F(k) \cos \left[ \frac{(2n+1)k\pi}{2N} \right]$$

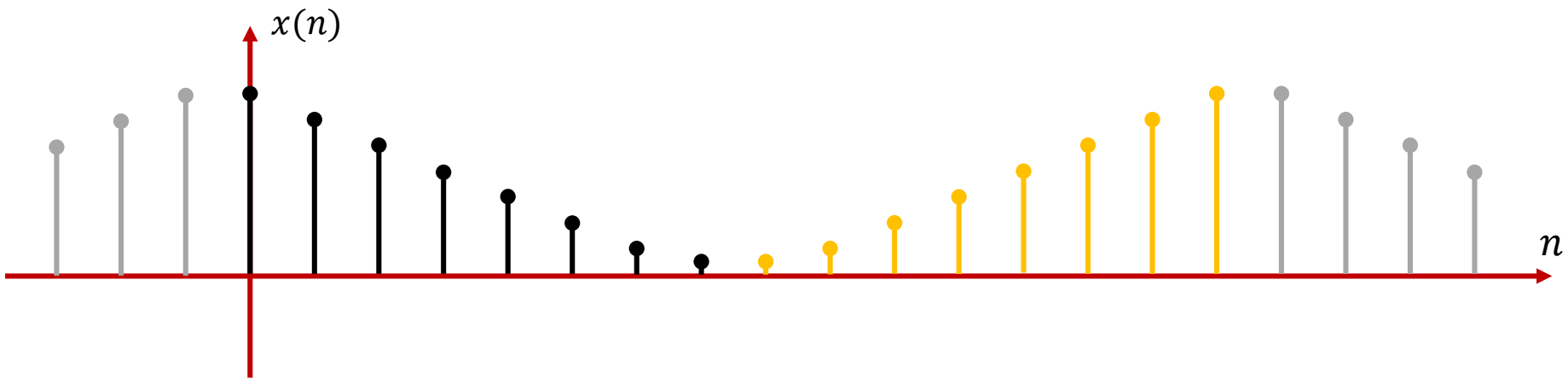
$$n = 0, \dots, N-1$$

$$\alpha(0) = \sqrt{\frac{1}{N}}$$

$$\alpha(n) = \sqrt{\frac{2}{N}}$$

# Periodicity of the DCT

- The DCT implies a periodic extension of the original function.
- The DCT implies the boundary conditions:  $x(n)$  is even around  $n = -1/2$ , this is different from the boundary condition of the DFT

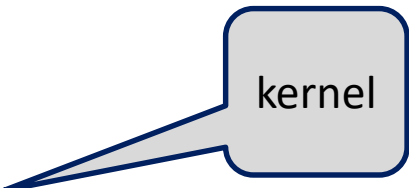


# 1-D DCT in matrix notations

- The **kernel** of the 1-D discrete cosine transform (DCT-II) **is** :

$$\begin{aligned} F(k) &= \alpha(k) \sum_{n=0}^{N-1} x(n) \cos \left[ \frac{(2n+1)k\pi}{2N} \right] \\ &= \sum_{n=0}^{N-1} x(n) \alpha(k) \cos \left[ \frac{(2n+1)k\pi}{2N} \right] \\ &= \sum_{n=0}^{N-1} x(n) w(k, n) \end{aligned}$$

$$w(k, n) = \cos \left[ \frac{(2n+1)k\pi}{2N} \right]$$



kernel

# 1-D DCT in matrix notations

$$\begin{bmatrix} F(0) \\ F(1) \\ F(2) \\ \vdots \\ F(N-1) \end{bmatrix} = \begin{bmatrix} w(0,0) & w(0,1) & \dots & \dots & w(0,N-1) \\ w(1,0) & w(1,1) & & & \\ \vdots & & \dots & & \vdots \\ \vdots & & & \dots & \vdots \\ w(N-1,0) & w(N-1,1) & \dots & \dots & w(N-1,N-1) \end{bmatrix} \times \begin{bmatrix} x(0) \\ x(1) \\ x(2) \\ \vdots \\ x(N-1) \end{bmatrix}$$

$$\mathbf{F} = \mathbf{T}\mathbf{X} \quad \Rightarrow \quad \mathbf{X} = \mathbf{T}^{-1}\mathbf{F}$$

The **transformation matrix** ,  $T$  , is  **$N \times N$**  matrix

Mat. Ex.

# 2-D DCT

- The two-dimensional DCT (2D-DCT) could be **written also** as :

$$F(k,l) = \alpha(k)\alpha(l) \sum_{n=0}^{N-1} \sum_{m=0}^{N-1} x(n,m) \cos\left[\frac{(2m+1)l\pi}{2N}\right] \cos\left[\frac{(2n+1)k\pi}{2N}\right]$$

$$k, l = 0, \dots, N-1$$

$$\alpha(0) = \sqrt{\frac{1}{N}} \quad \alpha(k) = \sqrt{\frac{2}{N}}$$



# 2-D DCT

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$$k, l = 0, \dots, N-1$$

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 &= \alpha(k)\alpha(l) \sum_{n=0}^{N-1} \left( \sum_{m=0}^{N-1} x(n,m) \cos\left[\frac{(2m+1)l\pi}{2N}\right] \right) \cos\left[\frac{(2n+1)k\pi}{2N}\right]
 \end{aligned}$$

What is this term ?

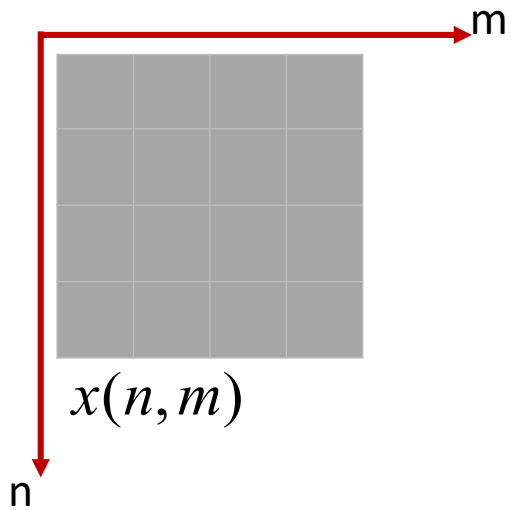
$$k, l = 0, \dots, N-1$$

$$\alpha(0) = \sqrt{\frac{1}{N}} \quad \alpha(k) = \sqrt{\frac{2}{N}}$$

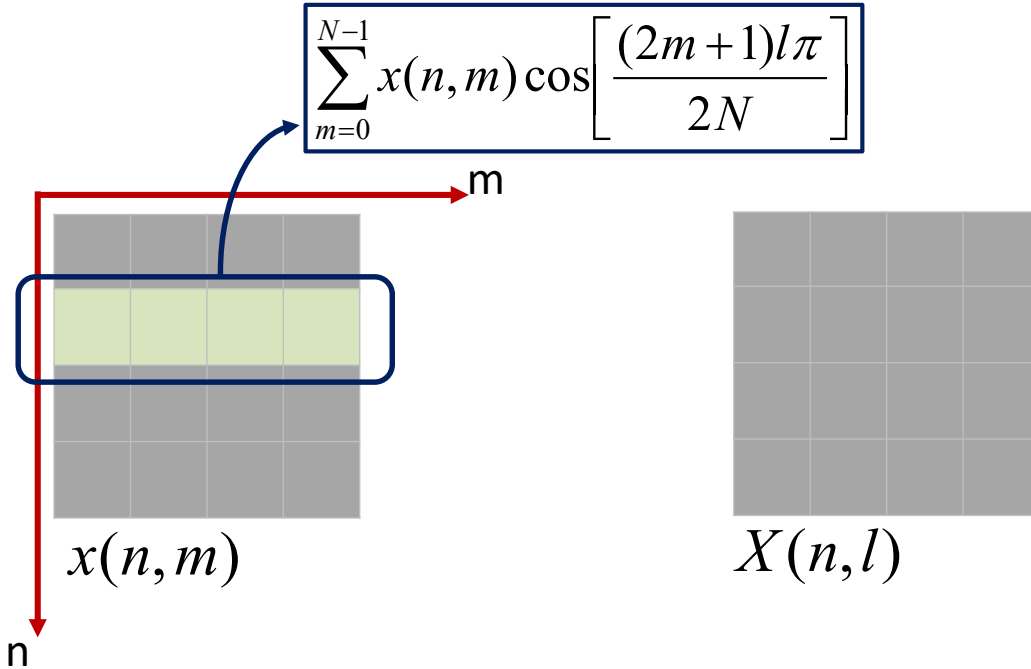
# 2-D DCT

- The **two-dimensional DCT** is obtained applying the 1-D transform to the **columns** (rows) and **then** to the **rows** (columns) **independently**

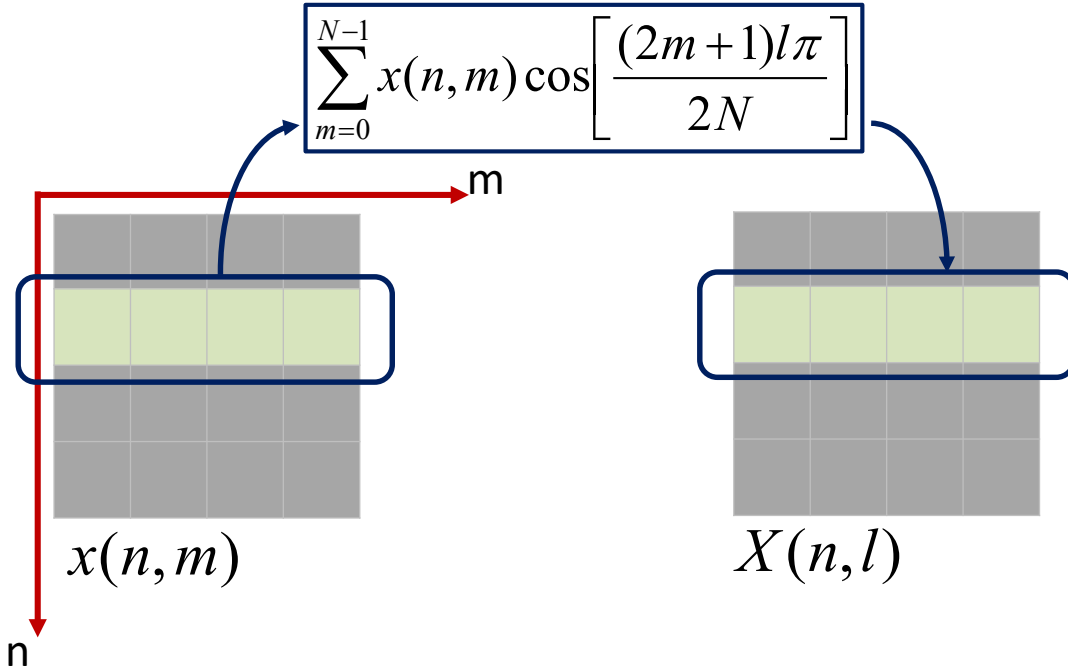
# 2-D DCT



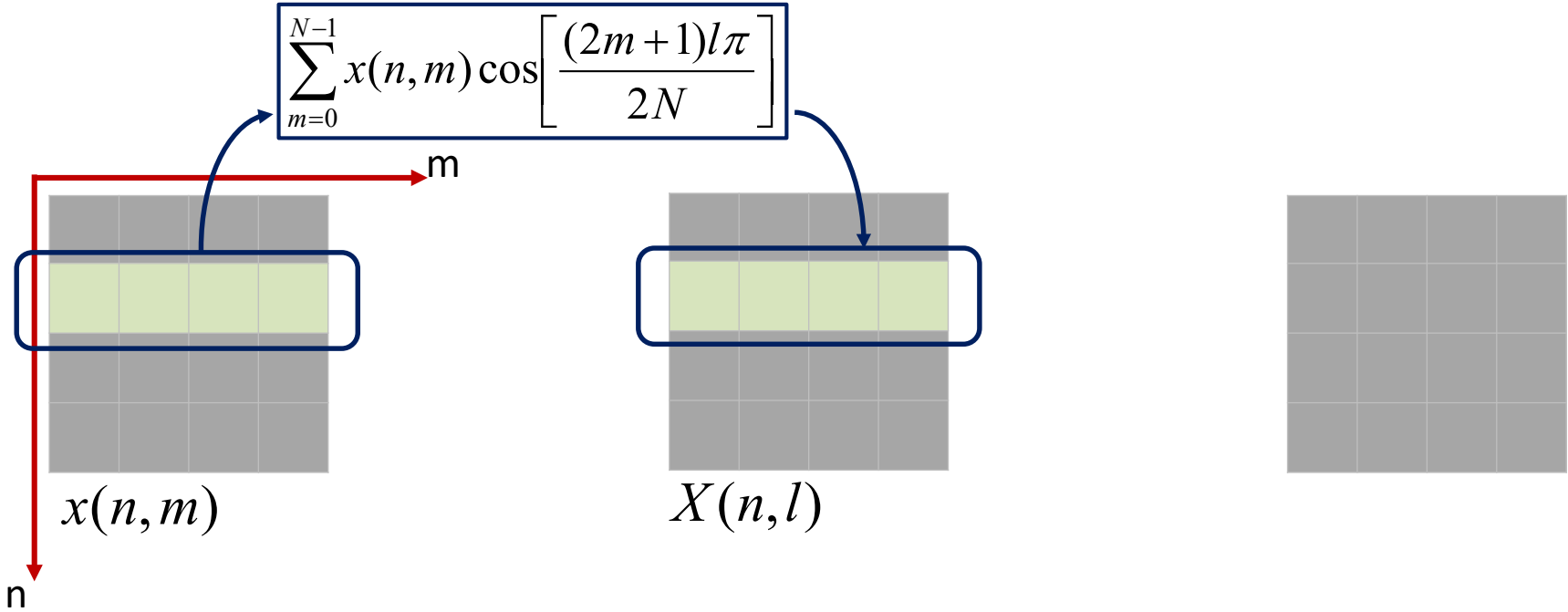
# 2-D DCT



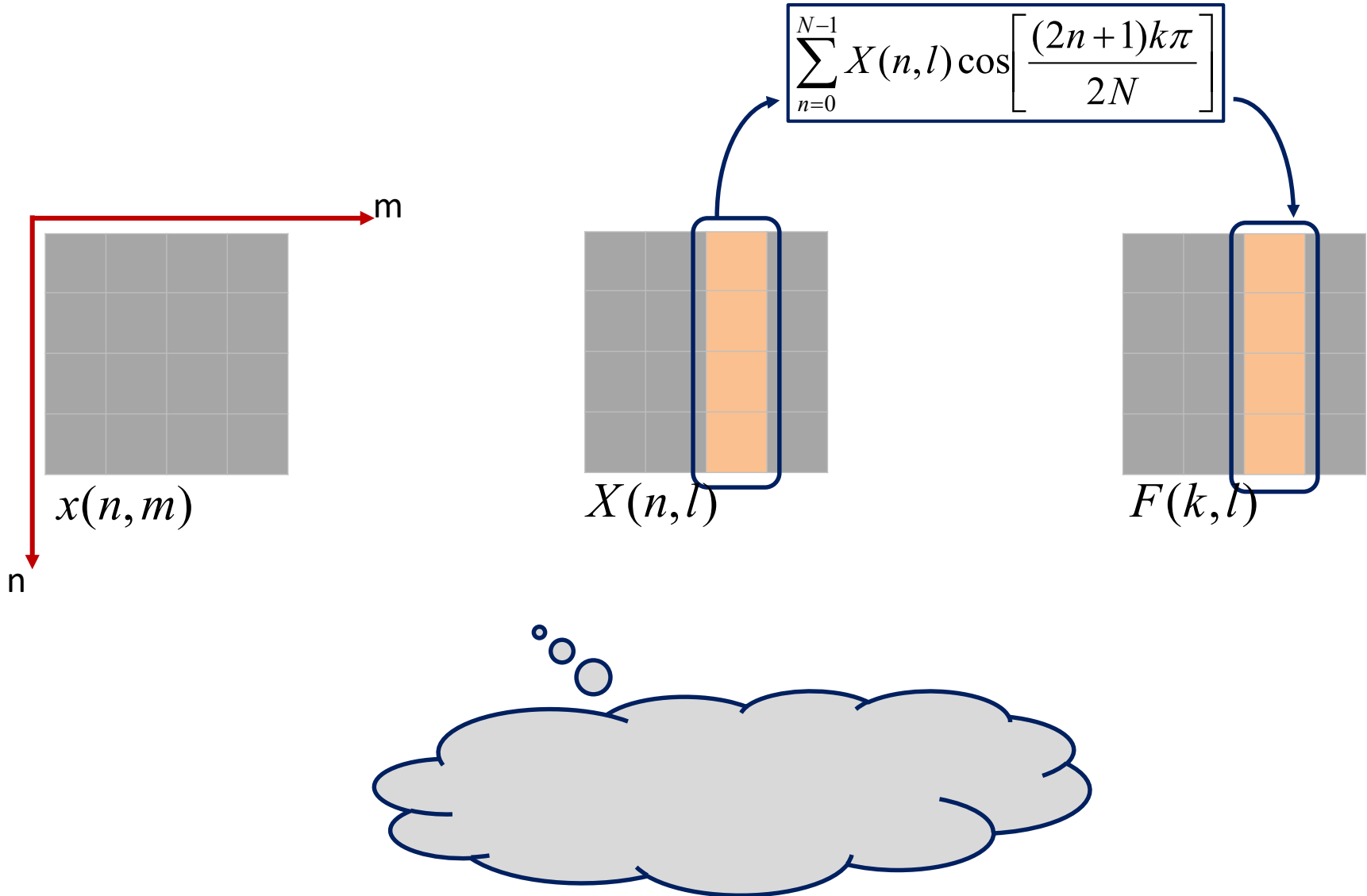
# 2-D DCT



# 2-D DCT



# 2-D DCT





# 2-D DCT

- The **two-dimensional DCT** is obtained applying the 1-D transform to the **columns** (rows) and **then** to the **rows** (columns) **independently**
- This kind of transform is called separable transform

# 2-D DCT

- In matrix notation

- Applying the DCT to the columns :

$$\mathbf{F}_{tmp} = \mathbf{T}\mathbf{X}$$

- Applying the DCT to the rows of the resulting image is :

$$\mathbf{F} = \mathbf{F}_{tmp} \mathbf{T}^T$$

- The two-dimensional DCT (2D-DCT) could be written also as :

$$\mathbf{F} = \mathbf{T}\mathbf{X}\mathbf{T}^T$$

# Inverse 2-D DCT

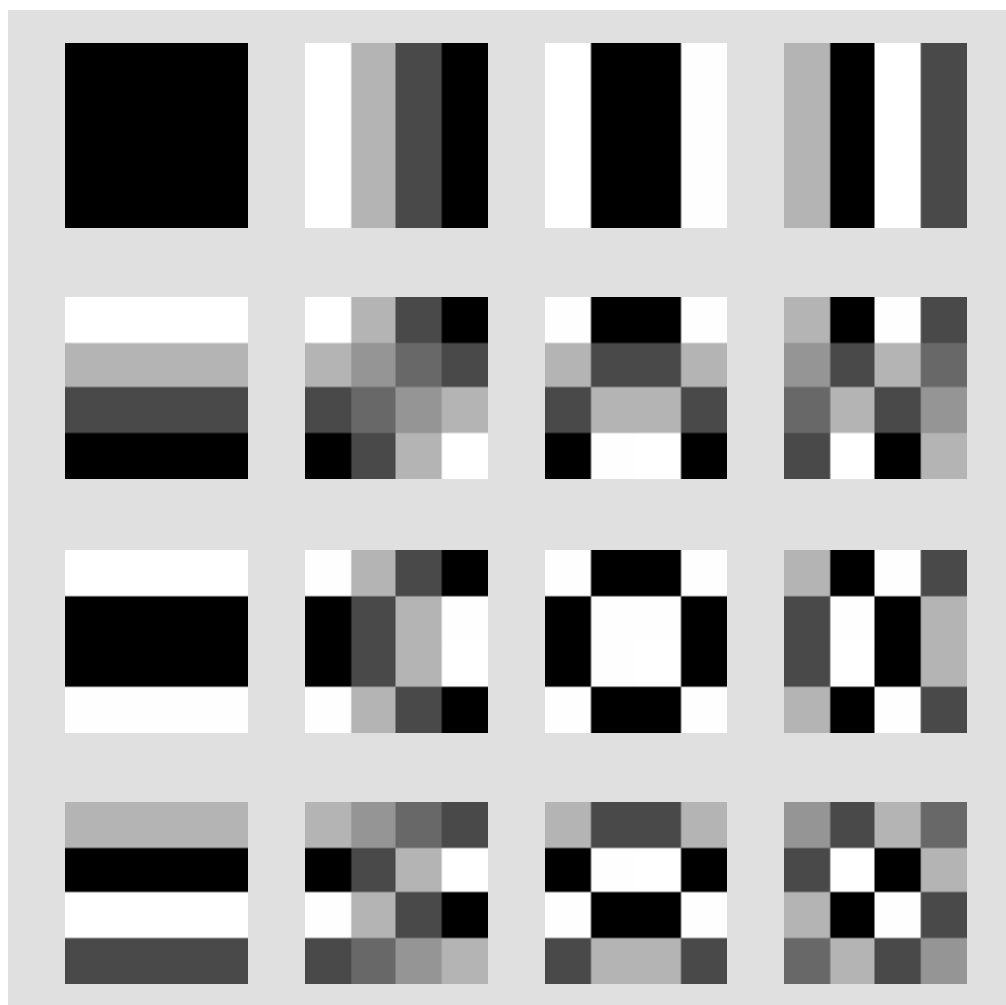
- Analogously, the inverse 2-D transform is

$$x(n, m) = \sum_{k=0}^{N-1} \sum_{l=0}^{N-1} \alpha(k) \alpha(l) F(k, l) \cos\left[\frac{(2n+1)k\pi}{2N}\right] \cos\left[\frac{(2m+1)l\pi}{2N}\right]$$

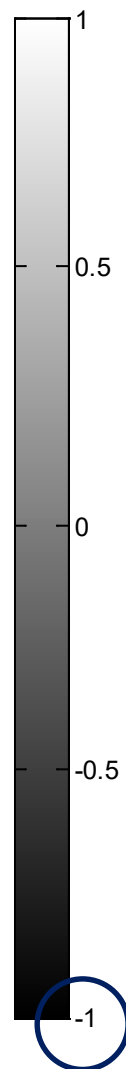
$n, m = 0, \dots, N-1$

$$\alpha(0) = \sqrt{\frac{1}{N}} \quad \alpha(i) = \sqrt{\frac{2}{N}} \quad i \neq 0$$

# 2D-DCT basis functions



code



Basis functions of **4X4** DCT

When it is **applied** to an 4x4 image, it yields an **4x4 matrix** of **weighted** values corresponding to **how much** of each **basis function** is present in the image

An 4x4 image that just contains one **shade of gray** will yield only a weighted value for the **upper left** hand DCT basis function (which has **no frequencies** in the x or y direction).

# Interpretation of DCT basis functions

- The DCT helps **separate** the image into **spectral sub-bands** of **differing importance** with respect to the image's visual quality.
- **Any** grey-scale  $N \times N$  pixel block can be **fully represented** by a **weighted** sum of the  $N^2$  **basis functions**  $\rightarrow$  the **DCT coefficients** acting as **weights** for these blocks.

# Interpretation of DCT basis functions

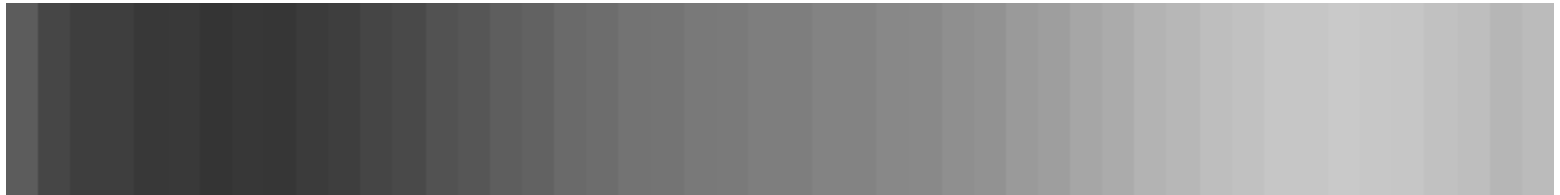
- The top-left basis function represents **zero spatial frequency** (*DC coefficient*)
- Along the **top row** the basis functions have **increasing horizontal spatial frequency** content.
- Down the **left column** the functions have increasing **vertical spatial frequency** content.

# Why DCT not FFT for image applications ?

- Zeroing 88% of high frequencies, i.e., keeping only 12% of low frequencies



DFT

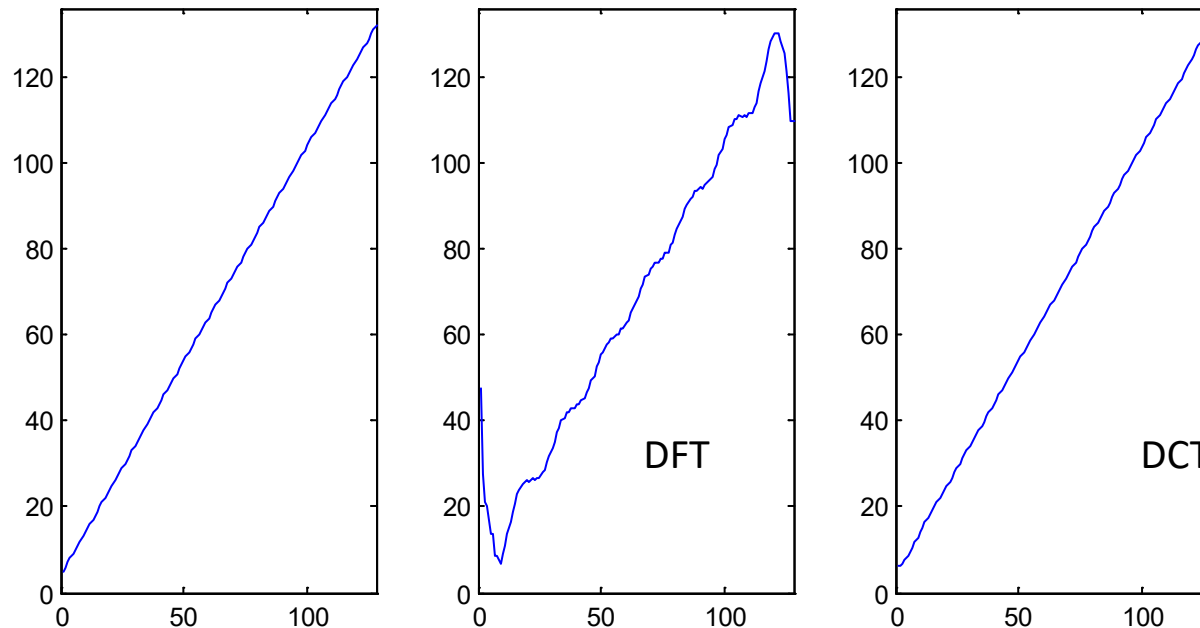


DCT



# Why DCT not FFT for image applications ?

- Keeping only 12% of low frequencies

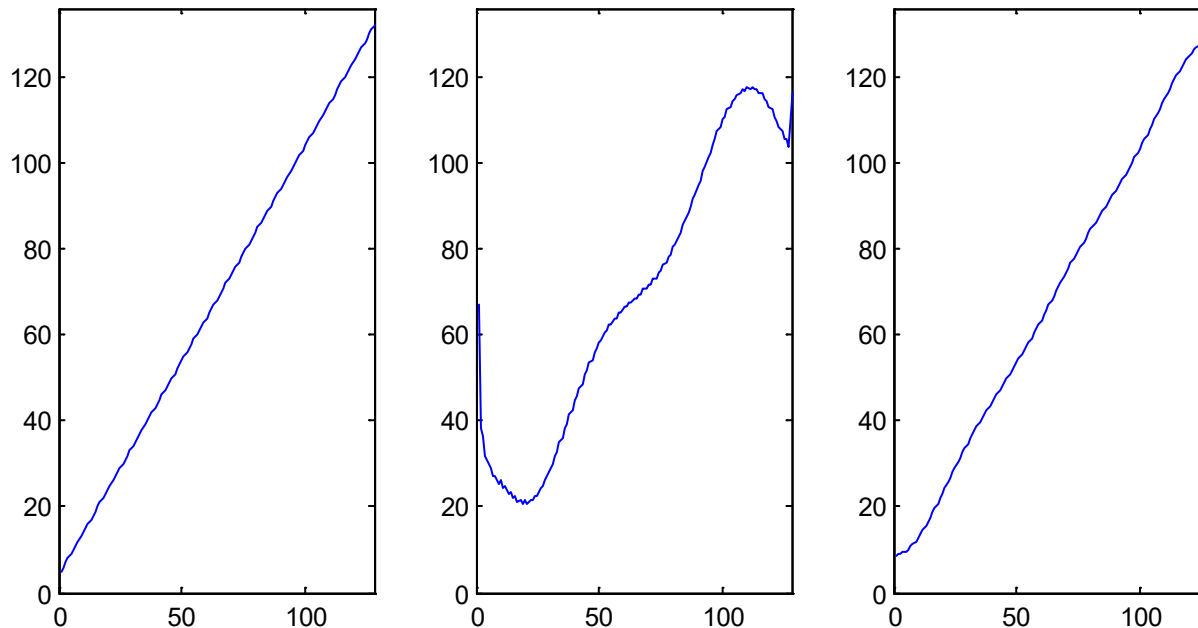


- DCT can **approximate boundary discontinuity** well with **fewer coefficients** (Discontinuities require more high frequency components to represent them)
- **Blocking artifacts** less pronounced



# Why DCT not FFT for image applications ?

- Keeping only 5% of low frequencies



- DCT can **approximate boundary discontinuity** well with **fewer coefficients** (Discontinuities require more high frequency components to represent them)
- **Blocking artifacts** less pronounced

# DCT applications

- The DCT is a **popular transform** used by the **JPEG** (Joint Photographic Experts Group) image compression standard for lossy compression of images.
- Since it is being so famous in the field of image compression in nowadays literature is often referring to the DCT used in JPEG as the **JPEG-DCT**.

# Self-Reading

# Hadamard transform

# Hadamard transform

- It uses **basis** vectors constituted of **+1** and **-1**
- Due to its simplicity it is used in variety of applications.
- Simple **recursive** relationship holds for **generating** the **transformation matrices** starting from the lower order one ( $N=2$ )

# Hadamard transform

- Hadamard matrix of order  $N=2$ :

$$H_2 = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

- Hadamard matrix of order  $2N$ :

$$H_{2N} = \begin{bmatrix} H_N & H_N \\ H_N & -H_N \end{bmatrix}$$

# Hadamard transform

- Hadamard matrix of order N=4:

$$H_4 = \begin{bmatrix} H_2 & H_2 \\ H_2 & -H_2 \end{bmatrix} = \begin{bmatrix} + & + & + & + \\ + & - & + & - \\ + & + & - & - \\ + & - & - & + \end{bmatrix}$$

# Hadamard transform

- Kernel of the Hadamard transform (in the case  $N=8$ ):

$$H_8 = \begin{bmatrix} H_4 & H_4 \\ H_4 & -H_4 \end{bmatrix} = \begin{bmatrix} + & + & + & + & + & + & + & + \\ + & - & + & - & + & - & + & - \\ + & + & - & - & + & + & - & - \\ + & - & - & + & + & - & - & + \\ + & + & + & + & - & - & - & - \\ + & - & + & - & - & + & - & + \\ + & + & - & - & - & - & + & + \\ + & - & - & + & - & + & + & - \end{bmatrix}$$

$$U = HV$$

- $V$ ,  $U$ , vectors of original and transformed coefficients respectively



# Hadamard transform

- Observations:
  - The transformation has **no multiplications**
  - If the input signal is real then the **transformed signal** is also **real**, because Hadamard matrix has only two **real values, +1 or -1**.
  - The **rows** and **columns** of the Hadamard matrix form an **orthogonal set**

# Image Filters

# (Low-pass) Filtering in the Fourier Space

We thus create a new version of the image in Fourier space by computing

$$G(u, v) = H(u, v)F(u, v)$$

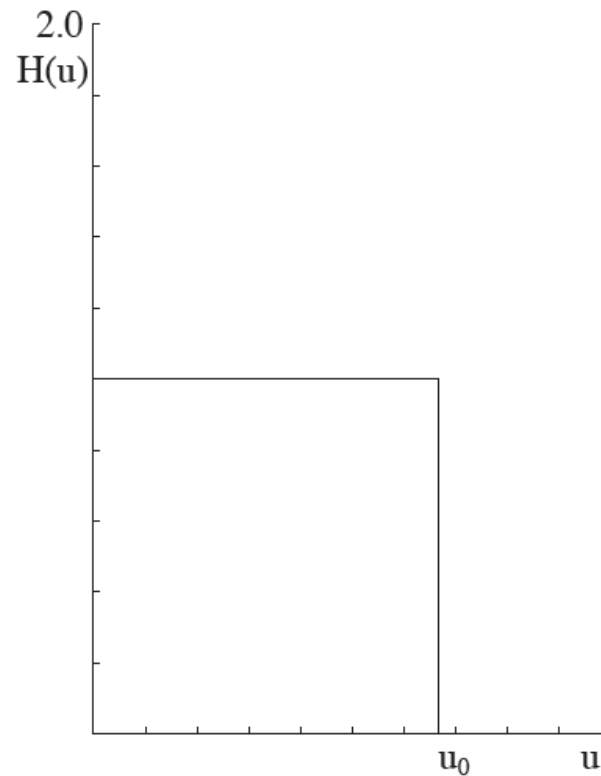
where:

- $F(u, v)$  is the Fourier transform of the original image,
- $H(u, v)$  is a filter function, designed to reduce high frequencies and
- $G(u, v)$  is the **Fourier transform of the improved image**.
- Inverse Fourier transform  $G(u, v)$  to get  $g(x, y)$  our **improved image**

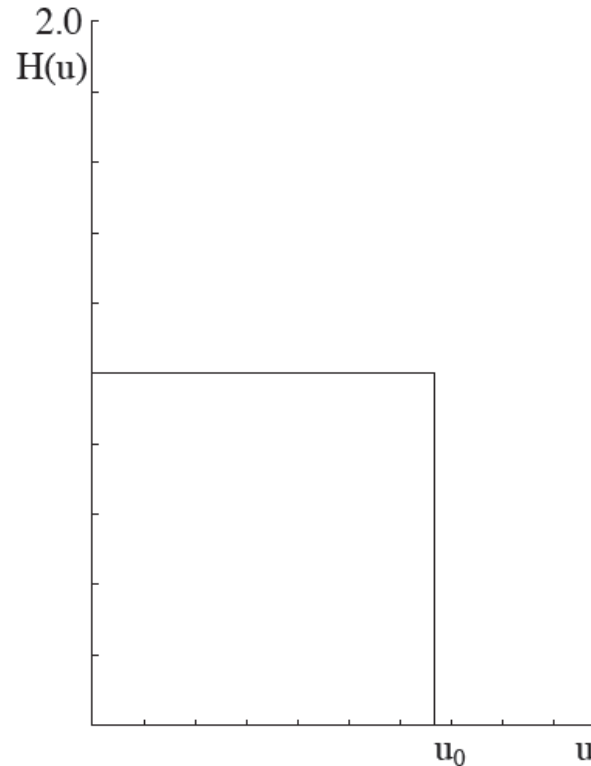
**Note:** Discrete Cosine Transform approach identical, sub. FT with DCT

# Ideal Low-Pass Filter

The simplest sort of filter to use is an *ideal low-pass filter*, which in one dimension appears as :



## Ideal Low-Pass Filter (Cont.)



This is a top hat function which is 1 for  $u$  between 0 and  $u_0$ , the *cut-off frequency*, and zero elsewhere.

- So All frequency space information above  $u_0$  is thrown away, and all information below  $u_0$  is kept.
- A **very simple** computational process.

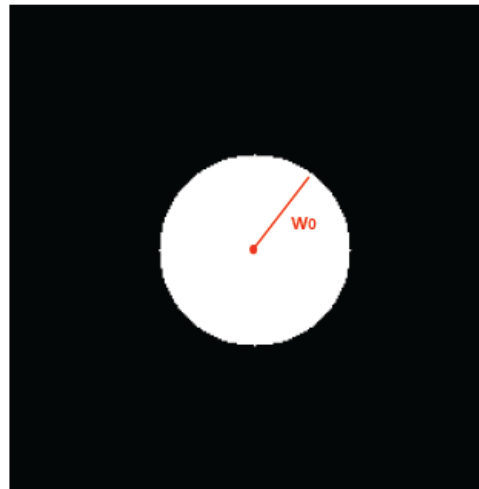
# Ideal 2D Low-Pass Filter

The two dimensional analogue of this is the function

$$H(u, v) = \begin{cases} 1 & \text{if } \sqrt{u^2 + v^2} \leq w_0 \\ 0 & \text{otherwise,} \end{cases}$$

where  $w_0$  is now the cut-off frequency.

Thus, all frequencies inside a radius  $w_0$  are kept, and all others discarded.



# Ideal Low-Pass Filter Example 1 MATLAB Code

## low pass.m:

```
% Create a white box on a black background image
M = 256; N = 256;
image = zeros(M,N)
box = ones(64,64);
%box at centre
image(97:160,97:160) = box;

% Show Image

figure(1);
imshow(image);

% compute fft and display its spectra

F=fft2(double(image));
figure(2);
imshow(abs(fftshift(F)));
```

# Ideal Low-Pass Filter Example 1 MATLAB Code (Cont.)

```
%compute Ideal Low Pass Filter
u0 = 20; % set cut off frequency

u=0:(M-1);
v=0:(N-1);
idx=find(u>M/2);
u(idx)=u(idx)-M;
idy=find(v>N/2);
v(idy)=v(idy)-N;
[V,U]=meshgrid(v,u);
D=sqrt(U.^2+V.^2);
H=double(D<=u0);

% display
figure(3);
imshow(fftshift(H));

% Apply filter and do inverse FFT
G=H.*F;
g=real(ifft2(double(G)));

% Show Result
figure(4);
imshow(g);
```



# Not So Ideal Low-Pass Filter?

The problem with this filter is that as well as the noise:

- In audio: plenty of other high frequency content
- In Images: edges (places of rapid transition from light to dark) also significantly contribute to the high frequency components.

Thus an ideal low-pass filter will tend to *blur* the data:

- High audio frequencies become muffled
- Edges in images become blurred.

The lower the cut-off frequency is made, the more pronounced this effect becomes in *useful data content*

# Low-Pass Butterworth Filter

Another filter sometimes used is the *Butterworth low pass filter*.

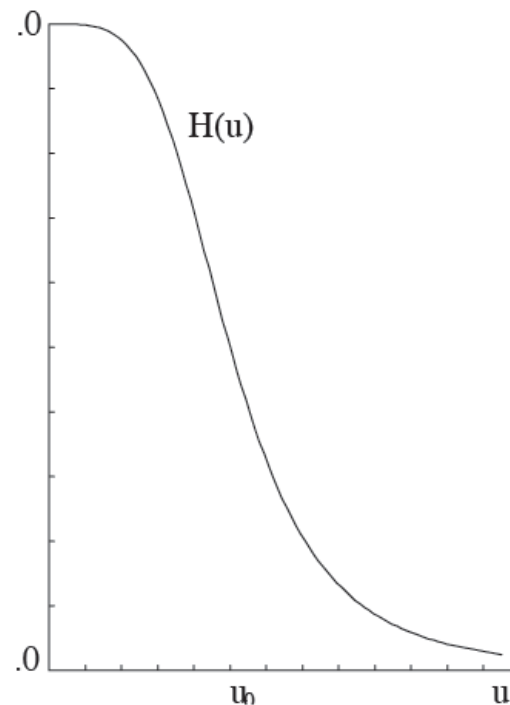
In the 2D case,  $H(u, v)$  takes the form

$$H(u, v) = \frac{1}{1 + [(u^2 + v^2)/w_0^2]^n},$$

where  $n$  is called the **order** of the filter.

## Low-Pass Butterworth Filter (Cont.)

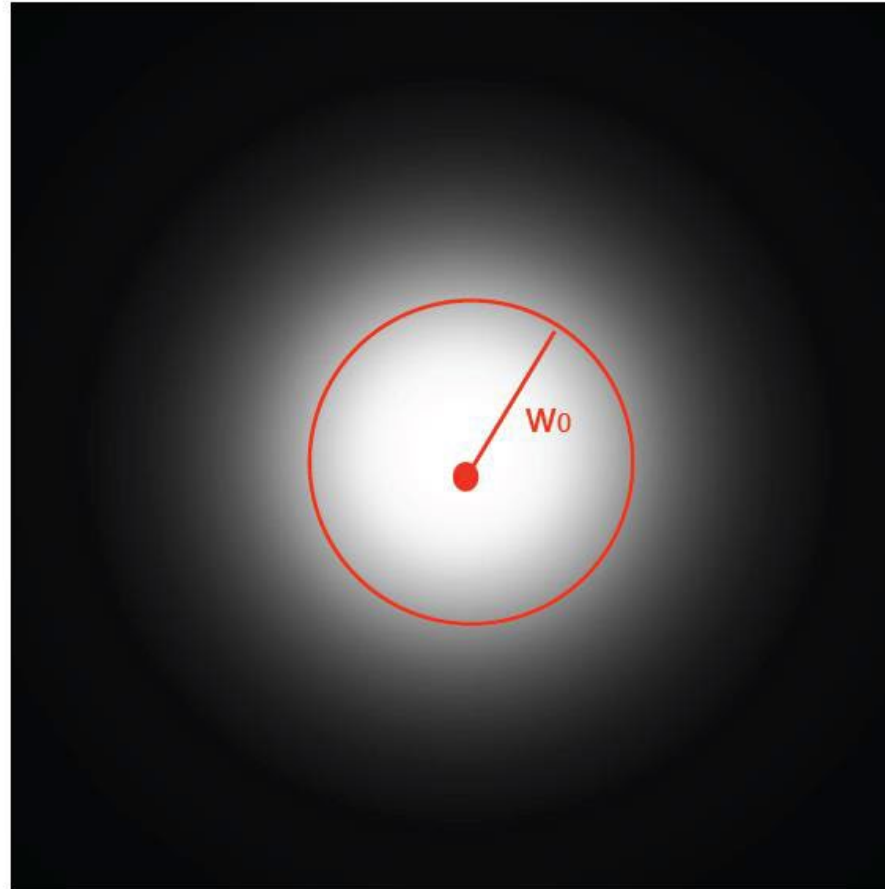
This keeps some of the high frequency information, as illustrated by the second order one dimensional Butterworth filter:



Consequently reduces the blurring.

## Low-Pass Butterworth Filter (Cont.)

The 2D second order Butterworth filter looks like this:



# Butterworth Low-Pass Filter Example 1 MATLAB Code

## butterworth.m:

```
% Load Image and Compute FFT as in Ideal Low Pass Filter
% Example 1
.....
% Compute Butterworth Low Pass Filter
u0 = 20; % set cut off frequency

u=0:(M-1);
v=0:(N-1);
idx=find(u>M/2);
u(idx)=u(idx)-M;
idy=find(v>N/2);
v(idy)=v(idy)-N;
[V,U]=meshgrid(v,u);

for i = 1: M
    for j = 1:N
        %Apply a 2nd order Butterworth
        UVw = double((U(i,j)*U(i,j) + V(i,j)*V(i,j))/(u0*u0));
        H(i,j) = 1/(1 + UVw*UVw);
    end
end
% Display Filter and Filtered Image as before
```

Thanks