## **DVGBO2**

# **Introduction to Berkley Sockets**



Based on the slides from Michael Welzl, Pål Halvorsen, Carsten Griwodz, Nikhil Shetty & Olav Lysne



## Goal

- Background
- Introduce socket API
- We will write two programs
  - A "client" and a "server"
- They will work as follows
  - The client sends the text "Hello world!" to the server
  - The server writes the received text on the screen
  - The server sends the received text back to the client and quits
  - The client writes the received text onto the screen and quits



### What is an API?

- API stands for Application Programming Interface
- Interface to what? in our case, it is an interface to use the network
- A connection to the transport layer
- Why do we need it?
  - One word Layering
  - Functions at transport layer and below are very complex
  - E.g. Imagine having to worry about errors on the wireless link and signals to be sent on the radio.
  - Helps in code reuse.



## **API**

**APPLICATION** API **TRANSPORT NETWORK** LINK **PHYSICAL** 



### What is socket then?

It is an abstraction that is provided to an application programmer to send or receive data to another process.

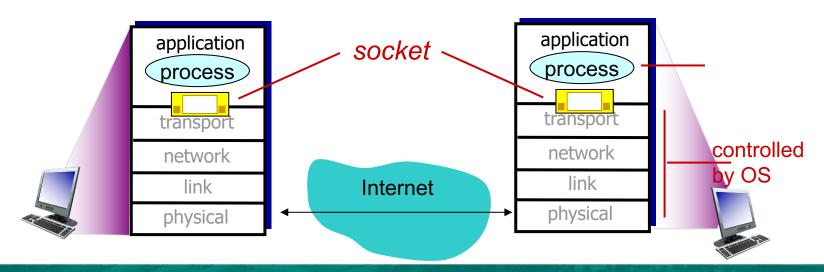
Data can be sent to or received from another process running on the same machine or a different machine.

In short, it is an end point of a data connection.



### **Sockets**

- Application process send messages to Transport layer via sockets
- Application process is controlled by the developer
- Transport layer (TCP, UDP) is controlled by the OS





## **Sockets**

- Identified by IP Address and Port number
- Berkeley Sockets in C
  - Released in 1983
  - Similar implementations in other languages



## **Sockets**

| Primitives | Meaning   |  |  |  |  |
|------------|---|--|--|--|--|
| SOCKET     | Create a new communication endpoint.                  |  |  |  |  |
| BIND       | Attach a local address to a SOCKET.                   |  |  |  |  |
| LISTEN     | Shows the willingness to accept connections.          |  |  |  |  |
| ACCEPT     | Block the caller until a connection attempts arrives. |  |  |  |  |
| CONNECT    | Actively attempt to establish a connection.           |  |  |  |  |
| SEND       | Send some data over connection.                       |  |  |  |  |
| RECEIVE    | Receive some data from the connection.                |  |  |  |  |
| CLOSE      | Release the connection.                               |  |  |  |  |



### **Ports**

- Sending process must identify the receiver
  - Address of the receiving end host
  - Plus identifier (port) that specifies the receiving process
- Receiving host
  - Destination address uniquely identifies the host
- Receiving process
  - Host may be running many different processes
- Destination port uniquely identifies the socket
  - Port number is a 16-bit quantity



## Port usage

- Popular applications have "well-known ports"
  - E.g., port 80 for Web and port 25 for e-mail
  - Well-known ports listed at http://www.iana.org
- Well-known vs. ephemeral ports
  - Server has a well-known port (e.g., port 80)
- By convention, between 0 and 1023; privileged
  - Client gets an unused "ephemeral" (i.e., temporary) port
  - By convention, between 1024 and 65535
- Flow identification
  - The two IP addresses plus the two port numbers
    - Sometimes called the "four-tuple"
  - Underlying transport protocol (e.g., TCP or UDP)
  - The "five-tuple"

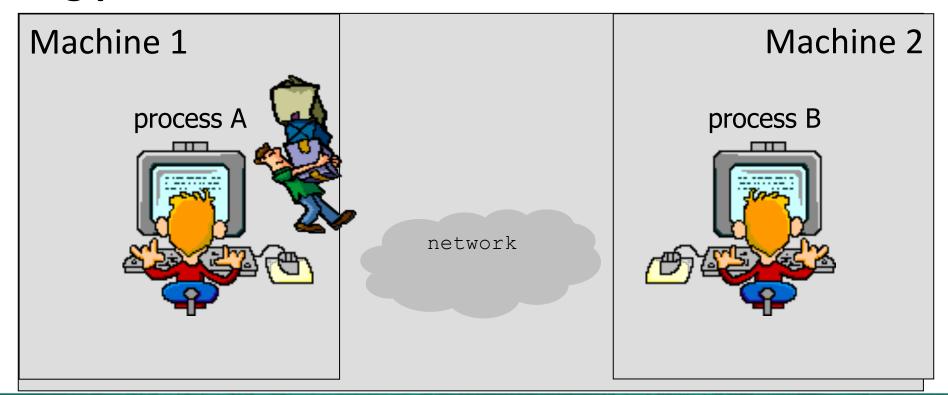


## **Ports (summary)**

- Not related to the physical architecture of the computer
- Just a number maintained by the operating systems to identify the end point of a connection

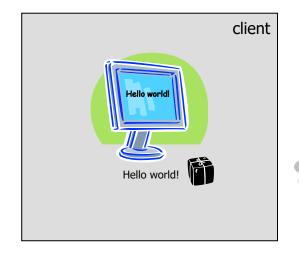


## Big picture

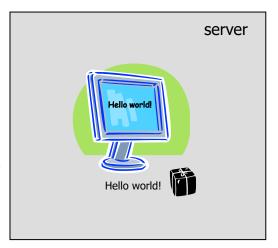




### What we want?







### What we want

```
int main()
 char buf[13];
  /* Send data */
 write(sd, "Hello world!", 12);
  /* Read data from the socket */
  read(sd, buf, 12);
  /* Add a string termination sign,
     and write to the screen. */
 buf[12] = '\0';
 printf("%s\n", buf);
```

```
int main()
 char buf[13];
 /* read data from the sd and
    write it to the screen */
 read(sd, buf, 12);
 buf[12] = '\0';
 printf("%s\n", buf );
 /* send data back over the connection *
 write(sd, buf, 12);
```



### **Read & Write**

- Same functions used for files etc.
- The call read(sd, buffer, n);
  - Reads up to n characters
  - From socket sd
  - Stores them in the character array buffer
- The call write(sd, buffer, n);
  - Writes up to n characters
  - From character array buffer
  - To the socket sd



### **Alternatives to Read & Write**

- The call recv(sd, buffer, n, flags);
  - Reads up to n characters
  - From socket sd
  - Stores them in the character array buffer
  - Flags, normally just 0, but e.g., MSG\_DONTWAIT, MSG\_MORE,...
- The call send(sd, buffer, n, flags);
  - Writes up to n characters
  - From character array buffer
  - To the socket sd
  - Flags
- Several similar functions like ...to/from, ...msg



### Creation of a connection

- One side must be the active one
  - take the initiative in creating the connection
  - this side is called the *client*
- The other side must be passive
  - it is prepared for accepting connections
  - waits for someone else to take initiative for creating a connection
  - this side is called the server
- From now: server is a process, not a machine



## Special for the server side

- In case of TCP
  - one socket on the server side is dedicated to waiting for a connection
  - for each client that takes the initiative, a separate socket on the server side is created
  - this is useful for all servers that must be able to serve several clients concurrently (web servers, mail servers, ...)



## To do – slightly more details

```
<Necessary includes>
                                     Client
int main()
  char buf[13];
  <Declare some more data structures>
  <Create a socket called "sd">
  < dentify the server that you want to contact>
  <Connect to the server>
  /* Send data */
  write(sd, "Hello world!", 12);
  /* Read data from the socket */
  read(sd, buf, 12);
  /* Add a string termination sign,
     and write to the screen. */
  buf[12] = '\0';
  printf("%s\n", buf);
  <Closing code>
```

```
<Necessary includes>
int main()
  char buf[13];
  <Declare some more data structures>
  <Create a socket called "request-sd">
  <Define how the client can connect>
  <Wait for a connection, and create a new socket "sd"
   for that connection>
  /* read data from the sd and
     write it to the screen */
  read(sd, buf, 12);
  buf[12] = '\0';
  printf("%s\n", buf );
  /* send data back over the connection */
  write(sd, buf, 12);
  <Closing code>
```





## <Necessary includes>

```
#include <netinet/in.h>
#include <sys/socket.h>
#include <netdb.h>
#include <stdio.h>
#include <string.h>
```

- These five files are needed by both client and server
- They include definitions and declarations as described on the following sides
- man-pages will have the info you need



## <Necessary includes>

- #include <netinet/in.h>
- Prototype & defines (htons, etc.)
- sockaddr in
- #include <sys/socket.h>
- Prototypes(send, connect, etc.)

- #include <netdb.h>
- Prototypes (gethostbyname, etc.)

- #include <stdio.h>
- Prototypes (printf, etc.)

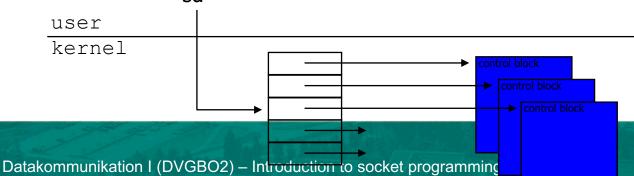
- #include <string.h>
- Prototypes(memset, etc.)



### Create a socket

lient Server

 Call to the function socket() creates a transport control block (hidden in kernel), and returns a reference to it (integer used as index)





## More about the socket call

sd = socket(int domain, int type, int protocol)

- PF\_INET, SOCK\_STREAM and IPPROTO\_TCP are constants that are defined in the included files
- The use of the constants that we used on the previous slides (and above) creates a TCP socket
- Many other possibilities exist
  - Domain: PF\_UNIX, PF\_INET, PF\_INET6, ...
  - Type: SOCK\_STREAM, SOCK\_DGRAM, ...
  - Protocol: IPPROTO\_TCP, IPPROTO\_UDP, ...
- protocol can be NULL, OS choses apropriate proocol (use with care!)



## How to identify clients to accept, and servers to contact?

- Machine??
  - by its IP address (e.g., 129.240.65.59)
- Application/service/program??
  - by (IP address and) port number
  - standard applications have own, "well-known" port numbers
    - o SSH: 22
    - o Mail: 25
    - o Web: 80
    - Look in /etc/services for more



### Address structure

struct sockaddr\_in :

sin\_family address family used (defined through a macro)

sin port
 16-bit transport protocol port number

sin addr
 32-bit IP address defined as a new structure

in\_addr having one s\_addr element only

sin\_zero padding (to have an equal size as sockaddr)

– declared in <netinet/in.h>

- Defines IP address and port number in a way the Berkeley socket API needs it
- man 7 ip



## Address structure

```
Client
/* declaration */
struct sockaddr in serveraddr;
/* clear the structure */
memset(&serveraddr, 0,
      sizeof(struct sockaddr in));
/* This will be an address of the
 * Internet family */
serveraddr.sin family = AF INET;
/* Add the server address -*/
inet pton (AF INET,
          "xxx.xxx.xxx",
          &serveraddr.sin addr);
/* Add the port number */
serveraddr.sin port = htons(2009);
```

```
Server
/* declaration */
struct sockaddr in serveraddr;
/* clear the structure */
memset(&serveraddr, 0,
      sizeof(struct sockaddr in));
/* This will be an address of the
 * Internet family */
serveraddr.sin family = AF INET;
/* Allow all own addresses to receive */
serveraddr.sin addr.s addr = INADDR ANY;
/* Add the port number */
serveraddr.sin port = htons(2009);
```



## Address structure

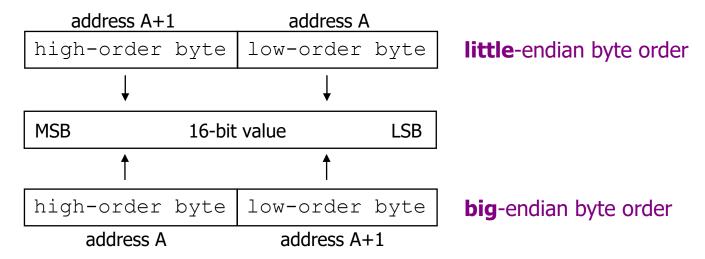
- serveraddr.sin\_addr.s\_addr = 129.240.65.59?

   serveraddr.sin\_port = 2009?
  - - AF INET
      - a constant indicating that Internet protocols will be used
    - INADDR ANY
      - a constant meaning any (Internet) address
      - in this context: any own Internet address



## Byte order

- Different machines may have different representation of multibyte values
- Consider a 16-bit integer: made up of 2 bytes





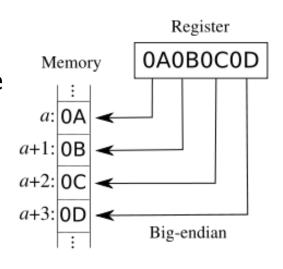
## Byte Order: Storing 32-bit 0x0A0B0C0D

Assuming 8-bit (one byte) atomic elements...

### ...big endian:

- the most significant byte (MSB), 0x0A, is stored on the lowest memory address
- the least significant byte (LSB), 0x0D, is stored on the highest memory address

| increasing memory addresses |      |      |      |      |  |  |  |  |
|-----------------------------|------|------|------|------|--|--|--|--|
|                             | 0x0A | 0x0B | 0x0C | 0x0D |  |  |  |  |



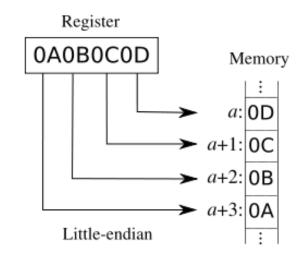


## Byte Order: Storing 32-bit 0x0A0B0C0D

#### ... little endian:

- 0x0A is stored on the highest memory address
- 0x<sup>0</sup>D is stored on the <u>lowest</u> memory address

| increasing memory addresses |      |      |      |      |  |  |  |  |  |
|-----------------------------|------|------|------|------|--|--|--|--|--|
|                             | 0x0D | 0x0C | 0x0B | 0x0A |  |  |  |  |  |





## Byte Order: IP address example

- IPv4 host address: represents a 32-bit address
  - written on paper ("dotted decimal notation"): 129.240.71.213
  - binary in bits: 10000001 11110000 01000111 10001011
  - hexadecimal in bytes: 0x81 0xf0 0x47 0x8b
- Big-endian ("normal" left to right):
  - one 4 byte int on PowerPC, POWER, Sparc, .... 0x81f0478b
- Little-endian:
  - one 4 byte int on x86, StrongARM, XScale, ...: 0x8b47f081
- Network byte order:

0x81f0478b



## **Byte Order: Translation**

- Byte order translation makes communication over several platforms possible
- htons() / htonl()
  - host-to-network short / long
  - translate a 16 / 32-bit integer value to network format
- ntohs() / ntohl()
  - network-to-host short/long
  - translate a 16 / 32-bit integer value to host format
- Little-endian (x86 etc.): ntohl(0x81f0478b) == 0x8b47f081
- Big-endian (PowerPC etc.): ntohl(0x81f0478b) == 0x81f0478b



### **Presentation and Numeric Address Formats**

- The network...
  - ...does not interpret the "dotted decimal notation" presentation format
  - ...needs a numeric binary format in network byte order
- inet\_pton()
  - translate the text string to a numeric binary format needed by the address structure
- inet\_ntop()
  - translate the

```
inet pton() is new for IPv6.
```

#### Oldest:

```
serveraddr.sin_addr.s_addr =
    inet_addr("129.240.65.59");
```

#### Newer:

e to a text string



#### Client

```
✓Necessary includes>
int main()
  char buf[13];
<Declare some more data structures>
  Create a socket called "sd">
<Identify the server that you want to contact>
  <Connect to the server>
  /* Send data */
  write(sd, "Hello world!", 12);
  /* Read data from the socket */
  read(sd, buf, 12);
  /* Add a string termination sign,
      and write to the screen. */
  buf[12] = '\0';
  printf("%s\n", buf);
  <Closing code>
```

#### Server

```
✓Necessary includes>
int main()
   char buf[13];
<Declare some more data structures>
  <Create a socket called "request-sd">
 <Define how the client can connect>
   <Wait for a connection, and create a new socket "sd"
   for that connection>
  /* read data from the sd and
      write it to the screen */
   read(sd, buf, 12);
  buf[12] = '\0';
  printf("%s\n", buf );
   /* send data back over the connection */
  write(sd, buf, 12);
  <Closing code>
```

Client Server

```
/* Connect */
connect(
           (struct sockaddr*) &serveraddr,
           sizeof(struct sockaddr in));
```

```
/* Bind the address to the socket */
bind(request sd,
     (struct sockaddr*) &serveraddr,
     sizeof(struct sockaddr in);
/* Activate listening on the socket */
listen(request_sd, SOMAXCONN);
/* Wait for connection */
clientaddrlen =
              sizeof(struct sockaddr_in);
sd = accept(request sd,
          (struct sockaddr*) &clientaddr,
          &clientaddrlen);
```

## Some details about the previous slides

- bind( int sfd, struct sockaddr \*a, socklen\_t al )
  - a machine can have several addresses (several network cards, loopback, ...) "assign a name"
  - tells the socket on the server side which local protocol (i.e., IP address and port number) to listen to

- listen( int sfd, int backlog )
  - prepares the server for listening to connect requests, and initializes a queue for connect requests (→ passive)
  - the second parameter (SOMAXCONN) defines how long the queue(s) should be



### More details

- sd = accept( int sfd, struct sockaddr \*a, socklen\_t \*al )
  - take the first connect request from the connect request queue
  - wait for the connect request to arrive if the queue is empty
  - returns a new socket that the server can use to communicate with the client
  - a (clientaddr) contains information about the client
  - al must be initialized, so accept knows size of a
- connect( int sfd, struct sockaddr \*serv\_a, socklen\_t al )
  - connects client socket to a server that is specified in the address structure
  - a three-way handshake is initiated for TCP
  - possible errors
    - o ETIMEDOUT no response (after several tries) and timer expired
    - ECONNREFUSED server not running or not allowed to connect
    - EHOSTUNREACH HOST not reachable
    - o ENETUNREACH NET not reachable



## **Closing of sockets**

### Client

### Server

```
/* Close the socket */
close(sd);
```

```
/* Close both sockets */
close(sd);
close(request_sd);
```

- Note that the semantics of close depends
  - On the kind of protocol
  - Some possible extra settings
  - (similar for file descriptors used to operate on disk...
- All data that has not been read yet may be thrown away



#### Client

```
#include <netinet/in.h>
#include <sys/socket.h>
#include <netdb.h>
#include <stdio.h>
#include <string.h>
int main()
 /* Declarations */
 struct sockaddr in serveraddr;
 int sd;
 char buf[13];
 /* Create socket */
 sd = socket(PF INET,
                SOCK STREAM,
                IPPROTO TCP);
 /* Clear address structure */
 memset (&serveraddr, 0,
        sizeof(struct sockaddr in));
 /* Add address family */
  serveraddr.sin family = AF INET;
```

#### Client ctd.

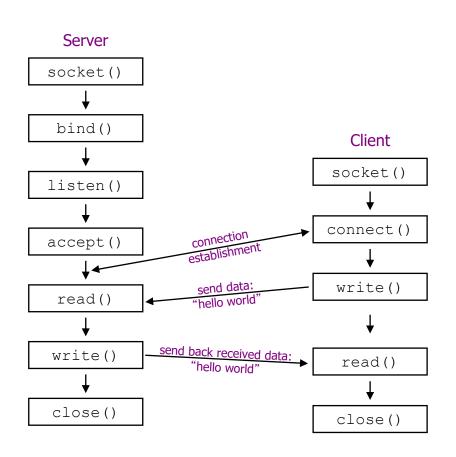
```
/* Add IP address of server*/
inet pton (AF INET, "xx.xx.xx.xx",
          &serveraddr.sin addr);
/* Add the port number */
serveraddr.sin port = htons(2009);
/* Connect */
connect (sd.
        (struct sockaddr*) & serveraddr,
        sizeof(struct sockaddr in));
/* Send data */
write(sd, "Hello world!", 12 );
/* Read data */
read(sd, buf, 12);
/* add string end sign, write to screen*/
buf[12] = ' \setminus 0';
printf("%s\n", buf);
/* Close socket */
close(sd);
```

```
#include <netinet/in.h>
#include <sys/socket.h>
#include <netdb.h>
#include <stdio.h>
#include <string.h>
int main()
  /* Declarations */
  struct sockaddr in serveraddr;
  struct sockaddr in clientaddr;
  int clientaddrlen;
 int request sd, sd;
  char buf[13];
  /* Create socket */
  request sd = socket(PF INET,
                        SOCK STREAM,
                        IPPROTO TCP);
  /* Fill in the address structure */
 memset(&serveraddr, 0,
        sizeof(struct sockaddr in));
  serveraddr.sin family = AF INET;
  serveraddr.sin addr.s addr = INADDR ANY;
  serveraddr.sin port = htons(2009);
```

#### Server ctd.

```
/* Bind address to socket */
bind(request sd,
     (struct sockaddr*) & serveraddr,
     sizeof(struct sockaddr in));
/* Activate connect request queue */
listen (request sd, SOMAXCONN);
/* Receive connection */
clientaddrlen =
    sizeof(struct sockaddr in);
sd = accept(request sd,
          (struct sockaddr*) & clientaddr,
          &clientaddrlen);
/* Read data from socket and write it */
read(sd, buf, 12);
buf[12] = '\0';
printf("%s\n", buf);
/* Send data back over connection */
write(sd, buf, 12);
/*Close sockets */
close(sd); close(request sd);
```

# Summary of Socket Functions for our Elementary TCP Client-Server



## **Compilation of these socket programs**



## int main() /\* Declarations \*/ /\* Create socket \*/ request sd = socket(...); /\* Fill in the address structure \*/ /\* Bind address to socket \*/ bind(...); /\* Activate connect request queue \*/ listen(...);

#### Server ctd.

```
/* Receive connection */
sd = accept(...);
/* Process the request*/
/*Close sockets */
close(sd);
close(request sd);
```

### Iterative servers?

```
int main()
 /* Declarations */
 /* Create socket */
 request sd = socket(...);
 /* Fill in the address structure */
 /* Bind address to socket */
 bind(...);
 /* Activate connect request queue */
 listen(...);
```

#### Server ctd.

```
for (;;) {
  /* Receive connection */
  sd = accept(...);
  /* Process the request*/
  /*Close sockets */
  close(sd);
close(request sd);
```

Concurrent servers?

```
int main()
 /* Declarations */
 pid t pid;
 /* Create socket */
 request sd = socket(...);
 /* Fill in the address structure */
 /* Bind address to socket */
 bind(...);
 /* Activate connect request queue */
 listen(...);
```

#### Server ctd.

```
for (;;) {
 /* Receive connection */
  sd = accept(...);
  if ((pid = fork()) == 0) {
     close(request sd);
    /* Process the request*/
    /*Close sockets */
    close(sd);
    exit(0)
  /*Close sockets */
  close(sd);
close(request sd);
```

## **Summary**

 We have implemented a short program where two processes communicate over a network

