# Kai Yan

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## Research Interest\_\_\_\_

My research interest is deep learning for better decision making. I have worked on the following fields: 1) reinforcement learning with demonstrations / imitation learning, 2) multi-agent reinforcement learning, 3) prediction-and-optimization algorithms, 4) decision transformer, 5) LLM + MCTS search.

## **Education**

### **University of Illinois at Urbana-Champaign**

Urbana, Illinois, US

Ph.D. in Computer Science

Sept 2021 - Current

**Peking University** 

Beijing, China

B.S. in Computer Science

Sept 2017 - Jun 2021

- Member of the Turing Class honor program; graduate with Summa Cum Laude
- Serve as the vice president of the student union for the school of EECS; organize multiple large events with hundreds of participants

# **Academic Experience**

#### **University of Illinois at Urbana-Champaign**

Urbana, Illinois, US

Graduate Research Assistant (Co-advised by Prof. Alexander G. Schwing and Prof. Yuxiong Wang)

Sept 2021 - May 2026

- · Work on demonstration-guided reinforcement learning, imitation learning and Large Language Model reasoning / decision-making
- · Experience in normalizing flows, convex optimization, optimal transport, decision transformer and LLM with MCTS search

#### Robotics Institute @ Carnegie Mellon University

Remote

Visiting Student for Summer Research (Advised by Prof. Changliu Liu)

Jun 2020 - Jan 2021

- Build a pandemic simulator with reinforcement learning agents as individuals
- Design algorithm for million-level multi-agent training; use Cython for parallelization & acceleration

#### National Engineering Laboratory for Video Technology @ Peking University

Beijing, China

Undergraduate Research Student (Advised by Prof. Zongqing Lu)

Jun 2019 - Feb 2020

- Work on adversarial agent in multi-agent systems
- $\bullet \ \ \text{Extensively read papers in reinforcement learning, multi-agent systems and algorithmic game theory, and implement RL algorithms from scratch algorithms and algorithms from the scratch algorithms from the screen algorithms from the scratch algor$
- · Write a survey on algorithmic game theory and reinforcement learning

#### **AlLab @ Peking University**

Beijing, China

Undergraduate Research Student (Advised by Prof. Wenxin Li)

Nov 2018 - Mar 2019

- Study Introduction to Reinforcement Learning and papers on Reinforcement Learning
- Program the judge for the game Tank2 on Botzone, an AI platform for education and the judge is extensively used for freshmen's course projects

# **Industry Experience**

### **Microsoft Research Asia**

Beijing, China

Research Intern (Co-advised by Dr. Jie Yan and Dr. Chuan Luo)

Sept 2020 - Jun 2021

- Design an prediction-and-optimization surrogate framework for linear/quadratic optimization problems with  $max(\cdot,0)$  operator, where the parameterized objective needs to be predicted from data
- Awarded Stars of Tomorrow title

# **Teaching Experience**

## **Peking University**

Beijing, China

Teaching Assistant Sept 2020 - Jan 2021

- Host a 2-hour seminar of 15 students each week, revising classes and introducing cutting-edge applications of the knowledge taught in class
- Teach how to write & organize lecture notes; grade homework every week

OCTOBER 16, 2023

# Publications & Preprints \_\_\_\_\_

#### Conference

- Kai Yan, Alexander G. Schwing and Yuxiong Wang. A Simple Solution for Offline Imitation from Observations and Examples with Possibly Incomplete Trajectories. In NeurIPS, 2023.
- Kai Yan, Alexander G. Schwing and Yuxiong Wang. CEIP: Combining Explicit and Implicit Priors for Reinforcement Learning with Demonstrations. In NeurIPS, 2022.
- Kai Yan, Jie Yan, Chuan Luo, Liting Chen, Qingwei Lin and Dongmei Zhang. A Surrogate Objective Framework for Prediction+Optimization with Soft Constraints. In NeurIPS, 2021.

### **Preprints**

- Andy Zhou, **Kai Yan**, Michal Shlapentokh-Rothman, Haohan Wang, Yuxiong Wang. Language Agent Tree Search Unifies Reasoning Acting and Planning in Language Models, arXiv:2310.04406, 2023.
- **Kai Yan**, Alexander G. Schwing and Yuxiong Wang. Offline Imitation from Observation via Primal Wasserstein State Occupancy Matching (pdf), 2023.
- Kai Yan<sup>1</sup>, Zhenggang Tang<sup>1</sup>, Liting Sun, Wei Zhan, Changliu Liu. A Microscopic Pandemic Simulator for Pandemic Prediction Using Scalable Million-Agent Reinforcement Learning. arXiv:2108.06589, 2021.
- Kai Yan<sup>1</sup>, Yunlong Lu<sup>1</sup>. Algorithms in Multi-Agent Systems: A Holistic Perspective from Reinforcement Learning and Game Theory. arXiv:2001. 06487, 2020.

## Honors & Awards

Feb. 2023 <b>Graduate College Conference Presentation Award</b> , University of Illinois at Urbana-Champaign	Urbana, Illinois, US
Jul. 2021 Stars of Tomorrow, Microsoft Research Asia	Beijing, China
Nov. 2020 <b>John Hopcroft Scholarship</b> , Center on Frontiers of Computing Studies (CFCS) @ PKU	Beijing, China
Dec. 2019 National Scholarship & Merit Student, Peking University	Beijing, China
Jan. 2019 Meritorious Winner, International Mathematical Contest in Modeling (MCM)	Remote
Sept. 2018 Founder Scholarship & Merit Student, Peking University	Beijing, China
Apr. 2018 <b>2nd Award,</b> PKU ACM Campus	Beijing, China
Jul. 2016 Silver Medal, National Olympiad in Informatics (NOI)	Mianyang, China
May. 2016 <b>Silver Award</b> , Asian-Pacific Informatics Olympiad (APIO)	Beijing, China

## Services\_\_\_\_\_

#### Reviewer

- IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR), 2023
- Neural Information Processing Systems (NeurIPS), 2023
- International Conference on Learning Representations (ICLR), 2024

### Misc.

• Vice President of the PKU EECS Student Union, 2019-2020

### Skills\_

## **Programming & Software**

Language

Python (Pytorch for deep learning, CVXPY / Gurobi / Google ORTools for optimization and Cython for high-performance), C/C++, Java, Matlab, HTML/CSS, JavaScript (Node.js), Qt, ŁTEX, Microsoft Office, Wireshark English (Professional with TOEFL 117/120), Chinese (Native)

OCTOBER 16, 2023

<sup>&</sup>lt;sup>1</sup>Equal contribution.