# KAI CHEN

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#### RESEARCH OVERVIEW

My research aims at building reliable and generalizable AI systems from a data-centric perspective. Recent deep learning has witnessed superiority of the "pre-training fine-tuning" pipeline, empowered by training on massive amounts of datasets. Although remarkable, the intrinsic identity of fully supervised learning still poses AI systems with severe risks, especially when encountering unseen "corner cases" during deployment. Thus, a post-hoc "corner case collection and fixing" process is also essential to obtain the ultimate reliability and trustworthiness of AI systems. Currently, I'm trying to answer the following questions,

- Does more data always result in better performance?
- How to generate corner cases with controllable generative models (e.g., diffusion models and LLMs)?
- How to fix corner cases with minimum human intervention?

Research Areas: Mixture-of-Experts, (Diffusion-based) Visual World Modeling, (M)LLM Alignment

#### **EDUCATION**

Hong Kong University of Science and Technology, Hong Kong SAR

Sep 2020 - Jun 2025

Ph.D. in Computer Science and Engineering

GPA: 4.10/4.0

Advisor: Prof. Dit-Yan Yeung

Fudan University(FDU), Shanghai, China

Sep 2016 - Jun 2020

B.S. in Computer Science, Minor in Economics (Outstanding Graduates of Shanghai)

Overall GPA: 3.70/4.0, Major GPA: 3.90/4.0, Ranking: 3/32

Advisor: Prof. Yanwei Fu

University of Manchester, Manchester, UK

Sep 2018 - Jan 2019

Exchange student in the **Department of Computer Science** 

Advisor: Dr. Tingting Mu

# **EXPERIENCE**

#### Mobile Intelligence Group (MIG), SenseTime

Oct 2019 - April 2020

Research Intern

Advisor:Dr. Wenxiu Sun, Sensetime

• Research on real-time (portrait) instance segmentation deployable on mobile devices.

Computer Vision Lab, Indiana University Bloomington (IUB)

June 2019 - Sep 2019

Global Talent Attraction Program (GTAP) Visiting Scholar Advisor: Prof. David Crandall, IUB

• Research on semi-supervised semantic segmentation and indoor 3D reconstruction.

#### SELECTED HONORS

HKUST Research Travel Grant	Sep 2023
HKUST Postgraduate Scholarship	Sep 2020
Outstanding Graduates of Shanghai [Wechat Push] (5%, by Shanghai Government)	April 2020
Scholarship for Outstanding Graduates (5%, by Fudan University)	April 2020
Oversea Visiting Student Stipend of (15,000 CNY, Fudan University)	Dec 2019
Joel & Ruth Spira Scholarship (1%, by Lutron Electronics)	Mar 2019
National Scholarship (1%, by Ministry of Education of P.R.China)	Sep 2018
Scholarship for Outstanding Undergraduate Students (5%, by Fudan University)	Oct 2017

Full publication list on my Google Scholar. (\* denotes equal contribution)

# I. Mixture of Cluster-conditional Experts (MoCE)

- Q: Does more data always result in better performance during model pre-training and fine-tuning?
- Yunhao Gou\*, Zhili Liu\*, <u>Kai Chen\*</u>, Lanqing Hong, Hang Xu, Aoxue Li, Dit-Yan Yeung, James Kwok, Yu Zhang. "Mixture of Cluster-conditional LoRA Experts for Vision-language Instruction Tuning". *Arxiv* preprint, 2023. [link]
- Zhili Liu\*, <u>Kai Chen\*</u>, Jianhua Han, Lanqing Hong, Hang Xu, Zhenguo Li, James Kwok. "Task-customized Masked Autoencoder via Mixture of Cluster-conditional Experts". *In International Conference on Learning Representations (ICLR spotlight)*, 2023. [link]
- Zhili Liu, Jianhua Han, <u>Kai Chen</u>, Lanqing Hong, Hang Xu, Chunjing Xu, Zhenguo Li. "Task-Customized Self-Supervised Pre-training with Scalable Dynamic Routing". *In AAAI Conference on Artificial Intelligence* (AAAI), 2022. [link]

# II. Data Flywheel for (M)LLM Alignment

- Q: Can alignment via Reinforcement Learning be replaced with SFT by training on LLM-generated data?
- Yunhao Gou\*, <u>Kai Chen\*</u>, Zhili Liu\*, Lanqing Hong, Hang Xu, Zhenguo Li, Dit-Yan Yeung, James Kwok, Yu Zhang. "Eyes Closed, Safety On: Protecting Multimodal LLMs via Image-to-Text Transformation". *Arxiv* preprint, 2024. [link]
- <u>Kai Chen\*</u>, Chunwei Wang\*, Kuo Yang, Jianhua Han, Lanqing Hong, Fei Mi, Hang Xu, Zhengying Liu, Wenyong Huang, Zhenguo Li, Dit-Yan Yeung, Lifeng Shang, Xin Jiang, Qun Liu. "Gaining Wisdom from Setbacks: Aligning Large Language Models via Mistake Analysis". *In International Conference on Learning Representations (ICLR)*, 2024. [link]

# III. Visual World Modeling and Perception Corner Case (CODA) Generation with the Geometric-aware Diffusion Models (GeoDiffusion)

- Q: How to controllably generate corner cases for visual perception models (e.g., object detectors)?
- Yibo Wang\*, Ruiyuan Gao\*, **Kai Chen\***, Kaiqiang Zhou, Yingjie Cai, Lanqing Hong, Zhenguo Li, Lihui Jiang, Dit-Yan Yeung, Qiang Xu, Kai Zhang. "DetDiffusion: Synergizing Generative and Perceptive Models for Enhanced Data Generation and Perception". In IEEE/CVF Computer Vision and Pattern Recognition Conference (CVPR), 2024. [link]
- Pengxiang Li\*, <u>Kai Chen\*</u>, Zhili Liu\*, Ruiyuan Gao, Lanqing Hong, Dit-Yan Yeung, Huchuan Lu, Xu Jia. "TrackDiffusion: Tracklet-Conditioned Video Generation via Diffusion Models". *Arxiv preprint*, 2023. [link]
- Ruiyuan Gao\*, <u>Kai Chen\*</u>, Enze Xie, Lanqing Hong, Zhenguo Li, Dit-Yan Yeung, Qiang Xu. "MagicDrive: Street View Generation with Diverse 3D Geometry Control". *In International Conference on Learning Representations (ICLR)*, 2024. [link]
- Zhili Liu\*, <u>Kai Chen\*</u>, Yifan Zhang, Jianhua Han, Lanqing Hong, Hang Xu, Zhenguo Li, Dit-Yan Yeung, James Kwok. "Implicit Concept Removal of Diffusion Models". *Arxiv preprint*, 2023. [link]
- <u>Kai Chen\*</u>, Enze Xie\*, Zhe Chen, Yibo Wang, Lanqing Hong, Zhenguo Li, Dit-Yan Yeung. "GeoDiffusion: Text-Prompted Geometric Control for Object Detection Data Generation". *In International Conference on Learning Representations (ICLR)*, 2024. [link]
- Kaican Li\*, <u>Kai Chen\*</u>, Haoyu Wang\*, Lanqing Hong, Chaoqiang Ye, Jianhua Han, Yukuai Chen, Wei Zhang, Chunjing Xu, Dit-Yan Yeung, Xiaodan Liang, Zhenguo Li, Hang Xu. "CODA: A Real-World Road Corner Case Dataset for Object Detection in Autonomous Driving". *In European Conference on Computer Vision* (ECCV), 2022. [link]

# IV. Object-level Self-supervised Visual Representation Learning (SSL)

Q: How to perform object-level SSL w/o object GT for better transfer on downstream dense perception tasks?

- <u>Kai Chen\*</u>, Zhili Liu\*, Lanqing Hong, Hang Xu, Zhenguo Li, Dit-Yan Yeung. "Mixed Autoencoder for Self-supervised Visual Representation Learning". *In IEEE/CVF Computer Vision and Pattern Recognition Conference (CVPR)*, 2023. [link]
- <u>Kai Chen</u>, Lanqing Hong, Hang Xu, Zhenguo Li, Dit-Yan Yeung. "MultiSiam: Self-supervised Multi-instance Siamese Representation Learning for Autonomous Driving". *In IEEE/CVF International Conference on Computer Vision (ICCV)*, 2021. [link]
- Jianhua Han, Xiwen Liang, Hang Xu, <u>Kai Chen</u>, Lanqing Hong, Jiageng Mao, Chaoqiang Ye, Wei Zhang, Zhenguo Li, Xiaodan Liang, Chunjing Xu. "SODA10M: A Large-Scale 2D Self/Semi-Supervised Object Detection Dataset for Autonomous Driving". *In Datasets and Benchmarks Track, Neural Information Processing Systems (NeurIPS)*, 2021. [link]

# Early Works

- Md. Alimoor Reza, <u>Kai Chen</u>, Akshay Naik, David Crandall, Soon-Heung Jung. "Automatic Dense Annotation for Monocular 3D Scene Understanding". In *IEEE Access Journal (IEEE Access)*, 2020 [link]
- Md Alimoor Reza, Akshay Naik, <u>Kai Chen</u>, David Crandall. "Automatic Annotation for Semantic Segmentation in Indoor Scenes". In *IEEE International Conference on Intelligent Robots and Systems (IROS)*, 2019 [link]

# **PATENTS**

- [CN116665219A] GeoDiffusion: Text-Prompted Geometric Control for Object Detection Data Generation. Enze Xie, Kai Chen, Langing Hong, Zhenguo Li. Published in May 26th, 2023.
- [CN115731530A] MultiSiam: Self-supervised Multi-instance Siamese Representation Learning for Autonomous Driving. Kai Chen, Langing Hong, Hang Xu, Zhenguo Li. Published in Aug. 24th, 2021.

#### ACADEMIC SERVICES

#### Workshop Organizer/Program Committee

- The 2nd SSLAD workshop at ECCV 2022.
- The 1st SSLAD (Self-supervised Learning for Next-generation Industry-level Autonomous Driving) workshop at ICCV 2021.

#### Conference Reviewer

• IEEE Conference on Computer Vision and Pattern Recognition (CVPR)	2022-2024
• IEEE International Conference on Computer Vision (ICCV)	2023
• European Conference on Computer Vision (ECCV)	2022-2024
• International Conference on Learning Representations (ICLR)	2023-2024
• Neural Information Processing Systems (NeurIPS)	2021-2023
• International Joint Conferences on Artificial Intelligence (IJCAI)	2023-2024
• AAAI Conference on Artificial Intelligence (AAAI)	2022
• International Conference on Robotics and Automation (ICRA)	2022
• Asian Conference on Computer Vision (ACCV)	2024

#### Journal Reviewer

- IEEE Transactions on Pattern Analysis and Machine Intelligence (TPAMI)
- IEEE Transactions on Circuits and Systems for Video Technology (TCSVT)
- IEEE Transactions on Image Processing (TIP)
- IEEE Access

# **TEACHING**

- HKUST COMP 2012 Object-Oriented Programming and Data Structures, Teaching Assistant, Fall 2021.
- HKUST COMP 2012 Object-Oriented Programming and Data Structures, Teaching Assistant, Spring 2021.

#### INVITED TALKS

- [AI TIME Online] Gaining Wisdom from Setbacks: Aligning Large Language Models via Mistake Analysis. [Recording]
- [TechBeat Online] Gaining Wisdom from Setbacks: Aligning Large Language Models via Mistake Analysis. [Recording]
- [VALSE 2023@Wuxi] Mixed Autoencoder for Self-supervised Visual Representation Learning. [Recording]
- [VALSE 2023@Wuxi] CODA: A Real-World Road Corner Case Dataset for Object Detection in Autonomous Driving. [Recording]

# TECHNICAL SKILLS

Framework Pytorch, Tensorflow

Language Native in Mandarin, Fluent in English and Japanese

CET-4(649), CET-6(619), TOEFL-iBT(101)