# KAI CHEN

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#### RESEARCH OVERVIEW

My research aims at building reliable and generalizable AI systems from the **data-centric** perspective. Recent deep learning has witnessed superiority of the "**pre-training fine-tuning**" pipeline, empowered by training on massive amounts of datasets. Although remarkable, the intrinsic identity of fully supervised learning still poses AI systems with severe risks, especially when encountering unseen "**corner cases**" during deployment. Thus, a post-hoc "**corner case collection and fixing**" procedure is also essential to achieve ultimate reliability and trustworthiness of AI systems. Currently, I'm trying to answer the following questions,

- Does more data always result in better performance?
- How to generate corner cases with controllable generative models (e.g., diffusion models and LLMs)?
- How to fix corner cases with minimum human intervention?

Research Areas: Mixture-of-Experts, Diffusion-based World Modeling, (M)LLM Alignment

#### **EDUCATION**

Hong Kong University of Science and Technology, Hong Kong SAR

Sep 2020 - Jun 2025

Ph.D. in Computer Science and Engineering

GPA: 4.10/4.0

Advisor: Prof. Dit-Yan Yeung

Fudan University(FDU), Shanghai, China

Sep 2016 - Jun 2020

B.S. in Computer Science, Minor in Economics (Outstanding Graduates of Shanghai)

Overall GPA: 3.70/4.0, Major GPA: 3.90/4.0, Ranking: 3/32

Advisor: Prof. Yanwei Fu

University of Manchester, Manchester, UK

Sep 2018 - Jan 2019

Exchange student in the Department of Computer Science

Advisor: Dr. Tingting Mu

# **EXPERIENCE**

#### Mobile Intelligence Group (MIG), SenseTime

Oct 2019 - April 2020

 $Research\ Intern$ 

Advisor:Dr. Wenxiu Sun, Sensetime

• Research on real-time (portrait) instance segmentation deployable on mobile devices.

Computer Vision Lab, Indiana University Bloomington (IUB)

June 2019 - Sep 2019

Global Talent Attraction Program (GTAP) Scholar

Advisor:Prof. David Crandall, IUB

• Research on semi-supervised semantic segmentation and indoor 3D reconstruction.

#### SELECTED HONORS

HKUST Research Travel Grant	Sep 2023
HKUST Postgraduate Scholarship	Sep 2020
Outstanding Graduates of Shanghai [Wechat Push] (5%, by Shanghai Government)	April 2020
Scholarship for Outstanding Graduates (5%, by Fudan University)	April 2020
Oversea Visiting Student Stipend of (15,000 CNY, Fudan University)	Dec 2019
Joel & Ruth Spira Scholarship (1%, by Lutron Electronics)	Mar 2019
National Scholarship (1%, by Ministry of Education of P.R.China)	Sep 2018
Scholarship for Outstanding Undergraduate Students (5%, by Fudan University)	Oct 2017

Full publication list on my Google Scholar. (\* denotes equal contribution)

# I. Mixture of Cluster-conditional Experts (MoCE)

- Q: Does more data always result in better performance during model pre-training and fine-tuning?
- Yunhao Gou\*, Zhili Liu\*, <u>Kai Chen\*</u>, Lanqing Hong, Hang Xu, Aoxue Li, Dit-Yan Yeung, James Kwok, Yu Zhang. "Mixture of Cluster-conditional LoRA Experts for Vision-language Instruction Tuning". *Arxiv* preprint, 2023. [link]
- Zhili Liu\*, <u>Kai Chen\*</u>, Jianhua Han, Lanqing Hong, Hang Xu, Zhenguo Li, James Kwok. "Task-customized Masked Autoencoder via Mixture of Cluster-conditional Experts". *In International Conference on Learning Representations (ICLR spotlight)*, 2023. [link]
- Zhili Liu, Jianhua Han, <u>Kai Chen</u>, Lanqing Hong, Hang Xu, Chunjing Xu, Zhenguo Li. "Task-Customized Self-Supervised Pre-training with Scalable Dynamic Routing". *In AAAI Conference on Artificial Intelligence* (AAAI), 2022. [link]

# II. Data Flywheel for (M)LLM Alignment

- Q: Can alignment via Reinforcement Learning be replaced with SFT by training on LLM-generated data?
- Yunhao Gou\*, <u>Kai Chen\*</u>, Zhili Liu\*, Lanqing Hong, Hang Xu, Zhenguo Li, Dit-Yan Yeung, James Kwok, Yu Zhang. "Eyes Closed, Safety On: Protecting Multimodal LLMs via Image-to-Text Transformation". *Arxiv* preprint, 2024. [link]
- <u>Kai Chen\*</u>, Chunwei Wang\*, Kuo Yang, Jianhua Han, Lanqing Hong, Fei Mi, Hang Xu, Zhengying Liu, Wenyong Huang, Zhenguo Li, Dit-Yan Yeung, Lifeng Shang, Xin Jiang, Qun Liu. "Gaining Wisdom from Setbacks: Aligning Large Language Models via Mistake Analysis". *In International Conference on Learning Representations (ICLR)*, 2024. [link]

# III. Geometric-aware Diffusion Models (GeoDiffusion) for Corner Case (CODA) Generation Q: How to controllably generate corner cases for visual perception models (e.g., object detectors)?

- Yibo Wang\*, Ruiyuan Gao\*, **Kai Chen\***, Kaiqiang Zhou, Yingjie Cai, Lanqing Hong, Zhenguo Li, Lihui Jiang, Dit-Yan Yeung, Qiang Xu, Kai Zhang. "DetDiffusion: Synergizing Generative and Perceptive Models for Enhanced Data Generation and Perception". In IEEE/CVF Computer Vision and Pattern Recognition Conference (CVPR), 2024. [link]
- Pengxiang Li\*, <u>Kai Chen\*</u>, Zhili Liu\*, Ruiyuan Gao, Lanqing Hong, Dit-Yan Yeung, Huchuan Lu, Xu Jia. "TrackDiffusion: Tracklet-Conditioned Video Generation via Diffusion Models". *Arxiv preprint*, 2023. [link]
- Ruiyuan Gao\*, <u>Kai Chen\*</u>, Enze Xie, Lanqing Hong, Zhenguo Li, Dit-Yan Yeung, Qiang Xu. "MagicDrive: Street View Generation with Diverse 3D Geometry Control". *In International Conference on Learning Representations (ICLR)*, 2024. [link]
- Zhili Liu\*, <u>Kai Chen\*</u>, Yifan Zhang, Jianhua Han, Lanqing Hong, Hang Xu, Zhenguo Li, Dit-Yan Yeung, James Kwok. "Implicit Concept Removal of Diffusion Models". *Arxiv preprint*, 2023. [link]
- <u>Kai Chen\*</u>, Enze Xie\*, Zhe Chen, Yibo Wang, Lanqing Hong, Zhenguo Li, Dit-Yan Yeung. "GeoDiffusion: Text-Prompted Geometric Control for Object Detection Data Generation". *In International Conference on Learning Representations (ICLR)*, 2024. [link]
- Kaican Li\*, <u>Kai Chen\*</u>, Haoyu Wang\*, Lanqing Hong, Chaoqiang Ye, Jianhua Han, Yukuai Chen, Wei Zhang, Chunjing Xu, Dit-Yan Yeung, Xiaodan Liang, Zhenguo, Hang Xu. "CODA: A Real-World Road Corner Case Dataset for Object Detection in Autonomous Driving". *In European Conference on Computer Vision (ECCV)*, 2022. [link]

# IV. Object-level Self-supervised Visual Representation Learning (SSL)

Q: How to perform object-level SSL w/o object GT for better transfer on downstream dense perception tasks?

- <u>Kai Chen\*</u>, Zhili Liu\*, Lanqing Hong, Hang Xu, Zhenguo Li, Dit-Yan Yeung. "Mixed Autoencoder for Self-supervised Visual Representation Learning". *In IEEE/CVF Computer Vision and Pattern Recognition Conference (CVPR)*, 2023. [link]
- <u>Kai Chen</u>, Lanqing Hong, Hang Xu, Zhenguo Li, Dit-Yan Yeung. "MultiSiam: Self-supervised Multi-instance Siamese Representation Learning for Autonomous Driving". *In IEEE/CVF International Conference on Computer Vision (ICCV)*, 2021. [link]
- Jianhua Han, Xiwen Liang, Hang Xu, <u>Kai Chen</u>, Lanqing Hong, Jiageng Mao, Chaoqiang Ye, Wei Zhang, Zhenguo Li, Xiaodan Liang, Chunjing Xu. "SODA10M: A Large-Scale 2D Self/Semi-Supervised Object Detection Dataset for Autonomous Driving". *In Datasets and Benchmarks Track, Neural Information Processing Systems (NeurIPS)*, 2021. [link]

# Early Works

- Md. Alimoor Reza, <u>Kai Chen</u>, Akshay Naik, David Crandall, Soon-Heung Jung. "Automatic Dense Annotation for Monocular 3D Scene Understanding". In *IEEE Access Journal (IEEE Access)*, 2020 [link]
- Md Alimoor Reza, Akshay Naik, <u>Kai Chen</u>, David Crandall. "Automatic Annotation for Semantic Segmentation in Indoor Scenes". In *IEEE International Conference on Intelligent Robots and Systems (IROS)*, 2019 [link]

# **PATENTS**

- [CN116665219A] GeoDiffusion: Text-Prompted Geometric Control for Object Detection Data Generation. Enze Xie, Kai Chen, Langing Hong, Zhenguo Li. Published in May 26th, 2023.
- [CN115731530A] MultiSiam: Self-supervised Multi-instance Siamese Representation Learning for Autonomous Driving. Kai Chen, Langing Hong, Hang Xu, Zhenguo Li. Published in Aug. 24th, 2021.

#### ACADEMIC SERVICES

#### Workshop Organizer/Program Committee

- The 2nd SSLAD workshop at ECCV 2022.
- The 1st SSLAD (Self-supervised Learning for Next-generation Industry-level Autonomous Driving) workshop at ICCV 2021.

#### Conference Reviewer

• IEEE Conference on Computer Vision and Pattern Recognition (CVPR)	2022-2024
• IEEE International Conference on Computer Vision (ICCV)	2023
• European Conference on Computer Vision (ECCV)	2022-2024
• International Conference on Learning Representations (ICLR)	2023-2024
• Neural Information Processing Systems (NeurIPS)	2021-2023
• International Joint Conferences on Artificial Intelligence (IJCAI)	2023-2024
• AAAI Conference on Artificial Intelligence (AAAI)	2022
• International Conference on Robotics and Automation (ICRA)	2022
• Asian Conference on Computer Vision (ACCV)	2024

#### Journal Reviewer

- IEEE Transactions on Pattern Analysis and Machine Intelligence (TPAMI)
- IEEE Transactions on Circuits and Systems for Video Technology (TCSVT)
- IEEE Transactions on Image Processing (TIP)
- IEEE Access

# **TEACHING**

- HKUST COMP 2012 Object-Oriented Programming and Data Structures, Teaching Assistant, Fall 2021.
- HKUST COMP 2012 Object-Oriented Programming and Data Structures, Teaching Assistant, Spring 2021.

#### INVITED TALKS

- [AI TIME Online] Gaining Wisdom from Setbacks: Aligning Large Language Models via Mistake Analysis. [Recording]
- [TechBeat Online] Gaining Wisdom from Setbacks: Aligning Large Language Models via Mistake Analysis. [Recording]
- [VALSE 2023@Wuxi] Mixed Autoencoder for Self-supervised Visual Representation Learning. [Recording]
- [VALSE 2023@Wuxi] CODA: A Real-World Road Corner Case Dataset for Object Detection in Autonomous Driving. [Recording]

# TECHNICAL SKILLS

Program Languages Python, Matlab, C/C++/C#, SQL, LATEX

Framework Pytorch, Tensorflow

Language Native in Mandarin Chinese, Fluent in English and Japanese

CET-4(649), CET-6(619), TOEFL-iBT(101)