

# Partial Computation of Programs

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## Abstract

This paper attempts to clarify the difference between partial and ordinary computation. Partial computation of a computer program is by definition "specializing a general program based upon its operating environment into a more efficient program". It also shows the usefulness of partial computation. Finally, the formal theory of partial computation, technical problems in making it practical, and its future research problems are discussed.

The main purpose of this paper is to make partial computation effectiveness widely known. However, two new results are also reported:

- (1) a partial computation compiler, and
- (2) a tabulation technique to terminate partial computation.

## 1. Introduction

Research on automating partial computation has been conducted in Sweden, Russia, the United States, Italy, Japan etc. for some time. Rapid progress has been made in this field in the last decade. Recent research achievements have indicated the practical utility of partial computation.

Partial computation of a computer program is by definition "specializing a general program based upon its operating environment into a more efficient program" (This is also called mixed computation[14] or partial evaluation [6, 16, 20, 23 etc.]). For example, let us assume that a programmer has written program  $f$  having

two input data  $k$  and  $u$ . Then let  $f(k,u)$  be the computation performed by  $f$ . Let us further assume that  $f$  is often used with  $k=5$ . In this case, it is more efficient to produce a new program, say  $f_5$ , by substituting 5 for  $k$  in  $f$  and doing all possible computation based upon value 5, as well as iteratively compute  $f_5(u)$ , than to compute  $f(5,u)$  iteratively changing values of  $u$ .

Partial computation is an operation automatically producing  $f_5$  when  $f(5,u)$  is given. Contrary to partial computation, ordinary computation described, for example, in FORTRAN or COBOL does not produce  $f_5$  but produces an error message "u is undefined". Therefore, generating  $f_5$  from  $f(5,u)$  must be done by a FORTRAN or COBOL programmer by himself. Thus, present computer's lack of partial computation ability forces programmers to do partial computation by themselves to write efficient programs. Since general or standard program specialization is often performed in everyday program production activity, automating the specialization is expected to greatly improve program productivity.

This paper attempts to clarify the difference between partial and ordinary computation. It also shows the usefulness of partial computation. Finally, the formal theory of partial computation, technical problems in making it practical, and its future research problems are discussed.

## 2. Partial Computation Algorithm

Usually, a computer program is designed to produce desired result based upon given data. To make discussion easier, let us consider program  $f$  processing only two input data  $k$  (for known data) and  $u$  (for unknown data). Ordinary computation can start only when both  $k$  and  $u$  are given. This is called total computation (see Fig 1).

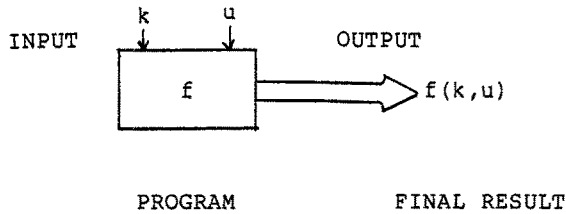


Fig. 1: Total computation produces a final result.

On the contrary, partial computation can start when one of the input data, say  $k$ , is given. Content of the computation is as follows:

All computation in  $f$  that can be performed based upon  $k$  are finished. All the computation that cannot be performed without  $u$  are left intact. Thus, a new program is produced (see Fig 2).

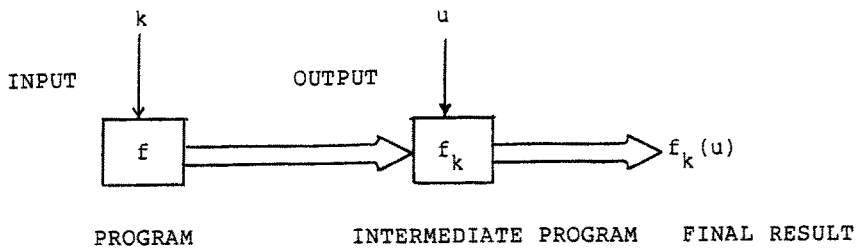


Fig. 2: Partial computation produces intermediate program  $f_k$  based upon  $f$  and partial data  $k$ .

For example, let

$$f(k,u) = k \cdot (k \cdot (k+1) + u + 1) + u \cdot u$$

and  $k=2$  be given. Thus, an intermediate program (or projection of  $f$  at  $k=2$ ) is

$$f_2(u) = 2 * (7 + u) + u * u .$$

When data  $u$  is given to  $f_2$ , the  $f_2(u)$  result is equal to that of  $f(2,u)$ . Thus, the following equation holds for  $f_k$  and  $f$ :

$$f_k(u) = f(k,u) \text{ -----E1}$$

Both total computation and computation via partial computation give identical results. This  $f_k$  is called a projection of  $f$  at  $k$ .

Now, let us consider the reason partial computation is useful. Actually, there is a case in which partial computation is not useful.

When  $f$  is computed just once with  $k=2$  and  $u=1$  in the above example, computation via  $f_2$  is less efficient than direct computation of  $f(2,3)$ .

However, when  $f(k,u)$  is iteratively computed with  $k$  fixed to 2, and  $u$  varied from 1 to 1000 by 1, the situation may be reversed.

The reason is obvious:

Assume that each addition and multiplication takes time  $a$ , and producing an intermediate program  $f_2$  takes time  $p$  (see Fig 3).

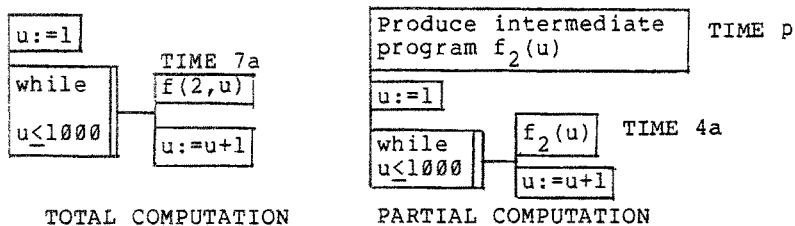


Fig. 3: Partial computation is often useful when  $f$  is computed repeatedly (Programs in this figure are described in PAD. See Appendix 1).

time for computing  $f(2,u) = 7a$

time for computing  $f_2(u) = 4a$

Therefore, if computation is repeated 1000 times it takes 7000a for total computation, and  $p + 4000a$  for partial computation. Thus, if  $p$  is less than 3000a, partial computation is more efficient.

As described above, partial computation may be more efficient when a program is computed iteratively with a part of the input data fixed and the remaining data changed.

A little more complex example[8] of partial computation is given below to clarify its difference from algebraic manipulation and symbolic execution.

A program that computes  $u^5$  and stores its value to  $z$  is shown in Fig 4. The partial computation result of  $f$  at  $k=5$ , i.e. an intermediate program, is shown in Fig 5.

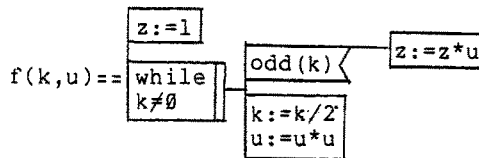


Fig. 4:  $f$  computes  $z := u^k$

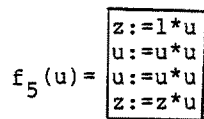


Fig. 5:  $f_5(u) = f(5,u)$

Note that the result of partial computation (i.e. projection) is a program while that of algebraic manipulation or symbolic execution is an algebraic expression.

A very important point is that partial computation procedure itself can be a computer program. This partial computation program is called projection machine, partial computer or partial evaluator. By

letting it be  $\alpha$ , we get the below equation:

$$\alpha(f, k) = f_k \text{ -----E2}$$

Now, consider  $\alpha_f$ , which is the result of partial computation of  $\alpha$  at  $f$ .

$$\text{From E1 we get } \alpha_f(k) = \alpha(f, k)$$

$$\text{From E2 we get } \alpha(f, k) = f_k$$

Therefore

$$\alpha_f(k) = f_k \text{ -----E3}$$

This E3 is called the basic equation of partial computation.

The author hopes that readers have grasped the rough idea of partial computation thus far. To clarify the usefulness of partial computation, examples of its applications are described in the next chapter.

### 3. Applications of Partial Computation: Examples

Since a computer program usually contains repetitions, computation efficiency of a program can often be improved through partial computation. Therefore, the author believes that the basic computation mechanism of a computer should be established based on partial computation. He also believes that present computer's lack of partial computation ability is one of the biggest obstacle to program development. It forces programmers to do partial computation by themselves to write efficient programs. This is basically inefficient.

As Kahn pointed out in [23], program production using automatic partial computation mechanism, i.e. projection machine, could be made much easier than it is now. For readers to understand the power of partial computation, four examples are shown below. They are selected due to their clearness in application areas and effectiveness (See [3])

and [12] for other examples).

### 3.1 Automatic theorem proving

Theorem prover is a program automatically proving a given theorem based upon a given set of axioms.

Let theorem prover be  $P$ , axioms be  $A$ , and theorems be  $T$ . Generally, a large number of theorems are proved based upon a fixed set of axioms, e.g., axioms of Euclidian geometry or the group theory. That is, compute  $P(A,T)$  with  $A$  fixed and  $T$  changed. In this case, generating  $P_A$  by partially computing  $P$  at  $A$  and iteratively computing  $P_A(T)$  is more efficient than computing  $P(A,T)$  iteratively.

For example, consider theorem proving in Euclidian geometry. Program  $P_A$  is then a specialized theorem prover for Euclidian geometry ( $P_A = \alpha(P,A)$ ). Theorems are proved by using this  $P_A$ .

Projection machine  $\alpha$  for specializing  $P$  automatically was first developed by Dixon in 1971 to the best of the author's knowledge [5]. His  $\alpha$  can partially compute programs written in pure LISP [38].

### 3.2 Pattern matching

Text editors like word processors have simple pattern matching functions. For example, consider the case when a text string like

THIS IS A BOOK.

is searched in order to substitute PEN in the pattern and to get the string

THIS IS A PEN.

This sort of operation for locating where a pattern is in a text string is pattern matching.

Let  $M$  be a pattern matching program,  $P$  be a pattern and  $T$  be a text string. Pattern matching is thus represented as  $M(P,T)$ .

When a pattern, say BOOK, repeatedly appears in a text and when

all the instances of the pattern are replaced by the other string, say PEN, or when a pattern is used frequently, it is useful to partially compute  $M$  at the pattern, say  $P$ , to get  $M_P$ , and to perform  $M_P(T)$  repeatedly.

Emanuelson[6] implemented such a projection machine  $\alpha$  to produce  $M_P$  by performing  $\alpha(M, P)$  for a complicated pattern matcher  $M$  written in LISP.

### 3.3 Syntax analyzer

In language processing, a program called syntax analyzer is necessary for parsing a given sentence based upon a given grammar. Let  $S$  be a syntax analyzer,  $G$  be a language grammar and  $T$  be a given text. Syntax analysis then can be represented as  $S(G, T)$ .

When grammar  $G$  is fixed and  $T$  is varied, it is not efficient to compute  $S(G, T)$  repeatedly. Specialized analyzer  $S_G$  can be produced by partially computing  $S$  at  $G$ . The author discussed the partial computation method for BTN(Basic Transition Network) analyzer in [8]. Ershov's group also partially computed LR(1) analyzer  $S$  at PASCAL grammar  $G$ [28].

Let  $G$  be FORTRAN grammar and  $T$  be a FORTRAN program. Syntax analysis in FORTRAN compiler can then perform the same function as  $S(G, T)$ . Note that  $G$  is fixed and only  $T$  is varied in this case. Thus,  $S_G(T)$  can be used instead of  $S(G, T)$ .

Generally speaking, projection machine  $\alpha$  produces less efficient syntax analyzer  $S_G$  than a good human partial computer nowadays. Therefore, very efficient  $S_G$  for popular programming languages like FORTRAN and COBOL are implemented by human programmers at the cost of a lot of man-hours. However, in the future it may be possible that  $S_G$  produced by  $\alpha(S, G)$  will become more efficient than that made by human programmers.



### 3.4 Compiler generation

The three examples described above are generation of intermediate programs from data like axioms, patterns, or grammars. Therefore, they may be called compilation of axioms, patterns, or grammars instead of partial computation.

In this section, problems in automatically generating compilers are discussed. A compiler is a program to transform a program in some language (this is called source language) into a program in another language (this is called object language).

We often hear "A BASIC program runs slowly because it is executed by an interpreter. If we have a good BASIC compiler as FORTRAN, it will run much faster". What is the interpreter in this case?

Interpreter, say  $I$ , is a program to perform specified computations that analyze the meanings of a given program, say  $P$ , based upon given data, say  $D$ . Thus, running a program by interpreter means performing  $I(P,D)$ . While a compiler, say  $C$ , translates a source program, say  $P$ , to an object program  $C(P)$ . When this  $C(P)$  runs with data  $D$ , the result is the same as that of  $I(P,D)$ . This produces the following equation:

$$C(P)(D)=I(P,D)$$

While  $I_P = \lambda(I,P)$ . Thus  $C(P)(D)=I_P(D)$  and we get the below equation.

$$I_P=C(P)-----E4$$

(First equation of partial computation)

Therefore  $I_P$  can be regarded as an object program of  $P$ .

From the above discussion, it is clear that the repetitive computation of  $I(P,D)$  with  $P$  fixed and  $D$  varied is less efficient than that of  $I_P(D)$  after producing  $I_P$  by compiler  $C$ .

Now if we compute  $I(P,D)$  once with both  $P$  and  $D$  fixed, is a compiler still useful? Generally, partial computation is not useful

when a computation is performed just once. However, it is also true that generally a program runs faster after it is compiled even if it is executed only once. Why is this ?

The reason is that a program  $P$  itself contains repetitive computation (i.e. loops). Usually, a program contains a loop for performing repetitive, say 10 or 10000 times, computation. When this program is executed by an interpreter, the interpreter repeats analysis of a loop. However, partial computation of interpreter  $I$  at program  $P$  (i.e.  $\alpha(I, P)$ ) finishes the part of meaning analysis which can be performed without knowing data  $D$ , e.g. analysis of a program structure. So, when a loop in an object program  $I_P$  is performed repetitively, there remains just a small part of computation performed by an interpreter  $I$ . Thus, even when  $I(P, D)$  is computed just once for given data  $P$  and  $D$ , compiling  $P$  is often useful. If  $P$  contains no loops, compiling  $P$  is useless.

From the discussion above, readers may see the significance of a compiler. The remaining part of this section deals with a compiler generation method based upon partial computation.

If  $P$  is an object program, then can  $\alpha$  which produces  $I_P$  from  $I$  and  $P$  be regarded as a compiler ? The answer is no. Generally,  $\alpha$  is a complicated program and computation of  $\alpha(I, P)$  takes a long time. Therefore, regarding  $\alpha$  as a compiler is not practical.

Now, noting that  $\alpha$  itself is a program with two data, let us look at  $\alpha_I$  which is produced by partially computing  $\alpha$  at  $I$ . From equation E3, equation E5 is derived by substituting each  $f$  and  $I$  for  $k$  and  $P$ , respectively.

$$\alpha_I(P) = I_P \text{-----E5}$$

(Second equation of partial computation)

Since  $I_P$  is an object program and  $\alpha_I$  transforms a source program  $P$  to  $I_P$ ,  $\alpha_I$  has the same function as that of a compiler.

Furthermore, in  $\mathcal{d}_I$ , computation of  $\mathcal{d}$  concerning  $I$  has been finished. Thus, it is not wrong to regard  $\mathcal{d}_I$  as a compiler. Generally, implementing an interpreter is much easier than that of a compiler [16]. Therefore, if we have a good partial computer  $\mathcal{d}$ , a compiler can be made automatically through  $\mathcal{d}(\mathcal{d}, I)$  after easily implementing  $I$  [16].

Now, what is the result of partial computation of  $\mathcal{d}$  at  $\mathcal{d}$  itself (i.e.  $\mathcal{d}_{\mathcal{d}}$ ) ?

Equation E6 below is derived from equation E3 by substituting each  $\mathcal{d}$  and  $I$  for  $f$  and  $k$ , respectively.

$$\mathcal{d}_{\mathcal{d}}(I) = \mathcal{d}_I \text{-----E6}$$

(Third equation of partial computation)

Since  $\mathcal{d}_I$  is a compiler, from E6 above,  $\mathcal{d}_{\mathcal{d}}$  is regarded as a compiler-compiler which generates a compiler  $\mathcal{d}_I$  from an interpreter  $I$ .

From the above discussion, the relationship between language processors like interpreter, compiler and compiler-compiler is clarified (see [15]).

Let  $I$ -language be a language defined by an interpreter  $I$ . From equation E6,  $I$ -language compiler can be produced automatically through  $\mathcal{d}_{\mathcal{d}}(I)$ . Now, let us substitute  $\mathcal{d}$  for  $I$  in E6 or consider  $\mathcal{d}$  as an interpreter. Then what is  $\mathcal{d}$ -language ?

Let  $f$  be a program in  $\mathcal{d}$ -language and  $k$  be data. From E1, the result of performing  $f$  with data  $k$  is:

$$\mathcal{d}(f, k) = f_k.$$

Substituting  $\mathcal{d}$  for  $I$  in E6, we get:

$$\mathcal{d}_{\mathcal{d}}(\mathcal{d}) = \mathcal{d}_{\mathcal{d}}.$$

This means  $\mathcal{d}_{\mathcal{d}}$  is an  $\mathcal{d}$ -language compiler. Therefore,  $\mathcal{d}_{\mathcal{d}}(f)$  is an object program of  $f$  and we get equation E7 below.

$$\mathcal{d}_{\mathcal{d}}(f)(k) = f_k \text{-----E7}$$

(Fourth equation of partial computation).

From the discussion above, we see that, in general, partial computation of  $f$  at  $k$  can be done more efficiently through compiling  $f$  by  $\alpha_\alpha$  than by directly computing  $\alpha(f,k)$  (However, when  $f=\alpha$ , computing  $\alpha_\alpha(k)$  is sufficient). Therefore  $\alpha_\alpha$  is the partial computation compiler desired. However, the author has not heard of a report concerning the execution of  $\alpha(\alpha,\alpha)$  to produce  $\alpha_\alpha$  for practical  $\alpha$ .

The author implemented an  $\alpha$  and an interpreter  $I$  in LISP for ALGOL-like language, and tried to generate ALGOL compiler  $\alpha_I$  in 1970 [16]. The generated compiler was not efficient enough to be used practically. Similar, but more advanced, experiment was conducted by Haraldsson [20]. He implemented partial computation program REDFUN in LISP [3,20]. REDFUN was used by Emanuelson to implement a pattern compiler [6].

Based upon partial computation and Prolog interpreter on LISP machine (LM-Prolog [37]), Kahn [23] tried to automatically generate Prolog compiler. The compiler translates a Prolog program into a LISP program.

To do so, from the discussion in this section, it is sufficient to have a LISP program  $\alpha$  which can partially compute a LISP program. However, good  $\alpha$  is very hard to write (Haraldsson told in [20] his partial evaluator REDFUN-2 was 120 pages in prettyprinted format). Therefore, Kahn tried to write his  $\alpha$  in Prolog [35,37,39]. Since Prolog has a powerful pattern matching ability and theorem proving mechanism, it seems easier to write complicated  $\alpha$  in Prolog than in LISP. The outline of Kahn's method is described below.

First, Kahn implemented the following two programs:

(1)  $L$  : Prolog interpreter written in LISP.

Let  $D$  be database and  $S$  be a statement in Prolog, then  $L$  performs  $L(D,S)$ . Interpreter  $L$  is similar to a theorem prover described in

Section 2.1. Database D and S correspond to axioms and a theorem, respectively.

(2) P : LISP partial computation program (projection machine) written in Prolog.

Note that P is database for L. Let f be a LISP program, k and u be its input data, and [f,k] be a Prolog statement describing f and k. Then the equation below holds.

$$f_k = L(P, [f, k]) \text{-----E8}$$

He then gets  $L_P$  by performing  $L(P, [L, P])$  from E8 which is again a LISP program.

From E1:

$$L_P([f, k]) = L(P, [f, k]) \text{-----E9}$$

From E8 and E9,  $L_P([f, k]) = f_k$  is derived. Therefore,  $L_P$  is a LISP program producing  $f_k$  from f and k. This means that  $L_P$  is a LISP partial computer described in LISP.

Let  $L_P$  be  $\alpha$ . Then from equation E5, by performing  $\alpha(\alpha, L)$ , we can get a Prolog compiler  $\alpha_L$  translating a Prolog program into a LISP program.

Kahn's method of producing a Prolog compiler seems very promising because:

(1) A partial computation method of a LISP program is becoming clearer through its long time research.

(2) A very convenient language like Prolog for describing partial computer is becoming available.

#### 4. Theory of Partial Computation

It was in the 1930's that Turing, Church, Kleene, etc. proposed several computation models and clarified the mathematical meanings of mechanical procedure. A computation model, in plain language, is a sort of programming language. Typical computation models include the

Turing machine, lambda expression and the partial recursive function.

At that time, the main research interest concerned computability, i.e. computational power of the models, not computational complexity or efficiency. Since partial computation was the same as ordinary computation, as far as computability was concerned, it did not attract research attention.

However, equation E1 in Chapter 2 appeared in Kleene's S-m-n theorem (It is also called the parameterization theorem or iteration theorem) of the 1930's[41,45]. A procedure to produce  $f_k$  by fixing  $k$  in  $f$  was also described in the proof of the theorem. That procedure was just like putting assignment statement  $k := (\text{value of } k)$ , e.g.  $k := 5$ , in front of  $f(k, u)$ :

$$f_5(u) == \begin{array}{|l} k := 5 \\ \hline f(k, u) \end{array}$$

Furthermore, the partial computation of  $\lambda$  itself, which appears in the third equation of partial computation, was also used in the proof of Kleene's Recursion Theorem[41](1938). However, he only used partial computation in its simplest form to fix the value of a variable.

While Turing machines and partial recursive functions were formulated to describe total computation, Church's lambda expression was based upon partial computation. Inputs to a lambda expression are also lambda expressions, and the result is, again, a lambda expression. This computation is called lambda conversion. Furthermore, when a lambda expression corresponding to  $f(5, u)$ , for example, is computed with  $u$  undefined, the result is a lambda expression corresponding to  $f_5(u)$  in which computation concerning  $k=5$  is finished. Lambda conversion of  $f_5(u)$  with, again for example,  $u=6$  produces the same result as  $f(5, 6)$  (by the Church-Rosser Theorem). That is to say,  $f_k(u) = f(k, u)$ . Thus lambda conversion is partial

computation.

As described above, the concept of partial computation already existed in the 1930's. However, implementation of a projection machine and its application to real world problems started in the 1960's after the programming language LISP began to be widely used (see Appendix 2).

Problems in making a practical projection machine will be discussed in the next chapter. The rest of this chapter deals with the theory behind the projection machine.

The relationships between a projection machine and a language processor, i.e. equations E4, E5 and E6, have been discussed by Futamura[16,17], Beckman[3], Ershov[8,12,14] and others.

Formal treatment of a projection machine and its proof of correctness were presented by Ershov in [9,13,14]. He dealt with ALGOL-like programs that included assignment, conditional and GOTO statements in [9] and a recursive program schema similar to pure LISP in [13]. The author believes [9] and [13] are landmark papers that establish the theoretical foundations for a projection machine.

It is important for projection machine  $\mathcal{A}$  to satisfy the following three conditions:

(1) Correctness: Program  $\mathcal{A}$  should satisfy the equation  $\mathcal{A}(f,k)(u)=f(k,u)$ .

(2) Efficiency improvement: Program  $\mathcal{A}$  should perform as much computation as possible based upon given data  $k$ .

(3) Termination: Program  $\mathcal{A}$  should terminate on partial computation of as many programs as possible. Termination at  $\mathcal{A}(\mathcal{A},\mathcal{A})$  is most desirable.

In condition (2), the meaning of "as much computation as possible" is not mathematically clear. This can be rephrased as "all possible computation" for a simple language as a recursive program schema. However, for a language with assignment statements or side

effects, it is not easy to make computations concerning  $k$  only without spoiling condition (1). Therefore, the author believes that it is of primary importance to establish a projection machine satisfying conditions (1), (2) and (3) for a recursive program schema that makes theoretical discussion easiest to do.

Ershov[13] has established  $\alpha$  satisfying (1) and (2) for a recursive program schema. This paper attempts to describe a new  $\alpha$  that satisfies all three conditions based upon Ershov[13]. The description is rather informal in order for readers to understand intuitively.

Roughly speaking, a recursive program schema is a program consisting of only three different components:

(1) Condition:



(2) Expression:

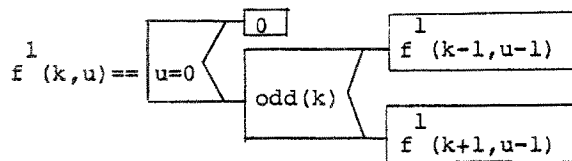


(3) function definition:

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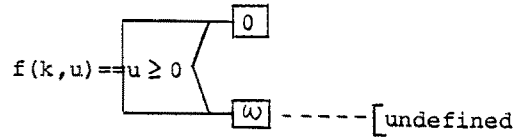
Six examples of recursive program schema are described below:

Example 1:



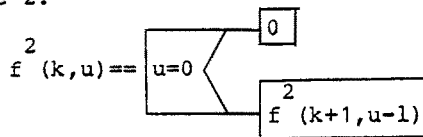
This means a program that takes value 0 if  $u \geq 0$  or loop indefinitely if  $u < 0$ ; i.e.



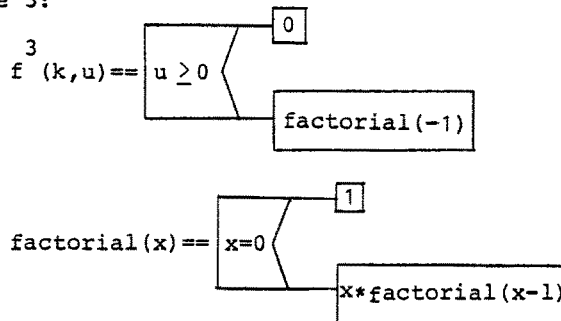


Following Examples 2 to 4 describe this same function in different forms.

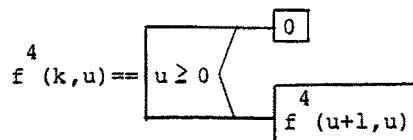
Example 2:



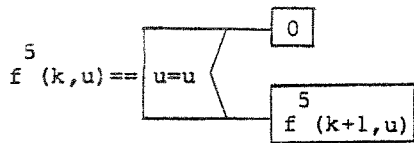
Example 3:



Example 4:

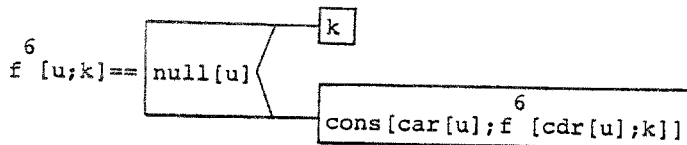


Example 5:



The value of  $f^5$  is always  $0$ .

Example 6:



This is equal to  $\text{append}[u; k]$  in LISP.

As described in [42], a computation rule for a recursive program schema is repetition of (1) Rewriting and (2) Simplification. The computation terminates when there is no longer occasion to apply the rewriting rule.

Partial computation of  $f$  at  $k$  is performed by repetition of the following three rules:

(1) Rewriting: Replace  $f(k,u)$  by its definition body (This  $f(k,u)$  is called a semi-bound call by Ershov[13]). This rule is different from the rewriting rule for ordinary computation because it can only be applied when  $u$  is undefined.

(2) Simplification: Perform possible simplification to a program produced by the rewriting rule. This is not very different from the simplification rule for ordinary computation.

(3) Tabulation: Let  $E$  be the expression derived from the above two rules.

(3.1) If there are semi-bound calls, say  $f'(k',u')$ , in  $E$ , then each one is replaced by  $f'_k(u')$ . Let the resulting expression be  $E'$ . Then define  $f_k$  as  $E'$ , i.e.

$$f_k == E'.$$

(3.1.1) If there is an undefined  $f'_k$ , in  $E'$ , repeat (1), (2) and (3) for each  $f'$  and  $k'$  (i.e. partially compute  $f'$  at  $k'$ ).

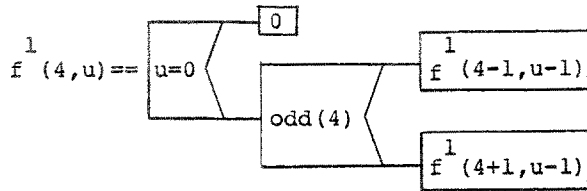
(3.1.2) If there is no undefined  $f'_k$ , in  $E'$ , terminate the computation.

(3.2) If there is no semi-bound call in  $E'$ , define  $f_k$  as  $E'$  and terminate the computation.

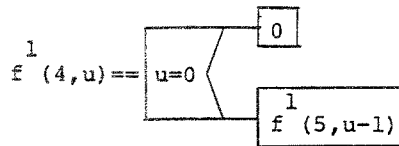
Thus, the discriminating characteristics of partial computation are the semi-bound call and tabulation. Six examples are shown to illustrate the above rules:

Example 1: Partially compute  $f^1(4,u)$ .

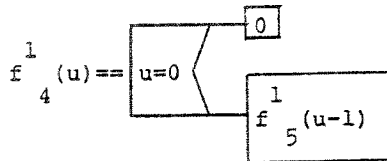
(1) Rewriting: Replace  $k$  by  $4$  in the  $f^1(k,u)$  definition.



(2) Simplification: Perform computation and decide what can be done based upon the value of  $k$ , i.e.  $4$ .

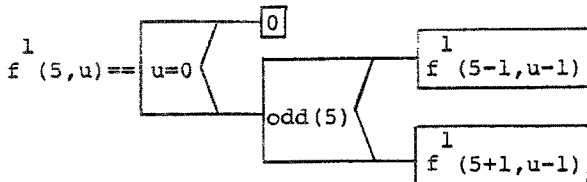


(3) Tabulation: Replace semi-bound call  $f^1(5,u-1)$  by  $f^1_5(u-1)$ . The resulting expression is defined as  $f^1_4(u)$ .

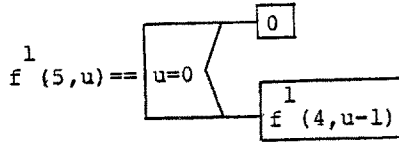


Since  $f^1_5$  is undefined, define  $f^1_5(u)$  through partial computation of  $f^1(5,u)$ , i.e.:

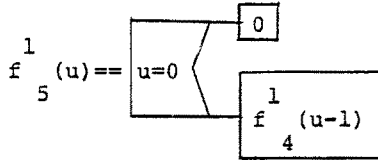
(4) Rewriting:



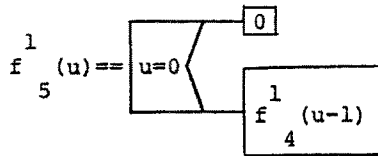
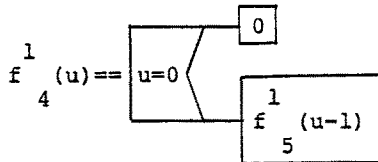
(5) Simplification:



(6) Tabulation:

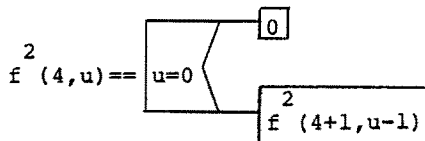


Since  $f^1_4$  has been defined by previous tabulation, there is neither a semi-bound call nor an undefined function in the above expression. Thus, partial computation terminates. The results are the following two equations:

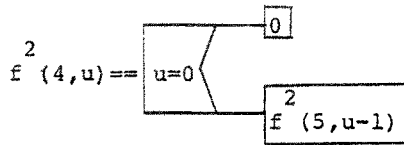


Example 2: Partially compute  $f^2(4,u)$ .

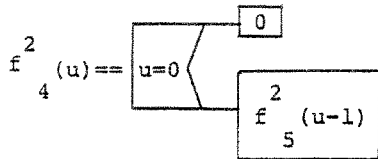
(1) Rewriting:



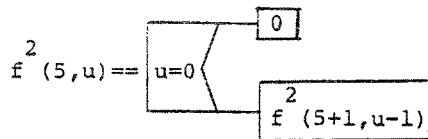
(2) Simplification:



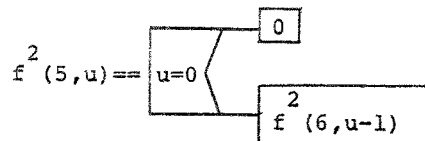
(3) Tabulation:



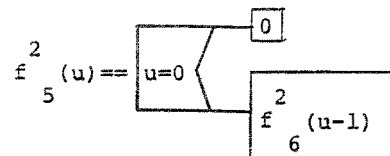
(4) Rewriting:



(5) Simplification:



(6) Tabulation:

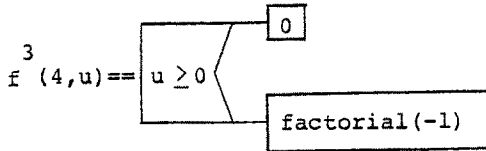


Undefined functions  $f^2_6, f^2_7, \dots$  appear indefinitely and partial

computation does not terminate. Thus,  $f^2_4$  cannot be defined.

Example 3: Partially compute  $f^3(4,u)$ .

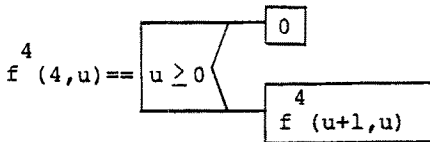
(1) Rewriting:



(2) Simplification: According to the definition of the factorial function,  $\text{factorial}(-1)$  does not terminate. Thus, this partial computation does not terminate.

Example 4: Partially compute  $f^4(4,u)$ .

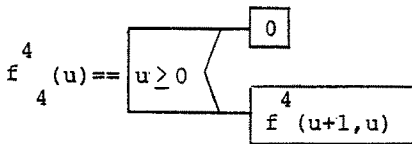
(1) Rewriting:



(2) Simplification:

The same expression as the above.

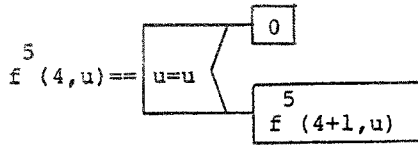
(3) Tabulation:



Since neither semi-bound calls nor undefined functions are included in the expression, the partial computation terminates.

Example 5: Partially compute  $f^5(4,u)$ .

(1) Rewriting:

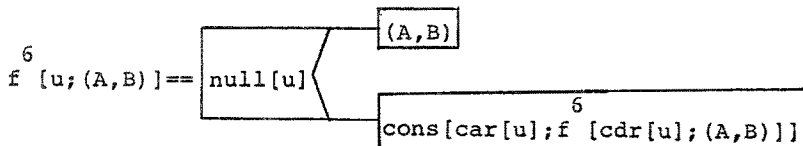


(2) Simplification:

If this rule is powerful enough to find that  $u=u$  always holds, then partial computation terminates and the result is  $0$  (i.e.  $f^5(4,u)=0$ ). However, if boolean expression  $u=u$  is not evaluated because  $u$  is unknown, partial computation of  $f^5$  at  $k=5$  is performed. Thus, the same as in Example 2, partial computation will not terminate.

Example 6: Partially compute  $f^6[u;(A,B)]$ .

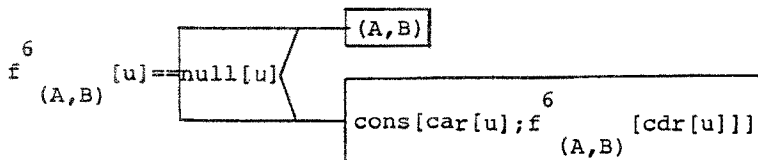
(1) Rewriting:



(2) Simplification:

The same as above.

(3) Tabulation:



Partial computation is terminated and projection  $f^6_{(A,B)}$  is produced.



In the above examples, partial computation of  $f^2$  and  $f^3$  do not terminate, but total computation terminates, at  $u \geq 0$  (see Table 1). The reasons are as follows:

- (1) The value of known variable  $k$  changes indefinitely (for  $f^2$ ).
- (2) Infinite computation that can be performed based upon known variable  $k$  and constants is included (for  $f^3$ ).

Table 1: Summary of Examples.

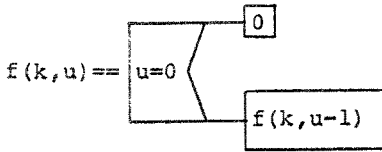
	Total computation	Partial computation
Terminating	$f^1, f^2, f^3, f^4, f^5, f^6$ ( $u \geq 0$ )	$f^1, f^4, f^5, f^6$
Non-terminating	$f^1, f^2, f^3, f^4$ ( $u < 0$ )	$f^2, f^3, f^5$

As described in Chapter 3, partial computation can be applied to such data of finite structure as a pattern, a set of axioms, a grammar or a program. Therefore, case (1) above will not happen in practical application. Furthermore, case (2) will not happen either, if the programs to be partially computed are written carefully. Thus, projection machine  $\mathcal{A}$  described above seems powerful enough to be practical.

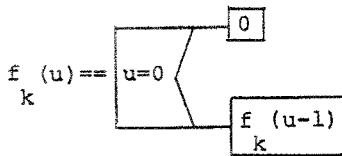
Tabulation is a unique feature of  $\mathcal{A}$  (Tabulation technique for ALGOL-like programs was described in [18]). The first paper to mention the importance of tabulation in partial computation was probably [16]. Kahn[23] also mentioned a powerful tabulation method using Prolog.

Ershov[13] and REDFUN[6] devised mechanisms to terminate partial computation in such special cases as when the value of  $k$  does not

change. For example, their  $\lambda$  s can terminate for partial computation of  $f(k,u)$ , where



and the projection  $f_k(u)$  is



However, their  $\lambda$  s do not terminate for partial computation of  $f^1$  in Example 1 above.

### 5. Technical Problems in Making $\lambda$ Practical

One of the most energetic groups persuing how to make partial computation practical is Professor Sandewall's group in Sweden, to the best of the author's knowledge. In that group, Beckman[3], Haraldsson[20], Emanuelson[6], Komorowski[25] and Kahn[23,24] have been working on the subject for a long time. The recent achivements of Emanuelson[6] and Kahn[23] lead the author to believe that a practical projection machine can be implemented in the near future.

The group has been trying to partilly compute LISP programs. LISP is one of the best real-world languages for partial computation research because its interpreter is simple and clear, its programs can be easily manipulated as data, and its program structure is similar to a recursive program schema. Therefore, the Sandewall group's approach of establishing  $\lambda$  for LISP as their first step seems very sound.

As described in the previous chapter, partial computation is a

repetition of (1) rewriting, (2) simplification and (3) tabulation.

Rewriting is like so-called macro expansion and procedure integration[30] in the optimization techniques of a compiler. This operation is often performed in combination with simplification (see Fig.6).

ORIGINAL PL/I PROCEDURES	AFTER INTEGRATION	AFTER SIMPLIFICATION
P:PROC(A); B=5; C=A*B; CALL Q(A,B); RETURN(C); END;	P:PROC(A); B=5; C=A*B; A=A*B; RETURN(C); END;	P:PROC(A); A=A*5; RETURN(A); END;
Q:PROC(X,Y); X=X*Y; END;		

Fig. 6: Example of the integration and simplification [30].

Partial computation is useful because it automatically improves program efficiency. However, since  $\alpha$  produces a projection by simple rules, there still remain redundant parts in the projection, such as `car[cons[x;y]]` for `y` with no side-effect. These redundancy should be avoided by program optimization, e.g. `car[cons[x;y]]` should be `x`. This operation is algebraic manipulation of a program that is similar to transformation of  $\sin^2 x + \cos^2 x$  into 1 in symbol manipulation (A more complex example of program manipulation[23] is shown in Appendix 3).

Therefore, simplification is not a process unique to partial computation but a common one for program optimization[30]. This process is most important to the efficiency of a generated program (i.e. a projection). Program manipulation will become easier through advancement of Prolog-like languages.

Tabulation is a process used to associate program `f` and known data `k` to projection  $f_k$ . Essentially, it builds a table, like that shown below, and searches through it.

function	known data	projection
f	k1	f <sub>k1</sub>
f	k2	f <sub>k2</sub>
g	k3	g <sub>k3</sub>
g	k4	g <sub>k4</sub>
f	k5	f <sub>k5</sub>
⋮	⋮	⋮
⋮	⋮	⋮
⋮	⋮	⋮

For example, when there is a semi-bound call  $f(k2, u+1)$ , it is replaced by  $f_{k2}(u+1)$  and the table is searched for the entry of  $f$ ,  $k2$  and  $f_{k2}$ .

If the entry is found, partial computation of  $f(k2, u+1)$  is not performed again. If the entry is not found,  $f$ ,  $k2$  and the unfinished definition of  $f_{k2}$  are entered into the table and partial computation of  $f(k2, u+1)$  is performed. (A more detailed discussion of this is conducted in Chapter 4).

The tabulation technique is important not only in partial computation but also in ordinary computation. It has been studied for some time in the field of recursive programs[31,32]. Tabulation can be performed efficiently if a powerful hashing mechanism is implemented[33].

## 6. Conclusion

In the last decade, the author never believed that a practical projection machine could be implemented within 10 years. However, he is now more optimistic.

The achievements of Ershov, Emanuelson, Kahn and others establish

the basis for the theory and practice of partial computation. Furthermore, the coming of a commercial LISP machine and inauguration of the 5th generation computer project in Japan will encourage research in this field.

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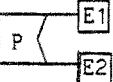
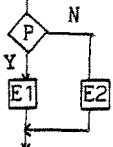



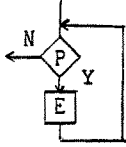
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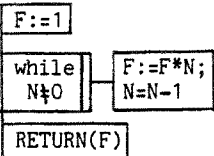
# Appendix 1: PAD (Problem Analysis Diagram) [36]

PAD is a new program schema for a substitute for both flow chart and recursive program schema. Correspondence between PAD, LISP and flow charts is described below.

	PAD	LISP	FLOW CHARTS
processing		E (LISP form)	
selection		$[P \rightarrow E1; T \rightarrow E2]$	
definition	$f(x) == \boxed{E(f, x)}$	$f[x] == E(f, x)$	
sequencing		E1; E2 (in prog feature)	
repetition			
comment	-----[comment		-----[comment

## Examples

FACT(N) ==  or





## Appendix 2: Early Research in the Field (Cited from [3])

Partial evaluation has been used by several researchers and for a variety of purposes. We have identified the following applications (in an attempted chronological order):

Lombardi and Raphael used it as a modified LISP evaluation which attempted to do as much as it could with incomplete data[26].

Futamura studied such programs for the purpose of defining compilers from interpreters[16].

Dixon wrote such a program and used it to speed up resolution[5].

Sandewall reports the use of such a program for code generation in [S71].

Deutsch uses partial evaluation in his thesis[D73], but had written a partial evaluation program much earlier (personal communication, December 1969). His idea at that time was to use it as an alternative to the ordinary eval, in order to speed up some computations.

Boyer and Strother Moore use it in a specialized prover for theorems about LISP functions[B74]. Somewhat strangely, they call it an "eval" function.

Hardy uses it in a system for automating induction of LISP functions[H73].

Finally, the language ECL[W74] can evaluate, during compilation, a procedure call only containing "frozen" or constant arguments. Most of these authors seem to have arrived at the idea independently of each other, and at least number 2 through 5 at roughly the same time.

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### Appendix 3: An example of program manipulation [23]

Example: Produce an efficient new program combining two programs.

Given programs:

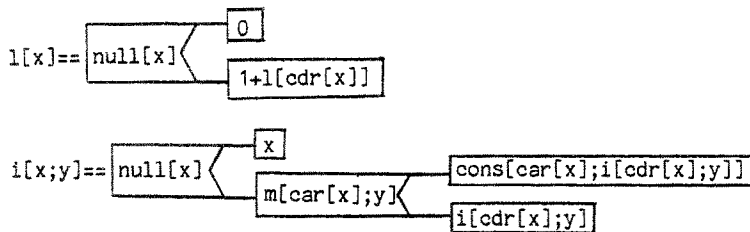
$l[x]$ : Compute the length of list  $x$ .

$i[x;y]$ : Produce the intersection of lists  $x$  and  $y$ .

New program to be produced:

$li[x;y]$ : An efficient program to compute the length of intersection of  $x$  and  $y$ .

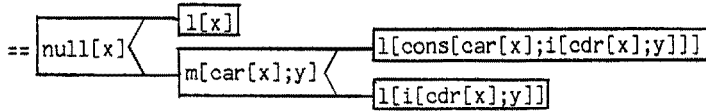
Definitions:



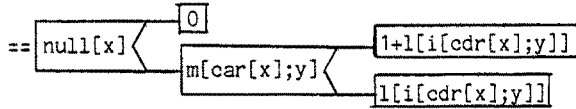
where  $m[x;y]$  is a predicate to give T if  $x$  is a member of  $y$  else it gives NIL, i.e.  $m[x;y] = \text{member}[x;y]$ .

Algebraic manipulation:

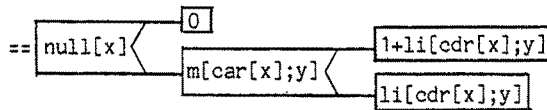
$li[x;y] == l[i[x;y]]$



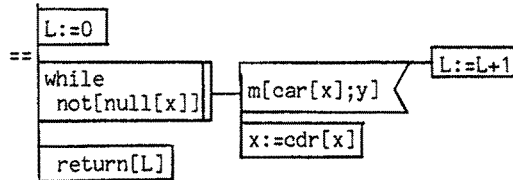
(From distribution over conditional expressions)



(From the definition of l)



(From the definition of li)



(From recursion removal)