

Diagonalization & Undecidability



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Recap: Cantor's diagonalization

Theorem: no surjective function $f : \mathbb{N} \rightarrow \mathcal{P}(\mathbb{N})$

- This time: suppose such f exists \Rightarrow derive contradiction

$$f(0) = \emptyset$$

$$f(1) = \mathbb{N}$$

$$f(2) =$$

	0	1	2	3	4	-	-
0	0	0	0	0	0	-	-
1	1	1	1	1	1	-	-
2	0	1	0	1	0	-	-
3	1	1	0	0	1		
4	0	0	1	1	0		
\vdots							

D 1 0 1 1 1 ...

translate D
as a set $\subseteq \mathbb{N}$


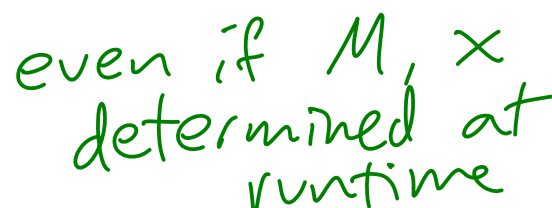
$$D = \{i \mid i^{\text{th}} \text{ entry is } 1\}$$

$$= \{i \mid i^{\text{th}} \text{ diagonal entry of table is } 0\}$$

$$= \{i \mid i \notin f(i)\}$$

"If i^{th} diagonal entry of table is 1, then i^{th} entry of D is 0"

What you need to know about Turing Machines (for today)

- On specific input, TM can either accept, reject, or run forever

- OK to write “simulate TM M on input x”
(simulation may run forever)

- Any string can be interpreted as encoding of a TM

What you need to know about Turing Machines (for today)

- A language is any set of strings
 - Yes-instances of a “decision problem”
- **Def:** S is Turing-recognizable (“recursively enumerable / r.e.”) \exists TM M :
 - if $x \in S$ then M accepts x
 - if $x \notin S$ then M doesn't accept x
either rejects or runs forever
- **Def:** S is Turing-decidable (“recursive”) \exists TM M :
 - if $x \in S$ then M accepts x
 - if $x \notin S$ then M rejects x $\left. \begin{array}{l} \text{if } x \in S \text{ then } M \text{ accepts } x \\ \text{if } x \notin S \text{ then } M \text{ rejects } x \end{array} \right\} M \text{ always halts}$

Theorem (Turing 1936)

→ "encoding of a pair consisting of M, x "

- $L_{\text{acc}} = \{ \langle M, x \rangle \mid M \text{ is a TM that accepts } x \}$ is Turing-recognizable but not Turing-decidable

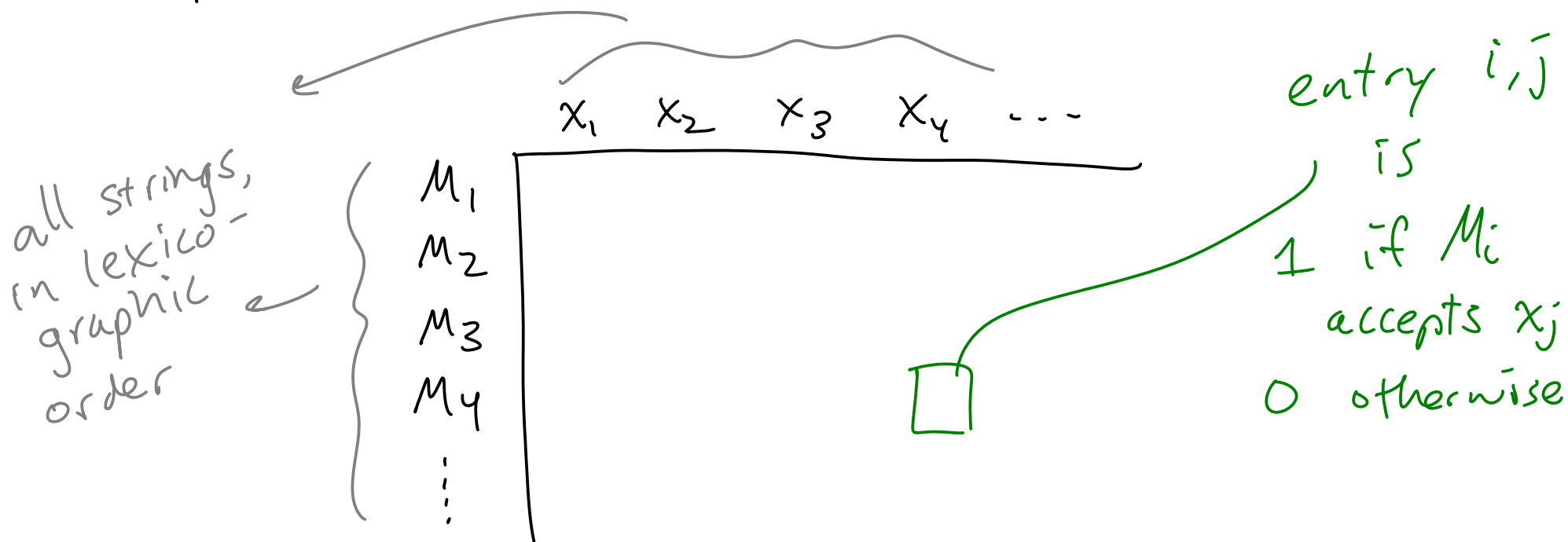
↳ easy:

on input $\langle M, x \rangle$, run M on x , see if it accepts

Theorem (Turing 1936)

- $L_{acc} = \{ \langle M, x \rangle \mid M \text{ is a TM that accepts } x \}$ is Turing-recognizable but not Turing-decidable

Idea: DIAGONALIZE: make a table that captures acceptance behavior of all TMs



Theorem (Turing 1936)

	x_1	x_2	x_3	x_4	...
M_1	1	0	1	0	
M_2	0	1	0	0	
M_3	1	1	1	1	
M_4	0	0	1	1	
\vdots					

$D = 0 \ 1 \ 0 \ 0$

D disagrees w/ every row of table

No TM has behavior that is described by D !

Goal: Assume L_{acc} is decidable, get contradiction by constructing a TM whose behavior is D

$D(S)$: interpret as TM

if S accepts S then reject
else accept

this step always halts if L_{acc} is decidable

Discussion

- L_{acc} is recognizable but not decidable

No algorithm ALWAYS halts
and ALWAYS gets
right answer to

"Does this M accept this x ?"

An algo CAN get answer right Some
of the time, though

Another Example:

- $L_{\text{halt}} = \{ \langle M, x \rangle \mid M \text{ is a TM that } \underline{\text{halts}} \text{ on } x \}$ is undecidable

Other ways to show undecidability?

- Formalize other logical paradoxes
 - Diagonalization works like **Russell's paradox**
“Define $D = \{ x \mid x \notin x \}$; does $D \in D$?”
 - Another approach works like **Berry's paradox**
“ x = smallest positive integer not definable in 8 words”
- Another approach: reductions [next time]
 - “if L were decidable, then I could use its algorithm to solve the halting problem”

Undecidability from Berry's paradox

- **Def:** $K(x)$ = length of shortest C program that outputs string x
- **Theorem:** $\{ \langle x, n \rangle \mid K(x) \leq n \}$ is undecidable

Undecidability from Berry's paradox