

Algorithms

Chapter 6

Algorithms Involving Sequences & Sets

Part 1

(pp. 119~127)

Sequences & Sets

■ Sequence

- the order of the given elements is important

■ Set

- order isn't important
- an element does not appear more than once

Pure Binary Search

■ Problem

Given a sorted sequence of n real numbers
a real number z

Find whether z appears in the sequence
if it does, find the position of z

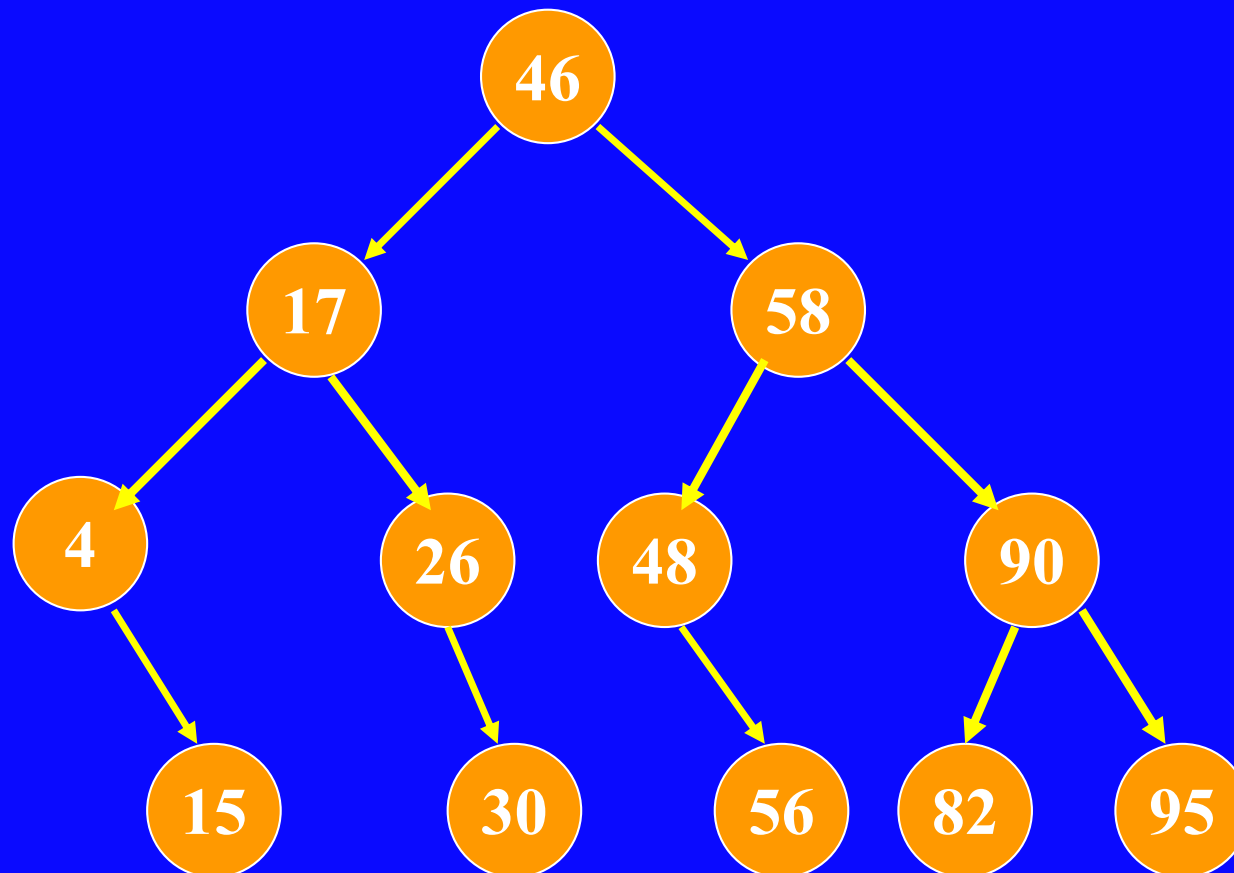
■ Solution

□ sequential (linear) search: $O(n)$

□ binary search: $O(\log n)$

```
Algorithm Binary_Search(X, n, z);  
Input: X (sorted array), z (search key)  
Output: Position  
begin  
    Position:=Find(z, 1, n);  
end  
Function Find(z, Left, Right):integer;  
begin  
    if Left = Right then  
        if X[Left] = z then Find:=Left  
        else Find:=0  
    else  
        Middle:= $\lceil \text{Left} + (\text{Right} - \text{Left}) / 2 \rceil$ ;  
        if z < X[Middle] then  
            Find:=Find(z, Left, Middle-1)  
        else  
            Find:=Find(z, Middle, Right)  
    end
```

	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>	<i>6</i>	<i>7</i>	<i>8</i>	<i>9</i>	<i>10</i>	<i>11</i>	<i>12</i>
95	4	15	17	26	30	46	48	56	58	82	90	95



Binary Search in Cyclic Sequence

Binary Search in Cyclic Sequence

■ Cyclic sorted list

<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>	<i>6</i>	<i>7</i>	<i>8</i>	<i>9</i>	<i>10</i>	<i>11</i>	<i>12</i>
58	82	90	95	4	15	17	26	30	46	48	56

■ Problem

Given a cyclic sorted sequence of n real numbers

Find the position of the minimal element in the list

■ Solution

☐ sequential (linear) search: $O(n)$

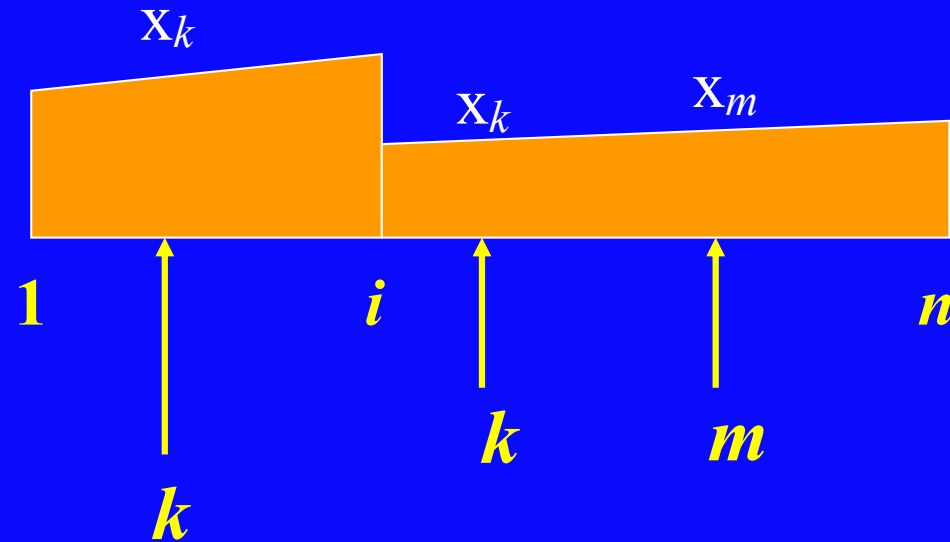
☐ binary search ?

請設計 $O(\log n)$ 演算法由Cyclic Sorted Sequence中找到Minimal Element

1	2	3	4	5	6	7	8	9	10	11	12
58	82	90	95	4	15	17	26	30	46	48	56



Solution of Binary Search in Cyclic Sequence



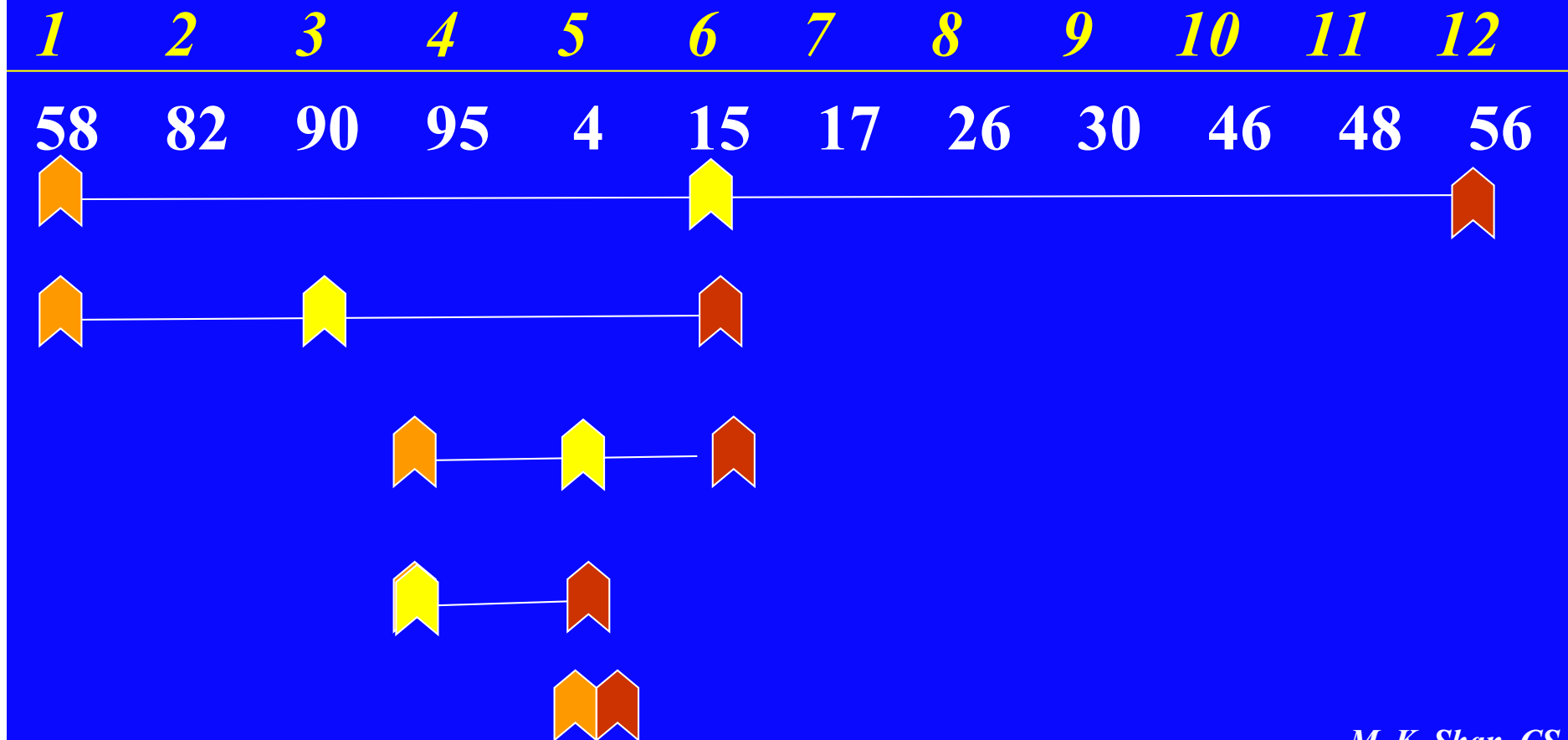
■ Take any two numbers x_k & x_m , $k < m$

■ If $x_k < x_m$

then i cannot be in the range $[k, m]$

else i must be in the range $[k, m]$

Binary Search in Cyclic Sequence



Algorithm Cyclic_Binary_Search(X, n, z);

Input: X (cyclic sorted array)

Output: Position (of the smallest element)

begin

Position:=Find(1, n);

end

Function Cyclic_Find(Left, Right):integer;

begin

if Left = Right then Cyclic_find:=Left

else

Middle:= $\lfloor 1/2(\text{Left}+\text{Right}) \rfloor$;

if X[Left] > X[Middle] then

Cyclic_Find:=Cyclic_Find(Left, Middle)

else

Cyclic_Find:=Cyclic_Find(Middle+1, Right)

end

Special Binary Search

Special Binary Search

■	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>	<i>6</i>	<i>7</i>	<i>8</i>	<i>9</i>	<i>10</i>	<i>11</i>
	-1	0	1	2	4	5	6	8	10	12	36

■ Problem

Given a sorted sequence of n distinct integers a_1, a_2, \dots, a_n

Find the element $a_i = i$

■ Solution

☐ sequential (linear) search: $O(n)$

☐ binary search ?

請設計 $O(\log n)$ 演算法由Sorted Sequence
 a_1, a_2, \dots, a_n 中找到 $a_i = i$



Solution of Special Binary Search

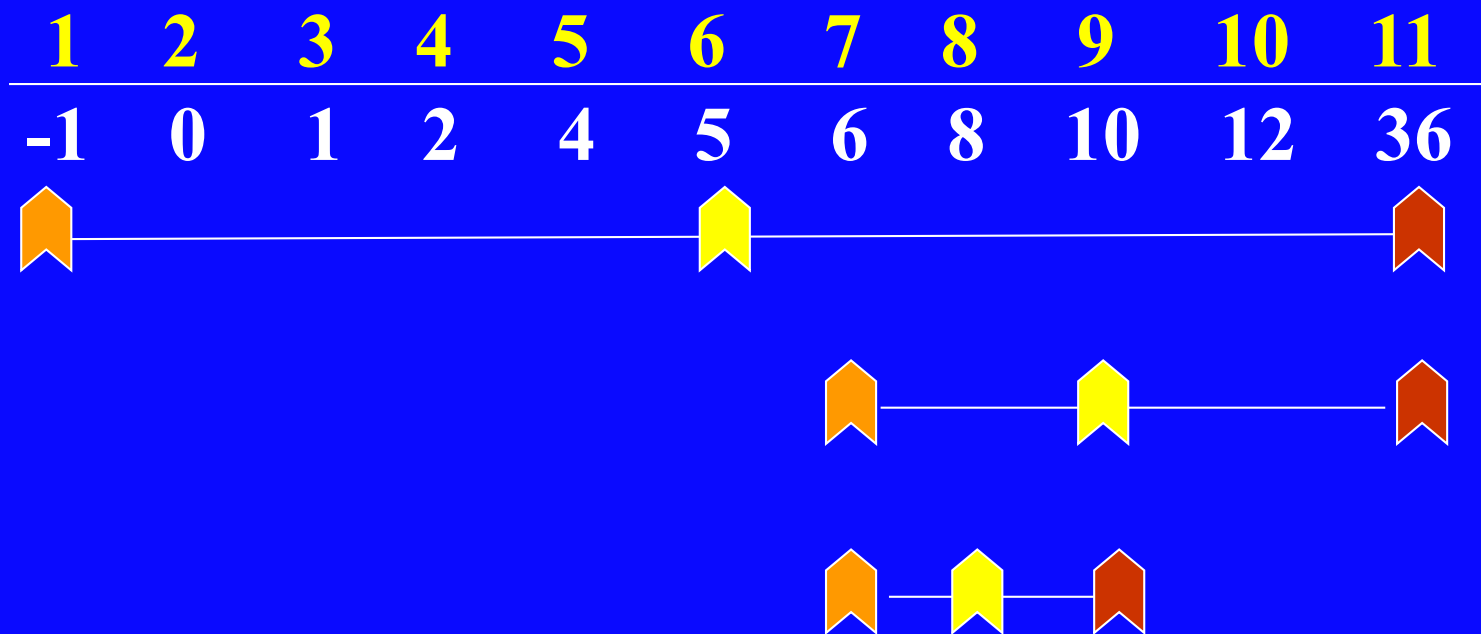
■ Consider the value of $a_{n/2}$

□ if $a_{n/2} = n/2$, done

□ if $a_{n/2} < n/2$, no number in the left half satisfy

□ if $a_{n/2} > n/2$, no number in the right half satisfy

<i>i</i>	1	2	3	4	5	6	7	8	9	10	11
<i>a_i</i>	-1	0	1	2	4	5	6	8	10	12	36



Algorithm Special_Binary_Search(A, n);

Input: A (sorted array)

Output: Position

begin

Position:=Special_Find(1, n);

end

Function Special_Find(Left, Right):integer;

begin

if Left = Right then

if A[Left] = Left then Special_Find:=Left

else Special_Find:=0

else

Middle:= $\lceil 1/2(\text{Left}+\text{Right}) \rceil$;

if A[Middle] < Middle then

Special_Find:=Special_Find(Middle+1, Right)

else

Special_Find:=Special_Find(Left, Middle)

end

Binary Search of Unknown Size

Binary Search of Unknown Size

■ Problem

Given a sorted sequence of real numbers

a real number z

Find whether z appears in the sequence

if it does, find the position of z

Solution of Binary Search of Unknown Size

<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>	<i>6</i>	<i>7</i>	<i>8</i>	<i>9</i>	<i>10</i>	<i>11</i>	<i>12</i>
4	15	17	26	30	46	48	56	58	82	90	95

Where is 46 ?

請設計 $O(\log n)$ 演算法由 Unknown-Sized
Sorted Sequence a_1, a_2, \dots, a_n 中找到 $a_i = x$



Solution of Binary Search of Unknown Size

<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>	<i>6</i>	<i>7</i>	<i>8</i>	<i>9</i>	<i>10</i>	<i>11</i>	<i>12</i>
4	15	17	26	30	46	48	56	58	82	90	95

- Find j such that $x_j < z \leq x_{2j}$ $O(\log j)$
- Binary search in the range between x_j and x_{2j} $O(\log j)$
- Total $O(2 * \log j)$

Stutter-Subsequence Problem

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Stuttering-Subsequence Problem

■ Subsequence

Given two sequences of characters $A=a_1a_2\dots a_n$, $B=b_1b_2\dots b_m$

B is a subsequence of A

if there exists indices $i_1 < i_2 < \dots < i_m$, such that $\forall 1 \leq j \leq m, b_j = a_{i_j}$

■ B is a subsequence of A if

- B can be embedded inside A in the same order

- but with possible holes

■ $B=\text{'euec'}$ is a subsequence of $A=\text{'sequence'}$

■ Time Complexity of Subsequence Matching ?

Given two sequence of characters
 $A = a_1a_2 \dots a_n$, $B = b_1b_2 \dots b_m$,
give the time complexity
to test if B is a subsequence of A



Stuttering-Subsequence Problem (cont.)

■ Stuttering

□ $B = xyzzx$

□ $B^3 = xxxyyyzzzzzzxxx$

■ Stutter-Subsequence Problem

Given two sequences A & B

Find the maximal value of i so that

B^i is a subsequence of A

■ Given $A = axbcxdyxyacyxzyxzzzyzzzxyzyxzxyx$

$B = xyzzx$

Find the maximal value of i

如何利用 binary search 的精神，
縮小 stutter-subsequence problem 中
 i 的搜尋範圍？



Solution of Stuttering-Subsequence

■ Observation

- $\forall i$, we can construct & test subsequence of B^i easily
- if B^j is a subsequence of A ,
then B^i is a subsequence of A , $\forall 1 \leq i \leq j$

■ Solution

- Check whether B^i is a subsequence of A , $i=(n/m)/2$
- if yes, eliminating the lower range
- otherwise, eliminating the upper range
- time complexity: $O((n+m)\log(n/m))$

Summary of Idea

- Whenever looking for the maximal i that satisfy some property
 - it may be sufficient to find an algorithm that determines whether a given i satisfy
 - we can do the rest by binary search if we have an upper bound for i
 - if do not know the upper bound, use doubling scheme

Solving Equations

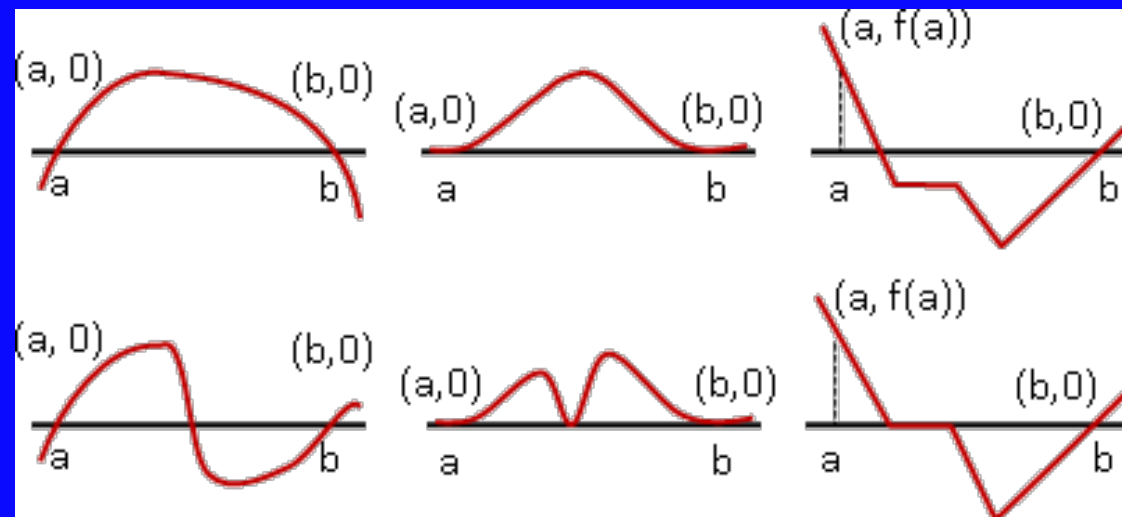
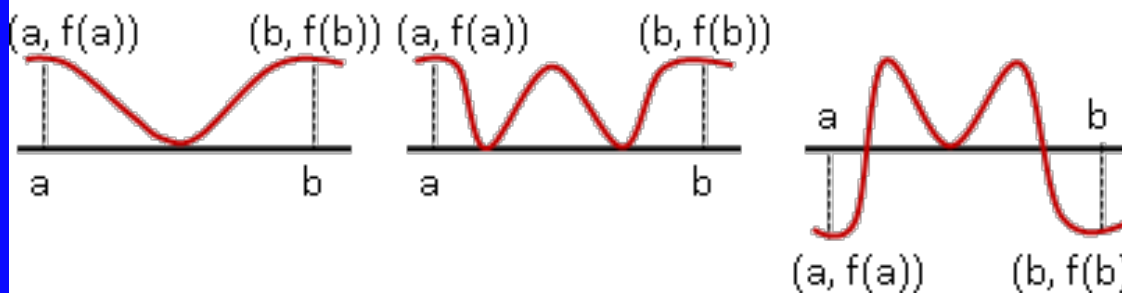
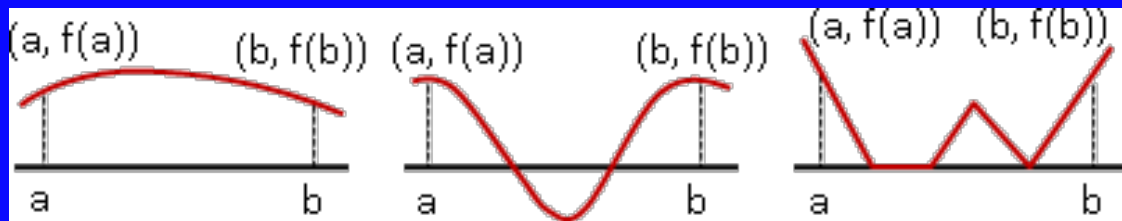
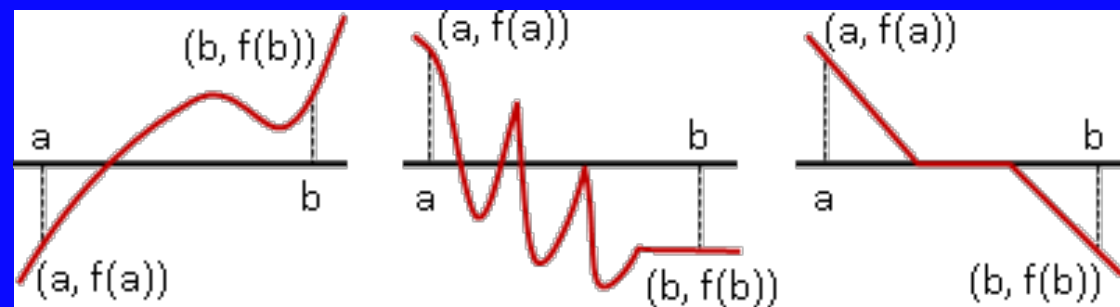
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Solving Equations

- To find the root x , such that $f(x)=0$
- To find the root of $f(x)=x^3-3x^2+3x-1$
 - $f(x)=x^3-3x^2+3x-1=(x-1)^3$
 - $x = \text{root of } f(x) = 1$
- To find the root of $f(x)=x^3-x-2$
 - Exhaustive approach: try all possible x
 - Better approach to reduce search space ?

如何利用 binary search 的精神，
縮小 equation root finding 的搜尋範圍？





INPUT: Function f , endpoint values a , b , tolerance TOL , maximum iterations $NMAX$
CONDITIONS: $a < b$, either $f(a) < 0$ and $f(b) > 0$ or $f(a) > 0$ and $f(b) < 0$
OUTPUT: value which differs from a root of $f(x)=0$ by less than TOL

$N \leftarrow 1$

While $N \leq NMAX$ # limit iterations to prevent infinite loop

$c \leftarrow (a + b)/2$ # new midpoint

If $f(c) = 0$ or $(b - a)/2 < TOL$ **then** # solution found

 Output(c)

Stop

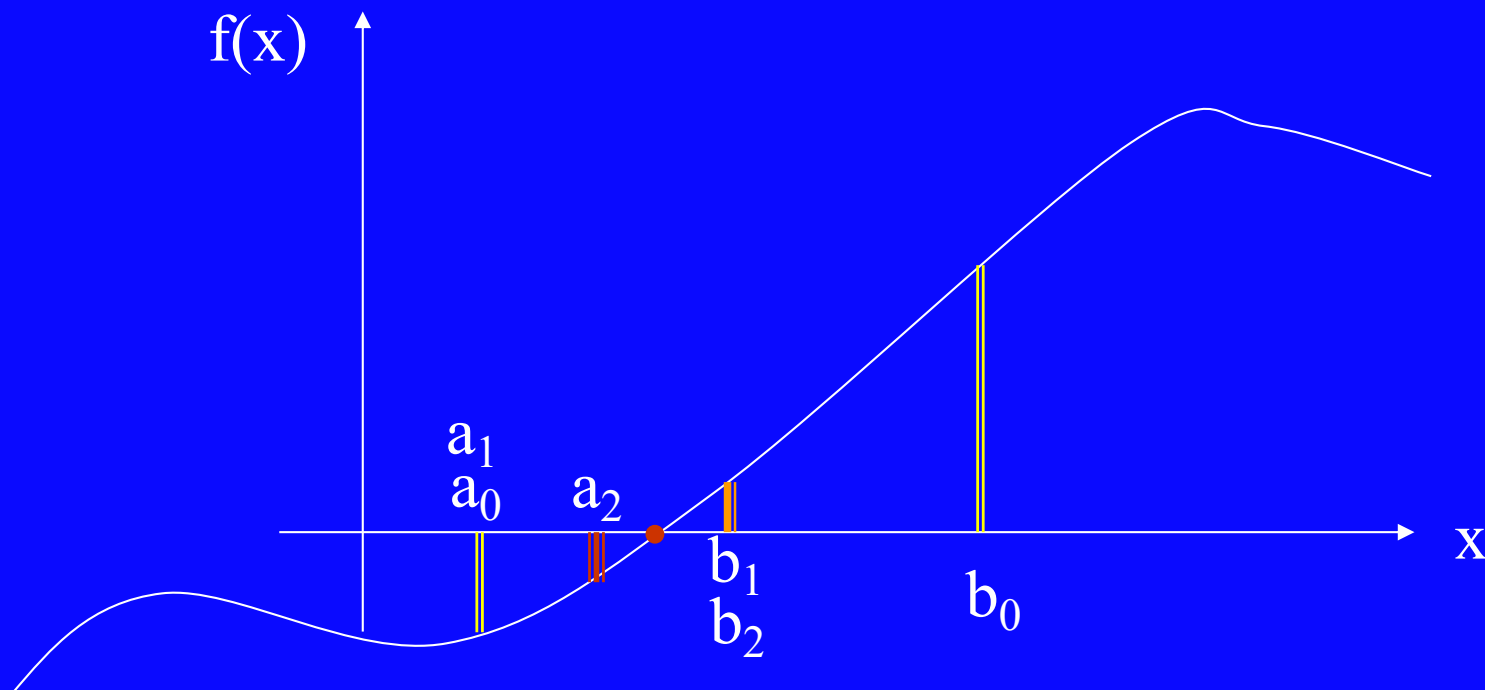
EndIf

$N \leftarrow N + 1$ # increment step counter

If $\text{sign}(f(c)) = \text{sign}(f(a))$ **then** $a \leftarrow c$ **else** $b \leftarrow c$ # new interval

EndWhile

Output("Method failed.") # max number of steps exceeded



$$f(x)=x^3-x-2$$

Iteration	a_n	b_n	c_n	$f(c_n)$
1	1	2	1.5	-0.125
2	1.5	2	1.75	1.6093750
3	1.5	1.75	1.625	0.6660156
4	1.5	1.625	1.5625	0.2521973
5	1.5	1.5625	1.5312500	0.0591125
6	1.5	1.5312500	1.5156250	-0.0340538
7	1.5156250	1.5312500	1.5234375	0.0122504
8	1.5156250	1.5234375	1.5195313	-0.0109712
9	1.5195313	1.5234375	1.5214844	0.0006222
10	1.5195313	1.5214844	1.5205078	-0.0051789
11	1.5205078	1.5214844	1.5209961	-0.0022794
12	1.5209961	1.5214844	1.5212402	-0.0008289
13	1.5212402	1.5214844	1.5213623	-0.0001034
14	1.5213623	1.5214844	1.5214233	0.0002594
15	1.5213623	1.5214233	1.5213928	0.0000780

$$\begin{array}{cc} f(a_n) & f(b_n) \\ -2 & 4 \\ -0.125 & 4 \\ -0.125 & 1.6093750 \end{array}$$

Interpolation Search

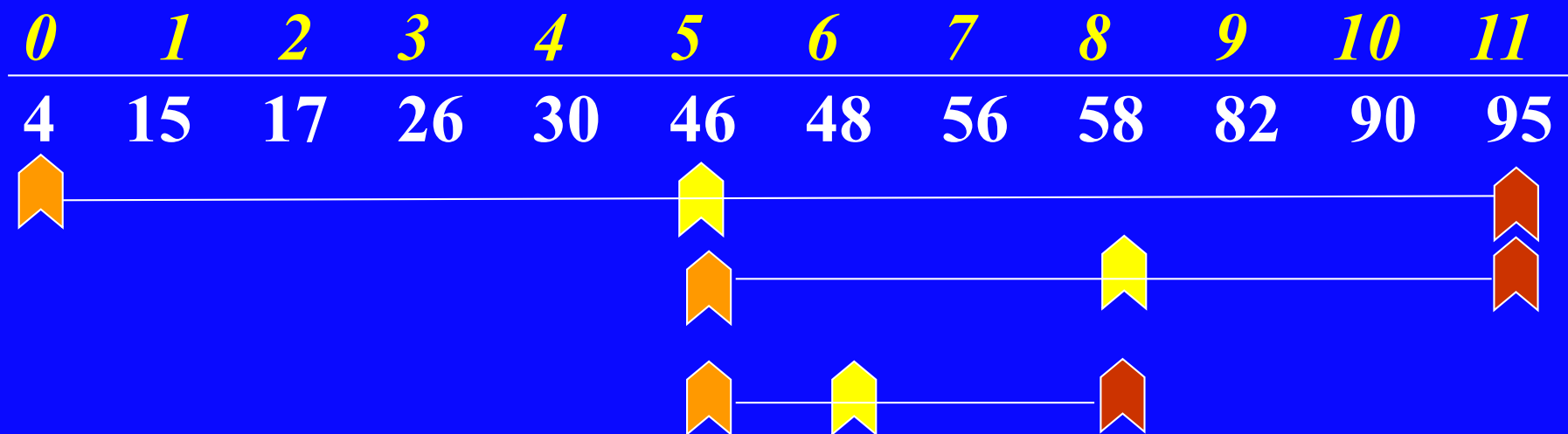
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Interpolation Search

■ Observation of binary search

if during the search we find a value close to the search number z , it seems more reasonable to continue search in that neighbor, instead of going to the next half point

Search 48



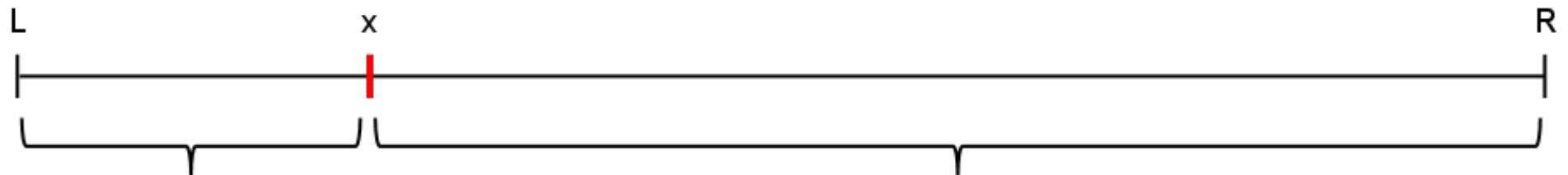
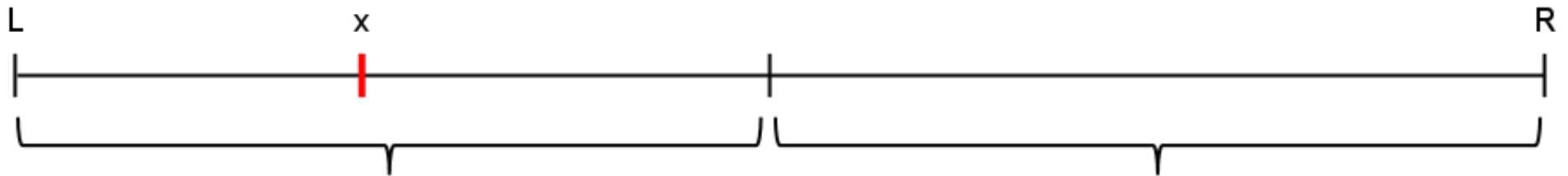
有可能搜尋範圍縮小比例不只1/2嗎？



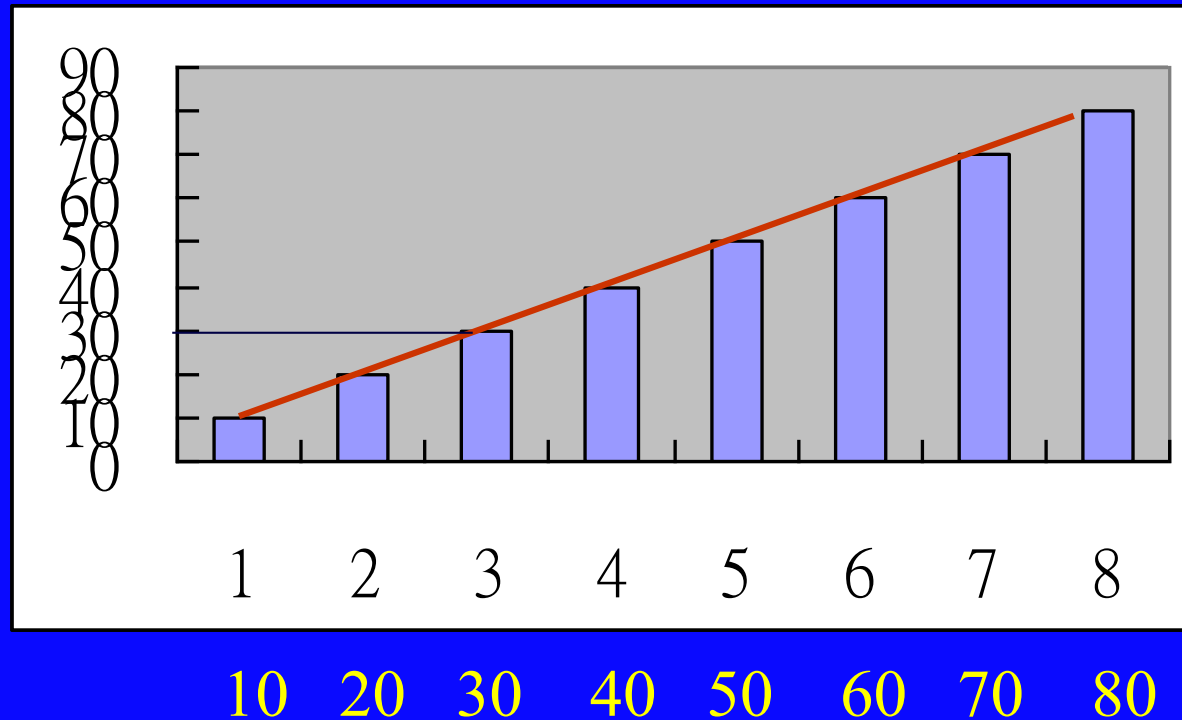
Interpolation Search (cont.)

■ Basic idea of interpolation search

- Instead of cutting the search space by a fixed half, cut it by an amount that seems the most likely to succeed.
- Amount is determined by interpolation



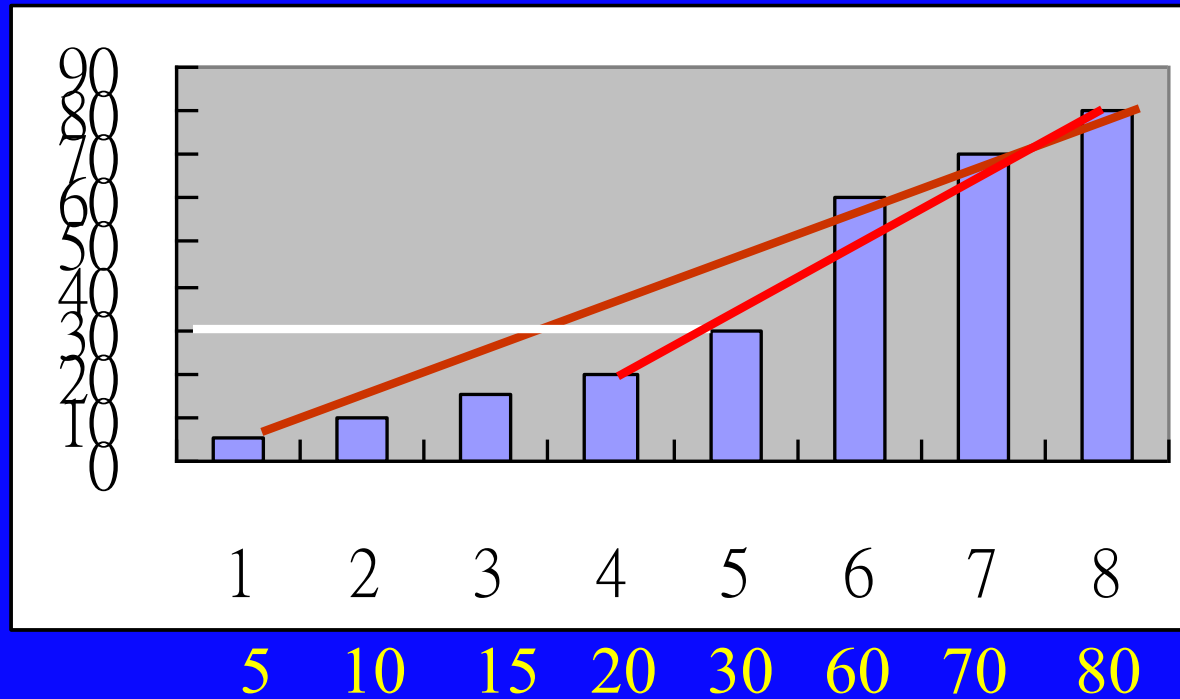
Interpolation Search (cont.)



Search 30

$$1 + [(30 - 10) / (80 - 10)] * (8 - 1) = 1 + (20 / 70) * 7$$

Interpolation Search (cont.)



$$1 + [(30-5)/(80-5)] * (8-1) = 1 + (25/75) * 7 = 10/3 \sim 4$$

$$4 + [(30-20)/(80-20)] * (8-4) = 4 + (10/60) * 4 = 28/6 \sim 5$$

Algorithm Interpolation_Search(X, n, z);

Input: X (cyclic sorted array), z

Output: Position (of the smallest element)

begin

if $z < X[1]$ **or** $z > X[n]$ **then** Position:=0

else Position := Int_Find(z, 1, n)

end

Function Int_Find(z, Left, Right):integer;

begin

if $X[Left] = z$ **then** Int_Find:=Left

else if Left = Right **or** $X[Left] = X[Right]$ **then**

 Int_Find:=0

else Next_Guess:= $\left\lceil \text{Left} + \frac{(z - X[Left])}{X[Right] - X[Left]} (Right - Left) \right\rceil$

if $X[Next_Guess] < X[Right]$ **then**

 Int_Find:=Int_Find(z, Left, Next_Guess-1)

else

 Int_Find:=Int_Find(z, Next_Guess, Right)

end