

# Computer Architecture and Organization

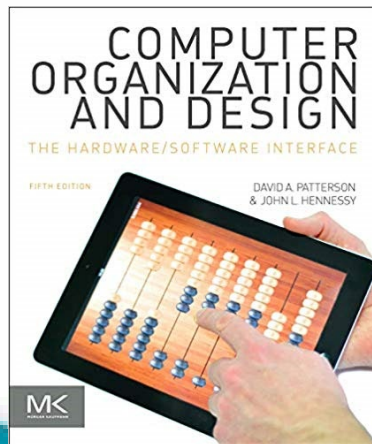
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INSTRUCTOR: YAN-TSUNG PENG

DEPT. OF COMPUTER SCIENCE, NCCU

# Logistics

- Time: Wed. D56
- Location: Room 200101, Da Ren Buliding
- Textbook: Computer Organization and Design MIPS Edition: The Hardware/Software Interface (The Morgan Kaufmann Series in Computer Architecture and Design) 5th Edition by David A. Patterson (Author), John L. Hennessy



# NCCU Moodle Platform

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- Website: <https://moodle.nccu.edu.tw/course/view.php?id=35025>
  - 1121\_703019001\_計算機結構與組織
  - Course slides
  - Grading
  - Turn in HW

# Teaching Assistant & Office Hours

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- 蕭紘軒 111753132@nccu.edu.tw
  - 鄭昱亭 108304034@nccu.edu.tw
  - Office hours: Thurs. 16:00-17:00, 大仁樓308 or scheduled via email
- What TAs can help with
- Answering your questions
  - Review sections
  - Grading

# Grading

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- Participation - up to 15%
  - Class Quizzes
- Homework - 30%
  - Generally, you will have two weeks to finish it
  - Handwritten HW needs to be turned in in class (Department, Grade, Name, and ID)
  - Programming HW needs to be submitted to moodle
  - Any late submission only receives 50% credit (no later than the answer is released).
  - No plagiarism
- Midterm exam - 30%
- Final exam - 30%

# Misc.

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- Please check moodle or email for new notifications and announcements.
- Recommended prerequisite: Logic Design

# Course Introduction

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- This course aims to introduce fundamental concepts and implementation of computer architecture. We will be offering students many chances to practice what is taught in class with using various examples.
- Students are expected to learn and understand how a computer (especially CPUs and memory systems) works between the digital logic level and operating system level after this class.

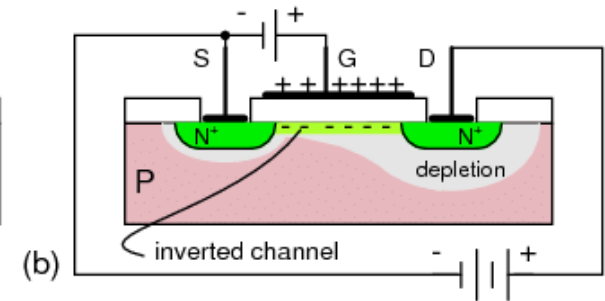
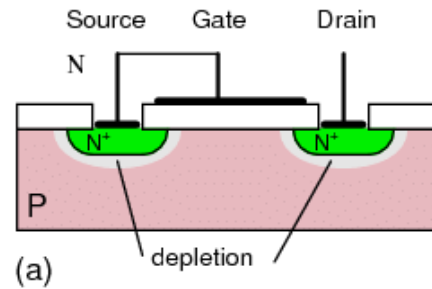
# What you are going to learn?

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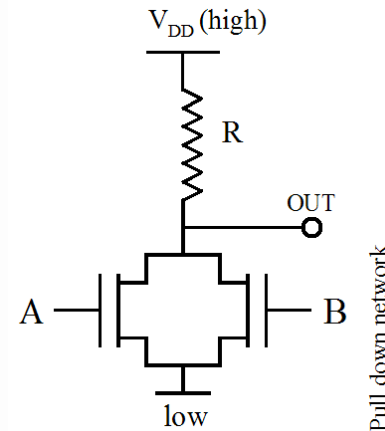
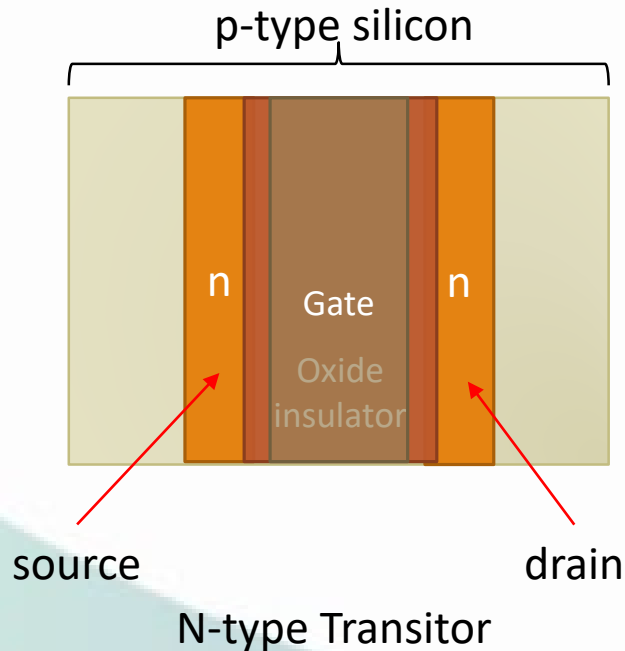
- What determines program performance  
how it can be improved
- How programs are translated into  
the machine language and  
how the hardware executes them
- The hardware/software interface
- Arithmetic for computers
- How to design a CPU
- Cache and memory
- Storage and I/O
- Multicores, multiprocessors, and clusters



# Signal : 0/1



- N-type metal-oxide-semiconductor (NMOS) transistor
  - Can be used to implement logic gates



NOR Gate

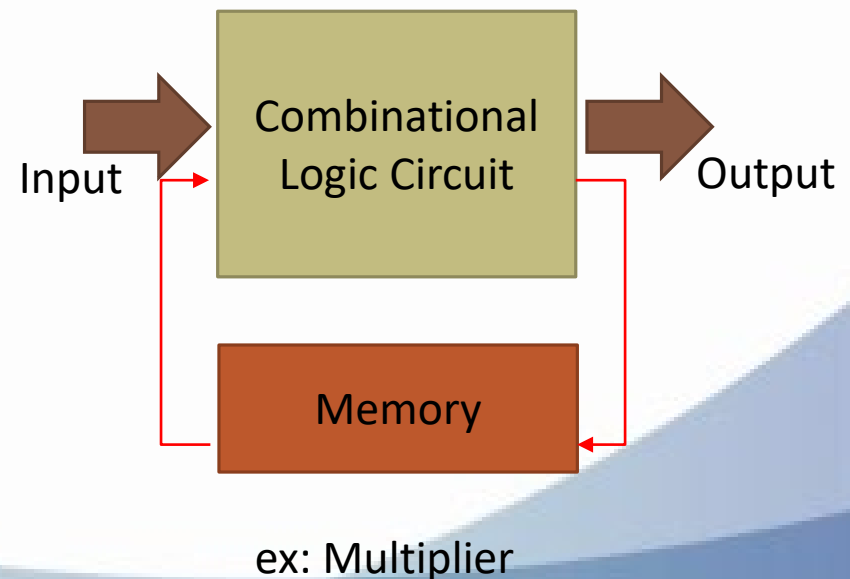
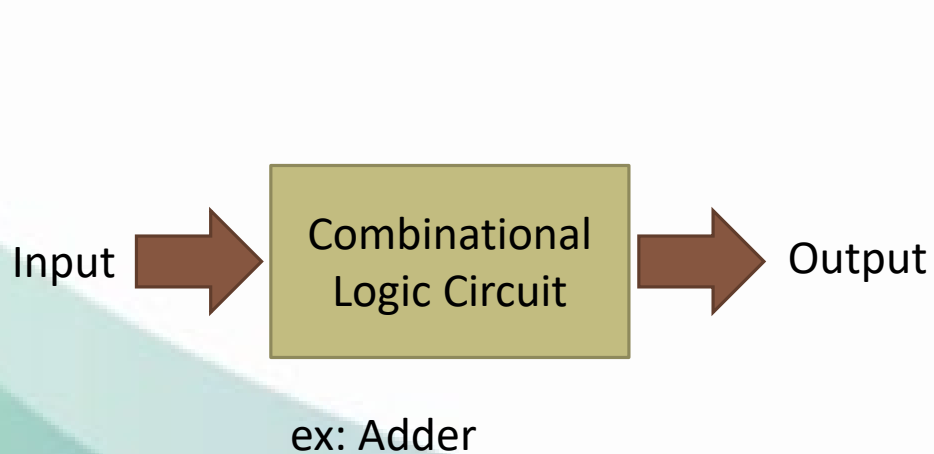
A	B	O
0	0	1
0	1	0
1	0	0
1	1	0

[https://en.wikipedia.org/wiki/NMOS\\_logic](https://en.wikipedia.org/wiki/NMOS_logic)

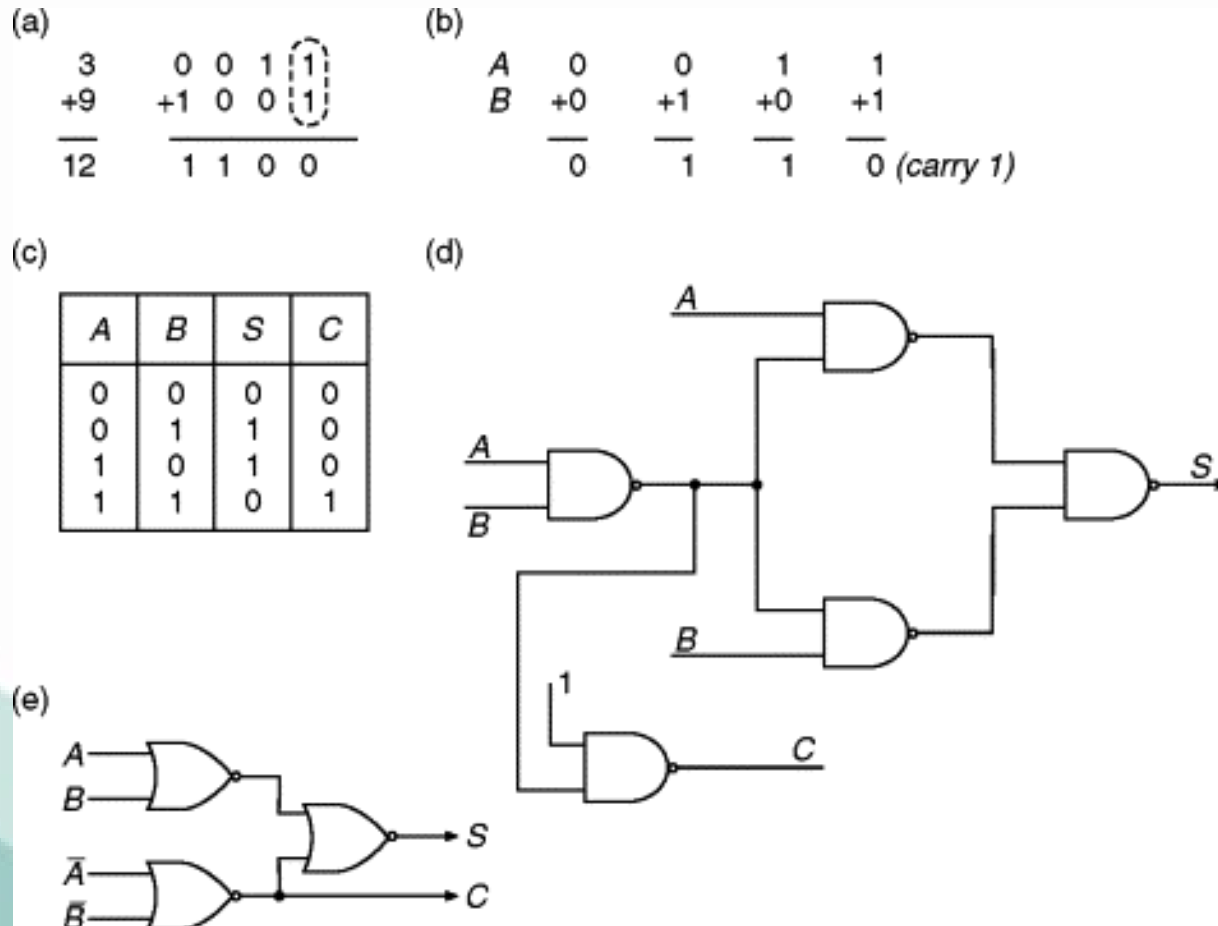
Applying positive voltage to the gate can form a channel between the source and drain

# Logic Gates and Circuits

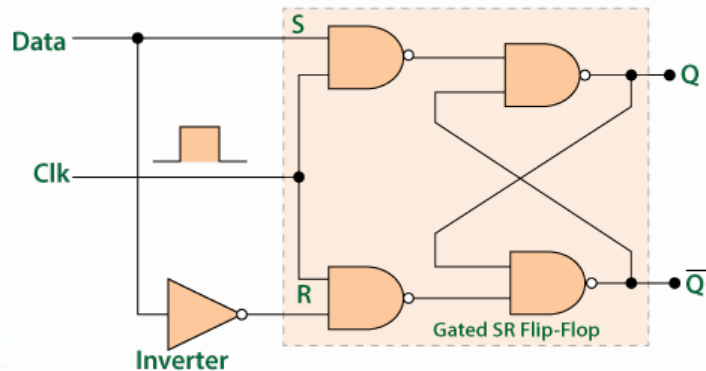
- Using a functionally complete gate (NAND and NOR), we can build all types of logic gates, such as AND, OR, NOT.
- With logic gates, we can build different circuits
  - Combinational logic circuits
  - Sequential logic circuits



# 1-bit Adder



# D Flip-flop



Symbol

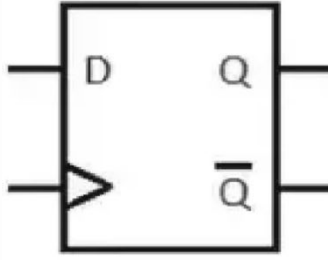
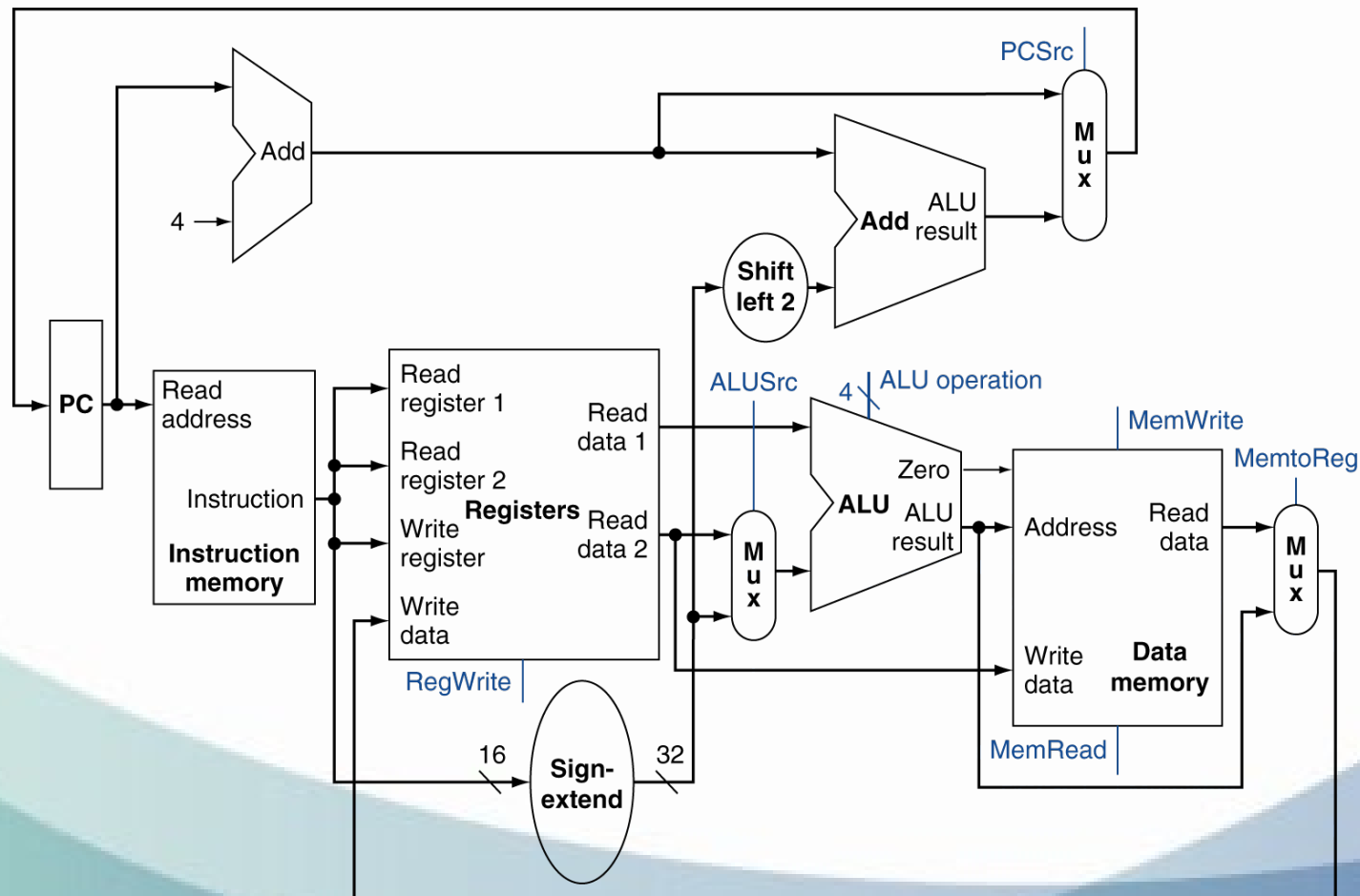


Table of truth:

clk	D	Q	$\bar{Q}$
0	0	Q	$\bar{Q}$
0	1	Q	$\bar{Q}$
1	0	0	1
1	1	1	0

$$\text{clk} = \begin{cases} 0, \text{not changing} \\ 1, \text{low to high, rising} \end{cases}$$

# Processor



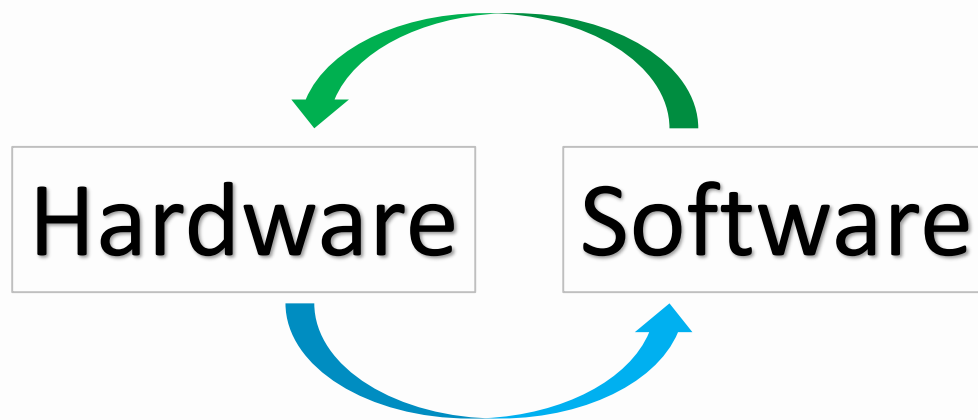
# Chapter 1 – Computer Abstractions and Technology

- Moore's Law - Moore's perception
  - For every two years, the number of transistors on a microchip doubles, while the cost of computers reduces by half
- Advanced technology in computer development
  - *Computers in automobiles*
  - *Cell phones*
  - *Human genome project*
  - *World Wide Web*
  - *Search engines*
- Ubiquitous Computing



# Computer Development

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***Hardware advances push software to move forward, and vice versa***

# Types of Computers

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- Desktop
  - For general purpose
  - Low cost, decent performance
- Server
  - For a purpose of serving multiple users
  - High cost, high-end computing capability and reliability
- Supercomputer
  - Highest computing capability
  - Terabytes of memory
- Embedded Computer
  - For specific purposes, such as low power, small size
  - Limited hardware resources compared to a desktop or server
  - Run one application or a set of related applications



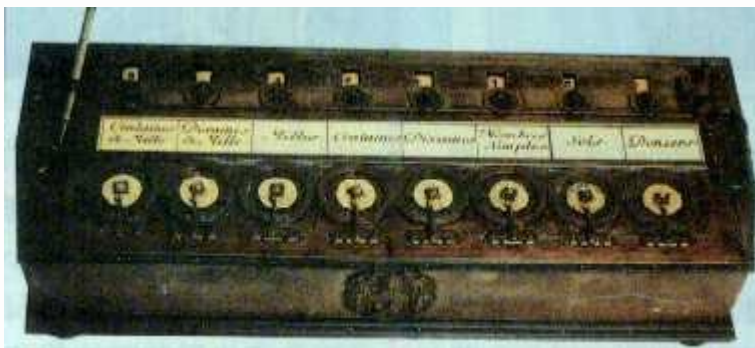
# Common Size Terms

Binary/Decimal						
Decimal term	Abbreviation	Value	Binary term	Abbreviation	Value	% Larger
kilobyte	KB	$10^3$	kibibyte	KiB	$2^{10}$	2%
megabyte	MB	$10^6$	mebibyte	MiB	$2^{20}$	5%
gigabyte	GB	$10^9$	gibibyte	GiB	$2^{30}$	7%
terabyte	TB	$10^{12}$	tebibyte	TiB	$2^{40}$	10%
petabyte	PB	$10^{15}$	pebibyte	PiB	$2^{50}$	13%
exabyte	EB	$10^{18}$	exbibyte	EiB	$2^{60}$	15%
zettabyte	ZB	$10^{21}$	zebibyte	ZiB	$2^{70}$	18%
yottabyte	YB	$10^{24}$	yobibyte	YiB	$2^{80}$	21%

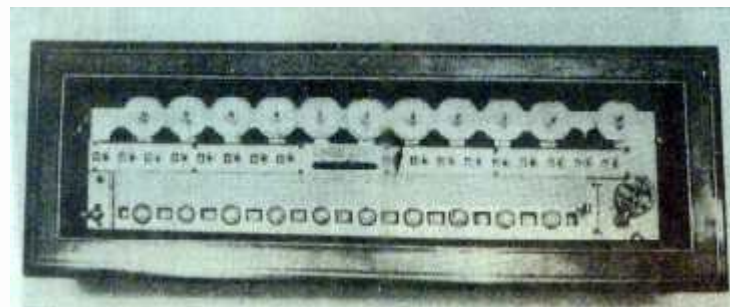
Figure is from “Computer Organization and Design MIPS Edition: The Hardware/Software Interface (The Morgan Kaufmann Series in Computer Architecture and Design)” 5th Edition by David A. Patterson (Author), John L. Hennessy

# History of Computer Development

- 巴斯卡計算機
- 1642年由法國數學家巴斯卡發明



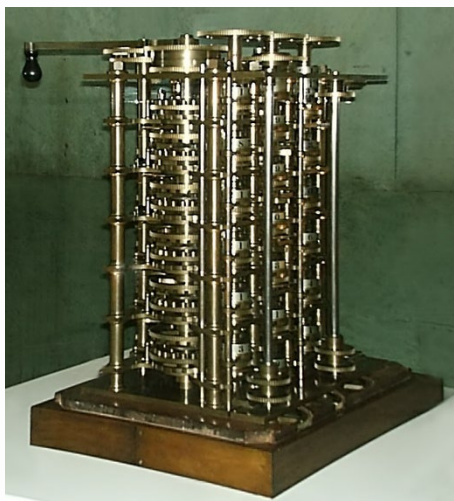
巴斯卡計算機



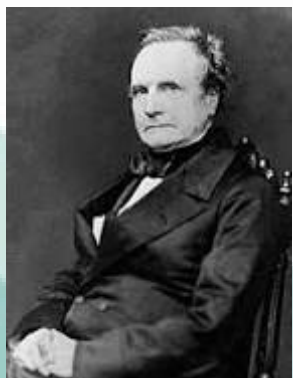
莫南計算機 (1666, 能做除法)

- 包含一組相連的齒輪，只要在上面撥出數字，齒輪之間互相轉動算出累加後的值，並顯示在上方的小窗口上
- 原理和算盤接近，低位齒輪轉10周，高位就會多轉一周，只能做加減法運算，但不需要記憶特定的口訣
- 清朝末年有一台巴斯卡計算機被送給慈禧太后，目前還保留在故宮
- 為紀念巴斯卡，1971年Niklaus Wirth發明的程式語言便以Pascal命名

# 「機械式」計算機



巴倍奇計算機模組  
圖/Wikipedia



巴倍奇(1792-1871)

## 巴倍奇計算機 (1830)

- 巴倍奇24歲就昇等為英國劍橋大學第三位路卡斯講座教授
  - 前二位是牛頓的老師巴羅及牛頓
- 以當時技術，組合如此多細小機械式齒輪零件幾乎不太可能，因此到最後都只停留在設計階段，最後花了英國政府近二萬英磅仍以失敗收場

當時一台蒸氣火車頭值178英磅
- 其設計已具備現代電腦的重要架構: 輸出入、時序、儲存、運算、控制，但當時被視為癡人的臆想
  - 100年後第一台真正的電腦才誕生

# IBM Mark I (1944)

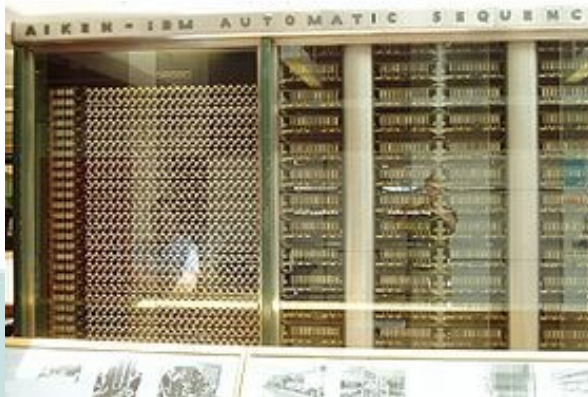
## ■發明人

- 哈佛大學 Howard Aiken博士



## ■機械電子式計算器

- 約略根據**巴倍奇原始設計改進而成**主要採用繼電器及大量齒輪組合而成
- 每**0.33秒1次**加法，每**6秒**可計算**1次**乘法，每**12秒1次**除法
- 沒有控制流程功能，據說寫迴圈時要真的將紙帶捲成圈圈



德國在1941年就發展出使用2進位的繼電器通用電腦

# ENIAC (1946公開)

## ■ Electronic Numerical Integrator And Computer

- 人類史上第一部真正的「電」腦
  - 計算時未用到任何機械裝置
  - 人: John Mauchly (莫克利) 與 J. Presper Eckert (艾克特)
  - 地: 賓州大學莫爾學院
  - 構造: 18000個真空管、7200電晶體、10000電容
  - 性能: 每秒1900個加/減法、提供條件式跳躍
    - 具有累加器、可存儲十位十進位數
    - 計算速度比機電機器快1,000x
  - 邏輯和資料分開
    - 邏輯: 實體接線、切換器
    - 資料: 紙卡
- 問題:
  - 可靠度: 幾乎每天都必須更換新的真空管
  - 設計複雜: 20個十進位暫存器 (佔61cm)



圖/Wikipedia



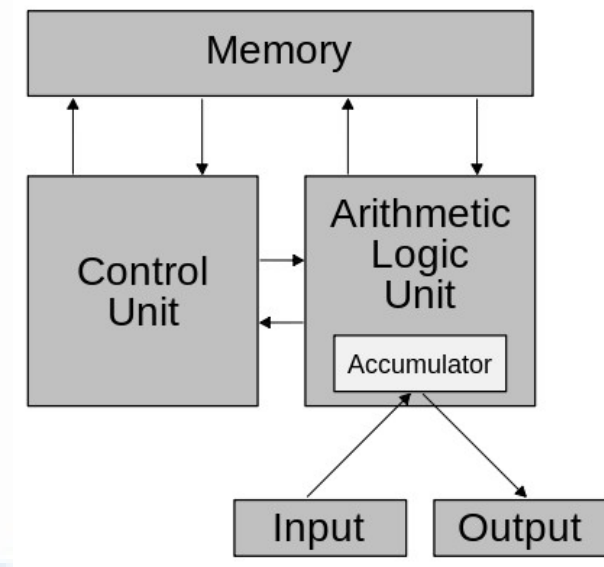
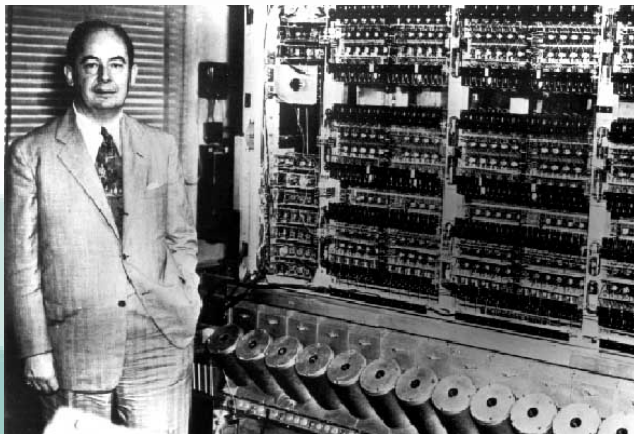
# EDVAC設計草案

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- Electronic Discrete Variable Automatic Computer
  - 以 2 進位為基礎，解決ENIAC的效能問題
  - 採用指令式可存式程式概念
    - ENIAC輸入程式非常麻煩，幾分鐘的計算要花幾小時佈線，每次都要重來
- EDVAC技術顧問馮·諾曼(John von Neumann)所提出的EDVAC設計草案成為現今所有電腦的基礎架構
  - Von Neumann architecture
  - 在實作上，John Mauchly 與 J. Presper Eckert 也有相當貢獻

# Von Neumann Architecture

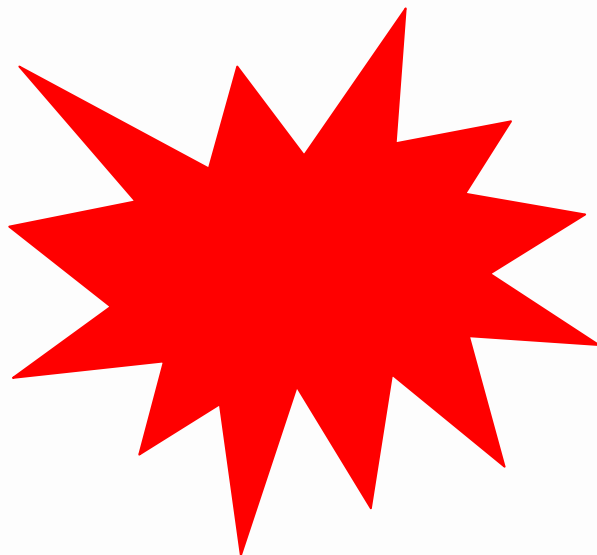
- 傳統硬體裝置的運作過程都是死的(實體管線)，因此更改設計代表著整個機器要重新設計
- 在馮·諾曼架構下，所有運算過程被視為「一連串指令」(也就是現在說的「程式」)
  - 程式可存在儲存元件中，被即時修改或移動(重新定址)
  - 間接造就了「軟體」的概念



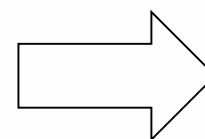
莫克利



艾克特



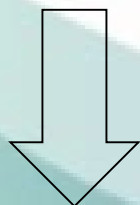
馮·諾曼



普林斯頓大學

1945年底，三人為文件署名問題鬧翻

間接造成賓州大學莫爾學院失去在電腦發展史的重要地位



創業



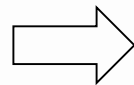
莫克利



艾克特

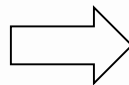


艾克特 -  
莫克利公司



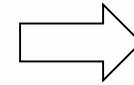
1950

Remington Rand, Inc.



1955

Sperry Corporation



1986

**UNISYS**

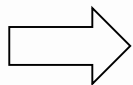
UNIVAC1

(美國第一台商用電腦)  
正確預測1952年選舉結果

1947:電晶體發明

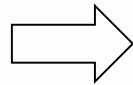


馮·諾曼



1945

普林斯頓大學



設計並公開IAS架構，  
效能是ENIAC的240倍



1952 IBM發表701型電腦是IBM第一部商用電腦

# 電晶體/積體電路電腦的時代



1960年, IBM推出第一款由電晶體製作的電腦  
IBM 7090



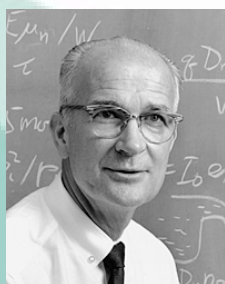
包括著名的摩爾 (R.Moore) 博士



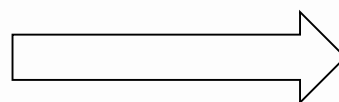
「博士生產線」



1957: 快捷半導體成立



諾依斯



1968: Intel成立

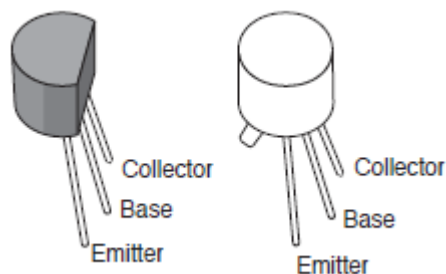
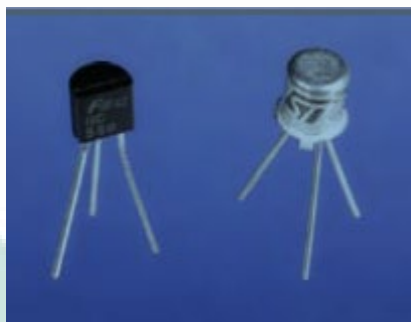
Integrated Electronics

1955蕭克利半導體實驗室

# 電晶體

## ■發明人

- 1948年由Bardeen, Shockley和Brattain共同發明
- 1956年
  - 三人共得諾貝爾物理學獎



# Apple

- 創立於1975-76，以個人電腦起家
  - 在1970年代電腦是只有大公司及政府機構才負擔得起的昂貴商品
  - 個人電腦的概念是近乎不切實際的於奇特概念



# IBM加入個人電腦戰局

- 從1975-1980，Apple靠銷售個人電腦進入全美500大企業
- 1981年，IBM以開放式架構正式加入個人電腦戰局
  - Intel 8088, 開放式架構，將所有技術文件公開
  - 採用微軟MS-DOS為作業系統 (原名QD-DOS)
  - 1983年IBM PC市佔率首度超越Apple





# Apple反擊

## ■Apple反擊失敗

- 1984年，Jobs推出研發許久的麥金塔(Mac)並重金聘請了百事可樂的John Sculley，推出有名的廣告: 1984 (耗資160萬美元)
  - John Sculley於1985將Jobs趕出Apple
  - 但John Sculley管理Apple不當，最終於1993年離開蘋果
- 1997年，Jobs回到Apple
  - 被迫接受Microsoft 150億美元的投資
  - 10年後以iPhone讓Apple在行動市場上重創微軟



1984



1984 廣告概念:

一無名主角會從Big Brother手上拯救全人類  
而該無名主角代表Mac電腦

# Intel的年代

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- 80286 (1990)
  - 成功擊敗Apple的代表作
- 80386(1985)
  - IBM開始向相容商收取高額授權金
  - 80386被IBM拒絕，但被COMPAQ採用為個人電腦主處理器
  - 1994年起，COMPAQ超越IBM成為個人電腦市佔率最高的廠商
  - IBM個人電腦部門最後因績效不佳，在2004年賣給聯想

# Wintel的年代

## ■ Intel 80486(1994)

- 由華人虞有澄領軍
- 效能直逼工作站電腦的CPU

## ■ 重要事件

### ■ Intel Pentium系列I~III (1995-2000)

- 到PIII時遇到時脈瓶頸

### ■ Windows 95/98/NT/2000: 1996-2000

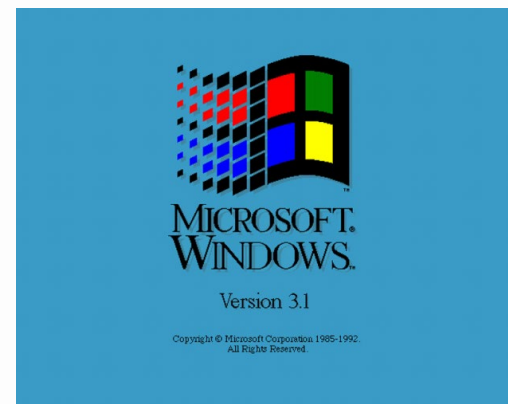
- Windows 2000開始，完全採用新的NT系統核心，大幅提升了微軟作業系統的穩定度

### ■ Java: 1996

### ■ 瀏覽器大戰 IE vs. Netscape : 1996

### ■ Google成立: 1998

### ■ Code Red: 第一個普遍傳染的惡意網路病毒: 2000



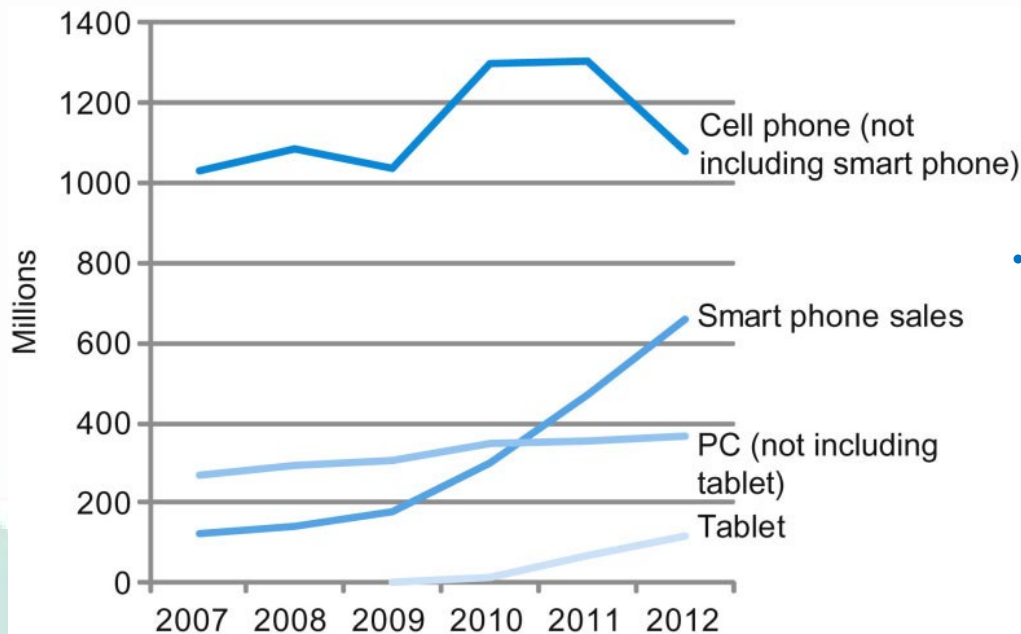


# 2000年以後: Google的年代

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- PC市場已成紅海，戰場轉向網路: 2000
  - Google成立: 1998
    - 上市: 2004
  - Facebook: 2004
    - 上市: 2012
- 智慧手機普及化: 2009
  - 微軟、Nokia在智慧手機競爭中失利
  - Google 收購Android: 2007
  - iPhone第一代: 2007

# PostPC Era



- Servers are gradually replaced by cloud computing farms, like AWS from Amazon and Google Cloud

Figure is from "Computer Organization and Design MIPS Edition: The Hardware/Software Interface (The Morgan Kaufmann Series in Computer Architecture and Design)" 5th Edition by David A. Patterson (Author), John L. Hennessy

# Moore's Law

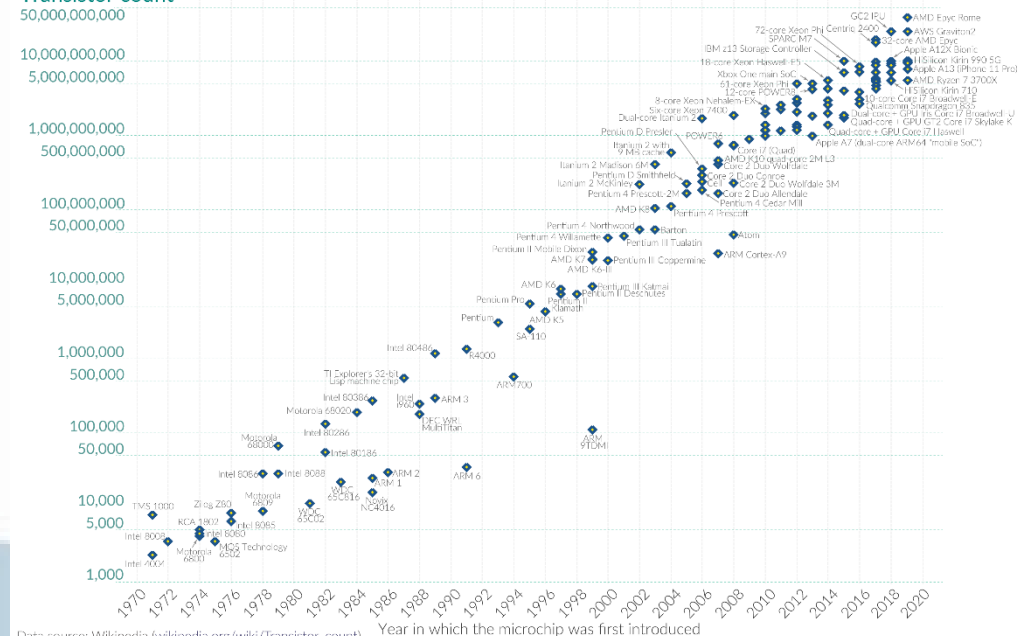
- In 1965, Gordon Moore observed that the number of transistors on a microchip doubles every two years.
- Based on the past data, the linear correlation between time and the number of transistor has been corrected to doubling every 18 months

**Moore's Law: The number of transistors on microchips doubles every two years**

Moore's law describes the empirical regularity that the number of transistors on integrated circuits doubles approximately every two years. This advancement is important for other aspects of technological progress in computing – such as processing speed or the price of computers.

Our World  
in Data

**Transistor count**



[https://en.wikipedia.org/wiki/Moore%27s\\_law](https://en.wikipedia.org/wiki/Moore%27s_law)

Data source: Wikipedia (wikipedia.org/wiki/Transistor\_count) Year in which the microchip was first introduced

OurWorldInData.org – Research and data to make progress against the world's largest problems.

Licensed under CC BY by the authors Hannah Ritchie and Max Roser.

# Below Your Program

## ■ Applications

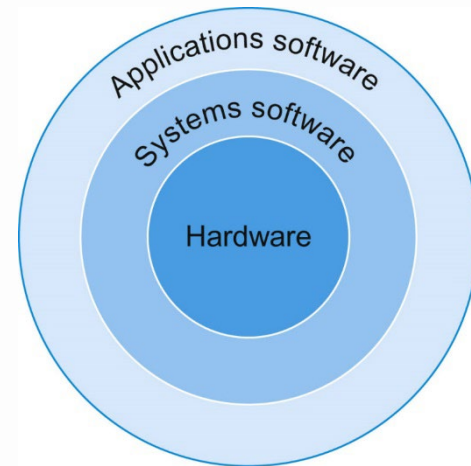
- “If I have seen further it is by standing on the shoulders of Giants.” by Isaac Newton in 1675
- Written in high-level languages, Abstraction

## ■ System Software

- Compiler, operating system

## ■ Hardware

- CPU, memory, I/O controllers, storage, etc.

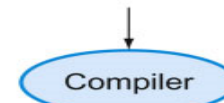


# Levels of Programming Languages

- High-level language
- Assembly language
- Hardware representation

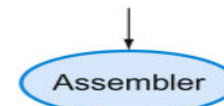
High-level  
language  
program  
(in C)

```
swap(int v[], int k)
{int temp;
  temp = v[k];
  v[k] = v[k+1];
  v[k+1] = temp;
}
```



Assembly  
language  
program  
(for MIPS)

```
swap:
  multi $2, $5, 4
  add   $2, $4, $2
  lw    $15, 0($2)
  lw    $16, 4($2)
  sw    $16, 0($2)
  sw    $15, 4($2)
  jr    $31
```

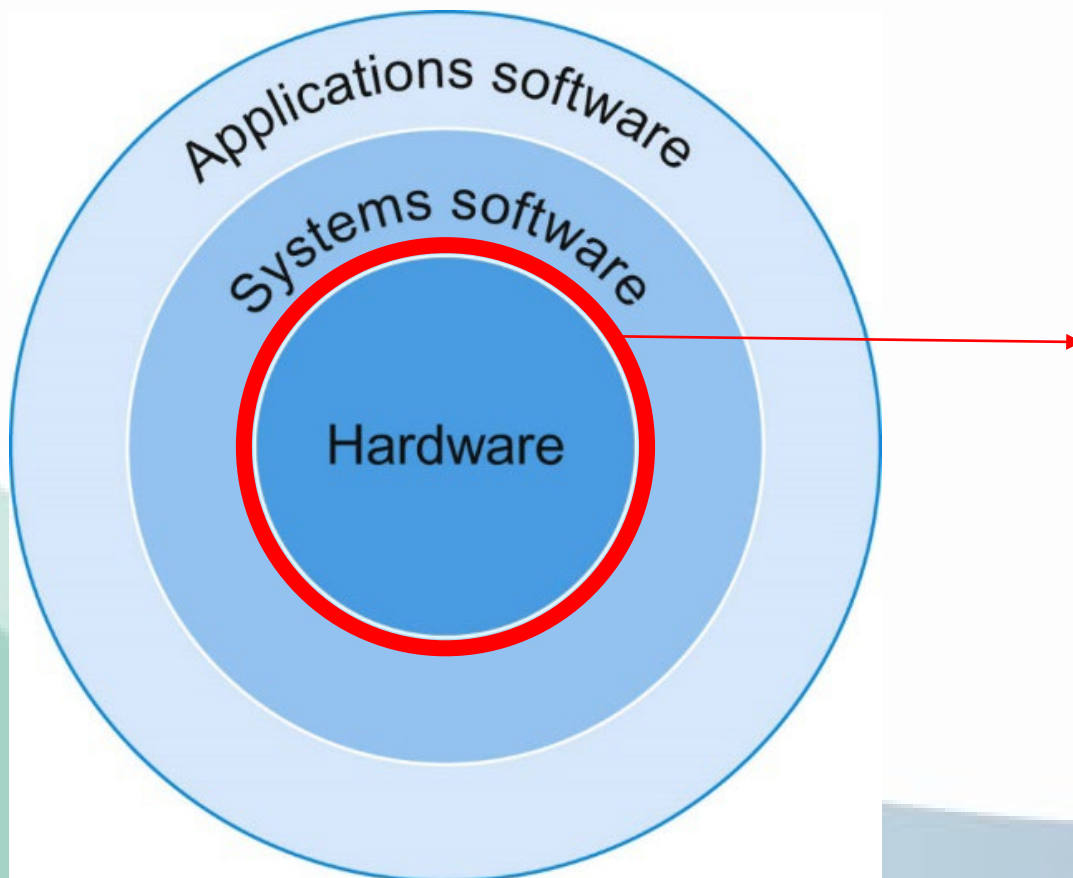


Binary machine  
language  
program  
(for MIPS)

```
000000001010001000000000100011000
0000000010000010000100000100001
100011011110001000000000000000000
1000111000010010000000000000000100
101011100001001000000000000000000
1010110111100010000000000000000100
000000111100000000000000000001000
```

# Computer Architecture

Computer Architecture = Instruction Set Architecture + Hardware Organization



## Instruction Set Architecture

- Language you use to command a computer's hardware
- Its vocabulary is called an **instruction set**
- Different computers may have different instruction sets
- Registers, Data Representations, Format, Addressing Mode, etc.

# ISA

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- An instruction is the most basic operation for a CPU, called a primitive operation.
- Instruction set is an abstraction interface between the low-level software and hardware, including a set of instructions that can be executed by a CPU
- Instruction Set Architecture includes
  - Instruction format
  - Registers, memory
  - Addressing modes

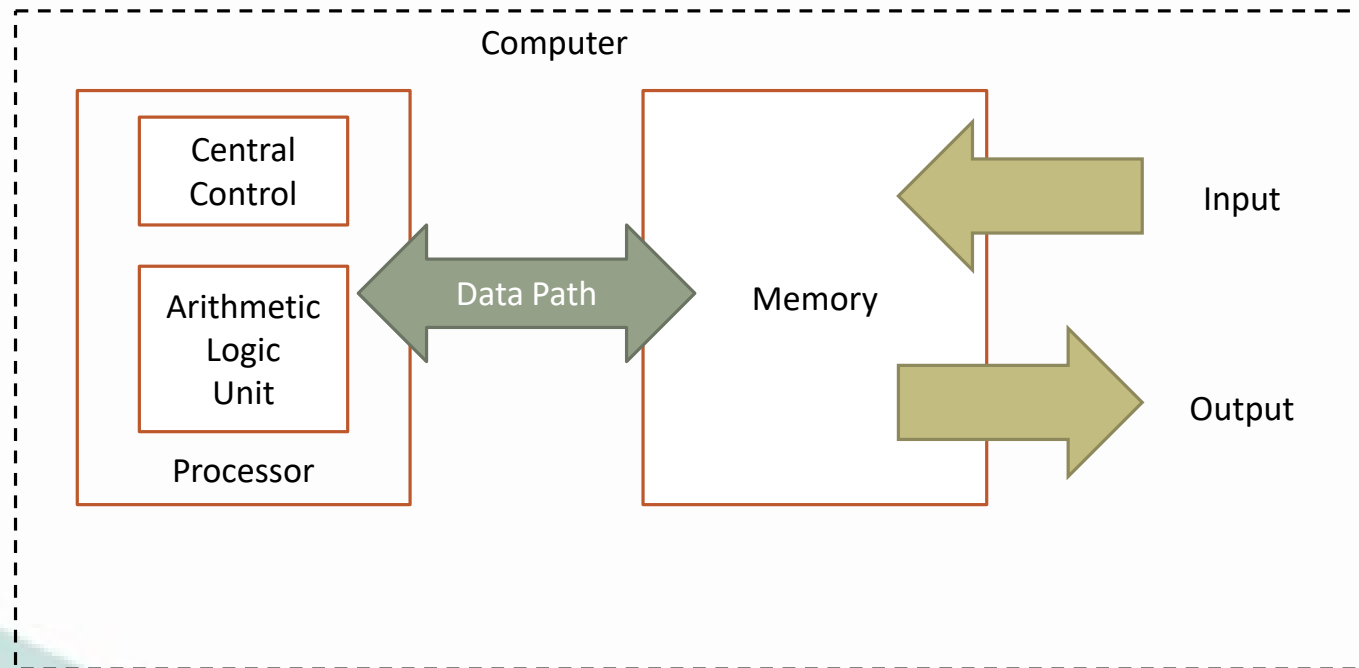
# Types of ISA

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Reduced Instruction Set Computer (RISC)	Complex Instruction Set Computer (CISC)
A small number of simple instructions	A large number of complex instructions
Each instruction does a little work and runs faster	Each instruction does a lot of work and runs slower
Easy for pipelining	Hard for pipelining
A program needs more instructions to implement and requires more memory to run	A program needs fewer instructions to implement and require less memory to run



# Under the Covers

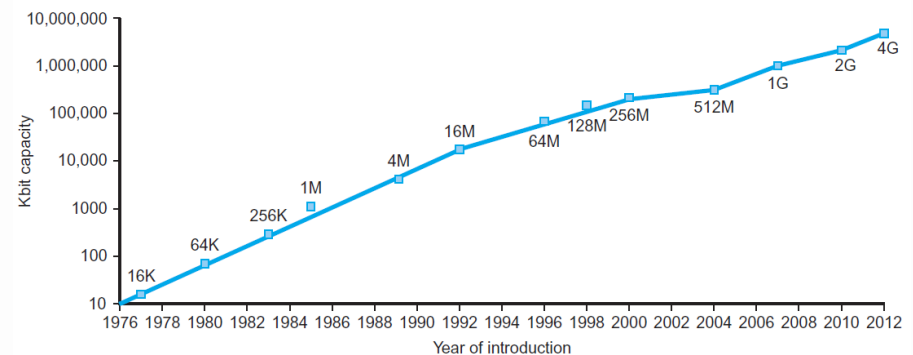


I/O devices:

- Display
- Keyboard
- Mouse
- HD, flash
- Network devices

# Technology Trends

## ■ For Memory



## ■ For Processors

Year	Technology	Relative performance/cost
1951	Vacuum tube	1
1965	Transistor	35
1975	Integrated circuit (IC)	900
1995	Very large scale IC (VLSI)	2,400,000
2013	Ultra large scale IC	250,000,000,000