

Binding-Time Analysis for MINIKANREN

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MINIKANREN¹ is a family of domain-specific languages for pure *relational* programming the core of which can be implemented in a few lines of the host language [2]. A program in MINIKANREN can be run in different directions: given some of its arguments, it computes all the possible values of the rest of the arguments. When the relation is run with only the last argument unknown, we say it is run in the *forward direction*, otherwise we call it running in the *backward direction*. A single relational specification creates a multitude of directions, each solving a distinctive problem.

The search employed in MINIKANREN is complete, so all possible answers will be computed eventually, albeit it may take a long time. In reality, the running time depends on the direction and is highly unpredictable. This is where the promise of MINIKANREN falls short: one has to write the relational specification with the specific direction in mind for it to be efficient. There are several attempts to tackle this problem in an automatic fashion: one way is to use a *divergence test* to stop execution of definitely diverging computations [4], the other is to employ *specialization* [3], while the one we have been working on recently is to converse a relational specification into a function which runs fast in the given direction.

Given a relational specification equipped with a certain direction, the functional conversion constructs a function in which unifications are mapped either into a pattern matching or are used in let-bindings to compute the intermediate results. Disjunctions give rise to branches in pattern matchings within a function definition, while conjunctions mean sequential computations. The nondeterministic computations are modeled with lists. The most nontrivial part of the functional conversion is to determine the order in which to bind each variable within the function body.

It has been shown in [3] that an online conjunctive partial deduction is capable of improving running time of a program in a given direction, but we believe that offline specialization may provide even better results in the context of relational interpreters. Offline specializers employ a static analysis before the specialization step. The most prominent of them is *binding-time analysis*. It is used to determine which data is available statically, and which — dynamically. Having these annotations, a specialization algorithm can ignore dynamic data and only symbolically execute a program with respect to the static data. This usually leads to a more precise and powerful specialization.

We believe that a variation of a binding-time analysis can serve as a solution to figuring out the order of variable bindings necessary for functional conversion as well as be a part of an offline specializer. The goal of binding-time analysis is to identify at which step of a computation each variable gets its value. This process is called annotation: each variable gets an annotation from the domain of *binding-time*. Existing binding-time analyses for pure PROLOG [1] and MERCURY [6] only distinguish between static and dynamic variables, which does not help to work out the order. Natural numbers serve as annotations in [5] and are better suited to our problem.

The binding-time analysis for MINIKANREN should annotate a given goal as well as determine suitable directions for all relation calls involved in the result computation. We start by marking some free

¹MINIKANREN language web site: <http://minikanren.org>

variables within the goal with 0 which means they are the *input* or *static* variables, while all others are annotated with *undef*. This is the way we specify a direction for the goal.

We assume that all goals are in *canonical normal form*: $\text{fresh } V_1 \dots V_n \vee \wedge (\text{call } R^k V_1 \dots V_k \mid V \equiv T)$. A goal is a disjunction of conjunctions of either relation calls or unifications; all free variables are brought up into scope by the *fresh* operator on the top level. Relation calls and constructors can only have variables as their arguments. Unification should have a single variable on the left-hand side with the exception of unifying two constructors of arity 0. We leave unifications of the zero-arity constructors out, since they can either be evaluated to a failure or to a success without extending the substitution which can be determined statically. Any core MINIKANREN program can be converted to this form.

The algorithm is to execute the following steps until a fixpoint is reached. Since disjuncts do not influence each other, we treat every disjunct in isolation. A conjunct is either a unification or a relation call. At each step, a unification suitable for annotation is selected. If there are no unifications to annotate, then we select a relation call. Whenever a new annotation is assigned to a variable, all other uses of the same variable within the conjunction get the same annotation.

Unifications are annotated if there is enough information. There are two possible cases described below. We write annotations in superscripts; $t[x_0, \dots, x_k]$ denotes a term with free variables x_0, \dots, x_k .

- The unification of a variable annotated with *undef* with a term in which all free variables are annotated with numbers: $x^{\text{undef}} \equiv t[y_0^{i_0}, \dots, y_k^{i_k}]$. In this case we annotate x with $1 + \max\{i_0, \dots, i_k\}$.
- The unification of a variable annotated with a number with a term, some variables of which are annotated with *undef*: $x^n \equiv t[y_0^{i_0}, \dots, y_k^{i_k}]$. Here all *undef* variables are annotated with $1 + n$.

If a unification does not conform to any of these cases, it should not be annotated at the current step. Relation calls are only annotated when all unifications have been considered. A relation call in which all variables are annotated with *undef* as well as those annotated with only positive numbers do not need to be considered. Thus relation calls in which only some of the variables are annotated with positive numbers are to be annotated.

We say that two calls to the same relation have a *consistent* direction if their free variables which are annotated with numbers are ordered in the same way. More formally: a relation call $R^n v_1^{i_1} \dots v_k^{i_k}$ has a direction consistent with $R^n u_1^{j_1} \dots u_k^{j_k}$, if all variables with numerical annotations are ordered in the same way: $v_{l_1}^{i_{l_1}} \leq \dots \leq v_{l_m}^{i_{l_m}}$ and $u_{l_1}^{j_{l_1}} \leq \dots \leq u_{l_m}^{j_{l_m}}$. For example, relation calls $R^3 x^{\text{undef}} y^0 z^0$, $R^3 x^{\text{undef}} y^1 z^2$ and $R^3 x^{\text{undef}} y^{\text{undef}} z^3$ all have consistent directions, while the direction of $R^3 x^1 y^2 z^{\text{undef}}$ is not consistent with the direction of $R^3 x^{\text{undef}} y^1 z^2$.

If the current conjunct has a consistent direction with some relation call annotated before, then there is no need to explore this direction again. We use the previous call to annotate the current one. Only a relation call with the direction which has not been encountered before should be annotated. It is done by considering its body: variables annotated within the call keep their annotations in the body, which is annotated by the described algorithm. All annotated relation definitions are stored while algorithm runs, so they can be reused if necessary.

We presented a binding-time analysis algorithm for MINIKANREN that determines the order in which variables are bound. The algorithm terminates since there are finitely many different relation calls to consider, and each relation call has finitely many possible annotations. Unfortunately, some variables can remain *undef* after the algorithm is finished. This happens when there are relation calls which influence the direction of each other. Currently we choose the leftmost relation call to annotate first; while another, less efficient but more fair, solution may be to consider all possible combinations of directions. In our experience, this problem rarely arises in the context of relational interpreters, but we should further investigate the class of such programs and develop a better strategy of dealing with them.

References

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