

# Database normalisation

- The learning objectives for this week are:
  - Knowing the purpose of **database normalisation**
  - Knowing what is a **functional dependency**, a **partial dependency** and a **transitive dependency**
  - Knowing how to identify functional dependencies in a relation or table
  - Knowing the different **normal form** rules
  - Knowing how to formally check if a relation is in the **Boyce-Codd normal form** (BCNF)
  - Knowing how to **decompose a relation** into smaller relations if it is not in BCNF

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# Database normalisation

- **Database normalisation** is a formal technique of organizing data in a database in a way that **redundancy** and **incosistency** within the data is eliminated
- The objective of database normalisation is to ensure that:
  - Attributes with a **close logical relationship** (functional dependency) are found in the **same relation**
  - The relations do not display **hidden data redundancy**, which can cause update anomalies that violate database integrity
- The technique involves a set of **normalisation rules** that are defined as **normal forms**

# Redundancy example

- Let's consider **redundancy problems** with the following `Course_Enrolment` relation rows:

course_code	instance_number	student_number	phone	enrolment_date
C001	1	10	1234	2025-04-01
C001	1	20	5555	2025-04-02
C002	3	30	8765	2025-04-01
C002	3	40	1414	2025-04-03
C002	3	10	1234	2025-04-07

# Redundancy example

- The student **10** **phone number is duplicated** causing redundancy in the data
- While updating a phone number or inserting a new row, there's a risk of having **multiple different phone numbers for the same student** (inconsistency):

courseno	studentno	phone	enrolment_date
C001	10	⚠ 1234	2025-04-01
...	...	...	...
C002	10	⚠ 3338	2025-04-07

# Database normalisation

- In a case of fixing an identified structural problem, normalisation involves **decomposing a relation into less redundant (and smaller) relations** without losing information
- When an **ER model is well designed**, the resulting correctly derived relations won't normally have such structural problems and they will meet the criteria of database normalisation
- Normalisation of candidate relations derived from ER diagrams is accomplished by analysing the **functional dependencies** (FDs) associated with those relations

# Functional dependency

- **Functional dependency** (FD) describes the **relationship between attributes** in a relation
- With functional dependencies, we are interested in properties of the data that are true for **all the time**
- For example, if the **student number is unique**, the following property is true all the time:
  - | The surname for a student whose student number is "a12345" is "Smith"
- So, **all the time** it is true that there is only one surname for each student
- By contrast, the following property might to be true for a sample set of students, but it is not true for all the time:
  - | There is exactly one student whose surname is "Smith"

# Functional dependency

- A functional dependency occurs when attribute A in a relation **uniquely determines** attribute B
- In other words: for each value of A there is **exactly one value** of B and that **holds all the time**.

This can be written as  $A \rightarrow B$

- The **determinant** of a functional dependency refers to the attribute, or group of attributes, on the **left-hand side** of the arrow. In  $A \rightarrow B$ , A is the determinant of B.
- On the **right-hand side**, there's the **dependent**. In  $A \rightarrow B$ , B is the dependent of A.

# Example of functional dependency

- Let's suppose that each student has a unique student number. In the relation below, *studentnumber* uniquely determines *surname* and *firstname*. That is, **studentnumber is the determinant of surname and firstname**:

```
Student (studentnumber, surname, firstname)
```

- In this example, there are the following two functional dependencies:
  - `studentnumber → surname`
  - `studentnumber → firstname`



# Example of functional dependency

- Let's suppose the following table occurrence:

studentnumber	surname	firstname
a12345	Smith	John
a14444	Smith	Susan
a15555	Jones	Susan

- The **functional dependency** `studentnumber → surname` guarantees that the query below (that uses an existing student number) returns exactly one surname and that holds all the time:

```
SELECT surname FROM Student WHERE studentnumber = 'a12345'
```


# Example of functional dependency

- $\{A, B\} \rightarrow C$  means that **A and B together uniquely determine C**. For example,  $\{\text{course\_code}, \text{implementation\_number}\} \rightarrow \text{start\_date}$
- $A \rightarrow B, C, D$  means that **A uniquely determines B, C, and D**, For example,  $\text{course\_code} \rightarrow \text{course\_name}, \text{language}, \text{credits}$

# Identifying undesired data redundancy

- Relations that **do not have** undesired data redundancy, **each determinant is a candidate key** (an unique attribute that is suitable for being the primary key)
- In such case **all arrows are arrows out of whole candidate keys** (simple or composite key)
- Let's consider the following relation **without data redundancy**:

```
CourseOffering (coursecode, offeringnumber, startdate, teachernumber)
```




- In this relations there's for example the following functional dependency:
  -  {coursecode, offeringnumber} → startdate, teachernumber

# Identifying undesired data redundancy

- Relations that **have** undesired data redundancy, **there is a determinant that is not a candidate key**
- In such case **there is on arrow that is not an arrow out of a whole candidate key**
- Let's consider the following relation **with data redundancy**:

```
CourseOffering (coursecode, offeringnumber, coursename,  
                startdate, teachernumber, surname)
```

# Identifying undesired data redundancy

- In this relations there's for example the following functional dependencies:
  -  `{coursecode, offeringnumber} → coursename, startdate, teachernumber, surname`
  -  `coursecode → coursename`
  -  `teachernumber → surname`
- In functional dependencies `coursecode → coursename` and `teachernumber → surname`, the determinants are not candidate keys
- With such functional dependencies, the relation has redundant data
- For example the teacher's surname is repeated unnecessarily, which can cause consistency issues for example when a teacher's surname is updated
- Instead, the teacher's information should be in a **separate relation**

# Calculated attributes

- We **should not include** attributes in a relation that we can **derive** from other relations or **calculate**
- For example, let's suppose that the firm's total budget is the total of department budgets
- Therefore, **totalbudget** is a calculated attribute in the *Firm* relation
- The value of **totalbudget** should change whenever any department budget is changed in the firm
- From the data redundancy and integrity viewpoint, we have a problem here because total budget exists twice in the design:

```
Firm (firmno, firmname, totalbudget ✖)  
Deptment (deptno, deptname, deptbudget, firmno)  
        FK (firmno) REFERENCES Firm (firmno)
```

# Calculated attributes

- We shouldn't have the *totalbudget* attribute in the *Firm* relation, instead we can calculate it with the following query:

```
SELECT SUM(deptbudget) as totalbudget FROM Department  
WHERE firmno = 'a1122'
```

# Different kind of functional dependencies

- Functional dependencies can be categorized in the following categories:
  - **Non-trivial** and **trivial** functional dependencies
  - **Partial** and **full** functional dependencies
  - **Transitive** and **non-transitive** functional dependencies



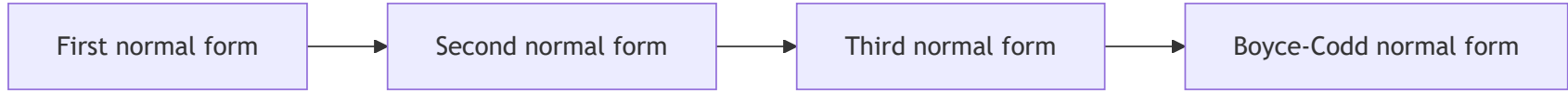
# Non-trivial and trivial functional dependencies

- $A \rightarrow B$  is **trivial functional dependency** if  $B$  is a subset of  $A$
- $A \rightarrow B$  is **non-trivial functional dependency** if  $B$  is not a subset of  $A$
- Let's consider the *CourseOffering* relations:

```
CourseOffering (coursecode, offeringno, startdate)
```

- In the relation,  $\{\text{coursecode}, \text{offeringno}\} \rightarrow \text{startdate}$  is a **non-trivial functional dependency**, because  $\text{startdate}$  is not a subset of  $\{\text{coursecode}, \text{offeringno}\}$
- These, on the other are **trivial functional dependencies** of the relation:
  - $\{\text{coursecode}, \text{offeringno}\} \rightarrow \text{coursecode}$
  - $\{\text{coursecode}, \text{offeringno}\} \rightarrow \{\text{coursecode}, \text{offeringno}\}$
- In normalisation considerations we are only focusing on **non-trivial functional dependencies**

# Normal forms



- **Normal form** refers to a set of normalisation rules that a database relation should follow in order to be considered "normalized" and thus **well-organized**
- During the course we will cover the most common normal forms: **first normal form** (1NF), **second normal form** (2NF), **third normal form** (3NF) and **Boyce-Codd normal form** (BCNF)
- Each normal form from 1NF to BCNF **adds more rules** to the previous normal form
- For example, the 2NF **includes all rules** of the 1NF and additional rules
- The Boyce-Codd Normal Form (BCNF) is the strictest of these normal forms

# First normal form (1NF)

- A relation is in the **first normal form** (1NF) if the following rules apply:
  - All attributes in a relation **must have atomic values**. No multi-valued attributes are allowed
  - A relation **must have a primary key** and all its **attributes must be dependent on the primary key**

# Second normal form (2NF)

- A relation is in the **second normal form** (2NF) if the following rules apply:
  - Relation is in 1NF
  - Relation has no **partial functional dependencies**, meaning that there is no **part of a candidate key** that uniquely determines a **non-candidate-key** attribute
- Let's consider the following relation:

```
ClubMembership (empno, clubno, clubname, joindate)
```

- The relation has a **partial functional dependency**  $\{empno, clubno\} \rightarrow clubname$ , because the functional dependency  $clubno \rightarrow clubname$  exists in the relation
- That is, the relation **is not in 2NF**

# Third normal form

- A relation is in the **third normal form** (3NF) if the following rules apply:
  - Relation is in 1NF
  - Relation has no functional dependency between two **non-candidate-key** attributes, meaning no **non-candidate-key** attribute is allowed to be **transitively** dependent on any **candidate key** within the relation
- Let's consider the following relation schema:

```
Employee (empno, surname, firstname, deptno, deptname)
```
- The relation has a transitive functional dependency  $\text{deptno} \rightarrow \text{deptname}$ , causing **deptname** to be **transitively dependent** on **empno** via **deptno**
- That is, the relation **is not in 3NF**




# Boyce-Codd Normal Form (BCNF)

- We simplify the rules of BCNF we will have the following limitations during the course:
  - We only focusing on **non-trivial functional dependencies**
  - Instead of including any superkeys in our analysis, we narrow the analysis to **candidate keys**
  - We do not allow any attribute that **does not have a determinant** within the relation
- With these limitations the BCNF has the following rules for a relation:
  - Each determinant is a candidate key
  - All attribute values are atomic (single values)
  - There is a determinant for each attribute that is not contained in a candidate key

# Boyce-Codd Normal Form (BCNF)

- Let's consider the following relation:


```
Teacher (teacherno, firstname, surname)
```

- `teacherno → firstname, surname` is the only **functional dependency** in the relation
-  Each determinant is a candidate key
-  All attribute values are atomic (single values)
-  There is a determinant for each attribute that is not contained in a candidate key
- Thus, **the relation is in BCNF**

# Boyce-Codd Normal Form (BCNF)

- Let's consider the following relation:

```
CourseGrade (course_code, studentno, firstname, surname, grade)
```

- `studentno → firstname, surname` is one of the **functional dependencies** in the relation
-  `studentno` is **not a candidate key** in the relation (so each determinant is **not** a candidate key)
- Thus, **the relation is not in BCNF**



# Turning a relation into Boyce-Codd Normal Form

- To convert a **non-BCNF relation to BCNF**, we must decompose the relation in two steps
- Step 1: Find a **functional dependency**  $X \rightarrow Y$  which violates the BCNF rule (find a determinant that is **not a candidate key**)
- Step 2: Split the original relation in two relations as follows:
  - Create a new relation with all attributes (for example both X and Y) from the dependency. X will be the primary key in the new relation
  - Remove Y attribute(s) from the original relation and leave X in the original relation to act as a foreign key.
- We repeat the steps above until all of our relations are in BCNF

# Turning a relation into Boyce-Codd Normal Form

- Let's consider the following relation candidate:

```
CourseOffering (coursecode, offeringno,  
                coursename, startdate, teacherno, surname, firstname)
```

- In the first step, we identify the **functional dependencies**:
  - $\{\text{coursecode}, \text{offeringno}\} \rightarrow \text{coursename}, \text{startdate}, \text{teacherno}, \text{surname}, \text{firstname}$
  - $\text{coursecode} \rightarrow \text{coursename}$
  - $\text{teacherno} \rightarrow \text{surname}, \text{firstname}$
- Then, we identify functional dependencies where the determinant is **not a candidate key**
- There's two such cases:  $\text{coursecode} \rightarrow \text{coursename}$  and  $\text{teacherno} \rightarrow \text{surname}, \text{firstname}$

# Turning a relation into Boyce-Codd Normal Form

- In the second step, to solve these two cases we split the original relation two times
- With `coursecode → coursename` we create a new relation Course with attributes `coursecode` and `coursename`
- The determinant, the `coursecode` will be the primary key for the relation. We'll get the following relation:

```
Course (coursecode, coursename)
```

- Finally, we remove the `coursename` from the CourseOffering relation and leave `coursecode` as a foreign key:

```
CourseOffering (coursecode, offeringno,  
                 startdate, teacherno, surname, firstname)  
FK (coursecode) REFERENCES Course(coursecode)
```

# Turning a relation into Boyce-Codd Normal Form

- We will repeat the same process with `teacherno → surname, firstname` and the final relations are the following:

```
Course (coursecode, coursename)
Teacher (teacherno, surname, firstname)
CourseOffering (coursecode, offeringno, startdate, teacherno)
    FK (coursecode) REFERENCES Course(coursecode)
    FK (teacherno) REFERENCES Teacher(teacherno)
```

- Finally, we **check the decomposed relations**
- In each relation above each determinant is a candidate key and each attribute non-candidate-key attribute has a determinant
- Therefore, the **relations are in BCNF** and we have successfully removed all the undesired redundancy from the design

# Summary

- **Database normalisation** is a formal technique of organizing data in a database in a way that **redundancy** and **incosistency** within the data is eliminated
- We analyze a set **normalisation rules** to determine if a relation is in a certain **normal form** (1NF, 2NF, 3NF, BCNF)
- Normalisation rules determine what kind **functional dependencies** the relation can have
- We can turn a non-BCNF relation into BCNF relations by decomposing the relation