

BCI 2001 DATA PRIVACY

A PROJECT REPORT ON

"IMPLEMENTATION OF HIPAA COMPLIANCES ON HEALTH CARE SYSTEM"

Submitted in partial fulfillment of the requirements for the degree of

Bachelor of Technology

In

Computer Science and Engineering

by

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DECLARATION

We hereby declare that the thesis entitled "IMPLEMENTATION OF HIPAA

COMPLIANCES ON HEALTH CARE SYSTEM" submitted by our team, for the award of

the degree of Bachelor of Technology in Computer Science to VIT, is a record of bonafide

work carried out by our team under the guidance of Prof. Jasmin T. Jose.

We further declare that the work reported in this thesis has not been submitted and will

not be submitted, either in part or in full, for the award of any other degree or diploma in this

institute or any other institute or university.

Place: Vellore

Date: 18th November, 2022

Signature of the Candidate

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CERTIFICATE

This is to certify that the thesis entitled "IMPLEMENTATION OF HIPAA

COMPLIANCES ON HEALTH CARE SYSTEM" submitted by the team Paras Chawla

(20BKT0116), Aman Seth (20BKT0122), Dhwaj Jain (20BCI0302), Kalyan (20BCI0154),

Tarush Gupta (20BKT0024), SCOPE, VIT University, for the award of the degree of

Bachelor of Technology in Computer Science, is a record of bonafide work carried out by them

under my supervision during the period 20.07.2022 to 30.11.2022, as per the VIT code of

academics and research ethics.

The contents of this report have not been submitted and will not be submitted either in

part or in full, for the award of any other degree or diploma in this institute or any other institute

or university. The thesis fulfills the requirements and regulations of the University and in my

opinion meets the necessary standards for submission.

Place: Vellore

Date: 18th November, 2022

Signature of the Candidate

kalyan (20BCI0154)

Aman Seth (20BKT0122)

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Finally, we wish to thank our parents for their support and encouragement throughout our study.

ABSTRACT

Data privacy or information privacy is part of the data protection field, which is concerned with ensuring and complying with data protection laws. Generally, Data Privacy or Information Privacy encompasses three components: the right of an individual to control their personal information, the policies for processing, collecting, storing, and sharing that information, and compliance with data protection laws.

Health Insurance Portability and Accountability Act (HIPAA) is one of the laws that demands confidentiality and privacy protection of healthcare data of individuals. In a stored database of a patient in a hospital or a clinic, we can develop a conservational and analytical method to keep the medical records of the patients in a well-preserved and adequate environment. This will ensure privacy of data and maintain its utility as well.

INTRODUCTION

The purpose of HIPAA is to ensure that patient or customer Private Health Information (PHI) remains private. In order to protect healthcare data, HIPAA requires that such measures be taken by businesses, companies and healthcare organizations. HIPAA compliance might seem daunting, but a step-by-step approach can get you there. Our objective is to collect data sets related to the health department and apply HIPAA security rules to protect the data.

Most medical and health data not covered by HIPAA are controlled by third party data brokers and Internet companies. These companies combine this data with a wide range of personal information about consumer daily activities, transactions, movements, and demographics. The combined data are used for predictive profiling of individual health status, and often sold for advertising and other purposes. The rapid expansion of medical and health data outside of HIPAA protection is encroaching on privacy and doctor-patient relationship. This becomes even more of a concern when the medical departments involved are ones like psychiatry.

In our project we have taken a database that contains patient data in an unanonymized form and then we apply various data protection algorithms so that privacy is achieved and its utility is also not compromised.

LITERATURE REVIEW

S.No.	Year of Publication	Authors and Title	Contribution	Limitations
1.	2009	Mbonihankuye, S., Nkunzimana, A., & Ndagijimana, A. (2019). Healthcare data security technology: HIPAA compliance. Wireless communications and mobile computing	The HIS is a system that aims to provide internal and external communication among healthcare providers. HIS provides a common source of information about a patient's health history.	In medical testing, some binary classifications may find a false positive which results in some errors in data reporting when the test result improperly indicates presence of a condition such as a disease. It sometimes contains false-negative error which improperly indicates the no presence of data condition and information security.
2.	2014	Glenn, T., & Monteith, S. Privacy in the digital world: medical and health data outside of HIPAA protections. Current psychiatry reports	This review will focus on the medical and health data that are increasingly being collected outside of HIPAA protections. Medical and health data outside of HIPAA can be volunteered by consumers directly, observed by corporations recording consumer actions, and inferred by calculated models	Digital data does not reside where it was generated. Data moves and is serviced by many corporations and devices, including Internet service providers, etc. About one-fourth of all digital data are original information, while the remaining three-fourths are duplications such as email attachments and backup copies
3.	2014	Nxumalo, Z. C., Tarwireyi, P., & Adigun, M. O. Towards privacy with	The solution presented in this paper allows GUISET	Most applications that are deployed in the GUISET context process

		tokenization as a service. In 2014 IEEE 6th International Conference on Adaptive Science & Technology	applications to process sensitive user information in a safe manner. It allows the removal of data from the processing environment thus decreasing the impacts of data breaches. The solution is easy to implement and is not resource intensive.	sensitive data like credit card information and protected health information which calls for the need to implement a measure to ensure privacy.
4.	2016	Sajid, A., & Abbas, H. Data privacy in cloud- assisted healthcare	It also helps organizations to achieve PCI compliance at a low cost. It helped to find precise answers to our defined	One of the top security concerns to the healthcare systems is to
		systems: state of the art and future challenges. <i>Journal of medical systems</i> , 40(6), 1-16.	The patient's data	systems is to provide healthcare data privacy. If the patients involved in the healthcare cloud systems are not ensured about their data's privacy, they will refuse to utilize
			revealed the fact that, the most applied technique to address the patient's data privacy concerns in healthcare cloud are IBE, ABE and its variants.	these beneficial systems.
5.	2019	Paul, S., Joy, J. I., Sarker, S., Ahmed, S., & Das, A. K. Fake news detection in social media using blockchain.	Despite having some limitations, the proposed method will be undoubtedly helpful for	Using the Ethereum blockchain, it's difficult to detect the news based on politics and religion.

	detecting fake news in social media as spreading fake news via social media which is a huge issue.	For its veridical verification system, journals and news portals have to face job risk as it drives them to a competition of
	This news misguides people just to achieve more page views to earn extra money dishonestly.	competition of obtaining ratings.
	dishonestly.	

PROPOSED METHODOLOGY

Our dataset comprises 11 fields. We can categorize them into different attribute types and apply the privacy protection methods accordingly: -

EXPLICIT IDENTIFIERS (EI's)

Attributes that identify a customer/record owner directly. These include attributes like social security number (SSN), insurance ID, name, etc.

- i) patient_id: It is 11 characters long and is unique for every patient. It can be used to directly identify a patient. We have applied a custom tokenization algorithm on it to prevent identity disclosure. This involves passing the string through SHA-256 first and then through Keccak. Both are very secure hashing algorithms and are in widespread use for many different applications. They will generate a 56-character long string which we will truncate down to 11 characters again using the random function in python. This will generate a completely unique token for all patient ids and in this way the possible adversary won't have any knowledge of what method was used to generate the token.
- **ii) patient_last_name:** This can also be used to uniquely identify patients. To avoid this, we have completely <u>suppressed</u> the last name.

QUASI IDENTIFIERS (QI's)

Attributes that include geographic and demographic information, phone numbers, and e-mail IDs. Quasi identifiers are also defined as those attributes that are publicly available, for example, a voters database.

iii) patient_gender: It can have values like 'M' to identify as male or 'F' to identify as female. We have not anonymised it as it doesn't cause direct loss of

privacy and also doctors can know about the gender of their patient to treat them better.

- **iv) patient_age:** This tells the age of a patient. This field combined with other quasi-identifiers increases the chance of background knowledge attack and rediscovery. We have generalized it by creating intervals like <15, 15-30, 30-50 and >50.
- v) patient_first_initial: As it suggests, it stores the first initial of the patient. Since we have completely suppressed the last name, it need not be anonymised, as this alone cannot be used to identify patients.
- **vi) patient_race:** This tells the race of a patient. Although this information can be used in identifying a person, we <u>will not anonymise</u> it as it can cause a high loss in utility if done so. This is because doctors sometimes gain valuable information for a diagnosis, based on the individual's race.

SENSITIVE DATA (SD)

Attributes that contain confidential data information about the record owner, such as health issues, financial status, and salary, which cannot be compromised at any cost.

vii) patient_sat_score: Patient satisfaction score is a rating which a patient can give to the hospital based on their quality of service. We have applied randomization on this field by using laplace truncated method. This method will randomize the values till one decimal place. The purpose behind applying this particular method is that it retains the statistical utility of the numerical data while randomizing the values for each patient.

- **viii) patient_admin_flag:** This tells us whether a patient is admitted in the hospital or not. Although this data is sensitive, we will <u>not anonymize</u> it since it would lead to a high loss in utility of data.
- **ix**) **department_referral:** It indicates which medical department the patient was referred to. Again, anonymising this will lead to high loss in utility so we will <u>not anonymize</u> it. A patient's privacy protection will be mainly achieved through anonymization of explicit and quasi-identifiers.

NON SENSITIVE DATA (NSD)

Data that is not sensitive for the given context.

- x) date: Reveals date of visit of patient. Since this is non-sensitive data, we don't need to do anything about it.
- **xi) patient_waittime:** Tells how long the patient had to wait before seeing the doctor. Hospitals can use this information to improve their services. But it does not reveal any information about the patient and hence it <u>can be left as it is.</u>

IMPLEMENTATION

CODE:

```
!pip install pycryptodome
!pip install diffprivlib
import random;
from Crypto. Hash import keccak
import pandas as pd;
import hashlib
healthcare=pd.read_csv("/content/Hospital ER.csv");
from numbers import Real
import numpy as np
           diffprivlib.mechanisms.base import DPMechanism,
TruncationAndFoldingMixin
from diffprivlib.utils import copy docstring
  def __init__(self, *, epsilon, delta=0.0, sensitivity):
      super(). init (epsilon=epsilon, delta=delta)
      self.sensitivity = self. check sensitivity(sensitivity)
   @classmethod
  def check sensitivity(cls, sensitivity):
```

```
if not isinstance(sensitivity, Real):
      if sensitivity < 0:</pre>
      return float(sensitivity)
      super()._check_all(value)
      self._check_sensitivity(self.sensitivity)
      if not isinstance(value, Real):
  def bias(self, value):
      return 0.0
      return 2 * (self.sensitivity / (self.epsilon - np.log(1 -
self.delta))) ** 2
   @staticmethod
```

```
def _laplace_sampler(unif1, unif2, unif3, unif4):
      return np.log(1 - unif1) * np.cos(np.pi * unif2) + np.log(1 -
unif3) * np.cos(np.pi * unif4)
  def randomise(self, value):
      scale = self.sensitivity / (self.epsilon - np.log(1 - self.delta))
      standard_laplace = self._laplace_sampler(self._rng.random(),
self. rng.random(), self. rng.random(),
                                               self. rng.random())
      return value - scale * standard_laplace
  def __init__(self, *, epsilon, delta=0.0, sensitivity, lower, upper):
      super(). init (epsilon=epsilon,
                                                          delta=delta,
sensitivity=sensitivity)
      TruncationAndFoldingMixin. init (self,
                                                          lower=lower,
upper=upper)
   @copy docstring(Laplace.bias)
  def bias(self, value):
      self. check all(value)
      shape = self.sensitivity / self.epsilon
```

```
return shape / 2 * (np.exp((self.lower - value) / shape)
np.exp((value - self.upper) / shape))
   @copy docstring(Laplace.variance)
  def variance(self, value):
      shape = self.sensitivity / self.epsilon
      variance = value ** 2 + shape * (self.lower * np.exp((self.lower
- value) / shape)
                                      - self.upper * np.exp((value -
self.upper) / shape))
      variance += (shape ** 2) * (2 - np.exp((self.lower - value) /
shape)
                                  - np.exp((value - self.upper)
shape))
      variance -= (self.bias(value) + value) ** 2
      return variance
  def check all(self, value):
      Laplace._check_all(self, value)
      TruncationAndFoldingMixin. check all(self, value)
   @copy docstring(Laplace.randomise)
```

```
noisy value = super().randomise(value)
       return self. truncate(noisy value)
class LaplaceFolded(Laplace, TruncationAndFoldingMixin):
  def init (self, *, epsilon, delta=0.0, sensitivity, lower, upper,
random state=None):
       super().__init__(epsilon=epsilon,
                                                           delta=delta,
sensitivity=sensitivity, random state=random state)
       TruncationAndFoldingMixin. init (self,
                                                           lower=lower,
upper=upper)
   @copy docstring(Laplace.bias)
  def bias(self, value):
       shape = self.sensitivity / self.epsilon
      bias = shape * (np.exp((self.lower + self.upper - 2 * value) /
shape) - 1)
      bias /= np.exp((self.lower - value) / shape) + np.exp((self.upper
- value) / shape)
      return bias
  @copy docstring(DPMechanism.variance)
  def variance(self, value):
      raise NotImplementedError
```

```
def _check_all(self, value):
      super()._check_all(value)
       TruncationAndFoldingMixin. check all(self, value)
   @copy_docstring(Laplace.randomise)
  def randomise(self, value):
       noisy_value = super().randomise(value)
      return self._fold(noisy_value)
      eps = self.epsilon
      delta = self.delta
       diam = self.upper - self.lower
      def _delta_c(shape):
          if shape == 0:
              return 2.0
           return (2 - np.exp(- delta_q / shape) - np.exp(- (diam -
delta_q) / shape)) / (1 - np.exp(- diam / shape))
      def _f(shape):
```

```
return delta_q / (eps - np.log(_delta_c(shape)) - np.log(1 -
delta))
       left = delta_q / (eps - np.log(1 - delta))
       right = _f(left)
       old_interval_size = (right - left) * 2
       while old interval size > right - left:
          old_interval_size = right - left
          middle = (right + left) / 2
              left = middle
              right = middle
      return (right + left) / 2
  def effective epsilon(self):
       if self.delta > 0.0:
   @copy_docstring(Laplace.bias)
```

```
def bias(self, value):
      self. check all(value)
      if self. scale is None:
          self. scale = self. find scale()
      bias = (self._scale - self.lower + value) / 2 * np.exp((self.lower
- value) / self. scale) \
          - (self._scale + self.upper - value) / 2 * np.exp((value -
self.upper) / self. scale)
      bias /= 1 - np.exp((self.lower - value) / self. scale) / 2 \
          - np.exp((value - self.upper) / self. scale) / 2
      return bias
   @copy docstring(Laplace.variance)
  def variance(self, value):
      if self. scale is None:
          self. scale = self. find scale()
      variance = value**2
      variance -= (np.exp((self.lower - value) / self. scale)
(self.lower ** 2)
                   + np.exp((value - self.upper) / self._scale)
(self.upper ** 2)) / 2
      variance += self._scale * (self.lower * np.exp((self.lower
value) / self. scale)
                                 - self.upper * np.exp((value)
self.upper) / self._scale))
```

```
variance += (self._scale ** 2) * (2 - np.exp((self.lower - value))
/ self. scale)
                                         - np.exp((value - self.upper) /
self. scale))
      variance /= 1 - (np.exp(-(value - self.lower) / self._scale)
                        + np.exp(-(self.upper - value) / self. scale)) /
       variance -= (self.bias(value) + value) ** 2
       return variance
   @copy_docstring(Laplace.randomise)
  def randomise(self, value):
       value = max(min(value, self.upper), self.lower)
       if np.isnan(value):
       samples = 1
               unif = self. rng.random(4 * samples)
           except TypeError: # rng is secrets.SystemRandom
               unif = [self._rng.random() for _ in range(4 * samples)]
```

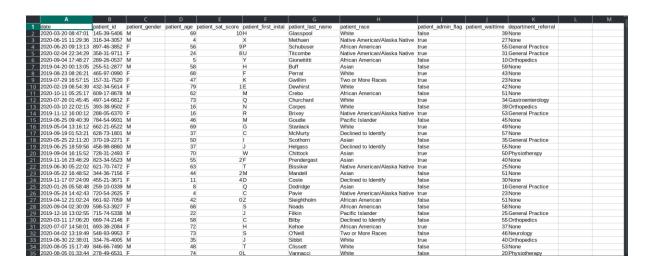
```
value
           noisy
                                                    self. scale
self. laplace sampler(*np.array(unif).reshape(4, -1))
           if ((noisy >= self.lower) & (noisy <= self.upper)).any():</pre>
               idx = np.argmax((noisy >= self.lower) & (noisy <=</pre>
self.upper))
              return noisy[idx]
           samples = min(100000, samples * 2)
class LaplaceBoundedNoise(Laplace):
  def __init__(self, *, epsilon, delta, sensitivity, random_state=None):
       super().__init__(epsilon=epsilon,
                                                           delta=delta,
sensitivity=sensitivity, random state=random state)
       self. noise bound = None
  @classmethod
  def check epsilon delta(cls, epsilon, delta):
       if epsilon == 0:
       if isinstance(delta, Real) and not 0 < delta < 0.5:
       return super(). check epsilon delta(epsilon, delta)
   @copy docstring(Laplace.bias)
  def bias(self, value):
```

```
@copy docstring(DPMechanism.variance)
   def variance(self, value):
       raise NotImplementedError
   @copy_docstring(Laplace.randomise)
   def randomise(self, value):
           self._scale = self.sensitivity / self.epsilon
           self. noise bound = 0 if self. scale == 0 else \
               self. scale * np.log(1 + (np.exp(self.epsilon) - 1) / 2 /
self.delta)
       if np.isnan(value):
       samples = 1
       while True:
           try:
               unif = self. rng.random(4 * samples)
           except TypeError: # rng is secrets.SystemRandom
               unif = [self._rng.random() for _ in range(4 * samples)]
           noisy
                                              self. scale
self._laplace_sampler(*np.array(unif).reshape(4, -1))
```

```
if
                          >= -
                                   self. noise bound)
                ((noisy
self. noise bound)).any():
               idx = np.argmax((noisy >= - self._noise_bound) & (noisy
               return value + noisy[idx]
           samples = min(100000, samples * 2)
last_names=healthcare["patient_last_name"];
ids=healthcare["patient id"];
sat=healthcare["patient sat score"];
sensitivity=3
epsilon=0.3
mechanism
LaplaceTruncated(epsilon=epsilon,delta=0.0,sensitivity=sensitivity,lowe
r=0, upper=10)
new sat=[];
for x in sat:
new sat.append(mechanism.randomise(x));
healthcare["patient sat score"]=new sat;
age=healthcare["patient age"];
new age=[]
for x in age:
if x<=15:
   new age.append("<15");</pre>
elif x <= 30:
   new age.append("15-30");
elif x \le 50:
   new age.append("30-50");
```

```
new_age.append(">50");
healthcare["patient_age"]=new_age
def hash unicode(a string):
   sha_out= hashlib.sha256(a_string.encode('utf-8')).hexdigest()
   keccak_hash3 = keccak.new(digest_bits=224)
   keccak hash3.update(sha out.encode("utf-8"))
  hex=keccak hash3.hexdigest();
   for x in range(11):
     num=random.randint(0,55);
     out += hex[num];
   return out;
def supperession(a string):
healthcare['patient_id']=healthcare['patient_id'].apply(hash_unicode);
healthcare['patient last name']=healthcare['patient last name'].apply(s
upperession)
healthcare.head()
from pathlib import Path
filepath = Path('content/subfolder/out.csv')
filepath.parent.mkdir(parents=True, exist ok=True)
healthcare.to csv(filepath)
```

INPUT



OUTPUT

date	patient_id	patient_gender					patient_race	patient_admin_flag	patient_waittime department_referral	
0 2020-03-20 08:47:01	abf3eb66355	M	>50	10	H	XXXXXXXX	White	False	39 None	
1 2020-06-15 11:29:36	a8b9bb0966f	M	<15		X	XXXXXXXX	Native American/Alaska Native	True	27 None	
2 2020-06-20 09:13:13	659e5ee548b	F	>50	2.71384609790199	P	XXXXXXXXX	African American	True	55 General Practice	
3 2020-02-04 22:34:29	2906f7ff0f5	F	15-30	(U	XXXXXXXX	Native American/Alaska Native	True	31 General Practice	
4 2020-09-04 17:48:27	dada5ab8a96	M	<15		Y	XXXXXXXX	African American	False	10 Orthopedics	
5 2019-04-20 00:13:05	5634bb64bbd	M	>50		H	XXXXXXXX	Asian	False	59 None	
6 2019-08-23 08:26:21	9cdbadb7bab	F	>50		F	XXXXXXXX	White	True	43 None	
7 2019-07-29 16:57:15	32780e57273	F	30-50		K	XXXXXXXXX	Two or More Races	True	23 None	
8 2020-02-19 06:54:39	84823182241	F	>50	(E	XXXXXXXXX	White	False	42 None	
9 2020-10-11 05:25:17	1bb203da81e	M	>50		M	XXXXXXXX	African American	False	51 None	
10 2020-07-26 01:45:45	3ba9ad5eafd	F	>50		Q	XXXXXXXXX	White	True	34 Gastroenterology	
11 2020-03-10 22:02:15	c9487f42e19	F	15-30		N	XXXXXXXX	White	False	39 Orthopedics	
12 2019-11-12 16:00:12	42bc492138d	F	15-30		R	XXXXXXXXX	Native American/Alaska Native	True	53 General Practice	
13 2019-06-25 09:40:39	ffd36cb3dd9	M	30-50		M	XXXXXXXX	Pacific Islander	False	45 None	
14 2019-05-04 13:16:12	5cd2dbb969f	M	>50		G	XXXXXXXXX	White	True	49 None	
15 2019-09-19 01:53:21	aa2d09e3ada	M	30-50		С	XXXXXXXX	Declined to Identify	True	57 None	
16 2020-05-25 22:11:20	a8e26555b5c	F	30-50		I	XXXXXXXX	Asian	False	35 General Practice	
17 2019-06-25 18:59:56	d91775998c9	M	30-50		J	XXXXXXXXX	Declined to Identify	False	55 None	
18 2019-09-04 16:15:52	7f29451e6f6	F	>50		W	XXXXXXXXX	Asian	True	50 Physiotherapy	
19 2019-11-16 23:46:29	59c515f5373	M	>50	(F	XXXXXXXX	Asian	True	40 None	
20 2019-06-30 05:22:02	5669858f0e1	F	>50		T	XXXXXXXXX	Native American/Alaska Native	True	25 None	
21 2019-05-22 16:48:52	c24b239dc4a	F	30-50	10	M	XXXXXXXX	Asian	False	51 None	
22 2019-11-17 07:24:09	c5d9011c8df	F	<15	(D	XXXXXXXXX	Declined to Identify	False	30 None	
23 2020-01-26 05:58:48	790028dab3c	M	<15		Q	XXXXXXXX	Asian	False	16 General Practice	
24 2019-05-24 14:42:43	7fe3ed7db3a	F	<15		C	XXXXXXXXX	Native American/Alaska Native	True	23 None	
25 2019-04-12 21:02:24	9484afeb3e8	M	30-50	(Z	XXXXXXXX	African American	False	51 None	
26 2020-09-04 02:30:09	3c42550aef3	F	>50		S	XXXXXXXX	African American	False	58 None	
27 2019-12-16 13:02:55	1a6b674d952	M	15-30		J	XXXXXXXX	Pacific Islander	False	25 General Practice	
28 2020-03-11 17:06:20	6276219669	F	>50		C	XXXXXXXXX	Declined to Identify	False	55 Orthopedics	
29 2020-07-07 14:58:01	7da5a453edb	F	>50		H	XXXXXXXX	African American	True	37 None	
30 2020-04-02 13:19:49	a8ba1979176	F	>50		S	XXXXXXXX	Two or More Races	False	46 Neurology	
31 2019-06-30 22:38:01	1344b74a3e5	M	30-50		J	XXXXXXXX	White	True	40 Orthopedics	
32 2020-08-05 15:17:49	ce1a3a6dc8d	M	30-50		T	XXXXXXXXX	White	False	53 None	
33 2020-08-05 01:33:44	962d0b66c71	F	>50	8.4239174041324	1L	XXXXXXXXX	White	False	20 Physiotherapy	

PROJECT DEMONSTRATION VIDEO

https://drive.google.com/drive/folders/1eOs3Ie13tvcO8YCRa0vVPX0e985TziV K?usp=sharing

CONCLUSION/FUTURE WORK

Large quantities of health data are being created outside of HIPAA protection, primarily by consumers. Most of the data generated by consumers are controlled by data brokers and Internet companies that have no involvement in patient care and no training in medical ethics. Data brokers are combining health data with other consumer data to make health related profiles, which may increasingly be used to identify individual health status. The results of the predictive profiles may have adverse impact regardless of accuracy. As knowledge of data brokers becomes more widespread, more patients may avoid healthcare or withhold data from physicians due to privacy concerns, which may have especially serious consequences in psychiatry.

The far-reaching problems relating to the use and protection of medical and health data outside of HIPAA need to be addressed by broad collaborations of medical, legal, consumer, and technical expertise. In the interim, measures to increase awareness of the growth of medical and health data outside of HIPAA protection are needed for both clinicians and patients.

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