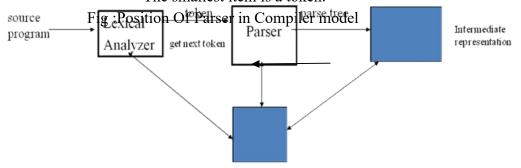
#### **MODULE3**

#### Introduction

- Syntax Analyzer creates the syntactic structure of the given source program.
- This syntactic structure is mostly a *parse tree*.
- Syntax Analyzer is also known as *parser*.
- The syntax of a programming is described by a *context-free grammar (CFG)*. We will use BNF (Backus-Naur Form) notation in the description of CFGs.
- The syntax analyzer (parser) checks whether a given source program satisfies the rules implied by a context-free grammar or not.
  - If it satisfies, the parser creates the parse tree of that program.
  - Otherwise the parser gives the error messages.
- A context-free grammar
  - gives a precise syntactic specification of a programming language.
  - the design of the grammar is an initial phase of the design of a compiler.
- a grammar can be directly converted into a parser by some tools.
  - Parser works on a stream of tokens.
  - The smallest item is a token.



#### SYMBOL TABLE

- We categorize the parsers into two groups:
  - 1. Top-Down Parser
  - 2. the parse tree is created top to bottom, starting from the root.

# 1. Bottom-Up Parser

- the parse is created bottom to top; starting from the leaves
- Both top-down and bottom-up parsers scan the input from left to right (one symbol at a time).
- Efficient top-down and bottom-up parsers can be implemented only for sub-

classes of context-free grammars.

- LL for top-down parsing
- LR for bottom-up parsing

# **Syntax Error Handling**

- Common Programming errors can occur at many different levels.
- 1. Lexical errors: include misspelling of identifiers, keywords, or operators.
- 2. Syntactic errors: include misplaced semicolons or extra or missing braces.
- 3. Semantic errors: include type mismatches between operators and operands.
- 4. Logical errors: can be anything from incorrect reasoning on the part of the programmer.

#### Goals of the Parser

- Report the presence of errors clearly and accurately
- Recover from each error quickly enough to detect subsequent errors.
- Add minimal overhead to the processing of correct programs.

# **Error-Recovery Strategies**

- Panic-Mode Recovery
- Phrase-Level Recovery
- Error Productions
- Global Correction

#### **Panic-Mode Recovery**

- On discovering an error, the parser discards input symbols one at a time until one of a designated set of Synchronizing tokens is found.
- Synchronizing tokens are usually delimiters.

Ex: semicolon or \ whose role in the source program is clear and unambiguous.

• It often skips a considerable amount of input without checking it for additional errors.

# Advantage:

Simplicity

Is guaranteed not to go into an infinite loop

# **Phrase-Level Recovery**

• A parser may perform local correction on the remaining input. i.e

it may replace a prefix of the remaining input by some string that allows the parser to continue.

Ex: replace a comma by a semicolon, insert a missing semicolon

- Local correction is left to the compiler designer.
- It is used in several error-repairing compliers, as it can correct any input string.

• Difficulty

in coping with the situations in which the actual error has occurred before the point of detection.

#### **Error Productions**

- We can augment the grammar for the language at hand with productions that generate the **erroneous constructs**.
- Then we can use the grammar augmented by these error productions to **Construct a parser.**
- If an error production is used by the parser, we can generate appropriate **error diagnostics** to indicate the erroneous construct that has been recognized in the input.

#### **Global Correction**

- We use algorithms that perform minimal sequence of changes to obtain a globally least cost correction
- Given an incorrect input string x and grammar G, these algorithms will find a parse tree for a related string y.
- Such that the number of insertions, deletions and changes of tokens required to transform x into y is as small as possible.
- It is too costly to implement in terms of time space, so these techniques only of theoretical interest.

#### **Context-Free Grammars**

- Inherently recursive structures of a programming language are defined by a context-free grammar.
- In a context-free grammar, we have:
  - A finite set of terminals (in our case, this will be the set of tokens)
  - A finite set of non-terminals (syntactic-variables)
  - A finite set of productions rules in the following form
    - $A \rightarrow \alpha$  where A is a non-terminal and

 $\alpha$  is a string of terminals and non-terminals (including the empty

string)

A start symbol (one of the non-terminal symbol)

# NOTATIONAL CONVENTIONS

- 1. Symbols used for terminals are:
  - $\triangleright$  Lower case letters early in the alphabet (such as a, b, c, . . .)
  - Operator symbols (such as +, \*, . . . )
  - > Punctuation symbols (such as parenthesis, comma and so on)
  - $\rightarrow$  The digits(0...9)
  - ➤ Boldface strings and keywords (such as **id** or **if**) each of which represents

a single terminal symbol

2. Symbols used for non terminals are:

- ➤ Uppercase letters early in the alphabet (such as A, B, C, ...)
- > The letter S, which when it appears is usually the start symbol.
- Lowercase, italic names (such as *expr* or *stmt*).

# 3. Lower case greek letters, $\alpha$ , $\beta$ , $\psi$ for example represent (possibly empty)

# strings of grammar symbols.

Example: using above notations list out terminals, non terminals and start symbol in the following example

$$E \rightarrow E + T \mid E - T \mid T$$

$$T \rightarrow T * F \mid T / F \mid F$$

$$_{\text{F}} \rightarrow \text{(E)} | \text{id}$$
 +, -, \*, /, (, ), id

Here

terminal are

Non terminals are E, T, F Start symbol is E

#### **Derivations**

 $E \rightarrow E+E$ 

- E+E derives from E
  - we can replace E by E+E
  - to able to do this, we have to have a production rule E→E+E in our grammar.

$$E \rightarrow E+E \rightarrow id+E \rightarrow id+id$$

- A sequence of replacements of non-terminal symbols is called a **derivation** of id+id from E.
- In general a derivation step is

 $\alpha A \rightarrow \alpha \psi$  if there is a production rule  $A \rightarrow \psi$  in our grammar

where  $\alpha$  and J are arbitrary strings of terminal and non-

terminal symbols

$$\alpha_1 \rightarrow \alpha_2 \rightarrow ... \rightarrow \alpha_n \quad \ (\alpha_n \, derives \, from \, \alpha_1 \, or \, \alpha_1 \, derives \, \alpha_n \, )$$

→ : derives in one step

→ : derives in one or more steps

# CFG - Terminology

- L(G) is *the language of G* (the language generated by G) which is a set of sentences.
- A sentence of L(G) is a string of terminal symbols of G.
- If S is the start symbol of G then

 $\xi$  is a sentence of L(G) iff S  $\rightarrow \xi$  where  $\xi$  is a string of terminals of G.

• If G is a context-free grammar, L(G) is a *context-free language*.

- Two grammars are *equivalent* if they produce the same language.
- $S \rightarrow \alpha$  If  $\alpha$  contains non-terminals, it is called as a *sentential* form of G.

- If α does not contain non-terminals, it is called as a *sentence* of

G.

#### **Derivation Example**

$$E \rightarrow -E \rightarrow -(E) \rightarrow -(E+E) \rightarrow -(id+E) \rightarrow -(id+id)$$
 
$$OR$$
 
$$E \rightarrow -E \rightarrow -(E) \rightarrow -(E+E) \rightarrow -(E+id) \rightarrow -(id+id)$$

- At each derivation step, we can choose any of the non-terminal in the sentential form of G for the replacement.
- If we always choose the left-most non-terminal in each derivation step, this derivation is called as **left-most derivation**.
- If we always choose the right-most non-terminal in each derivation step, this derivation is called as **right-most derivation**.

# **Left-Most and Right-Most Derivations**

**Left-Most Derivation** 

Im Im Im Im Im 
$$E \rightarrow -E \rightarrow -(E) \rightarrow -(E+E) \rightarrow -(id+E) \rightarrow -(id+id)$$

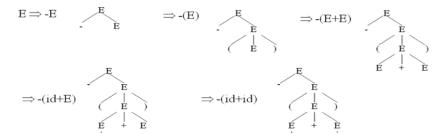
**Right-Most Derivation** 

rm rm rm rm rm
$$E \rightarrow -E \rightarrow -(E) \rightarrow -(E+E) \rightarrow -(E+id) \rightarrow -(id+id)$$

- We will see that the top-down parsers try to find the left-most derivation of the given source program.
- We will see that the bottom-up parsers try to find the right-most derivation of the given source program in the reverse order.

#### **Parse Tree**

- Inner nodes of a parse tree are non-terminal symbols.
- The leaves of a parse tree are terminal symbols.
- A parse tree can be seen as a graphical representation of a derivation.



# Problems on derivation of a string with parse tree:

1. Consider the grammar  $S \rightarrow (L) \mid a$ 

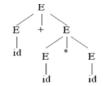
$$L\rightarrow L,S \mid S$$

- i. What are the terminals, non terminal and the start symbol?
- ii. Find the parse tree for the following sentence
  - a. (a,a)
  - b. (a, (a, a))
  - c. (a, ((a,a),(a,a)))
- iii. Construct LMD and RMD for each.
- 2. Do the above steps for the grammar S  $\rightarrow$  aS | aSbS |  $\chi$  for the string "aaabaab"

# **Ambiguity**

• A grammar produces more than one parse tree for a sentence is called as an *ambiguous* grammar

$$E \Rightarrow E+E \Rightarrow id+E \Rightarrow id+E*E$$
  
\Rightarrow id+id\*id



$$E \Rightarrow E*E \Rightarrow E+E*E \Rightarrow id+E*E$$
  
\Rightarrow id+id\*E \Rightarrow id+id\*id



- For the most parsers, the grammar must be unambiguous.
- unambiguous grammar
  - → unique selection of the parse tree for a sentence
- We should eliminate the ambiguity in the grammar during the design phase of the compiler.
- An ambiguous grammar should be written to eliminate the ambiguity.
- We have to prefer one of the parse trees of a sentence (generated by an ambiguous grammar) to disambiguate that grammar to restrict to this choice.

• EG:

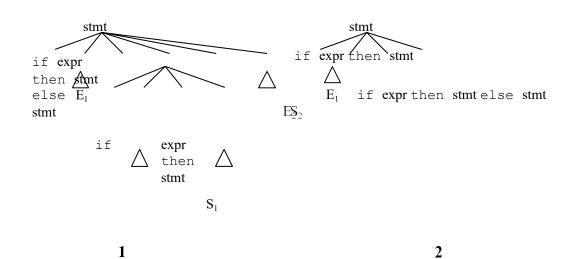
 $S_2$ 

 $E_2$ 

# **Ambiguity (cont.)**

 $stmt \rightarrow \text{if expr} \text{ then } stmt \mid$   $\text{if expr} \text{ then } stmt \text{ else } stmt \quad | \text{ otherstmts}$ 

if  $E_1\,\text{then}$  if  $E_2\,\text{then}~S_1\,\text{else}~S_2$ 



- We prefer the second parse tree (else matches with closest if).
- So, we have to disambiguate our grammar to reflect this choice.
- The unambiguous grammar will be:
- stmt → matchedstmt | unmatchedstmt
- matchedstmt → if expr then matchedstmt else matchedstmt | otherstmts
- unmatchedstmt → If expr then stmt
   if expr then matchedstmt else unmatchedstmt

# Problems on ambiguous grammar:

# Show that the following grammars are ambiguous grammar by constructing either 2 lmd or 2 rmd for the given string.

- 1.  $S \rightarrow S(S)S \mid \chi \text{ with the string ( ( ) ( ) )}$
- 2.  $S \rightarrow S+S \mid |SS| (S) |S^*|$  a with the string

 $(a+a)*a S \rightarrow aS \mid aSbS \mid \chi$  with the string abab

**Ambiguity – Operator Precedence** 

• Ambiguous grammars (because of ambiguous operators) can be disambiguated according to the precedence and associativity rules.

$$E \rightarrow E+E \mid E*E \mid E^E \mid id \mid (E)$$

disambiguate the grammar

precedence: ^ (right to left)

\* (left to right)
+ (left to right)

$$E \longrightarrow \begin{array}{c} |T \\ |F \\ |G(E) \end{array}$$

$$E+T$$

$$\rightarrow$$
 G^F

$$G \, \to \, id$$

Left

Recursion

• A grammar is *left recursive* if it has a non-terminal A such that there is a derivation.

 $A \rightarrow A\alpha$  for some string  $\alpha$ 

- Top-down parsing techniques **cannot** handle left-recursive grammars.
- So, we have to convert our left-recursive grammar into an equivalent grammar which is not left-recursive.
- The left-recursion may appear in a single step of the derivation (*immediate left-recursion*), or may appear in more than one step of the derivation.

**Immediate Left-Recursion** 

$$A \rightarrow A \alpha \mid J$$

# Example

```
E \rightarrow E+T \mid T
T \rightarrow T*F \mid F
F \rightarrow id \mid (E)
\downarrow \qquad \text{eliminate immediate left recursion}
E \rightarrow T E'
E' \rightarrow +T E' \mid \varepsilon
T \rightarrow F T'
T' \rightarrow *F T' \mid \varepsilon
F \rightarrow id \mid (E)
```

# **Left-Recursion – Problem**

- A grammar cannot be immediately left-recursive, but it still can be left-recursive.
- By just eliminating the immediate left-recursion, we may not get a grammar which is not left-recursive.

$$S \rightarrow Aa \mid b$$

$$A \rightarrow Sc \mid d \qquad \text{This grammar is not immediately left-recursive,}$$

$$\text{but it is still left-recursive.}$$

$$S \rightarrow Aa \rightarrow Sca \ \underline{A} \rightarrow Sc \rightarrow \underline{A}ac \qquad \text{or}$$

- So, we have to eliminate all left-recursions from our grammar Eliminate Left-Recursion Algorithm
- Arrange non-terminals in some order: A1 ... An
  - for i from 1 to n do {
     for j from 1 to i-1 do {
     replace each production

$$\begin{array}{c} A_i \rightarrow A_j \, \psi \\ \\ by \\ \\ A_i \rightarrow \alpha_1 \, \psi \, | \, ... \, | \, \alpha_k \, \psi \\ \\ where \, A_j \rightarrow \alpha_1 \, | \, ... \, | \, \alpha_k \end{array}$$

- eliminate immediate left-recursions among Ai productions

causes to a left-recursion

Example2:

 $A \rightarrow Ac \mid Sd \mid f$ 

- Order of non-terminals: A, S for A:

for S:

- we do not enter the inner loop. E	n	
E	a	
	t	
	e	
	t	
	h	
	e i	
	m	
	m	
	e	
	d	
	i	
	a	
	t	
	e	
	1	
	e	
	f	
	t	
	-	
	r	
	e	
	c u	
	r	
	S	
	i	
	0	
	n	
	i	
	n	
	A	
	A	
	$\rightarrow$	
	S d	
	,A	
	1	
	 f	
	Ā	
	,	
	$A' \rightarrow cA' \mid \sigma$	
1 i	with	
	***************************************	- Replace
$\frac{m}{i}$ $S \rightarrow Aa$		1

- Eliminate the immediate left-recursion in S

$$S \rightarrow fA'aS' \mid bS'$$

$$S' \rightarrow dA'aS' \mid \sigma$$

So, the resulting equivalent grammar which is not left-recursive is:

$$S \rightarrow fA'aS' \mid bS'$$

$$S' \rightarrow dA'aS' \mid \sigma$$

$$A \rightarrow SdA' \mid fA'$$

$$A' \rightarrow cA' \mid \sigma$$

# **Problems of left recursion**

1. S 
$$\rightarrow$$
 S(S)S |  $\chi$ 

2. 
$$S \rightarrow S+S \mid |SS \mid (S)| |S* a$$

3. 
$$S \rightarrow SS+ | SS* | a$$

**4.** bexpr  $\rightarrow$  bexpr or bterm | bterm

bterm →bterm and bfactor | bfactor

bfactor → not bfactor | (bexpr) | true | false

5. 
$$S \rightarrow (L) \mid a, L \rightarrow L, S \mid S$$

# **Left-Factoring**

• A predictive parser (a top-down parser without backtracking) insists that the grammar must be *left-factored*.

grammar → a new equivalent grammar suitable for predictive parsing

 $stmt \rightarrow if expr then stmt else stmt$ 

if expr then stmt

- when we see if, we cannot now which production rule to choose to re-write *stmt* in the derivation.
- In general,

$$A \rightarrow \alpha \int_{1} |\alpha \int_{2}$$

where  $\alpha$  is non-empty and the first symbols

of  $\int_1$  and  $\int_2$  (if they have one)are different.

• when processing  $\alpha$  we cannot know whether

expand A to 
$$\alpha J_1$$
 or

A to  $\alpha \int_{2}$ 

• But, if we re-write the grammar

as follows  $A \rightarrow \alpha A$ 

$$A' \rightarrow \int_1 \int_2$$
 so, we can immediately expand A to  $\alpha A'$ 

Left-Factoring - Algorithm

• For each non-terminal A with two or more alternatives (production rules) with a common non-empty prefix, let say

$$A \rightarrow \alpha \int_{1} |...| \alpha \int_{n} |\psi_{1}| ...| \psi_{m}$$

convert it into

$$A \rightarrow \alpha A^{'} \, | \, \psi_1 \, | \, ... \, | \, \psi_m$$

$$A' \rightarrow J_1 | \dots | J_n$$

# **Left-Factoring – Example1**

$$A \rightarrow \underline{a}bB \mid \underline{a}B \mid cdg \mid cdeB \mid cdfBY$$

$$A \rightarrow aA' \mid \underline{cdg} \mid \underline{cdeB} \mid \underline{cdfB}$$

$$A' \rightarrow bB \mid B$$

Y

$$A \rightarrow aA' \mid cdA''$$

$$A' \rightarrow bB \mid B$$

$$A$$
"  $\rightarrow g \mid eB \mid fB$ 

# Example2

$$A \rightarrow ad \mid a \mid ab \mid abc \mid b$$

Y

$$A \rightarrow aA' \mid b$$

$$A' \rightarrow d |\sigma| b |bc$$

Y

$$A \rightarrow aA' \mid b$$

$$A' \rightarrow d |\sigma| bA'' A'' \rightarrow \sigma |c|$$

Problems on left factor

1. S  $\rightarrow$  iEtS | iEtSeS | a,

rprimary →a |b

leftfactor and left recursion

do both

# **Non-Context Free Language Constructs**

• There are some language constructions in the programming languages which are not context-free. This means that, we cannot write a context-free grammar for these constructions.

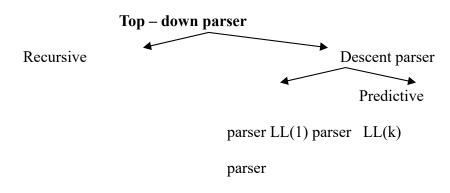
- L1 =  $\{ \xi c \xi \mid \xi \text{ is in } (a \mid b)^* \}$  is not context-free
  - → Declaring an identifier and checking whether it is declared or not later.

We cannot do this with a context-free language. We need not context-free).

semantic analyzer (which is

• L2 =  $\{a^nb^mc^nd^m \mid n\Sigma 1 \text{ and } m\Sigma 1\}$  is not context-free

Declaring two functions (one with n parameters, the other one with m parameters), and then calling them with actual parameters.



First L stands for left to right scan Second L stands for LMD

- (1) stands for only one i/p symbol to predict the parser
- (2) stands for k no. of i/p symbol to predict the parser
- The parse tree is created top to bottom.
- Top-down parser
  - Recursive-Descent Parsing
    - Backtracking is needed (If a choice of a production rule does not work, we backtrack to try other alternatives.)
    - It is a general parsing technique, but not widely used.
    - Not efficient
  - Predictive Parsing
    - no backtracking
    - efficient
    - Needs a special form of grammars (LL (1) grammars).
    - Recursive Predictive Parsing is a special form of Recursive Descent parsing without backtracking.

Non-Recursive (Table Drive	en)
Predictive Parser is also known	owr

as LL (1)

parser.

# **Recursive-Descent Parsing (uses Backtracking)**

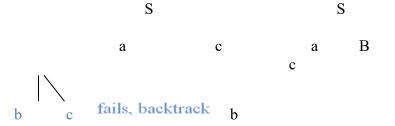
• Backtracking is needed.

• It tries to find the left-most

derivation.  $S \rightarrow aBc$ 

$$B \rightarrow bc \mid b$$

input: abc



В

#### **Predictive Parser**

• When re-writing a non-terminal in a step, a predictive parser can derivatio

uniquely choose a production rule by just looking the current symbol in the input string.

$$A \rightarrow \alpha_1 \mid ... \mid \alpha_n$$
 input: ... a ....... current token

# example

- When we are trying to write the non-terminal *stmt*, if the current token is if we have to choose first production rule.
- When we are trying to write the non-terminal *stmt*, we can uniquely choose the production rule by just looking the current token.
- We eliminate the left recursion in the grammar, and left factor it. But it may not be suitable for predictive parsing (not LL(1) grammar).

# Non-Recursive Predictive Parsing -- LL(1) Parser

- Non-Recursive predictive parsing is a table-driven parser.
- It is a top-down parser.

• It is also

known as

LL(1) Parser.

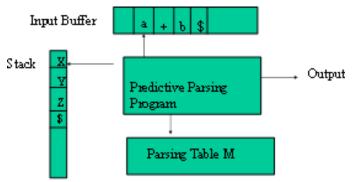


Fig: Model Of Non-Recursive predictive parsing

# LL(1) Parser input buffer

 our string to be parsed. We will assume that its end is marked with a special symbol \$.

output

stack

 a production rule representing a step of the derivation sequence (left-most derivation) of the string in the input buffer.

- contains the grammar symbols
- at the bottom of the stack, there is a special end marker symbol \$.
- initially the stack contains only the symbol \$ and the starting symbol S. \$S

# ← initial stack

 when the stack is emptied (ie. only \$ left in the stack), the parsing is completed.

parsing table

two-dim

a

en

si

on

al	a
ar	no
ra	n-
y	ter
M	mi
[A	na
,	1
a]	sy
ea	m
ch	bo
ro	1
W	each column is a terminal symbol or the special symbol \$
is	

# each entry holds a production rule. Constructing LL(1) Parsing Tables

- Two functions are used in the construction of LL(1) parsing tables:
  - FIRST FOLLOW

- FIRST(α) is a set of the terminal symbols which occur as first symbols in strings derived from α where α is any string of grammar symbols.
- if  $\alpha$  derives to  $\sigma$ , then  $\sigma$  is also in FIRST( $\alpha$ ).
- **FOLLOW(A)** is the set of the terminals which occur immediately after (follow) the *non-terminal A* in the strings derived from the starting symbol.
  - a terminal a is in FOLLOW(A) if  $S \rightarrow \alpha Aa$
  - \$ is in FOLLOW(A) if S → αA

# Compute FIRST for Any String X

- If X is a terminal symbol  $\rightarrow$  FIRST(X)={X}
- If X is a non-terminal symbol and  $X \to \sigma$  is a production rule  $\bullet$   $\sigma$  is in FIRST(X).
- If X is a non-terminal symbol and  $X \rightarrow Y_1Y_2..Y_n$  is a production rule
- $\Rightarrow$  if a terminal  ${\bf a}$  in FIRST(Y<sub>i</sub>) and  $\sigma$  is in all FIRST(Y<sub>j</sub>) for j=1,...,i-1then  ${\bf a}$  is in FIRST(X).
  - $\rightarrow$  if  $\sigma$  is in all FIRST(Y<sub>j</sub>) for j=1,...,n then  $\sigma$  is in FIRST(X).
  - If X is  $\sigma$  FIRST(X)= $\{\sigma\}$
  - If X is  $Y_1Y_2...Y_n$   $\Longrightarrow$  if a terminal  ${\bf a}$  in FIRST( $Y_i$ ) and  $\sigma$  is in all FIRST( $Y_j$ ) for

j=1,...,i-1

then

is

in

FIRST(X).

• if  $\sigma$  is in all FIRST(Y<sub>j</sub>) for j=1,...,n

then  $\sigma$  is in FIRST(X).

# **Compute FOLLOW (for non-terminals)**

- If S is the start symbol  $\Rightarrow$  \$ is in FOLLOW(S)
- if  $A \rightarrow \alpha B^{\int}$  is a production rule  $\rightarrow$  everything in FIRST( $\int$ )

is FOLLOW(B) except  $\sigma$ 

- If  $(A \to \alpha B \text{ is a production rule})$  or  $(A \to \alpha B)$  is a production rule and  $\sigma$  is in FIRST( $\int$ ))
  - → everything in FOLLOW(A) is in

FOLLOW(B).

We apply these rules until nothing more can be added to any follow set.

# LL(1) Parser – Parser Actions

- The symbol at the top of the stack (say X) and the current symbol in the input string (say a) determine the parser action.
- There are four possible parser actions.
- 1. If X and a are \$ → parser halts (successful completion)
- 2. If X and a are the same terminal symbol (different from \$)

- → parser pops X from the stack, and moves the next symbol in the input buffer.
- 3. If X is a non-terminal
- $\Rightarrow$  parser looks at the parsing table entry M[X, a]. If M[X, a] holds a production rule  $X \rightarrow Y_1 Y_2 ... Y_k$ , it pops X from the stack and pushes  $Y_k, Y_{k-1}, ..., Y_1$  into the stack. The parser also outputs the production rule  $X \rightarrow Y_1 Y_2 ... Y_k$  to represent a step of the derivation.
  - 1. none of the above  $\rightarrow$  error
- all empty entries in the parsing table are errors.
   If X is a terminal symbol different from a, this is also an error case.

**METHOD**: Initially, the parser is in a configuration with w\$ in the input buffer and the start symbol S of G on top of the stack, above \$. The program in Fig. 4.20 uses the predictive parsing table M to produce a predictive parse for the input.  $\square$ 

```
set ip to point to the first symbol of w;

set X to the top stack symbol;

while (X \neq \$) { /* stack is not empty */

if (X \text{ is } a) pop the stack and advance ip;

else if (X \text{ is a terminal }) error();

else if (M[X,a] \text{ is an error entry }) error();

else if (M[X,a] = X \rightarrow Y_1Y_2 \cdots Y_k) {

output the production X \rightarrow Y_1Y_2 \cdots Y_k;

pop the stack;

push Y_k, Y_{k-1}, \dots, Y_1 onto the stack, with Y_1 on top;

}

set X to the top stack symbol;
```

Figure 4.20: Predictive parsing algorithm

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# LL(1) Parser - Example1

 $S \rightarrow aBa$ 

LL (1) Parsing Table

 $B \rightarrow bB \mid \sigma$ 

FIRST FUNCTION

$$FIRST(S) = \{a\}$$
  $FIRST(aBa) = \{a\}$ 

FIRST (B) = 
$$\{b\}$$
 FIRST (bB) =  $\{b\}$  FIRST ( $\sigma$  ) =  $\{\sigma\}$ 

FOLLOW FUNCTION

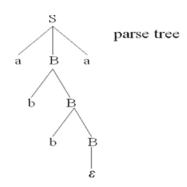
 $FOLLOW(S) = \{\$\}$   $FOLLOW(B) = \{a\}$ 

	a	b	\$
S	S → aBa		
В	$B \rightarrow \sigma$	B → bB	

stack input output

)

<u> </u>			
\$S	abba\$	S → aBa	
\$aBa \$aB	abba\$ bba\$	$B \rightarrow bB$	
\$aBb \$aB	bba\$ ba\$	D 1D	
\$aBb \$aB	ba\$ a\$	$B \rightarrow bB$	
		$B \rightarrow \sigma$	
\$a \$	a\$ \$	accept, successful completion	
Outputs: S	→ aBa	$B \rightarrow bB  B \rightarrow bB  B \rightarrow \sigma$	



Derivation(left-most): S→aBa→abBa→abbBa→abba

# Example2

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \sigma$$

$$T \rightarrow FT'$$

$$T^{'} \rightarrow \, *FT^{'} \, | \, \sigma$$

$$F \rightarrow (E)$$
 | id

Soln:

# FIRST Example

) 
$$E^{'} \rightarrow +TE^{'} \mid \sigma$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \sigma$$

$$F \rightarrow (E) \mid id$$

$$FIRST(F) = \{(,id\} \qquad \qquad FIRST(TE') = \{(,id\}$$

$$FIRST(T') = \{*, \sigma\} \qquad \qquad FIRST(+TE') = \{+\}$$

$$FIRST(T) = \{(id)\}$$
  $FIRST(\sigma) = \{\sigma\}$ 

$$FIRST(E') = \{+, \sigma\}$$
  $FIRST(FT') = \{(,id\}$ 

$$FIRST(E) = \{(,id\} \qquad \qquad FIRST(*FT') = \{*\}$$

$$FIRST(\sigma) = {\sigma}$$

# FOLLOW Example

$$FIRST((E)) = \{(\}$$

$$FIRST(id) = \{id\}$$

 $E \rightarrow TE'$ 

$$E^{'} \rightarrow +TE^{'} \mid \sigma$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \sigma$$

$$F \rightarrow (E) \mid id FOLLOW (E) = \{\$,\}$$

FOLLOW 
$$(E') = \{\$,\}$$

FOLLOW 
$$(T) = \{+, \}$$

FOLLOW 
$$(T') = \{+, \}$$

FOLLOW (F) = 
$$\{+, *, \}$$

# Constructing LL (1) Parsing Table – Algorithm

- for each production rule  $A \rightarrow \alpha$  of a grammar G
  - for each terminal a in FIRST( $\alpha$ )
- $\rightarrow$  add A  $\rightarrow$   $\alpha$  to M[A, a]

- If  $\sigma$  in FIRST( $\alpha$ )
- → for each terminal a in FOLLOW(A) add A
- $\rightarrow \alpha$  to M[A, a]
- If  $\sigma$  in FIRST( $\alpha$ ) and  $\beta$  in FOLLOW(A)  $\rightarrow$  add A  $\rightarrow \alpha$  to M[A,  $\beta$ ]
- All other undefined entries of the parsing table are error entries.

# Constructing LL (1) Parsing Table - Example

$$E \rightarrow TE' E' \rightarrow +TE'$$

$$\rightarrow$$
 E  $\rightarrow$  TE' into M [E, (] and

M[E, id]

$$\rightarrow$$
 E'  $\rightarrow$  +TE' into M [E', +]

$$E' \rightarrow \sigma$$

FIRST 
$$(\sigma) = {\sigma}$$

but since  $\sigma$  in FIRST( $\sigma$ ) and ) FOLLOW(E')={\$,)}  $\rightarrow$  E'  $\rightarrow$   $\sigma$  into M[E',\$] and M[E',)]  $FIRST (FT') = \{(, id)\}$  $T \rightarrow FT' T' \rightarrow *FT'$  $\rightarrow$  T  $\rightarrow$  FT' into M[T,(] and  $FIRST(*FT') = {*}$ M[T, id]  $\rightarrow$  T'  $\rightarrow$  \*FT' into M [T',\*]  $T^{'} \rightarrow \sigma$ FIRST  $(\sigma) = {\sigma}$ → none but since  $\sigma$  in FIRST(σ) and  $FOLLOW(T') = \{\$, \}, +\}$  $\rightarrow$  T'  $\rightarrow$   $\sigma$  into M [T', \$], M [T', )] and M [T',+]  $FIRST((E)) = \{(\} FIRST(id) =$  $\rightarrow$  F  $\rightarrow$  (E) into M [F, (]

 $\rightarrow$  F  $\rightarrow$  id into M [F, id]

{id}

 $F \rightarrow (E)$ 

 $F \rightarrow id$ 

<u>)</u>

	id	+	*	(	)	\$
E	E →			E → TE		
	TE'					
E'		$E' \rightarrow +TE'$			E' → σ	E' → σ
T	T →			T → FT		
	FT'					
T'		$T^{'} \rightarrow \sigma$	T' → *FT'		$T^{'} \rightarrow \sigma$	$T' \rightarrow \sigma$
F	$F \rightarrow id$			F → (E)		

stack \$E	input id+id\$	$\frac{\textbf{output}}{E \to TE'}$
\$E'T	id+id\$	$T \rightarrow FT'$
\$E' T'F	id+id\$	$F \rightarrow id$
\$ E' T'id	id+id\$	
\$ E' T'	+id\$	$T^{'} \rightarrow \sigma$
\$ E'	+id\$	$E' \rightarrow +TE'$
\$ E' T+	+id\$	

\$ E' T \$ E' T'F

\$

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Construct the predictive parser LL (1) for the following grammar and parse the given string  $% \left( 1\right) =\left( 1\right) \left( 1\right) +\left( 1\right) +\left( 1\right) \left( 1\right) +\left( 1\right) +\left$ 

```
P 🛮 Ra | Qba
 S \square S(S)S \square with the string ( ( ) (
                                                        R 🛘 aba | caba |
   ))
                                                                                         strin
                                                        Rbc Q □ bbc |bc
 S \square + S S \mid |*SS| a with the
                                                                                        g "
                                                        cababca"
  string "+*aa a"
                                                        S 🗆 PQR
a | Rb |
 S \square aSbS \mid bSaS \mid \square with the string \square
                                                        Ø □ c | dP |□
    "aabbbab"
                                                        R \square e \mid f string "adeb"
 bexpr □ bexpr or bterm |
                                                    9. E □ E+ T |T
  bterm bterm Dbterm and
                                                        T [] id | id[] | id[X]
  bfactor | bfactor
                                                        X \square E, E \mid E
                                                                             string
        bfactor 

not bfactor
                                                        "id[id]"
        (bexpr) | true | false
                                                    10. S □ (A) |
        string " not(true or
                                                        0 A \square
false)" 5. S □ 0S1 | 01 string
                                                        SB
"00011"
                                                        B \square, SB \square string "(0, (0,0))"
6. S □ aB | aC | Sd
                                                    11. S □ a | □ |
    |Se B □ bBc | f
                                                        (T) T \square T,S
    C 🛮 g
                                                        | S String
                                                        (a,(a,a))
                                                        String ((a,a), \square, (a),a)
```

### LL (1) Grammars

- A grammar whose parsing table has no multiply-defined entries is said to be LL
  - (1) grammar. one input symbol used as a look-head symbol do determine parser action LL (1) left most derivation input scanned from left to right
- The parsing table of a grammar may contain more than one production rule. In this case, we say that it is not a LL (1) grammar.

#### A Grammar which is not LL (1)

```
S \rightarrow i C t S E \mid a
E \rightarrow e S \mid \sigma
C \rightarrow b
FIRST(iCtSE) = \{i\} FIRST(a) = \{a\}
FIRST(eS) = \{e\}
FIRST(\sigma) = \{\sigma\}
FIRST(b) = \{b\}
```

	a	b	e	i	t	\$
S	S → a			S → iCtSE		
E			E → e S			E → σ
			$E \rightarrow \sigma$			
С		C → b	<i>†</i>			

two production rules for M[E, e]

## Problem → ambiguity

- What do we have to do it if the resulting parsing table contains multiply defined entries?
  - If we didn't eliminate left recursion, eliminate the left recursion in the grammar.
  - If the grammar is not left factored, we have to left factor the grammar.
  - If it's (new grammar's) parsing table still contains multiply defined entries, that grammar is ambiguous or it is inherently not a LL(1) grammar.
- A left recursive grammar cannot be a LL (1) grammar.

$$-\,A\,\rightarrow\,A\alpha\,|\,J$$

- ⇒ any terminal that appears in FIRST( $\int$ ) also appears FIRST( $A\alpha$ ) because  $A\alpha \rightarrow \int \alpha$ .
- $\rightarrow$  If  $\int$  is  $\sigma$ , any terminal that appears in FIRST( $\alpha$ ) also appears in FIRST( $\Delta\alpha$ ) and FOLLOW( $\Delta$ ).
- A grammar is not left factored, it cannot be a LL(1) grammar

$$-\,A\,\rightarrow\,\alpha J_{\,1}\,|\,\alpha J_{\,2}$$

- ⇒ any terminal that appears in FIRST( $\alpha = 1$ ) also appears in FIRST( $\alpha = 1$ ).
- An ambiguous grammar cannot be a LL (1) grammar.

#### **Error Recovery in Predictive Parsing**

- An error may occur in the predictive parsing (LL(1) parsing)
  - if the terminal symbol on the top of stack does not match with the current input symbol.
  - f the top of stack is a non-terminal A, the current input symbol is a,
     and the parsing table entry M[A, a] is empty.
- What should the parser do in an error case?
  - The parser should be able to give an error message (as much as possible meaningful error message).
  - It should be recovered from that error case, and it should be able to continue the parsing with the rest of the input.

#### **Error Recovery Techniques**

- Panic-Mode Error Recovery
- Skipping the input symbols until a synchronizing token is found.
  - Phrase-Level Error Recovery
    - Each empty entry in the parsing table is filled with a pointer to a specific error routine to take care that error case.
  - Error-Productions
    - If we have a good idea of the common errors that might be encountered, we can augment the grammar with productions that generate erroneous constructs.
    - When an error production is used by the parser, we can generate appropriate error diagnostics.
    - Since it is almost impossible to know all the errors that can be made by the programmers, this method is not practical.
  - Global-Correction
    - Ideally, we would like a compiler to make as few changes as possible in processing incorrect inputs.
    - We have to globally analyze the input to find the error.
    - This is an expensive method, and it is not in practice.

#### Panic-Mode Error Recovery in LL (1) Parsing

• In panic-mode error recovery, we skip all the input symbols until a synchronizing token is found.

## • What is the

# synchronizing token?

 All the terminal-symbols in the follow set of a non-terminal can be used as a synchronizing token set for that non-terminal.

- So, a simple panic-mode error recovery for the LL(1) parsing:
  - All the empty entries are marked as *synch* to indicate that the parser will skip all the input symbols until a symbol in the follow set of the non-terminal A which on the top of the stack. Then the parser will pop that non-terminal A from the stack. The parsing continues from that state.
  - To handle unmatched terminal symbols, the parser pops that unmatched terminal symbol from the stack and it issues an error message saying that that unmatched terminal is inserted.

#### Panic-Mode Error Recovery – Example

$$S \rightarrow AbS \mid e \mid \sigma$$
 $A \rightarrow a \mid cAd$ 
Soln:
FIRST (S) = FIRST (A) = {a, c}
FIRST (A) = {a, c}
FOLLOW (S) = {\$}
FOLLOW (A) = {b, d}

	a	b	c	d	e	\$
S	$S \rightarrow AbS$	sync	$S \rightarrow AbS$	sync	S → e	$\mathbf{S} \rightarrow$
	1100		1100			σ
A	$\mathbf{A} \rightarrow \mathbf{a}$	sync	A → cAd	sync	sync	sync

Eg: input string "aab"

```
stack input output
$S
        aab$
                S \rightarrow AbS
$SbA aab$
                A \rightarrow a
$Sba aab$
$Sb
        ab$
                Error: missing b, inserted
$S
        ab$
                S \rightarrow AbS
$SbA ab$
                A \rightarrow a
$Sba ab$
```

\$Sb b\$

S  $S \rightarrow \sigma$ 

\$ accept

Eg: Another inpu	it string "cead	db"		
<u>stack</u>		<u>input</u>		<u>output</u>
\$S		ceadb\$		$S \rightarrow AbS$
\$SbA \$SbdAc			ceadb\$ A	· → cAd
ψ20 M 10			Ce	eadb\$
	\$SbdA	eadb\$		Error:unexpected e (illegal A)
(Remove all inpu	ıt tokens until	l first b or d, pop A)		
\$Sbd			d	
\$Sb			b	
\$S			\$	
ΨΒ			b	
			\$	
			\$	$S \rightarrow \sigma$

## \$ **Phrase-Level Error Recovery**

\$

- Each empty entry in the parsing table is filled with a pointer to a special error routine which will take care that error case.
- These error routines may:
  - Change, insert, or delete input symbols.
  - issue appropriate error messages
  - Pop items from the stack.

accept

We should be careful when we design these error routines, because we may put the parser into an infinite loop.

#### **Bottom-Up Parsing**

- A **bottom-up parser** creates the parse tree of the given input starting from leaves towards the root.
- A bottom-up parser tries to find the right-most derivation of the given input in the reverse order.
  - $S \rightarrow ... \rightarrow \xi$  (the right-most derivation of  $\xi$ )
- → (the bottom-up parser finds the right-most derivation in the reverse order)
  - Bottom-up parsing is also known as **shift-reduce parsing** because its two main actions are shift and reduce.
    - At each shift action, the current symbol in the input string is pushed to a stack.
    - At each reduction step, the symbols at the top of the stack (this symbol

sequence is the right side of a production) will replaced by the

non-terminal at the left side of that production.

- There are also two more actions: accept and error.

#### **Shift-Reduce Parsing**

• A shift-reduce parser tries to reduce the given input string into the starting symbol.

a string



t h e S t a r t i n g  $\mathbf{S}$ y m b o r e d c d t

- At each reduction step, a substring of the input matching to the right side of a production rule is replaced by the non-terminal at the left side of that production rule.
- If the substring is chosen correctly, the right most derivation of that string is created in the reverse order.

$$T' \rightarrow \sigma$$

$$E' \rightarrow \sigma$$

id\$

\$ E' T'id

$$S\,\rightarrow\,\xi$$

Shift-Reduce Parser finds:

$$\xi \rightarrow ... \stackrel{\Pi \Pi}{\rightarrow} S$$

#### **Example**

$$S \rightarrow aABb$$
 inp

input string: aaabb

aaAbb

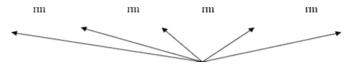
$$A \rightarrow aA \mid a$$

$$B \rightarrow bB \mid b$$

aAbb aABb S

Y reduction  $\rightarrow$  aAbb  $\rightarrow$  aaAbb  $\rightarrow$  aaabb

$$S \rightarrow aABb$$



**Right Sentential Forms** 

• How do we know which substring to be replaced at each reduction step?

#### Handle

- Informally, a **handle** of a string is a substring that matches the right side of a production rule.
  - But not every substring matches the right side of a production rule is

h a n

• A handle of a right sentential form

$$\psi (\div \alpha \int \xi)$$
 is

d

1

e

a production rule A  $\rightarrow$  J and a position of  $\psi$ 

where the string I may be found and replaced by A to produce

the previous right-sentential form in

$$S \to \alpha A \xi \to \alpha J \xi$$

a rightmost derivation of  $\psi$ .

- If the grammar is unambiguous, then every right-sentential form of the grammar has exactly one handle.
- We will see that  $\xi$  is a string of terminals.

#### **Handle Pruning**

· A right-most derivation in reverse can be obtained by handle-pruning.

$$S = \gamma_0 \Longrightarrow \gamma_1 \Longrightarrow \gamma_2 \Longrightarrow ... \Longrightarrow \gamma_{n-1} \Longrightarrow \gamma_n = \omega$$

input string

 Start from γ<sub>n</sub>, find a handle A<sub>n</sub>→β<sub>n</sub> in γ<sub>n</sub>, replace β<sub>n</sub> in by A<sub>n</sub> to get γ<sub>n-1</sub>. and

 Then find a handle A<sub>n-1</sub>→β<sub>n-1</sub> in γ<sub>n-1</sub>, replace β<sub>n-1</sub> in by A<sub>n-1</sub> to get γ<sub>n-2</sub>.

and

- Repeat this, until we reach S.
  - Handle pruning help in finding handle which will be reduced to a terminal, that is the process of shift reduce parsing.

#### A Shift-Reduce Parser

$E \rightarrow E+T \mid T$	Right-Most Derivation of id+id*id
$T \rightarrow T^*F \mid F$	$E \Rightarrow E+T \Rightarrow E+T*F \Rightarrow E+T*id \Rightarrow E+F*id$
$F \rightarrow (E) \mid id$	$\Rightarrow$ E+id*id $\Rightarrow$ T+id*id $\Rightarrow$ F+id*id $\Rightarrow$ id+id*id

Right-Most Sentential Form	<b>Reducing Production</b>
<u>id</u> +id*id	$F \rightarrow id$
<u>F</u> +id*id	$T \rightarrow F$
<u>T</u> +id*id	$E \rightarrow T$
E+ <u>id</u> *id	$F \rightarrow id$
E+F*id	$T \rightarrow F$
E+T*id	$F \rightarrow id$
E+T*F	$T \rightarrow T^*F$
E+T	$E \rightarrow E+T$
E	

Handles are red and underlined in the right-sentential forms.

non

#### A Stack Implementation of A Shift-Reduce Parser

- There are four possible actions of a shift-parser action:
  - 1. **Shift**: The next input symbol is shifted onto the top of the stack.
  - 2. **Reduce**: Replace the handle on the top of the stack by the non-terminal.
  - 3. Accept: Successful completion of parsing.
  - 4. Error: Parser discovers a syntax error, and calls an error recovery routine.
- Initial stack just contains only the end-marker \$.
- The end of the input string is marked by the end-marker \$.

# Consider the following grams reduce parser.

and parse the respective strings using shift-

(1) 
$$E \rightarrow E+T \mid T T \rightarrow T*F \mid F$$

$$F \rightarrow (E) \mid id$$
 string is "id + id \*

id" Here we follow 2 rules

- 1. If the incoming operator has more priority than in stack operator then perform shift.
- 2. If in stack operator has same or less priority than the priority of incoming operator then perform reduce.

## Implementation of A A Stack

# **Shift-Reduce Parser**

Stack \$ \$id \$F \$T \$E \$E+id \$E+F \$E+T \$E+T* \$E+T*	Input id+id*id\$  +id*id\$  id*id\$  *id\$  *id\$  *id\$  *id\$  *id\$	Action  shift  +id*id\$  +id*id\$  +id*id\$  Feduce by $T \rightarrow F$ +id*id\$ $T = T = T = T = T = T = T = T = T = T =$
\$E+ <b>T*</b> F	\$ reduce by $T \rightarrow T^*F$	
\$ <b>E</b> + <b>T</b>	\$ reduce by $E \rightarrow E+T$	

-IV

\$E \$ accept

-IV

$$T \rightarrow int \mid float$$

$$L \rightarrow L$$
, id | id

String is "int id, id;" do shift-reduce parser.

String "(a,(a,a))" do shift-reduce parser.

# Shift reduce parser problem

- Take the grammar:

And the input: "the dog jumps". Then the bottom up parsing is:

Stack \$ \$the \$Art \$Art dog \$Art Noun \$NounPhrase \$NounPhrase jumps	<pre>Input Sequence the dog jumps\$ dog jumps\$ dog jumps\$ jumps\$ jumps\$ \$ \$ \$ \$</pre>	ACTION SHI FT word onto stack RED UCE usin
\$Art Noun \$NounPhrase \$NounPhrase jumps	\$	stack RED UCE
\$NounPhrase Verb \$NounPhrase VerbPhrase \$Sentence		g gram mar rule

-IV

SHI

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 $\mathbf{C}$ 

E R

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E

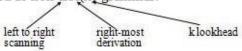
S

U

C C E S S

# **Conflicts During Shift-Reduce Parsing**

- There are context-free grammars for which shift-reduce parsers cannot be used.
- · Stack contents and the next input symbol may not decide action:
  - shift/reduce conflict: Whether make a shift operation or a reduction.
  - reduce/reduce conflict: The parser cannot decide which of several reductions to make.
- If a shift-reduce parser cannot be used for a grammar, that grammar is called as non-LR(k) grammar.



An ambiguous grammar can never be a LR grammar.