# **oPDF Tutorial**

Release 1.0

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oPDF is a code for modelling the phase space distribution of steady-state tracers in spherical potentials. For more information, check the website.

Please consult the science paper on how it works.

You can use this tutorial interactively in ipython notebook by running

```
ipython notebook --pylab=inline
```

from the root directory of the oPDF code. This will open your browser, and you can click tutorial.ipynb in the opened webpage. If that does not work, then simply continue reading this document as a webpage. For the full API documentation, check here.

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**CHAPTER** 

ONE

#### **GETTING STARTED**

## 1.1 prerequisites

The oPDF code depends on the following libraries:

- · C libraries
  - GSL
  - HDF5
- · Python libraries
  - numpy, scipy, matplotlib
  - iminuit (optional, only needed if you want to do NFW-likelihood fit to the density profile of dark matter. If you don't have it, you need to comment out the iminuit related imports in the header of oPDF.py.)

You can customize the makefile to specify how to compile and link against the GSL and HDF5 libraries, by specifying the GSLINC, GSLLIB, HDFINC, HDFLIB flags.

## 1.2 Build the library

under the root directory of the code, run

make

This will generate the library liboPDF.so, the backend of the python module. Now you are all set up for the analysis. Open your python shell in the code directory, and get ready for the modelling. If you want to get rid of all the  $\star$ .o files, you can clean them by

make clean

#### 1.3 Set PYTHONPATH

From now on, you should either work under the current directory, or have added the OPDF path to your PYTHONPATH before using OPDF in python. To add the path, do

export PYTHONPATH=\$PYTHONPATH:\$OPDF\_DIR

in bash, or the following in csh:

```
setenv PYTHONPATH ${PYTHONPATH}:$OPDF_DIR
```

. Replace SOPDF DIR with the actual root directory of the oPDF code above.

### 1.4 Prepare the data files

The data files are hdf5 files listing the *physical* positions and velocities of tracer particles, relative to the position and velocity of the center of the halo. The code comes with a sample file under data/:

• mockhalo.hdf5, a mock stellar halo. The potential is NFW with  $M=133.96\times 10^{10}M_{\odot}/h$ , c=16.16, following the  $\rho_{\rm vir}=200\rho_{\rm crit}$  definition.

Compulsory datasets in a data file:

- x, shape=[nx3], datatype=float32. The position of each particle.
- v, shape=[nx3], datatyep=float32. The velocity of each particle.

#### Optional datasets:

- PartMass, [nx1] or 1, float 32. This is the mass of particles. Assuming 1 if not specified.
- SubID, [nx1], int32. This is the subhalo id of each particle, for examination of the effects of subhaloes during the analysis.
- HaloID, [nx1], int32. This is the host halo id of each particles.

The default system of units are  $10^{10} M_{\odot}/h$ ,  $\rm kpc/h$ ,  $\rm km/s$  for Mass, Length and Velocity. If the units in the data differ from this system, you can choose to either update the data so that they follows the default systems, or change the system of units of oPDF code at run time. See the units section of this tutorial.

*Note*: to construct a tracer sample for a halo, do not use FoF particles alone. Instead, make a spherical selection by including all the particles inside a given radius. These will include FoF particles, background particles, and particles from other FoFs. FoF selection should be avoided because it is an arbitrary linking of particles according to their separations, but not dynamics.

**CHAPTER** 

**TWO** 

#### A SIMPLE EXAMPLE: FIT THE MOCK HALO WITH RBINLIKE

#### 2.1 Load the data

Let's import the module first

```
from oPDF import *
```

Now the oPDF dir should have been automatically set to the directory of the oPDF code. Let's load the sample data

```
datafile=oPDFdir+"/data/mockhalo.hdf5"
FullSample=Tracer(datafile)
Sample=FullSample.copy(0,1000)
```

This will load the data into FullSample, and make a subsample of 1000 particles from the FullSample (starting from particle 0 in FullSample). You may want to do your analysis with the full sample. We extract the subsample just for illustration purpose, to speed up the calculation in this tutorial.

## 2.2 Perform the fitting.

Now let's fit the data with the radial binned likelihood estimator with 10 logarithmic radial bins.

```
Estimators.RBinLike.nbin=10
x,fval,status=Sample.dyn_fit(Estimators.RBinLike)
print x,fval,status
```

In one or two minutes, you will get the results above, where

- x is the best-fitting parameters
- fval is the maximum log-likelihood value
- status =1 means fitting is successful, =0 means fit failed.

That's it! You have got the best-fitting  $M=100.44\times 10^{10}M_{\odot}/h$  and c=26.63. ### Estimate significances How does that compare to the real parameters of  $M=133.96\times 10^{10}M_{\odot}/h$ , c=16.16? Not too bad, but let's check the likelihood ratio of the two models

```
x0=[133.96,16.16]
f0=Sample.likelihood(x0, Estimators.RBinLike)
likerat=2*(fval-f0)
print likerat
```

```
2.78983807112
```

So we got a likelihood ratio of 2.79. How significant is that? According to Wilks's theorem, if the data follows the null model (with the real parameters), then the likelihood ratio between the best-fit and the null would follow a  $\chi^2$  distribution. Since we have two free parameters, we should compare our likelihood ratio to a  $\chi^2(dof = 2)$  distribution. We can obtain the pval from the survival function of a  $\chi^2$  distribution, and convert that to a Guassian significance level. This is automatically done by the Chi2Sig() utility function

```
from myutils import Chi2Sig
significance=Chi2Sig(likerat, dof=2)
print significance
```

```
1.15557973053
```

So the best-fitting differs from the real parameters by  $\sim 1\sigma$ . It seems we are not very lucky and the fit is only marginally consistent with the real parameters, but still acceptable.

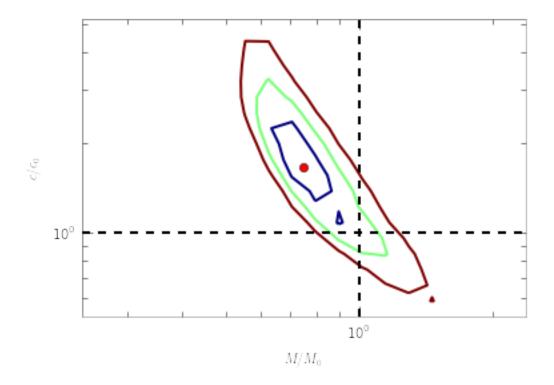
#### 2.3 Confidence Contour

Following the same philosophy for the significance levels, we can start to define confidence contours formed by points that differ from the best-fitting parameters by a given significance level. This is done by scanning a likelihood surface and then converting it to a significance surface. For example, below we scan  $20 \times 20$  grids around the best-fitting parameters x, inside a box spanning from  $\log 10$  (x) - dx to  $\log 10$  (x) + dx in each dimension. For the confidence levels of RBinLike, we can provide the maximum likelihood value that we obtained above, to save the function from searching for maxlike itself. Be prepared that the scan can be slow.

```
m,c,sig,like=Sample.scan_confidence(Estimators.RBinLike, x, ngrids=[20,20], dx=[0.5,0.5], logscale=T
```

The returned m, c are the grids (1-d vectors) of the scan, and sig, like are the significance levels and likelihood values on the grids (2-d array). Now let's plot them in units of the real parameter values:

```
<matplotlib.text.Text at 0x39b2a90>
```



## 2.4 Phase Images

How does the data look in  $(\theta, E, L)$  space? We can create images showing the distribution of particles in these coordinates. These images give a direct visualization of how uniformly the tracer are distributed along  $\theta$ -direction, on different (E, L) orbits. They are quite useful for spotting deviations from steady-stateness in particular regions in phase space, for example, to examine local deviations caused by subhaloes.

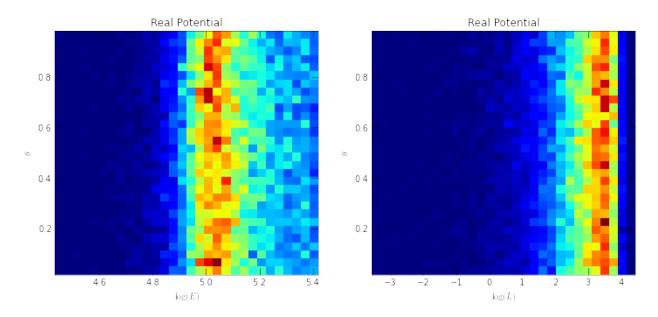
To avoid having too few particles in each pixel we will start by drawing a larger sample as NewSample, and then plot the images adopting the real potential with parameters x0.

```
NewSample=FullSample.copy(0,20000)
```

```
plt.figure(figsize=(12,5))
plt.subplot(1,2,1)
NewSample.phase_image(x0, proxy='E')
plt.title('Real Potential')
plt.subplot(1,2,2)
NewSample.phase_image(x0, proxy='L')
plt.title('Real Potential')
```

```
<matplotlib.text.Text at 0x41269d0>
```

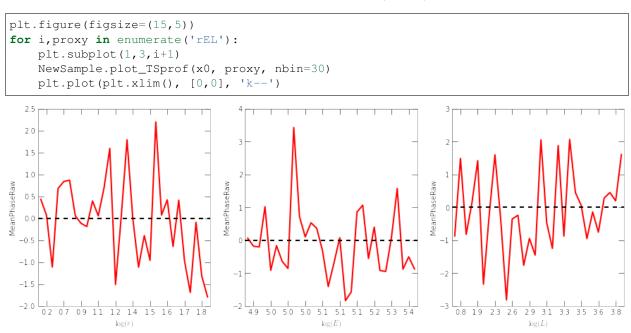
2.4. Phase Images 7



We can see that the particle distribution is indeed uniform (roughly given the current resolution) along the  $\theta$ -direction, irrespective of the energy and angular momentum.

## 2.5 TS profiles

If you want a more quantitative view of how much deviation there is at each E, L or even r, you can plot the mean phase deviation or AD distance (Test Statistics, or TS) inside different (E, L, r) bins.



See, the mean phase deviations are within 3:math:*sigma* almost everywhere. Note that the raw mean phase is a standard normal variable if the tracer is in steady-state under the potential.

# RECONSTRUCTING THE MASS PROFILE WITH PHASE TICKER METHOD

The phase ticker method can reconstruct the mass profile non-parametrically. The reconstructed profile is typically noisier than that from parametric fitting, but it's non-parametric. Now we demonstrate how this can be done. First let's create some radial bins. A natural choice is to create bins with equal sample size,

```
xbin=Sample.gen_bin('r', nbin=5, equalcount=True)
```

This generates a sequence containing the edges of 3 (nbin=3) radial bins. The "phase tickers", i.e., the characteristic mass point in each bin can be found with the phase\_mass\_bin function:

```
tickers=np.array([Sample.phase_mass_bin(xbin[[i,i+1]]) for i in xrange(len(xbin)-1)])
print tickers
```

```
[[ 2.57936422
         1.00682107
                 0.49061393
                         1.36644585
                                  1.
                                          1.
       - 1
1.
                                          1.
  1.
[ 20.34511351 11.3022633
                  2.16253918 32.20773525
                                  1.
                                          1.
  1.
       1
1.
  1.
       ]
[ 64.34329628  68.22149098  60.10142383  77.56745713
                                  1.
                                          1.
    11
```

The tickers is now a [nbin, 6] array, where each row contains one characteristic mass point. The columns are [r,m,ml,mu,flag,flagl, flagu], where r and m give the characteristic radius and characteristic halo mass; ml and mu give the lower and upper bound on m; flag, flagl, 'flagu' are convergence flags indicating whether the method has converged when solving for m, 'ml' and mu respectively (0:no; 1:yes; 2: no solution to the phase equation, but closest point found). The points we can trust must at least have flag=1. If one wants robust error estimates, then flagl=1 and flagu=1 is also required. All our data points have flag==1, meaning the fits are all successful, so we do not need to worry about this below.

We can also fit a functional form to the phase tickers. We use the <code>curve\_fit</code> function from the <code>scipy.optimize</code> package to do the fit (need <code>scipy version > 0.15.1</code> in order to use <code>absolute\_sigma=1</code> in <code>curve\_fit</code>). We will use the average of the upper and lower errors, but this is not essential.

```
halofit=Halo(HaloTypes.NFWMC)
def halomass(r, m,c):
  halofit.set_param([m,c])
  return halofit.mass(r)
```

```
from scipy.optimize import curve_fit
err1=tickers[:,3]-tickers[:,1]
err2=tickers[:,1]-tickers[:,2]
err=(err1+err2)/2
par,Cov=curve_fit(halomass, tickers[:,0], tickers[:,1], sigma=err, p0=[100, 10], absolute_sigma=1)
print 'Fitted parameters:', par
print 'Parameter Errors:', np.sqrt(np.diagonal(Cov))
```

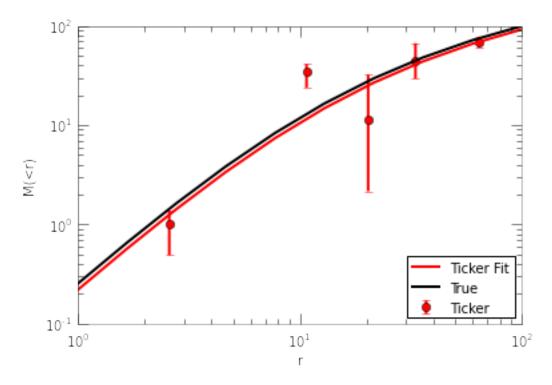
The fitted parameters make sense. Now let's plot the tickers, the fit to tickers, and compare to the true mass profile:

```
fig=plt.figure()
plt.errorbar(tickers[:,0], tickers[:,1], yerr=[err2, err1], fmt='ro', label='Ticker')
r=np.logspace(0,2,10)
plt.plot(r, halomass(r, par[0], par[1]), 'r-', label='Ticker Fit')

#true mass profile:
halo=Halo()
halo.set_param(x0) #x0 are the true parameters
plt.plot(r, halo.mass(r), 'k-', label='True')

plt.legend(loc=4)
plt.xscale('log')
plt.yscale('log')
plt.yscale('log')
plt.xlabel('r')
plt.ylabel('M(<r)')</pre>
```

```
<matplotlib.text.Text at 0x4673f90>
```



If one is more interested in fitting parametric functions to the reconstructed profiles, then we recommend to use only 2 radial bins. Adopting more radial bins lead to finer reconstruction of the profile, but also leaks some information so the final fit to the tickers can be less accurate. We provide a compact function to combine the steps for fitting phase tickers into a single step. For example, to fit with two bins, do

```
par2,Cov2,tickers2=Sample.phase_ticker_fit(par0=[100,10], nbin=2)
print 'ticker flags:\n', tickers2[:,4:]
print 'Fitted parameters:', par2
print 'Parameter Errors:', np.sqrt(np.diagonal(Cov2))
```

As you can see, now the parameter errors are smaller. The functional form of the profile used in the fitting is controlled by the current Sample. halo. To use a disired profile, set the halo type **before** fitting. For example,

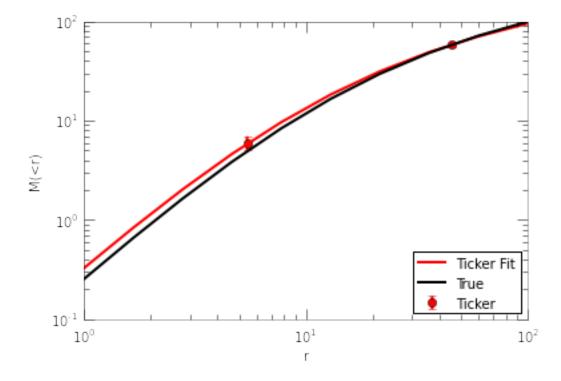
```
Sample.halo.set_type(HaloTypes.NFWMC, scales=[1,1])
```

#### To plot the fit,

```
fig=plt.figure()
plt.errorbar(tickers2[:,0], tickers2[:,1], yerr=[tickers2[:,1]-tickers2[:,2], tickers2[:,3]-tickers2
Sample.halo.set_param(par2)
plt.plot(r, Sample.halo.mass(r), 'r-', label='Ticker Fit')
plt.plot(r, halo.mass(r), 'k-', label='True')
plt.legend(loc=4)
```

```
plt.xscale('log')
plt.yscale('log')
plt.xlabel('r')
plt.ylabel('M(<r)')</pre>
```

```
<matplotlib.text.Text at 0x466acd0>
```



**CHAPTER** 

**FOUR** 

#### **CUSTOMIZING THE ANALYSIS**

#### 4.1 Estimators

There are several predefined estimators to choose from when you need an estimator as a parameter. These are listed as members of Estimators. In most cases, you can freely choose from the following when an estimator is required.

- · Estimators.RBinLike
- Estimators.AD
- · Estimators.MeanPhase
- Estimators.MeanPhaseRaw (same as MeanPhase but returns the un-squared mean phase deviation, so it is a standard normal variable instead of a chi-square for MeanPhase).

For RBinLike, you can also customize the number of radial bins and whether to bin in linear or log scales. For example, the following will change the RBinLike to use 20 linear bins.

```
Estimators.RBinLike.nbin=20
Estimators.RBinLike.logscale=False
```

You can then pass this customized Estimators.RBinLike to your likelihood functions. Since the purpose of the binning is purely to suppress shot noise, a larger number of bins is generally better, as long as it is not too noisy. On the other hand, when the likelihood contours appear too irregular, one should try reducing the number of radial bins to ensure the irregularities are not caused by shot noise. In our analysis, we have adopted 30 logarithmic bins for an ideal sample of 1000 particles, and 50 bins for  $10^6$  particles in a realistic halo, although a bin number as low as 5 could still work.

#### 4.2 units

The system of units is specified in three fundamental units:  $Mass[M_{\odot}/h]$ , Length[kpc/h], Velocity[km/s]. You can query the current units with

```
Globals.get_units()
```

```
Mass : 1000000000.0 Msun/h
Length: 1.0 kpc/h
Vel : 1.0 km/s
```

```
(1000000000.0, 1.0, 1.0)
```

The default units are  $[10^{10} M_{\odot}/h, \, \text{kpc/}h, \, \text{km/s}]$ . The oPDF code does not need to know the value of the hubble constant h, as long as the units are correctly specified. It is the user's responsibility to make sure that his/her units are consistent with his assumed hubble parameter.

If you want to change the system of units, you must do it immediately after importing the OPDF module, to avoid inconsistency with units of previously loaded tracers. For example, if your data is provided in units of (1e10Msun, kpc, km/s), and you adopt h=0.73 in your model, then you can set the units like below

```
from oPDF import *
h=0.73
Globals.set_units(1e10*h,h,1)
```

That is, to set them to  $(10^{10}h \text{ Msun/}h, h\text{kpc/}h, \text{km/s}).$ 

The user should only use Globals.set\_units() to change the units, which automatically updates several interal constants related to units. Never try to change the internal unit variables (e.g., Globals.units.MassInMsunh) manually.

## 4.3 cosmology

The cosmology parameters  $(\Omega_{M0}, \Omega_{\Lambda0})$  can be accessed through

```
print Globals.cosmology.OmegaMO, Globals.cosmology.OmegaLO
```

```
0.3 0.7
```

To change the cosmology to (0.25, 0.75), simply do

```
Globals.cosmology.OmegaM0=0.25
Globals.cosmology.OmegaL0=0.75
```

Again this is advised to be done in the beginning, to avoid inconsistency in the calculations.

## 4.4 parametrization of the potential

The default parameterization of the potential is a NFW potential with mass and concentration parameters. You can change the parametrization of the halo associated with your tracer. For example, if you want to fit for  $(\rho_s, r_s)$  instead of (M, c), then

```
Sample.halo.set_type(halotype=HaloTypes.NFWRhosRs)
```

Available types are listed as members of the HaloTypes objects, including:

- HaloTypes.NFWMC: NFW halo parametrized by (M, c)
- HaloTypes.NFWRhosRs: NFW,  $(\rho_s, r_s)$
- HaloTypes.NFWPotsRs: NFW,  $(\psi_s, r_s)$ , with  $\psi_s = 4\pi G \rho_s r_s^2$ .
- HaloTypes.CorePotsRs: Cored Generalized NFW Potential (inner density slope=0), parametrized by  $(\psi_s, r_s)$

- HaloTypes.CoreRhosRs: Cored GNFW,  $(\rho_s, r_s)$
- HaloTypes.TMPMC: Template profile, (M, c) parametrization
- HaloTypes.TMPPotScaleRScale: Template,  $\psi_s/\psi_{s0}, r_s/r_{s0}$

To use template profiles, you have to create them first, in the form of  $(r, \psi, \rho(< r))$  arrays and the real  $r_s$  parameter to be added to C/TemplateData.h. You need to recompile the C library once this is done. PotentialProf.py can help you in generating the templates from DM distributions.

If you use template profiles, you also need to specify the template id, to tell the code which template in TemplateData.h to use. For example,

```
Sample.halo.set_type(halotype=HaloTypes.TMPPotScaleRScale, TMPid=5)
```

You can also change the virial definition and redshift of the halo, for example:

```
Sample.halo.set_type(virtype=VirTypes.B200, redshift=0.1)
```

When fitting for the potential, it is always a good choice to adjust the scales of parameters so that the numerical values of the parameters are of order 1. oPDF allows you to change the scale of the parameters. The physical values of the parameters will be the raw parameters times the scale of parameters. By default, the scales are all set to unity. We can change them as

```
Sample.halo.set_type(scales=[100,10])
```

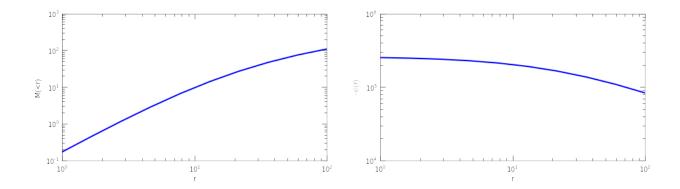
Now if we fit the Sample again with the RBinLike estimator, instead of  $x=[1.18.18 \ 19.82]$ , we will get  $x=[1.1818 \ 1.982]$  as the best fit, but the physical values are not changed.

#### 4.4.1 Halos

Each tracer is associated with a halo. You can also work with a seperate halo object. There are several methods associated with a halo object. You can set\_type(), set\_param(), get the mass and potential profiles

```
halo=Halo(halotype=HaloTypes.NFWMC)
halo.set_param([180,15])
r=np.logspace(0,2,10)
plt.figure(figsize=(16,4))
plt.subplot(121)
plt.loglog(r, halo.mass(r))
plt.xlabel('r')
plt.ylabel('M(<r)')
plt.ylabel('M(<r)')
plt.subplot(122)
plt.loglog(r, -halo.pot(r))
plt.xlabel('r')
plt.ylabel('r')
```

```
<matplotlib.text.Text at 0x4b3bdd0>
```



## 4.5 selecting and cutting

The following line applies a radial cut from 1 to 100 in system unit. Note it not only selects particles to have 1 < r < 100, but also sets the radial boundary for the dynamical model, so that only dynamical consistency inside the selected radial range is checked.

```
Sample.radial_cut(1,100)
```

This creates a subsample by selecting high angular momentum (L>1e4) particles:

```
SubSample=Sample.select(Sample.data['L']>1e4)
```

All the particle data can be accessed from the record array Sample.data. You can do similar selections (and many other operations) on any available fields of the data (except for radial selection). Have a look at the datatype or Particle\_t.\_fields\_ to see the available fields

```
print Sample.data.dtype.names
```

```
('haloid', 'subid', 'flag', 'w', 'r', 'K', 'L2', 'L', 'x', 'v', 'E', 'T', 'vr', 'theta', 'rlim')
```

#### Note:

- The dynamical method tests the radial distribution, so one should avoid distorting the radial distribution with any radial selection. One can still apply radial cuts, but should only do this with the Sample.radial\_cut(rmin, rmax) function.
- The w field is the particle mass in units of the average particle mass. The average particle mass is Sample.mP. These are all ones if no particle mass is given in the datafile.
- the haloid and subid fields are only filled if you have SubID and HaloID datasets in the datafile when loading.
- The E, theta and rlim fields are the energy, phase-angle, and radial limits (peri and apo-center distances) of the orbits. These depend on the potential, and are only filled when you have done some calculation in a halo or have filled them explicitly with the set\_phase() function, e.g.,

```
Sample.set_phase(x0)
print Sample.data['E'][10]
print Sample.data['theta'][35]
```

```
16450988.2168
0.809988602192
```

## 4.6 Extending the code

#### 4.6.1 To add new types of potential:

- in C/halo.h: add your HaloType identifier in HaloType\_t
- in C/halo.c:
  - write your halo initializer in halo\_set\_param().
  - write your potential function in halo\_pot()
  - optionally, write your cumulative mass profile in halo\_mass(), and add any initilization in halo\_set\_type() if needed.
- in oPDF.py:
  - add your newly defined halotype to the following line HaloTypes=NamedEnum (...

#### 4.6.2 To add new template profiles:

- Generate your template in the form of  $(r,\psi,\rho(< r))$  arrays, and append to PotentialTemplate in C/TemplateData.h.
- Append the scale radius of the new template to TemplateScale in C/TemplateData.h. This is only used if you want to use TMPMC parametrization. In this case the scale radius must be the radius with respect to which you define the concentration. That is, you must make sure when you input the real M,c parameters to the template, and I convert Rv from M and then compare to this scale radius, I get the real c that you input.
- $\rho(< r)$  and  $r_s$  are only needed if you want to use TMPMC parametrization. If you only want to use TMPPotScaleRscale parametrization, you can fill  $\rho(< r)$  and  $r_s$  with ones or any value.

#### 4.6.3 To add new estimators:

• check C/models.c.

You need to recompile the C library once this is done. PotentialProf.py can help you in generating the templates from DM distributions.

**CHAPTER** 

**FIVE** 

#### **ADDITIONAL FEATURES**

## 5.1 Parallel jobs

The C backend of oPDF is fully parallelized with OpenMP for parallel computation on shared memory machines. To control the number of threads used, for example to use 16 threads, set the environment variable

```
export OMP_NUM_THREADS=16
```

in bash or

```
setenv OMP_NUM_THREADS 16
```

in csh before running.

When submitting python scripts containing oPDF calculations to a batch system on a server, try to submit to a shared memory node and request more than one CPUs on the node to make use of the parallel power.

## 5.2 Memory management

Each loaded tracer is associated with a memory block in C. If you are certain you no longer need the tracer, you can clean it to free up memory. For example,

```
NewSample.clean()
```

will clear our previously created NewSample. If you know you only need the tracer for certain operations, you can automate the loading and cleaning process by using with statement:

```
with Tracer(datafile) as TempSample:
   NewSample=TempSample.copy(0,100)
```

This will load the datafile into TempSample, create NewSample from TempSample, and clear TempSample when exiting the with block.

## 5.3 Bootstrap sampling

To create bootstrap samples (sample with replacement), just sample with a different seed each time

```
BSSample=Sample.resample(seed=123)
```

#### 5.4 NFW-likelihood

To fit a spatial distribution of particles to an NFW profile (e.g., fitting the distribution of dark matter particles in a halo)

```
Sample.NFW_fit()
______
fval = 6431.376199877737 \mid nfcn = 85 \mid ncalls = 85
edm = 7.617534322259192e-07 (Goal: 5e-05) | up = 0.5
       Valid | Valid Param | Accurate Covar | Posdef | Made Posdef |
                                  True |
                     True |
                                               True |
   Hesse Fail |
                   Has Cov |
                              Above EDM |
                                                   | Reach calllim |
                                False |
       False |
                     True |
    | Name | Value | Para Err | Err- | Err+ | Limit- | Limit+
        m = 15.61 \mid 0.558 \mid
   0 1
                                    c = 22.71 \mid 2.325 \mid
                                   1 |
```

```
******************
```

In order for this to make sense, Sample should be loaded with dark matter particles of equal particle mass given in Sample.mP, and the number density profile times Sample.mP should give the physical density profile.

You also need the iminuit python package before you can use this function. If you don't have that, you need to comment out the iminuit related imports in the header of oPDF.py. Please consult the iminuit documentation for the iminuit outputs.

## 5.5 Numerical precision

The relative precision for integration of orbits is controlled by Globals.tol.rel, which defaults to 1e-3. You can adjust the numerical accuracy (either for speed or for accuracy concerns), by assigning to Globals.tol.rel. For example,

```
Globals.tol.rel=1e-2
```

Typically a value of 1e-2 should be sufficient for most applications such as likelihood inference or phase angle evaluation. Of course, the lower the precision, the faster the code will be.