

Let  $y = f(x)$  be any function of  $x$ . On its graph take two points  $P(a, f(a))$  and  $Q(a + h, f(a + h))$  close to each other. Draw  $PL$  and  $QM$  perpendiculars to  $x$ -axis and  $PN \perp QM$ .

Now,  $OL = a$ ,  $OM = a + h$   
and,  $PL = f(a)$ ,  $QM = f(a + h)$

Join  $PQ$ .

We know that

$$f'(a) = \lim_{h \rightarrow 0} \frac{f(a + h) - f(a)}{h}$$

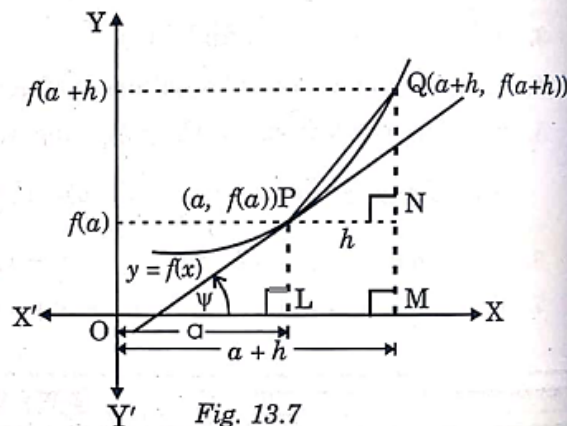
Clearly, from  $\Delta PQN$ , the ratio whose limit we are taking is equal to  $\tan(\angle QPN)$ , which in fact is the slope of the chord  $PQ$ . In the limiting process as  $h \rightarrow 0$ , the point  $Q$  tends to  $P$  along the curve.

$$\begin{aligned} \therefore \lim_{h \rightarrow 0} \frac{f(a + h) - f(a)}{h} &= \lim_{h \rightarrow 0} \frac{QM - PL}{OM - OL} \\ &= \lim_{h \rightarrow 0} \frac{QM - NM}{LM} = \lim_{h \rightarrow 0} \frac{QN}{PN} \end{aligned}$$

$\therefore$  The chord  $PQ$  takes the form of tangent at  $P$  of the curve  $y = f(x)$ . The angle  $QPN$  takes the position of angle  $\psi$ , which the tangent at  $P$  makes with the positive direction of  $x$ -axis.

Hence,  $f'(a) = \tan \psi$

Thus we can say that *the derivative at any point represents the slope of tangent to the curve at that point.*

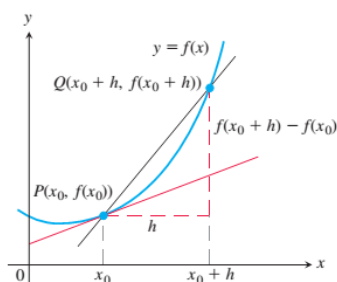


### 3.1 Tangent Lines and the Derivative at a Point

In this section we define the slope and tangent to a curve at a point, and the derivative of a function at a point. The derivative gives a way to find both the slope of a graph and the instantaneous rate of change of a function.

#### Finding a Tangent Line to the Graph of a Function

To find a tangent line to an arbitrary curve  $y = f(x)$  at a point  $P(x_0, f(x_0))$ , we use the procedure introduced in Section 2.1. We calculate the slope of the secant line through  $P$  and a nearby point  $Q(x_0 + h, f(x_0 + h))$ . We then investigate the limit of the slope as  $h \rightarrow 0$  (Figure 3.1). If the limit exists, we call it the slope of the curve at  $P$  and define the tangent line at  $P$  to be the line through  $P$  having this slope.



**FIGURE 3.1** The slope of the tangent line at  $P$  is  $\lim_{h \rightarrow 0} \frac{f(x_0 + h) - f(x_0)}{h}$ .

**DEFINITIONS** The slope of the curve  $y = f(x)$  at the point  $P(x_0, f(x_0))$  is the number

$$\lim_{h \rightarrow 0} \frac{f(x_0 + h) - f(x_0)}{h} \quad (\text{provided the limit exists}).$$

The **tangent line** to the curve at  $P$  is the line through  $P$  with this slope.

### EXAMPLE 1

- (a) Find the slope of the curve  $y = 1/x$  at any point  $x = a \neq 0$ . What is the slope at the point  $x = -1$ ?
- (b) Where does the slope equal  $-1/4$ ?
- (c) What happens to the tangent line to the curve at the point  $(a, 1/a)$  as  $a$  changes?

### Solution

- (a) Here  $f(x) = 1/x$ . The slope at  $(a, 1/a)$  is

$$\begin{aligned}\lim_{h \rightarrow 0} \frac{f(a+h) - f(a)}{h} &= \lim_{h \rightarrow 0} \frac{\frac{1}{a+h} - \frac{1}{a}}{h} = \lim_{h \rightarrow 0} \frac{1}{h} \frac{a - (a+h)}{a(a+h)} \\ &= \lim_{h \rightarrow 0} \frac{-h}{ha(a+h)} = \lim_{h \rightarrow 0} \frac{-1}{a(a+h)} = -\frac{1}{a^2}.\end{aligned}$$

Notice how we had to keep writing “ $\lim_{h \rightarrow 0}$ ” before each fraction until the stage at which we could evaluate the limit by substituting  $h = 0$ . The number  $a$  may be positive or negative, but not 0. When  $a = -1$ , the slope is  $-1/(-1)^2 = -1$  (Figure 3.2).

- (b) The slope of  $y = 1/x$  at the point where  $x = a$  is  $-1/a^2$ . It will be  $-1/4$  provided that

$$-\frac{1}{a^2} = -\frac{1}{4}.$$

This equation is equivalent to  $a^2 = 4$ , so  $a = 2$  or  $a = -2$ . The curve has slope  $-1/4$  at the two points  $(2, 1/2)$  and  $(-2, -1/2)$  (Figure 3.3).

- (c) The slope  $-1/a^2$  is always negative if  $a \neq 0$ . As  $a \rightarrow 0^+$ , the slope approaches  $-\infty$  and the tangent line becomes increasingly steep (Figure 3.2). We see this situation again as  $a \rightarrow 0^-$ . As  $a$  moves away from the origin in either direction, the slope approaches 0 and the tangent line levels off, becoming more and more horizontal. ■

## Rates of Change: Derivative at a Point

The expression

$$\frac{f(x_0 + h) - f(x_0)}{h}, \quad h \neq 0$$

is called the **difference quotient of  $f$  at  $x_0$  with increment  $h$** . If the difference quotient has a limit as  $h$  approaches zero, that limit is given a special name and notation.

**DEFINITION** The **derivative of a function  $f$  at a point  $x_0$** , denoted  $f'(x_0)$ , is

$$f'(x_0) = \lim_{h \rightarrow 0} \frac{f(x_0 + h) - f(x_0)}{h}$$

provided this limit exists.

### 3.2 The Derivative as a Function

**HISTORICAL ESSAY**  
The Derivative  
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In the last section we defined the derivative of  $y = f(x)$  at the point  $x = x_0$  to be the limit

$$f'(x_0) = \lim_{h \rightarrow 0} \frac{f(x_0 + h) - f(x_0)}{h}.$$

We now investigate the derivative as a *function* derived from  $f$  by considering the limit at each point  $x$  in the domain of  $f$ .

**DEFINITION** The **derivative** of the function  $f(x)$  with respect to the variable  $x$  is the function  $f'$  whose value at  $x$  is

$$f'(x) = \lim_{h \rightarrow 0} \frac{f(x + h) - f(x)}{h},$$

provided the limit exists.

### Differentiable on an Interval; One-Sided Derivatives

A function  $y = f(x)$  is **differentiable on an open interval** (finite or infinite) if it has a derivative at each point of the interval. It is **differentiable on a closed interval**  $[a, b]$  if it is differentiable on the interior  $(a, b)$  and if the limits

$$\lim_{h \rightarrow 0^+} \frac{f(a + h) - f(a)}{h}$$

**Right-hand derivative at  $a$**

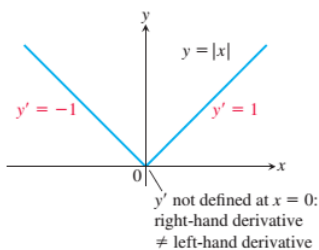
$$\lim_{h \rightarrow 0^-} \frac{f(b + h) - f(b)}{h}$$

**Left-hand derivative at  $b$**

exist at the endpoints (Figure 3.7).

Right-hand and left-hand derivatives may or may not be defined at any point of a function's domain. Because of Theorem 6, Section 2.4, a function has a derivative at an interior point if and only if it has left-hand and right-hand derivatives there, and these one-sided derivatives are equal.

**EXAMPLE 4** Show that the function  $y = |x|$  is differentiable on  $(-\infty, 0)$  and on  $(0, \infty)$  but has no derivative at  $x = 0$ .



**FIGURE 3.8** The function  $y = |x|$  is not differentiable at the origin where the graph has a “corner” (Example 4).

**Solution** From Section 3.1, the derivative of  $y = mx + b$  is the slope  $m$ . Thus, to the right of the origin, when  $x > 0$ ,

$$\frac{d}{dx}(|x|) = \frac{d}{dx}(x) = \frac{d}{dx}(1 \cdot x) = 1. \quad \frac{d}{dx}(mx + b) = m, |x| = x \text{ since } x > 0$$

To the left, when  $x < 0$ ,

$$\frac{d}{dx}(|x|) = \frac{d}{dx}(-x) = \frac{d}{dx}(-1 \cdot x) = -1 \quad |x| = -x \text{ since } x < 0$$

(Figure 3.8). The two branches of the graph come together at an angle at the origin, forming a non-smooth corner. There is no derivative at the origin because the one-sided derivatives differ there:

$$\begin{aligned} \text{Right-hand derivative of } |x| \text{ at zero} &= \lim_{h \rightarrow 0^+} \frac{|0 + h| - |0|}{h} = \lim_{h \rightarrow 0^+} \frac{|h|}{h} \\ &= \lim_{h \rightarrow 0^+} \frac{h}{h} \quad |h| = h \text{ when } h > 0 \\ &= \lim_{h \rightarrow 0^+} 1 = 1 \end{aligned}$$

$$\begin{aligned} \text{Left-hand derivative of } |x| \text{ at zero} &= \lim_{h \rightarrow 0^-} \frac{|0 + h| - |0|}{h} = \lim_{h \rightarrow 0^-} \frac{|h|}{h} \\ &= \lim_{h \rightarrow 0^-} \frac{-h}{h} \quad |h| = -h \text{ when } h < 0 \\ &= \lim_{h \rightarrow 0^-} -1 = -1. \end{aligned}$$

■

### Differentiable Functions Are Continuous

A function is continuous at every point where it has a derivative.

**THEOREM 1—Differentiability Implies Continuity** If  $f$  has a derivative at  $x = c$ , then  $f$  is continuous at  $x = c$ .

### Derivative of a Constant Function

If  $f$  has the constant value  $f(x) = c$ , then

$$\frac{df}{dx} = \frac{d}{dx}(c) = 0.$$

### Derivative of a Positive Integer Power

If  $n$  is a positive integer, then

$$\frac{d}{dx}x^n = nx^{n-1}.$$

**EXAMPLE 1** Differentiate the following powers of  $x$ .

(a)  $x^3$     (b)  $x^{2/3}$     (c)  $x^{\sqrt{2}}$     (d)  $\frac{1}{x^4}$     (e)  $x^{-4/3}$     (f)  $\sqrt{x^2 + \pi}$

**Derivative Constant Multiple Rule**

If  $u$  is a differentiable function of  $x$ , and  $c$  is a constant, then

$$\frac{d}{dx}(cu) = c \frac{du}{dx}.$$

**Derivative Sum Rule**

If  $u$  and  $v$  are differentiable functions of  $x$ , then their sum  $u + v$  is differentiable at every point where  $u$  and  $v$  are both differentiable. At such points,

$$\frac{d}{dx}(u + v) = \frac{du}{dx} + \frac{dv}{dx}.$$

**EXAMPLE 3** Find the derivative of the polynomial  $y = x^3 + \frac{4}{3}x^2 - 5x + 1$ .

**Derivative Product Rule**

If  $u$  and  $v$  are differentiable at  $x$ , then so is their product  $uv$ , and

$$\frac{d}{dx}(uv) = u \frac{dv}{dx} + \frac{du}{dx} v.$$

**EXAMPLE 5** Find the derivative of  $y = (x^2 + 1)(x^3 + 3)$ .



### Derivative Quotient Rule

If  $u$  and  $v$  are differentiable at  $x$  and if  $v(x) \neq 0$ , then the quotient  $u/v$  is differentiable at  $x$ , and

$$\frac{d}{dx} \left( \frac{u}{v} \right) = \frac{v \frac{du}{dx} - u \frac{dv}{dx}}{v^2}.$$

**EXAMPLE 6** Find the derivative of  $y = \frac{t^2 - 1}{t^3 + 1}$ .

### Second- and Higher-Order Derivatives

If  $y = f(x)$  is a differentiable function, then its derivative  $f'(x)$  is also a function. If  $f'$  is also differentiable, then we can differentiate  $f'$  to get a new function of  $x$  denoted by  $f''$ . So  $f'' = (f')'$ . The function  $f''$  is called the **second derivative** of  $f$  because it is the derivative of the first derivative. It is written in several ways:

$$f''(x) = \frac{d^2y}{dx^2} = \frac{d}{dx} \left( \frac{dy}{dx} \right) = \frac{dy'}{dx} = y'' = D^2(f)(x) = D_x^2 f(x).$$

Find the derivatives of the following functions using the first principle :

(i)  $\cos ax$

(ii)  $\tan 2x$

(iii)  $\cos (3x + 2)$

(iv)  $\sin x + \cos x$

(v)  $\frac{\sin x}{x}$

(vi)  $\sin \sqrt{x}$

(vii)  $\sin x^2$

(viii)  $\cos^2 x$

(ix)  $\sin^3 x$

(x)  $\sqrt{\tan x}$

(xi)  $\sqrt{\operatorname{cosec} x}$