#### Handover Document: Attention Models for Adversarial Robustness

### Code Changes

#### • adversary.py

 Allow new model types (parallel\_transformers, multi\_gaze) to be loaded and evaluated

# datasets.py

 Added batching for ImageNet10 (batching was already implemented for ImageNet and ImagNet100)

# glimpse.py

- Created new retinal warping functions (warp\_func\_multi\_gaze,
  warp\_image\_multi\_gaze) that accommodate a unique gaze for each provided image rather than just one, which was required for the multi-gaze model
- These functions utilize a bilinear\_sampler so that they can be differentiated with respect to the image gazes
  - Sampler used in place of **tf.gather\_nd**, which is not differentiable with respect to its second parameter

# • model backbone.py

- Added parallel transformers model
  - Multi-branch architecture with option to share **resnet** weights
  - Image is first inputted into a **ResNet\_CIFAR** model, which outputs the theta parameters defining the affine transformations to be applied¹
  - Each branch consists of one spatial transformer network (STN) and a resnet that the transformed image is then fed into
- Added **multi gaze** model
  - Multi-branch architecture with option to share **resnet** weights
  - Image first inputted into a **resnet**, which outputs a set of fixation points
  - Retinal sampling transforms then applied to image, one centered at each fixation point
  - Warped versions of image each fed into a **resnet**
- Added additional functionality and parameters to **soft attention model** 
  - Allow model to use either a full **resnet**, a smaller **ResNet\_CIFAR**, or a simple CNN

## • trainer.py

- Enabled new model types to be trained
- Utilize batching (current batch size 32) for ImageNet10
- Added command-line arguments such as number of epochs

### • transformer.py

<sup>&</sup>lt;sup>1</sup> We tried a smaller network with two convolutional layers as well as a full ResNet, but the smaller network produced the same transformations on each image while we did not have success in training the full ResNet

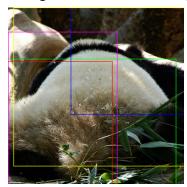
• Have STN output not just transformed image, but also the coordinates of the bounding box associated with the transformation, as well as the center of the box

### view images.ipynb

 Created Jupyter notebook for saving and viewing model-related images and adversarial perturbations, in addition to investigating the new model types

## **Experiment Results and Observations**

- Results PPT:
  - https://drive.google.com/file/d/14l4TRHk-VcQNXa9ht5Ek\_UipsSOpcph8/view?usp=sharing
    - Note that results for the existing Standard ResNet and Retinal Sampling models do not exactly match those in the original paper for ImageNet10
      - Could be due to the introduction of batching
- Parallel Transformers
  - Command-line arguments: --model=parallel\_transformers --dataset=imagenet10
    --sampling=0 --coarse\_fixations=0 --augment=1 --auxiliary=0
    --restricted\_attention=1 --shared=0 --epochs=400 --num\_transformers=5
  - Notable soft attention model parameters: use resnet=True, use full resnet=False
  - While the learned bounding boxes are distinct from each other and from image to image, they tend to cling to the sides of the image



- $\blacksquare$  Appears to be due to many of the theta parameters converging to  $\pm$ 1.0
- Same issue occurs (and to a greater degree) with multi-gaze model
- Regularization 12=0.001 led to lower standard performance
- Multi-Gaze
  - Command-line arguments: --model=multi\_gaze --dataset=imagenet10
    --sampling=0 --coarse\_fixations=0 --augment=1 --auxiliary=0 --shared=0
    --epochs=400 --num\_transformers=5
  - Notable soft\_attention\_model parameters: use\_resnet=True,
    use\_full\_resnet=True, initialize\_fixations=True, regularization=0.01
  - L2 regularization notes
    - Without regularization, all gazes rapidly converge to +/- 160.0 (i.e. corners of the image)

- Occurs even when --num\_transformers=1 (only one gaze is learned)
- With regularization 12=0.01, the gazes tend to converge to the range [-10, 10] instead (i.e. all near the center of the image)
  - This convergence may not happen consistently
  - Leads to improved standard and adversarial performance
- Regularization 12=0.001 leads to worse standard performance than 12=0.01
- Model gradients are not vanishing or exploding
  - Gradient clipping does not resolve gaze convergence issue
- Standard and adversarial performance declined when --shared=1 (i.e. when resnet weights were shared between branches)
- Bilinear Sampling
  - Bilinear sampling technique increased adversarial robustness of Retinal Sampling model