# Notes on "PFA implies $\mathrm{AD}^{L(\mathbb{R})}$ ",

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## 1 Quick Reference §1

- 1.  $\kappa$  is a singular, strong limit cardinal such that  $\square_{\kappa}$  fails.
- 2.  $A_0 \subseteq \kappa \text{ codes } V_{\kappa}$ .
- 3.  $\lambda = \kappa^{+\operatorname{Lp}(A_0)}$ .
- 4.  $cf(\lambda) < \mu < \kappa$  and  $\mu^{\omega} = \mu$ .
- 5. g is a  $Col(\omega, \mu)$ -generic filter.
- 6. Given  $U \subseteq \mathbb{R}^g$  and  $k < \omega$  a coarse (k, U)-Woodin mouse (witnessed by  $S, T, \Sigma, \delta_0, \ldots, \delta_k$ ) is a countable transitive model of ZFC such that
  - (a)  $N \models \delta_0 < \ldots < \delta_k$  are Woodin cardinals,
  - (b)  $N \models S, T$  are trees which project to complements after the collapse of  $\delta_k$  to be countable and
  - (c) there is a  $\omega_1 + 1$ -iteration strategy  $\Sigma$  for N such that whenever  $i \colon N \to P$  is an iteration map by  $\Sigma$  and P is countable, then  $p[i(S)] \subseteq U$  and  $p[i(T)] \subseteq \mathbb{R}^g \setminus U$ .
- 7. For a norm  $\phi$ : dom $(\phi) \to \text{Ord}$  we let  $\leq_{\phi}$  be the associated prewellorder given by  $x \leq_{\phi} y$  iff  $\phi(x) \leq \phi(y)$  and similar for  $<_{\phi}$ .
- 8. For a scale  $\vec{\phi}$  we let  $\vec{\phi}^*$  be the associated sequence of prewellorderes.
- 9.  $(W_{\alpha}^*)$  Let  $U \subseteq \mathbb{R}^g$  and suppose there are scales  $\vec{\phi}, \vec{\psi}$  on U and  $\mathbb{R}^g \setminus U$  such that  $\vec{\phi}^*, \vec{\psi}^* \in J_{\alpha}(\mathbb{R}^g)$ . Then for all  $k < \omega$  and all  $x \in \mathbb{R}^g$  there are  $N, \Sigma$  such that

- (a)  $x \in N$  and N is a coarse (k, U)-Woodin mouse as witnessed by  $\Sigma$  and
- (b)  $\Sigma \upharpoonright HC^{V[g]} \in J_{\alpha}(\mathbb{R}^g).g$
- 10. If  $W_{\alpha}^*$  holds, then  $J_{\alpha}(\mathbb{R}^g) \models AD$ .
- 11.  $\mathcal{L}(C)$  is the language of set theory expanded by a constant symbol for each  $c \in C$ .
- 12. For any  $\Sigma_1$ -formula  $\theta(v)$  we associate  $(\theta^k(v) \mid k < \omega)$  such that  $\theta^k$  is  $\Sigma_k$  and for any  $\gamma \in \text{Ord}$  and any  $x \in \mathbb{R}$

$$J_{\gamma+1}(\mathbb{R}) \models \theta[x] \iff \exists k < \omega J_{\gamma}(\mathbb{R}) \models \theta^k[x].$$

- 13. Given a  $\Sigma_1^{\mathcal{L}(\{\mathbb{R})\}}$  formula  $\theta(v)$  and  $z \in \mathbb{R}$ , a  $\langle \theta, z \rangle$ -witness is a  $\omega$ -sound,  $(\omega, \omega_1, \omega_1 + 1)$ -iterable z-mouse  $\mathcal{N}$  for which there are  $\delta_0, \ldots, \delta_9, S, T$  such that  $\mathcal{N}$  models
  - (a) ZFC,
  - (b)  $\delta_0 < \delta_1 < \dots, \delta_9$  are Woodin,
  - (c) S, T are trees on some  $\omega \times \theta$ ,  $\theta < \delta_9$  which are absolutely complementing in  $V^{\text{Col}(\omega,\delta_9)}$  and
  - (d) For some  $k < \omega$ , p[T] is the  $\Sigma_{k+3}^{\mathbb{R}}$ -theory of  $J_{\gamma}(\mathbb{R})$  with  $\gamma$  minimal such that  $J_{\gamma}(\mathbb{R}) \models \theta^{k}[z]$ .
- 14. If there is a  $\langle \theta, z \rangle$ -witness, then  $L(\mathbb{R}) \models \theta[z]$ .
- 15.  $(W_{\alpha})$  If  $\theta(v)$  is a  $\Sigma_1$ -formula,  $z \in \mathbb{R}^g$  and  $J_{\alpha}(\mathbb{R}^g) \models \theta[z]$ , then there is a  $\langle \theta, z \rangle$ -witness  $\mathcal{N}$  whose iteration strategy  $\Sigma$  satisfies  $\Sigma \upharpoonright HC \in J_{\alpha}(\mathbb{R}^g)$ .
- 16. If  $\alpha$  is a limit ordinal, then  $W_{\alpha}^* \implies W_{\alpha}$ .
- 17. An ordinal  $\beta$  is critical iff there is some  $U \subseteq \mathbb{R}^g$  such that U and  $\mathbb{R}^g \setminus U$  admit scales in  $J_{\beta+1}(\mathbb{R}^g)$  but U admits no scale in  $J_{\beta}(\mathbb{R}^g)$ .
- 18. If  $\beta$  is critical, then  $\beta + 1$  is critical. If  $\beta$  is a limit of critical ordinals, then  $\beta$  is critical iff  $J_{\beta}(\mathbb{R}^g)$  is not admissible.
- 19. Let  $\beta$  be critial. Then one of the following cases holds true:
  - (1)  $\beta = \eta + 1$  for some critical  $\eta$ ,
  - (2)  $\beta$  is a limit of critical ordinals and either

- (a)  $cf(\beta) = \omega$  or
- (b) The "inadmissible case":  $cf(\beta) > \omega$  but  $J_{\beta}(\mathbb{R}^g)$  is not admissible.
- (3) The "end-of-gap case":  $\alpha = \sup(\{\eta < \beta \mid \eta \text{ is critical}\}) < \beta$  and either
  - (a)  $[\alpha, \beta]$  is a  $\Sigma_1$  gap or
  - (b)  $\beta 1$  exists and  $[\alpha, \beta 1]$  is a  $\Sigma_1$  gap.
- 20. A self-justifying system is a countable set  $\mathcal{A} \subseteq \mathcal{P}(\mathbb{R})$  which is closed under complements in  $\mathbb{R}$  and such that every  $A \in \mathcal{A}$  admits a scale  $\vec{\psi} = (\psi_i \mid i < \omega)$  such that  $\leq_{\phi} \in \mathcal{A}$  for all  $i < \omega$ . Here we identify  $\leq_{\phi} \subseteq \mathbb{R} \times \mathbb{R}$  with its code  $\{x \oplus y \mid x \leq_{\psi_i} y\} \subseteq \mathbb{R}$ .

#### 1.1 The inadmissible case.

1.  $\kappa$  is a singular strong limit cardinal such that  $\kappa^{+\operatorname{Lp}(A)} < \kappa^+$  for all bounded  $A \subseteq \kappa^+$  and  $\mu = \operatorname{cf}(\kappa^{+\operatorname{Lp}(A_0)})^\omega$  where  $A_0 \subseteq \kappa$  codes  $V_{\kappa}$ .