

Analysis and Designing Algorithms (ADA)

Books :- 1. Algorithm by CORMEN (PHI) [TEXT]

Reference 2. Algorithm by SARTAJ

SAHNI (GALGOTIA)

3. Schaum series (TMH)

4. a. _____

b. _____

60% Numerical

40% Theory

22/12/16

Algorithm

used to reduce complexity in developing the program. Factors of complexity are :-

Time

Memory

→ Algorithm is well defined list of steps for solving a particular problem.

Characteristics :-

1. Input :- Algorithm should be able to accept zero or more inputs. I^* (zero or more I^+)

2. Output :- Algorithm should be able to produce output. O^* (1 or more O^+)

3. Finiteness :- Algo should be finite.

4. Effectiveness :- Each & every instruction or step should play effective role in the algorithm. Each step should have a meaning.

5. Unambiguity - No redundancy, no duplicacy.

Types of Statements

6. Efficient :- Each & every steps should play efficient role.

We can measure the efficiency of algorithm by measuring the complexity of algorithm. It is two types.

- (i) Space complexity &
- (ii) Time complexity.

Space Complexity:- It is the amount of space taken by any algorithm for complete execution of program.

Time Complexity:- It is the amount of time taken by any algorithm for complete execution of program.

Time Complexity is ~~most~~^{more} important than space complexity.

A Symptotic Complexity: It is a fundamental measurement of performance of the ~~the~~ algorithm. This measurement can be represented in terms of efficiency.

Efficiency has 3 categories:

- 1. Best case
- 2. Average case
- 3. Worst case.

3rd

Best Case:- when resources used are minimum

Worst Case:- when resources used are maximum

Average = more minimum and maximum

n by two
* Note: To maximize the efficiency of algorithm we should always consider the worst case.

→ The complexity of algorithm not only depends on algorithm but also the number of instructions, the quality of compiler and the skill of programmer taken.

Growth of Functions:

If any function depends on the number of inputs then its growth is represented by using some notations. These notations are known as asymptotic notations.

They are as follows:

1. Big Oh (O) notation - used for upper bound
2. Big Omega (Ω) notation - used for lower bound
3. Big Theta (Θ) notation - tight bounds.

Complexity is always in terms of number of inputs (n)

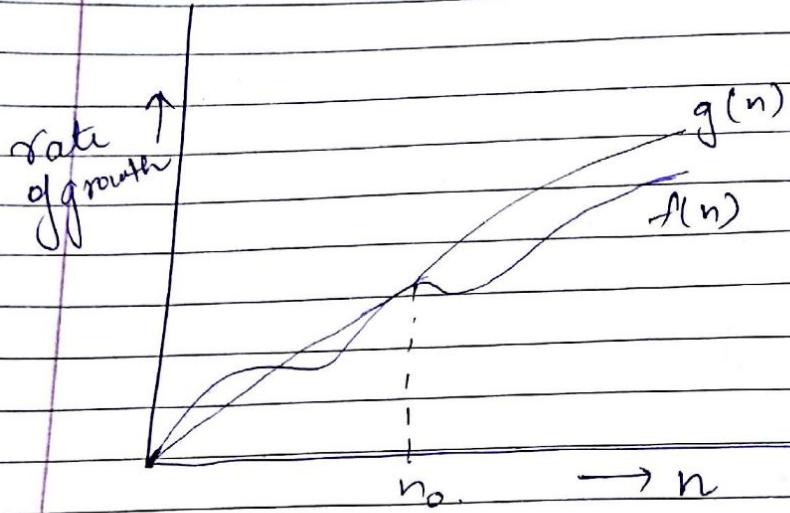
$O(n)$, $O(n^2)$, $O(n^3)$, $O(2^n)$, $O(\log n)$.

~~3rd Jan 17~~ Upper Bound

Big Oh This notation is used to represent the upper bound of a function. For any given function $f(n)$ we can define upper bound as follows

$O(g(n)) = f(n) : \exists$ a constant c and integer n_0 in such a way that

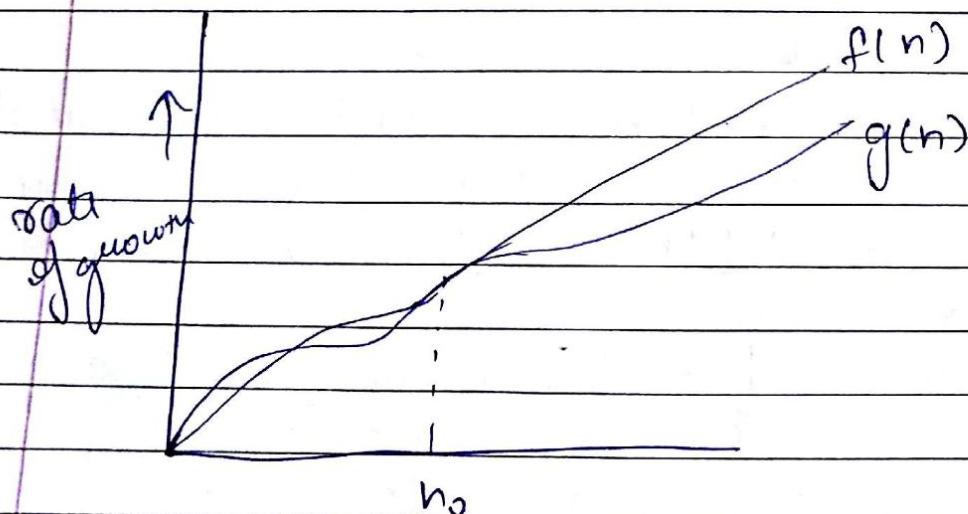
$$[0 \leq f(n) \leq c * g(n) \text{ for } n \geq n_0]$$



After n_0 $f(n)$ and $g(n)$ never intersected that is
why
[$0 \leq f(n) \leq c * g(n)$ for $n \geq n_0$]

Lower Bound.

Big Ω Notation :



After n_0 $f(n) \& g(n)$ $n \rightarrow \infty$ do not intersect
[$0 \leq c * g(n) \leq f(n)$] for $n \geq n_0$.

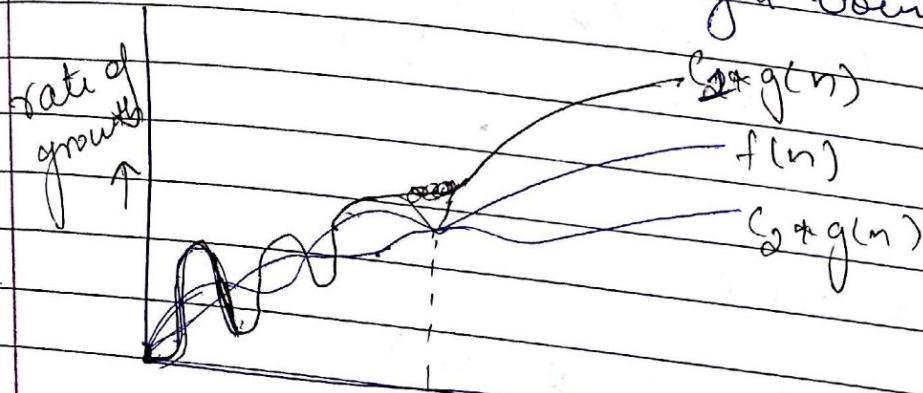
Big -2 is used to represent lower bound for any given function $f(n)$. This bound is represented by ~~$\Omega(n^k)$~~ ω_n and is defined as follows:

$$0 \leq c_1 g(n) \leq f(n) \text{ for } n \geq n_0.$$

For any given function $\omega_n = f(n)$

Big Theta Notation (Tight bound)

This is used to represent tight bound ($\Theta(g(n))$)



$n \rightarrow n_0$.

It can be defined as follows $\Theta(g(n)) = f(n) : \exists, \forall$

for $c_1 g(n) \leq f(n) \leq c_2 g(n)$ and $n \geq n_0$.

Q. Consider the following equation and find upper bound, lower bound & tight bound for $f(n) = 27n^2 + 8n + 5$.

Soln:- For upper bound: $f(n) \leq c * g(n)$

$$f(n) = 27n^2 + 8n + 5$$

$$\leq 27n^2 + 8n + n \text{ for } 5 \leq n.$$

$$\leq 27n^2 + 9n$$

$$\leq 27n^2 + n^2 \text{ for } 9n \leq n^2.$$

$$f(n) \leq 28n^2. \quad | 9 \leq n \leq n_0 |$$

So, $f(n) = O(n^2)$. Ans.

$$C = 28$$

$$n_0 = 9$$

→ This is complexity $(O(n^2))$

for lower Bound : $f(n) \geq C * g(n)$.

$$27n^2 + 8n + 5 \geq 27n^2$$

$$\Rightarrow f(n) \geq C * g(n)$$

$$f(n) = \underline{\underline{27(n^2)}}$$

$$C = 27 \quad \text{Ans} =$$

for tight bound

$$27n^2 \leq f(n) \leq 28(n^2)$$

$$C_1 = 27$$

$$C_2 = 28$$

$$n_0 = 9$$

$$f(n) = \underline{\underline{\Theta(n^2)}} \quad \text{Ans}$$

Rules for Calculating Complexity:

1. The complexity of input & output statement is $O(1)$
eg. Hello world.
2. The complexity of assignment statement ($i = 5$) is $O(1)$
eg.
3. The complexity of calling function is $O(1)$.
4. The complexity of decision statement
that is if else statement.

Q. The complexity of if else statement that is decision making is equal to the complexity of condition checking + the complexity of true block and false block.

5. Complexity of checking the condition is O(1)

6. Sum Rule: If we have two or more complexity in a block then the largest complexity will be considered as the complexity of block.

e.g. $f(n) + g(n) + \dots$ then
 $T(n) = \max(f(n), g(n), \dots)$.

for e.g. $O(n) + O(n^2)$ then
Complexity = $O(n^2)$ Sum Rule.

Q. QOP:- In the case of loop the total complexity is the complexity of checking the condition plus the complexity of body of loop over the no. of times the execution of loop

Q. for $i=1$ to m

$c[i] = A[i] + B[i]$

END FOR

Complexity $\rightarrow \sum_{i=1}^m O(1) = O(n)$

$[O(1) : \text{Assignment Statement}]$

Q. for $j=1$ to n

for $i=1$ to m

$c[i] = A[i] + B[i]$

End for

End for

Complexity = $\sum_{j=1}^n \sum_{i=1}^m O(1)$

= $\sum_{j=1}^n O(n)$

$O(nm)$

for $i=1$ to n

 for $j=1$ to i

$$c[i] = D[i] + E[i]$$

 END for

End for

$$\text{Complexity} = \sum_{i=1}^n \sum_{j=1}^i O(1)$$

$$= \sum_{i=1}^n O(i) = O(1) + O(2) + \dots + O(n)$$

$$= O(\sum n)$$

$$= O\left(\frac{n(n+1)}{2}\right) \quad \frac{1}{2} \text{ is constant}$$

$$= O(n^2) + O(n)$$

$$= O(n^2)$$

Bubble Sort -

5 1 -15 3 5 5 100 11

51

1 (5) -15 3 5 100 11

1 -15 (5) 3 5 100 11

1 -15 3 (5) 5 100 11

1 -15 3 5 (5) 100 11

1 -15 3 5 5 (100) 11

1 -15 3 5 5 11 (100)

Comparisons = 6

Assignment statements = 12

Similarly sort other elements in passes

Bubble (δ, n)

```
for i=1 to n-1 // pass
    for j=1 to n-i // comparison
        if (a[j] > a[j+1])
            t = a[i]
            a[i] = a[j+1]
            a[j+1] = t
        end if
    end for
end Algo.
```

Complexity

$$\begin{aligned} \text{(i)} \quad & O(1) \\ \text{(ii)} \quad & O(1) \\ \text{(iii)} \quad & \sum_{i=1}^{n-1} \sum_{j=1}^{n-i} O(1) \\ & = \sum_{i=1}^{n-1} O(n-i) \end{aligned}$$

$$\begin{aligned} & = O(n-1) + O(n-2) + O(n-3) + \dots + O(2) + O(1) \\ & = O(\sum_{i=1}^{n-1} i) \\ & = O\left(\frac{(n-1)n}{2}\right) \\ & = O(n^2) - O(n) = O(n^2) \text{ Ans} \end{aligned}$$

Q. Calculate complexity of selection sort & insertion sort.

Recurrence Relation: Those relations which cover the problems related to the recursion are known as recurrence relation. Such relations are solved by various methods like

- (i) Master Theorem Method
- (ii) Recursion Tree method
- (iii) Substitution method.

The running time of recursive algorithm can be obtained by the recurrence in such algorithms we can make a ~~set~~ of the functions in terms of recursive relations.

Master Theorem Method: This method is used to solve foll. type of eqⁿ/relation

$$T(n) = aT\left(\frac{n}{b}\right) + f(n) \quad \text{if}$$

$$T(n) = aT\left(\frac{n}{b}\right) + f(n) \quad \text{if}$$

where a is the number of subproblem, b is size of subproblem.

To obtain solution of eq(1) we have 3 cases:

Case 1: if $f(n) = O(n^{\log_b c - \epsilon})$ where $\epsilon > 0$.
then $T(n) = \Theta(n^{\log_b a})$

Note: If $f(n) < n^{\log_b a}$ then Ans.

Case 2: if $f(n) = \Theta(n^{\log_b a})$ then $T(n) = \Theta(n^{\log_b a} \times \log n)$

$$T(n) = \Theta(n^{\log_b a} \times \log n) \quad \text{Ans}$$

* This is when $f(n) = n^{\log_b a}$

If $f(n) = \Theta(n^{\log_b a + \epsilon})$ then $T(n) = \Theta(f(n))$ any

This is when $f(n) > n^{\log_b a}$.

$$T(n) = 9T\left(\frac{n}{3}\right) + n - \textcircled{1}.$$

Comparing it with this $T(n) = aT\left(\frac{n}{b}\right) + f(n)$

$a = 9$	$n^{\log_b a}$	$n^{\log_3 9}$	$= n^2$
$b = 3$			
$f(n) = n$			

$f(n) < n$ So by case I of Master theorem.

$$\boxed{T(n) = \Theta(n^2)}$$

$$T(n) = T\left(\frac{2n}{3}\right) + 1 - \textcircled{1}$$

$T(n) = aT\left(\frac{n}{b}\right) + f(n)$ Comparing with this

$a = 1$	$n^{\log_b a}$	$n^{\log_3 1}$	$= n^0 = 1.$
$b = 3$			
$f(n) = 1$		$= \Theta(n^{\log_{3/2} 1})$	

$\therefore f(n) = n^{\log_b a}$ So by case II of Master Theorem

$$T(n) = \Theta(\log n)$$

$$Q. T(n) = 3T\left(\frac{n}{4}\right) + n \log n \quad (1)$$

$$a = 3$$

$$b = 4$$

$$f(n) = n \log n.$$

$f(n) > n \log \alpha$, so by case II of Master Theorem

Theorem

$$T(n) = \Theta(n \log n)$$

Recursion Tree Method: This method is also used to solve the recurrence relation. Here we generate a tree where the function $f(n)$ becomes the root of the tree, then we expand the tree in the form of size of ~~some~~ sub problems (denoted by δ). Then the node will be divided into equal

→ if size of sub problem is even then the node will be divided into equal parts
 → if size of sub problem is odd then we have odd number of children for the node

H.W

General tree, Binary tree, heap, spanning tree, Binary Search Tree.

level, height

Steps to calculate complexity using RTM:

- Define $f(n)$ as a root.
- Calculate the height of the tree by extending the nodes according to no. of subproblem & size of sub problem.

(A)

(B)

~~Merge Sort~~ (iii) Calculate the cost of tree at each level.

If cost is constant at each level, then

$$T(n) = \text{cost} \times \text{height of tree}$$

If cost is not constant at each level, then

$$T(n) = C_1 + C_2 + \dots$$

= \sum cost of each level upto the height of tree.

If nodes have unequal weight, then height of the tree will be calculated by observing the node of heaviest weight.

Find the running time of the following:

$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + n \quad (1)$$

(i) $T(n)$

$$\begin{array}{c} n \\ \swarrow \quad \searrow \\ T\left(\frac{n}{3}\right) \quad T\left(\frac{2n}{3}\right) \end{array}$$

Q.

(i)

$$\begin{array}{c} n \\ \swarrow \quad \searrow \\ h_3 \quad 2h_3 \\ \swarrow \quad \searrow \\ \left(\frac{n}{9}\right)T\left(\frac{2n}{9}\right) \quad T\left(\frac{2n}{9}\right) \end{array}$$

$i = \text{height.}$

$q(n)$

Height of tree
subproblem at level 0 = $(\frac{2}{3})^0 \times n$
Size of subproblem at level 1 = $(\frac{2}{3})^1 \times n$

$$(1) \quad " \quad " \quad " \quad " \quad " \quad " \quad 2 = (\frac{2}{3})^2 \times n$$

$$(2) \quad " \quad " \quad " \quad " \quad " \quad " \quad i = (\frac{2}{3})^i \times n - ②.$$

Size of subproblem at level = $i - ③$.

By (2) & (3)

$$(\frac{2}{3})^i \times n = 1$$

$$n = (\frac{3}{2})^i$$

$$\log n = \log_{3/2} 1$$

$$\text{height} \Rightarrow i = \log_{3/2} n$$

Cost: Cost at level 0 is n

$$\text{cost at level } 1 \text{ is } \frac{n}{3} + \frac{2n}{3} = n$$

$$\text{cost at level } 2 \text{ is } - n$$

$$\text{cost at last level} = n.$$

Since cost at each level is constant so,

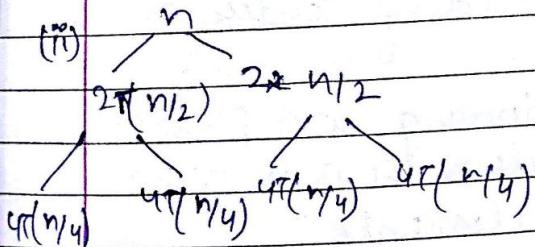
$$T(n) = \text{cost} \times \text{height}$$

$$T(n) = \Theta(n \log_{3/2} n)$$

Q. Consider the foll. & find its complexity:

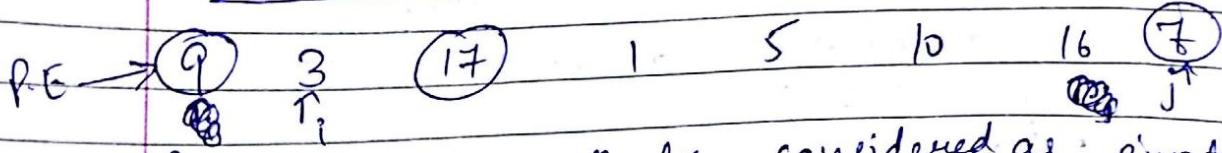
$$T(n) = 4T(n/2) + n - ①.$$

$$(i) T(n)$$

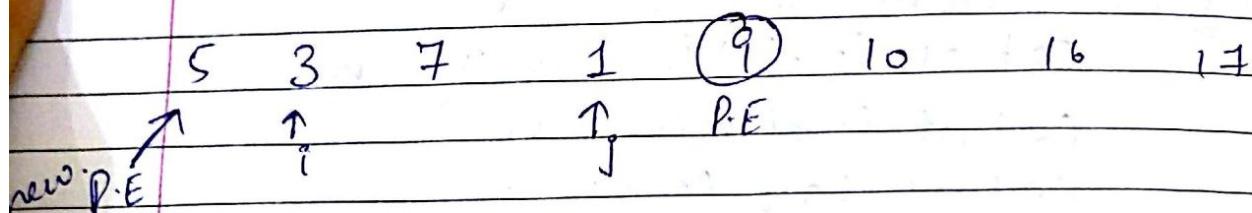


$$\therefore T(n/2) = 4T(n/4) + n/2$$

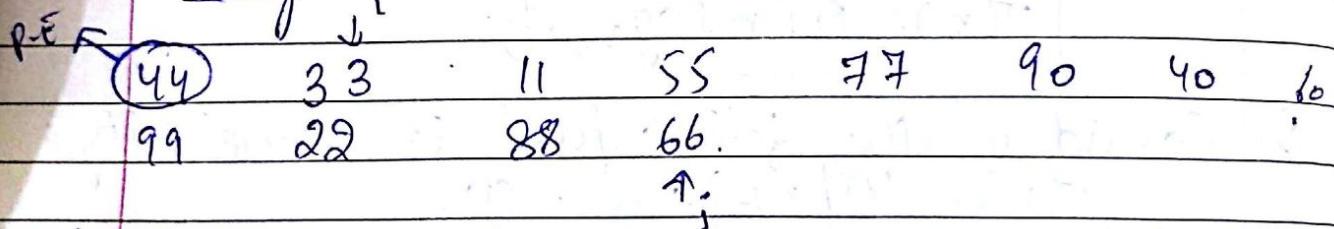
Quick Sort



→ first element will be considered as pivot.

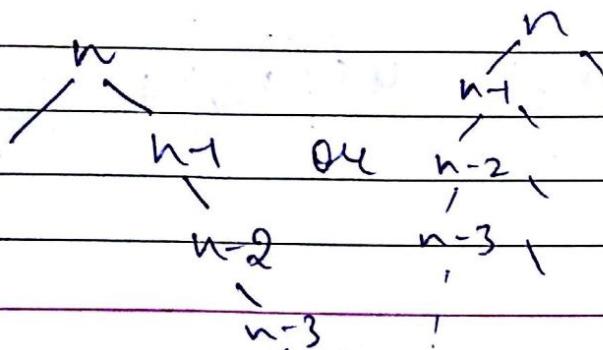


Analysis:



(i) WORST CASE - It will occur if all elements are either in ascending order or in descending order.

In this case partitioning will produce one subarray with 0 elements and other subarray with $n-1$ elements.



So, time complexity in this case will be
 $T(n) = T(n-1) + O(n) + O(1)$. - (1)
 where the total cost of partitioning n elements is $O(n)$, combining cost is $\Theta(1)$.

$$\begin{aligned} T(n) &= \Theta(\sum(n-1)) + O(n) \\ &= \Theta\left(\frac{(n-1)n}{2}\right) + O(n) \\ &= \Theta\left(\frac{n^2}{2}\right) = \Theta\left(\frac{n}{2}\right) + O(n) \end{aligned}$$

$= \Theta(n^2)$ Ans [By Sum Rule].

(ii) BEST CASE - It will occur if the partitioning produces two sub arrays each of size not more than $m/2$ elements i.e. nearly balanced tree.

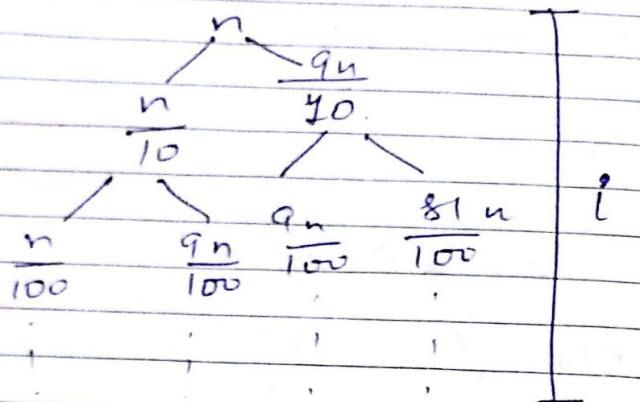
In this case time complexity is given by
 $T(n) = 2T\left(\frac{n}{2}\right) + O(n) + O(1)$

So, by case 2 of Master theorem method we can write
 $T(n) = \Theta(n \log n)$

(iii) AVERAGE CASE: When the position of pivot element is random then array is partitioned into any number of children in left and right hand sides then this will be average case.

In this case partitioning is not fixed and the position of pivot element may be at any place

Suppose the array is partitioned into 9 ratios, then the tree will look like



$$i = \log_{\frac{10}{9}} n$$

$$\text{Cost} = n$$

Since cost is fixed at each level so multiply i & cost.

Time complexity will be,

$$T(n) = \Theta\left(n \times \log_{\frac{10}{9}} n\right)$$

BINARY SEARCH

MERGE SORT:

Divide and Conquer

D & C

Solve the problem in foll 3 steps -

1. Divide: dividing a problem into no. of sub problems.

2. Conquer: Here, we solve the subproblems in successive manner.

3. Combine: In this step, we combine all the sub solutions to get the final solution. In such problems, time complexity can be obtained by the foll formula -

$$T(n) = a \lceil \frac{n}{b} \rceil + D(n) + c(n)$$

✓ time to div.
 ↗ no. of subprob
 ↗ size of subprob

→ time to
 combine the
 sub prob.

Merge Sort

90 3 5 2 2 11 75 8

90 3 5 2

$$i=1, k=1, j = \left(\frac{n}{2} + 1\right)$$

while ($i \leq n/2$ or $j < n$)

{
if ($a[i] < b[j]$)

$$c[k] = a[i]$$

$i++$, $k++$

else

{
c[k] = b[j]

$k++$

$j++$

? } {
 $i > n/2$

{
while ($b < n$)

{
c[k++] = b[j++]

else

{
while ($i \leq n/2$)

{
}

($Tn++$) = $a[i++]$

}

8

Complexity of Merge Sort

$$T(n) = a + \left(\frac{n}{b}\right) + D(n) + C(n) - \Theta$$

(1) Here, $a = 2$
 $b = 2$

(2) Now, Time to div the prob into subprob's
 $= O(1)$

(3) time to combine all the n elements will
be $O(n)$ so eqn(1) can be written as -

$$\begin{aligned} T(n) &= 2T\left(\frac{n}{2}\right) + O(1) + O(n) \\ &= 2T\left(\frac{n}{2}\right) + O(n) \end{aligned}$$

Now, by master theorem (case 2) -

$$T(n) = O(n \log n) \text{ Ans}$$

Binary Search

BINSRCH(a, lo, hi, item)

BEGIN //

- while ($lo <= hi$)

$$\text{mid} = \frac{(lo+hi)}{2}$$

- if ($item = a[\text{mid}]$)

return (mid) EXIT

else

- if ($item < a[\text{mid}]$)

return BINSRCH (a, mid+1, hi, item)
ENDIF
ENDIF
~~END WHILE~~

Q. 15 22 33 35 47 60 78 88 900.
= Item = 33

$$lo = 1$$

$$hi = 9$$

$$\underline{mid = 5}$$

$$lo = 1$$

$$hi = 4$$

$$mid = \frac{(l+u)}{2}$$

Q. 80

$$lo = 1$$

$$hi = 9$$

$$\underline{mid = 5}$$

$$\cancel{mid+1 = lo}$$

$$lo = 6$$

$$hi = 9$$

$$mid = \frac{l+u}{2} = 8$$



Analysis of Binary Search

$$T(n) = \begin{cases} k & n=1 \\ T\left(\frac{n}{2}\right) + k & n > 1. \end{cases}$$

From the algorithm of binary search it is clear that the time complexity $T(n)$ = same as above.

For $n > 1$

$$\begin{aligned} T(n) &= T\left(\frac{n}{2}\right) + k \\ &= T\left(\frac{n}{2^2}\right) + 2k \\ &= T\left(\frac{n}{2^3}\right) + 3k. \end{aligned}$$

⋮
⋮
⋮

$$= T\left(\frac{n}{2^x}\right) + ek \quad \text{--- (1)}$$

$$\because \frac{n}{2^x} = 1.$$

$$2^x = n$$

$$x \log 2 = \log n.$$

$$x = \log_2 n$$

Putting in eq (1).

$$\Rightarrow T(1) + ek$$

$$= \Theta(\log_2 n) \quad \underline{\text{Ans}}$$

$$x = \log_2 n.$$

GREEDY TECHNIQUE: We can solve imp problems like knapsack problem, Travel Sales person problem, PRIM's KRUSKAL'S problem.

→ In this technique we consider solution of the problem in such a way that we are able to get feasible or optimum solution.

Following are the steps in greedy approach:

(1) Feasibility check: in this step we check that whether selected approach satisfies all the problem constraints or not.

(2) Local optimum choice:

~~Q1/17~~ Fractional v_i^n : In this technique items are arranged in the ratio of $R_i = \frac{v_i}{w_i}$

We will arrange all the items in descending order of their above ratio and then we will solve the knapsack problem as usual.

Q. Consider the foll. information about knapsack.

$$I = \langle I_1, I_2, \dots, I_5 \rangle \quad W = 60.$$

$$v = \langle 5, 10, 20, 30, 40 \rangle$$

$$w = \langle 30, 20, 100, 90, 160 \rangle$$

INITIAL	v	w	R_i
I_1	30	5	6
I_2	20	10	2
I_3	100	20	5
I_4	90	30	3
I_5	160	40	4

Now, by arranging all the elements in descending order of their ratio we get.

	v	w	R_i
I_1	30	5	6
I_3	100	20	5
I_5	160	40	4
I_4	90	30	3
I_2	20	10	2

$$S = \langle I_1 \rangle \quad W = 60$$

$$V = 30$$

$$W = 5$$

$$\text{Now } W = 55$$

Now $\Delta_0 \leq 55$

$$\text{So, } S = \langle I_1, I_3 \rangle$$

$$V = 30 + 100 = 130$$

$$W = 5 + 20 = 25$$

$$W = 35.$$

) $40 \rightarrow 160$

$$35 \rightarrow \underline{35 \times 160} = 35 \times 4. = 140.$$

$\frac{40}{40}$

$$\text{So, } S = \langle I_1, I_3, I_5 \rangle$$

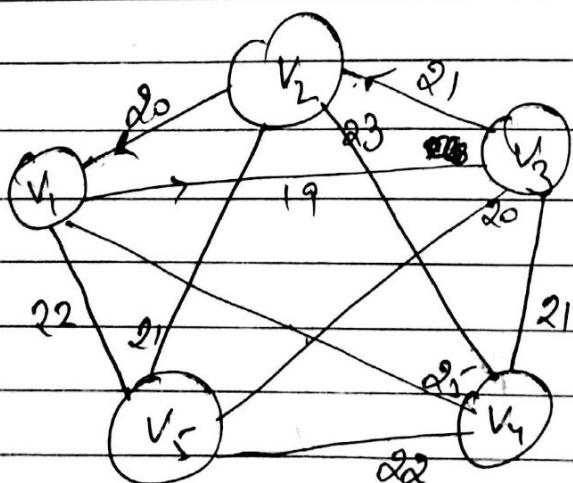
$$V = 30 + 100 + 140 = 270$$

$$W = 5 + 20 + 35 = 60$$

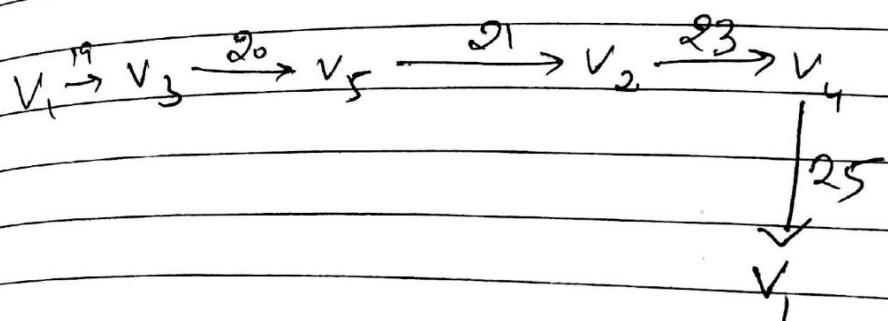
TSP problem

Travelling Sales Person problem.

Consider the graph and the total cost by applying greedy approach



	v_1	v_2	v_3	v_4	v_5
v_1	0	20	19	25	22
v_2	20	0	21	23	21
v_3	19	21	0	21	20
v_4	25	23	21	0	22
v_5	22	21	20	22	0



$$T.C = 108.$$

Optimum solution = 106.

~~$v_1 \rightarrow v_2 \rightarrow v_3 \rightarrow v_4 \rightarrow v_5 \rightarrow v_1$~~

~~MST - Minimum Spanning Tree~~

