

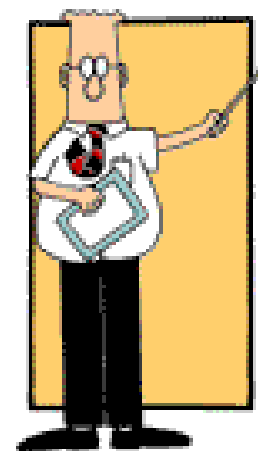
Execution

# ~~Oracle SQL Tuning~~ An Introduction



# Overview

- Foundation
  - Optimizer, cost vs. rule, data storage, SQL-execution phases, ...
- Creating & reading execution plans
  - Access paths, single table, joins, ...
- Utilities
  - Tracefiles, SQL hints, analyze/dbms\_stat
- Warehouse specifics
  - Star queries & bitmap indexing
  - ETL
- Availability in version 9, 10, 11 ?



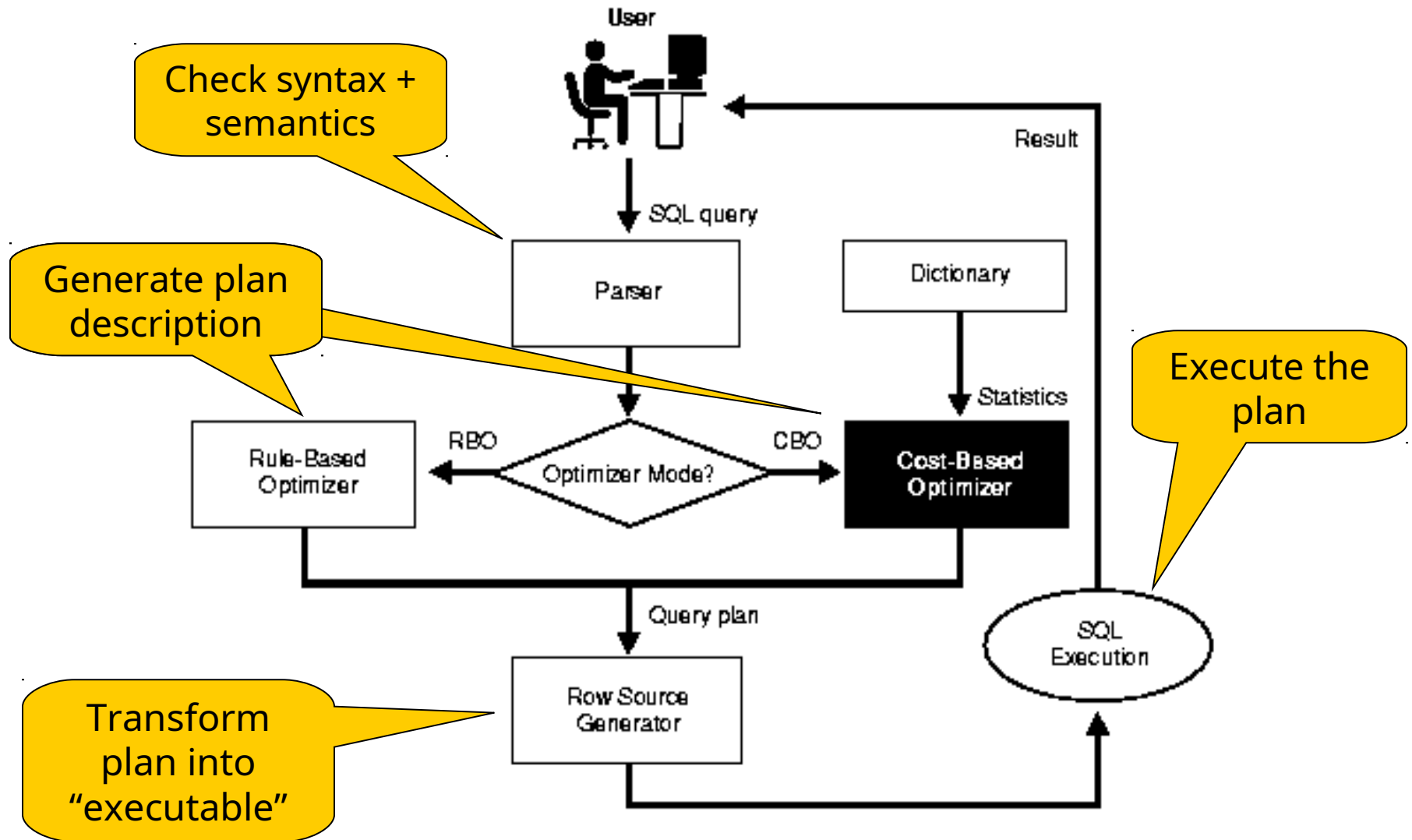
# Goals

- Read execution plans
  - Table access
  - Index access
  - Joins
  - Subqueries
- Understand execution plans
  - Understand performance
  - Basic understanding of SQL optimization
- Start thinking how you should have executed it

# Next...

- Basic Concepts (13)
  - Background information
- SQL-Execution (50)
  - Read + understand

# Optimizer Overview



# Cost vs. Rule

- Rule (RBO: Rule Based Optimization)
  - Hardcoded heuristic rules determine plan
    - “Access via index is better than full table scan”
    - “Fully matched index is better than partially matched index”
    - ...
- Cost (2 modes)
  - **Statistics of data** play role in plan determination
    - Best throughput mode: retrieve **all rows** asap
      - First compute, then return fast
    - Best response mode: retrieve **first row** asap
      - Start returning while computing (if possible)

# How to set which one?

- Instance level: Optimizer\_Mode parameter
  - Rule
  - Choose
    - if statistics then CBO (all\_rows), else RBO
  - First\_rows, First\_rows\_n (1, 10, 100, 1000)
  - All\_rows
- Session level:
  - Alter session set optimizer\_mode=<mode>;
- Statement level:
  - Hints inside SQL text specify mode to be used

# DML vs. Queries

- Open => Parse => Execute (=> Fetch<sub>n</sub>)

```
SELECT ename,salary  
FROM emp  
WHERE salary>100000
```

Fetches done  
By client

Same SQL optimization

```
UPDATE emp  
SET commission='N'  
WHERE salary>100000
```

All fetches done internally  
by SQL-Executor

**CLIENT**

=> SQL =>

<= Data or Returncode <=

**SERVER**



# Data Storage: Tables


- Oracle stores all data inside datafiles
  - Location & size determined by DBA
  - Logically grouped in **tablespaces**
  - Each file is identified by a relative **file number** (fno)
- Datafile consists of data-blocks
  - Size equals value of *db\_block\_size* parameter
  - Each **block** is identified by its offset in the file
- Data-blocks contain rows
  - Each row is identified by its sequence in the block

**ROWID:** <Block>.<Row>.<File>

# Data Storage: Tables

File x

Block 1	Block 2	Block 3	Block 4
Block 5	Block ...	<div>&lt;Rec1&gt;&lt;Rec2&gt;&lt;Rec3&gt; &lt;Rec4&gt;&lt;Rec5&gt;&lt;Rec6&gt; &lt;Rec7&gt;&lt;Rec8&gt;&lt;Rec9&gt; ...</div>	



Rowid: 00000006.0000.000X

# Data Storage: Indexes

- **Balanced trees**
  - Indexed column(s) sorted and stored separately
    - **NULL values are excluded** (not added to the index)
  - Pointer structure enables logarithmic search
    - Access index first, find pointer to table, then access table
- **B-trees consist of**
  - Node blocks
    - Contain pointers to other node, or leaf blocks
  - Leaf blocks
    - Contain actual indexed values
    - Contain rowids (pointer to rows)
- **Also stored in blocks in datafiles**
  - Proprietary format

# Data Storage: Indexes

B-tree

Create index on emp(empno)

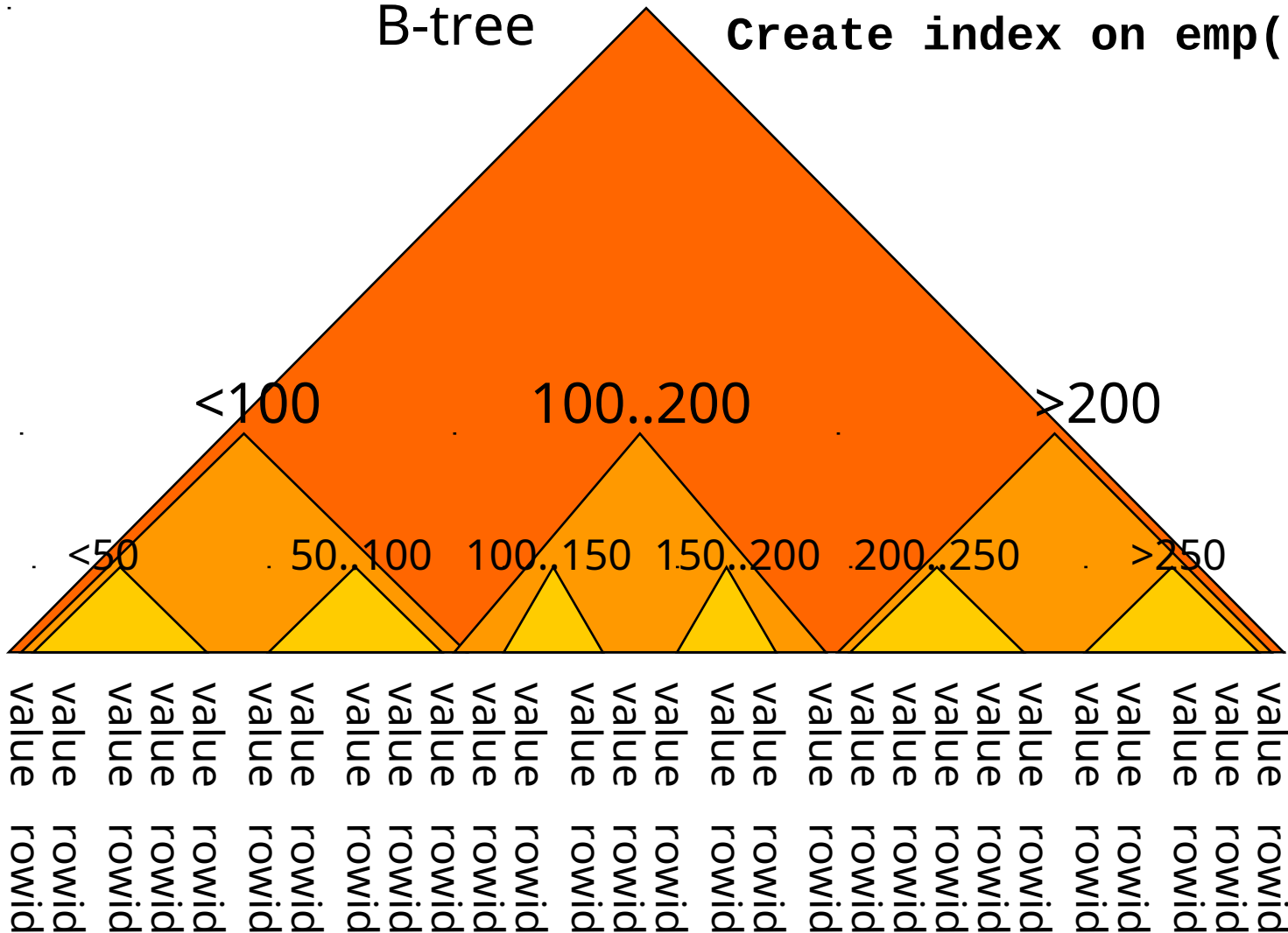
>

BLEVEL

>

NODES

LEAVES



# Data Storage: Indexes

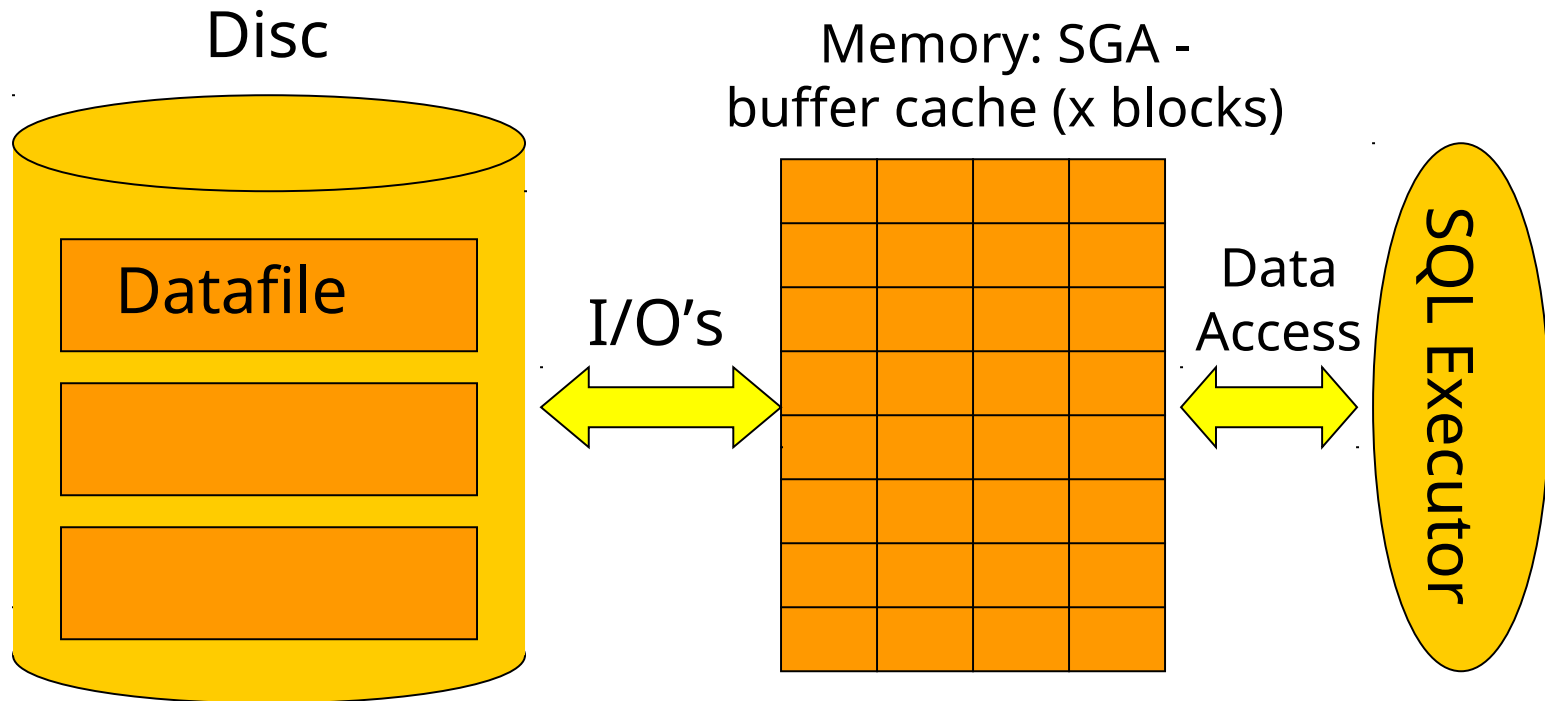
Datafile

Block 1	Block 2	Block 3	Block 4
Block 5	Block ...	Index Node Block	Index Leaf Block
Index Leaf Block			

No particular order of node and leaf blocks

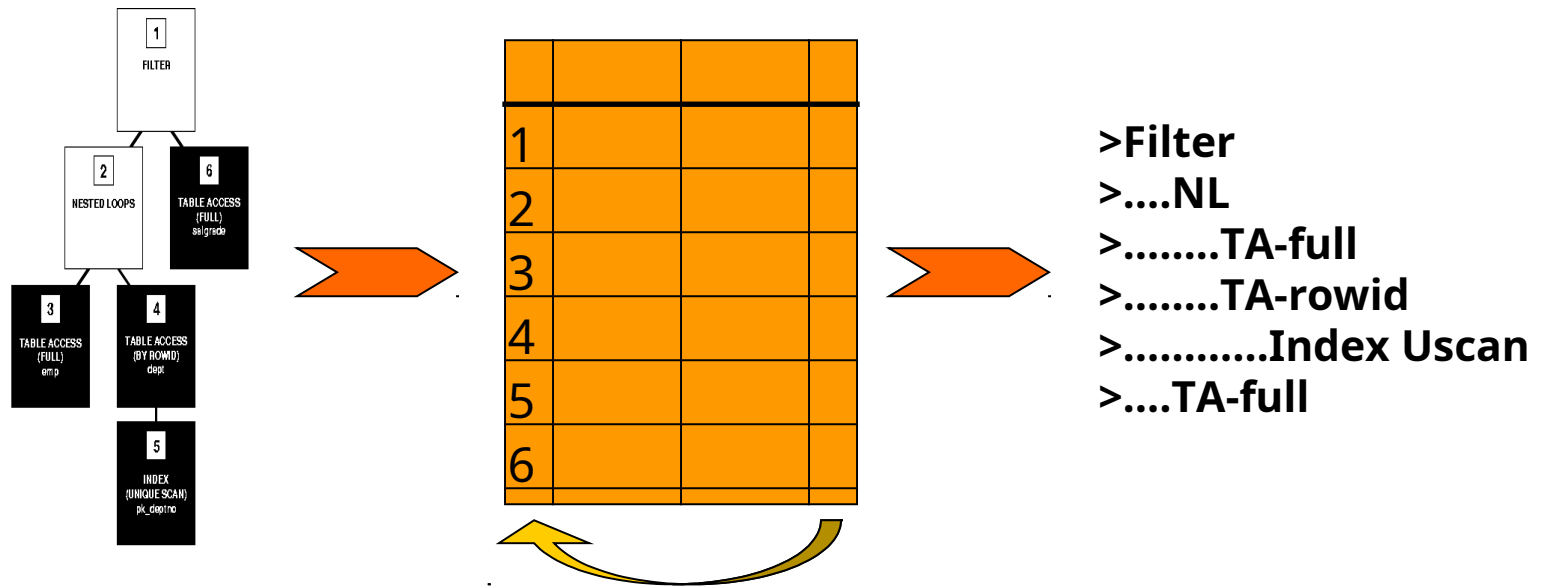
# Table & Index I/O

- I/O's are done at 'block level'
  - LRU list controls who 'makes place' in the cache



# Explain Plan Utility

- “Explain plan for <SQL-statement>”
  - Stores plan (row-sources + operations) in Plan\_Table
  - View on Plan\_Table (or 3<sup>rd</sup> party tool) formats into readable plan



# Explain Plan Utility

```
create table PLAN_TABLE (  
  statement_id      varchar2(30),      operation      varchar2(30),  
  options           varchar2(30),      object_owner   varchar2(30),  
  object_name       varchar2(30),      id            numeric,  
  parent_id         numeric,           position       numeric,  
  cost              numeric,           bytes          numeric);
```

```
create or replace view PLANS(STATEMENT_ID,PLAN,POSITION) as  
select statement_id,  
  rpad('>',2*level,'.')||operation||  
  decode(options,NULL,'',' (' )||nvl(options,' ')||  
  decode(options,NULL,'','')||  
  decode(object_owner,NULL,'',object_owner||'.')||object_name plan,  
  position  
from plan_table  
start with id=0  
connect by prior id=parent_id  
           and prior nvl(statement_id,'NULL')=nvl(statement_id,'NULL')
```



# Execution Plans

1. Single table without index
2. Single table with index
3. Joins
  1. Nested Loop
  2. Sort Merge
  3. Hash1 (small/large), hash2 (large/large)
4. Special operators

# Single Table, no Index (1.1)

```
SELECT *  
FROM emp;
```

```
>.SELECT STATEMENT  
>...TABLE ACCESS full emp
```

- Full table scan (**FTS**)
  - All blocks read sequentially into buffer cache
    - Also called “buffer-gets”
    - Done via multi-block I/O's (db\_file\_multiblock\_read\_count)
    - Till high-water-mark reached (truncate resets, delete not)
  - Per block: extract + return all rows
    - Then put block at **LRU-end** of LRU list (!)
    - **All other operations** put block at **MRU-end**

# Single Table, no Index (1.2)

```
SELECT *  
FROM emp  
WHERE sal > 100000;
```

```
>.SELECT STATEMENT  
>...TABLE ACCESS full emp
```

- Full table scan with filtering
  - Read all blocks
  - Per block extract, filter, then return row
    - **Simple where-clause filters never shown in plan**
    - FTS with: **rows-in > rows-out**

# Single Table, no Index (1.3)

```
SELECT *  
FROM emp  
ORDER BY ename;
```

```
>.SELECT STATEMENT  
>...SORT order by  
>.....TABLE ACCESS full emp
```

- FTS followed by sort on ordered-by column(s)
  - “Followed by” Ie. **SORT won't return rows to its parent row-source till its child row-source fully completed**
  - SORT order by: rows-in = rows-out
  - Small sorts done in memory (SORT\_AREA\_SIZE)
  - Large sorts done via **TEMPORARY tablespace**
    - Potentially many I/O's

# Single Table, no Index (1.3)

```
SELECT *  
FROM emp  
ORDER BY ename;
```

Emp(ename)

```
>.SELECT STATEMENT  
>...TABLE ACCESS full emp  
>.....INDEX full scan i_emp_ename
```

- If ordered-by column(s) is indexed
  - Index Full Scan
  - CBO uses index if mode = First\_Rows
  - If index is used => **no sort is necessary**

# Single Table, no Index (1.4)

```
SELECT job,sum(sal)
FROM emp
GROUP BY job;
```

```
>.SELECT STATEMENT
>...SORT group by
>.....TABLE ACCESS full emp
```

- FTS followed by sort on grouped-by column(s)
  - FTS will only retrieve job and sal columns
    - Small intermediate rowlength => sort more likely in memory
  - SORT group by: rows-in >> rows-out
  - Sort also computes aggregates

# Single Table, no Index (1.5)

```
SELECT job,sum(sal)
FROM emp
GROUP BY job
HAVING sum(sal)>200000;
```

```
>.SELECT STATEMENT
>...FILTER
>.....SORT group by
>.....TABLE ACCESS full emp
```

- **HAVING Filtering**
  - Only filter rows that comply to having-clause

# Single Table, no Index (1.6)

```
SELECT *  
FROM emp  
WHERE rowid=  
      '00004F2A.00A2.000C'
```

```
>.SELECT STATEMENT  
>...TABLE ACCESS by rowid emp
```

- Table access by rowid
  - Single row lookup
  - Goes straight to the block, and filters the row
  - Fastest way to retrieve one row
    - If you know its rowid



# Single Table, Index (2.1)

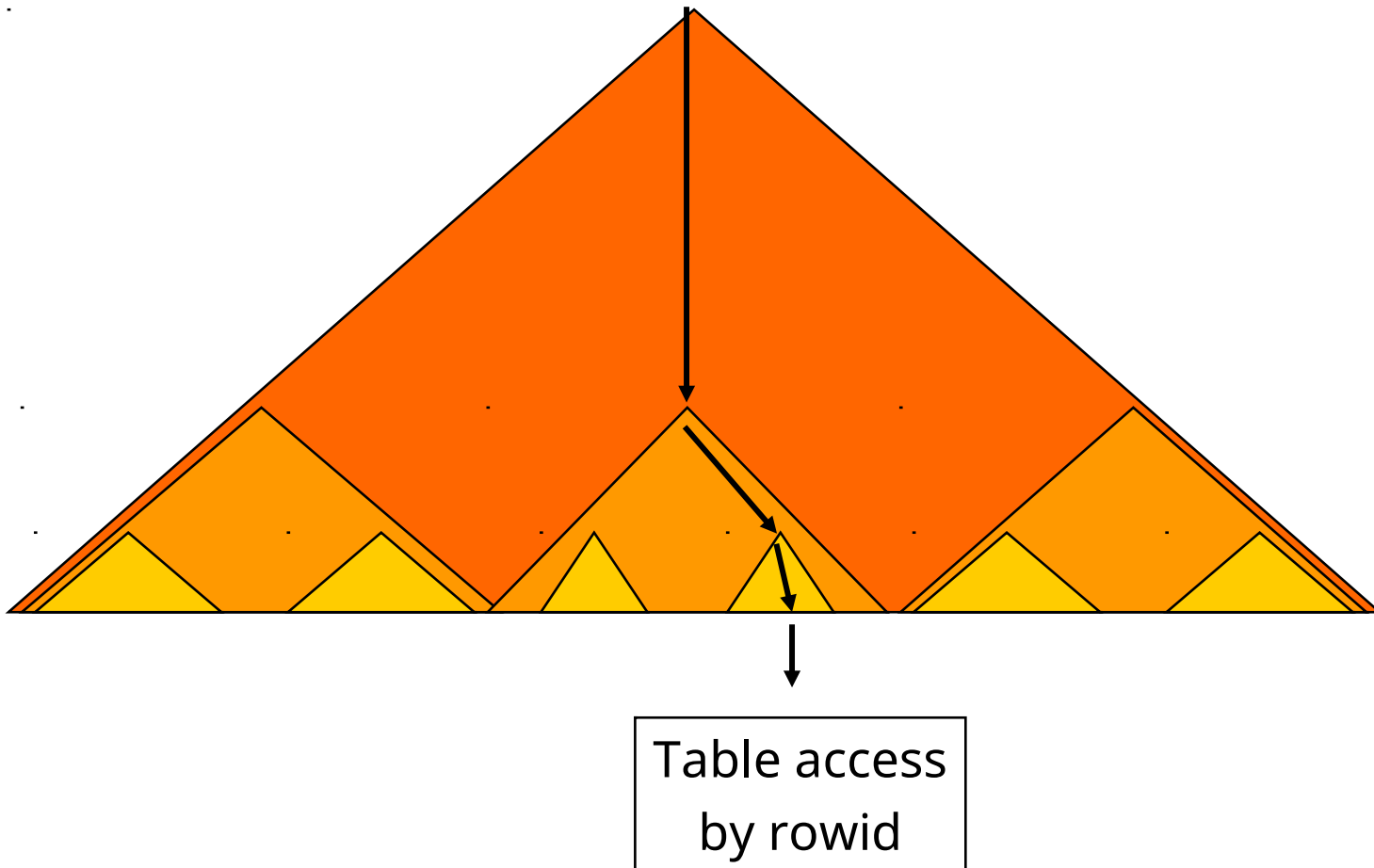
```
SELECT *  
FROM emp  
WHERE empno=174;
```

Unique emp(empno)

```
>.SELECT STATEMENT  
>...TABLE ACCESS by rowid emp  
>.....INDEX unique scan i_emp_pk
```

- Index **Unique** Scan
  - Traverses the node blocks to locate correct leaf block
  - Searches value in leaf block (if not found => done)
  - Returns rowid to parent row-source
    - Parent: accesses the file+block and returns the row

# Index Unique Scan (2.1)



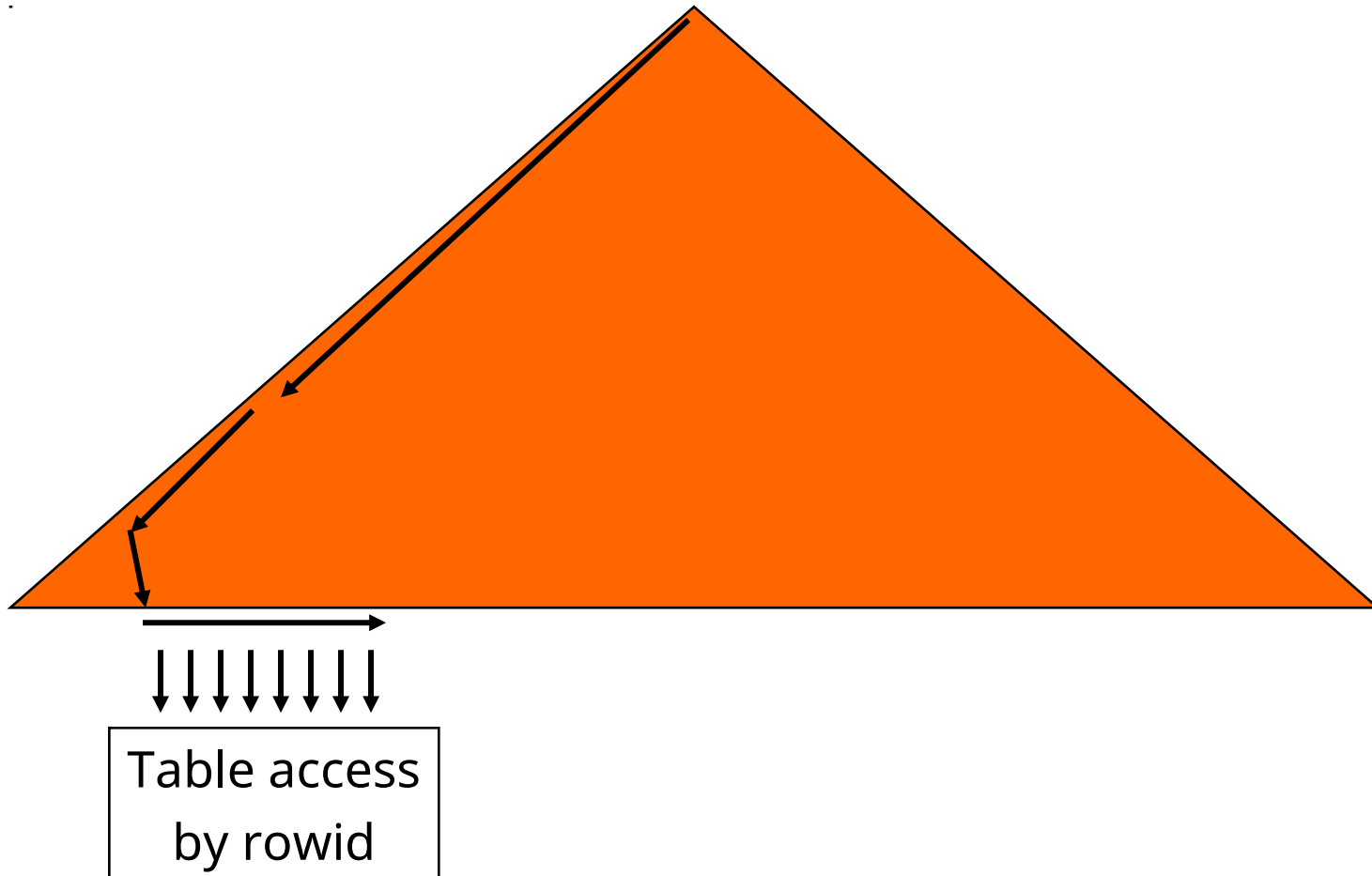
# Single Table, Index (2.2)

```
SELECT *  
FROM emp  
WHERE job='manager';  
  
emp(job)
```

```
>.SELECT STATEMENT  
>...TABLE ACCESS by rowid emp  
>.....INDEX range scan i_emp_job
```

- (Non-unique) Index Range Scan
  - Traverses the node blocks to locate most left leaf block
  - Searches 1<sup>st</sup> occurrence of value in leaf block
  - Returns rowid to parent row-source
    - Parent: accesses the file+block and returns the row
  - Continues on to next occurrence of value in leaf block
    - Until no more occurrences

# Index Range Scan (2.2)



# Single Table, Index (2.3)

```
SELECT *  
FROM emp  
WHERE empno>100;
```

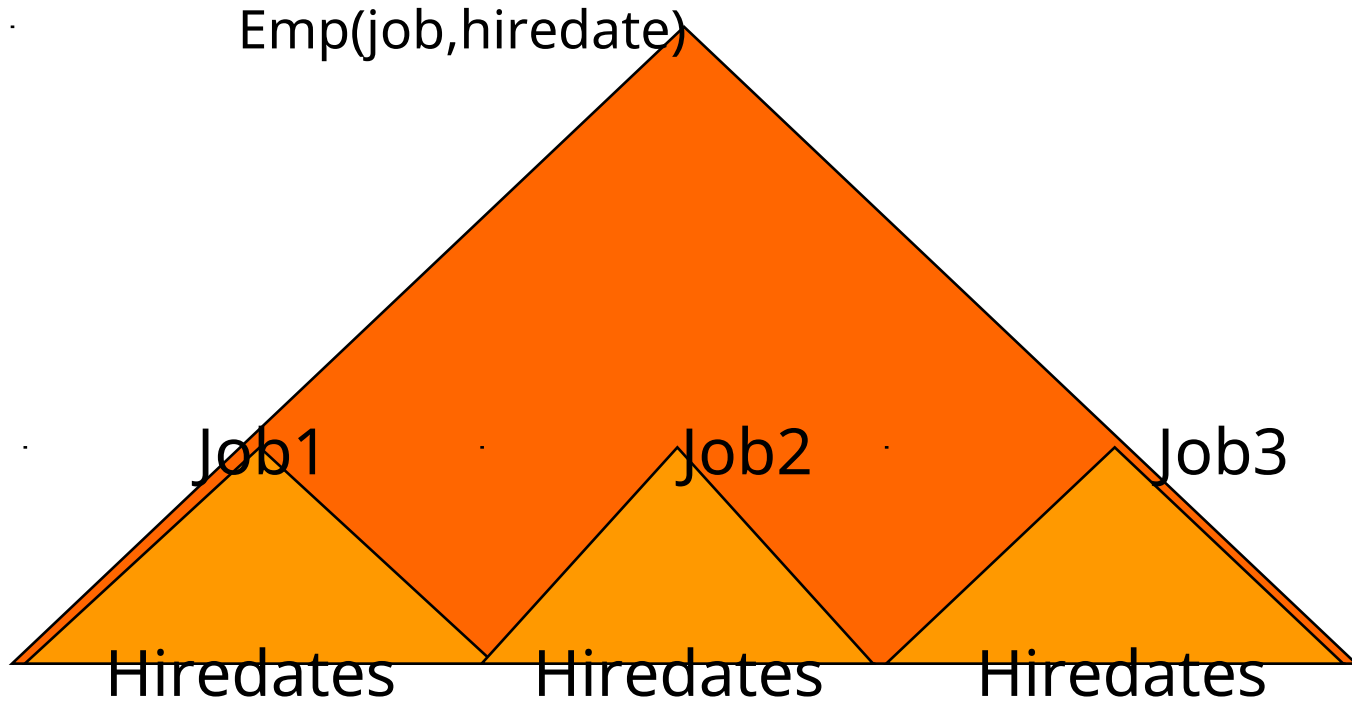
Unique emp(empno)

```
>.SELECT STATEMENT  
>...TABLE ACCESS by rowid emp  
>.....INDEX range scan i_emp_pk
```

- Unique Index Range Scan
  - Traverses the node blocks to locate most left leaf block with start value
  - Searches 1<sup>st</sup> occurrence of value-range in leaf block
  - Returns rowid to parent row-source
    - Parent: accesses the file+block and returns the row
  - Continues on to next valid occurrence in leaf block
    - Until no more occurrences / no longer in value-range



# Concatenated Indexes



Multiple levels of Btrees, by column order

# Single Table, Index (2.4)

```
SELECT *  
FROM emp  
WHERE job='manager'  
AND hiredate='01-01-2001';  
  
Emp(job,hiredate)
```

```
>.SELECT STATEMENT  
>...TABLE ACCESS by rowid emp  
>.....INDEX range scan i_emp_j_h
```

- Full Concatenated Index
  - Use job-value to navigate to sub-Btree
  - Then search all applicable hiredates

# Single Table, Index (2.5)

```
SELECT *  
FROM emp  
WHERE job='manager';
```

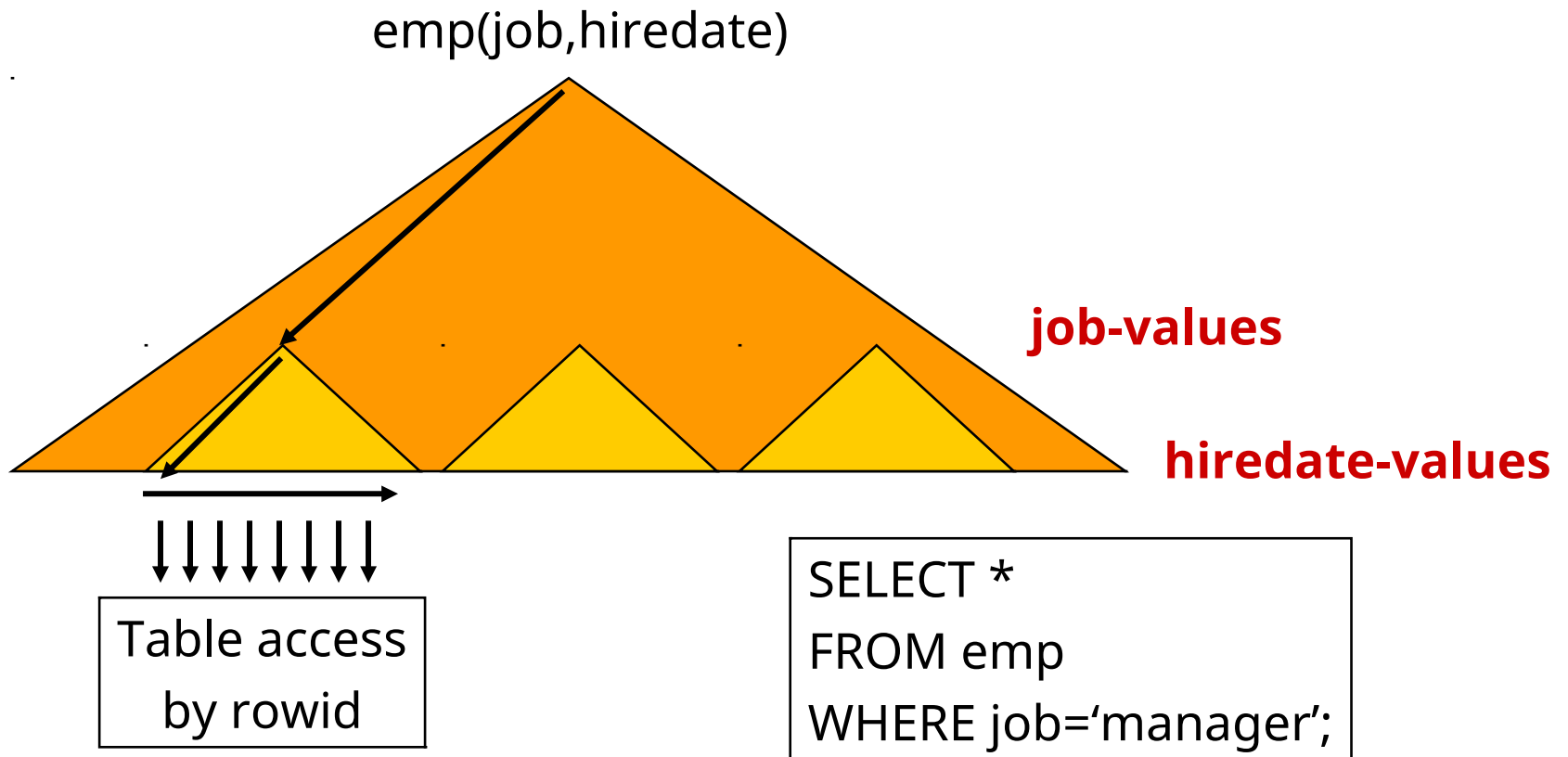
Emp(job,hiredate)

```
>.SELECT STATEMENT  
>...TABLE ACCESS by rowid emp  
>.....INDEX range scan i_emp_j_h
```

- (Leading) Prefix of Concatenated Index
  - Scans **full sub-Btree** inside larger Btree



# Index Range Scan (2.5)



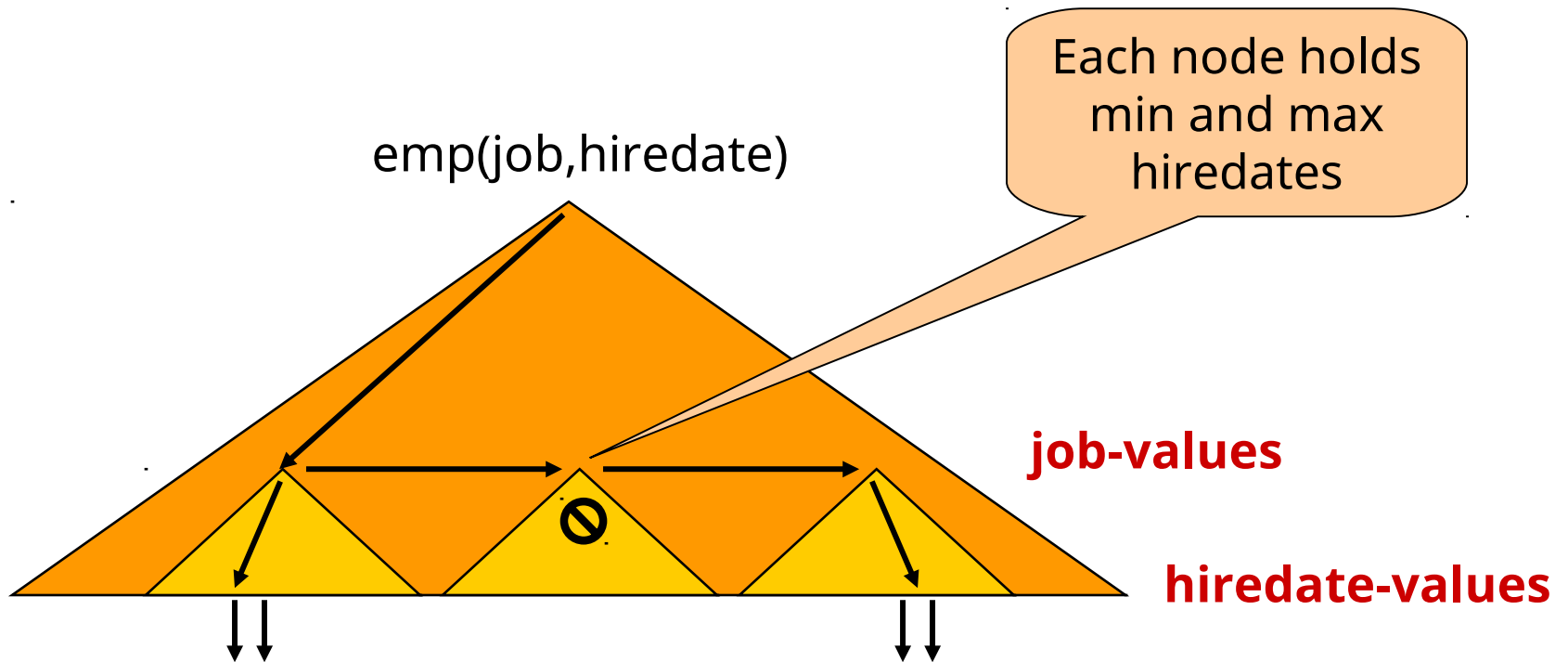
# Single Table, Index (2.6)

```
SELECT *  
FROM emp  
WHERE hiredate='01-01-2001';  
  
Emp(job,hiredate)
```

```
>.SELECT STATEMENT  
>...TABLE ACCESS by rowid emp  
>.....INDEX range scan i_emp_j_h
```

- Index **Skip Scan** (prior versions did FTS)
  - “To use indexes where they’ve never been used before”
  - Predicate on leading column(s) no longer needed
  - Views Btree as collection of smaller sub-Btrees
  - Works best with low-cardinality leading column(s)

# Index Skip Scan (2.6)



```
SELECT *  
FROM emp  
WHERE hiredate='01-01-2001';
```

# Single Table, Index (2.7)

```
SELECT *  
FROM emp  
WHERE empno>100  
AND job='manager';
```

```
Unique Emp(empno)  
Emp(job)
```

```
>.SELECT STATEMENT  
>...TABLE ACCESS by rowid emp  
>.....INDEX range scan i_emp_job
```

- Multiple Indexes
  - Rule: uses heuristic decision list to choose which one
    - Available indexes are 'ranked'
  - Cost: computes **most selective one** (ie. least costing)
    - **Uses statistics**

# RBO Heuristics

- Ranking multiple available indexes
  1. Equality on single column unique index
  2. Equality on concatenated unique index
  3. Equality on concatenated index
  4. Equality on single column index
  5. Bounded range search in index
    - Like, Between, Leading-part, ...
  6. Unbounded range search in index
    - Greater, Smaller (on leading part)

*Normally you hint which one to use*

# CBO Cost Computation

- Statistics at various levels
  - Table:
    - Num\_rows, Blocks, Empty\_blocks, Avg\_space
  - Column:
    - Num\_values, Low\_value, High\_value, Num\_nulls
  - Index:
    - Distinct\_keys, Blevel, Avg\_leaf\_blocks\_per\_key, Avg\_data\_blocks\_per\_key, Leaf\_blocks
- Used to compute selectivity of each index
  - **Selectivity = percentage of rows returned**
    - Number of I/O's plays big role
  - FTS is also considered at this time!

# Single Table, Index (2.1)

```
SELECT *  
FROM emp  
WHERE empno=174;
```

Unique emp(empno)

```
>.SELECT STATEMENT  
>...TABLE ACCESS by rowid emp  
>.....INDEX unique scan i_emp_pk  
or,  
>.SELECT STATEMENT  
>...TABLE ACCESS full emp
```

- CBO will use Full Table Scan If,  
# of I/O's to do FTS < # of I/O's to do IRS (Index Range Scan)
  - FTS I/O uses db\_file\_multiblock\_read\_count (dfmrc)
    - Typically 16
  - Unique scan uses: (blevel + 1) + 1 I/O's
  - FTS uses ceil(#table blocks / dfmrc) I/O's

# CBO: Clustering Factor

- Index level statistic
  - How well ordered are the rows in comparison to indexed values?
  - **Average number of blocks** to access a single value
    - **1** means range scans are cheap
    - **<# of table blocks>** means range scans are expensive
  - Used to rank multiple available range scans

Blck 1	Blck 2	Blck 3
-----		
A A A	B B B	C C C

Clust.fact = 1

Blck 1	Blck 2	Blck 3
-----		
A B C	A B C	A B C

Clust.fact = 3



# Single Table, Index (2.2)

```
SELECT *  
FROM emp  
WHERE job='manager';  
  
emp(job)
```

```
>.SELECT STATEMENT  
>...TABLE ACCESS by rowid emp  
>.....INDEX range scan i_emp_job  
or,  
>.SELECT STATEMENT  
>...TABLE ACCESS full emp
```

- Clustering factor comparing IRS against FTS
  - If,  $(\# \text{table blocks} / \text{dfmrc})$   
     $<$   
     $(\# \text{values} * \text{clust.factor}) + \text{blevel} + \text{leafblocks-to-visit}$   
then, FTS is used

# Single Table, Index (2.7)

```
SELECT *  
FROM emp  
WHERE empno>100  
AND job='manager';  
  
Unique Emp(empno)  
Emp(job)
```

```
>.SELECT STATEMENT  
>...TABLE ACCESS by rowid emp  
>.....INDEX range scan i_emp_job  
Or,  
>.SELECT STATEMENT  
>...TABLE ACCESS by rowid emp  
>.....INDEX range scan i_emp_empno
```

- Clust.factor comparing multiple IRS's
  - Suppose FTS is too many I/O's
  - Compare (#values \* clust.fact) **to decide which index**
    - Empno-selectivity => #values \* 1 => # I/O's
    - Job-selectivity => 1 \* clust.fact => # I/O's

# Single Table, Index (2.8)

```
SELECT *  
FROM emp  
WHERE job='manager'  
AND depno=10
```

```
Emp(job)  
Emp(depno)
```

```
>.SELECT STATEMENT  
>...TABLE ACCESS by rowid emp  
>.....AND-EQUAL  
>.....INDEX range scan i_emp_job  
>.....INDEX range scan i_emp_depno
```

- Multiple same-rank, single-column indexes
  - **AND-EQUAL**: merge up to 5 single column range scans
  - Combines multiple index range scans prior to table access
    - Intersects rowid sets from each range scan
  - Rarely seen with CBO

# Single Table, Index (2.9)

```
SELECT ename  
FROM emp  
WHERE job='manager';
```

Emp(job,ename)

```
>.SELECT STATEMENT  
>...INDEX range scan i_emp_j_e
```

- Using indexes to avoid table access
  - Depending on columns used in SELECT-list and other places of WHERE-clause
  - No table-access if all used columns present in index

# Single Table, Index (2.10)

```
SELECT count(*)  
FROM big_emp;
```

```
Big_emp(empno)
```

```
>.SELECT STATEMENT
```

```
>...INDEX fast full scan i_emp_empno
```

- Fast Full Index Scan (CBO only)
  - Uses same multiblock I/O as FTS
  - Eligible index must have **at least one NOT NULL column**
  - Rows are returned leaf-block order
    - Not in indexed-columns-order

# Joins, Nested Loops (3.1)

```
SELECT *  
FROM dept, emp;
```

```
>.SELECT STATEMENT  
>...NESTED LOOPS  
>.....TABLE ACCESS full dept  
>.....TABLE ACCESS full emp
```

- Full Cartesian Product via Nested Loop Join (NLJ)
  - Init(RowSource1);  
While not eof(RowSource1)  
Loop Init(RowSource2);  
While not eof(RowSource2)  
Loop return(CurRec(RowSource1)+CurRec(RowSource2));  
NxtRec(RowSource2);  
End Loop;  
NxtRec(RowSource1);  
End Loop;

Two loops,  
nested

# Joins, Sort Merge (3.2)

```
SELECT *  
FROM emp, dept  
WHERE emp.d# = dept.d#;
```

```
>.SELECT STATEMENT  
>...MERGE JOIN  
>.....SORT join  
>.....TABLE ACCESS full emp  
>.....SORT join  
>.....TABLE ACCESS full dept
```

- Inner Join, no indexes: Sort Merge Join (SMJ)

```
Tmp1 := Sort(RowSource1,JoinColumn);  
Tmp2 := Sort(RowSource2,JoinColumn);  
Init(Tmp1); Init(Tmp2);  
While Sync(Tmp1,Tmp2,JoinColumn)  
Loop return(CurRec(Tmp1)+CurRec(Tmp2));  
End Loop;
```

Sync  
advances  
pointer(s) to  
next match

# Joins (3.3)

```
SELECT *  
FROM emp, dept  
WHERE emp.d# = dept.d#;
```

Emp(d#)

```
>.SELECT STATEMENT  
>...NESTED LOOPS  
>.....TABLE ACCESS full dept  
>.....TABLE ACCESS by rowid emp  
>.....INDEX range scan e_emp_fk
```

- Inner Join, only **one side indexed**
  - NLJ starts with full scan of non-indexed table
  - Per row retrieved use index to find matching rows
    - Within 2<sup>nd</sup> loop a (current) value for d# is available!
    - And used to perform a range scan



# Joins (3.4)

```
SELECT *  
FROM emp, dept  
WHERE emp.d# = dept.d#
```

Emp(d#)  
Unique Dept(d#)

```
>.SELECT STATEMENT  
>...NESTED LOOPS  
>.....TABLE ACCESS full dept  
>.....TABLE ACCESS by rowid emp  
>.....INDEX range scan e_emp_fk  
Or,  
>.SELECT STATEMENT  
>...NESTED LOOPS  
>.....TABLE ACCESS full emp  
>.....TABLE ACCESS by rowid dept  
>.....INDEX unique scan e_dept_pk
```

- Inner Join, **both sides indexed**
  - RBO: NLJ, start with FTS of last table in FROM-clause
  - CBO: NLJ, start with FTS of biggest table in FROM-clause
    - Best multi-block I/O benefit in FTS
    - More likely smaller table will be in buffer cache

# Joins (3.5)

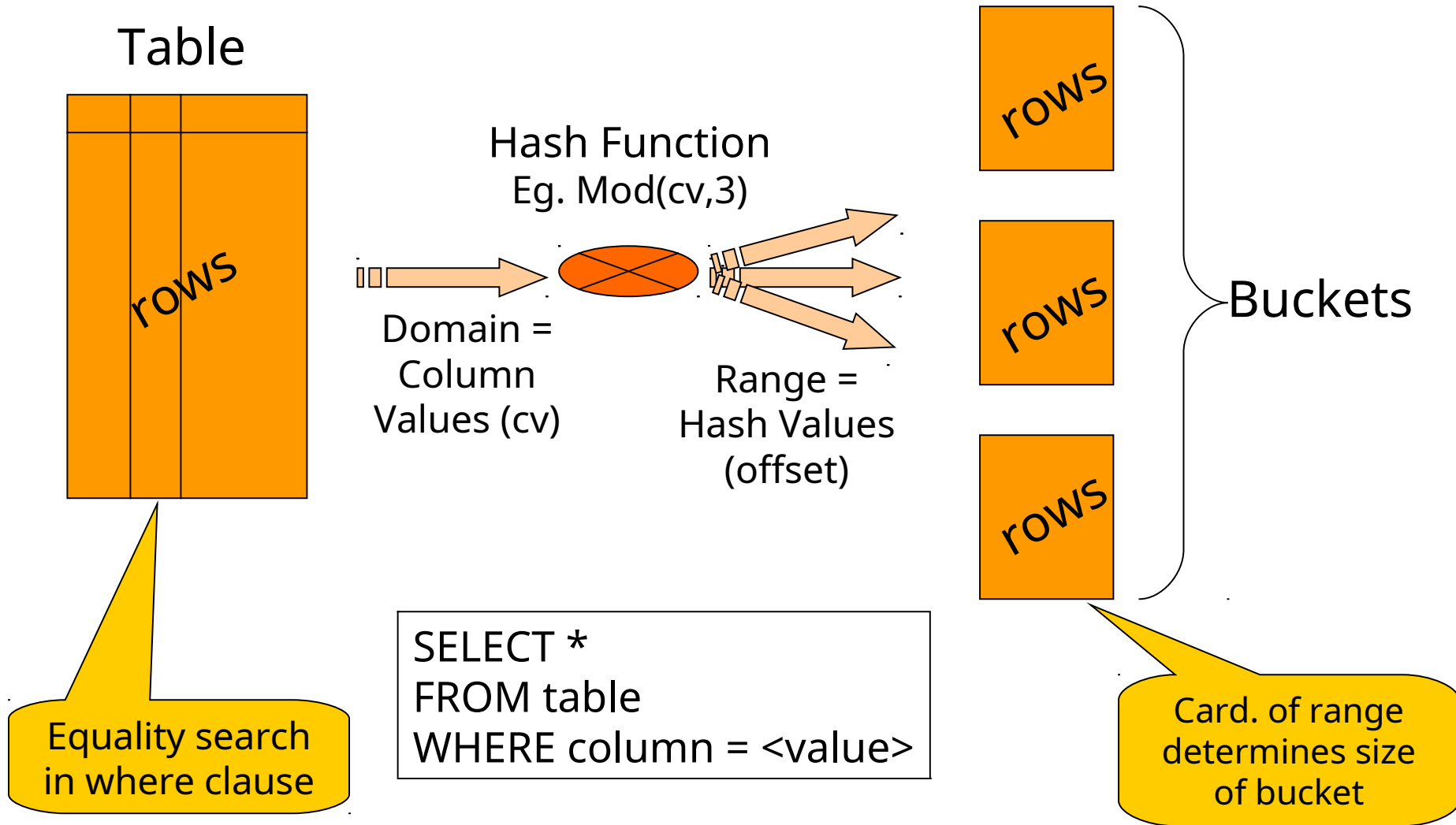
```
SELECT *  
FROM emp, dept  
WHERE emp.d# = dept.d#  
AND dept.loc = 'DALLAS'
```

Emp(d#)  
Unique Dept(d#)

```
>.SELECT STATEMENT  
>...NESTED LOOPS  
>.....TABLE ACCESS full dept  
>.....TABLE ACCESS by rowid emp  
>.....INDEX range scan e_emp_fk
```

- Inner Join with additional conditions
  - Nested Loops
  - Always starts with table that has extra condition(s)

# Hashing



# Joins, Hash (3.6)

```
SELECT *  
FROM dept, emp  
WHERE dept.d# = emp.d#
```

Emp(d#), Unique Dept(d#)

```
>.SELECT STATEMENT  
>...HASH JOIN  
>.....TABLE ACCESS full dept  
>.....TABLE ACCESS full emp
```

```
- Tmp1 := Hash(RowSource1,JoinColumn);      -- In memory  
  Init(RowSource2);  
  While not eof(RowSource2)  
    Loop HashInit(Tmp1,JoinValue);           -- Locate bucket  
      While not eof(Tmp1)  
        Loop return(CurRec(RowSource2)+CurRec(Tmp1));  
          NxtHashRec(Tmp1,JoinValue);  
        End Loop; NxtRec(RowSource2);  
      End Loop;  
    End Loop;
```

# Joins, Hash (3.6)

- Must be explicitly enabled via init.ora file:
  - Hash\_Join\_Enabled = True
  - Hash\_Area\_Size = <bytes>
- If hashed table **does not fit in memory**
  - 1<sup>st</sup> rowsource: temporary hash cluster is built
    - And written to disk (I/O's) in partitions
  - 2<sup>nd</sup> rowsource also converted using same hash-function
  - Per 'bucket' rows are matched and returned
    - One bucket must fit in memory, else very bad performance

# Subquery (4.1)

```
SELECT dname, deptno  
FROM dept  
WHERE d# IN  
  (SELECT d#  
   FROM emp);
```

```
>.SELECT STATEMENT  
>...NESTED LOOPS  
>.....VIEW  
>.....SORT unique  
>.....TABLE ACCESS full emp  
>.....TABLE ACCESS by rowid dept  
>.....INDEX unique scan i_dept_pk
```

- Transformation into join
  - Temporary view is built which drives the nested loop

# Subquery, Correlated (4.2)

```
SELECT *  
FROM emp e  
WHERE sal >  
  (SELECT sal  
   FROM emp m  
   WHERE m.e#=e.mgr#)
```

```
>.SELECT STATEMENT  
>...FILTER  
>.....TABLE ACCESS full emp  
>.....TABLE ACCESS by rowid emp  
>.....INDEX unique scan i_emp_pk
```

- “Nested Loops”-like FILTER
  - For each row of 1<sup>st</sup> rowsource, execute 2<sup>nd</sup> rowsource and filter on truth of subquery-condition
  - Subquery can be re-written as self-join of EMP table

# Subquery, Correlated (4.2)

```
SELECT *  
FROM emp e, emp m  
WHERE m.e#=e.mgr#  
AND e.sal > m.sal;
```

```
>.SELECT STATEMENT  
>...NESTED LOOPS  
>.....TABLE ACCESS full emp  
>.....TABLE ACCESS by rowid emp  
>.....INDEX unique scan i_emp_pk
```

- Subquery **rewrite to join**
  - Subquery can also be rewritten to EXISTS-subquery



# Subquery, Correlated (4.2)

```
SELECT *  
FROM emp e  
WHERE exists  
  (SELECT 'less salary'  
   FROM emp m  
   WHERE e.mgr# = m.e#  
        and m.sal < e.sal);
```

```
>.SELECT STATEMENT  
>...FILTER  
>.....TABLE ACCESS full emp  
>.....TABLE ACCESS by rowid emp  
>.....INDEX unique scan i_emp_pk
```

- Subquery rewrite to EXISTS query
  - For each row of 1<sup>st</sup> rowsource, execute 2<sup>nd</sup> rowsource  
And filter on retrieval of rows by 2<sup>nd</sup> rowsource

# Concatenation (4.3)

```
SELECT *  
FROM emp  
WHERE mgr# = 100  
OR job = 'CLERK';
```

```
Emp(mgr#)  
Emp(job)
```

```
>.SELECT STATEMENT  
>...CONCATENATION  
>.....TABLE ACCESS by rowid emp  
>.....INDEX range scan i_emp_m  
>.....TABLE ACCESS by rowid emp  
>.....INDEX range scan i_emp_j
```

- Concatenation (OR-processing)
  - Similar to query **rewrite into 2 separate queries**
  - Which are then 'concatenated'
  - If one index was missing => Full Table Scan

# Inlist Iterator (4.4)

```
SELECT *  
FROM dept  
WHERE d# in (10,20,30);
```

Unique Dept(d#)

```
>.SELECT STATEMENT  
>...INLIST ITERATOR  
>.....TABLE ACCESS by rowid dept  
>.....INDEX unique scan i_dept_pk
```

- Iteration over enumerated value-list
  - Every value executed separately
- Same as concatenation of 3 “OR-red” values

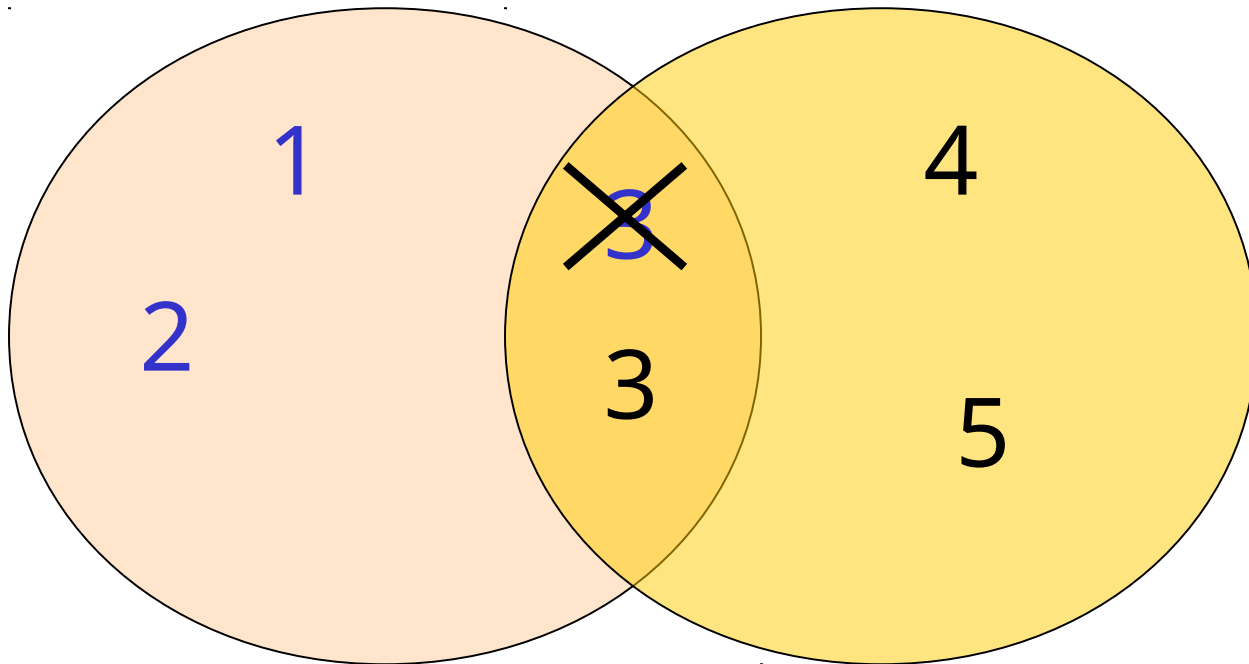
# Union (4.5)

```
SELECT empno  
FROM emp  
UNION  
SELECT deptno  
FROM dept;
```

```
>.SELECT STATEMENT  
>...SORT unique  
>.....UNION  
>.....TABLE ACCESS full emp  
>.....TABLE ACCESS full dept
```

- Union followed by **Sort-Unique**
  - Sub row sources are all executed/optimized individually
  - Rows retrieved are 'concatenated'
  - Set theory demands unique elements (Sort)

# UNION



# Union All (4.6)

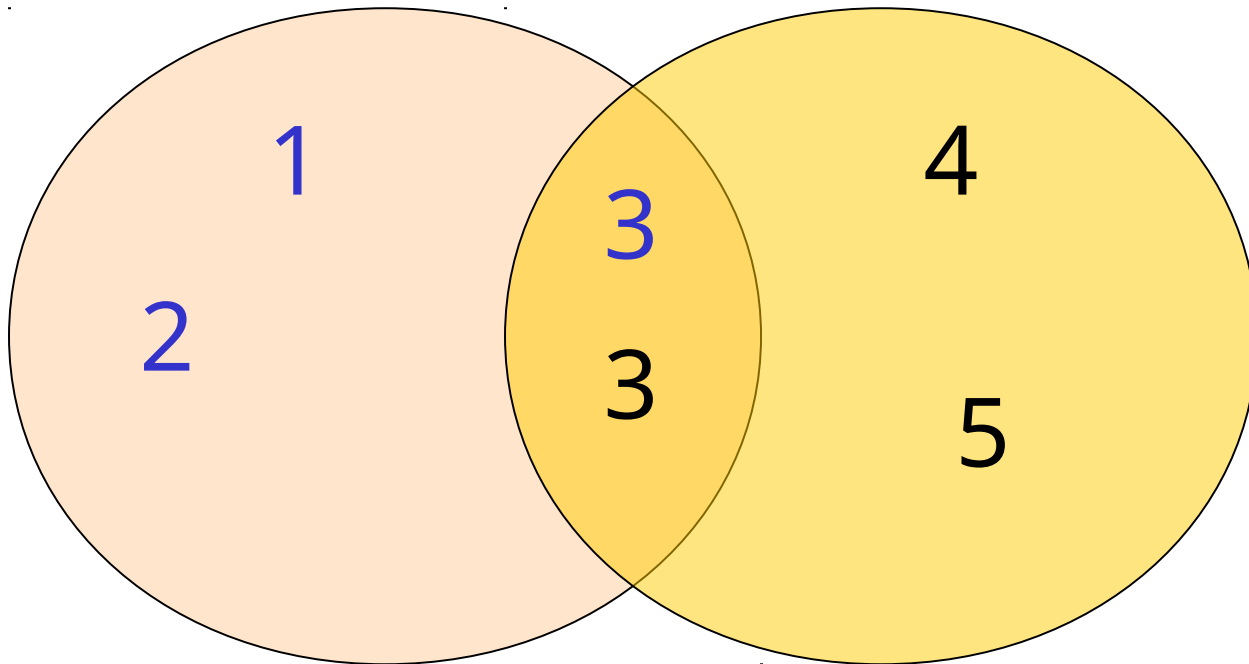
```
SELECT empno  
FROM emp  
UNION ALL  
SELECT deptno  
FROM dept;
```

```
>.SELECT STATEMENT  
>...UNION-ALL  
>.....TABLE ACCESS full emp  
>.....TABLE ACCESS full dept
```

- **Union-All:** result is a 'bag', not a set
  - (expensive) Sort-operator not necessary

*Use UNION-ALL if you know the bag is a set.  
(saving an expensive sort)*

# UNION ALL



# Intersect (4.7)

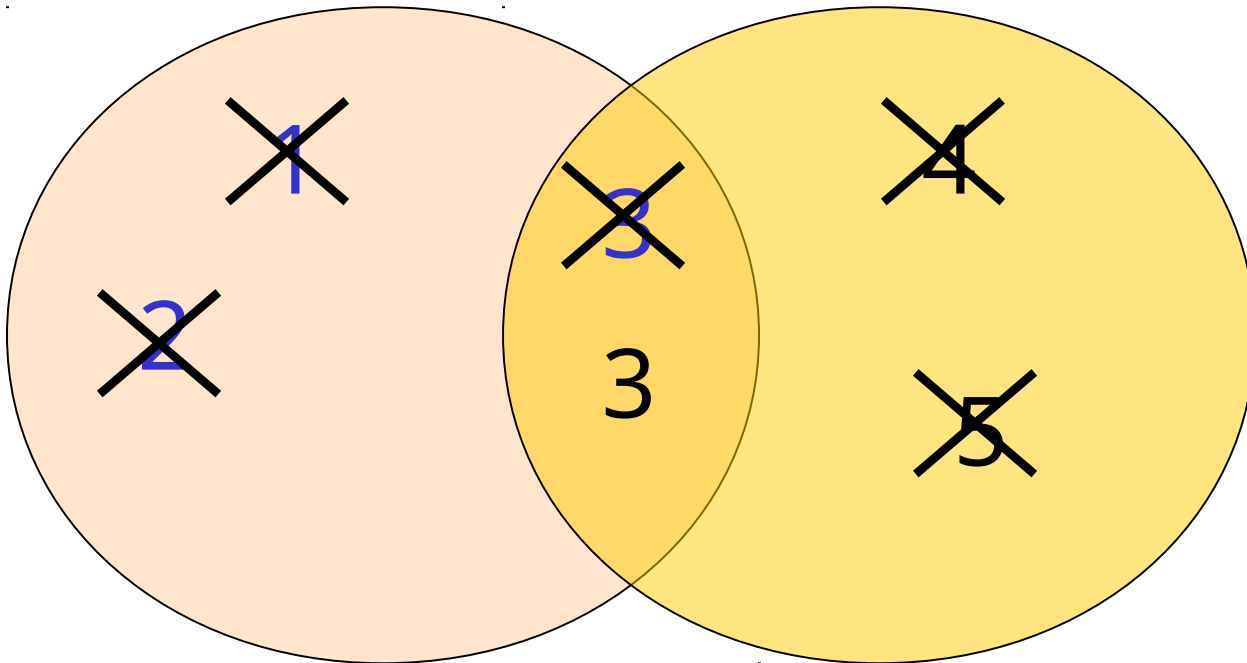
```
SELECT empno  
FROM emp  
INTERSECT  
SELECT deptno  
FROM dept;
```

```
>.SELECT STATEMENT  
>...INTERSECTION  
>.....SORT unique  
>.....TABLE ACCESS full emp  
>.....SORT unique  
>.....TABLE ACCESS full dept
```

- INTERSECT
  - Sub row sources are all executed/optimized individually
  - Very **similar to Sort-Merge-Join** processing
  - Full rows are sorted and matched



# INTERSECT



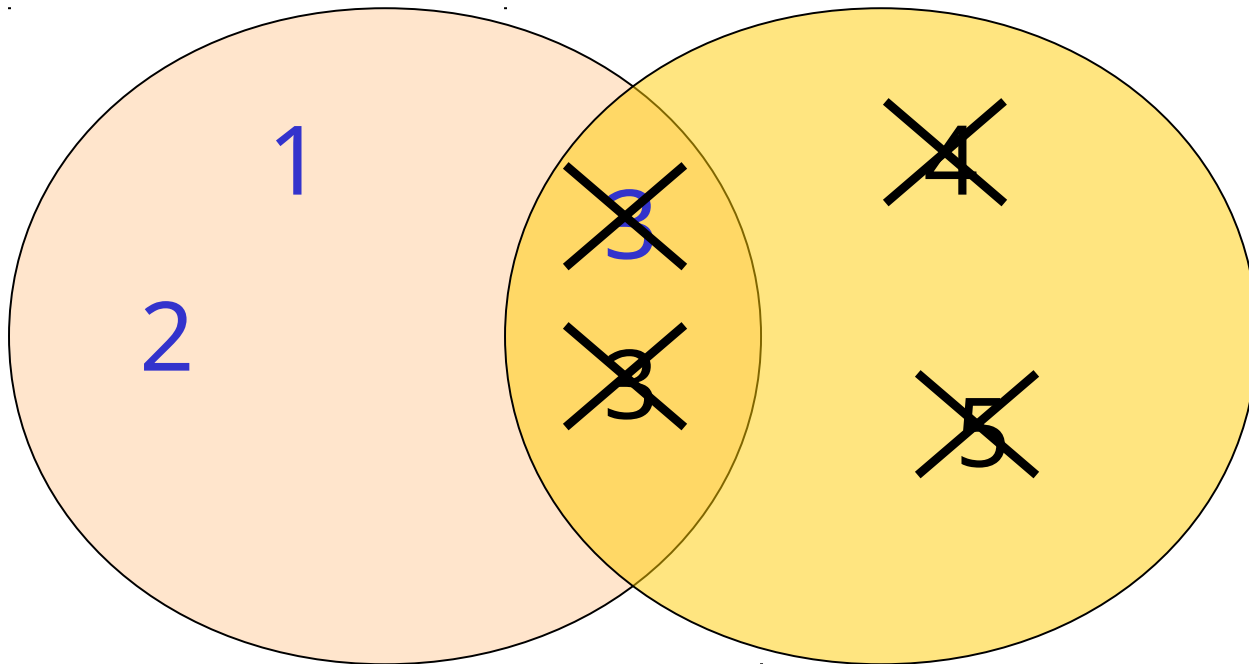
# Minus (4.8)

```
SELECT empno  
FROM emp  
MINUS  
SELECT deptno  
FROM dept;
```

```
>.SELECT STATEMENT  
>...MINUS  
>.....SORT unique  
>.....TABLE ACCESS full emp  
>.....SORT unique  
>.....TABLE ACCESS full dept
```

- MINUS
  - Sub row sources are all executed/optimized individually
  - Similar to INTERSECT processing
    - Instead of match-and-return, match-and-exclude

# MINUS



# Utilities

- Tracing
- SQL Hints
- Analyze command
- Dbms\_Stats package

# Trace Files

- **Explain-plan:** give insight before execution
- **Tracing:** give insight in actual execution
  - CPU-time spent
  - Elapsed-time
  - # of physical block-I/O's
  - # of cached block-I/O's
  - Rows-processed per row-source
- Session must be put in trace-mode
  - Alter session set sql\_trace=true;
  - Exec  
dbms\_system.set\_sql\_trace\_in\_session(sid,s#,T/F);

# Trace Files

- Tracefile is generated **on database server**
  - Needs to be formatted with TKPROF-utility

```
tkprof <tracefile> <tkp-file> <un>/<pw>
```

- Two sections per SQL-statement:

call	count	cpu	elapsed	disk	query	current	rows
Parse	1	0.06	0.07	0	0	0	0
Execute	1	0.01	0.01	0	0	0	0
Fetch	1	0.11	0.13	0	37	2	2
total	3	0.18	0.21	0	37	2	2

# Trace Files

- 2<sup>nd</sup> section: **extended explain plan:**

- Example 4.2 (emp with more sal than mgr),

```
#R Plan_____
2  SELECT STATEMENT
14  FILTER
14   TABLE ACCESS (FULL) OF 'EMP'
11   TABLE ACCESS (BY ROWID) OF 'EMP'
12    INDEX (UNIQUE SCAN) OF 'I_EMP_PK' (UNIQUE)
```

- Emp has 14 records
- Two of them have no manager (NULL mgr column value)
- One of them points to non-existing employee
- Two actually earn more than their manager

# Hints

- **Force optimizer** to pick specific alternative
  - **Implemented via embedded comment**

```
SELECT /*+ <hint> */ ....  
FROM ....  
WHERE ....
```

```
UPDATE /*+ <hint> */ ....  
WHERE ....
```

```
DELETE /*+ <hint> */ ....  
WHERE ....
```

```
INSERT (see SELECT)
```



# Hints

- Common hints
  - Full(<tab>)
  - Index(<tab> <ind>)
  - Index\_asc(<tab> <ind>)
  - Index\_desc(<tab> <ind>)
  - Ordered
  - Use\_NL(<tab> <tab>)
  - Use\_Merge(<tab> <tab>)
  - Use\_Hash(<tab> <tab>)
  - Leading(<tab>)
  - First\_rows, All\_rows, Rule

# Analyze command

- Statistics need to be periodically generated
  - Done via 'ANALYZE' command

```
Analyze <Table | Index> <x>  
<compute | estimate | delete> statistics  
    <sample <x> <Rows | Percent>>
```

```
Analyze table emp estimate statistics sample 30 percent;
```

*ANALYZE will be de-supported*

# Dbms\_Stats Package

- Successor of Analyze command
  - Dbms\_stats.gather\_index\_stats(<owner>,<index>,<blocksample>,<est.percent>)
  - Dbms\_stats.gather\_table\_stats(<owner>,<table>,<blocksample>,<est.percent>)
  - Dbms\_stats.delete\_index\_stats(<owner>,<index>)
  - Dbms\_stats.delete\_table\_stats(<owner>,<table>)

SQL>exec dbms\_stats.gather\_table\_status('scott','emp',null,30);

# Warehouse Specifics

- Traditional Star Query
- Bitmap Indexes
  - Bitmap merge, and, conversion-to-rowid
  - Single table query
- Star Queries
  - Multiple tables

# Traditional Star Query

```
SELECT f.*  
FROM a,b,f  
WHERE a.pk = f.a_fk  
AND b.pk = f.b_fk  
AND a.t = ... AND b.s = ...
```

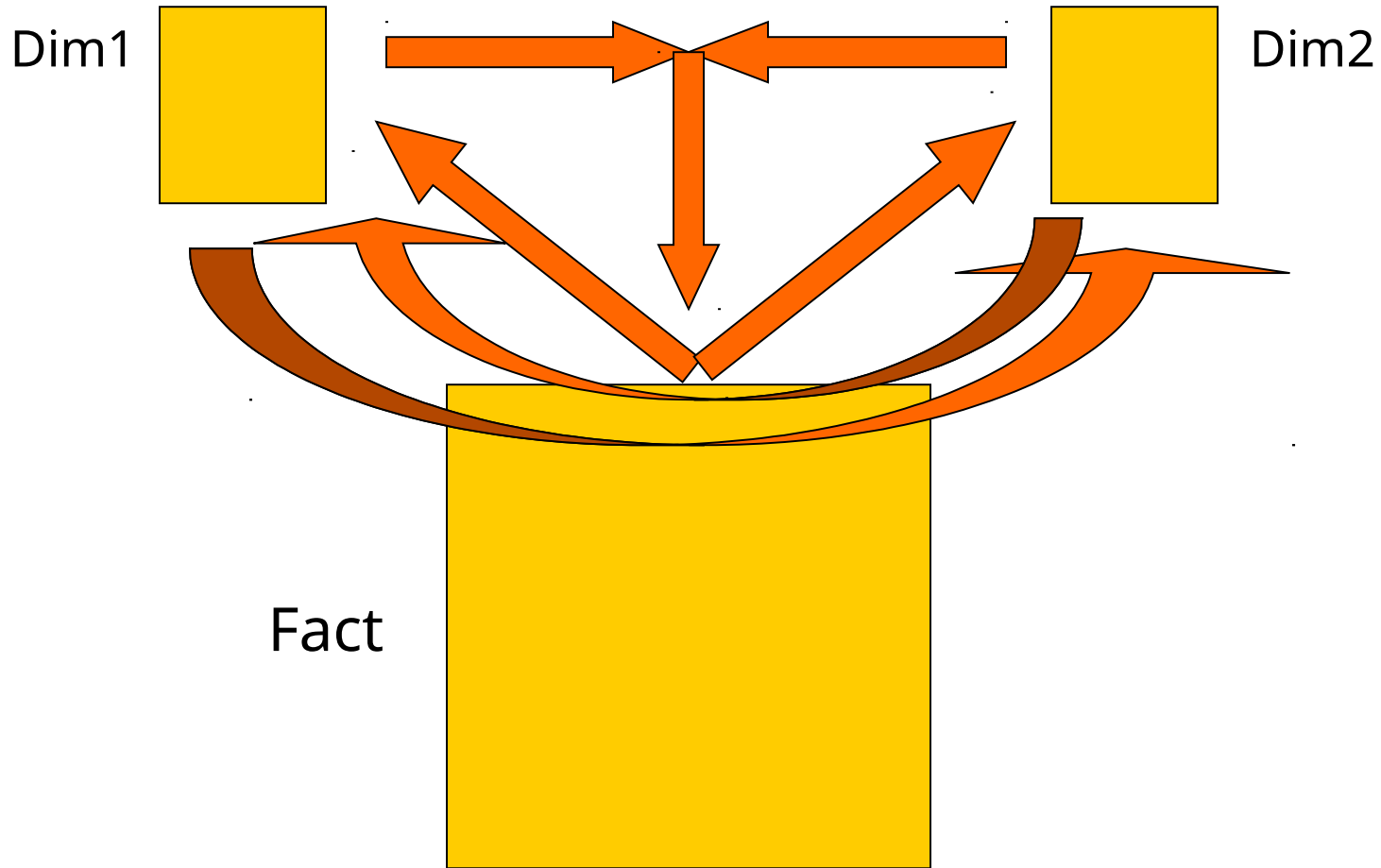
```
A(pk), B(pk)  
F(a_fk), F(b_fk)
```

```
>.SELECT STATEMENT  
>...NESTED LOOPS  
>.....NESTED LOOPS  
>.....TABLE ACCESS full b  
>.....TABLE ACCESS by rowid fact  
>.....INDEX range scan i_fact_b  
>.....TABLE ACCESS by rowid a  
>.....INDEX unique scan a_pk
```

- Double nested loops
  - Pick one table as start (A or B)
  - Then follow join-conditions using Nested\_Loops

Too complex for AND-EQUAL

# Traditional Star Query



*Four access-order alternatives!*

# Traditional Star Query

```
SELECT f.*  
FROM a,b,f  
WHERE a.pk = f.a_fk  
AND b.pk = f.b_fk  
AND a.t = ... AND b.s = ...
```

F(a\_fk,b\_fk,...)

```
>.SELECT STATEMENT  
>...NESTED LOOPS  
>.....MERGE JOIN cartesian  
>.....TABLE ACCESS full a  
>.....SORT join  
>.....TABLE ACCESS full b  
>.....TABLE ACCESS by rowid fact  
>.....INDEX range scan I_f_abc
```

- Concatenated Index Range Scans for Star Query
  - At least two dimensions
  - Index at least one column more than dimensions used
  - Merge-Join-Cartesian gives all applicable dimension combinations
  - Per combination the concatenated index is probed

# Bitmap Access, Single Table

```
SELECT count(*)  
FROM customer  
WHERE status='M'  
AND region in ('C','W');
```

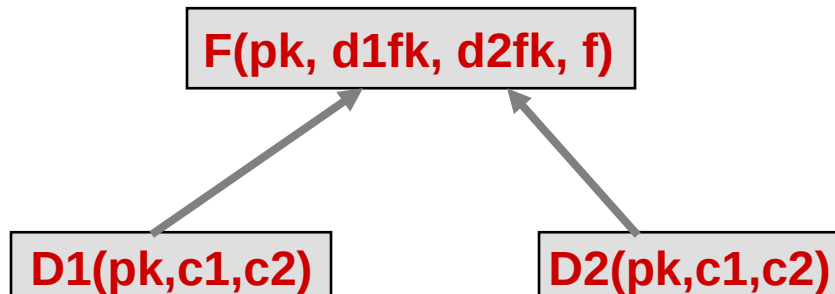
```
>.....TABLE ACCESS (BY INDEX ROWID) cust  
>.....BITMAP CONVERSION to rowids  
>.....BITMAP AND  
>.....BITMAP INDEX single value cs  
>.....BITMAP MERGE  
>.....BITMAP KEY ITERATION  
>.....BITMAP INDEX range scan cr
```

- Bitmap OR's, AND's and CONVERSION
  - Find Central and West bitstreams (bitmap key-iteration)
  - Perform logical OR on them (bitmap merge)
  - Find Married bitstream
  - Perform logical AND on region bitstream (bitmap and)
  - Convert to actual rowid's
  - Access table



# Bitmap Access, Star Query

Bitmap indexes: id1, id2



```
SELECT sum(f)
FROM F,D1,D2
WHERE F=D1 and F=D2
AND D1.C1=<...>
AND D2.C2=<...>
```

```
>.....TABLE ACCESS (BY INDEX ROWID) f
>.....BITMAP CONVERSION (TO ROWIDS)
>.....BITMAP AND
>.....BITMAP MERGE
>.....BITMAP KEY ITERATION
>.....TABLE ACCESS (FULL) d1
>.....BITMAP INDEX (RANGE SCAN) id1
>.....BITMAP MERGE
>.....BITMAP KEY ITERATION
>.....TABLE ACCESS (FULL) d2
>.....BITMAP INDEX (RANGE SCAN) id2
```

# Warehouse Hints

- Specific star-query related hints
  - Star
    - Traditional: via concat-index range scan
  - Star\_transformation
    - Via single column bitmap index merges/and's
  - Fact(t) / No\_fact(t)
    - Help star\_transformation
  - Index\_combine(t i1 i2 ...)
    - Explicitely instruct which indexes to merge/and

# SQL Tuning: Roadmap

- Able to read plan
- Able to translate plan into 3GL program
  - Know your row-source operators
- Able to read SQL
- Able to translate SQL into business query
  - Know your datamodel
- Able to judge outcome
  - Know your business rules / data-statistics
    - Better than CBO does
- Experts:
  - Optimize SQL while writing SQL...