

Introduction to Graph Theory

A **(simple) graph** is a pair $G = (V, E)$, where V is the (finite) set of **vertices** (or **nodes**), E is the (finite) set of **edges**, and each edge is an unordered pair of vertices.

Introduction to Graph Theory

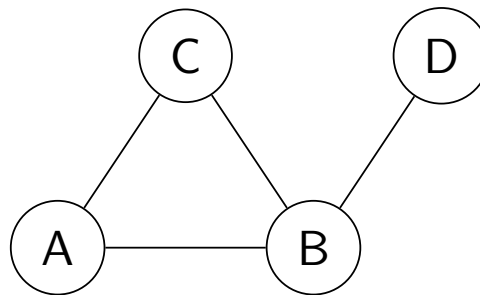
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Example:

$$V = \{A, B, C, D\}$$

$$E = \{\{A, B\}, \{A, C\}, \{B, C\}, \{B, D\}\}$$

Visual representation:

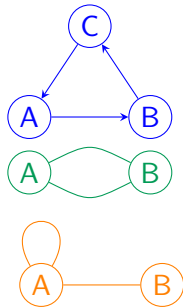


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By modifying the definition of a simple graph, we can also define:

- A **directed graph** or **digraph**, if each edge is an ordered pair of vertices.
- A **multigraph**, if E is a multiset.
- A **pseudograph**, if we allow **loops**, i.e., edges that connect a vertex with itself.
- A **hypergraph**, if we allow edges to be sets of vertices of any cardinality.
- An **infinite graph**, if we allow V or E to be an infinite set.



Introduction to Graph Theory

Graphs can be used to represent *networks*, as for instance social networks, neural networks, chemical reaction networks, epidemic networks, etc.



Graphs: Basic definitions

The vertex set of a graph G is denoted by $V(G)$ or simply V ; the edge set of a graph G is denoted by $E(G)$ or simply E .

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Given two vertices u and v , if $uv \in E$, then u and v are said to be **adjacent** (denoted $u \sim v$), and they are said to be the **end vertices** of the edge uv . If $uv \notin E$, then u and v are **nonadjacent** (denoted $u \not\sim v$). Furthermore, if an edge e has a vertex v as an end vertex, we say that v is **incident** with e .

Graphs: Basic definitions

The **neighborhood** (or **open neighborhood**) of a vertex v , denoted by $N(v)$, is the set of vertices adjacent to v :

$$N(v) = \{x \in V \mid vx \in E\}.$$

The **closed neighborhood** of a vertex v , denoted by $N[v]$, is the set $\{v\} \cup N(v)$.

Graphs: Basic definitions

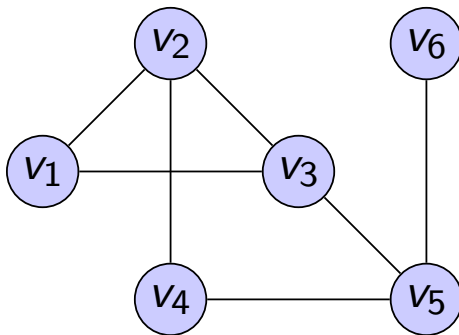
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Given a set S of vertices, we define the neighborhood of S , denoted by $N(S)$, to be the union of the neighborhoods of the vertices in S . Similarly, the closed neighborhood of S , denoted $N[S]$, is defined to be $S \cup N(S)$.

Example



- **Order:** $|V| = 6$
- **Size:** $|E| = 7$
- **Adjacency:** v_1 is adjacent to v_2 and v_3
- **Neighborhood:** $N(v_2) = \{v_1, v_3, v_4\}$
- **Closed neighborhood:**
 $N[v_2] = \{v_2, v_1, v_3, v_4\}$

Graphs: Basic definitions

The **degree** of a vertex v , denoted by $\deg(v)$, is the number of edges that are incident with v . In simple graphs, this is the same as the cardinality of the (open) neighborhood of v .

The **maximum degree** of a graph G , denoted by $\Delta(G)$, is defined to be

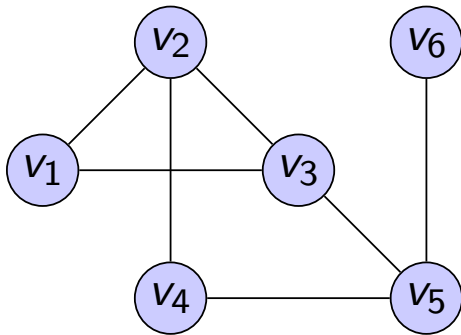
$$\Delta(G) = \max\{\deg(v) \mid v \in V(G)\}.$$

Similarly, the **minimum degree** of a graph G , denoted by $\delta(G)$, is defined to be

$$\delta(G) = \min\{\deg(v) \mid v \in V(G)\}.$$

The **degree sequence** of a graph of order n is the n -term sequence (usually written in descending order) of the vertex degrees.

Example



- **Maximum degree:** $\Delta(G) = 3$
- **Minimum degree:** $\delta(G) = 1$
- **Degree sequence:** $(3, 3, 3, 2, 2, 1)$

First Theorem in Graph Theory

Theorem

In a graph G , the sum of the degrees of the vertices is equal to twice the number of edges. Consequently, the number of vertices with odd degree is even.

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Proof.

Let $S = \sum_{v \in V} \deg(v)$. Note that, in counting S , we count each edge exactly twice. Thus, $S = 2|E|$, i.e., the sum of the degrees is twice the number of edges. Since S is even, it must be that the number of vertices with odd degree is even. □

Graphs: Basic definitions

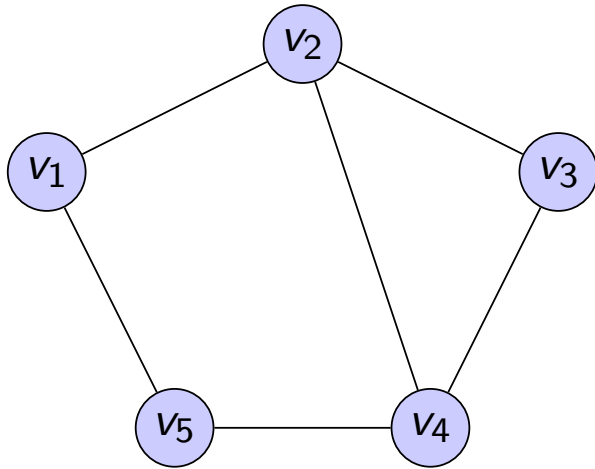
A **walk** in a graph is a sequence of (not necessarily distinct) vertices v_1, v_2, \dots, v_k such that $v_i v_{i+1} \in E$ for $i = 1, 2, \dots, k - 1$. Such a walk is sometimes called a $v_1 - v_k$ walk, and v_1 and v_k are called the **end vertices of the walk**. If the vertices in a walk are distinct, then the walk is called a **path**. If the edges in a walk are distinct, then the walk is called a **trail**. Hence, every path is a trail, but not every trail is a path.

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A **closed path**, or **cycle**, is a path v_1, \dots, v_k (where $k \geq 3$) together with the edge $v_k v_1$. Similarly, a trail that begins and ends at the same vertex is called a **closed trail**, or **circuit**. The **length** of a walk (or path, or trail, or cycle, or circuit) is its number of edges, counting repetitions.

Example



- **Walk:** v_1, v_2, v_4, v_2, v_3
(vertices can repeat)
- **Path:** v_1, v_2, v_3, v_4, v_5
(no repeated vertices)
- **Trail that is not a path:** v_1, v_2, v_4, v_3, v_2
(no repeated edges, but vertex v_2 repeats)
- **Cycle:** $v_1, v_2, v_3, v_4, v_5, v_1$
(closes a path)
- **Length of cycle:** 5
(number of edges)

Graphs: Basic definitions

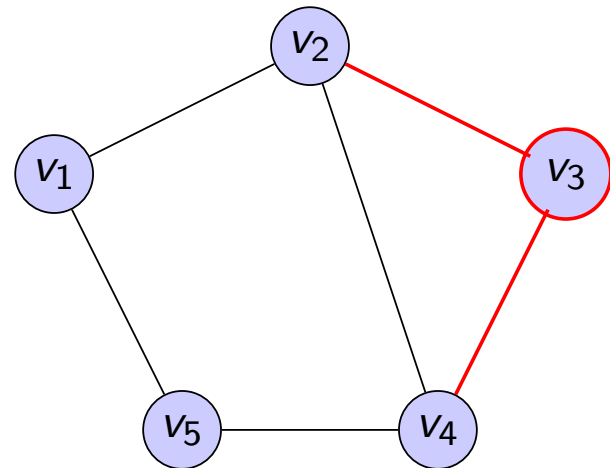
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Graphs: Basic definitions

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Given a graph G and a vertex $v \in V(G)$, we let $G - v$ denote the graph obtained by removing v and all edges incident with v from G .

More generally, S is a set of vertices, we let $G - S$ denote the graph obtained by removing each vertex of S and all associated incident edges.

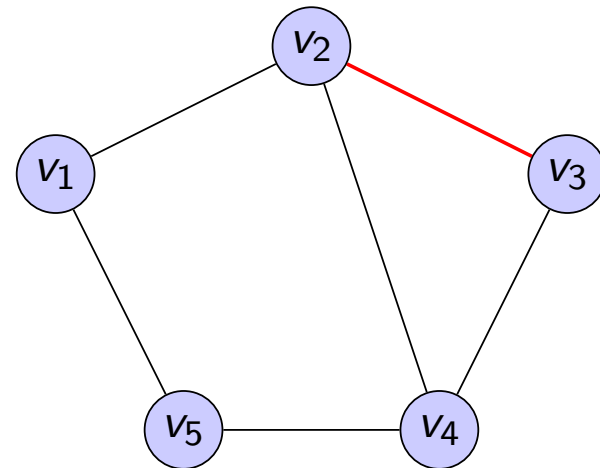


Graphs: Basic definitions

We now introduce two operations on graphs: **vertex deletion** and **edge deletion**.

If e is an edge of G , then $G - e$ is the graph obtained by removing only the edge e (its end vertices stay).

More generally, if T is a set of edges, then $G - T$ is the graph obtained by deleting each edge of T from G .



Graphs: Basic definitions

A graph is **connected** if every pair of vertices can be joined by a path. Informally, if one can pick up an entire graph by grabbing just one vertex, then the graph is connected.

A graph that is not connected is said to be **disconnected**.

Each maximal connected piece of a graph is called a **connected component**.

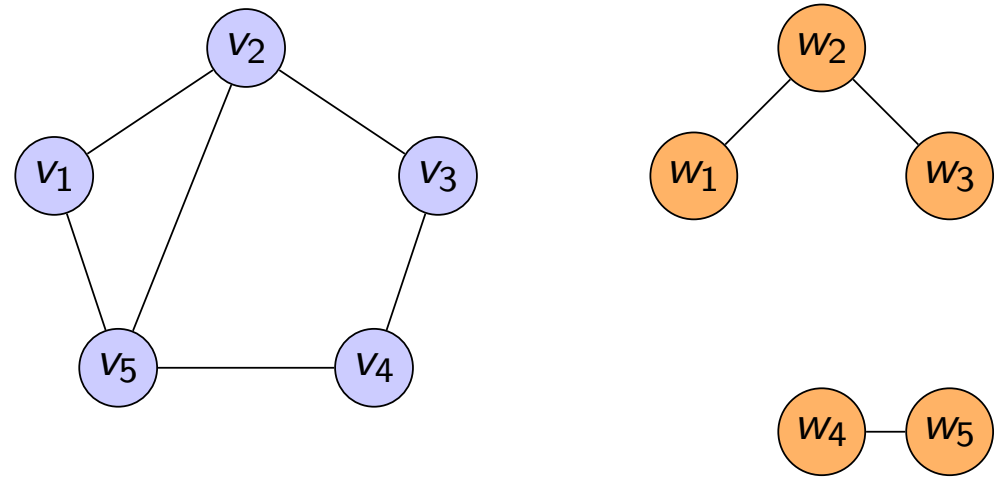


Figure: A connected graph with vertices v_1, \dots, v_5 , and a disconnected graph with two connected components, on vertices w_1, \dots, w_5 .

Special types of graphs

A graph is said to be **complete** if every vertex is adjacent to every other vertex. The complete graph of order n is denoted by K_n .

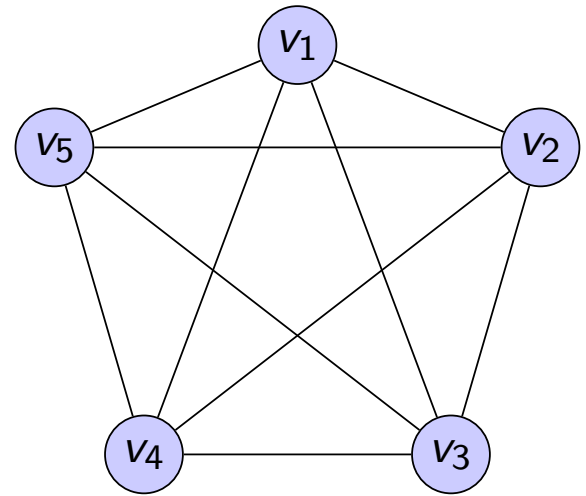


Figure: The complete graph K_5 .

Special types of graphs

The **empty graph** on n vertices, denote by E_n , is the graph of order n where E is the empty set.

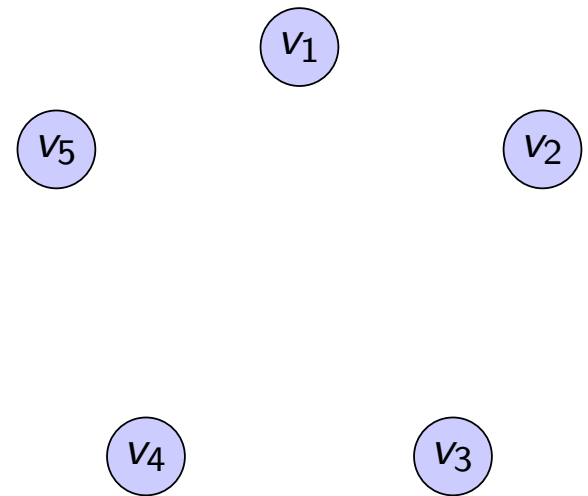


Figure: The empty graph E_5 .

Special types of graphs

Given a graph G , the **complement** of G , denoted by \bar{G} , is the graph whose vertex set is the same as that of G , and whose edge set consists of all the edges that are not in G .

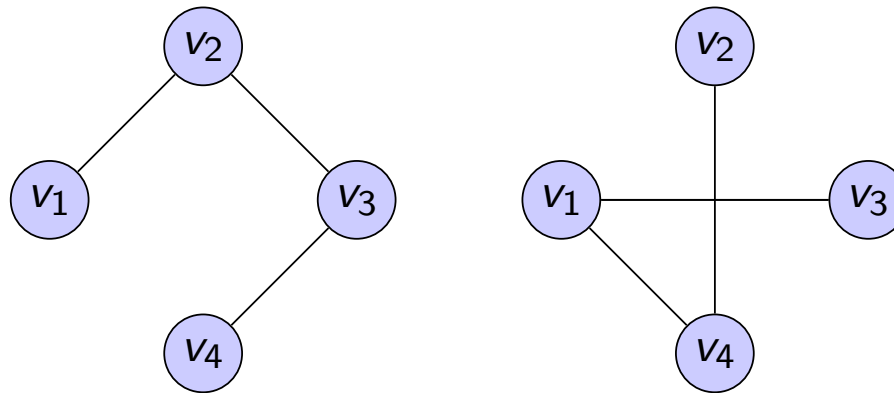


Figure: A graph G and its complement \bar{G} .

Special types of graphs

A graph G is **regular** if every vertex has the same degree. In particular, G is said to be regular of degree r (or r -regular) if $\deg(v) = r$ for all vertices v in G .

For example, complete graphs of order n are regular of degree $n - 1$, and empty graphs are regular of degree 0.

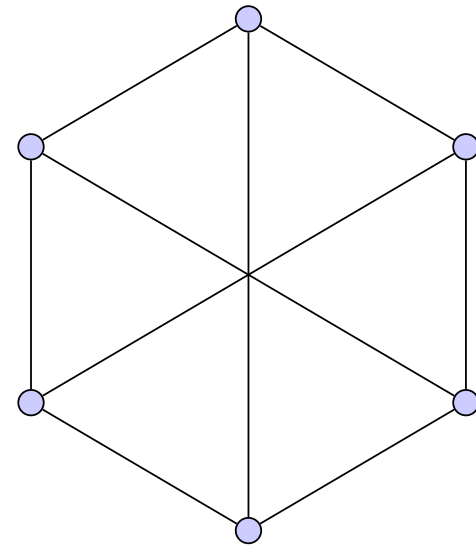
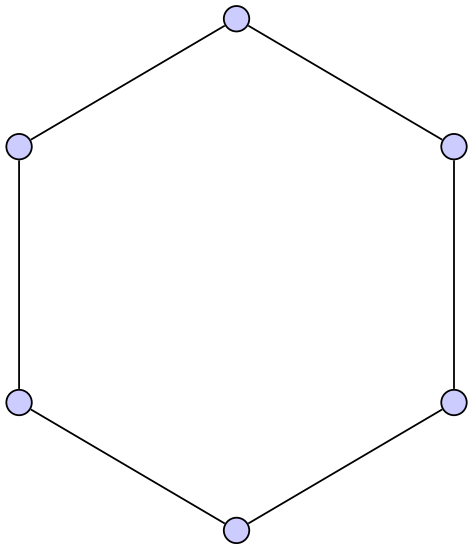


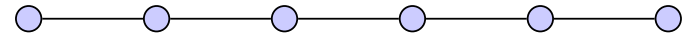
Figure: A 3-regular graph.

Special types of graphs

The **cycle graph** C_n is a cycle on n vertices.



The **path graph** P_n is a path on n vertices.



Special types of graphs

A graph H is a **subgraph** of a graph G if $V(H) \subseteq V(G)$ and $E(H) \subseteq E(G)$. In this case, we write $H \subseteq G$, and we say that G contains H . In a graph where the vertices and edges are unlabeled, we say that $H \subseteq G$ if the vertices could be labeled in such a way that $V(H) \subseteq V(G)$ and $E(H) \subseteq E(G)$.

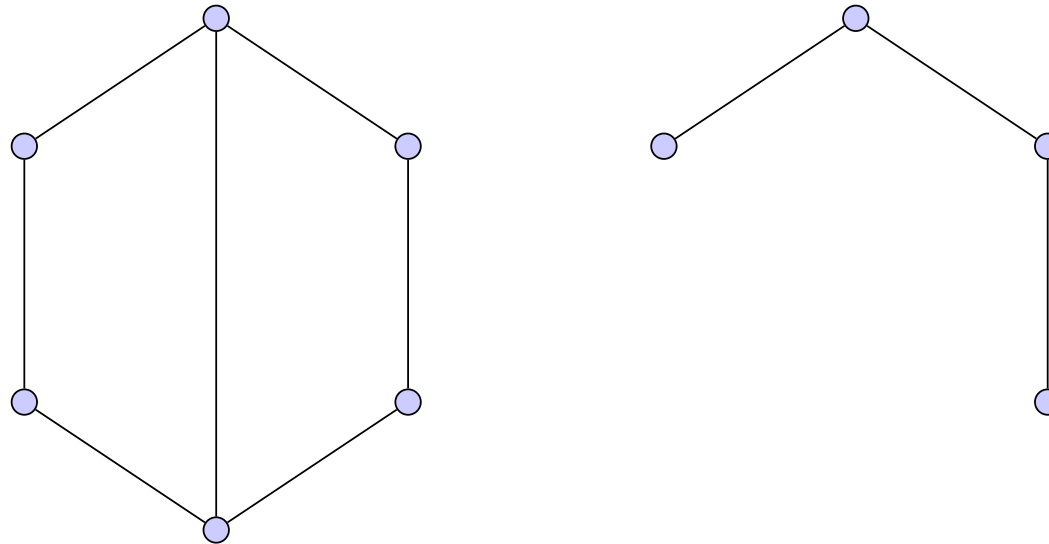
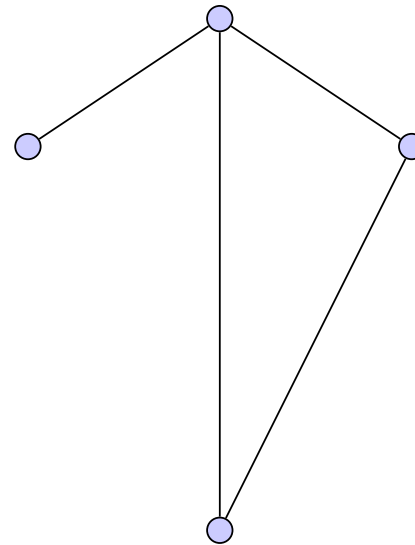
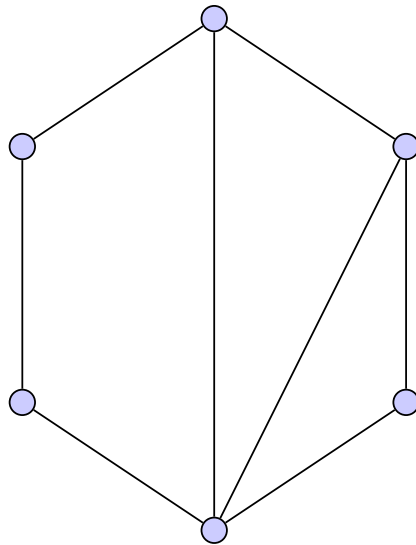


Figure: A graph G and a subgraph of G .

Special types of graphs

Given a graph G and a subset S of the vertex set, the subgraph of G **induced** by S , denoted $\langle S \rangle$, is the subgraph with vertex set S and with edge set $\{uv \mid u, v \in S \text{ and } uv \in E(G)\}$.

Hence, $\langle S \rangle$ contains all vertices of S and all edges of G whose end vertices are both in S .

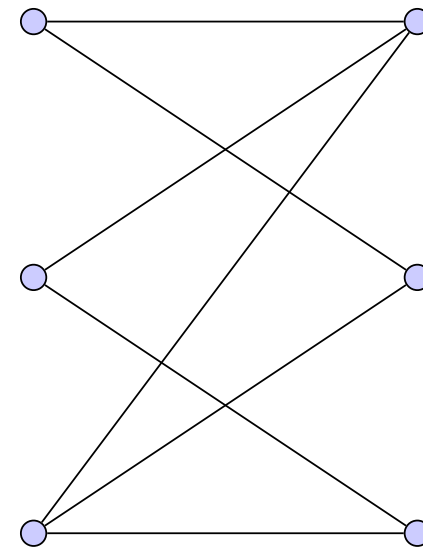


Special types of graphs

A graph G is **bipartite** if its vertex set can be partitioned into two sets X and Y in such a way that every edge of G has one end vertex in X and the other in Y . In this case, X and Y are called the **partite sets**.

Theorem

A graph with at least two vertices is bipartite if and only if it contains no odd cycles.



Special types of graphs

A bipartite graph with partite sets X and Y is called a **complete bipartite graph** if its edge set is of the form

$$E = \{xy \mid x \in X, y \in Y\}$$

(that is, if every possible connection of a vertex of X with a vertex of Y is present in the graph). Such graph is denoted by $K_{|X|,|Y|}$.

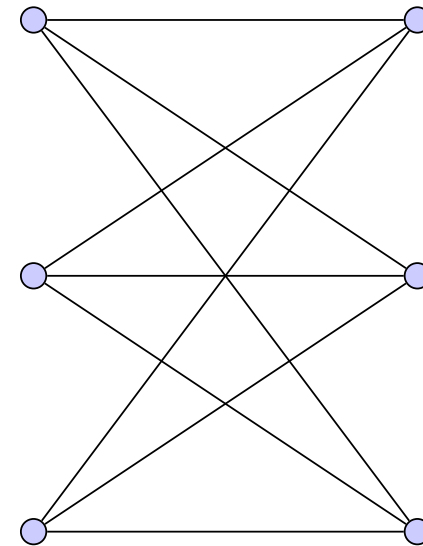


Figure: $K_{3,3}$.

Isomorphic graphs

A **function** f from a set A to a set B (denoted $f : A \rightarrow B$) is a rule that assigns to every element a in the set A a unique element $f(a)$ in the set B .

The set $A = D(f)$ is called the **domain** of f , while B is called the **codomain** of f . The set $R(f)$ of elements that appear as $f(a)$ for some a is called the **image** or **range** of f .

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A function $f : A \rightarrow B$ is said to be a **bijective function**, or a **bijection**, if each element of B is the image of exactly one element of A .

Example. If $f : \{1, 2, 3\} \rightarrow \{A, B, C\}$ is given by $f(1) = A$, $f(2) = B$, $f(3) = C$, then f is a bijection.

Isomorphic graphs

Two graphs G and H are said to be **isomorphic to one another** (or simply, **isomorphic**) if there exists a bijection $f : V(G) \rightarrow V(H)$ such that for each pair x, y of vertices of G , $xy \in E(G)$ if and only if $f(x)f(y) \in E(H)$.

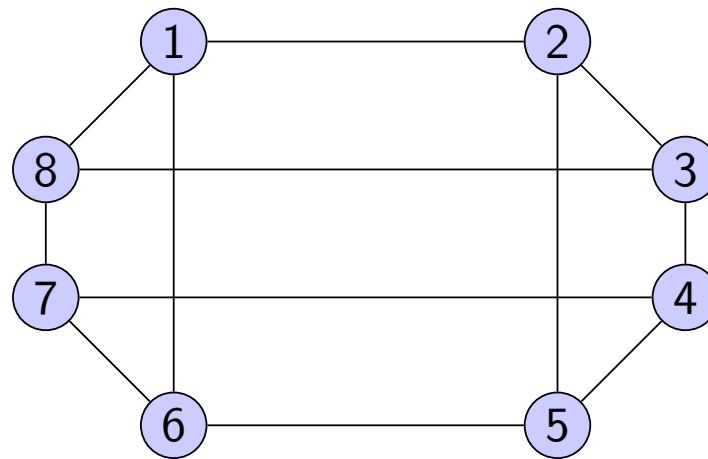
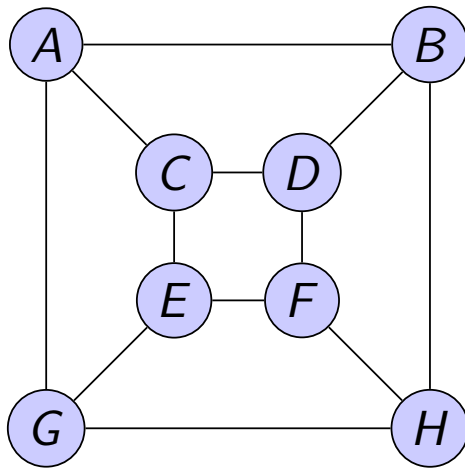
In other words, G and H are isomorphic if there exists a mapping from one vertex set to another that preserves adjacencies. The mapping itself is called an **isomorphism**.

When two graphs G and H are isomorphic, it is not uncommon to simply say that $G = H$ or that “ G is H .”

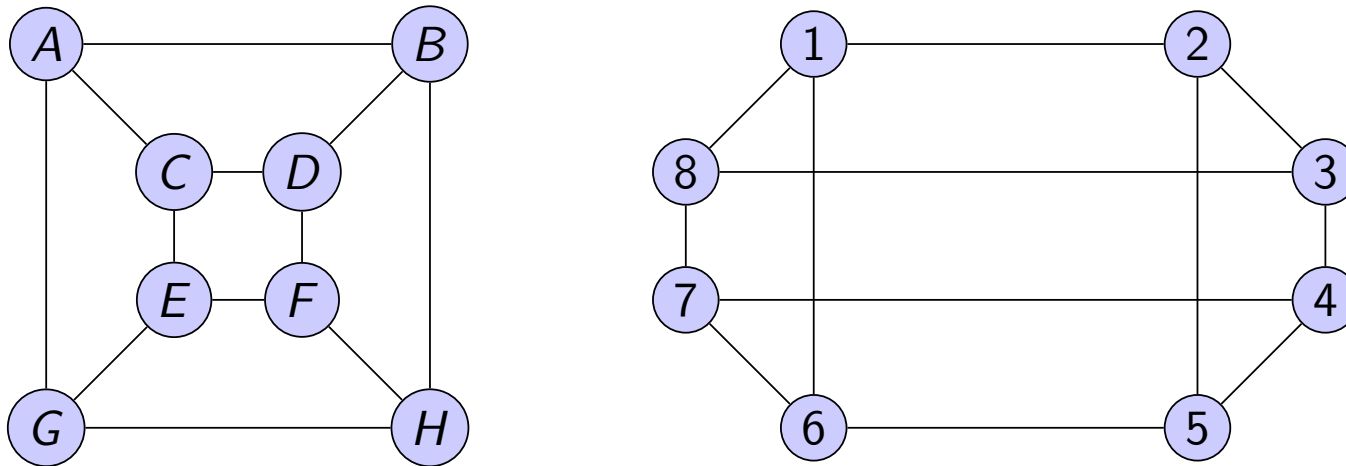
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Example: Are these two graphs isomorphic?



Isomorphic graphs



Yes! There exists a bijection $f : V(G) \rightarrow V(H)$ given by:

$$f(A) = 1, f(B) = 2, f(C) = 8, f(D) = 3, f(E) = 7, f(F) = 4, f(G) = 6, f(H) = 5.$$

Since this function preserves adjacencies, the graphs are isomorphic.

Isomorphic graphs

Isomorphic graphs have several applications, including:

- **Chemistry:**
Identifying chemical compounds in databases by matching molecular structures.
- **Social network analysis:**
Detecting similar user behaviors and community structures.
- **Pattern recognition and image processing:**
Finding structural similarities in images and data.
- **Cryptography:**
Developing secure encryption schemes based on graph isomorphism problems.

Distance in Graphs

Recall: A **walk** in a graph is a sequence of (not necessarily distinct) vertices v_1, v_2, \dots, v_k such that $v_i v_{i+1} \in E$ for $i = 1, 2, \dots, k - 1$. If the vertices in a walk are distinct, then the walk is called a **path**.

Distance in Graphs

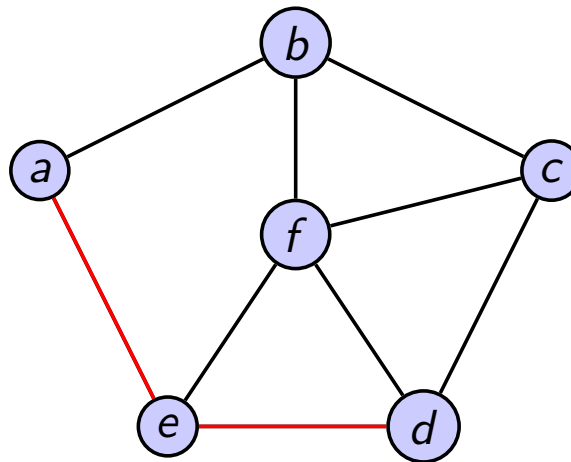
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Given a connected graph G , the **distance** from vertex u to vertex v is the length (number of edges) of a shortest $u - v$ path in G . We denote this distance by $d(u, v)$ or $d_G(u, v)$.

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In this example, the shortest path from a to d is highlighted in red, and its length is $d(a, d) = 2$.

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The function d satisfies the following properties, making it a **metric** on the vertex set of G :

- ① $d(u, v) \geq 0$ for all u, v , and $d(u, v) = 0$ if and only if $u = v$;
- ② (Symmetry) $d(u, v) = d(v, u)$ for all u, v ;
- ③ (Triangle inequality) $d(u, v) \leq d(u, w) + d(w, v)$ for all u, v, w .

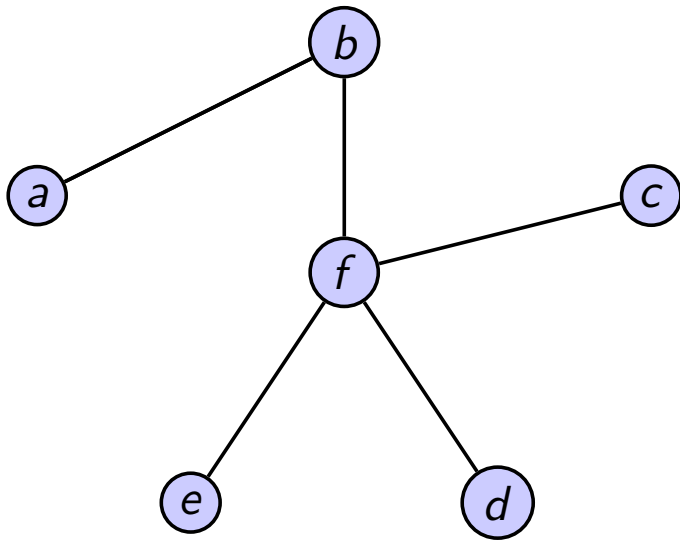
Distance in Graphs

Given a connected graph G , the **eccentricity** of a vertex v , denoted $\text{ecc}(v)$, is defined to be the greatest distance from v to any other vertex. That is, $\text{ecc}(v) = \max_{x \in V(G)} \{d(v, x)\}$.

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Example:



- $\text{ecc}(a) = \text{ecc}(c) = \text{ecc}(d) = \text{ecc}(e) = 3$
- $\text{ecc}(b) = \text{ecc}(f) = 2$.

Distance in Graphs

Consider again a connected graph G . The **radius** of G , denoted $\text{rad}(G)$, is the value of the smallest eccentricity. Similarly, the **diameter** of G , denoted $\text{diam}(G)$, is the value of the greatest eccentricity.

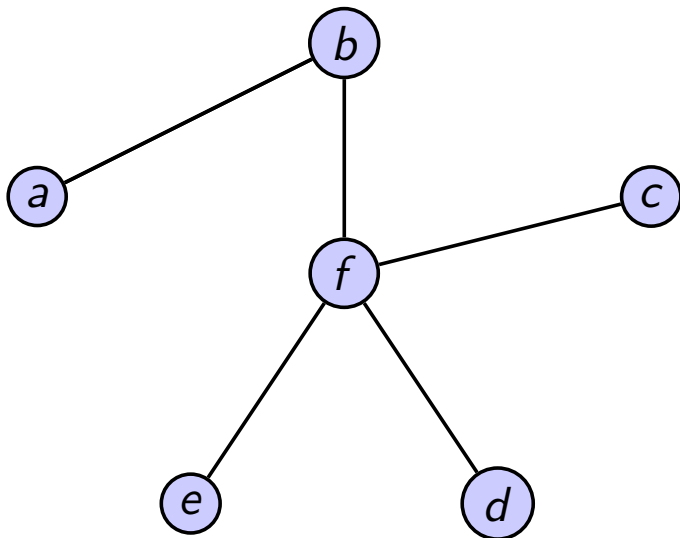
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Example:



- The **radius** of G is $\text{rad}(G) = \text{ecc}(b) = \text{ecc}(f) = 2$.
- The **diameter** of G is $\text{diam}(G) = \text{ecc}(a) = \text{ecc}(c) = \text{ecc}(d) = \text{ecc}(e) = 3$.
- The **center** of G is given by the vertices f and b , since their eccentricities equal the radius.
- The **periphery** consists of the vertices a, c, d, e , since their eccentricities equal the diameter.

Distance in Graphs

Theorem

For any connected graph G , $\text{rad}(G) \leq \text{diam}(G) \leq 2 \text{rad}(G)$.

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Proof.

By definition, it is clear that $\text{rad}(G) \leq \text{diam}(G)$, therefore we just need to prove the second inequality. Let u and v be vertices in G such that $d(u, v) = \text{diam}(G)$. Moreover, let c be a vertex in the center of G . Then,

$$\text{diam}(G) = d(u, v) \leq d(u, c) + d(c, v) \leq 2 \text{ecc}(c) = 2 \text{rad}(G).$$

□

Recall: Matrices

A **matrix** is a rectangular array that has **rows** and **columns**.

For example,

$$M = \begin{bmatrix} 4 & 1 & 0 \\ -1 & 0 & 7 \end{bmatrix}$$

is a 2×3 matrix, i.e., a matrix with two rows and three columns.

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The position in row number i and column number j is called the (i, j) -**position**. The number you have there is the (i, j) -**entry** of the matrix. For instance, in the above matrix, 7 is in the $(2, 3)$ -position, therefore it is the $(2, 3)$ -entry.

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An $n \times n$ matrix is called a **square matrix**.

Graphs and Matrices

Graphs can be represented using matrices, and a fundamental example is the **adjacency matrix**, which is defined as follows.

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Given a graph G with vertices v_1, v_2, \dots, v_n , the **adjacency matrix of G** is the $n \times n$ matrix A whose (i, j) entry, denoted by $[A]_{i,j}$, is defined by

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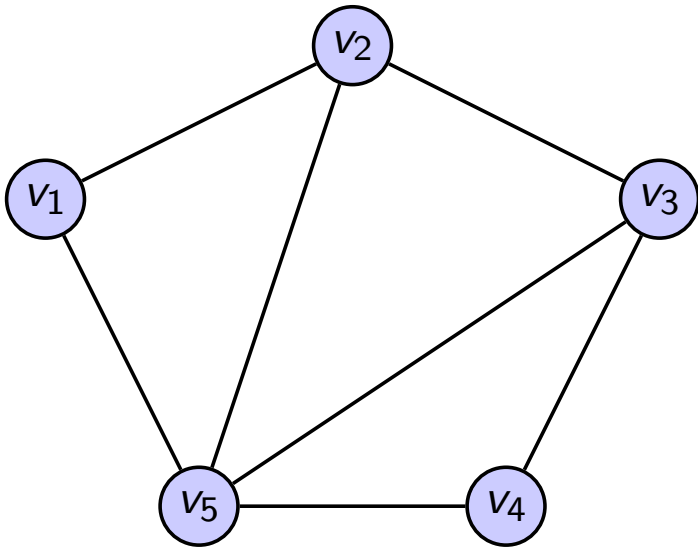
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Note: For simple graphs, adjacency matrices are **symmetric** (i.e., $[A]_{i,j} = [A]_{j,i}$) and have all zeros on the **main diagonal** (i.e., the set of elements $[A]_{i,i}$). Also, if A and B are two different adjacency matrices of the same graph G (based on two different orderings of the vertices), then there must exist a permutation of the vertices such that when the permutation is applied to the corresponding rows and columns of A , you get B .

Graphs and Matrices

Example:



The adjacency matrix A of this graph is:

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 1 & 1 & 1 & 1 & 0 \end{bmatrix}$$

Each entry $[A]_{i,j}$ is 1 if there is an edge between the corresponding vertices v_i and v_j , and 0 otherwise.

Recall: Matrix multiplication

Given a $m \times n$ matrix B with entries b_{ij} and a $n \times p$ matrix C with entries c_{ij} , then BC is the $m \times p$ matrix whose (i, j) entry is

$$(BC)_{ij} = \sum_{k=1}^n b_{ik} c_{kj} = b_{i1} c_{1j} + b_{i2} c_{2j} + b_{i3} c_{3j} + \dots + b_{in} c_{nj}.$$

Graphs and Matrices

Theorem. Let G be a graph with vertices labeled v_1, v_2, \dots, v_n , and let A be its corresponding adjacency matrix. For any positive integer k , the (i, j) entry of A^k is equal to the number of walks from v_i to v_j that use exactly k edges.

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Proof. We prove this by induction on k .

Base step. For $k = 1$, the result is true since $[A]_{i,j} = 1$ exactly when there is a one-edge walk between v_i and v_j .

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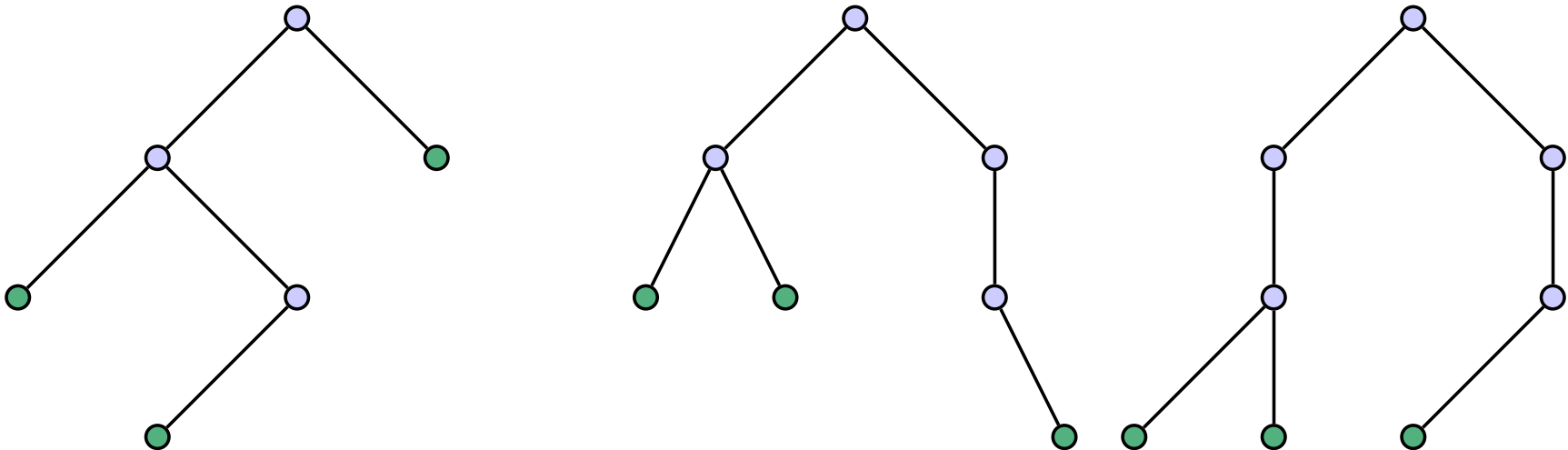
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By the induction hypothesis, we can rewrite this sum as

$$\sum_{v_h \in N(v_j)} [A^{k-1}]_{i,h} = \sum_{h=1}^n [A^{k-1}]_{i,h} [A]_{h,j} = [A^k]_{i,j}.$$

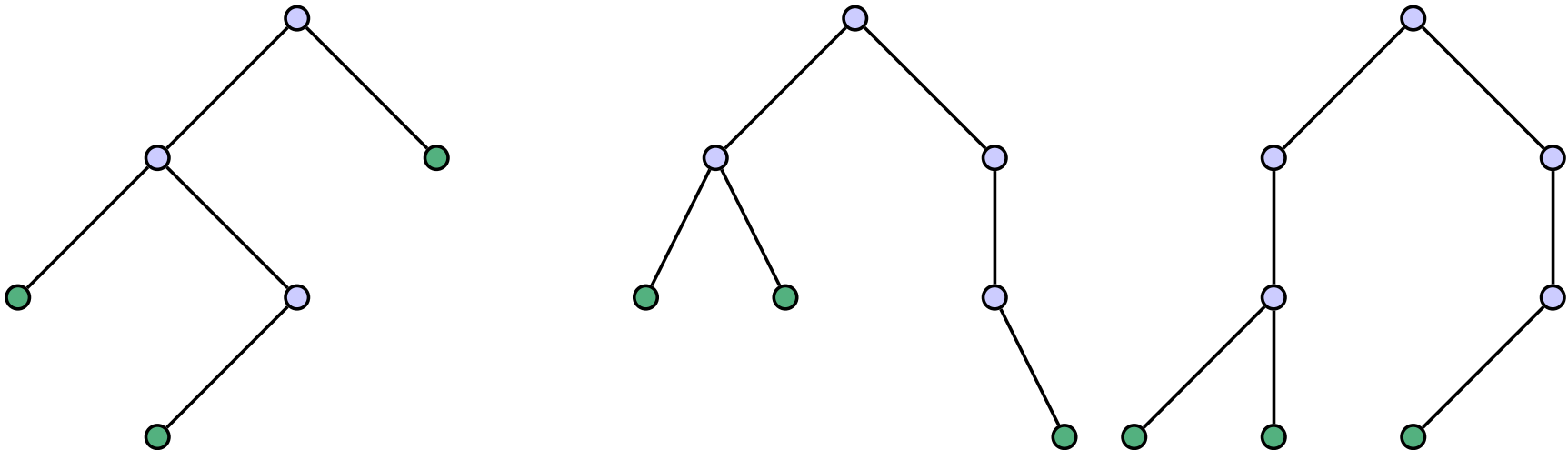
Trees

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Theorem. Let T be the tree of order $n \geq 2$. Then, T has at least two leaves.

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Proof. We prove this by induction on the order n of T .

Base step. For $n = 1$, the only tree is K_1 , and it of course has 0 edges.

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Fix one e edge of T . Since T is a tree, then one can show that $T - e$ must be disconnected, with two connected components that are trees themselves. Say that these two components of $T - e$ are T_1 and T_2 , with orders k_1 and k_2 , respectively. Thus, k_1 and k_2 are smaller than n , and $k_1 + k_2 = k$.

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Since $k_1 < k$, the theorem is true for T_1 . Thus, T_1 has $k_1 - 1$ edges. Similarly, T_2 has $k_2 - 1$ edges. Since $E(T)$ is the disjoint union of $E(T_1)$, $E(T_2)$, and $\{e\}$, we have that $|E(T)| = (k_1 - 1) + (k_2 - 1) + 1 = k_1 + k_2 - 1 = k - 1$.



Properties of Trees

With a similar proof, one can show the following

Theorem. If F is a forest of order n containing k connected components, then F contains $n - k$ edges.



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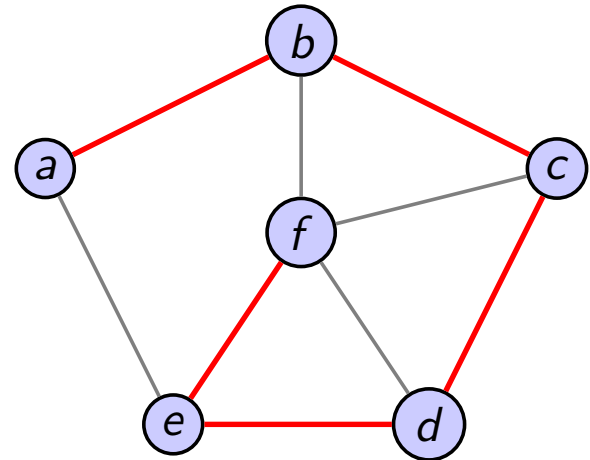
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Assume by contradiction that G has at least one cycle. Then, we can remove an edge from each cycle (one by one) and obtain a graph \hat{G} that is still connected. But since \hat{G} is a connected graph with no cycles, \hat{G} is a tree on n nodes. This is a contradiction, since \hat{G} has less than $n - 1$ edges.



Spanning Trees

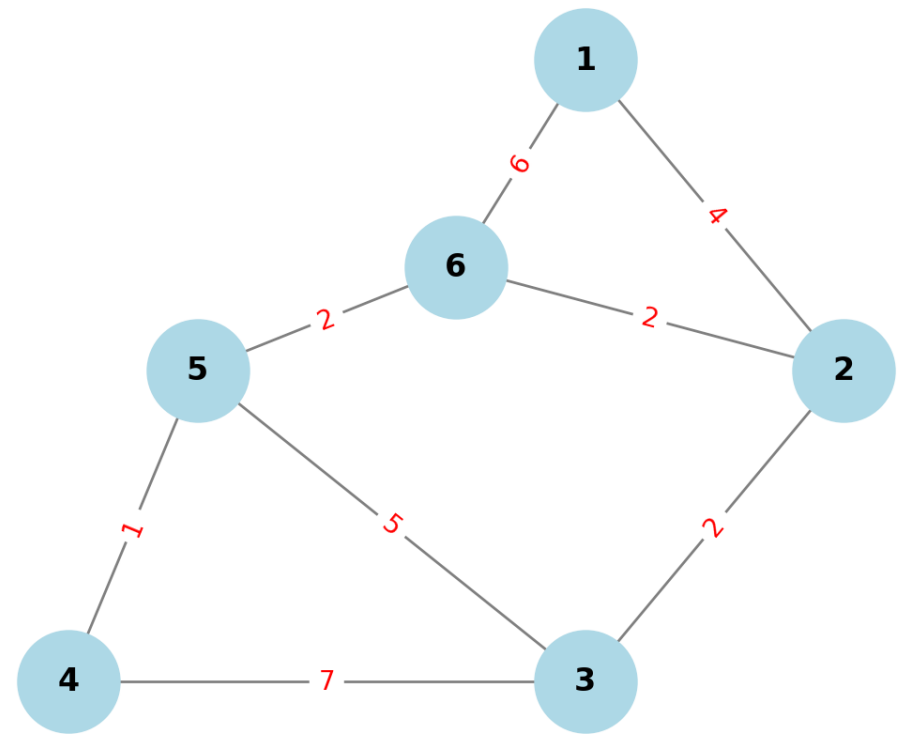
Given a graph G and a subgraph T , we say that T is a **spanning tree** of G if T is a tree and it contains every vertex of G .



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Given a graph G , a **weight function** is a function W that maps the edges of G to the nonnegative real numbers. The graph G together with a weight function is called a **weighted graph**.

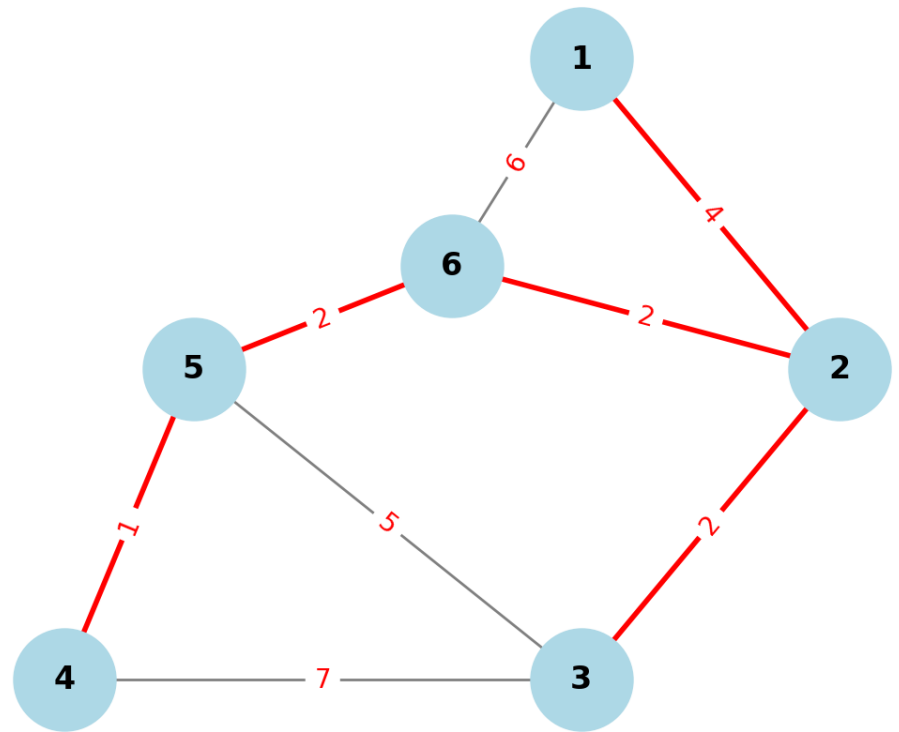


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Given a connected, weighted graph G , a spanning tree T is called a **minimum weight spanning tree** if the sum of the weights of the edges of T is no more than the sum for any other spanning tree of G .

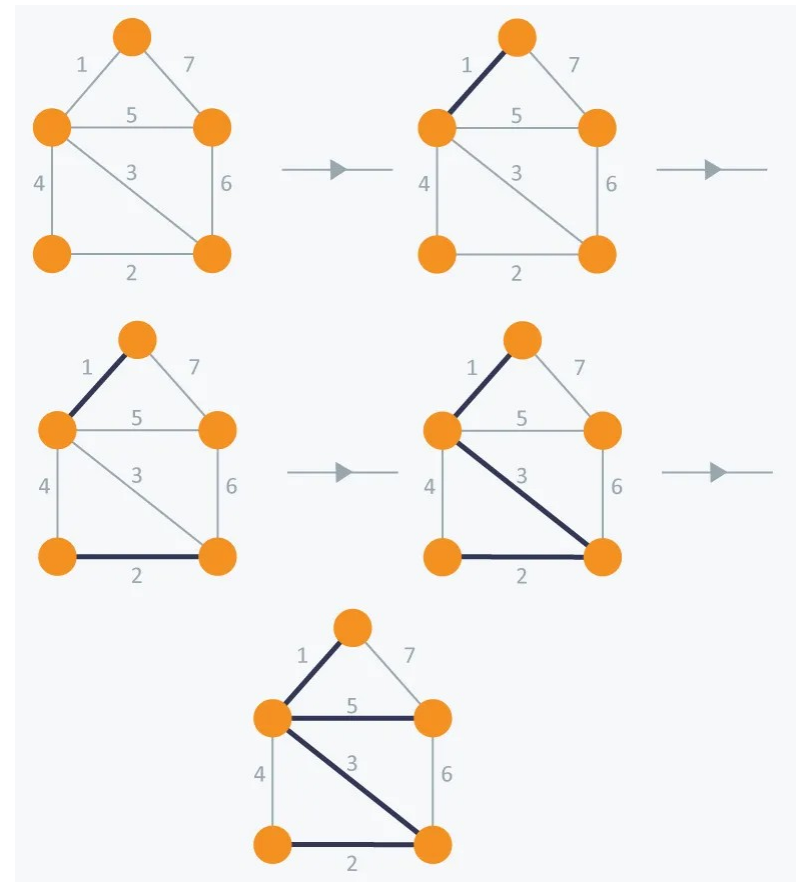


Spanning Trees

The **Kruskal's Algorithm** gives a way to find a minimum weight spanning tree:

Given a connected, weighted graph G ,

- 1 Find an edge of minimum weight and mark it.
- 2 Among all the unmarked edges that do not form a cycle with any of the marked edges, choose an edge of minimum weight and mark it.
- 3 If the set of marked edges forms a spanning tree of G , then stop. If not, repeat step 2.



Spanning Trees

Spanning Trees have several applications, including:

- **Network design for telecommunications**
Used in phone and data networks to ensure connectivity with minimal infrastructure cost.
- **Electrical grid design**
Optimizing power distribution networks by minimizing wiring costs.
- **Game development and maze generation**
Many game maps use spanning trees for procedural level generation.