EDIN01: Cryptography - Reference Sheet

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1 Cryptography Introduction

Defn 1 (Cryptographic Primitive). A cryptographic primitive is an algorithm with basic cryptographic properties.

Defn 2 (Cryptographic Protocol). A *cryptographic protocol* involves the back-and-forth communication among two or more parties.

Remark 2.1 (Bob and Alice). Typically, the parties are named Bob and Alice. These are arbitrary names, but these are the most commonly used ones.

There are have been several Cryptographic Protocols.

- 1. Symmetric-key cryptography Methods in which both the sender and receiver share the same key
 - (a) Block ciphers
 - (b) Stream ciphers
 - (c) MAC algorithms
- 2. Public-key cryptography: 2 different, but mathematically related keys are used. A public key and a private key.
 - (a) The public key cannot decrypt something that was encrypted with the private key.
 - (b) The public key can be shared freely, because the private key cannot be generated from the public key.
- 3. Cryptographic hash functions are a related and important class of cryptographic algorithms.
 - (a) This is a keyless Cryptographic Primitive.
 - (b) Takes an arbitrary length input and produces a fixed-length output.
 - (c) The mapping between the input and output is such that the output cannot generate the input, therefore making it cryptographic.

1.1 Historical Cryptography

Just to give a super quick background on how we've gotten to where we are today when it comes to cryptography.

1.1.1 Monoalphabetic Ciphers

Defn 3 (Monoalphabetic Cipher). In a *monoalphabetic cipher* a single letter is replaced by the cipher's mapping. Since the cipher can do this to arbitrary letters, this could continue indefinitely for any single letter.

These were some of the first ciphers developed by Man. These include simple substitute ciphers, and letter shifting ciphers. However, these can be broken with *frequency analysis*.

1.1.2 Polyalphabetic Ciphers

Defn 4 (Polyalphabetic Cipher). In a *polyalphabetic cipher* multiple letters are replaced by the cipher's mapping. Additionally, since the cipher can output multiple letters, the ciphered letters could be run through the cipher again.

These were developed in response to Monoalphabetic Ciphers being broken. However, these can also be broken, with extended frequency analysis.

Eventually, it was realized that the secrecy of the cipher is not sensible/possible. This leads us to the conclusion that any cryptographic scheme should remain secure even if the adversary understands the cipher algorithm itself.

1.1.3 Cryptographic Keys

The use of keys as ciphers is a slightly more modern occurrence.

Defn 5 (Kerckhoff's Principle). *Kerckhoff's Principle* states that the security of the key used should alone be sufficient for a good cipher to maintain confidentiality under an attack. Essentially, the security of the key used should be sufficient such that the cipher can be maintained confidently while under attack.

However, only since the mid-1970s, has public key cryptography has been possible.

Computers can efficiently encrypt, given the following constraints:

- 1. Some modern techniques can only keep the keys secret if certain mathematical problems are Intractable.
 - (a) Integer factorization
 - (b) Discrete logarithm problems
- 2. However, there are no absolute proofs that a cryptographic technique is secure.

Defn 6 (Intractable). An *intractable* problem is one in which there are no **efficient** algorithms to solve them.

Symmetric-Key Cryptography	Public-Key Cryptography
Block ciphers	Public-Key encryption
Stream ciphers	Digital Signature Schemes
Cryptographic Hash Functions	Key exchange protocols
	Electronic Cash/Cryptocurrency
	Interactive Proof Systems

Table 1.1: Uses of Key-Based Cryptography

2 Number Theory

Before we can start with any of the deeper cryptography stuff, we need to start with some basic number theory.

Defn 7 (Number Theory). *Number theory* is a branch of pure mathematics devoted primarily to the study of the integers and integer-valued functions. Number theorists study prime numbers as well as the properties of objects made out of integers (for example, rational numbers) or defined as generalizations of the integers (for example, algebraic integers).

Defn 8 (Divides). For $a, b \in \mathbb{Z}$, we say that a divides b (written $a \mid b$) if there exists an integer c such that b = ac. Properties:

- (i) a | a
- (ii) If $a \mid b$ and $b \mid c$, then $a \mid c$.
- (iii) If $a \mid b$ and $a \mid c$, then $a \mid (bx + cy)$ for any $x, y \in \mathbb{Z}$.
- (iv) If $a \mid b$ and $b \mid a$, then $a = \pm b$.

2.1 Quotient and Remainder

For $a, b \in \mathbb{Z}$, with $b \ge 1$. Then an ordinary long division of a by b, i.e. $a \div b$ yields two integers q and r such that

$$a = qb + r$$
, where $0 \le r < b$ (2.1)

q and r are called the Quotient and Remainder, respectively, and are unique.

Defn 9 (Quotient). The *quotient*, q, of a divided by b is denoted $a \operatorname{div} b$.

Defn 10 (Remainder). The remainder, r, of a divided by b is denoted $a \mod b$.

Example 2.1: Quotient and Remainder. If a = 53 and b = 9, what is $a \mod b$?

$$53 = q9 + r$$
$$q = 5$$
$$r = 8$$

2.2 Greatest Common Divisor

Defn 11 (Common Divisor). An integer c is a common divisor of a and b if $c \mid a$ and $c \mid b$.

Defn 12 (Greatest Common Divisor). A non-negative integer d is called the *greatest common divisor* (GCD) of integers a and b if:

- 1. d is a Common Divisor of a and b.
- 2. For every other common divisor c it holds that $c \mid d$.

The greatest common divisor is denoted

$$\gcd(a,b) \tag{2.2}$$

gcd(a, b) is the **largest positive** integer dividing both a and b (except for gcd(0, 0) = 0).

Remark 12.1. If $a, b \in \mathbb{Z}^+$, then $lcm(a, b) \cdot gcd(a, b) = a \cdot b$

Example 2.2: Greatest Common Divisor.

What is the gcd(18, 24)?

Common Divisors = $\{\pm 1, \pm 2, \pm 4, \pm 6\}$.

Since we can only allow positive integers,

$$\gcd(18, 24) = +6$$

2.3 Least Common Multiple

Defn 13 (Least Common Multiple). A non-negative integer d is called the least common multiple (LCM) of integers a and b if:

- 1. $a \mid d$ and $b \mid d$
- 2. For every integer c such that $a \mid c$ and $b \mid c$, we have $d \mid c$.

The least common multiple is denoted

$$lcm(a,b) (2.3)$$

lcm(a, b) is the **smallest positive** integer divisible by both a and b.

Remark 13.1. If $a, b \in \mathbb{Z}^+$, then $lcm(a, b) \cdot gcd(a, b) = a \cdot b$

2.4 Primality

Defn 14 (Relatively Prime). a, b are called *relatively prime* if gcd(a, b) = 1.

Defn 15 (Prime). An integer $p \ge 2$ is called *prime* if its only positive divisors are 1 and p. Otherwise, p is called a Composite.

Defn 16 (Composite). An integer $p \ge 2$ is called *composite* if it has more positive divisors than just 1 and p. Otherwise, p is called a *Prime*.

Number of Primes

The number of primes $\leq x$ is denoted

$$\pi(x) \tag{2.4}$$

- 1. There are infinitely many primes
- 2. $\lim_{x \to \infty} \frac{\pi(x)}{\ln(x)} = 1$ 3. For $x \ge 17$, $\frac{x}{\ln(x)} < \pi(x) < \frac{1.25506x}{\ln(x)}$

Unique Factorization 2.5

Theorem 2.1 (Unique Factorization Theorem). Every integer $n \geq 2$ can be written as a product of prime powers,

$$n = p_1^{e_1} p_2^{e_2} \cdots p_k^{e_k}$$

where $p_1, p_2, \dots p_k$ are distinct primes and $e_1, e_2, \dots e_k$ are positive integers. Furthermore, the factorization is unique up to rearrangement of the factors.

2.5.1 Greatest Common Divisor and Least Common Multiple with Unique Factors

If $a=p_1^{e_1}p_2^{e_2}\cdots p_k^{e_k}$ and $b=p_1^{f_1}p_2^{f_2}\cdots p_k^{e_k}$, where $e_i,f_i,i=1,2,\ldots k$ are non-negative integers, then

$$\gcd(a,b) = p_1^{\min(e_1,f_1)} p_2^{\min(e_2,f_2)} \cdots p_k^{\min(e_k,f_k)}$$
(2.5)

and

$$lcm(a,b) = p_1^{\max(e_1,f_1)} p_2^{\max(e_2,f_2)} \cdots p_k^{\max(e_k,f_k)}$$
(2.6)

2.6 Euler Phi Function

Defn 17 (Euler Phi Function). For $n \ge 1$, let $\phi(n)$ denote the number of integers in the interval [1, n], which are Relatively Prime to n. This function is called the *Euler Phi Function*.

Theorem 2.2 (Euler Phi Function). There are a few properties of the Euler Phi Function that we will treat as true because of this theorem.

- 1. If p is a Prime, then $\phi(p) = p 1$.
- 2. If gcd(a,b) = 1, then $\phi(ab) = \phi(a)\phi(b)$.
- 3. If $n = p_1^{e_1} p_2^{e_2} \cdots p_k^{e_k}$, then

$$\phi(n) = \left(p_1^{e_1} - p_1^{e_1 - 1}\right) \left(p_2^{e_2} - p_2^{e_2 - 1}\right) \cdots \left(p_k^{e_k} - p_k^{e_k - 1}\right)$$

Lemma 2.2.1 (Computing the Greatest Common Divisor). If a and b are positive integers where a > b, then

$$\gcd(a,b) = \gcd(b, a \bmod b) \tag{2.7}$$

Remark. This can be repeated to efficiently calculate the gcd(a,b). This is called the Euclidean Algorithm.

Defn 18 (Euclidean Algorithm). The *euclidean algorithm* is a way to efficiently calculate the gcd(a,b).

- 1. Set $r_0 \leftarrow a, r_1 \leftarrow b, i \leftarrow 1$.
- 2. While $r_i \neq 0$ do:
 - (a) Set $r_{i+1} \leftarrow r_{i-1} \mod r_i, i \leftarrow i+1$
- 3. Return r_i

Example 2.3: Euclidean Algorithm.

Find the Greatest Common Divisor of 147 and 273?

$$273 = 1 \cdot 147 + 126 \Rightarrow \gcd(126, 147)$$

 $147 = 1 \cdot 126 + 21 \Rightarrow \gcd(21, 126)$
 $126 = 6 \cdot 21 + 0 \Rightarrow \gcd(21, 126)$

Thus, since $6 \cdot 21 = 126$, 21 is the Greatest Common Divisor of 147 and 273.

Theorem 2.3. There exist integers x, y such that gcd(a, b) can be written as

$$\gcd(a,b) = ax + by \tag{2.8}$$

Proof.

$$\gcd(a, b) = r_i$$

$$= r_{i-2} - q_{i-1}r_{i-1}$$

$$= r_{i-2} - q_{i-1}(r_{i-3} - q_{i-2}r_{i-2})$$

$$\vdots$$

$$= r_0x + r_1y$$

$$= ax + by$$

for some integers $x, y \in \mathbb{Z}$.

This means that the Euclidean Algorithm can be extended to return the values of x and y from Equation (2.8).

Defn 19 (Extended Euclidean Algorithm). The extended euclidean algorithm is a way to efficiently calculate the linear pair of integers $(x, y \in \mathbb{Z})$ that satisfy Equation (2.8).

$$\gcd(a,b) = ax + by$$

- 1. If b = 0, then return $a, x \leftarrow 1, y \leftarrow 0$.
- 2. Set $x_2 \leftarrow 1, x_2 \leftarrow 0, y_2 \leftarrow 0, y_1 \leftarrow 1$
- 3. While b > 0 do:

(a)
$$q \leftarrow a \operatorname{div} b, r \leftarrow a - qb, x \leftarrow x_2 - qx_1, y \leftarrow y_2 - qy_1$$

- (b) $a \leftarrow b, b \leftarrow r, x_2 \leftarrow x_1, x_1 \leftarrow x, y_2 \leftarrow y_1, y_1 \leftarrow y.$
- 4. Set $d \leftarrow a, x \leftarrow x_2, y \leftarrow y_2$ and return d, x, y.

2.7 The Integers modulo n

Let n be a positive integer.

Defn 20 (Congruence). If a and b are integers, then a is said to be congruent to b modulo n, which is written as

$$a \equiv b \pmod{n} \tag{2.9}$$

If n divides (a - b), i.e. $n \mid (a - b)$, then we call n the modulus of the congruence.

Theorem 2.4. For $a, a_1, b, b_1, c \in \mathbb{Z}$, we have

- (i) $a \equiv b \pmod{n}$ if and only if a and b leave the same Remainder when divided by n.
- (ii) $a \equiv a \pmod{n}$
- (iii) If $a \equiv b \pmod{n}$, then $b \equiv a \pmod{n}$
- (iv) If $a \equiv b \pmod{n}$ adn $b \equiv c \pmod{n}$, then $a \equiv c \pmod{n}$
- (v) If $a \equiv a_1 \pmod{n}$ and $b \equiv b_1 \pmod{n}$, then $a + b = a_1 + b_1 \pmod{n}$ and $ab = a_1b_1 \pmod{n}$.

Properties (ii) to (iv) are called reflexivity, symmetry, and transitivity, respectively.

2.8 Equivalence Classes

Defn 21 (Equivalence Class). Congruence modulo n partitions \mathbb{Z} into n sets, called *equivalence classes*, where each integer belongs to exactly one equivalence class.

For example, these are all congruent to each other modulo n:

$$\{\ldots, -2n, -n, 0, n, 2n, \ldots\}$$
 (2.10a)

$$\{\ldots -2n+1, -n+1, 1, n+1, 2n+1\ldots\}$$
 (2.10b)

Since all elements in an equivalent class have the same Remainder, r, we use r as a representative for the equivalence class.

Remark 21.1. In this case, the representatives of the equivalence classes shown in Equations (2.10a) to (2.10b) are 0 and 1, respectively.

3 Number Theory on Sets

While this section is not technically different than Section 2, it is worth it to split these up, since Section 2 does not deal with sets. However, using what we learned in Section 2, Number Theory, it is natural to extend these to sets of numbers.

3.1 \mathbb{Z}_n

Defn 22 (\mathbb{Z}_n). The set of integers modulo n, denoted \mathbb{Z}_n , is the set of (equivalence classes of) integers $\{0,1,\ldots,n-1\}$. Addition, subtraction, and multiplication are all performed with modulo n. Examples 3.1 to 3.3 demonstrate this.

Example 3.1: Addition on Integers mod n.

When dealing with the set of integers \mathbb{Z}_{15} , what is the sum of 5 and 9?

 $5 \mod 15 + 9 \mod 15 = 11 \mod 15$

Thus, the answer is 11.

Example 3.2: Subtraction on Integers mod n.

When dealing with the set of integers \mathbb{Z}_{15} , what is 5 minus 9?

$$5 \mod 15 - 9 \mod 15 = 5 \mod 15 + (-9 \mod 15)$$

$$= 5 \mod 15 + \underbrace{-9 \mod 15}_{-9+15=6}$$

$$= 5 \mod 15 + 6 \mod 15$$

$$= 11 \mod 15$$

Thus, the answer is, again, 11.

Example 3.3: Multiplication on Integers mod n.

When dealing with the set of integers \mathbb{Z}_{15} , what is the product of 5 and 9?

 $5 \mod 15 \cdot 9 \mod 15 = 45 \mod 15$ = 0

Thus, the answer is 0, because $45 = 3 \cdot 15$.

3.2 Inverse in \mathbb{Z}_n

Addition, subtraction, and multiplication can be performed trivially in \mathbb{Z}_n , as shown in Examples 3.1 to 3.3. However, the concept of division is a little bit more difficult.

Defn 23 (Multiplicative Inverse). Let $a \in \mathbb{Z}_n$. The *multiplicative inverse* of a is an integer $x \in \mathbb{Z}_n$, such that ax = 1. If such an integer, x, exists, then a is said to be *invertible* and x is called the inverse of a, denoted as a^{-1} .

Defn 24 (Division in \mathbb{Z}_n). Division of a by an element $b \in \mathbb{Z}_n$ (written a/b) is defined as ab^{-1} , and is only defined if b has a Multiplicative Inverse.

Lemma 3.0.1. Let $a \in \mathbb{Z}_n$. Then a is invertible if and only iff gcd(a, n) = 1.

Proof. Assume that gcd(a, n) = 1. We know that 1 = gcd(a, n) = xa + yn for some $x, y \in \mathbb{Z}$. Since yn is a multiple of n, namely $yn \mod n = 0$, it is removed from the equation. Then $x \mod n$ is an inverse to a.

Now assume gcd(a, n) > 1. If a has an inverse x, then $ax = 1 \mod n$, which means 1 = ax + ny for some $x, y \in \mathbb{Z}$, directly contradicting the assumption that gcd(a, n) = 1.

The two possible cases of division, i.e. possible and impossible, are shown in Examples 3.4 to 3.5.

Example 3.4: Possible Division on Integers mod n.

When dealing with the set of integers \mathbb{Z}_{15} , what is the result from the division of 5 by 11?

 $5 \mod 15 \div 11 \mod 15 = 5 \cdot 11^{-1}$

Now we need to find the Multiplicative Inverse of 1.

$$11^{-1} = \gcd(11, 15)$$

We can compute the Greatest Common Divisor efficiently with the Euclidean Algorithm.

$$15 = 1 \cdot 11 + 4$$

$$11 = 2 \cdot 4 + 3$$

$$4 = 1 \cdot 3 + 1$$

$$3 = 3 \cdot 1 + 0$$

Thus, the Euclidean Algorithm gives us gcd(11, 15) = 1. Since gcd(11, 15) = 1 = 1, 11 **does** have a Multiplicative Inverse, making the division possible. Now we need to run through the Extended Euclidean Algorithm, to find the values $x, y \in \mathbb{Z}$.

$$1 = 4 - 1 \cdot 3$$

$$= 4 - 1 \cdot (11 - 2 \cdot 4) = 3 \cdot 4 - 1 \cdot 11$$

$$= 3(15 - 1 \cdot 11) - 1 \cdot 11$$

$$= 3 \cdot 15 - 3 \cdot 11 - 1 \cdot 11$$

$$= 3 \cdot 15 - 4 \cdot 11$$

Thus,

$$x = -4$$
$$y = 3$$

Now we know

$$(11 \bmod 15)^{-1} = -4 \bmod 15$$

Since -4 is not part of \mathbb{Z}_{15} , we need to find the additive inverse. -4+15=11. Thus,

$$(11 \mod 15)^{-1} = 11 \mod 15$$

Now, we perform a simple substitution.

$$5 \mod 15/11 \mod 15 = 5 \mod 15 \cdot (11 \mod 15)^{-1}$$

= $5 \mod 15 \cdot 11 \mod 15$
= $55 \mod 15$
= 10

So, the result of the division of 5 by 11 is 10.

Example 3.5: Impossible Division on Integers mod n.

When dealing with the set of integers \mathbb{Z}_{15} , what is the result from the division of 5 by 9?

$$5 \mod 15 \div 9 \mod 15 = 5 \cdot 9^{-1}$$

Now we need to find the Multiplicative Inverse of 9.

$$9^{-1} = \gcd(9, 15)$$

We can compute the Greatest Common Divisor efficiently with the Euclidean Algorithm.

$$15 = 1 \cdot 9 + 6$$

 $9 = 1 \cdot 6 + 3$
 $3 = 1 \cdot 3 + 0$

Thus, the Euclidean Algorithm gives us gcd(9,15) = 3. Since $gcd(9,15) = 3 \neq 1$, 9 does **not** have a Multiplicative Inverse, making the division impossible.

3.3 Chinese Remainder Theorem

Theorem 3.1 (Chinese Remainder Theorem). Let the integers n_1, n_2, \ldots, n_k be pairwise Relatively Prime. Then the system of Congruences

$$x \equiv a_1 \pmod{n_1}$$

 $x \equiv a_2 \pmod{n_2}$
 \vdots
 $x \equiv a_k \pmod{n_k}$

has a unique solution modulo $n = n_1 n_2 \cdots n_k$.

Defn 25 (Gauss's Algorithm). The solution x to the system of Congruences promised by the Chinese Remainder Theorem can be calculated as

$$x = \sum_{i=1}^{k} a_i N_i M_i \bmod n \tag{3.1}$$

where $N_i = \frac{n}{n_i}$ and $M_i = N_i^{-1} = \frac{n_i}{n} \mod n_i$.

This simplifies to

$$x = \sum_{i=1}^{k} a_i \frac{n}{n_i} \left(\frac{n_i}{n} \bmod n \right) \tag{3.2}$$

Defn 26 (Chinese Remainder Theorem). The *Chinese Remainder Theorem* allows us to change the way we represent elements of our set, \mathbb{Z}_n .

The integers modulo n, \mathbb{Z}_n , where $n=n_1n_2$ and $\gcd(n_1,n_2)=1$. An element $a\in\mathbb{Z}_n$ has a unique representation: $(a \bmod n_1, a \bmod n_2)$. We can denote this mapping by $\gamma: \mathbb{Z}_n \to \mathbb{Z}_{n_1} \times \mathbb{Z}_{n_2}$.

- (i) $\gamma(a) = \gamma(b)$ if and only if a = b.
- (ii) For all $(a_1, a_2) \in \mathbb{Z}_{n_1} \times \mathbb{Z}_{n_2}$, there exists an a such that $\gamma(a) = (a_1, a_2)$.
- (iii) $\gamma(a+b) = \gamma(a) + \gamma(b)$
- (iv) $\gamma(ab) = \gamma(a)\gamma(b)$

These properties (Properties (i) to (iv)) make γ an *Isomorphism*.

Remark 26.1. In the case of large integers for cryptography, knowing just one part of the number can ehlp get the other part. However, if the number is very large, 2048 bits for instance, these calculations start becoming Intractable.

Defn 27 (Isomorphism). An *isomorphism* is a transformation that does **NOT** change any information about the thing, but just changes the representation of the thing.

Example 3.6: Chinese Remainder Theorem Mapping.

Find the mapping of 7 when in \mathbb{Z}_{15} ?

Since 7 is an element in \mathbb{Z}_{15} ,

$$7 \Leftrightarrow (7 \bmod 3, 7 \bmod 5) = (1, 2)$$

3.4 Multiplicative Groups, \mathbb{Z}_n^*

Defn 28 (Multiplicative Group, \mathbb{Z}_n^*). Define the *multiplicative group* of \mathbb{Z}_n , denoted \mathbb{Z}_n^* as the set of all elements in \mathbb{Z}_n with Multiplicative Inverses.

$$\mathbb{Z}_n^* = \{ a \in \mathbb{Z}_n \mid \gcd(a, b) = 1 \}$$

$$(3.3)$$

Defn 29 (Set Order). The *order a set*, for example, \mathbb{Z}_n^* , is the number of elements in \mathbb{Z}_n^* ($|\mathbb{Z}_n^*|$). From the definition of the Euler Phi Function

$$|\mathbb{Z}_n^*| = \phi(n) \tag{3.4}$$

Remark 29.1 (Closed Under Multiplication). Since the produce of two elements with Multiplicative Inverses is another element with a Multiplicative Inverse, we say that $|\mathbb{Z}_n^*|$ is closed under multiplication.

Defn 30 (Element Order). The order of an element a, denoted ord(a) is defined as the least positive integer t ($t \in \mathbb{Z}$) such that

$$a^t = 1 (3.5)$$

Lemma 3.1.1 (Element Order). Let $a \in \mathbb{Z}_n^*$. If a^s for some s, then $ord(a) \mid s$. In particular, $ord(a) \mid \phi(n)$.

Element Order. Let t = ord(a). By long division, s = qt + r, where r < t. Then $a^s = a^{qt+r} = a^{qt}a^r$ and since $a^t = 1$, from Equation (3.5), we have $a^s = a^r$ and $a^r = 1$. This reduction is shown below:

$$a^{s} = a^{qt+r}$$

$$= a^{qt}a^{r}$$

$$= (a^{t})^{q}a^{r}$$

$$= (1)^{q}a^{r}$$

$$= 1^{q}a^{r}$$

$$= 1a^{r}$$

$$= a^{r}$$

But, r < t, so we must have r = 0, and so $ord(a) \mid s$.

3.5 Euler's Theorem

Theorem 3.2 (Euler's Theorem). If $a \in \mathbb{Z}_n^*$, then

$$a^{\phi(n)} \equiv 1 \pmod{n} \tag{3.6}$$

Euler's Theorem. Let $\mathbb{Z}_n^* = \{a_1, a_2, \dots, a_{\phi(n)}\}$. Looking at the set of elements $A = \{aa_1, aa_2, \dots, aa_{\phi(n)}\}$, it is easy to see that $A = a\mathbb{Z}_n^*$. So we have 2 ways of writing the product of all of the elements, i.e.

$$\prod_{i=1}^{\phi(n)} aa_i = \prod_{i=1}^{\phi(n)} a_i$$

This leads to

$$\prod_{i=1}^{\phi(n)} a = a^{\phi(n)} = 1$$

which is the same as what we said in Equation (3.6).

3.6 Fermat's Little Theorem

Theorem 3.3 (Fermat's Little Theorem). Let p be a Prime number. If gcd(a, p) = 1, then

$$a^{p-1} \equiv 1 \pmod{p} \tag{3.7}$$

Remark. This ties in with Euler's Theorem, because working in \mathbb{Z}_n , all exponents can be reduced by modulo $\phi(n)$.

3.7 Generators

Defn 31 (Generator). Let $a \in \mathbb{Z}_n^*$. If $\operatorname{ord}(a) = \phi(n)$, then a is said to be a generator (or a primitive element) of \mathbb{Z}_n^* . Furthermore, if \mathbb{Z}_n^* has a generator, then \mathbb{Z}_n^* is said to be Cyclic.

Remark 31.1. It is clear that if $a \in \mathbb{Z}_n^*$ is a Generator, then every element in \mathbb{Z}_n^* can be expressed as a^i for some integer i $(i \in \mathbb{Z})$. So, we can write

$$\mathbb{Z}_n^* = \{ a^i | 0 \le i \le \phi(n) - 1 \} \tag{3.8}$$

3.8 Quadratic Residues

Defn 32 (Quadratic Residue). An element $a \in \mathbb{Z}_n^*$ is said to be a *quadratic residue* modulo n (or a *square*) if there exists an $x \in \mathbb{Z}_n^*$ such that $x^2 = a$.

$$\exists x \in \mathbb{Z}_n^* \, x^2 = a \tag{3.9}$$

Otherwise, a is called a Quadratic Non-Residue modulo n. If $x^2 = a$, then x is called the square root of a mod n.

Defn 33 (Quadratic Non-Residue). An element $a \in \mathbb{Z}_n^*$ is said to be a quadratic non-residue modulo n if there does not exist an $x \in \mathbb{Z}_n^*$ such that $x^2 = a$.

$$\nexists x \in \mathbb{Z}_n^* \, x^2 = a$$

Otherwise, a is called a Quadratic Residue modulo n.

4 Abstract Algebra

In the beginning of this course, we covered some basic abstract algebra. These include:

- \bullet Aspects covering integers and calculus modulo n
- Covering a few examples of algebraic structures
- Some basic concepts from abstract algebra, which provide a more general treatment of algebraic structures
- In cryptography, we are generally interested in *finite* sets

4.1 Groups

Defn 34 (Binary Operation). A binary operation, denoted * on a set S, is a mapping from $S \times S$ to S.

Remark 34.1. Note that * is **NOT** multiplication nor any kind of convolution. It is just a placeholder for some Binary Operation that can be done.

Defn 35 (Group). A group is a set G and a Binary Operation, * on G denoted as (G,*), which satisfies the following properties:

- (i) a*(b*c) = (a*b)*c for all $a,b,c,\in G$ (Associativity)...
- (ii) There is a special element $1 \in G$ such that a * 1 = 1 * a = a for all $a \in G$ (Multiplicative Identity).

$$\exists 1 \in G \forall a \in G \ (a * 1 = 1 * a = a)$$

- (iii) Foe each $a \in G$ there is an element $a^{-1} \in G$ such that $a * a^{-1} = a^{-1} * a = 1$ (Multiplicative Inverse). We call 1 the *identity element* and call a^{-1} the inverse of a. Furthermore,
- (iv) If a * b = a * a for all $a, b \in G$ (Abelian/Commutativity).

$$\forall a, b \in G \ (a * b = a * a)$$

Defn 36 (Abelian). An *abelian* group, also called a commutative group, is a group in which the result of applying the group operation to two group elements does not depend on the order in which they are written. That is, these are the groups that obey the axiom of commutativity.

4.1.1 Examples of Groups

- \mathbb{Z} with the addition Binary Operation, denoted $(\mathbb{Z},+)$ is a Group.
- Finite Groups are $(\mathbb{Z}_n, +)$ and (\mathbb{Z}_n^*, \cdot) , where \cdot denotes multiplication modulo n
- **NOTE:** (\mathbb{Z}_n, \cdot) is **NOT** a Group, nor is (\mathbb{Z}, \cdot) .

4.2 Definitions for Groups

Defn 37 (Subgroup). A non-empty subset H of a Group G is called a *subgroup* of G, if H itself is a Group with respect to the operation of G.

Defn 38 (Cyclic). G is cyclic if there is an element $a \in G$ such that each $b \in G$ can be written as a^i for some integer i. The element a is called a G enerator of G.

Example 4.1: Cyclic.

Find a, the Cyclic term in the Group \mathbb{Z}_{15} ?

$$\mathbb{Z}_{15} = \{3, 6, 9, 12, 0, \ldots\}$$

= $\{3, 3 + 3, 3 + 3 + 3 + 3, 3 + 3 + 3 + 3, 5 \cdot 3, \ldots\}$

Thus, $\langle a \rangle = 3 \rightarrow \langle 3 \rangle$.

Defn 39 (Element Order). The order of an element a, denoted ord(a) is defined as the least positive integer t ($t \in \mathbb{Z}$) such that

$$a^t = 1 (4.1)$$

If such an integer t does not exist, then the order of a is defined to be ∞ .

Lemma 4.0.1. Let $a \in G$ be an element of finite Element Order t. Then the set of all powers of a forms a Cyclic Subgroup of G, denoted by a > 0. Furthermore, the Element Order of a > 0 is a > 0.

Defn 40 (Left Coset). Let H be a Subgroup in G and pick an element $a \in G$. A set of elements of the form

$$aH = \{ah|h \in H\} \tag{4.2}$$

is called the *left coset* of H. If G is commutative, it is simply called a *coset*.

The set consisting of all such left cosets is written G/H. Note that H itself is a left coset. Furthermore, every left coset contains the same number of elements (the order of H) and every element is contained in exactly one left coset.

So, the elements of G are partitioned into |G|/|H| different cosets, each containing |H| elements.

4.3 Properties of Groups

- (i) Suppose $a^n = 1$ for some n > 0. We must have $ElementOrder(a) \mid n$.
 - 1. Write $n = k \cdot \operatorname{ord}(a) + r$, where $0 \le r \le \operatorname{ord}(a)$.
 - 2. Then, $1 = a^n = a^{k \cdot \operatorname{ord}(a) + r} = a^r$ and r = 0.
- (ii) There is a k such that $a^k = 1$ for all $a \in G$.
 - 1. If G is a finite Group, all elements must have finite Element Order.
 - 2. Choose k as the product of the Element Order of all different elements in G.
 - 3. Then, $a^k = 1$ for all $a \in G$

4.3.1 Langrange's Theorem

Theorem 4.1 (Langrange's Theorem). If G is a finite group and H is a Subgroup of G, then |H| divides |G|. In particular if $a \in G$, then the order of a divides |G|.

Remark. If |G| is a Prime number, then the order of an element a is either 1 or |G|. In particular, if |G| is a Prime number, then G must be Cyclic.

4.4 Rings

Defn 41 (Ring). A ring (with unity) consists of a set R with two Binary Operations. A ring is denoted as (R, +, *), where + and * are **not** addition and multiplication respectively, but placeholders for the two Binary Operations. A ring must also satisfy the following conditions:

- (i) (R, +) is an Abelian Group with an identity element denoted 0.
- (ii) $a \cdot (b \cdot c) = (a \cdot b) \cdot c$ for all $a, b, c \in R$ (Associativity).

$$\forall a, b, c \in R \ a \cdot (b \cdot c) = (a \cdot b) \cdot c$$

- (iii) There is a multiplicative identity, denoted 1, with the multiplicative identity not being equal to the identity element of the underlying Abelian Group $(1 \neq 0)$, such that $1 \cdot a = a \cdot 1 = a$ for all $a \in R$ (Multiplicative Identity).
- (iv) $a \cdot (b+c) = (a \cdot b) + (a \cdot c)$ and $(b+c) \cdot a = (b \cdot a) + (c \cdot a)$ for all $a, b, c \in R$ (Distributive).

$$\forall a, b, c \in R \ a \cdot (b+c) = (a \cdot b) + (a \cdot c)$$
$$\forall a, b, c \in R \ (b+c) \cdot a = (b \cdot a) + (c \cdot a)$$

A commutative ring is a ring where additionally

(v) $a \cdot b = b \cdot a$ for all $a, b \in R$ (Commutativity)

$$\forall a, b \in R \ a \cdot b = b \cdot a$$

Remark 41.1 (Ring Multiplicative Inverses). Note that we haven't said anything about multiplicative inverses yet.

Defn 42 (Invertible Element). An element $a \in R$ is called an *invertible element* or a *unit* if there is an element $b \in R$ such that

$$a \cdot b = b \cdot a = 1 \tag{4.3}$$

Remark 42.1. The set of Invertible Elements in a Ring R forms a Group under multiplication. For example, the Group of Invertible Elements of the Ring \mathbb{Z}_n is \mathbb{Z}_n^* .

Remark 42.2 (Multiplicative Inverse). The Multiplicative Inverse of an element $a \in R$ is denoted by a^{-1} , assuming it exists. The division expression a/b should then be interpreted as $a \cdot b^{-1}$.

4.4.1 Examples of Rings

- A commutative ring is $(\mathbb{Z}, +, \cdot)$, where + and \cdot are the susual operations of addition and multiplication.
- \bullet Finite Ring: \mathbb{Z}_n with addition and multiplication modulo n
 - The additive inverse of $a \in R$ is denoted -a. So the subtraction expression a-b should be interpreted as a+(-b).
 - The multiplication of $a \cdot b$ is equivalently written ab.
 - Similarly $a^2 = aa = a \cdot a$.

4.4.2 Polynomial Rings

Defn 43 (Polynomial). A polynomial in the indeterminate x over the Ring R is an expression of the form

$$f(x) = a_n x^n + \dots + a_2 x^2 + a_1 x + a_0 \tag{4.4}$$

where each $a_i in R$, $a_n neq 0$, and $n \geq 0$.

Defn 44 (Degree). We say that f(x) has degree n, denoted

$$\deg f(x) = n \tag{4.5}$$

Remark 44.1. We also allow f(x) to be the Polynomial with all coefficients being zero, in which case, the Degree is defined to be $-\infty$.

Defn 45 (Monic). A Polynomial is said to be *monic* if the leading coefficient is equal to 1.

$$a_n = 1 \tag{4.6}$$

Defn 46 (Polynomial Ring). Let R be a commutative Ring (i.e. Property (v) is fulfilled). Then the *polynomial ring*, denoted by R[x] is the ring formed by the set of all Polynomials in the indeterminate x having coefficients from R. The operations are addition and multiplication of Polynomials, with the coefficient arithmetic performed in R.

Example 4.2: Polynomial Ring. Lecture 2

Find the Polynomial Ring formed when the underlying Ring is \mathbb{Z}_2 ?

$$\mathbb{Z}_2 \Longrightarrow_{R[x]} \mathbb{Z}_2[x] = \{0, 1, x, x+1, x^2, x^2+1, x^2+x, x^2+x, x^2+x+1, \ldots\}$$

4.5 Fields

Defn 47 (Field). A *field* is a commutative Ring where all nonzero elements have Multiplicative Inverses.

Defn 48 (Characteristic). The *characteristic* of a field is the smallest integer m > 0 such that

$$\underbrace{1+1+\dots+1}^{m} = 0 \tag{4.7}$$

If no such integer m exists, the characteristic is defined to be 0.

Defn 49 (Finite Field). A Field is *finite* only if Theorem 4.2 is satisfied.

Theorem 4.2 (Finite Field). \mathbb{Z}_n is a Field if and only if n is a Prime number. If n is a Prime, the Characteristic of \mathbb{Z}_n is n.

Defn 50 (Subfield). A subset F of a Field E is called a *subfield* of E if F is itself a Field with respect to the operations of E.

Remark 50.1 (Extension Field). Likewise, we say E is an extension field of F.

4.5.1 Examples of Fields

- ullet The rational Numbers: $\mathbb Q$
- The Real Numbers: \mathbb{R}
- ullet The Complex Numbers: ${\mathbb C}$
- Finite Field:
 - $-\mathbb{Z}_p$, where p is Prime

A Complex Numbers

Complex numbers are numbers that have both a real part and an imaginary part.

$$z = a \pm bi \tag{A.1}$$

where

$$i = \sqrt{-1} \tag{A.2}$$

Remark (i vs. j for Imaginary Numbers). Complex numbers are generally denoted with either i or j. Since this is an appendix section, I will denote complex numbers with i, to make it more general. However, electrical engineering regularly makes use of j as the imaginary value. This is because alternating current i is already taken, so j is used as the imaginary value instad.

$$Ae^{-ix} = A\left[\cos\left(x\right) + i\sin\left(x\right)\right] \tag{A.3}$$

A.1 Complex Conjugates

If we have a complex number as shown below,

$$z = a \pm bi$$

then, the conjugate is denoted and calculated as shown below.

$$\overline{z} = a \mp bi$$
 (A.4)

Defn A.1.1 (Complex Conjugate). The conjugate of a complex number is called its *complex conjugate*. The complex conjugate of a complex number is the number with an equal real part and an imaginary part equal in magnitude but opposite in sign.

The complex conjugate can also be denoted with an asterisk (*). This is generally done for complex functions, rather than single variables.

$$z^* = \overline{z} \tag{A.5}$$

A.1.1 Complex Conjugates of Exponentials

$$\overline{e^z} = e^{\overline{z}} \tag{A.6}$$

$$\overline{\log(z)} = \log(\overline{z}) \tag{A.7}$$

A.1.2 Complex Conjugates of Sinusoids

Since sinusoids can be represented by complex exponentials, as shown in Appendix B.2, we could calculate their complex conjugate.

$$\overline{\cos(x)} = \cos(x)
= \frac{1}{2} \left(e^{ix} + e^{-ix} \right)$$
(A.8)

$$\overline{\sin(x)} = \sin(x)
= \frac{1}{2i} \left(e^{ix} - e^{-ix} \right)$$
(A.9)

B Trigonometry

B.1 Trigonometric Formulas

$$\sin(\alpha) + \sin(\beta) = 2\sin\left(\frac{\alpha+\beta}{2}\right)\cos\left(\frac{\alpha-\beta}{2}\right)$$
 (B.1)

$$\cos(\theta)\sin(\theta) = \frac{1}{2}\sin(2\theta) \tag{B.2}$$

B.2 Euler Equivalents of Trigonometric Functions

$$e^{\pm j\alpha} = \cos(\alpha) \pm j\sin(\alpha)$$
 (B.3)

$$\cos\left(x\right) = \frac{e^{jx} + e^{-jx}}{2} \tag{B.4}$$

$$\sin\left(x\right) = \frac{e^{jx} - e^{-jx}}{2j} \tag{B.5}$$

$$\sinh\left(x\right) = \frac{e^x - e^{-x}}{2} \tag{B.6}$$

$$\cosh\left(x\right) = \frac{e^x + e^{-x}}{2} \tag{B.7}$$

B.3 Angle Sum and Difference Identities

$$\sin(\alpha \pm \beta) = \sin(\alpha)\cos(\beta) \pm \cos(\alpha)\sin(\beta) \tag{B.8}$$

$$\cos(\alpha \pm \beta) = \cos(\alpha)\cos(\beta) \mp \sin(\alpha)\sin(\beta)$$
(B.9)

B.4 Double-Angle Formulae

$$\sin(2\alpha) = 2\sin(\alpha)\cos(\alpha) \tag{B.10}$$

$$\cos(2\alpha) = \cos^2(\alpha) - \sin^2(\alpha) \tag{B.11}$$

B.5 Half-Angle Formulae

$$\sin\left(\frac{\alpha}{2}\right) = \sqrt{\frac{1 - \cos\left(\alpha\right)}{2}}\tag{B.12}$$

$$\cos\left(\frac{\alpha}{2}\right) = \sqrt{\frac{1 + \cos\left(\alpha\right)}{2}}\tag{B.13}$$

B.6 Exponent Reduction Formulae

$$\sin^2(\alpha) = \frac{1 - \cos(2\alpha)}{2} \tag{B.14}$$

$$\cos^2(\alpha) = \frac{1 + \cos(2\alpha)}{2} \tag{B.15}$$

B.7 Product-to-Sum Identities

$$2\cos(\alpha)\cos(\beta) = \cos(\alpha - \beta) + \cos(\alpha + \beta) \tag{B.16}$$

$$2\sin(\alpha)\sin(\beta) = \cos(\alpha - \beta) - \cos(\alpha + \beta) \tag{B.17}$$

$$2\sin(\alpha)\cos(\beta) = \sin(\alpha + \beta) + \sin(\alpha - \beta)$$
(B.18)

$$2\cos(\alpha)\sin(\beta) = \sin(\alpha + \beta) - \sin(\alpha - \beta) \tag{B.19}$$

B.8 Sum-to-Product Identities

$$\sin(\alpha) \pm \sin(\beta) = 2\sin\left(\frac{\alpha \pm \beta}{2}\right)\cos\left(\frac{\alpha \mp \beta}{2}\right)$$
 (B.20)

$$\cos(\alpha) + \cos(\beta) = 2\cos\left(\frac{\alpha + \beta}{2}\right)\cos\left(\frac{\alpha - \beta}{2}\right)$$
(B.21)

$$\cos(\alpha) - \cos(\beta) = -2\sin\left(\frac{\alpha+\beta}{2}\right)\sin\left(\frac{\alpha-\beta}{2}\right)$$
(B.22)

B.9 Pythagorean Theorem for Trig

$$\cos^2(\alpha) + \sin^2(\alpha) = 1^2 \tag{B.23}$$

B.10 Rectangular to Polar

$$a + jb = \sqrt{a^2 + b^2}e^{j\theta} = re^{j\theta} \tag{B.24}$$

$$\theta = \begin{cases} \arctan\left(\frac{b}{a}\right) & a > 0\\ \pi - \arctan\left(\frac{b}{a}\right) & a < 0 \end{cases}$$
(B.25)

B.11 Polar to Rectangular

$$re^{j\theta} = r\cos(\theta) + jr\sin(\theta)$$
 (B.26)

C Calculus

C.1 Fundamental Theorems of Calculus

Defn C.1.1 (First Fundamental Theorem of Calculus). The first fundamental theorem of calculus states that, if f is continuous on the closed interval [a, b] and F is the indefinite integral of f on [a, b], then

$$\int_{a}^{b} f(x) dx = F(b) - F(a) \tag{C.1}$$

Defn C.1.2 (Second Fundamental Theorem of Calculus). The second fundamental theorem of calculus holds for f a continuous function on an open interval I and a any point in I, and states that if F is defined by

$$F(x) = \int_{a}^{x} f(t) dt,$$

then

$$\frac{d}{dx} \int_{a}^{x} f(t) dt = f(x)$$

$$F'(x) = f(x)$$
(C.2)

Defn C.1.3 (argmax). The arguments to the *argmax* function are to be maximized by using their derivatives. You must take the derivative of the function, find critical points, then determine if that critical point is a global maxima. This is denoted as

 $\operatorname*{argmax}_{r}$

C.2 Rules of Calculus

C.2.1 Chain Rule

Defn C.2.1 (Chain Rule). The *chain rule* is a way to differentiate a function that has 2 functions multiplied together.

 $f(x) = g(x) \cdot h(x)$

then,

$$f'(x) = g'(x) \cdot h(x) + g(x) \cdot h'(x)$$

$$\frac{df(x)}{dx} = \frac{dg(x)}{dx} \cdot g(x) + g(x) \cdot \frac{dh(x)}{dx}$$
(C.3)

D Laplace Transform

Defn D.0.1 (Laplace Transform). The Laplace transformation operation is denoted as $\mathcal{L}\{x(t)\}$ and is defined as

$$X(s) = \int_{-\infty}^{\infty} x(t)e^{-st}dt$$
 (D.1)