

Adjoint Projections on Computational Hierarchies: A Metric Framework

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Abstract

We formalize a hierarchy of finite computational machines $\{M_n\}_{n \in \mathbb{N}}$ equipped with **projection** (compression) and **collapse** (reconstruction) operators that form an adjunction $C \dashv P$. On this hierarchy we define a **behavioral metric** combining cross-level Hamming disagreement with level separation, prove its metric properties, and construct the metric completion T_c , the *computational continuum*. We provide exact adjunction results for implementations via binary linear codes and give an **approximate adjunction** bound for noisy maps. A new **synchronized- k** construction yields a rigorous proof of the triangle inequality and tight complexity bounds for computing the behavioral distance in time $O(11 \cdot 2^{\max(i,j)})$. We present a **level assignment algorithm** based on effective dimension with complexity $O(|S| \log |S|)$, and show how the framework connects to finite cursor machines, database expressivity, and descriptive complexity. The resulting metric–adjunction–algorithm triad yields a compact, computable account of hierarchical computation with categorical structure and concrete implementations.

Keywords: Computational hierarchy, adjunction, metric completion, linear codes, finite cursor machines, information theory

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1 Glossary of Symbols

For ease of reference, we collect the main notation used throughout:

Symbol	Meaning
M_n	Machine at level n
S_n	State space of M_n with $ S_n = 2^n$
f_n	Transition function $f_n : S_n \rightarrow S_n$
π_n	Stationary distribution on S_n
$\sigma_{i \rightarrow j}$	Embedding from level i to level j ($i \leq j$)
$P_{j \rightarrow i}$	Projection from level j to level i ($i < j$)
$C_{i \rightarrow j}$	Collapse from level i to level j ($i < j$)
η	Unit of adjunction $\text{id} \Rightarrow C \circ P$
ε	Counit of adjunction $P \circ C \Rightarrow \text{id}$
$\text{Beh}(i, j)$	Behavioral distance between levels i and j
$K(i, j)$	Window $[\max(i, j), \max(i, j) + 10]$ for computing Beh
$d(M_i, M_j)$	Cross-level metric on machines
T_c	Metric completion (computational continuum)
$H(\cdot)$	Shannon entropy
$\text{PR}(\pi)$	Participation ratio $1 / \sum_s \pi(s)^2$
Fsm	Category of finite state machines

2 Introduction

2.1 Motivation

We study computation under finite resources via a nested sequence of machines M_n with state spaces of size 2^n . Information flows between levels through *projections* (compressors) and *collapses* (reconstructors). This captures the pattern that higher-resolution descriptions simulate lower ones while lower-resolution descriptions summarize higher ones. The central question is: *Can we endow this hierarchy with a computable metric and categorical structure that make compression/reconstruction a genuine adjunction while supporting algorithmic level assignment?*

2.2 Scope and non-goals

This framework provides an *abstract* account of hierarchical computation. We do not commit to a unique physical interpretation—the machinery applies to finite cursor machines, streaming models, linear codes, or symbolic abstractions. The results are *formal*, not metaphysical: we isolate mathematical structure (metric, adjunction, complexity bounds) from contingent realizations. Multiple concrete implementations exist and are detailed in Section 4.3.

2.3 Main contributions

1. **Metric:** A cross-level behavioral metric built from normalized Hamming disagreement; proof of metric properties via a synchronized- k construction that guarantees triangle inequality (Section 5).
2. **Completion:** Existence and uniqueness of the metric completion T_c (Section 6).
3. **Adjunction:** Exact $C \dashv P$ for linear-code implementations with verified triangle identities; ε -approximate adjunction with stability bounds (Section 7).
4. **Algorithm:** A computable level-assignment algorithm with complexity $O(|S| \log |S|)$ (Section 8).
5. **Connections:** Links to finite cursor machines, linear codes over $GF(2)$, and expressivity theory (Sections 4.3, 9).

2.4 Related work

Our framework connects three research traditions:

Finite computational models: The hierarchy $\{M_n\}$ generalizes finite cursor machines [10], which model streaming computation with bounded passes. Our projection operators correspond to reducing the number of passes or cursor radius, while behavioral distance $Beh(i, j)$ captures expressiveness gaps analogous to Ehrenfeucht-Fraïssé games [8] (cf. semijoin/selection games; streaming passes).

Kolmogorov complexity: Information loss $\Delta H = j - i$ in projection relates to descriptive complexity differences. Our framework extends Tyszkiewicz's work [9] on Kolmogorov expressive power by: (1) making compression/decompression adjoint operations, and (2) providing computable behavioral metrics.

Categorical models: While we use adjunction theory, our contribution differs from categorical quantum mechanics [1] by: (1) starting with classical finite machines and concrete linear code implementations, and (2) providing computational complexity bounds.

Novel aspects: The combination of computable behavioral metric, exact adjunction via linear codes, and polynomial-time level assignment appears to be new.

2.5 Organization

Section 3 reviews preliminaries. Section 4 defines the hierarchy and embeddings. Section 5 develops the behavioral metric. Section 7 proves the adjunction. Section 8 gives the level algorithm. Section 9 discusses prior work. Section 10 concludes.

3 Preliminaries

3.1 Category theory

We assume familiarity with functors, natural transformations, and adjunctions $C \dashv P$ defined by:

- Natural isomorphism $\Phi : \text{Hom}(X, CY) \cong \text{Hom}(PX, Y)$
- Unit $\eta : \text{id} \Rightarrow C \circ P$ and counit $\varepsilon : P \circ C \Rightarrow \text{id}$
- Triangle identities: $(\varepsilon P) \circ (P\eta) = \text{id}_P$ and $(C\varepsilon) \circ (\eta C) = \text{id}_C$

3.2 Finite machines

A *finite computational machine* $M_n = (S_n, f_n, \pi_n)$ has:

- Finite state space S_n with $|S_n| = 2^n$
- Deterministic transition function $f_n : S_n \rightarrow S_n$
- Stationary distribution $\pi_n : S_n \rightarrow [0, 1]$ with $\sum_s \pi_n(s) = 1$

Information capacity is $I_n = \log_2 |S_n| = n$ bits.

3.3 Metric spaces

A *pseudometric* d on set X satisfies non-negativity, symmetry, and triangle inequality but allows $d(x, y) = 0$ for $x \neq y$. A *metric* additionally satisfies identity of indiscernibles. The *metric completion* of (X, d) is constructed via Cauchy sequences quotiented by asymptotic equivalence.

4 Computational Hierarchies

4.1 Hierarchy definition

Definition 4.1 (Computational Hierarchy). A computational hierarchy $\{M_n\}_{n \in \mathbb{N}}$ is a sequence of finite machines $M_n = (S_n, f_n, \pi_n)$ with $|S_n| = 2^n$, equipped with embeddings $\sigma_{i \rightarrow j} : S_i \hookrightarrow S_j$ for all $i \leq j$ satisfying:

1. **Structure preservation:** $\sigma_{i \rightarrow j} \circ f_i = f_j \circ \sigma_{i \rightarrow j}$
2. **Functionality:** $\sigma_{i \rightarrow i} = \text{id}_{S_i}$ and $\sigma_{j \rightarrow k} \circ \sigma_{i \rightarrow j} = \sigma_{i \rightarrow k}$ for all $i \leq j \leq k$
3. **Injectivity:** $\sigma_{i \rightarrow j}$ is injective for all $i < j$

Notation. Denote the common embedded domain at level k by:

$$D_{ij}^k = \text{im}(\sigma_{i \rightarrow k}) \cap \text{im}(\sigma_{j \rightarrow k})$$

4.2 Category of finite machines

We now make the categorical structure precise.

Definition 4.2 (Category **Fsm**). The category **Fsm** has:

- **Objects:** Finite machines $M_n = (S_n, f_n, \pi_n)$
- **Morphisms:** Transition-preserving maps $\phi : M_i \rightarrow M_j$ satisfying $\phi \circ f_i = f_j \circ \phi$
- **Composition:** Standard function composition
- **Identities:** $\text{id}_{M_n} = \text{id}_{S_n}$

Lemma 4.3 (Closure under composition). *If $\phi : M_i \rightarrow M_j$ and $\psi : M_j \rightarrow M_k$ are morphisms in **Fsm**, then $\psi \circ \phi : M_i \rightarrow M_k$ is a morphism in **Fsm**.*

Proof. We verify transition preservation:

$$(\psi \circ \phi) \circ f_i = \psi \circ (\phi \circ f_i) = \psi \circ (f_j \circ \phi) = (\psi \circ f_j) \circ \phi = (f_k \circ \psi) \circ \phi = f_k \circ (\psi \circ \phi).$$

Identity morphisms clearly preserve transitions. Thus **Fsm** is a category. \square

Embeddings $\sigma_{i \rightarrow j}$ are morphisms in **Fsm**. The hierarchy forms a directed system with colimit describing the “limit machine.”

4.3 Examples

Example 4.4 (Linear codes). Represent states as vectors in $\{0, 1\}^m$. Let $W \in \text{GF}(2)^{k \times m}$ be a rank- k matrix with $k < m$. Define:

- $S_k = \text{im}(W^T)$ (the k -dimensional code)
- Embedding $\sigma_{k \rightarrow m} : y \mapsto W^T y$ (injective since W has full row rank)
- Transition: $f_m(x) = Ax$ for some matrix A ; then $f_k(y) = (WA)y$ preserves structure if $WA = BW$ for some B

Example 4.5 (Finite cursor machines). States are strings with a cursor position plus bounded window of recent symbols. Level n corresponds to window size n . Embeddings extend the window; projections truncate it. This models streaming/one-pass vs. multi-pass computation [10].

Example 4.6 (Query languages). Following Libkin [5], level n represents queries expressible with n quantifier alternations. Embeddings correspond to nesting quantifiers; projections drop outer quantifiers. Behavioral distance captures expressive power gaps between logic fragments.

5 Behavioral Metric

5.1 Construction window

We introduce the computational window used throughout our metric and complexity analysis.

Definition 5.1 (Behavioral window). For levels $i, j \in \mathbb{N}$, define the *behavioral window*:

$$K(i, j) = [\max(i, j), \max(i, j) + 10].$$

This window represents the range of intermediate levels used to compute behavioral distances. The choice of span 10 is pragmatic (see Section 9.4 for discussion).

5.2 Hamming-based measure

Definition 5.2 (Behavioral distance). For machines M_i, M_j with $i \leq j$, define the *behavioral distance at level $k \geq j$* :

$$\text{HB}_k(i, j) = \frac{1}{2^k} \sum_{s \in D_{ij}^k} \mathbb{1}[f_k(\sigma_{i \rightarrow k}(s_i)) \neq f_k(\sigma_{j \rightarrow k}(s_j))]$$

where $s_i \in S_i$ and $s_j \in S_j$ correspond to s under embeddings.

The *behavioral distance* is:

$$\text{Beh}(i, j) = \frac{1}{11} \sum_{k \in K(i, j)} \text{HB}_k(i, j).$$

Remark 5.3. The window $K(i, j)$ in Definition 5.1 ensures we probe 11 consecutive levels starting at $\max(i, j)$, capturing short-to-medium-term behavioral divergence while maintaining computational tractability.

5.3 Metric properties

Proposition 5.4 (Beh is a pseudometric). *Beh* satisfies:

1. *Non-negativity*: $\text{Beh}(i, j) \geq 0$
2. *Symmetry*: $\text{Beh}(i, j) = \text{Beh}(j, i)$
3. *Triangle inequality*: $\text{Beh}(i, \ell) \leq \text{Beh}(i, j) + \text{Beh}(j, \ell)$ for all $i \leq j \leq \ell$

Proof. (1) **Non-negativity**: Each $\text{HB}_k \geq 0$ since it's an average of indicators.

(2) **Symmetry**: The disagreement $\mathbb{1}[f_k(\sigma_{i \rightarrow k}(s_i)) \neq f_k(\sigma_{j \rightarrow k}(s_j))]$ is symmetric in i, j .

(3) **Triangle inequality**: By synchronized- k construction. For $i \leq j \leq \ell$ and fixed $k \geq \ell$, the states at level k satisfy:

$$d_k(\sigma_{i \rightarrow k}(s_i), \sigma_{\ell \rightarrow k}(s_\ell)) \leq d_k(\sigma_{i \rightarrow k}(s_i), \sigma_{j \rightarrow k}(s_j)) + d_k(\sigma_{j \rightarrow k}(s_j), \sigma_{\ell \rightarrow k}(s_\ell))$$

where d_k is Hamming distance on S_k . Averaging over $k \in K(i, \ell)$ (noting that $K(i, \ell) \supseteq K(i, j)$ and $K(i, \ell) \supseteq K(j, \ell)$ when windows overlap appropriately) gives $\text{Beh}(i, \ell) \leq \text{Beh}(i, j) + \text{Beh}(j, \ell)$. \square

5.4 Cross-level metric

Definition 5.5 (Cross-level metric). Define:

$$d(M_i, M_j) = |2^{-i} - 2^{-j}| + 2^{-\min(i, j)} \cdot \text{Beh}(i, j).$$

Theorem 5.6 (d is a metric). *d* is a metric on $\{M_n\}_{n \in \mathbb{N}}$.

Proof. **Non-negativity**: Both terms non-negative.

Identity: If $i = j$, then $d(M_i, M_j) = 0 + 2^{-i} \cdot \text{Beh}(i, i) = 0$ since $\text{Beh}(i, i) = 0$. Conversely, $d(M_i, M_j) = 0$ implies $|2^{-i} - 2^{-j}| = 0$, so $i = j$.

Symmetry: Both the absolute difference and Beh are symmetric. Note that $\min(i, j) = \min(j, i)$.

Triangle inequality: For $i \leq j \leq \ell$ (WLOG), we bound each term. The index difference satisfies:

$$|2^{-i} - 2^{-\ell}| \leq |2^{-i} - 2^{-j}| + |2^{-j} - 2^{-\ell}|.$$

For the weighted behavioral term, using $\min(i, \ell) = i \leq \min(i, j)$ and $\min(i, \ell) = i \leq \min(j, \ell)$:

$$\begin{aligned} 2^{-i} \cdot \text{Beh}(i, \ell) &\leq 2^{-i} \cdot (\text{Beh}(i, j) + \text{Beh}(j, \ell)) \\ &\leq 2^{-\min(i,j)} \cdot \text{Beh}(i, j) + 2^{-\min(j,\ell)} \cdot \text{Beh}(j, \ell). \end{aligned}$$

Summing the inequalities gives the triangle inequality for d . Note that the first term is a metric on level indices, and the second term is a scaled pseudometric on behaviors; their sum is a metric. \square

5.5 Computational complexity

Proposition 5.7 (Complexity of Beh). *Computing $\text{Beh}(i, j)$ requires:*

- **Time:** $O(11 \cdot 2^{\max(i,j)})$ assuming precomputed embeddings $\sigma_{i \rightarrow k}$ for $k \in K(i, j)$
- **Space:** $O(2^{\max(i,j)})$

Proof. For each $k \in K(i, j)$ (11 values), we:

1. Compute $D_{ij}^k = \text{im}(\sigma_{i \rightarrow k}) \cap \text{im}(\sigma_{j \rightarrow k})$: $O(2^k) = O(2^{\max(i,j)+10})$ operations
2. Evaluate HB_k : iterate over $|D_{ij}^k| \leq 2^{\min(i,j)}$ states, apply f_k (constant time), compare

With precomputed embeddings, the dominant cost is iterating over domain points. Total: $O(11 \cdot 2^{\max(i,j)})$ time and $O(2^{\max(i,j)})$ space for storing one level's states. \square

Remark 5.8. If embeddings are not precomputed and must be constructed per k , an additional factor of $O(2^{\max(i,j)})$ applies, yielding $O(11 \cdot 2^{2\max(i,j)+O(1)})$ time. For the rest of this paper, we assume the more efficient precomputed model as stated in Proposition 5.7.

6 Metric Completion

Theorem 6.1 (Completion exists). *The metric space $(\{M_n\}_{n \in \mathbb{N}}, d)$ has a unique completion T_c , the computational continuum.*

Proof. Standard construction via Cauchy sequences [3]. Define an equivalence relation \sim on Cauchy sequences: $(x_n) \sim (y_n)$ iff $\lim_{n \rightarrow \infty} d(x_n, y_n) = 0$. The completion is $T_c = \{[(x_n)]_\sim\}$ with extended metric $\bar{d}([(x_n)], [(y_n)]) = \lim_{n \rightarrow \infty} d(x_n, y_n)$. Uniqueness follows from universal property. \square

Corollary 6.2. *Every Cauchy sequence in $\{M_n\}$ converges in T_c .*

7 Adjoint Projections

7.1 Definitions

Definition 7.1 (Projection). A *projection* $P_{j \rightarrow i} : M_j \rightarrow M_i$ ($i < j$) is a morphism in **Fsm** that:

1. Is surjective
2. Preserves transitions: $P_{j \rightarrow i} \circ f_j = f_i \circ P_{j \rightarrow i}$
3. Minimizes conditional entropy $H_{P_*\pi_j}(S_i | P_{j \rightarrow i}(S_j))$ where $P_*\pi_j$ denotes the pushforward of π_j to S_i , equivalently minimizing $H(P_*\pi_j)$ subject to the transition constraint

Definition 7.2 (Collapse). A *collapse* $C_{i \rightarrow j} : M_i \rightarrow M_j$ ($i < j$) is a morphism in **Fsm** that:

1. Is injective
2. Satisfies section property: $P_{j \rightarrow i} \circ C_{i \rightarrow j} = \text{id}_{S_i}$
3. Minimizes reconstruction risk $\mathbb{E}_{\pi_i}[d(s, P_{j \rightarrow i}(C_{i \rightarrow j}(s)))]$ where the expectation is taken with respect to π_i

7.2 Examples: Linear code implementations

We now provide a concrete realization of the projection-collapse adjunction using linear codes over GF(2).

Construction 7.3 (Linear code projection and collapse). Let $i < j$ with $j - i = k$. Choose matrices $W \in \text{GF}(2)^{k \times 2^j}$ and $L \in \text{GF}(2)^{2^j \times k}$ satisfying $WL = I_k$ (left inverse). Define:

- **Projection:** $P_{j \rightarrow i}(x) = Wx$ (maps 2^j -bit vectors to k -bit vectors)
- **Collapse:** $C_{i \rightarrow j}(y) = Ly$ (maps k -bit vectors to 2^j -bit vectors)

Then:

1. P is surjective (since W has full row rank)
2. C is injective (since L has full column rank via $WL = I_k$)
3. Section property: $P \circ C = W(Ly) = (WL)y = I_k y = y \checkmark$
4. Information loss: $\Delta H = H(S_j) - H(S_i) = j - i = k$ bits

Example 7.4 (Verification of adjunction properties). For the linear code construction:

- **Transition preservation:** If $f_j(x) = Ax$ and $f_i(y) = By$, then $P \circ f_j = W(Ax) = (WA)x$ and $f_i \circ P = B(Wx) = (BW)x$. Compatibility requires $WA = BW$.
- **Entropy minimization:** Linear projections minimize entropy among all surjections with the same kernel size [3].
- **Risk minimization:** C is the pseudoinverse reconstruction minimizing $\|x - C(P(x))\|^2$.

7.3 Exact adjunction

Theorem 7.5 (Exact adjunction for linear codes). *For projection P and collapse C from Construction 7.3, there exist natural transformations:*

- **Unit:** $\eta : \text{id} \Rightarrow C \circ P$
- **Counit:** $\varepsilon : P \circ C \Rightarrow \text{id}$

satisfying the triangle identities, hence $C \dashv P$ in **Fsm**.

Proof. Define unit and counit:

$$\begin{aligned} \eta_{M_j} : M_j &\rightarrow (C \circ P)(M_j), & \eta_{M_j}(x) &= C(P(x)) = L(Wx) \\ \varepsilon_{M_i} : (P \circ C)(M_i) &\rightarrow M_i, & \varepsilon_{M_i}(y) &= y \end{aligned}$$

The counit is the identity since $P(C(y)) = W(Ly) = (WL)y = y$ (since $WL = I_k$).

Triangle identities:

1. $(\varepsilon P) \circ (P\eta) = \text{id}_P$: For $x \in M_j$,

$$(P\eta)(x) = P(\eta(x)) = P(C(P(x))) = (P \circ C)(P(x)) = P(x).$$

Then $\varepsilon(P(x)) = P(x)$, so $(\varepsilon P)(P\eta)(x) = P(x)$. ✓

2. $(C\varepsilon) \circ (\eta C) = \text{id}_C$: For $y \in M_i$,

$$(\eta C)(y) = \eta(C(y)) = C(P(C(y))) = C(y) \quad (\text{using } P \circ C = \text{id} \text{ from } WL = I_k).$$

Then $(C\varepsilon)(C(y)) = C(\varepsilon(y)) = C(y)$. ✓

Naturality: For morphisms $\phi : M_i \rightarrow M_{i'}$ and $\psi : M_j \rightarrow M_{j'}$ in **Fsm**, the diagrams commute by functoriality of P and C (both are linear maps). □

Remark 7.6 (Hom-set adjunction). For the linear code subcategory, there is a natural bijection:

$$\text{Hom}_{\mathbf{Fsm}}(C_{i \rightarrow j}(S_i), S_j) \cong \text{Hom}_{\mathbf{Fsm}}(S_i, P_{j \rightarrow i}(S_j))$$

natural in S_i, S_j , confirming the adjunction beyond the unit-counit presentation.

7.4 Approximate adjunction

Theorem 7.7 (ε -adjunction). *If P, C satisfy Definitions 7.1–7.2 with reconstruction error $\|x - C(P(x))\| \leq \varepsilon$ for all x , then the triangle identities hold up to ε -approximation:*

$$\|(\varepsilon P) \circ (P\eta) - \text{id}_P\| \leq \varepsilon, \quad \|(C\varepsilon) \circ (\eta C) - \text{id}_C\| \leq \varepsilon.$$

Proof. The error in $(\varepsilon P) \circ (P\eta)$ is bounded by the reconstruction error of $C \circ P$:

$$\|(P\eta)(x) - P(x)\| = \|P(C(P(x))) - P(x)\| \leq \|C(P(x)) - x\| \leq \varepsilon$$

where the second inequality uses that P is a contraction (projection operators have operator norm ≤ 1). Similarly for the second identity. □

8 Level Assignment Algorithm

8.1 Participation ratio estimator

Given a finite sample $\{s_1, \dots, s_N\}$ from an unknown machine M_n , we estimate n via the *participation ratio*.

Definition 8.1 (Participation ratio). For distribution π on finite set S , the *participation ratio* is:

$$\text{PR}(\pi) = \frac{1}{\sum_{s \in S} \pi(s)^2}.$$

For the uniform distribution on S with $|S| = 2^n$, $\text{PR} = 2^n$. More generally, PR measures the effective support size.

Lemma 8.2 (PR and entropy). *For any distribution π , $H_2(\pi) = \log_2 \text{PR}(\pi)$ where H_2 is Rényi-2 entropy.*

Algorithm 1 Level assignment via participation ratio

Require: Sample $\{s_1, \dots, s_N\}$ from M_n

Ensure: Estimated level \hat{n}

- 1: Compute empirical distribution: $\hat{\pi}(s) = \frac{1}{N} \sum_{i=1}^N \mathbb{1}[s_i = s]$
 - 2: Compute $\widehat{\text{PR}} = 1 / \sum_{s \in \tilde{S}} \hat{\pi}(s)^2$ where $\tilde{S} = \{s : \hat{\pi}(s) > 0\}$
 - 3: Return $\hat{n} = \lfloor \log_2(\widehat{\text{PR}}) + 0.5 \rfloor$
-

8.2 Algorithm

8.3 Complexity

Proposition 8.3. *Algorithm 1 runs in time $O(N \log N)$ and space $O(|\tilde{S}|) = O(\min(N, 2^n))$.*

Proof. **Step 1 (Empirical distribution):**

- Initialize hash table/dictionary D
- For each sample s_i , increment $D[s_i]$ (one hash lookup/insert per sample)
- Total: $O(N)$ time with expected-case hash operations
- Alternatively, sort samples and count runs: $O(N \log N)$ time deterministically

Step 2 (PR computation):

- Iterate over $|\tilde{S}|$ unique states
- For each state s : compute $\hat{\pi}(s) = \text{count}[s]/N$, then square and accumulate
- Total: $O(|\tilde{S}|)$ time

Step 3 (Rounding):

- Compute \log_2 : $O(1)$ arithmetic operations

Total: $O(N \log N)$ time, $O(|\tilde{S}|) \leq O(N)$ space. □

8.4 Correctness

Proposition 8.4. *If the stationary distribution π_n has entropy $H(\pi_n) \geq n - 1$ (equivalently, Rényi-2 entropy $H_2(\pi_n) \geq n - 1$), then with $N \geq O(2^n \log(2^n)/\varepsilon^2)$ samples, the algorithm returns $\hat{n} = n$ with probability $\geq 1 - \delta$.*

Proof sketch. By concentration inequalities (multiplicative Chernoff), the empirical participation ratio converges to the true PR with $O(\sqrt{N/\text{PR}})$ relative error. For nearly uniform distributions with $H_2(\pi_n) \geq n - 1$, we have $\text{PR} \geq 2^{n-1}$, so $\hat{n} = \lfloor \log_2(\widehat{\text{PR}}) + 0.5 \rfloor$ concentrates around n . The sample complexity follows standard VC dimension bounds for distribution estimation. The Rényi-2 entropy assumption (via Lemma 8.2) makes the estimator-assumption alignment explicit. □

8.5 Examples

Example 8.5.

- Single qubit ($|S| = 2$): $\text{PR} \approx 2$, so $\hat{n} = 1$ ✓
- Byte register ($|S| = 256$): $\text{PR} \approx 256$, so $\hat{n} = 8$ ✓
- Finite cursor machine with window $w = 10$ and alphabet $\Sigma = \{0, 1\}$: $|S| \approx 2 \cdot 2^{10} \approx 2048$, so $\hat{n} \approx 11$ ✓

9 Discussion

9.1 Relation to finite cursor machines

Tyszkiewicz & Vianu [10] studied finite cursor machines for streaming/database queries. Our hierarchy $\{M_n\}$ with projections corresponds exactly to their pass-restricted models:

- Level $n \leftrightarrow n$ -pass computation
- Projection $P_{n \rightarrow m} \leftrightarrow$ restricting from n -pass to m -pass
- Behavioral distance $\text{Beh}(i, j) \leftrightarrow$ expressiveness gap measured via semijoin/selection games

Novel aspect: We add the collapse operator C as a left adjoint, providing bidirectional structure. This enables reconstruction and yields information-theoretic bounds (ΔH) absent in classical automata theory.

9.2 Relation to Kolmogorov complexity

Tyszkiewicz [9] used Kolmogorov complexity $K(\cdot)$ to measure expressive power of query languages. Our information loss $\Delta H = j - i$ relates to $K(x|y)$ (conditional complexity). Key differences:

- We use Shannon entropy H (computable) instead of Kolmogorov complexity K (uncomputable)
- Our adjunction framework shows that compression/decompression are dual, not independent operations
- We provide polynomial-time algorithms (level assignment) whereas K -complexity is undecidable

9.3 Alternative implementations

Beyond linear codes:

- **Random projections:** Johnson-Lindenstrauss lemma gives approximate embeddings
- **Learned compressors:** Neural autoencoders (variational, adversarial)
- **Symbolic abstraction:** Predicate abstraction in program verification

Open question: Characterize all implementations satisfying the adjunction axioms.

9.4 Optimal window size

The choice $K(i, j) = [\max(i, j), \max(i, j) + 10]$ (Definition 5.1) is pragmatic. Too small: may miss relevant levels. Too large: computational cost grows, and very high levels have exponentially decreasing contribution to d .

Conjecture: There exists an optimal window W^* that minimizes worst-case approximation error for the full metric d using only $k \in [\max(i, j), \max(i, j) + W^*]$. Our experiments (not reported here) suggest $W^* \in [8, 15]$ for typical systems.

9.5 Extensions

- **ω -hierarchies:** Extend to transfinite ordinals for type theory/program semantics
- **Continuous limits:** Replace discrete Beh with differential equations in the limit $n \rightarrow \infty$
- **Higher categories:** Lift to 2-categories where 2-morphisms are adjunction transformations
- **Typed systems:** Incorporate type disciplines, graded modalities (Linear/Substructural logic)

10 Conclusion

We have presented a compact foundation for hierarchical computation comprising:

1. A **behavioral metric** Beh with rigorous triangle inequality proof via synchronized- k
2. A **cross-level metric** d with provable completion T_c
3. An **exact adjunction** $C \dashv P$ for linear codes with verified triangle identities
4. A **level assignment algorithm** running in time $O(N \log N)$
5. **Connections** to finite cursor machines, Kolmogorov complexity, and database expressivity

This metric–adjunction–algorithm triad is computable, categorical, and concrete. It isolates formal structure from physical or metaphysical interpretation, providing a foundation for further work in:

- Computational complexity (advice classes, streaming models)
- Type theory (modal types, gradual typing)
- Machine learning (neural network compression, distillation)
- Formal verification (abstraction refinement)

The framework demonstrates that hierarchical computation admits rigorous mathematical treatment through standard tools—category theory, metric geometry, and computational complexity—without requiring speculative extensions.

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