

20th class

Source Coding:

- (a) Fixed-fixed length (typical sets - formally not covered)
 (b) Arithmetic coding, Runlength coding, Huffman-Ziv algorithm (Compression without knowing P_X)

Zip algorithm

So far we discussed this $X \in \mathcal{X} \rightarrow \mathcal{C}$

It can be naturally mapped to $\underline{X} \in \mathcal{X}^n \rightarrow \mathcal{C}$

$$|\mathcal{X}^n| = |\mathcal{X}|^n$$

For $\underline{x} = (x_1, \dots, x_n)$ $x_i \in \mathcal{X}$, n

$$P_{\underline{X}}(\underline{x}) = \prod_{i=1}^n P_X(x_i)$$

\uparrow
 $x \in \mathcal{X}$

We just do Source coding in the alphabet $\mathcal{Y} = \mathcal{X}^n$
 with prob distribution $P_{\underline{X}}(\underline{x}) \stackrel{\Delta}{=} \prod_{i=1}^n P_X(x_i) \rightarrow (1)$

Recall that $\bar{L}(x)_{\text{Shannon-Fano}} < H(X) + 1$

$$H(X) \leq \bar{L}_{\text{Huff}}(x) \leq \bar{L}_{\text{Sh-Fano}}(x) < H(X) + 1 \rightarrow (2)$$

\downarrow
optimal

For $\underline{x} \in \mathcal{X}^n$ with dist as in (1),

$$H(\underline{x}) \leq \bar{L}_{\text{Huff}}(\underline{x}) \leq \bar{L}_{\text{S-F}}(\underline{x}) < H(x_1, \dots, x_n) + 1$$

$$= \sum_{i=1}^n H(x_i) + 1$$

$$= n H(X) + 1$$

$$H(X) \leq \frac{1}{n} \bar{L}_{\text{Huff}}(\underline{x}) \leq \frac{\bar{L}_{\text{S-F}}(\underline{x})}{n} \leq H(X) + \frac{1}{n} \rightarrow (3)$$

Comparing with (2), this upper bound in (3) is better.
 (avg no of bits required to represent the source X)

Shannon's Source coding theorem (achievability)

As $n \rightarrow \infty$, we see that

$$\frac{\bar{L}_{\text{Huffman}}(X)}{n} : \frac{\bar{L}_{\text{S-F}}(X)}{n} \rightarrow \underline{\underline{H(X)}}$$

Converse:
No matter what code we use any no of bits / source symbol $\geq H(X)$

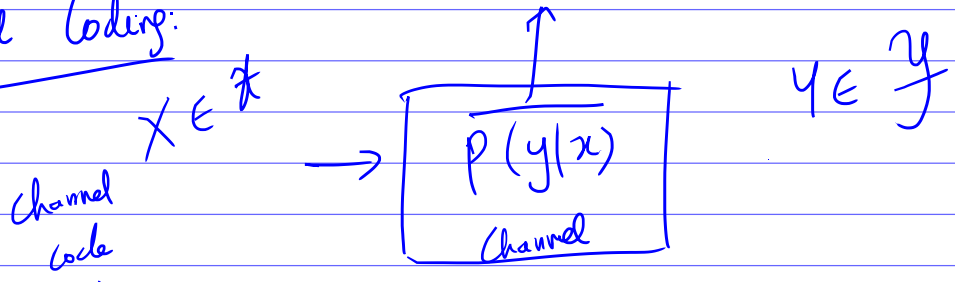
Optimal compression (Min possible length required to represent X) is achieved by either of the 2 schemes asymptotically in n .

As n grows, the algorithm for compress is complicated [Complexity \approx No of operations \approx exponential in n , this is very bad]

To counter this, we use 'streaming compression' like Run length coding, Lempel Ziv algo etc.

Prob that $Y=y$ given input $X=x$

Channel Coding:



(y_1, \dots, y_n) (x_1, \dots, x_n)

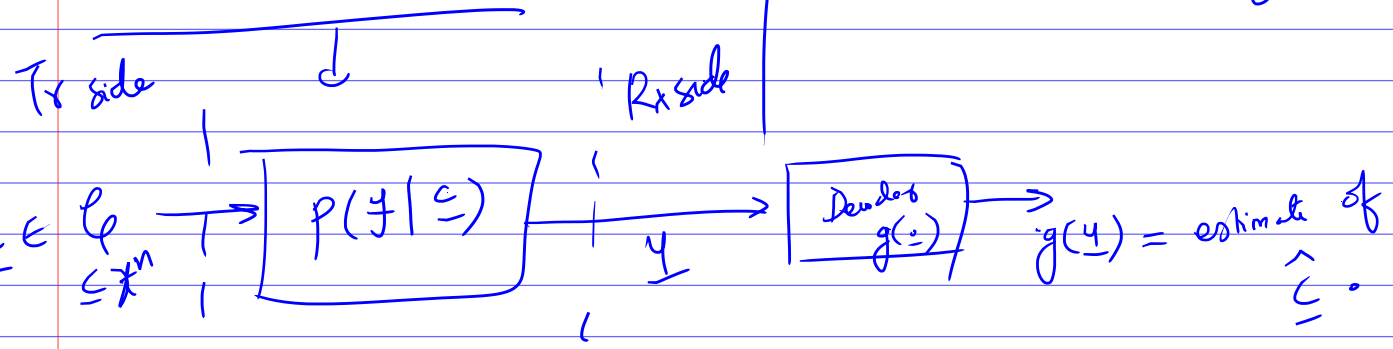
$$p(\underline{y} | \underline{x}) = \prod_{i=1}^n p(y_i | x_i)$$

Pick $\underline{c} \in \mathcal{X}^n$ & transmit sequence only from \underline{c} . (instead of \mathcal{X}^n)

Prob that $\underline{y} = \underline{y}$ given $\underline{x} = \underline{x}$

Prob that $y_i = y_i$ given $x_i = x_i$

→ we hope to reduce the prob of error



$$P_{\text{error}}(\underline{c}) = P(\hat{\underline{c}} \neq \underline{c}) \quad \text{where } \hat{\underline{c}} \text{ is the estimate for } \underline{c} \text{ derived by } \underline{y} \text{ (ch 2/p)} \\ = P(g(\underline{y}) \neq \underline{c}) \quad \text{which is obtained from the channel when } \underline{c} \text{ is transmitted.}$$

→ Our code \mathcal{C} must be chosen so that the $P_{\text{error}}(\underline{c})$ is small, $\forall \underline{c} \in \mathcal{C}$

→ Also we want $R = \frac{\log_2 |\mathcal{C}|}{n}$ bits.

Shannon's Channel Coding theorem:

Converse: The rate of any code \mathcal{C} (in the above channel) which has $P_{\text{error}} \rightarrow 0$, should satisfy

$$R < \max_{P_X} I(X; Y) = C$$

Channel Capacity.

Achievability:

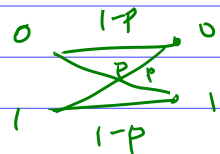
For any $\epsilon > 0$,

∃ some 'sequence of channel codes' (one for every n)

with rate $R = C - \epsilon$, such that

Vanishing Prob of error $\leftarrow (P_{\text{error}} \rightarrow 0 \text{ as } n \rightarrow \infty.)$
 $\rightarrow (P_{\text{error}} \text{ decreases exponentially with } n)$
 $(P_{\text{error}} \approx 2^{-n^{\delta}})$

Previously Seen: For Binary Symmetric Channel



For BSC, we say that

$$(1) C_{\text{BSC}} = \max_{P_X} I(X; Y) = 1 - H_2(p)$$

Binary entropy $H_2(p) \triangleq p \log \frac{1}{p} + (1-p) \log \frac{1}{1-p}$

$$p(y|x) = p \text{ if } y \neq x$$

② We also saw converse to the S-Channel coding thm for BSC.

That means we showed that for any code \mathcal{C} with negligible prob of error, the rate R of the code has to satisfy $R < C_{\text{BSC}} = 1 - H_2(p)$.

Achievability : Class 21

for BSC, achievability part of Channel coding theorem is stated as follows.

For any $\epsilon > 0$, there exists a sequence of codes \mathcal{C}_n (one for each value of n) with rate $R = 1 - H_2(p) - \epsilon$ and $P(\text{error}) \rightarrow 0$ as $n \rightarrow \infty$ (more specifically we will say, for some constant $\delta > 0$)

↓
This doesn't change as n changes.

$$P(\hat{\underline{c}} \neq \underline{c}) \leq 2^{-n\delta} \quad \text{for each } n;$$

For any specific codeword \underline{c} ,
 Randomness lies here with the estimate because decoder's input = $\sigma \times$ vectors is a random vector chosen according to distribution $p(y|\underline{c})$
 Transmitted codeword (particular \underline{c})
 estimate of fix codeword
 & for each $\underline{c} \in \mathcal{C}_n$

Proof ^{argument} of achievability (for BSC):

We will use a 'random' code.

Entire argument is for a fixed value of n . We will assume n is large (so that no of flips is $\approx np$)

We will pick a code \mathcal{C}_n of rate $R = 1 - H_2(p) - \epsilon$.

So we want $|\mathcal{C}_n| = 2^{nR}$ [assume that nR is an integer, which will be true for large enough n and a rational R].

\mathcal{C}_n = Set of vectors we pick in this process

Random code construction: ① Pick each codeword in the code \mathcal{C}_n from $\{0,1\}^n$ uniformly at random
 (n-length sequences over $\{0,1\}$) $\Rightarrow P(\text{codeword} = \text{any specific } n\text{-length seq in } \{0,1\}^n) = \frac{1}{2^n}$

② Repeat the process 2^{nR} times.

Repeat the ①② steps until we get $|\mathcal{C}_n| = 2^{nR}$

Now we want to prove $P(\hat{c} \neq c) \leq 2^{-n\delta}$ for any $c \in \mathcal{C}_n$ for some $\delta > 0$.

For getting a handle on the probability of errors, we need to specify how the decoding function is defined (i.e how is estimate \hat{c} calculated given a particular received vector)

let the decoding function be denoted by

$$D: \{0,1\}^n \longrightarrow \mathcal{C}_n$$

domain of decoding fn codomain

We will define the fn D as follows

For any $y \in \{0,1\}^n$, $D(y) \triangleq \underset{c' \in \mathcal{C}_n}{\operatorname{argmax}} \underbrace{p(\bar{y} | c')}_{\substack{\text{Output} \\ \text{Input}}}$

('break the ties arbitrarily')

This can be found at decoder!
 because $p(y|c)$ is known to decoder
 & y, c

$D(y)$ is the estimate \hat{c} of the tx codeword when received vector is y

↳ "Maximum Likelihood Decoding Rule"

To show that $P(\hat{\underline{c}} \neq \underline{c})$ is small, we will show that

$P(D(y) \neq \underline{c})$ is small where y is the random received vector when \underline{c} is transmitted.

Note

$$\begin{aligned}
 P(y|\underline{c}') &= P(y_1, \dots, y_n | (c'_1, \dots, c'_n)) \\
 &= \prod_{i=1}^n P(y_i | c'_i) \quad \left[\begin{array}{l} \text{ith output} \mid \text{ith input bit} \\ \text{We assume this true} \end{array} \right] \\
 &= p^{d_H(y, \underline{c}')} (1-p)^{n - d_H(y, \underline{c}')} \quad \left\{ \begin{array}{l} = p \text{ if } y_i \neq c'_i \\ = 1-p \text{ if } y_i = c'_i \end{array} \right.
 \end{aligned}$$

$$P(y|\underline{c}') = \left(\frac{p}{1-p} \right)^{d_H(y, \underline{c}')} (1-p)^n \quad d_H(y, \underline{c}') = \text{no of positions where } y \text{ \& } \underline{c}' \text{ differ}$$

→ (A)

If $p < 0.5$,
By (A) $\max_{\underline{c}' \in \mathcal{C}_n} P(y|\underline{c}')$ across all $\underline{c}' \in \mathcal{C}_n$ is same as

minimizing $d_H(y, \underline{c}')$ $\Rightarrow \hat{\underline{c}} = \underset{\underline{c}' \in \mathcal{C}_n}{\operatorname{argmin}} d_H(y, \underline{c}')$ \rightarrow Minimum Hamming distance decoder

Class 22

Continuing proof of achievability

For the above decoder, we want to show $P(\hat{\underline{c}} \neq \underline{c}) \leq 2^{-n\delta}$
(for $\delta > 0$) $\forall \underline{c}$

For any specific $\underline{c} \in \mathcal{C}_n$ as the transmitted codeword,

$P(\hat{\underline{c}} \neq \underline{c}) \rightarrow$ we want to find out an upper bound for this

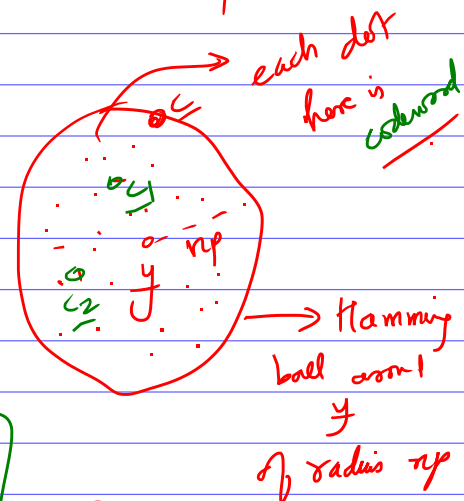
$\hat{\underline{c}} \neq \underline{c}$ occurs when \underline{c} is not the closest codeword to y .

random

But by Law of large numbers, (To be done in problem)

$$d_H(y, c) \approx np$$

→ If there are other codewords within this ball $B(y, np)$, then decoder can make an error.



If there are no other codewords within this ball, decoder will not make an error

$$B(y, np) = \{z \in \{0,1\}^n : d_H(z, y) \leq np\}$$

$$P(\hat{c} \neq c) \leq P(\exists c' \in B(y, np) : \hat{c} \neq c)$$

actual transmitted codeword

$$\leq \frac{|B(y, np)|}{2^n}$$

$$\leq \frac{\sum_{i=0}^{np} \binom{n}{i}}{2^n}$$

(this is because the codewords are picked uniformly at random)

$$= \frac{\binom{n}{np} + \sum_{i=0}^{np-1} \binom{n}{i}}{2^n}$$

$$\frac{\binom{n}{0} + \binom{n}{1} + \dots + \binom{n}{np}}{2^n}$$

$$np < \frac{n}{2}$$

(we assume $p < 0.5$, otherwise we can change the channel to BSC(p')

where $p' = 1 - p$)

As n grows large, this value is dominated by the first term which is $\binom{n}{np}$

$$\text{RHS of (1)} \approx \frac{2^{nH_2(p)}}{2^n}$$

$$\left(\text{as } n \uparrow, \binom{n}{np} + \dots + \binom{n}{0} \approx 2^{nH_2(p)} \right)$$

By (1)

$$\Rightarrow P(\hat{c} \neq c) \leq$$

\approx

$$2^{-n(1-H_2(p))} \rightarrow (A)$$

→ This is for a specific codeword $c \in \mathcal{C}_n$

We want to show that for all codewords simultaneously (A) has to hold

We want $P\left(\bigcup_{\underline{c} \in \mathcal{C}_n} (\hat{\underline{c}} \neq \underline{c})\right) \leq 2^{-n\delta}$ for some $\delta > 0$,

Now note: (Union bound)

WKT. $P\left(\bigcup_{\underline{c} \in \mathcal{C}_n} (\hat{\underline{c}} \neq \underline{c})\right) \leq \sum_{\underline{c} \in \mathcal{C}_n} P(\hat{\underline{c}} \neq \underline{c})$

we have bound in (A) on this

Note that if

$$P\left(\bigcup_{\underline{c} \in \mathcal{C}_n} (\hat{\underline{c}} \neq \underline{c})\right) \rightarrow 0$$

then

$$P(\hat{\underline{c}} \neq \underline{c}) \rightarrow 0 \quad \forall \underline{c} \in \mathcal{C}_n$$

$$\leq \sum_{\underline{c} \in \mathcal{C}_n} \underline{2^{-n(1-H_2(p))}}$$

this value doesn't depend on $\underline{c} \in \mathcal{C}_n$

$$\leq 2^{nR} \cdot 2^{-n(1-H_2(p))}$$

$$\leq 2^{-n(1-H_2(p)-R)}$$

$$P\left(\bigcup_{\underline{c} \in \mathcal{C}_n} (\hat{\underline{c}} \neq \underline{c})\right) \leq 2^{-n\epsilon} \quad \left[\begin{array}{l} \text{as our rate } R = 1-H_2(p) \\ (\epsilon \text{ was given constant } > 0) \end{array} \right]$$

$$\Rightarrow P(\hat{\underline{c}} \neq \underline{c}) \leq 2^{-n\epsilon} \quad \text{for all } \underline{c} \in \mathcal{C}_n.$$

↳ Hence proved //

—X—

In practice using Random codes + MDD (or MLD) for BSC is very complex (complexity of encoder/decoder is extremely large (extremely large = $\exp(n)$))

In practice, we use structured ^{deterministic} codes which have low encoding/decoding perform \rightarrow Most interesting class of structured codes called "linear codes"

\rightarrow Difficult problem (not considerably solved today)
for BSC channel

\downarrow
Get linear codes of small probability of error
& rate close to capacity