# **Causal Variational Autoencoders**

#### **Abstract**

The main objective of this project is to conceptualize Variational Autoencoders from the perspective of Causal Inference and establish a causal reltionship between images and the ground truth independent factors. We will also be studying the effects of intervention and make attempts to answer counterfactual queries.

#### **Dataset**

dSprites is a dataset of sprites, which are 2D shapes procedurally generated from 6 ground truth independent "factors." These factors are color, shape, scale, rotation, x and y positions of a sprite.

- · Color: white
- Shape: 3 values {square, ellipse, heart}
- Scale: 6 values linearly spaced in (0.5, 1)
- Orientation: 40 values in  $(0, 2\pi)$
- Position X: 32 values in (0, 1)
- Position Y: 32 values in (0, 1)

All possible combinations of these variables are present exactly once, generating N = 737280 total images.

Let's mount our google drive before we start so that we are able to use our dataset for training purpose and save our trained model.

```
In [ ]: from google.colab import drive
drive.mount('/content/drive')
```

# Installing the necessary dpackages

- Pyro Package pyro is used for Deep Probabilistic Programming.
- Torch Vision Package The torchvision package consists of popular datasets, model architectures, and common image transformations for computer vision.
- Pydrive Package File management made easy. Upload/update the file with one method. PyDrive will do it
  in the most efficient way.
- Tgdm Package tgdm package is used to plot user-interactive plot used for visualization.

```
In [ ]:    !pip3 install pyro-ppl
    !pip3 install torch torchvision
    !pip3 install pydrive --upgrade
    !pip3 install tqdm
```

#### Loading the necessary libraries

```
In [4]: # Load necessary libraries
        from matplotlib import pyplot as plt
        import numpy as np
        import seaborn as sns
        import os
        from collections import defaultdict
        import torch
        import torch.nn as nn
        from tqdm import tqdm
        import pyro
        import pyro.distributions as dist
        from pyro.infer import SVI, Trace_ELBO, TraceEnum_ELBO, config_enumerate, Empi
        ricalMarginal
        from pyro.optim import Adam, SGD
        import torch.distributions.constraints as constraints
        # Change figure aesthetics
        %matplotlib inline
        sns.set_context('talk', font_scale=1.2, rc={'lines.linewidth': 1.5})
        from ipywidgets import interact, interactive, fixed, interact manual
        import ipywidgets as widgets
        #to utilize GPU capabilities
        USE CUDA = True
        # USE CUDA = False
        pyro.enable validation(True)
        pyro.distributions.enable_validation(False)
```

# **GPU** compatibility

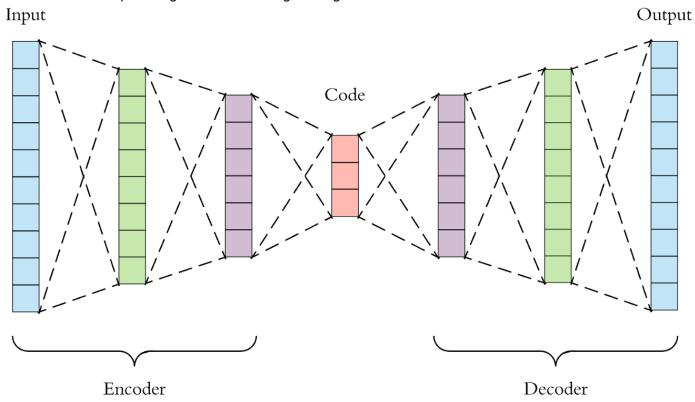
The project is made to be GPU compatible as the dataset comprises 737280 images of 64 x 64 dimensions each. Here, we allocate the GPU using packages like psutil, humanize and gputil packages.

```
In [ ]: # Hack to get all available GPU ram.
        import tensorflow as tf
        tf.test.gpu device name()
        !ln -sf /opt/bin/nvidia-smi /usr/bin/nvidia-smi
        !pip install gputil
        !pip install psutil
        !pip install humanize
        import psutil
        import humanize
        import os
        import GPUtil as GPU
        GPUs = GPU.getGPUs()
        # XXX: only one GPU on Colab and isn't quaranteed
        gpu = GPUs[0]
        def printm():
         process = psutil.Process(os.getpid())
         print("Gen RAM Free: " + humanize.naturalsize( psutil.virtual_memory().availa
        ble ), " | Proc size: " + humanize.naturalsize( process.memory info().rss))
         print("GPU RAM Free: {0:.0f}MB | Used: {1:.0f}MB | Util {2:3.0f}% | Total
        {3:.0f}MB".format(gpu.memoryFree, gpu.memoryUsed, gpu.memoryUtil*100, gpu.memo
        ryTotal))
        printm()
```

#### What are AutoEncoders..?

Autoencoder is an unsupervised artificial neural network that learns how to efficiently compress and encode data then learns how to reconstruct the data back from the reduced encoded representation to a representation that is as close to the original input as possible.

An autoencoder accepts input, compresses it, and then recreates the original input. But this cannot be used for generation as the encoded feature space does not capture the underlying distribution. Changing values even a little in the feature space might render the image intangible.



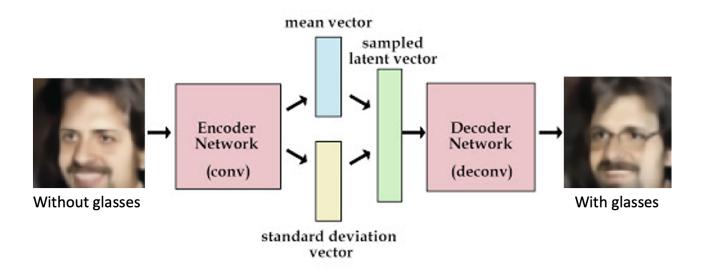
# What are Variational Autoencoder's and how are they better..?

A variational autoencoder assumes that the source data has some sort of underlying probability distribution (such as Gaussian) and then attempts to find the parameters of the distribution. The model learns the  $\mu$  and  $\sigma$  and ensures continuity in the feature space. Thus, tweaking the same will lead to sensible images.

#### They consist of two parts:

- 1. Encoder In which the model learns how to reduce the input dimensions and compress the input data into an encoded representation.
  - Encoder Class which takes in as input the image (xs) and the label (ys) and feeds it to the
    forward function which gives the output as z\_loc and z\_scale (the mean and variance values
    which are used in sampling the latent variable (zs) from a normal distribution)
- 1. Decoder In which the model learns how to reconstruct the data from the encoded representation to be as close to the original input as possible.
  - Decoder Class which takes in as input the latent variable (zs) and the image label (ys) and reconstructs a new image in the vicinity of the input image which was used to train the variational

autoencoder.



causal\_vae\_dsprites

```
In [6]: class EncoderY(nn.Module):
                        MLP-based encoder for Y.
                         This is used for inference of Y. In the model, each element of
        Y is drawn
                        from its own distribution. However, during inference, we will
        sample values
                        of Y from a multivariate Gaussian.
                        def __init__(self, image_dim, label_dim):
                                 super(EncoderY, self).__init__()
                                 #setup image and label dimensions from the dataset
                                 self.image dim = image dim
                                 self.label dim = label dim
                                 # setup the three linear transformations used
                                 self.fc1 = nn.Linear(image_dim, 1000)
                                 self.fc2 = nn.Linear(1000, 1000)
                                 self.fc31 = nn.Linear(1000, label dim) # mu values
                                 self.fc32 = nn.Linear(1000, label dim) # sigma values
                                 # setup the non-linearities
                                 self.softplus = nn.Softplus()
                        def forward(self, xs):
                                 xs = xs.reshape(-1, self.image dim)
                                 #now concatenate the image and label
                                 \#inputs = torch.cat((xs,), -1)
                                 # then compute the hidden units
                                 hidden1 = self.softplus(self.fc1(xs))
                                 hidden2 = self.softplus(self.fc2(hidden1))
                                 # then return a mean vector and a (positive) square ro
        ot covariance
                                 # each of size batch size x z dim
                                 y_loc = self.fc31(hidden2)
                                 y scale = torch.exp(self.fc32(hidden2))
                                 return y_loc, y_scale
        class EncoderZ(nn.Module):
            MLPs (multi-layered perceptrons or simple feed-forward networks)
            where the provided activation parameter is used on every linear layer exce
        pt
            for the output layer where we use the provided output activation parameter
                def __init__(self, image_dim, label_dim, z_dim):
                         super(EncoderZ, self).__init__()
                        #setup image and label dimensions from the dataset
                         self.image dim = image dim
                        self.label dim = label dim
                        self.z dim = z dim
                        # setup the three linear transformations used
                        self.fc1 = nn.Linear(self.image_dim+self.label_dim, 1000)
                        self.fc2 = nn.Linear(1000, 1000)
                         self.fc31 = nn.Linear(1000, z dim) # mu values
                         self.fc32 = nn.Linear(1000, z dim) # sigma values
```

```
# setup the non-linearities
                self.softplus = nn.Softplus()
       def forward(self, xs, ys):
                xs = xs.reshape(-1, self.image dim)
                #now concatenate the image and label
                inputs = torch.cat((xs,ys), -1)
                # then compute the hidden units
                hidden1 = self.softplus(self.fc1(inputs))
                hidden2 = self.softplus(self.fc2(hidden1))
                # then return a mean vector and a (positive) square root covar
iance
                # each of size batch size x z dim
                z loc = self.fc31(hidden2)
                z_scale = torch.exp(self.fc32(hidden2))
                return z_loc, z_scale
class Decoder(nn.Module):
       def init (self, image dim, label dim, z dim):
                super(Decoder, self). init ()
                # setup the two linear transformations used
                hidden dim = 1000
                self.fc1 = nn.Linear(z dim+label dim, hidden dim)
                self.fc2 = nn.Linear(hidden dim, hidden dim)
                self.fc3 = nn.Linear(hidden_dim, hidden_dim)
                self.fc4 = nn.Linear(hidden dim, image dim)
                # setup the non-linearities
                self.softplus = nn.Softplus()
                self.sigmoid = nn.Sigmoid()
       def forward(self, zs, ys):
                inputs = torch.cat((zs, ys),-1)
                # then compute the hidden units
                hidden1 = self.softplus(self.fc1(inputs))
                hidden2 = self.softplus(self.fc2(hidden1))
                hidden3 = self.softplus(self.fc3(hidden2))
                # return the parameter for the output Bernoulli
                # each is of size batch size x 784
                loc img = self.sigmoid(self.fc4(hidden3))
                return loc img
```

## Why do we need to introduce Causality..?

In real scenarios, features are not necessarily independent. Instead, there might be an underlying causal structure which renders these features dependent. Looking at data and relationships from a causal perspectives helps us to understand this underlying distributions and aids us in data generating process.

Learning disentanglement aims at finding a low dimensional representation consisting of multiple explanatory and generative factors of the observational data. The VAE framework is commonly used to disentangle independent factors from observations.

#### **Causal Variational Autoencoders**

This module implements the Causal Effect Variational Autoencoder, which demonstrates a number of innovations including:

- a generative model for causal effect inference with hidden confounders;
- · a model and guide with twin neural nets to allow imbalanced treatment; and
- a custom training loss that includes both ELBO terms and extra terms needed to train the guide to be able to answer counterfactual queries.

class Model(config)

Generative model for a causal model with latent confounder z and binary treatment t:

```
z \sim p(z)  # latent confounder

x \sim p(x|z)  # partial noisy observation of z

t \sim p(t|z)  # treatment, whose application is biased by z

y \sim p(y|t,z)  # outcome
```

Each of these distributions is defined by a neural network. The y distribution is defined by a disjoint pair of neural networks defining p(y|t=0,z) and p(y|t=1,z); this allows highly imbalanced treatment.

class Guide(config)

Inference model for causal effect estimation with latent confounder z and binary treatment t:

```
t \sim q(t|x) # treatment
y ~ q(y|t,x) # outcome
z ~ q(z|y,t,x) # latent confounder, an embedding
```

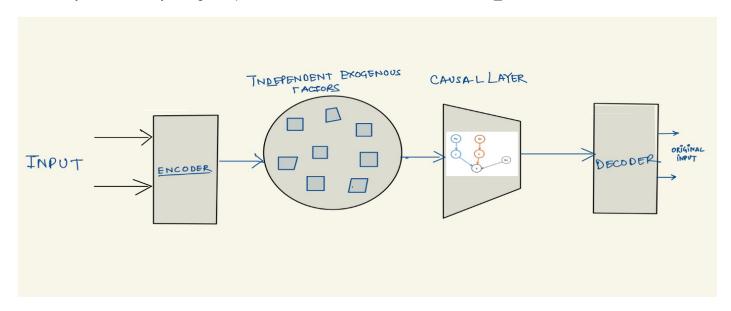
Each of these distributions is defined by a neural network. The y and z distributions are defined by disjoint pairs of neural networks defining  $p(-|t=0,\dots)andp(-|t=1,\dots)$  this allows highly imbalanced treatment.

classTraceCausalEffect ELBO

Loss function for training a CEVAE. From [1], the CEVAE objective (to maximize) is:

```
-loss = ELBO + log q(t|x) + log q(y|t,x)
```

users may customize by using components Model, Guide, TraceCausalEffect\_ELBO and utilities.



```
In [7]:
        class CVAE(nn.Module):
                 This class encapsulates the parameters (neural networks) and models &
         quides
                needed to train a supervised variational auto-encoder
                def init (self, config enum=None, use cuda=False, aux loss multipli
        er=None):
                         super(CVAE, self).__init__()
                         self.image dim = 64**2
                         self.label\_shape = np.array((1,3,6,40,32,32))
                         self.label_names = np.array(('color', 'shape', 'scale', 'orien
        tation', 'posX', 'posY'))
                         self.label dim = np.sum(self.label shape)
                         self.z dim = 50
                         self.allow broadcast = config enum == 'parallel'
                         self.use_cuda = use_cuda
                         self.aux_loss_multiplier = aux_loss_multiplier
                                 # define and instantiate the neural networks represent
        ing
                                 # the paramters of various distributions in the model
                         self.setup networks()
                def setup_networks(self):
                         Setup and initialize encoder and decoder units
                         self.encoder y = EncoderY(self.image dim, 4)
                         self.encoder z = EncoderZ(self.image dim, self.label dim, self
         .z_dim)
                         self.decoder = Decoder(self.image dim, self.label dim, self.z
        dim)
                         # using GPUs for faster training of the networks
                         if self.use_cuda:
                                         self.cuda()
                def model(self, xs_obs, ys_obs):
                                 pyro.module("cvae", self)
                                 batch size = xs obs.size(0)
                                 options = dict(dtype=xs_obs.dtype, device=xs_obs.devic
        e)
                                 zero vec = torch.zeros([batch size], **options)
                                 def p Z():
                                                 prior loc = torch.zeros(batch size, se
        lf.z_dim, **options)
                                                 prior scale = torch.ones(batch size, s
        elf.z dim, **options)
                                                 zs = pyro.sample("z", dist.Normal(prio
        r loc, prior scale).to event(1))
                                                 return zs
                                 def p_Y(ys_obs):
                                                 num shapes = 3
                                                 one_vec = torch.ones([batch_size], **o
```

```
ptions)
                                        shape probs = torch.ones([batch size,
num shapes], **options) # 3 shapes
                                        orientation probs = torch.ones([batch
size, 40], **options) # 40 orientation vals
                                        _, shape_obs, scale_obs, orient_obs, p
os_x_obs, pos_y_obs = ys_obs.t()
                                        # sample the shapes
                                        shape = pyro.sample('shape', dist.Cate
gorical(probs=shape_probs), obs=shape_obs.int())
                                        scale = pyro.sample('scale', dist.Unif
orm(zero vec, one vec*40), obs=scale obs)
                                        orientation = pyro.sample(
                                                         'orientation',
                                                         dist.Uniform(zero vec,
one_vec*40),
                                                         obs=orient obs
                                        position_x = pyro.sample('x_pos', dist
.Uniform(zero vec, one vec*32), obs=pos x obs)
                                        position y = pyro.sample('y pos', dist
.Uniform(zero_vec, one_vec*32), obs=pos_y_obs)
                                        ys = torch.stack((zero_vec, shape.floa
t(), scale, orientation, position_x, position_y)).t()
                                        return ys
                        def f_X_obs(ys, zs, xs_obs):
                                        # if the label y (which digit to writ
e) is supervised, sample from the
                                        # constant prior, otherwise, observe t
he value (i.e. score it against
                                        # the constant prior)
                                        loc = self.decoder.forward(zs, self.p_
Y onehot(ys))
                                        xs = pyro.sample("x", dist.Bernoulli(1
oc).to event(1), obs=xs obs)
                                        return xs
                        with pyro.plate("data"):
                                        zs = p_Z()
                                        ys = p Y(ys obs)
                                        xs = f_X_{obs}(ys, zs, xs_{obs})
                                        return xs, ys
       def p Y onehot(self, ys): # Is this better? At least it works
                                new ys = []
                                options = dict(dtype=ys.dtype, device=ys.devic
e)
                                for i, label length in enumerate(self.label sh
ape):
                                                 prior = torch.ones(ys.size(0),
label_length, **options) / (1.0 * label_length)
                                                 new_ys.append(pyro.sample("y_%")
s" % self.label names[i], dist.OneHotCategorical(prior),
```

```
obs=torch.nn.functional.one_hot(ys[:,i].to(torch.int64), int(label_length))))
                                new_ys = torch.cat(new_ys, -1)
                                return new ys.to(torch.float32)
       def guide(self, xs, ys):
                with pyro.plate("data"):
                        z_loc, z_scale = self.encoder_z.forward(xs, self.p_Y_o
nehot(ys))
                        pyro.sample("z", dist.Normal(z_loc, z_scale).to_event(
1))
       def remap y(self, ys):
                new_ys = []
                options = dict(dtype=ys.dtype, device=ys.device)
                for i, label length in enumerate(self.label shape):
                    prior = torch.ones(ys.size(0), label length, **options) /
(1.0 * label length)
                    new ys.append(pyro.sample("y %s" % self.label names[i], di
st.OneHotCategorical(prior),
                                           obs=torch.nn.functional.one hot(ys
[:,i].to(torch.int64), int(label_length))))
                new ys = torch.cat(new ys, -1)
                return new ys.to(torch.float32)
       def reconstruct_image(self, xs, ys):
                # backward
                sim z loc, sim z scale = self.encoder z.forward(xs, self.p Y o
nehot(ys))
                zs = dist.Normal(sim z loc, sim z scale).to event(1).sample()
                # forward
                loc = self.decoder.forward(zs, self.p Y onehot(ys))
                return dist.Bernoulli(loc).to event(1).sample()
```

# **Data Preprocessing**

The dataset is split in test and train both for the images and the labels. The below code snippet explains how the dataset is combined and stored in the data loader dictionary.

Note: There are six types of labels for a single image therefore, the labels are split as well in the similar format and stored in a dictionary inside the data loader function.

```
In [8]:
        def setup data loaders(train x, test x, train y, test y, batch size=128, use c
        uda=False):
                train dset = torch.utils.data.TensorDataset(
                  torch.from_numpy(train_x.astype(np.float32)).reshape(-1, 4096),
                  torch.from numpy(train y.astype(np.float32))
                 )
                test_dset = torch.utils.data.TensorDataset(
                  torch.from numpy(test x.astype(np.float32)).reshape(-1, 4096),
                  torch.from numpy(test y.astype(np.float32))
                kwargs = {'num workers': 1, 'pin memory': use cuda}
                train loader = torch.utils.data.DataLoader(
                  dataset=train_dset, batch_size=batch_size, shuffle=False, **kwargs
                test_loader = torch.utils.data.DataLoader(
                  dataset=test dset, batch size=batch size, shuffle=False, **kwargs
                 )
                 return {"train":train_loader, "test":test_loader}
```

## Loading the dataset

The dataset is now loaded from our mounted Google Drive. A set of sampled images from the loaded dataset, along with labels sampled is created and passed into setup\_data\_loaders function to prepare the data loaders dictionary which comprises of the train and the test data.

```
In [9]:
        dataset zip = np.load(
             '/content/drive/MyDrive/Causal 7290 Code/Causal-Inference/dsprites-datase
        t/dsprites ndarray co1sh3sc6or40x32y32 64x64.npz',
            encoding = 'bytes',
            allow pickle=True
        imgs = dataset_zip['imgs']
        labels = dataset zip['latents classes']
        label_sizes = dataset_zip['metadata'][()][b'latents_sizes']
        label_names = dataset_zip['metadata'][()][b'latents_names']
        # Sample imas randomly
        indices sampled = np.arange(imgs.shape[0])
        np.random.shuffle(indices sampled)
        imgs sampled = imgs[indices sampled]
        labels_sampled = labels[indices_sampled]
        data loaders = setup data loaders(
           imgs sampled[1000:],
           imgs sampled[:1000],
           labels sampled[1000:],
           labels_sampled[:1000],
           batch size=256,
           use cuda=USE CUDA
```

#### **Training the Model**

The SVI (Stochastic Variational Inference) in Pyro was used to compute the losses from the train and the test dataset.

The SVI object has 2 methods,

- .step():takes a single gradient step and returns an estimate of the loss (i.e. minus the ELBO). If provided, the arguments to step() are piped to model() and guide().
- .evaluate\_loss(): returns an estimate of the loss without taking a gradient step. Just like for step(), if
  provided, arguments to evaluate loss() are piped to model() and guide()

```
In [10]: | def train(svi, train_loader, use_cuda=False):
                  # initialize loss accumulator
                  epoch loss = 0.
                 # do a training epoch over each mini-batch x returned
                 # by the data Loader
                 for xs,ys in train loader:
                    # if on GPU put mini-batch into CUDA memory
                   if use cuda:
                       xs = xs.cuda()
                       ys = ys.cuda()
                   # do ELBO gradient and accumulate loss
                   epoch loss += svi.step(xs, ys)
                 # return epoch loss
                 normalizer_train = len(train_loader.dataset)
                 total epoch loss train = epoch loss / normalizer train
                  return total epoch loss train
         def evaluate(svi, test loader, use cuda=False):
                 # initialize loss accumulator
                 test loss = 0.
                 # compute the loss over the entire test set
                 for xs, ys in test loader:
                   # if on GPU put mini-batch into CUDA memory
                   if use cuda:
                       xs = xs.cuda()
                       ys = ys.cuda()
                   # compute ELBO estimate and accumulate loss
                   test_loss += svi.evaluate_loss(xs, ys)
                  normalizer test = len(test loader.dataset)
                 total_epoch_loss_test = test_loss / normalizer_test
                  return total epoch loss test
```

#### Used Hyperparameters:

- learning rate = 1.0e-3 and
- number of iterations = 10
- testing frequency = 5

```
In [11]: # Run options
    LEARNING_RATE = 1.0e-3

# Run only for a single iteration for testing
    NUM_EPOCHS = 10
    TEST_FREQUENCY = 5
```

Here the model is trained by setting up the SVI inference algorithm.

Adam optimizer was utilzed.

The svi.step() and svi.evaluate() functions were used to compute the losses for the train and test data for evaluating our model.

```
In [12]:
         import warnings
         warnings.filterwarnings('ignore')
         # clear param store
         pyro.clear_param_store()
         # setup the VAE
         vae = CVAE(use cuda=USE CUDA)
         # setup the optimizer
         adam_args = {"lr": LEARNING_RATE}
         optimizer = Adam(adam_args)
         # setup the inference algorithm
         svi = SVI(vae.model, vae.guide, optimizer, loss=Trace ELBO())
         train elbo = []
         test elbo = []
         # training loop
         VERBOSE = True
         pbar = tqdm(range(NUM_EPOCHS))
         for epoch in pbar:
             total_epoch_loss_train = train(svi, data_loaders["train"], use_cuda=USE_CU
         DA)
             train elbo.append(-total epoch loss train)
             if VERBOSE:
                  print("[epoch %03d] average training loss: %.4f" % (epoch, total_epoc
         h_loss_train))
             if epoch % TEST FREQUENCY == 0:
                 # report test diagnostics
                 total_epoch_loss_test = evaluate(svi, data_loaders["test"], use_cuda=U
         SE CUDA)
                 test_elbo.append(-total_epoch_loss_test)
                  if VERBOSE:
                      print("[epoch %03d] average test loss: %.4f" % (epoch, total_epoch
         _loss_test))
```

```
0%|
                                              | 0/10 [00:00<?, ?it/s]
[epoch 000] average training loss: 180.0718
  10%
                                              | 1/10 [01:46<16:01, 106.83s/it]
[epoch 000] average test loss: 119.1862
  20%
                                              2/10 [03:34<14:16, 107.00s/it]
[epoch 001] average training loss: 92.9595
  30%
                                              | 3/10 [05:23<12:33, 107.57s/it]
[epoch 002]
                                       average training loss: 81.9519
  40%|
                                              | 4/10 [07:11<10:47, 107.85s/it]
[epoch 003]
                                       average training loss: 60.2432
  50%|
                                              | 5/10 [09:00<09:00, 108.17s/it]
[epoch 004]
                                       average training loss: 48.1666
[epoch 005]
                                       average training loss: 38.6646
  60%
                                              | 6/10 [10:49<07:13, 108.40s/it]
[epoch 005] average test loss: 39.3639
                                              7/10 [12:38<05:26, 108.68s/it]
[epoch 006] average training loss: 35.6741
  80% | 8/10 [14:24<03:35, 107.70s/it]
[epoch 007] average training loss: 34.1635
  90% | 90% | 9/10 [16:11<01:47, 107.68s/it]
[epoch 008] average training loss: 33.0933
100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 100%| 
[epoch 009] average training loss: 32.2103
```

# Saving the Model

The results and the trained model were saved to avoid retraining and reusability.

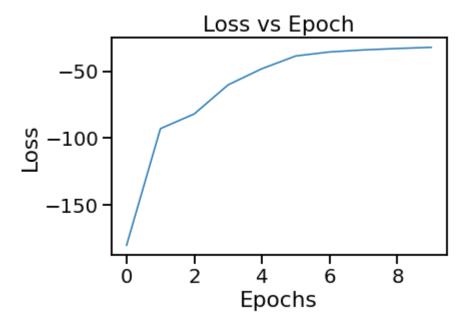
## **Loading Our Trained Model**

The previously saved model was loaded in the following snippet.

## **Loss Vs Epochs**

Observation: The given hyperparameters used are performing well, as after 10 epochs there is no significant change in the training losses.

```
In [14]: #Plotting the loss now
    import seaborn as sns
    sns.lineplot(data=train_elbo)
    plt.title('Loss vs Epoch')
    plt.xlabel('Epochs')
    plt.ylabel('Loss')
```



## **Visualizing the Reconstructions**

Out[14]: Text(0, 0.5, 'Loss')

Here, the original image was compared with the one reconstructed form the decoder of VAE (Without interventions).

Pending

```
In [ ]: import warnings
    warnings.filterwarnings("ignore")

    data_iter = iter(data_loaders["test"])
    xs, ys = next(data_iter)

if USE_CUDA:
    xs = xs.cuda()
    ys = ys.cuda()

rs = vae.reconstruct_image(xs, ys)
    if USE_CUDA:
        xs = xs.cpu()
        rs = rs.cpu()
    originals = xs.numpy().reshape(-1, 64,64)
    recons = rs.reshape(-1,64,64)
```

```
In [ ]: | def recon_check(original, recon):
          fig = plt.figure()
          ax0 = fig.add subplot(121)
          plt.imshow(original, cmap='Greys r', interpolation='nearest')
          plt.axis('off')
          ax1 = fig.add subplot(122)
          plt.imshow(recon , cmap='Greys r', interpolation='nearest')
          plt.axis('off')
        def f(x):
            fig = plt.figure()
            ax0 = fig.add subplot(121)
            plt.imshow(originals[x], cmap='Greys_r', interpolation='nearest')
            plt.axis('off')
            ax1 = fig.add subplot(122)
            plt.imshow(recons[x], cmap='Greys_r', interpolation='nearest')
            plt.axis('off')
        interact(f, x=widgets.IntSlider(min=0, max=xs.shape[0]-1, step=1, value=0))
Out[ ]: <function main .f>
In [ ]: | y_names = ['shape', 'scale', 'orientation', 'posX', 'posY']
        y_{shapes} = np.array((3,6,40,32,32))
        img_dict = {}
        for i, img in enumerate(imgs_sampled):
             img_dict[tuple(labels_sampled[i])] = img
        def find in dataset(shape, scale, orient, posX, posY):
          fig = plt.figure()
          img = img dict[(0, shape, scale, orient, posX, posY)]
          plt.imshow(img.reshape(64,64), cmap='Greys_r', interpolation='nearest')
          plt.axis('off')
        interact(find in dataset,
                 shape=widgets.IntSlider(min=0, max=2, step=1, value=0),
                 scale=widgets.IntSlider(min=0, max=5, step=1, value=0),
                 orient=widgets.IntSlider(min=0, max=39, step=1, value=0),
                 posX=widgets.IntSlider(min=0, max=31, step=1, value=0),
                 posY=widgets.IntSlider(min=0, max=31, step=1, value=0))
```

Out[ ]: <function \_\_main\_\_.find\_in\_dataset>

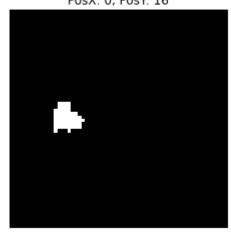
# **Helper Functions**

This function is used to get examples of data with specific class labels

```
In [ ]:
        def get specific data(args=dict(), cuda=False):
            inputs:
                args - dictionary whose keys can include {shape, scale, orientation,
                         posX, posY} and values can include any integers less than the
                         corresponding size of that label dimension
                cuda - bool to indicate whether the output should be placed on GPU
            names_dict = {'shape': 1, 'scale': 2, 'orientation': 3, 'posX': 4, 'posY':
        5}
            selected ind = np.ones(imgs.shape[0], dtype=bool)
            for k,v in args.items():
                 col id = names dict[k]
                 selected ind = np.bitwise and(selected ind, labels[:, col id] == v)
            ind = np.random.choice(np.arange(imgs.shape[0])[selected_ind])
            x = \text{torch.from numpy(imgs[ind].reshape(1,64**2).astype(np.float32))}
            y = torch.from numpy(labels[ind].reshape(1,6).astype(np.float32))
            if not cuda:
                 return x,y
            x = x.cuda()
            y = y.cuda()
            return x,y
        def plot_image(x):
            helper to plot dSprites images
            x = x.cpu()
            plt.figure()
            plt.imshow(x.reshape(64,64), interpolation='nearest', cmap='Greys r')
            plt.axis('off')
        def see specific image(args=dict(), verbose=True):
            use this function to get examples of data with specific class labels
            inputs:
                args - dictionary whose keys can include {shape, scale, orientation,
                         posX, posY} and values can include any integers less than the
                         corresponding size of that label dimension
                verbose - bool to indicate whether the full class label should be writ
        ten
                             as the title of the plot
            x,y = get specific data(args, cuda=False)
            plot image(x)
            if verbose:
                 string = ''
                 for i, s in enumerate(['Shape', 'Scale', 'Orientation', 'PosX', 'PosY'
        ]):
                     string += '%s: %d, ' % (s, int(y[0][i+1]))
                     if i == 2:
                         string = string[:-2] + '\n'
                 plt.title(string[:-2], fontsize=12)
        def compare reconstruction(original, recon):
```

```
.....
   compare two images side by side
       original - array for original image
       recon - array for recon image
   fig = plt.figure()
   ax0 = fig.add_subplot(121)
   plt.imshow(original.cpu().reshape(64,64), cmap='Greys_r', interpolation=
'nearest')
   plt.axis('off')
   plt.title('original')
   ax1 = fig.add subplot(122)
   plt.imshow(recon.cpu().reshape(64,64), cmap='Greys_r', interpolation='nea
rest')
   plt.axis('off')
   plt.title('reconstruction')
def compare to density(original, recons):
   compare two images side by side
   inputs:
       original - array for original image
        recon - array of multiple recon images
   fig = plt.figure()
   ax0 = fig.add subplot(121)
   plt.imshow(original.cpu().reshape(64,64), cmap='Greys_r', interpolation=
'nearest')
   plt.axis('off')
   plt.title('original')
   ax1 = fig.add subplot(122)
   plt.imshow(torch.mean(recons.cpu(), 0).reshape(64,64), cmap='Greys r', in
terpolation='nearest')
   plt.axis('off')
   plt.title('reconstructions')
see specific image()
```

Shape: 2, Scale: 0, Orientation: 34 PosX: 0, PosY: 16



```
In [ ]: label_dims = vae.label_shape
    label_dim_offsets = np.cumsum(label_dims)
    label_dim_offsets

Out[ ]: array([ 1,  4,  10,  50,  82,  114])
```

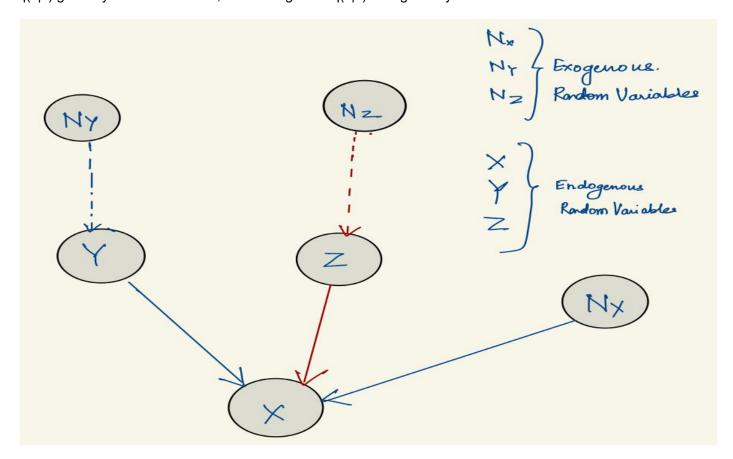
## **Structural Causal Model (SCM)**

First let us first understand what are exogenous and endogenous variables.

- Exogeneous Variables: A factor in a causal model whose value is independent from the states of other variables in the system.
- **Endogenous Variables:** A factor in a causal model whose value is determined by the states of other variables in the system.

#### Going further.

A Structural causal model was implemented capturing the causal dependencies of the endogenous and exogenous variables in our model. An object of class SCM() takes in 3 arguments, an instance of a VAE, loc of q(z|x) given by the VAE encoder, and the sigma of q(z|x) also given by the VAE encoder.



#### **Endogenous Variables**

These are of 3 types:-

- 1.dSprite image(X),
- 2.labels corresponding to the image(Y),
- 3.latent variable(Z) sampled from a normal distribution with mean and variance returned by the variational autoencoder.

Y is further subdivided into 5 variables 'Y\_1', 'Y\_2', 'Y\_3', 'Y\_4', and 'Y5' each of which represent the individual image label.

#### **Exogenous Variables**

These are represented by noises corresponding to each of the endogenous variables with a suffix 'N'.

#### **Function Description**

**model():** This function corresponds to our data generative process. It takes in input as argument the noise variables i.e the exogenous variables and returns X(image), Y(labels), and Z(latents). It does so by sampling the individual endogenous variables using a normal probability distribution.

**updated\_noise\_svi()** This function takes in as input the labels of an image in the form of a dictionary (obs\_data), and an optional intervened model(intervened\_model) and returns the exogenous variables or noises corresponding to the images (obs\_data).

It uses SVI to find out the  $\mu$  and  $\sigma$  of the distribution for the conditions outlined in obs\_data. The guide function serves as an approximation to the posterior p(z|x). The guide provides a valid joint probability density over all the latent random variables in the model. Once the guide is specified and the model is conditioned, we move to the inference step. Now, this is an optimization problem where each iteration of training takes a step that moves the guide closer to the exact posterior.

```
In [ ]: | class SCM():
            Structural causal model
            args:
              vae: instance of vae
              mu: loc of q(z|x) given by the vae encoder
              sigma: scale of q(z|x) given by the vae encoder
            def __init__(self, vae, mu, sigma):
                Constructor
                 Intializes:
                   image dimensions - 4096(64*64),
                   z dimensions: size of the tensor representing the Latent random vari
        able z,
                   label dimensions: 114 labels y that correspond to an image(one hot e
        ncoded)
                  f(x) = p(x|y,z)
                  Noise variables in the model N_#
                 self.vae = vae
                 self.image_dim = vae.image_dim
                 self.z dim = vae.z dim
                 # these are used for f X
                 self.label dims = vae.label shape
                def f_X(Y, Z, N):
                     Generating one hots for the factors
                     zs = Z.cuda()
                     # convert the labels to one hot
                     ys = [torch.tensor([0])]
                     ys.append(torch.nn.functional.one hot(torch.round(Y[0]).to(torch.l
        ong), int(self.label_dims[1])))
                     ys.append(torch.nn.functional.one hot(torch.round(Y[1]).to(torch.1
        ong), int(self.label dims[2])))
                     ys.append(torch.nn.functional.one_hot(torch.round(Y[2]).to(torch.l
        ong), int(self.label dims[3])))
                     ys.append(torch.nn.functional.one_hot(torch.round(Y[3]).to(torch.1
        ong), int(self.label dims[4])))
                     ys.append(torch.nn.functional.one hot(torch.round(Y[4]).to(torch.1
        ong), int(self.label dims[5])))
                     ys = torch.cat(ys).to(torch.float32).reshape(1,-1).cuda()
                     p = vae.decoder.forward(zs, ys)
                     return (N < p.cpu()).type(torch.float)</pre>
                def f Y(N):
                     Gumbel distribution - to model the distribution of the maximum of
         a number of samples
                     m = Gumbel(torch.tensor([1.0]), torch.tensor([2.0])).sample() # sa
        mple from Gumbel distribution with loc=1, scale=2
```

```
tensor([ 1.0124])
            https://pytorch.org/docs/stable/_modules/torch/distributions/gumbe
L.html
              m = torch.distributions.gumbel.Gumbel(torch.zeros(N.size(0)), to
rch.ones(N.size(0)))
            beta = 12
            indices = torch.tensor(np.arange(N.size(0))).to(torch.float32)
            smax = nn.functional.softmax(beta*N)
            argmax ind = torch.sum(smax*indices)
            return argmax_ind
        def f_Z(N):
            Z \sim Normal(mu, sigma)
            return N * sigma + mu
        def model(noise):
            The model corresponds to a generative process
            args: noise variables
            return: X(image), Y(labels), Z(latents)
            N_X = pyro.sample('N_X', noise['N_X'].to_event(1))
            # denoted using the index in the sequence
            # that they are stored in as vae.label names:
            # ['shape', 'scale', 'orientation', 'posX', 'posY']
            N_Y_1 = pyro.sample('N_Y_1', noise['N_Y_1'].to_event(1))
            N_Y_2 = pyro.sample('N_Y_2', noise['N_Y_2'].to_event(1))
            N_Y_3 = pyro.sample('N_Y_3', noise['N_Y_3'].to_event(1))
            N_Y_4 = pyro.sample('N_Y_4', noise['N_Y_4'].to_event(1))
            N Y 5 = pyro.sample( 'N Y 5', noise['N Y 5'].to event(1) )
            # Z ~ Normal(Nx_mu, Nx_sigma)
            N_Z = pyro.sample( 'N_Z', noise['N_Z'].to_event(1) )
            Z = pyro.sample('Z', dist.Normal( f Z( N Z ), 1e-1).to event(1) )
            # Y ~ Gumbel max of Ny
              Y_1_mu = f_Y(N_Y_1)
#
              Y_2_mu = f_Y(N_Y_2)
              Y \mid 3 \mid mu = f \mid Y(N \mid Y \mid 3)
#
              Y_4_mu = f_Y(N_Y_4)
              Y = 5 \text{ mu} = f Y(N \mid Y \mid 5)
            Y_1 = pyro.sample('Y_1', dist.Normal(f_Y(N_Y_1), 1e-2))
            Y_2 = pyro.sample('Y_2', dist.Normal(f_Y(N_Y_2), 1e-1))
            Y_3 = pyro.sample('Y_3', dist.Normal(f_Y(N_Y_3), 1e-1))
            Y_4 = pyro.sample('Y_4', dist.Normal(f_Y(N_Y_4), 1e-1))
            Y_5 = pyro.sample('Y_5', dist.Normal(f_Y(N_Y_5), 1e-1))
              Y mu = (Y 1 mu, Y 2 mu, Y 3 mu, Y 4 mu, Y 5 mu)
            \# X \sim p(x|y,z) = bernoulli(loc(y,z))
            X = pyro.sample('X', dist.Normal( f X( (Y 1, Y 2, Y 3,Y 4,Y 5), Z,
```

```
N \times (1), 1e-2).to event(1))
            # return noise and variables
            noise_samples = N_X, (N_Y_1, N_Y_2, N_Y_3, N_Y_4, N_Y_5), N_Z
            variable_samples = X, (Y_1, Y_2, Y_3, Y_4, Y_5), Z
            return variable_samples, noise_samples
        self.model = model
        #Initialize all noise variables in the model
        self.init noise = {
            'N X'
                    : dist.Uniform(torch.zeros(vae.image dim), torch.ones(vae.
image_dim)),
            'N Z'
                    : dist.Normal(torch.zeros(vae.z dim), torch.ones(vae.z dim
)),
            'N Y 1' : dist.Uniform(torch.zeros(self.label dims[1]),torch.ones(
self.label dims[1])),
            'N Y 2' : dist.Uniform(torch.zeros(self.label dims[2]),torch.ones(
self.label_dims[2])),
            'N Y 3' : dist.Uniform(torch.zeros(self.label dims[3]),torch.ones(
self.label dims[3])),
            'N_Y_4' : dist.Uniform(torch.zeros(self.label_dims[4]),torch.ones(
self.label dims[4])),
            'N Y 5' : dist.Uniform(torch.zeros(self.label dims[5]),torch.ones(
self.label_dims[5]))
        }
    def update_noise_svi(self, obs_data, intervened_model=None):
        Use svi to find out the mu, sigma of the distributions for the
        condition outlined in obs data
        def guide(noise):
            The quide serves as an approximation to the posterior p(z|x).
            The quide provides a valid joint probability density over all the
            latent random variables in the model.
            https://pyro.ai/examples/svi part i.html
            # create params with constraints
            mu = {
                'N_X': pyro.param('N_X_mu', 0.5*torch.ones(self.image_dim),con
straint = constraints.interval(0., 1.)),
                'N_Z': pyro.param('N_Z_mu', torch.zeros(self.z_dim),constraint
= constraints.interval(-3., 3.)),
                'N_Y_1': pyro.param('N_Y_1_mu', 0.5*torch.ones(self.label_dims
[1]),constraint = constraints.interval(0., 1.)),
                'N_Y_2': pyro.param('N_Y_2_mu', 0.5*torch.ones(self.label_dims
[2]),constraint = constraints.interval(0., 1.)),
                'N Y 3': pyro.param('N Y 3 mu', 0.5*torch.ones(self.label dims
[3]),constraint = constraints.interval(0., 1.)),
                'N_Y_4': pyro.param('N_Y_4_mu', 0.5*torch.ones(self.label_dims
[4]), constraint = constraints.interval(0., 1.)),
                'N_Y_5': pyro.param('N_Y_5_mu', 0.5*torch.ones(self.label_dims
[5]),constraint = constraints.interval(0., 1.))
```

```
sigma = {
                'N_X': pyro.param('N_X_sigma', 0.1*torch.ones(self.image_dim),
constraint = constraints.interval(0.0001, 0.5)),
                'N Z': pyro.param('N Z sigma', torch.ones(self.z dim),constrai
nt = constraints.interval(0.0001, 3.)),
                'N_Y_1': pyro.param('N_Y_1_sigma', 0.1*torch.ones(self.label_d
ims[1]),constraint = constraints.interval(0.0001, 0.5)),
                'N_Y_2': pyro.param('N_Y_2_sigma', 0.1*torch.ones(self.label_d
ims[2]),constraint = constraints.interval(0.0001, 0.5)),
                'N Y 3': pyro.param('N Y 3 sigma', 0.1*torch.ones(self.label d
ims[3]),constraint = constraints.interval(0.0001, 0.5)),
                'N_Y_4': pyro.param('N_Y_4_sigma', 0.1*torch.ones(self.label_d
ims[4]),constraint = constraints.interval(0.0001, 0.5)),
                'N_Y_5': pyro.param('N_Y_5_sigma', 0.1*torch.ones(self.label_d
ims[5]),constraint = constraints.interval(0.0001, 0.5))
            for noise term in noise.keys():
                pyro.sample(noise_term, dist.Normal(mu[noise_term], sigma[nois
e term]).to event(1))
        # Condition the model
        if intervened model is not None:
          obs model = pyro.condition(intervened model, obs data)
          obs_model = pyro.condition(self.model, obs_data)
        pyro.clear_param_store()
       # Once we've specified a quide, we're ready to proceed to inference.
       # Now, this an optimization problem where each iteration of training t
akes
       # a step that moves the quide closer to the exact posterior
        # https://arxiv.org/pdf/1601.00670.pdf
        svi = SVI(
            model= obs model,
            guide= guide,
            optim= SGD({"lr": 1e-5, 'momentum': 0.1}),
            loss=Trace ELBO(retain graph=True)
        )
        num steps = 1500
        samples = defaultdict(list)
        for t in range(num steps):
            loss = svi.step(self.init noise)
              if t % 100 == 0:
                  print("step %d: loss of %.2f" % (t, loss))
            for noise in self.init noise.keys():
                mu = '{}_mu'.format(noise)
                sigma = '{}_sigma'.format(noise)
                samples[mu].append(pyro.param(mu).detach().numpy())
                samples[sigma].append(pyro.param(sigma).detach().numpy())
       means = {k: torch.tensor(np.array(v).mean(axis=0)) for k, v in samples
.items()}
        # update the inferred noise
        updated noise = {
```

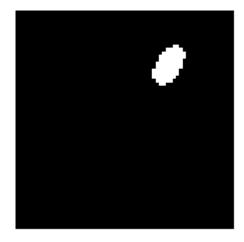
```
'N_X' : dist.Normal(means['N_X_mu'], means['N_X_sigma']),
    'N_Z' : dist.Normal(means['N_Z_mu'], means['N_Z_sigma']),
    'N_Y_1': dist.Normal(means['N_Y_1_mu'], means['N_Y_1_sigma']),
    'N_Y_2': dist.Normal(means['N_Y_2_mu'], means['N_Y_2_sigma']),
    'N_Y_3': dist.Normal(means['N_Y_3_mu'], means['N_Y_3_sigma']),
    'N_Y_4': dist.Normal(means['N_Y_4_mu'], means['N_Y_4_sigma']),
    'N_Y_5': dist.Normal(means['N_Y_5_mu'], means['N_Y_5_sigma']),
}
return updated_noise

def __call__(self):
    return self.model(self.init_noise)
```

# Sanity Check 1: Making sure VAE works

```
In []: # Generate an instance of dSprites image
    ox, y = get_specific_data(cuda=True)
    plot_image(ox)
    # Pass it through VAE to get q(z|x) => N(mu, sigma)
    mu, sigma = vae.encoder_z.forward(ox,vae.remap_y(y))
    # Feed these params to our custom SCM
    scm = SCM(vae, mu.cpu(), sigma.cpu())
    print(y)
    # Check for reconstruction
```

tensor([[ 0., 1., 0., 6., 28., 0.]], device='cuda:0')

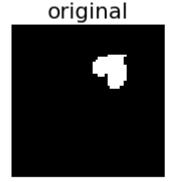


# Sanity Check 2: To check if the decoder is able to generate the image if the latents are changed:

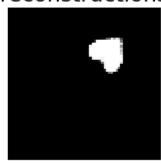
To achieve this we manually change the labels in the code and run it through the decoder and check for reconstruction

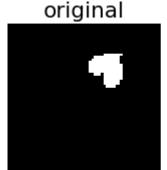
```
In [ ]: | original, y_original = get_specific_data(cuda=True)
        print('top: ',y_original)
        mu, sigma = vae.encoder_z.forward(original,vae.remap_y(y_original))
        B = 100
        zs = torch.cat([dist.Normal(mu.cpu(), sigma.cpu()).sample() for a in range(B
        )], 0)
        ys = torch.cat([vae.remap_y(y_original) for a in range(B)], 0)
        rs = vae.decoder.forward(zs.cuda(), ys).detach()
        compare to density(original,rs)
        y_new = torch.tensor(y_original)
        y_{new}[0,1] = (y_{original}[0,1] + 1) % 2
        print('bottom: ', y_new)
        zs = torch.cat([dist.Normal(mu.cpu(), sigma.cpu()).sample() for a in range(B
        ys = torch.cat([vae.remap_y(y_new) for a in range(B)], 0)
        rs = vae.decoder.forward(zs.cuda(), ys).detach()
        compare_to_density(original,rs)
```

top: tensor([[ 0., 2., 3., 15., 26., 2.]], device='cuda:0')
bottom: tensor([[ 0., 1., 3., 15., 26., 2.]], device='cuda:0')

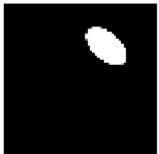


# reconstructions





reconstructions



## **Conditioning with SCM VAE**

To validate if causal inference of conditioning is properly working, we try to generate reconstruction of image by using SCM and object of SCM class.

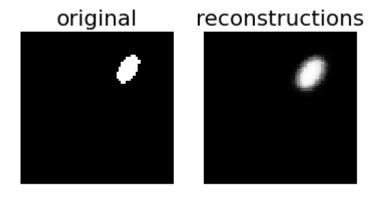
First random image is generated and its label is captured in dictionary which is passed to trained VAE object to get its mean and variance which is then used to create SCM class.

Then the noise of the input image is captured by passing the above dictionary and a conditioned model is created by using the object of SCM class.

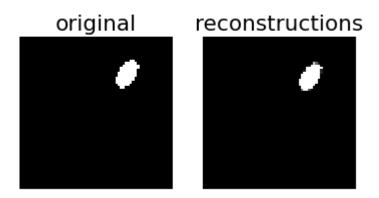
The output noise is passed to conditioned model class to regenrate new images. Reconstruction is sampled 1000 times and displayed.

```
In [ ]: | cond_data = {}
        for i in range(1, 6):
            cond data["Y {}".format(i)] = torch.tensor(y[0,i].cpu()).to(torch.float32)
        print(cond data)
         # "Y 1": torch.tensor(2.),
            # "Y 2": torch.tensor(5.),
            # "Y 3": torch.tensor(2.),
            # "Y 4": torch.tensor(5.),
            # "Y 5": torch.tensor(25.),
        # cond_data['Y_1'] = torch.tensor(2.)
        # cond data['Y 4'] =torch.tensor(.75)
        cond data['Y 5'] =torch.tensor(.75)
        # cond_data['Y_2'] = torch.tensor(5.)
        conditioned model = pyro.condition(scm.model, data=cond data)
        cond noise = scm.update noise svi(cond data)
        print(cond data)
        {'Y_1': tensor(1.), 'Y_2': tensor(0.), 'Y_3': tensor(6.), 'Y_4': tensor(28.),
         'Y 5': tensor(0.)}
        {'Y_1': tensor(1.), 'Y_2': tensor(0.), 'Y_3': tensor(6.), 'Y_4': tensor(28.),
         'Y 5': tensor(0.7500)}
In [ ]: cond_data['Y_2']
Out[ ]: tensor(0.)
```

## SCM Conditioned on Original



## SCM Conditioned on Original

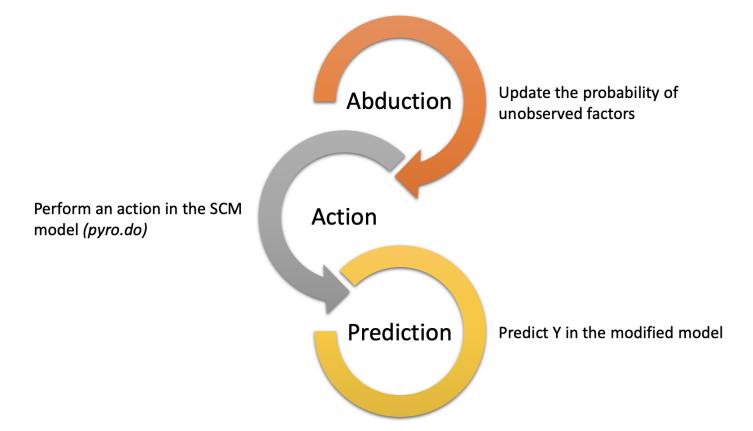


## **Counterfactuals and Interventions**

Here we tried to capture the effect of couterfactual and intervention on the dsprite image dataset by making use of endogenous and exogenous variables using an SCM class object.

We applied counterfactual interventions on the input image in 3 steps -

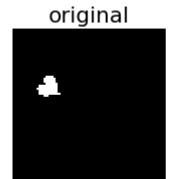
- Abduction Step: We find mean and variance by passing image and its label to trained VAE to create object
  of SCM class. Thereafter label dictionary is used to capture the noise of the input image.
- Action Step: Here we intervene on SCM object as per out requirement of condition we want to intervene by using pyro.do
- Prediction Step: Lastly we sample the image 1000 times passing the input object noise to the intervened model and generating the output image. We store these 1000 image in a list and use it for reconstruction



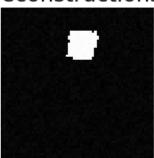
```
In [ ]: # intervening on Shape, posX and PosY
    intervened_model = pyro.do(scm.model, data={
        "Y_1": torch.tensor(0.),
        "Y_2": torch.tensor(0.67),
        "Y_5": torch.tensor(0.09),
     })
    noise_data = {}
    for term, d in cond_noise.items():
        noise_data[term] = d.loc
        intervened_noise = scm.update_noise_svi(noise_data, intervened_model)
```

```
In [ ]: (rx1,ry,_), _ = intervened_model(scm.init_noise)
    compare_to_density(ox, rx1)
    print(ry)
```

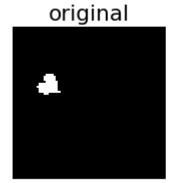
(tensor(0.), tensor(0.6700), tensor(18.8828), tensor(16.8866), tensor(0.0900))



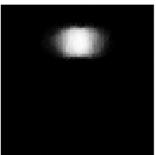
# reconstructions



SCM intervened on shape and scale with scm.init\_noise

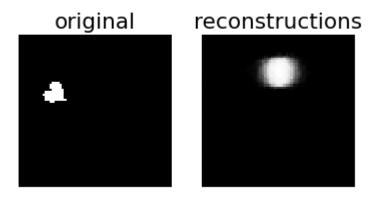


# reconstructions

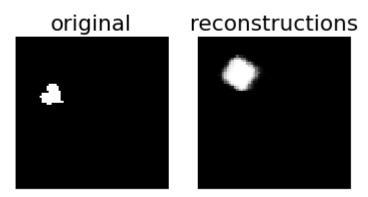


```
In [ ]:
```

## SCM intervened on shape and scale and position\_x



# SCM intervened on shape and scale with cond\_noise



# **Applications of CVAE:**

- Deepfakes Counterfactual and Interventions is used to create fake images of human faces
- Music VAE Produces synthetic video