

## LIST OF LICENSEES APPROVED INSTITUTIONS AND APPROVED COLLECTIVE INVESTMENT SCHEMES - 2008

### **Approved Institutions:**

		<b>Address</b>	<b>Nature of Operation</b>
1.	Acacia Fund Limited	P.O. Box 43233-00100, Nairobi	Venture Capital
2.	Central Depositories and Settlement Corporation Limited	P.O. Box 3464-00100, Nairobi	Central Depository
3.	Global Credit Rating Company	P.O. Box 76667, Wendywood 2144, South Africa	Credit Rating Agency
4.	Nairobi Stock Exchange	P.O. Box 43633-00100, Nairobi	Securities Exchange

### **Annual Licensees (for the period January 1, 2008 to December 31, 2008)**

#### **Stockbrokers:**

<b>No.</b>	<b>Name</b>	<b>Address</b>	<b>Licence No.</b>
1.	ABC Capital Limited	P.O. Box 34137-00100, Nairobi	067/08
2.	African Alliance Kenya Securities Ltd	P.O. Box 27639-00506, Nairobi	062/08
3.	Bob Mathews Stockbrokers Limited	P.O. Box 73253-00200, Nairobi	071/08
4.	Discount Securities Limited	P.O. Box 42489-00100, Nairobi	066/08
5.	Genghis Capital Limited	P.O. Box 28987-00200, Nairobi	010/08
6.	Ngenye Kariuki and Company Limited	P.O. Box 12185-00400, Nairobi	068/08
7.	NIC Capital Securities Limited	P.O. Box 63046-00200, Nairobi	072/08
8.	Reliable Securities Limited	P.O. Box 50338-00200, Nairobi	069/08

#### **Investment Banks:**

<b>No.</b>	<b>Name</b>	<b>Address</b>	<b>Licence No.</b>
1.	African Alliance Kenya Investment Bank Limited	P.O. Box 27639-00506, Nairobi	011/08
2.	Afrika Investment Bank Limited	P.O. Box 41684-00100, Nairobi	006/08
3.	Apex Africa Investment Bank Limited	P.O. Box 43646-00100, Nairobi	012/08
4.	Barclays Financial Services Limited	P.O. Box 30120-00100, Nairobi	013/08
5.	CBA Capital Limited	P.O. Box 30437-00100, Nairobi	014/08
6.	CFC Stanbic Financial Services Limited	P.O. Box 44074-00100, Nairobi	015/08
7.	Drummond Investment Bank Limited	P.O. Box 45465-00100, Nairobi	020/08
8.	Dyer and Blair Investment Bank Limited	P.O. Box 45396-00100, Nairobi	016/08
9.	Equatorial Capital Services Limited	P.O. Box 52467-00200, Nairobi	001/08
10.	Faida Investment Bank Limited	P.O. Box 45236- 00100, Nairobi	007/08
11.	Juanco Investments Limited	P. O. Box 381 – 00502, Nairobi	008/08
12.	Kestrel Capital (East Africa) Limited	P.O. Box 40005-00100, Nairobi	017/08
13.	NIC Capital Limited	P.O. Box 44599-00100, Nairobi	021/08
14.	Renaissance Capital (Kenya) Limited	P.O. Box 40560-00100, Nairobi	022/08
15.	Standard Investment Bank Limited	P.O. Box 13714-00800, Nairobi	018/08
16.	Sterling Investment Bank Limited	P.O. Box 45080-00100, Nairobi	005/08
17.	Suntra Investment Bank Limited	P.O. Box 74016-00200, Nairobi	019/08

**Investment Advisers:**

<b>No.</b>	<b>Name</b>	<b>Address</b>	<b>Licence No.</b>
1.	Bridges Capital Limited	P.O. Box 62341-00200, Nairobi	024/08
2.	Cititrust Kenya Limited	P.O. Box 30711-00100, Nairobi	025/08
3.	Co-operative Consultancy Services (K) Limited	P.O. Box 48231-00100, Nairobi	026/08
4.	Deloitte Financial Advisory Limited	P.O. Box 40092-00100, Nairobi	036/08
5.	Dry Associates Limited	P.O. Box 684-00606, Nairobi	027/08
6.	Emerging Africa Capital Limited	P.O. Box 32200-00600, Nairobi	065/08
7.	Equilibrium Capital Limited	P.O. Box 9000-00100, Nairobi	034/08
8.	Executive & Corporate Advisory Services (K) Limited	P.O. Box 72216-00200, Nairobi	028/08
9.	Finconsult Company Limited	P.O. Box 38725-00623, Nairobi	075/08
10.	First Africa E.A. Limited	P.O. Box 56179-00200, Nairobi	032/08
11.	Inter-Alliance International Limited	P.O. Box 44249-00100, Nairobi	035/08
12.	Iroko Securities (Kenya) Limited	P.O. Box 66249-00800, Nairobi	029/08
13.	Jani Consultancy Services Limited	P.O. Box 40583-00100, Nairobi	030/08
14.	Loita Asset Management Limited	P.O. Box 39466-00623, Nairobi	031/08
15.	J.W. Seagon Limited	P.O. Box 63420-00619, Nairobi	064/08
16.	Regnum Consultants Limited	P.O. Box 11431-00400, Nairobi	004/08
17.	The Profin Group (Kenya) Limited	P. O. Box 9980 – 00100, Nairobi	009/08
18.	Tsavo Securities Limited	P.O. Box 11987-00100, Nairobi	037/08
19.	VFS International (K) Limited	P.O. Box 4-00621, Nairobi	023/08
20.	WSD Capital (Kenya) Limited	P.O. Box 99139, Mombasa	070/08
21.	Winton Investment Services Limited	P.O. Box 607-00621, Nairobi	033/08

**Fund Managers:**

<b>No.</b>	<b>Name</b>	<b>Address</b>	<b>Licence No.</b>
1.	African Alliance Kenya Management Co Limited	P.O. Box 27639-00506, Nairobi	063/08
2.	AIG Global Investment Co. (E.A.) Limited	P.O. Box 67262-00200, Nairobi	046/08
3.	Amana Capital Limited	P.O. Box 9480-00100, Nairobi	051/08
4.	Aureos Kenya Managers Limited	P.O. Box 43233-00100, Nairobi	039/08
5.	British American Asset Managers Limited	P.O. Box 30375-00100, Nairobi	038/08
6.	Co-optrust Investment Services Limited	P.O. Box 48231-00100, Nairobi	040/08
7.	Genesis (K) Investment Management Ltd	P.O. Box 79217-00200, Nairobi	041/08
8.	ICEA Asset Management Limited	P.O. Box 46143-00100, Nairobi	047/08
9.	InvesteQ Capital Limited	P.O. Box 56977-00200, Nairobi	050/08
10.	Old Mutual Asset Managers (E.A.) Limited	P.O. Box 11589-00400, Nairobi	048/08
11.	Old Mutual Asset Managers (K) Limited	P.O. Box 11589-00400, Nairobi	049/08
12.	Old Mutual Investment Services (K) Ltd	P.O. Box 30059-00100, Nairobi	043/08
13.	Sanlam Investment Management (K) Ltd	P.O. Box 7848-00100, Nairobi	003/08
14.	Stanbic Investment Management Services (E.A.) Limited	P.O. Box 30550-00100, Nairobi	044/08
15.	Standard Chartered Investment Services Ltd	P.O. Box 30003-00100, Nairobi	045/08
16.	Zimele Asset Management Co. Limited	P.O. Box 76528-00508, Nairobi	042/08

**Authorised Depositories:**

<b>No.</b>	<b>Name</b>	<b>Address</b>	<b>Licence No.</b>
1.	African Banking Corporation Limited	P.O. Box 46452-00100, Nairobi	002/08
2.	Barclays Bank of Kenya Limited	P.O. Box 30120-00100, Nairobi	052/08
3.	CFC Stanbic Bank Limited	P.O. Box 72833-00200, Nairobi	059/08
4.	Co-operative Bank of Kenya Limited	P.O. Box 48231-00100, Nairobi	057/08
5.	Dubai Bank Kenya Limited	P.O. Box 11129-00400, Nairobi	061/08
6.	Equatorial Commercial Bank Limited	P.O. Box 52467-00200, Nairobi	074/08
7.	Equity Bank Limited	P.O. Box 75104-00200, Nairobi	060/08
8.	I&M Bank Limited	P.O. Box 30238-00100, Nairobi	058/08
9.	Kenya Commercial Bank Limited	P.O. Box 48400-00100, Nairobi	055/08
10.	National Bank of Kenya Limited	P.O. Box 72866-00200, Nairobi	053/08
11.	NIC Bank Limited	P.O. Box 44599-00100, Nairobi	056/08
12.	Prime Bank Limited	P.O. Box 43825-00100, Nairobi	073/08

**Suspended as at May 26, 2008**

Franklin Management Consultants Limited P. O. Box 61843-00200, Nairobi – Investment Adviser

**Revoked as at April 29, 2008**

Covenant International Limited – Investment Adviser

**Approved Collective Investment Schemes:**

1. African Alliance Kenya Unit Trust Scheme:
  - i. African Alliance Kenya Shilling Fund.
  - ii. African Alliance Kenya Fixed Income Fund.
  - iii. African Alliance Kenya Managed Fund.
2. Old Mutual Unit Trust Scheme:
  - i. Old Mutual Equity Fund.
  - ii. Old Mutual Money Market Fund.
  - iii. Old Mutual Balanced Fund.
  - iv. Old Mutual Aggressive Growth Fund.
  - v. Old Mutual East African Fund.
  - vi. Old Mutual Bond Fund.
  - vii. Old Mutual Muslim Compliant Fund.
3. British American Unit Trust Scheme:
  - i. British American Money Market Fund.
  - ii. British American Income Fund.
  - iii. British American Balanced Fund.
  - iv. British American Managed Retirement Fund.
  - v. British American Equity Fund.
4. Stanbic Unit Trust Scheme:
  - i. Stanbic Money Market Fund.
  - ii. Stanbic Flexible Income Fund.
  - iii. Stanbic Managed Prudential Fund.

5. Commercial Bank of Africa Unit Trust Scheme:

- i. Commercial Bank of Africa Money Market Fund.
- ii. Commercial Bank of Africa Equity Fund.

6. Zimele Unit Trust Scheme:

- i. Zimele Balanced Fund
- ii. Zimele Money Market Fund

7. Suntra Unit Trust:

- i. Suntra Money Market Fund
- ii. Suntra Equity Fund
- iii. Suntra Balanced Fund

8. ICEA Unit Trust:

- i. ICEA Money Market Fund
- ii. ICEA Equity Fund
- iii. ICEA Growth Fund

9. Standard Investment Trust Funds:

- i. Standard Equity Growth Fund
- ii. Standard Income Fund
- iii. Standard Balanced Fund

10. Dyer and Blair Unit Trust Scheme:

- i. Dyer and Blair Diversified Fund
- ii. Dyer and Blair Bond Fund
- iii. Dyer and Blair Money Market Fund
- iv. Dyer and Blair Equity Fund

11. CFC Unit Trust Fund

**Approved Employee Share Ownership Plans (ESOPS):**

- 1. EABL Employee Share Ownership Plan.
- 2. KENOL Employee Share Ownership Plan.
- 3. ARM Employee Share Ownership Plan.
- 4. AccessKenya Group Employee Share Ownership Plan.
- 5. Scangroup Employee Share Ownership Plan.
- 6. Safaricom Employee Share Ownership Plan.
- 7. Housing Finance Employee Share Ownership Plan.