Generic Programming with Adjunctions

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Part 1

Prologue



1.0 Outline

- 1. What?
- 2. Why?
- 3. Where?
- 4. Overview

1.1 What?

Haskell programmers have embraced

- functors,
- natural transformations,
- initial algebras,
- final coalgebras,
- monads,
- . .

It is time to turn our attention to adjunctions.

1.2 Catamorphism

$$f = (b) \iff f \cdot in = b \cdot \mathsf{F} f$$

1.2 Banana-split law

$$(h) \triangle (k) = (h \cdot Foutl \triangle k \cdot Foutr)$$

1.2 Proof of banana-split law

```
((h) \triangle (k)) \cdot in
    { split-fusion }
(h) \cdot in \triangle (k) \cdot in
     { fold-computation }
h \cdot \mathsf{F}(h) \triangle k \cdot \mathsf{F}(k)
    { split-computation }
h \cdot \mathsf{F} (outl \cdot (\langle h \rangle \triangle \langle k \rangle)) \triangle k \cdot \mathsf{F} (outr \cdot (\langle h \rangle \triangle \langle k \rangle))
     { F functor }
h \cdot \mathsf{Foutl} \cdot \mathsf{F}((h) \triangle (k)) \triangle k \cdot \mathsf{Foutr} \cdot \mathsf{F}((h) \triangle (k))
     { split-fusion }
(h \cdot \mathsf{Foutl} \triangle k \cdot \mathsf{Foutr}) \cdot \mathsf{F}(\{h\} \triangle \{k\})
```

1.2 Example: total

```
data Stack = Empty \mid Push(Nat, Stack)
```

```
total: Stack \rightarrow Nat
total (Empty) = 0
total (Push(n,s)) = n + totals
```

1.2 Two-level types

Abstracting away from the recursive call.

 $\mathbf{data}\,\mathsf{Stack}\,\mathit{stack} = \mathsf{Empty}\,\,|\,\,\mathsf{Push}\,(\mathit{Nat},\mathit{stack})$

instance Functor Stack where

$$fmap f (Empty) = Empty$$

 $fmap f (Push (n, s)) = Push (n, f s)$

Tying the recursive knot.

newtype
$$\mu f = In \{ in^{\circ} : f(\mu f) \}$$

type
$$Stack = \mu Stack$$

1.2 Two-level functions

Structure.

```
total : Stack Nat \rightarrow Nat
total (Empty) = 0
total (Push (n, s)) = n + s
```

Tying the recursive knot.

```
total: \mu Stack \rightarrow Nat

total (In s) = total (fmap total s)
```

1.2 Counterexample: *stack*

```
stack: (Stack, Stack) \rightarrow Stack

stack (Empty, bs) = bs

stack (Push(a, as), bs) = Push(a, stack(as, bs))
```

1.2 Counterexamples: fac and fib

```
data Nat = Z \mid S Nat
fac: Nat \rightarrow Nat
fac(Z) = 1
fac (Sn) = Sn * fac n
fib: Nat \rightarrow Nat
fib (Z) = Z
fib \quad (SZ) = SZ
fib \quad (S(Sn)) = fib n + fib (Sn)
```

1.2 Counterexample: sum

```
data List a = Nil \mid Cons(a, List a)

sum: List Nat \rightarrow Nat

sum(Nil) = 0

sum(Cons(a, as)) = a + sum as
```

1.2 Counterexample: append

```
append: \forall a . (\text{List } a, \quad \text{List } a) \rightarrow \text{List } a

append (Nil, \quad bs) = bs

append (Cons (a, as), bs) = Cons (a, append (as, bs))
```

1.2 Counterexample: concat

```
concat : \forall \ a . \ List (List \ a) \rightarrow List \ a
concat \qquad (Nil) = Nil
concat \qquad (Cons \ (l, ls)) = append \ (l, concat \ ls)
```

1.3 References

The lectures are based on:

- Part 1: R. Hinze: A category theory primer, SSGIP 2010.
- Part 2 & 3: R. Hinze: Adjoint Folds and Unfolds, MPC'10.
- Part 4: R. Hinze: Type Fusion.

Further reading:

- S. Mac Lane: Categories for the Working Mathematician.
- M. Fokkinga, L. Meertens: Adjunctions.
- R. Bird, R. Paterson: Generalised folds for nested datatypes.

1.4 Overview

- Part 0: Prologue
- Part 1: Category theory
- Part 2: Adjoint folds and unfolds
- Part 3: Adjunctions
- Part 4: Application: Type fusion
- Part 5: Epilogue

Part 2

Category theory



2.0 Outline

- 5. Categories, functors and natural transformations
- 6. Constructions on categories
- 7. Initial and final objects
- 8. Products
- 9. Adjunctions
- 10. Yoneda lemma

Category

- objects: $A \in \mathbb{C}$,
- arrows: $f \in \mathbb{C}(A, B)$,
- identity: $id_A \in \mathbb{C}(A,A)$,
- composition: if $f \in \mathbb{C}(A, B)$ and $g \in \mathbb{C}(B, C)$, then $g \cdot f \in \mathbb{C}(A, C)$,
- · is associative with *id* as its neutral element.

Example: a preorder P

- objects: $a \in P$,
- arrows: $a \leq b$,
- *identity:* $a \le a$ (reflexivity),
- *composition:* if $a \le b$ and $b \le c$, then $a \le c$ (transitivity).

NB There is at most one arrow between two objects.

Example: Set

- *objects:* sets,
- *arrows:* total functions,
- identity: id x = x,
- *composition:* function composition $(g \cdot f) x = g(f x)$.

2.1 Example: Mon

- *objects:* monoids $\langle A, \epsilon, + \rangle$,
- arrows: monoid homomorphisms

$$h: \langle A, 0, + \rangle \to \langle B, 1, * \rangle:$$

$$h0 = 1$$

$$h(x+y) = hx*hy,$$

- identity: id x = x,
- *composition:* function composition $(g \cdot f) x = g(f x)$.

2.1 Functor

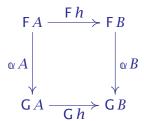
- $F: \mathbb{C} \to \mathbb{D}$.
- action on objects,
- action on arrows,
- if $f \in \mathbb{C}(A, B)$, then $F f \in \mathbb{D}(F A, F B)$
- $Fid_A = id_{FA}$,
- $F(g \cdot f) = Fg \cdot Ff$.

2.1 Example: the forgetful functor

- U : Mon → Set.
- action on objects: $U(A, \epsilon, +) = A$,
- action on arrows: Uf = f.

2.1 Natural transformation

- let $F, G : \mathbb{C} \to \mathbb{D}$ be two parallel functors,
- a natural transformation $\alpha : \mathsf{F} \to \mathsf{G}$ additionally satisfies $\mathsf{G} \ h \cdot \alpha A = \alpha \ B \cdot \mathsf{F} \ h$ for all arrows $h \in \mathbb{C}(A, B)$.



2.2 Cat

- *objects:* small categories,
- *arrows:* functors,
- *identity:* identity functor: $Id_{\mathbb{C}} A = A$ and $Id_{\mathbb{C}} f = f$,
- composition: $(G \circ F) A = G (FA)$ and $(G \circ F) f = G (Ff)$.

2.2 Functor category $\mathbb{D}^{\mathbb{C}}$

- let \mathbb{C} and \mathbb{D} be two categories,
- *objects:* functors $\mathbb{C} \to \mathbb{D}$,
- *arrows:* natural transformations $F \rightarrow G$.
- identity: $id_F A = id_{FA}$.
- composition: $(\beta \cdot \alpha) A = \beta A \cdot \alpha A$.

2.2 Opposite category Cop

- let \mathbb{C} be a category,
- *objects:* $A \in \mathbb{C}^{op}$ if $A \in \mathbb{C}$
- arrows: $f \in \mathbb{C}^{op}(A, B)$ if $f \in \mathbb{C}(B, A)$
- identity: $id_A \in \mathbb{C}(A, A)$,
- composition: $g \cdot f \in \mathbb{C}^{op}(A, C)$ if $f \cdot g \in \mathbb{C}(C, A)$.

2.2 Product category $\mathbb{C}_1 \times \mathbb{C}_2$

- let \mathbb{C}_1 and \mathbb{C}_2 be two categories,
- objects: $\langle A_1, A_2 \rangle \in \mathbb{C}_1 \times \mathbb{C}_2$ if $A_1 \in \mathbb{C}_1$ and $A_2 \in \mathbb{C}_2$,
- arrows: $\langle f_1, f_2 \rangle \in (\mathbb{C}_1 \times \mathbb{C}_2)(\langle A_1, A_2 \rangle, \langle B_1, B_2 \rangle)$ if $f_1 \in \mathbb{C}_1(A_1, B_1)$ and $f_2 \in \mathbb{C}_2(A_2, B_2)$,
- identity: id = (id, id),
- composition: $\langle g_1, g_2 \rangle \cdot \langle f_1, f_2 \rangle = \langle g_1 \cdot f_1, g_2 \cdot f_2 \rangle$.

Outr: $\mathbb{C} \times \mathbb{D} \to \mathbb{D}$;

Outr $\langle A, B \rangle = B$; Outr $\langle f, g \rangle = g$.

2.2 Outl. Outr and Δ

projection functors:

Outl:
$$\mathbb{C} \times \mathbb{D} \to \mathbb{C}$$
;
Outl $\langle A, B \rangle = A$;
Outl $\langle f, g \rangle = f$;

diagonal functor:

$$\begin{array}{ll} \Delta: \mathbb{C} \to \mathbb{C} \times \mathbb{C}; \\ \Delta A &= \langle A, A \rangle; \\ \Delta f &= \langle f, f \rangle. \end{array}$$

2.2 The hom-functor

- $\mathbb{C}(-,=):\mathbb{C}^{op}\times\mathbb{C}\to \mathbf{Set}$.
- action on objects: $\mathbb{C}(-, =) \langle A, B \rangle = \mathbb{C}(A, B)$,
- action on arrows: $\mathbb{C}(-,=)\langle f,g\rangle = \lambda h \cdot g \cdot h \cdot f$,
- shorthand: $\mathbb{C}(f, g) h = g \cdot h \cdot f$.

2.3 Initial object

The object 0 is initial if for each object $B \in \mathbb{C}$ there is exactly one arrow from 0 to B, denoted B (pronounce "gnab").

$$0 \longrightarrow i_B \longrightarrow B$$

2.3 Final object

Dually, 1 is a final object if for each object $A \in \mathbb{C}$ there is a unique arrow from A to 1, denoted $!_A$ (pronounce "bang").

$$A \longrightarrow \overset{!_A}{-} \longrightarrow 1$$

2.4 Product

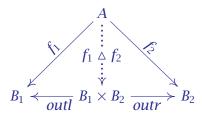
The *product* of two objects B_1 and B_2 consists of

- an object written $B_1 \times B_2$,
- a pair of arrows $outl: B_1 \times B_2 \to B_1$ and $outr: B_1 \times B_2 \to B_2$,

and satisfies the following universal property:

- for each object *A*,
- for each pair of arrows $f_1: A \to B_1$ and $f_2: A \to B_2$,
- there exists an arrow $f_1 \triangle f_2 : A \rightarrow B_1 \times B_2$ such that $f_1 = outl \cdot g \land f_2 = outr \cdot g \iff f_1 \triangle f_2 = g$, for all $g : A \rightarrow B_1 \times B_2$.

2.4 Product



2.4 Laws

• computation laws:

$$f_1 = outl \cdot (f_1 \triangle f_2);$$

 $f_2 = outr \cdot (f_1 \triangle f_2),$

• reflection law:

$$outl \triangle outr = id_{A \times B}$$
.

2.4 Laws

fusion law:

$$(f_1 \triangle f_2) \cdot h = f_1 \cdot h \triangle f_2 \cdot h,$$

• action of \times on arrows:

$$f_1 \times f_2 = f_1 \cdot outl \triangle f_2 \cdot outr,$$

• functor fusion law:

$$(k_1 \times k_2) \cdot (f_1 \triangle f_2) = k_1 \cdot f_1 \triangle k_2 \cdot f_2,$$

• outl and outr are natural transformations:

$$k_1 \cdot outl = outl \cdot (k_1 \times k_2);$$

 $k_2 \cdot outr = outr \cdot (k_1 \times k_2).$

2.4 Proof of functor fusion

```
(k_1 \times k_2) \cdot (f_1 \triangle f_2)
= \{ \text{ definition of } \times \} 
(k_1 \cdot outl \triangle k_2 \cdot outr) \cdot (f_1 \triangle f_2)
= \{ \text{ fusion } \} 
k_1 \cdot outl \cdot (f_1 \triangle f_2) \triangle k_2 \cdot outr \cdot (f_1 \triangle f_2)
= \{ \text{ computation } \} 
k_1 \cdot f_1 \triangle k_2 \cdot f_2
```

2.4 Naturality

• fusion and functor fusion:

$$(\triangle) : \forall AB . (\mathbb{C} \times \mathbb{C})(\Delta A, B) \to \mathbb{C}(A, \times B),$$

• naturality of *outl* and *outr*:

outl : $\forall B . \mathbb{C}(\times B, \mathsf{Outl}\,B)$;

outr : $\forall B . \mathbb{C}(\times B, \mathsf{Outr}\, B)$,

or more succinctly

 $\langle outl, outr \rangle : \forall B . (\mathbb{C} \times \mathbb{C}) (\Delta(\times B), B).$

2.5 Adjunction

$$\mathbb{C} \xrightarrow{\mathsf{L}} \mathbb{R}$$

 $\phi: \forall AB . \mathbb{C}(\mathsf{L}A, B) \cong \mathbb{D}(A, \mathsf{R}B)$

2.5 Adjoints, adjuncts and units

• left and right adjoints:

$$Lg = \phi^{\circ} (\eta \cdot g),$$

$$Rf = \phi (f \cdot \epsilon),$$

• left and right adjuncts:

$$\phi^{\circ} g = \epsilon \cdot Lg,
\phi f = Rf \cdot \eta,$$

counit and unit:

$$\epsilon = \phi^{\circ} id,$$

 $\eta = \phi id.$

2.5 Adjoints of the diagonal functor

$$f = \langle outl, outr \rangle \cdot \Delta g \iff \Delta f = g$$

$$\mathbb{C} \xrightarrow{\frac{1}{\Delta}} \mathbb{C} \times \mathbb{C} \xrightarrow{\frac{\Delta}{\Delta}} \mathbb{C}$$

$$f = \triangledown g \iff \Delta f \cdot \langle inl, inr \rangle = g$$

2.5 Left adjoint of the forgetful functor



ϕ° introduction / elimination	ϕ elimination / introduction
Universal property	
$f = \phi^{\circ} g \iff \phi f = g$	
$\epsilon: \mathbb{C}(L(RB), B)$	$\eta: \mathbb{D}(A,R(L A))$
$\epsilon = \phi^{\circ} id$	$\phi id = \eta$
— / computation law	computation law / —
η -rule / β -rule	eta -rule / η -rule
$f = \phi^{\circ} (\phi f)$	$\phi\left(\phi^{\circ}g\right)=g$
reflection law / —	— / reflection law
simple η -rule / simple β -rule	simple β -rule / simple η -rule
$id = \phi^{\circ} \eta$	$\phi \epsilon = id$

ϕ° introduction / elimination	φ elimination / introduction	
Universal property		
$f = \phi^{\circ} g \iff \phi f = g$		
functor fusion law / —	— / fusion law	
$oldsymbol{\phi}^\circ$ is natural in A	ϕ is natural in A	
$\phi^{\circ} g \cdot L h = \phi^{\circ} (g \cdot h)$	$\phi f \cdot h = \phi (f \cdot L h)$	
fusion law / —	— / functor fusion law	
ϕ° is natural in B	ϕ is natural in \emph{B}	
$k \cdot \phi^{\circ} g = \phi^{\circ} (R k \cdot g)$	$R k \cdot \phi f = \phi (k \cdot f)$	
ϵ is natural in B	η is natural in A	
$k \cdot \epsilon = \epsilon \cdot L(R k)$	$R(Lh)\cdot \eta = \eta \cdot h$	

2.6 Yoneda lemma

Let $H : \mathbb{C} \to \mathbf{Set}$ be a functor, and let $B \in \mathbb{C}$ be an object.

$$HB \cong \mathbb{C}(B, -) \to H$$

The functions witnessing the isomorphism are

$$\phi s = \lambda \kappa . H \kappa s,$$

$$\phi^{\circ} \alpha = \alpha B i d_{R}.$$

NB Continuation-passing style is a special case: $H = \mathbb{C}(A, -)$.

Part 3

Adjoint folds and unfolds

3.0 Outline

- 11. Semantics of datatypes
- 12. Mendler-style folds and unfolds
- 13. Adjoint folds and unfolds

3.1 Example: total

```
data Stack = Empty \mid Push(Nat, Stack)
```

```
total: Stack \rightarrow Nat
total (Empty) = 0
total (Push(n, s)) = n + total s
```

Fixed-point equations

- both *Stack* and *total* are given by recursion equations,
- meaning of $x = \Psi x$?
- a solves the equation iff a is a fixed point of Ψ ,
- Ψ is called the base function.

3.1 Two-level types

Abstracting away from the recursive call.

```
\mathbf{data}\,\mathsf{Stack}\,\mathit{stack} = \mathsf{Empty}\,\,|\,\,\mathsf{Push}\,(\mathit{Nat},\mathit{stack})
```

instance Functor Stack where fmap f (Empty) = Emptyfmap f (Push (n, s)) = Push (n, f s)

Tying the recursive knot.

```
newtype \mu f = In \{ in^{\circ} : f(\mu f) \}

type Stack = \mu Stack
```



Speaking categorically

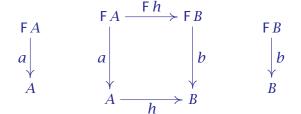
- functor: Stack $A = 1 + Nat \times A$,
- a *Stack*-algebra:

```
total : Stack Nat \rightarrow Nat
total (Empty) = 0
total (Push (n, s)) = n + s
```

- total = $zero \nabla plus$,
- *Stack*-algebra: (*Nat*, total).

The category of F-algebras Alg(F)

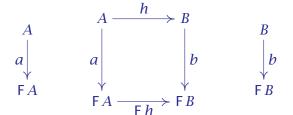
- let $F: \mathbb{C} \to \mathbb{C}$ be an endofunctor.
- objects: $\langle A, a \rangle$ with $A \in \mathbb{C}$ and $a \in \mathbb{C}(\mathsf{F} A, A)$,
- arrows: F-homomorphisms, $h: \langle A, a \rangle \rightarrow \langle B, b \rangle$ if $h \in \mathbb{C}(A, B)$ such that $h \cdot a = b \cdot F h$,



- identity: $id_A: \langle A, a \rangle \to \langle A, a \rangle$,
- *composition:* in \mathbb{C} .

The category of F-coalgebras Coalg(F)

- let $F: \mathbb{C} \to \mathbb{C}$ be an endofunctor.
- objects: $\langle A, a \rangle$ with $A \in \mathbb{C}$ and $a \in \mathbb{C}(A, FA)$,
- arrows: F-homomorphisms, $h: \langle A, a \rangle \rightarrow \langle B, b \rangle$ if $h \in \mathbb{C}(A, B)$ such that $Fh \cdot a = b \cdot h$,



- identity: $id_A: \langle A, a \rangle \rightarrow \langle A, a \rangle$,
- *composition:* in \mathbb{C} .

Fixed points of functors

- initial object in Alg(F): initial F-algebra $\langle \mu F, in \rangle$,
- *u*F is the least fixed point of F,
- $in : F(\mu F) \cong \mu F$
- final object in **Coalg**(F): *final* F-coalgebra $\langle vF, out \rangle$,
- vF is the greatest fixed point of F,
- out : $vF \cong F(vF)$.

Cog: inductive and coinductive types

```
Inductive Nat : Type :=
 | Zero : Nat
 | Succ : Nat \rightarrow Nat.
Inductive Stack : Type :=
  Empty: Stack
 | Push : Nat \rightarrow Stack \rightarrow Stack.
CoInductive Stream: Type:=
 | Cons: Nat \rightarrow Stream \rightarrow Stream.
```

3.2 Semantics of recursive functions

```
total : µStack → Nat
total (In(Empty)) = 0
total (In(Push(n,s))) = n + totals
```

3.2 Abstracting away from the recursive call

```
total: (\mu Stack \rightarrow Nat) \rightarrow (\mu Stack)
                                       \rightarrow Nat)
                             (In(Empty)) = 0
total total
total total
                             (In(Push(n,s))) = n + totals
```

A function of this type has many fixed points.

3.2 ... removing in

Abstracting away from the recursive call and removing **in**.

```
total: \forall x . (x \rightarrow Nat) \rightarrow (Stack x \rightarrow Nat)
           total (Empty) = 0
total
           total (Push(n, s)) = n + total s
total
```

A function of this type has a unique 'fixed point'.

Tying the recursive knot.

```
total: µStack → Nat
total (In l) = total total l
```

3.2 Example: from

```
data Sequ = Next (Nat, Sequ)
from: Nat → Sequ
from n = Next(n, from(n+1))
```

3.2 Two-level types and functions

```
data Sequ sequ = Next (Nat, sequ)
from: \forall x . (Nat \rightarrow x) \rightarrow (Nat \rightarrow Sequ x)
from
             from n = Next(n, from(n+1))
from: Nat \rightarrow vSequ
from n = Out^{\circ} (from from n).
```

3.2 Initial fixed-point equations

An initial fixed-point equation in the unknown $x \in \mathbb{C}(\mu F, A)$ has the syntactic form

$$x \cdot in = \Psi x$$
,

where the base function Ψ has type

$$\Psi : \forall X . \mathbb{C}(X, A) \to \mathbb{C}(\mathsf{F}X, A)$$
.

The naturality of Ψ ensures *termination*.

3.2 Guarded by destructors

$$x = \Psi x \cdot in^{\circ}$$

$$x \in \mathbb{C}(\mu F, A)$$

$$\Psi : \forall X . \mathbb{C}(X,A) \to \mathbb{C}(\mathsf{F}X,A)$$

$$\mu F \xrightarrow{in^{\circ}} F(\mu F) \xrightarrow{\Psi x} A$$

3.2 Mendler-style folds

$$x = (\Psi)_{Id} \iff x \cdot in = \Psi x$$

3.2 Proof of uniqueness

$$\phi: \mathbb{C}(\mathsf{F} A, A) \cong (\forall X . \mathbb{C}(X, A) \to \mathbb{C}(\mathsf{F} X, A))$$

$$x \cdot in = \Psi x$$

$$\iff \{ \text{ isomorphism } \}$$

$$x \cdot in = \phi (\phi^{\circ} \Psi) x$$

$$\iff \{ \text{ definition of } \phi^{\circ} : \phi^{\circ} \Psi = \Psi id \}$$

$$x \cdot in = \phi (\Psi id) x$$

$$\iff \{ \text{ definition of } \phi : \phi f = \lambda \kappa . f \cdot F \kappa \}$$

$$x \cdot in = \Psi id \cdot F x$$

$$\iff \{ \text{ initial algebras } \}$$

$$x = \|\Psi id\|$$

3.2 Final fixed-point equations

A final fixed-point equation in the unknown $x \in \mathbb{C}(A, \nu F)$ has the syntactic form

$$out \cdot x = \Psi x$$
,

where the base function Ψ has type

$$\Psi: \forall X . \mathbb{C}(A, X) \to \mathbb{C}(A, FX)$$
.

The naturality of Ψ ensures *productivity*.

3.2 Guarded by constructors

$$x = out^{\circ} \cdot \Psi x$$

$$x \in \mathbb{C}(A, \nu F)$$

$$\Psi : \forall X . \mathbb{C}(A, X) \to \mathbb{C}(A, FX)$$

$$A \xrightarrow{\Psi \chi} F(\nu F) \xrightarrow{out^{\circ}} \nu F$$

3.2 Mendler-style unfolds

$$x = [(\Psi)]_{\mathsf{Id}} \iff out \cdot x = \Psi x$$

3.2 Mutual type recursion

```
data Tree = Node Nat Trees
data\ Trees = Nil \mid Cons\ (Tree,\ Trees)
flattena : Tree → Stack
flattena (Node n ts) = Push (n, flattens ts)
flattens: Trees → Stack
flattens (Nil) = Empty
flattens (Cons(t,ts)) = stack(flattenat, flattensts)
```

3.2 Speaking categorically

Idea: view *Tree* and *Trees* as a fixed point in a *product* category.

$$\mathsf{T}\langle A,B\rangle = \langle Nat \times B, 1 + A \times B \rangle$$

$$flatten \in (\mathbb{C} \times \mathbb{C})(\mu \mathsf{T}, \langle Stack, Stack \rangle)$$

3.2 Specialising fixed-point equations

An equation in $\mathbb{C} \times \mathbb{D}$ corresponds to two equations, one in \mathbb{C} and one in \mathbb{D} .

$$x \cdot in = \Psi x$$

$$\Rightarrow x_1 \cdot in_1 = \Psi_1 \langle x_1, x_2 \rangle \quad \text{and} \quad x_2 \cdot in_2 = \Psi_2 \langle x_1, x_2 \rangle$$

Here, $x_1 = \text{Outl } x$, $x_2 = \text{Outr } x$, $in_1 = \text{Outl } in$, $in_2 = \text{Outr } in$, $\Psi_1 = \text{Outl} \cdot \Psi \text{ and } \Psi_2 = \text{Outr} \cdot \Psi.$

3.2 Parametric datatypes

```
size: \forall a. Perfect a \rightarrow Nat
           (Zero a) = 1
size
           (Succ p) = 2*size p
size
```

data Perfect $a = Zero \ a \mid Succ \ (Perfect \ (a, a))$

3.2 Speaking categorically

Idea: view Perfect as a fixed point in a *functor category*.

$$PF = \Lambda A \cdot A + F(A \times A)$$

The second-order functor F sends a functor to a functor.

$$size: \mu P \rightarrow K Nat$$

NB K : $\mathbb{D} \to \mathbb{D}^{\mathbb{C}}$ is the constant functor K $A = \Lambda B \cdot A$.

3.2 Specialising fixed-point equations

$$x \cdot in = \Psi x$$

 \iff

$$xA \cdot inA = \Psi xA$$

NB Type application and abstraction are invisible in Haskell.

	initial fixed-point equation	final fixed-point equation
	$x \cdot in = \Psi x$	$out \cdot x = \Psi x$
Set	inductive type	coinductive type
	standard fold	standard unfold
		continuous coalgebra
Сро	_	continuous unfold
	continuous algebra	continuous coalgebra
\mathbf{Cpo}_{\perp}	strict continuous fold	strict continuous unfold
	$(\mu F \cong \nu F)$	
$\mathbb{C} \times \mathbb{D}$	mutually rec. ind. types	mutually rec. coind. types
	mutually rec. folds	mutually rec. unfolds
$\mathbb{D}_{\mathbb{C}}$	inductive type functor	coinductive type functor
	higher-order fold	higher-order unfold

3.3 Counterexample: stack

```
stack: (\mu Stack, Stack) \rightarrow Stack
stack (In(Empty), bs) = bs
stack (In(Push(a, as)), bs) = In(Push(a, stack(as, bs)))
```

3.3 Counterexample: nats and squares

```
nats: Nat \rightarrow v Sequ
nats \quad n = Out^{\circ} (Next (n, squares n))
squares: Nat \rightarrow vSequ
squares n = Out^{\circ} (Next (n*n, nats (n+1)))
```

Adjoint fixed-point equations

Idea: model the context by a functor.

$$x \cdot Lin = \Psi x$$

R out
$$\cdot x = \Psi x$$

Requirement: the functors have to be adjoint: $L \rightarrow R$.

Adjoint initial fixed-point equations

An adjoint initial fixed-point equation in the unknown $x \in \mathbb{C}(\mathsf{L}(\mu\mathsf{F}),A)$ has the syntactic form

$$x \cdot Lin = \Psi x$$
,

where the base function Ψ has type

$$\Psi : \forall X : \mathbb{D} . \mathbb{C}(\mathsf{L}X, A) \to \mathbb{C}(\mathsf{L}(\mathsf{F}X), A)$$
.

The unique solution is called an *adjoint fold*. Furthermore, ϕ x is called the *transposed fold*.

3.3 Proof of uniqueness

$$x \cdot \mathsf{L} \, in = \Psi \, x$$

$$\Leftrightarrow \quad \{ \text{ adjunction } \}$$

$$\phi \, (x \cdot \mathsf{L} \, in) = \phi \, (\Psi \, x)$$

$$\Leftrightarrow \quad \{ \text{ naturality of } \phi \colon \phi \, f \cdot h = \phi \, (f \cdot \mathsf{L} \, h) \, \}$$

$$\phi \, x \cdot in = \phi \, (\Psi \, x)$$

$$\Leftrightarrow \quad \{ \text{ adjunction } \}$$

$$\phi \, x \cdot in = (\phi \cdot \Psi \cdot \phi^{\circ}) \, (\phi \, x)$$

$$\Leftrightarrow \quad \{ \text{ universal property of Mendler-style folds } \}$$

$$\phi \, x = \| \phi \cdot \Psi \cdot \phi^{\circ} \|_{\mathsf{Id}}$$

$$\Leftrightarrow \quad \{ \text{ adjunction } \}$$

$$x = \phi^{\circ} \| \phi \cdot \Psi \cdot \phi^{\circ} \|_{\mathsf{Id}}$$

$$x = (|\Psi|)_{\mathsf{L}} \iff x \cdot \mathsf{L} \, in = \Psi \, x$$

Banana-split law

$$(\Phi)_{L} \triangle (\Psi)_{L} = (\lambda x \cdot \Phi (outl \cdot x) \triangle \Psi (outr \cdot x))_{L}$$

3.3 Proof of banana-split law

```
((\Phi)_1 \triangle (\Psi)_1) \cdot \mathsf{L} in
    { split-fusion }
(\Phi)_1 \cdot \mathsf{L} \operatorname{in} \triangle (\Psi)_1 \cdot \mathsf{L} \operatorname{in}
     { fold-computation }
\Phi (\Phi)_{\perp} \triangle \Psi (\Psi)_{\perp}
     { split-computation }
\Phi (outl \cdot ((\Phi)_1 \triangle (\Psi)_1)) \triangle \Psi (outl \cdot ((\Phi)_1 \triangle (\Psi)_1))
```

Adjoint final fixed-point equations

An adjoint final fixed-point equation in the unknown $x \in \mathbb{D}(A, \mathbb{R}(v\mathbb{F}))$ has the syntactic form

$$Rout \cdot x = \Psi x$$
,

where the base function Ψ has type

$$\Psi : \forall X : \mathbb{C} . \mathbb{D}(A, \mathsf{R}X) \to \mathbb{D}(A, \mathsf{R}(\mathsf{F}X))$$
.

The unique solution is called an *adjoint unfold*.

$$x = [(\Psi)]_{R} \iff R out \cdot x = \Psi x$$

Part 4

Adjunctions



4.0 Outline

- 14. Identity
- 15. Currying
- 16. Mutual Value Recursion
- 17. Type Application
- 18. Type Composition

4.1 Recall: Adjoint fixed-point equations

$$x \cdot L in = \Psi x$$

R
$$out \cdot x = \Psi x$$

Requirement: the functors have to be adjoint: $L \rightarrow R$.

4.1 Identity

$$\mathbb{C} \xrightarrow{\text{Id}} \mathbb{C}$$

$$\phi: \forall AB . \mathbb{C}(\operatorname{Id} A, B) \cong \mathbb{C}(A, \operatorname{Id} B)$$

Adjoint fixed-point equations subsume Mendler-style ones.

4.2 Recall: stack

```
stack: (\mu Stack, Stack) \rightarrow Stack

stack: (In(Empty), bs) = bs

stack: (In(Push(a, as)), bs) = In(Push(a, stack(as, bs)))
```

The type μ Stack is embedded in a context L:

$$LA = A \times Stack$$

$$Lf = f \times id_{Stack}.$$

4.2 Currying

$$\mathbb{C} \xrightarrow{-\times X} \mathbb{C}$$

$$\phi: \forall AB . \mathbb{C}(A \times X, B) \cong \mathbb{C}(A, B^X)$$

4.2 Specialising adjoint equations

$$x \cdot \mathsf{L} \, in = \Psi \, x \qquad \qquad \mathsf{R} \, out \cdot x = \Psi \, x$$

$$\iff \{ \text{ definition of } \mathsf{L} \} \qquad \iff \{ \text{ definition of } \mathsf{R} \}$$

$$x \cdot (in \times id) = \Psi \, x \qquad \qquad (out \cdot) \cdot x = \Psi \, x$$

$$\iff \{ \text{ pointwise } \} \qquad \iff \{ \text{ pointwise } \}$$

$$x (in \, a, \, c) = \Psi \, x \, (a, \, c) \qquad out \, (x \, a \, c) = \Psi \, x \, a \, c$$

4.2 stack as an adjoint fold

```
stack: \forall x . (Lx \rightarrow Stack) \rightarrow (L(Stack x) \rightarrow Stack)

stack stack (Empty, bs) = bs

stack stack (Push (a, as), bs) =

In(Push(a, stack(as, bs)))

stack: L(\mu Stack) \rightarrow Stack

stack (In as, bs) = stack stack(as, bs)
```

4.2 The transpose of *stack*

$$RA = A^{Stack}$$

$$Rf = f^{id_{Stack}}$$

The transposed fold is the curried variant of *stack*.

```
stack: \mu Stack \rightarrow R Stack

stack (In Empty) = \lambda bs \rightarrow bs

stack (In (Push (a, as))) = \lambda bs \rightarrow In (Push (a, stack as bs))
```

4.2 Recall: append

```
append : \forall a . (List a, List a) \rightarrow List a

append (Nil, bs) = bs

append (Cons (a, as), bs) = Cons (a, append (as, bs))
```

4.2 Two-level types

```
data LIST list a = \text{Nil} \mid \text{Cons}(a, list a)

instance (Functor list) \Rightarrow Functor (LIST list) where

fmap f(\text{Nil}) = \text{Nil}

fmap f(\text{Cons}(a, as)) = \text{Cons}(f a, fmap f as)

append: \forall a . (\mu \text{LIST } a, \text{List } a) \rightarrow \text{List } a

append (In(\text{Nil}), bs) = bs

append (In(\text{Cons}(a, as)), bs) = In(\text{Cons}(a, append(as, bs)))
```

4.2 append as a natural transformation

Definining $(F \times G) A = F A \times G A$, we can view *append* as a natural transformation:

append: List × List → List.

We have to find the right adjoint of the lifted product $-\dot{x}$ H.

4.2 Deriving the right adjoint

$$G^{\mathsf{H}} A$$

$$\cong \qquad \{ \text{ Yoneda lemma } \}$$

$$\mathbb{C}(A, -) \to G^{\mathsf{H}}$$

$$\cong \qquad \{ \text{ requirement: } - \dot{\times} \mathsf{H} \dashv -^{\mathsf{H}} \}$$

$$\mathbb{C}(A, -) \dot{\times} \mathsf{H} \to \mathsf{G}$$

$$\cong \qquad \{ \text{ natural transformation } \}$$

$$\forall X : \mathbb{C} . \mathbb{C}(A, X) \times \mathsf{H} X \to \mathsf{G} X$$

$$\cong \qquad \{ - \times X \dashv -^X \}$$

$$\forall X : \mathbb{C} . \mathbb{C}(A, X) \to (\mathsf{G} X)^{\mathsf{H} X}.$$

NB We assume that the functor category is $Set^{\mathbb{C}}$ so $G^{H}: \mathbb{C} \to Set$.

4.2 The transpose of append

```
append': List → List<sup>List</sup>
```

In Haskell:

```
append': \forall a . \text{List } a \rightarrow \forall x . (a \rightarrow x) \rightarrow (\text{List } x \rightarrow \text{List } x)
append' as = \lambda f \rightarrow \lambda bs \rightarrow
append (fmap f as, bs).
```

NB *append'* combines *append* with *fmap*.

4.3 Recall: nats and squares

```
nats: Nat \rightarrow vSequ

nats n = Out^{\circ} (Next (n, squares n))

squares: Nat \rightarrow vSequ

squares: n = Out^{\circ} (Next (n*n, nats (n+1)))
```

4.3 Speaking categorically

numbers : $\langle Nat, Nat \rangle \rightarrow \Delta(\nu Sequ)$

Adjoints of the diagonal functor

$$\phi: \forall AB . \mathbb{C}((+)A, B) \cong (\mathbb{C} \times \mathbb{C})(A, \Delta B)$$

$$\mathbb{C} \xrightarrow{\qquad \qquad + \qquad } \mathbb{C} \times \mathbb{C} \xrightarrow{\qquad \qquad \perp \qquad } \mathbb{C}$$

$$\phi: \forall AB . (\mathbb{C} \times \mathbb{C})(\Delta A, B) \cong \mathbb{C}(A, (\times) B)$$



Specialising adjoint equations

$$\Delta out \cdot x = \Psi x$$

$$out \cdot x_1 = \Psi_1 \langle x_1, x_2 \rangle$$
 and $out \cdot x_2 = \Psi_2 \langle x_1, x_2 \rangle$

Here, $x_1 = \text{Outl } x$, $x_2 = \text{Outr } x$, $\Psi_1 = \text{Outl } \cdot \Psi$ and $\Psi_2 = \text{Outr } \cdot \Psi$.

4.3 The transpose of nats and squares

```
numbers: Either Nat Nat \rightarrow vSequ
numbers (Left n)
  Out° (Next (n, numbers (Right n)))
numbers (Right n)
  Out^{\circ} (Next (n*n, numbers (Left (n + 1))))
```

4.3 A special case: paramorphisms

```
fac: \muNat \rightarrow Nat

fac (In(Z)) = 1

fac (In(Sn)) = In(S(idn)) * facn

id: \muNat \rightarrow Nat

id: (In(Z)) = InZ

id: (In(Sn)) = In(S(idn))
```

4.3 A special case: histomorphisms

```
fib: \muNat \rightarrow Nat

fib (In(Z)) = 0

fib (In(Sn)) = fib' n

fib': \muNat \rightarrow Nat

fib' (In(Z)) = 1

fib' (In(Sn)) = fib n + fib' n
```

4.4 Recall: sum

```
data List a = Nil \mid Cons(a, List a)

sum: List Nat \rightarrow Nat

sum(Nil) = 0

sum(Cons(a, as)) = a + sum as
```

4.4 Likewise for perfect trees

```
sump : Perfect Nat \rightarrow Nat

sump (Zero n) = n

sump (Succ p) = sump (fmap plus p)

plus (a, b) = a + b
```

NB The recursive call is *not* applied to a subterm of *Succ p*.

4.4 Speaking categorically

 $sum : App_{Nat} List \rightarrow K Nat$

where

 $\begin{aligned} \mathsf{App}_X &: \mathbb{C}^{\mathbb{D}} \to \mathbb{C} \\ \mathsf{App}_X & \mathsf{F} = \mathsf{F} X \\ \mathsf{App}_X & @= @X. \end{aligned}$

$$\phi: \forall AB . \mathbb{C}^{\mathbb{D}}(\mathsf{Lsh}_X A, \mathsf{B}) \cong \mathbb{C}(A, \mathsf{App}_X \mathsf{B})$$

$$\mathbb{C}^{\mathbb{D}} \xrightarrow{\mathsf{Lsh}_X} \mathbb{C} \xrightarrow{\mathsf{App}_X} \mathbb{C} \xrightarrow{\mathsf{App}_X} \mathbb{C}^{\mathbb{D}}$$

$$\mathsf{Rsh}_X \xrightarrow{\mathsf{Rsh}_X} \mathbb{C}^{\mathbb{D}}$$

$$\phi: \forall AB . \mathbb{C}(\mathsf{App}_X \mathsf{A}, B) \cong \mathbb{C}^{\mathbb{D}}(\mathsf{A}, \mathsf{Rsh}_X B)$$

Deriving the left adjoint

```
\mathbb{C}(A, \mathsf{App}_X \mathsf{B})
    { definition of App_X }
\mathbb{C}(A,\mathsf{B}X)
    { Yoneda }
\forall Y : \mathbb{D} \cdot \mathbb{D}(X, Y) \to \mathbb{C}(A, B, Y)
    { definition of a copower: Ix \to \mathbb{C}(X,Y) \cong \mathbb{C}(\sum Ix . X,Y) }
\forall Y : \mathbb{D} \cdot \mathbb{C}(\Sigma \mathbb{D}(X, Y) \cdot A.BY)
    { define Lsh<sub>X</sub> A = \Lambda Y : \mathbb{D} \cdot \sum \mathbb{D}(X, Y) \cdot A }
\forall Y : \mathbb{D} \cdot \mathbb{C}(\mathsf{Lsh}_X A Y, \mathsf{B} Y)
    { natural transformation }
```

 $Lsh_{\mathcal{X}} A \stackrel{\cdot}{\rightarrow} B$

4.4 Left shifts in Haskell

newtype
$$Lsh_x ay = Lsh(x \rightarrow y, a)$$

instance $Functor(Lsh_x a)$ where
 $fmap f(Lsh(\kappa, a)) = Lsh(f \cdot \kappa, a)$
 $\phi_{Lsh}: (\forall y . Lsh_x ay \rightarrow by) \rightarrow (a \rightarrow bx)$
 $\phi_{Lsh} \alpha = \lambda s \rightarrow \alpha (Lsh(id, s))$
 $\phi_{Lsh}^{\circ}: (Functor b) \Rightarrow (a \rightarrow bx) \rightarrow (\forall y . Lsh_x ay \rightarrow by)$
 $\phi_{Lsh}^{\circ}: g = \lambda(Lsh(\kappa, s)) \rightarrow fmap \kappa(gs)$

4.4 Right shifts in Haskell

```
newtype \operatorname{Rsh}_{x} by = \operatorname{Rsh} \{ rsh^{\circ} : (y \to x) \to b \}

instance \operatorname{Functor} (\operatorname{Rsh}_{x} b) where

\operatorname{fmap} f (\operatorname{Rsh} g) = \operatorname{Rsh} (\lambda \kappa \to g (\kappa \cdot f))

\phi_{\operatorname{Rsh}} : (\operatorname{Functor} a) \Rightarrow (ax \to b) \to (\forall y \cdot ay \to \operatorname{Rsh}_{x} by)

\phi_{\operatorname{Rsh}} f = \lambda s \to \operatorname{Rsh} (\lambda \kappa \to f (\operatorname{fmap} \kappa s))

\phi_{\operatorname{Rsh}}^{\circ} : (\forall y \cdot ay \to \operatorname{Rsh}_{x} by) \to (ax \to b)

\phi_{\operatorname{Rsh}}^{\circ} \beta = \lambda s \to \operatorname{rsh}^{\circ} (\beta s) id
```

4.4 Specialising adjoint equations

$$x \cdot \mathsf{App}_X \, in = \Psi \, x$$

$$\iff \{ \text{ definition of } \mathsf{App}_X \}$$

$$x \cdot in X = \Psi \, x$$

4.4 The transpose of sump

```
sump': \forall x . \text{Perfect } x \to (x \to Nat) \to Nat

sump' \qquad (Zero \, n) = \lambda \kappa \qquad \to \kappa \, n

sump' \qquad (Succ \, p) = \lambda \kappa \qquad \to sump' \, p \, (plus \cdot (\kappa \times \kappa))
```

4.4 Relation to Generic Haskell

```
sump': \forall x . (x \rightarrow Nat) \rightarrow (Perfect x \rightarrow Nat)

sump' \quad sumx \quad (Zero n) = sumx n

sump' \quad sumx \quad (Succ p) =

sump' (plus \cdot (sumx \times sumx)) p
```

4.5 Recall: concat

```
concat: \forall \ a . \ List (List \ a) \rightarrow List \ a
concat \qquad (Nil) = Nil
concat \qquad (Cons \ (l, ls)) = append \ (l, concat \ ls)
```

4.5 Speaking categorically

```
concat : Pre_{List} (\mu LIST) \rightarrow List
```

where

```
\begin{aligned} & \text{Pre}_{J} : \mathbb{E}^{\mathbb{D}} \rightarrow \mathbb{E}^{\mathbb{C}} \\ & \text{Pre}_{J} \, F = F \circ J \\ & \text{Pre}_{J} \, \alpha = \alpha \circ J. \end{aligned}
```

$$\phi: \forall \ \mathsf{FG} \ . \ \mathbb{E}^{\mathbb{D}}(\mathsf{Lan}_\mathsf{I}\,\mathsf{F},\mathsf{G}) \cong \mathbb{E}^{\mathbb{C}}(\mathsf{F},\mathsf{G}\circ\mathsf{J})$$

$$\mathbb{E} \stackrel{\mathbb{C}}{\rightleftharpoons} \mathbb{D} \stackrel{\mathbb{C}}{\longleftarrow} \frac{\mathsf{Lan}_J}{(-\circ J)} \mathbb{E}^{\mathbb{C}} \stackrel{(-\circ J)}{\longleftarrow} \mathbb{E}^{\mathbb{D}} \stackrel{\mathbb{C}}{\longleftarrow} \mathbb{E}^{\mathbb{D}} \stackrel{\mathbb{C}}{\longrightarrow} \mathbb{E}^{\mathbb{D}} \stackrel{\mathbb{D}}{\longrightarrow} \mathbb{E}^{\mathbb{D}} \stackrel{\mathbb{D}}{\longrightarrow} \mathbb{E}^{\mathbb{D}} \stackrel{\mathbb{D}}{\longrightarrow} \mathbb{E}^{\mathbb{D}}$$

$$\phi: \forall \ \mathsf{FG} \ . \ \mathbb{E}^{\mathbb{C}}(\mathsf{F} \circ \mathsf{J},\mathsf{G}) \cong \mathbb{E}^{\mathbb{D}}(\mathsf{F},\mathsf{Ran}_{\mathsf{J}}\,\mathsf{G})$$

```
F \circ I \rightarrow G
    { natural transformation as an end }
\forall A : \mathbb{C} \cdot \mathbb{E}(\mathsf{F}(\mathsf{J}A), \mathsf{G}A)
    { Yoneda }
\forall A : \mathbb{C} . \forall X : \mathbb{D} . \mathbb{D}(X, A) \rightarrow \mathbb{E}(\mathsf{F}X, \mathsf{G}A)
    { definition of power: Ix \to \mathbb{C}(A, B) \cong \mathbb{C}(A, \prod Ix \cdot B) }
\forall A : \mathbb{C} . \forall X : \mathbb{D} . \mathbb{E}(\mathsf{F}X, \prod \mathbb{D}(X, \mathsf{J}A) . \mathsf{G}A)
    { interchange of quantifiers }
\forall X : \mathbb{D} . \forall A : \mathbb{C} . \mathbb{E}(\mathsf{F}X, \prod \mathbb{D}(X, \mathsf{J}A) . \mathsf{G}A)
    { the functor \mathbb{E}(\mathsf{F}X, -) preserves ends }
\forall X : \mathbb{D} \cdot \mathbb{E}(\mathsf{F}X, \forall A : \mathbb{C} \cdot \prod \mathbb{D}(X, \mathsf{J}A) \cdot \mathsf{G}A)
    { define Ran<sub>I</sub> G = \Lambda X : \mathbb{D} \cdot \forall A : \mathbb{C} \cdot \prod \mathbb{D}(X, JA) \cdot GA }
\forall X : \mathbb{D} \cdot \mathbb{E}(\mathsf{F}X, \mathsf{Ran}_{\mathsf{I}} \mathsf{G}X)
    { natural transformation as an end }
```

 $F \rightarrow Ran_1 G$

Right Kan extensions in Haskell

```
instance Functor (Ran_i g) where
fmap f (Ran h) = Ran(\lambda \kappa \rightarrow h(\kappa \cdot f))
\phi_{Ran} : (Functor f) \Rightarrow (\forall x . f (ix) \rightarrow gx) \rightarrow (\forall x . f x \rightarrow Ran_i gx)
\phi_{Ran} @ = \lambda s \rightarrow Ran(\lambda \kappa \rightarrow @ (fmap \kappa s))
\phi_{Ran}^{\circ} : (\forall x . f x \rightarrow Ran_i gx) \rightarrow (\forall x . f (ix) \rightarrow gx)
```

newtype Ran_i $qx = Ran \{ ran^{\circ} : \forall a . (x \rightarrow ia) \rightarrow qa \}$

 $\phi_{Pan}^{\circ} \beta = \lambda s \rightarrow ran^{\circ} (\beta s) id$

4.5 Left Kan extensions in Haskell

data
$$\operatorname{Lan}_{i} f x = \forall a . Lan(ia \rightarrow x, fa)$$

instance $\operatorname{Functor}(\operatorname{Lan}_{i} f)$ where
 $\operatorname{fmap} f(\operatorname{Lan}(\kappa, s)) = \operatorname{Lan}(f \cdot \kappa, s)$
 $\phi_{\operatorname{Lan}} : (\forall x . \operatorname{Lan}_{i} f x \rightarrow g x) \rightarrow (\forall x . f x \rightarrow g (ix))$
 $\phi_{\operatorname{Lan}} \alpha = \lambda s \rightarrow \alpha (\operatorname{Lan}(id, s))$
 $\phi_{\operatorname{Lan}}^{\circ} : (\operatorname{Functor} g) \Rightarrow (\forall x . f x \rightarrow g (ix)) \rightarrow (\forall x . \operatorname{Lan}_{i} f x \rightarrow g x)$
 $\phi_{\operatorname{Lan}}^{\circ} \beta = \lambda (\operatorname{Lan}(\kappa, s)) \rightarrow \operatorname{fmap} \kappa (\beta s)$

4.5 The transpose of concat

$$concat' : \forall \ a \ b \ . \ \mu LIST \ a \rightarrow (a \rightarrow List \ b) \rightarrow List \ b$$

$$concat' \qquad as \qquad = \lambda \kappa \qquad \rightarrow concat \ (fmap \ \kappa \ as)$$

The transpose of *concat* is the bind of the list monad (written \gg in Haskell)!

Part 5

Application: Type fusion



5.0 Outline

- 19. Memoisation
- 20. Fusion
- 21. Type fusion
- 22. Application: firstification
- 23. Application: type specialisation
- 24. Application: tabulation

Say, you want to memoise the function

$$f: Nat \rightarrow V$$

so that it caches previously computed values.

data Table *v*

lookup : \forall ν . Table ν → (Nat → ν) tabulate : \forall ν . (Nat → ν) → Table ν ,

we can memoize f as follows

 $memo-f: Nat \rightarrow V$ memo-f = lookup(tabulate f).

```
data Nat = Zero \mid Succ \, Nat

data Table v = Node \{ zero : v, succ : Table \, v \}

lookup \, (Node \{ zero = t \}) \, Zero = t

lookup \, (Node \{ succ = t \}) \, (Succ \, n) = lookup \, t \, n

tabulate \, f = Node \{ zero = f \, Zero, 

succ = tabulate \, (\lambda n \rightarrow f \, (Succ \, n)) \}
```

5.2 Fusion for adjoint folds

Let
$$\alpha: \forall X \in \mathbb{D}$$
 . $\mathbb{C}(\mathsf{L}X, B) \to \mathbb{C}'(\mathsf{L}'X, B')$, then

$$\alpha (|\Psi|)_L = (|\Psi'|)_{L'} \quad \Longleftrightarrow \quad \alpha \cdot \Psi = \Psi' \cdot \alpha.$$

NB This subsumes the fusion law for folds.

5.2 Proof of fusion

5.3 Type fusion

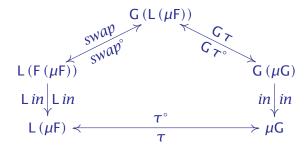
$$\mathbb{C} \xrightarrow{\qquad G \qquad} \mathbb{C} \xrightarrow{\qquad \bot \qquad} \mathbb{D} \xrightarrow{\qquad F \qquad} \mathbb{D}$$

$$\begin{array}{cccc} L \, (\mu F) \cong \mu G & \longleftarrow & L \circ F \cong G \circ L \\ \nu F \cong R \, (\nu G) & \longleftarrow & F \circ R \cong R \circ G \end{array}$$

Type fusion 5.3

$$\tau : L(\mu F) \cong \mu G \iff swap : L \circ F \cong G \circ L$$

5.3 Definition of τ and τ°



$$\tau \cdot L in = in \cdot G \tau \cdot swap$$

and $\tau^{\circ} \cdot in = L in \cdot swap^{\circ} \cdot G \tau^{\circ}$

5.3 Proof of $\tau \cdot \tau^{\circ} = id_{uG}$

```
(\tau \cdot \tau^{\circ}) \cdot in
= { definition of \tau^{\circ} }
       \tau \cdot \mathsf{L} \, in \cdot swap^{\circ} \cdot \mathsf{G} \, \tau^{\circ}
            { definition of \tau }
       in \cdot G \tau \cdot swap \cdot swap^{\circ} \cdot G \tau^{\circ}
            { inverses }
       in \cdot G \tau \cdot G \tau^{\circ}
            { G functor }
       in \cdot G (\tau \cdot \tau^{\circ})
```

The equation $x \cdot in = in \cdot Gx$ has a unique solution. Since id is also a solution, the result follows.

```
(\tau^{\circ} \cdot \tau) \cdot \mathsf{Lin}
= \{ \text{ definition of } \tau \}
\tau^{\circ} \cdot in \cdot \mathsf{G} \tau \cdot swap
= \{ \text{ definition of } \tau^{\circ} \}
\mathsf{Lin} \cdot swap^{\circ} \cdot \mathsf{G} \tau^{\circ} \cdot \mathsf{G} \tau \cdot swap
= \{ \mathsf{G} \text{ functor } \}
\mathsf{Lin} \cdot swap^{\circ} \cdot \mathsf{G} (\tau^{\circ} \cdot \tau) \cdot swap
```

Again, $x \cdot L$ in = L $in \cdot swap^{\circ} \cdot G$ $x \cdot swap$ has a unique solution. And again, id is also solution, which implies the result.

5.4 Application: firstification

 $data Stack = Empty \mid Push(Nat, Stack)$

 $data List a = Nil \mid Cons(a, List a)$

List $Nat \cong Stack$

5.4 Speaking categorically

 $App_{Nat}(\mu LIST) \cong \mu Stack$

 \leftarrow

 $\mathsf{App}_{\mathit{Nat}} \circ \mathsf{LIST} \cong \mathsf{Stack} \circ \mathsf{App}_{\mathit{Nat}}$

5.4 Proof of App $_{Nat} \circ LIST \cong Stack \circ App_{Nat}$

```
App_{Nat} \circ LIST
  { composition of functors and definition of App }
\Lambda X . LIST X Nat
  { definition of LIST }
\Delta X \cdot 1 + Nat \times X Nat
  { definition of Stack }
\Lambda X. Stack (X Nat)
  { composition of functors and definition of App }
Stack \circ App_{Nat}
```

5.4 In Haskell

```
swap: \forall x. LISTxNat \rightarrow Stack(xNat)
              Nil = Empty
swap
swap (Cons(n, x)) = Push(n, x)
swap^{\circ}: \forall x . Stack(x Nat) \rightarrow LIST x Nat
swap°
              Empty = Nil
swap^{\circ} (Push (n, x)) = Cons (n, x)
\Lambda-lift : \muStack \rightarrow \muLIST Nat
\Lambda-lift (In x) = In (swap° (fmap \Lambda-lift x))
\Lambda-drop: \muLIST Nat \rightarrow \muStack
\Lambda-drop (In x) = In (fmap \Lambda-drop (swap x))
```

5.5 Application: type specialisation

Lists of optional values, List • Maybe with

data Maybe $a = Nothing \mid Just a$,

can be represented more compactly using the tailor-made

data Sequ $a = Done \mid Skip$ (Sequ a) | Yield (a, Sequ a).

5.5 Speaking categorically

```
List \circ Maybe \cong Sequ,
```

 $Pre_{Maybe} (\mu LIST) \cong \mu SEQU$

 $\Leftarrow=$

 $Pre_{Mavbe} \circ LIST \cong SEQU \circ Pre_{Mavbe}$

5.5 Proof of $Pre_{Maybe} \circ LIST \cong SEQU \circ Pre_{Maybe}$

```
LIST X \circ Maybe
   { composition of functors }
\Lambda A . LIST X (Maybe A)
   { definition of LIST }
\Lambda A \cdot 1 + \mathsf{Maybe} A \times X (\mathsf{Maybe} A)
   { definition of Maybe }
\Lambda A \cdot 1 + (1 + A) \times X \text{ (Maybe } A)
   \{ \times \text{ distributes over} + \text{ and } 1 \times B \cong B \}
\Lambda A \cdot 1 + X \text{ (Maybe } A) + A \times X \text{ (Maybe } A)
   { composition of functors }
\Lambda A \cdot 1 + (X \circ \mathsf{Maybe}) A + A \times (X \circ \mathsf{Maybe}) A
   { definition of SEQU }
SEQU(X \circ Maybe)
```

5.5 In Haskell

```
swap: \forall x. \forall a.
       LIST x (Maybe a) \rightarrow SEQU (x \circ Maybe) a
swap (Nil)
                             = Done
swap (Cons (Nothing, x)) = Skip x
swap (Cons (Just a, x)) = Yield (a, x)
```

5.6 Recall *Nat* **and** Table

data $Nat = Zero \mid Succ Nat$

 $data Table val = Node \{ zero : val, succ : Table val \}$

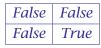
 $V^{Nat} \cong \mathsf{Table}\, V$

Application: tabulation 5.6

$$(-)^{Nat} \cong Table$$

5.6 Truth tables

 $(\wedge): Bool^{Bool \times Bool}$



Application: tabulation 5.6

$$(-)^{\textit{Bool} \times \textit{Bool}} \cong (\mathsf{Id} \stackrel{.}{\times} \mathsf{Id}) \stackrel{.}{\times} (\mathsf{Id} \stackrel{.}{\times} \mathsf{Id})$$

5.6 Laws of exponentials

$$V^{0} \cong 1$$

$$V^{1} \cong V$$

$$V^{A+B} \cong V^{A} \times V^{B}$$

$$V^{A \times B} \cong (V^{B})^{A}$$

5.6 Curried exponentiation

```
\begin{aligned} & \mathsf{Exp} : \mathbb{C} \to (\mathbb{C}^{\mathbb{C}})^\mathsf{op} \\ & \mathsf{Exp} \, \mathsf{K} &= \Lambda \, V \, . \, V^\mathsf{K} \\ & \mathsf{Exp} \, f &= \Lambda \, V \, . \, (id_V)^f \end{aligned}
```

5.6 Laws of exponentials

```
\operatorname{Exp} 0 \cong \operatorname{K} 1
\operatorname{Exp} 1 \cong \operatorname{Id}
\operatorname{Exp} (A + B) \cong \operatorname{Exp} A \dot{\times} \operatorname{Exp} B
\operatorname{Exp} (A \times B) \cong \operatorname{Exp} A \cdot \operatorname{Exp} B
```

Exp is a left adjoint

$$(\mathbb{C}^{\mathbb{C}})^{op} \xrightarrow{\qquad \qquad G \qquad } (\mathbb{C}^{\mathbb{C}})^{op} \xrightarrow{\qquad \qquad \bot \qquad } \mathbb{C} \xrightarrow{\qquad \qquad F \qquad } \mathbb{C}$$

5.6 Deriving the right adjoint

```
(\mathbb{C}^{\mathbb{C}})^{\mathsf{op}}(\mathsf{Exp}\,A,B)
\cong { definition of -^{op} }
      \mathbb{C}^{\mathbb{C}}(B,\operatorname{Exp} A)
           { natural transformation as an end }
       \forall X \in \mathbb{C} . \mathbb{C}(BX, \operatorname{Exp} AX)
           { definition of Exp }
       \forall X \in \mathbb{C} . \mathbb{C}(BX, X^A)
\cong { -\times Y \dashv (-)^Y and Y \times Z \cong Z \times Y }
       \forall X \in \mathbb{C} . \mathbb{C}(A, X^{BX})
           { the functor \mathbb{C}(A, -) preserves ends }
      \mathbb{C}(A, \forall X \in \mathbb{C}, X^{BX})
           { define Sel B = \forall X \in \mathbb{C} . X^{BX} }
      \mathbb{C}(A, \mathsf{Sel}\,B)
```

$$(\mathbb{C}^{\mathbb{C}})^{op} \xleftarrow{\quad G \quad} (\mathbb{C}^{\mathbb{C}})^{op} \xrightarrow{\qquad \underline{L} \quad} \mathbb{C} \xleftarrow{\quad F \quad} \mathbb{C}$$

Since Exp is a contra-variant functor, τ and swap live in an opposite category. Type fusion in terms of arrows in $\mathbb{C}^{\mathbb{C}}$:

$$\tau : \nu G \cong \operatorname{Exp}(\mu F) \iff \operatorname{swap} : G \circ \operatorname{Exp} \cong \operatorname{Exp} \circ F.$$

5.6 Look-up and tabulate

The isomorphism $\tau : \nu G \rightarrow \text{Exp}(\mu F)$ is a curried *look-up* function that maps a memo table to an exponential.

$$lookup(Out^{\circ} t)(in i) = swap(G lookup t) i$$

The inverse τ° : Exp $(\mu F) \rightarrow \nu G$ is a transformation that tabulates a given exponential.

```
tabulate f = Out^{\circ} (G tabulate (swap^{\circ} (f \cdot in)))
```

5.6 In Haskell

The transformation *swap* implements $V \times V^X \cong V^{1+X}$.

```
swap: \forall x. \forall val. TABLE(Exp x) val \rightarrow (Nat x \rightarrow val)
swap (Node (v, t)) (Zero) = v
swap (Node (v, t)) (Succ n) = t n
```

The inverse of *swap* implements $V^{1+X} \cong V \times V^X$.

```
swap^{\circ}: \forall x . \forall val . (Nat x \rightarrow val) \rightarrow TABLE (Exp x) val
swap^{\circ} f = Node (f Zero, f \cdot Succ)
```

5.6 In Haskell

```
lookup: \forall val. vTABLE val \rightarrow (\mu Nat \rightarrow val)
lookup(Out^{\circ}(Node(v,t)))(InZero) = v
lookup(Out^{\circ}(Node(v,t)))(In(Succ n)) = lookupt n
tabulate: \forall val. (\mu Nat \rightarrow val) \rightarrow v TABLE val
tabulate f = Out^{\circ} (Node (f (In Zero), tabulate (f \cdot In \cdot Succ)))
```

Part 6

Epilogue



6.0 Summary

- Adjoint (un-) folds capture many recursion schemes.
- Adjunctions play a central role.
- Tabulation is an intriguing example.

6.0 Limitations

• Simultaneous recursion doesn't fit under the umbrella.

$$zip$$
: (List a , List b) \rightarrow List (a, b)
 zip (Nil, bs) $=$ Nil
 zip (as , Nil) $=$ Nil
 zip ($Cons(a, as), Cons(b, bs)$) $=$ $Cons((a, b), zip(as, bs))$

However, one can establish

$$x = (|\Psi|)_{\times} \iff x \cdot (\times) in = \Psi x$$

using a different technique (colimits). See, R. Bird, R. Paterson: Generalised folds for nested datatypes.

Part 7

Tagless interpreters

7.0 Initial algebras: view from the left

```
\mathbf{data} \, Expr_0 = Lit \, Nat \mid Add \, (Expr_0, Expr_0)
```

 e_0 : $Expr_0$ $e_0 = Add$ (Lit 4700, Lit 11) $\mathbf{data} \, \mathsf{Expr} \, expr = \mathsf{Lit} \, Nat \mid \mathsf{Add} \, (expr, expr)$

The evaluation algebra.

$$eval_0$$
: Expr $Nat \rightarrow Nat$
 $eval_0$ (Lit n) = n
 $eval_0$ (Add (n_1, n_2)) = $n_1 + n_2$

The fold for expressions.

```
fold_0: \forall val . (Expr val \rightarrow val) \rightarrow (Expr_0 \rightarrow val)

fold_0 alg (Lit n) = alg (Lit n)

fold_0 alg (Add (e_1, e_2)) = alg (Add (fold_0 alg e_1, fold_0 alg e_2))
```

```
\mathbb{C}(\mathsf{Expr}\,Val,Val)
          { definition of Expr }
      \mathbb{C}(Nat + (Val \times Val), Val)
         \{ (+) \dashv \Delta \}
      (\mathbb{C} \times \mathbb{C})(\langle Nat, Val \times Val \rangle, \Delta Val)
          { product categories }
      \mathbb{C}(Nat, Val) \times \mathbb{C}(Val \times Val, Val)
data Alg val = Alg \{ lit : Nat \rightarrow val, add : (val, val) \rightarrow val \}
```

 $\mathbf{data} \, Alg \, val = Alg \, \{ \, lit : Nat \, \rightarrow \, val, \, add : (val, val) \, \rightarrow \, val \}$

The evaluation algebra.

$$eval_0: Alg\ Nat$$

 $eval_0 = Alg\ \{lit = id, add = \lambda(n_1, n_2) \rightarrow n_1 + n_2\}$

The fold for expressions.

```
fold_0: \forall val . Alg val \rightarrow (Expr_0 \rightarrow val)

fold_0 alg (Lit n) = lit alg n

fold_0 alg (Add (e_1, e_2)) = add alg (fold_0 alg e_1, fold_0 alg e_2)
```

7.0 Initial algebras: view from the right

$$\forall \ val \ . \ Alg \ val \rightarrow (Expr_0 \rightarrow val)$$

$$\cong \qquad \{ A \rightarrow (B \rightarrow C) \cong B \rightarrow (A \rightarrow C) \}$$

$$\forall \ val \ . \ Expr_0 \rightarrow (Alg \ val \rightarrow val)$$

$$\cong \qquad \{ \ \forall \ a \ . \ A \rightarrow \top \ a \cong A \rightarrow \forall \ a \ . \ \top \ a \}$$

$$Expr_0 \rightarrow (\forall \ val \ . \ Alg \ val \rightarrow val)$$

7.0 ... using records

```
newtype Expr_1 = Expr \{ fold_1 : \forall val . Alg val \rightarrow val \}
e_1 : Expr_1
e_1 = Expr \{ fold_1 = \lambda alg \rightarrow add alg (lit alg 4700, lit alg 11) \}
```

Converting between the left and right view.

```
toRight: Expr_0 \rightarrow Expr_1

toRight e = Expr \{ fold_1 = \lambda i \rightarrow fold_0 i e \}

toLeft: Expr_1 \rightarrow Expr_0

toLeft e = fold_1 e (Alg \{ lit = Lit, add = Add \})
```

7.0 ... using classes

class Alg val where $\{ lit : Nat \rightarrow val; add : (val, val) \rightarrow val \}$

$$e_1: (Alg \, val) \Rightarrow val$$

 $e_1 = add \, (lit \, 4700, lit \, 11)$

Converting between the left and right view.

```
toRight: (Alg \, val) \Rightarrow Expr_0 \rightarrow val

toRight \, e = fold_0 \, (Alg \, \{ \, lit = lit, \, add = \, add \, \}) \, e
```

instance $Alg Expr_0$ **where** { lit = Lit; add = Add }

$$toLeft : Expr_0 \rightarrow Expr_0$$

 $toLeft e = e$



7.0 Parametricity $\forall A . (FA \rightarrow A) \rightarrow A$

$$(\phi, \phi) \in \forall A . (FA \to A) \to A$$

$$\Leftrightarrow \{ \text{ polymorphic type } \}$$

$$\forall h . (\phi A_1, \phi A_2) \in (Fh \to h) \to h$$

$$\Leftrightarrow \{ \text{ function type } \}$$

$$\forall h . \forall f_1 f_2 . (f_1, f_2) \in Fh \to h \Longrightarrow (\phi A_1 f_1, \phi A_2 f_2) \in h$$

$$\Leftrightarrow \{ \text{ base } \}$$

$$\forall h . \forall f_1 f_2 . (f_1, f_2) \in Fh \to h \Longrightarrow h(\phi A_1 f_1) = \phi A_2 f_2$$

$$\Leftrightarrow \{ \text{ function type } \}$$

$$\forall h . \forall f_1 f_2 . h \cdot f_1 = f_2 \cdot Fh \Longrightarrow h(\phi A_1 f_1) = \phi A_2 f_2$$

7.0 An isomorphism

```
toRight i = \lambda a . (a) i
toLeft f = f in
    toLeft (toRight i)
      { definition of toRight }
    toLeft (\lambda a \cdot (a) i)
      { definition of toLeft }
    (in)i
= { reflection }
    i
```

```
toRight i = \lambda a . (a) i
toLeft f = f in
    toRight (toLeft f)
       { definition of toLeft }
    toRight (f in)
       { definition of toRight }
    \lambda a \cdot (a) (f in)
       { parametricity with (a) \cdot in = a \cdot F(a) }
    \lambda a.fa
= { extensionality }
```