

# Chapter 2

## Arrays

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### 2.2 The Array as an Abstract Data Type

**Array:**

- A set of pairs:  $\langle \text{index}, \text{value} \rangle$   
(correspondence or mapping)
- Two operations: retrieve, store

**Now we will use the C++ class to define an ADT.**

## ADT2.1 GeneralArray

```
class GeneralArray {  
  // a set of pairs <index, value> where for each value of  
  // index in IndexSet there is a value of type float. IndexSet is  
  // a finite ordered set of one or more dimensions.  
  public:  
    GeneralArray(int j, RangeList list, float initValue =  
                                                         defaultValue);  
  // The constructor GeneralArray creates a j  
  // dimensional array of floats; the range of the kth  
  // dimension is given by the kth element of list.  
  // For all  $i \in \text{IndexSet}$ , insert <i, initValue> into the array.
```

**float** Retrieve(index i);

// **if** ( $i \in \text{IndexSet}$ ) **return** the float associated with i in the  
// array; **else** throw an exception.

**void** Store(index i, **float** x);

// **if** ( $i \in \text{IndexSet}$ ) replace the old value associated with i  
// by x; **else** throw an exception.

}; //end of GeneralArray

## Note:

- Not necessarily implemented using consecutive memory
- Index can be coded any way
- GeneralArray is more general than C++ array as it is more flexible about the composition of the index set
- To be simple, we will hereafter use the C++ array

## 2.3 The Polynomial Abstract Data Type

Array can be used to implement other abstract data types. The simplest one might be:

**Ordered or linear list.**

**Example:**

(Sun, Mon, Tue, Wed, Thu, Fri, Sat)

(2, 3, 4, 5, 6, 7, 8, 9, 10, J, Q, K, A)

( ) // empty list

More generally, **An ordered list** is either empty or  $(a_0, a_1, \dots, a_{n-1})$ . // index important

**Main operations:**

- (1) Find the length,  $n$ , of the list.
- (2) Read the list from left to right ( or right to left)
- (3) Retrieve the  $i$ th element,  $0 \leq i < n$ .
- (4) Store a new value into the  $i$ th position,  $0 \leq i < n$ .
- (5) Insert a new element at position  $i$ ,  $0 \leq i < n$ , causing elements numbered  $i, i+1, \dots, n-1$  to become numbered  $i+1, i+2, \dots, n$ .

**(6) Delete the element at position  $i$ ,  $0 \leq i < n$ , causing elements numbered  $i+1, i+2, \dots, n-1$  to become numbered  $i, i+1, \dots, n-2$ .**

## How to represent ordered list efficiently?

- Use array:  $a_i \leftrightarrow \text{index } i$
- Sequential mapping, because using conventional array representation, we are storing  $a_i$  and  $a_{i+1}$  into consecutive location  $i$  and  $i+1$ .
- Random access any element in  $O(1)$ .
- Operations (5) and (6) need data movement.

Now let us look at a problem requiring ordered list.



**Problem:** build an ADT for the representation and manipulation of symbolic polynomials.

$$A(x)=3x^2+ 2x+4$$

$$B(x)=x^4+ 10x^3+ 3x^2+1$$

**Degree:** the largest exponent

## ADT 2.3 Polynomial

```
class Polynomial {
```

```
    //  $p(x) = a_0x^{e_0} + \dots + a_nx^{e_n}$  ; a set of ordered pairs of  $\langle e_i, a_i \rangle$ ,  
    // where  $a_i$  is a nonzero float coefficient and  $e_i$  is a  
    // non-negative exponent
```

```
public:
```

```
    Polynomial ( );
```

```
    // Construct the polynomial  $p(x)=0$ 
```

```
void AddTerm (Exponent e, Coefficient c);
```

```
// add the term <e,c> to *this, so that it can be initialized
```

```
Polynomial Add (Polynomial poly);
```

```
// return the sum of the polynomials *this and poly
```

```
Polynomial Mult (Polynomial poly);
```

```
// return the product of the polynomials *this and poly
```

```
float Eval ( float f);
```

```
// evaluate polynomial *this at f and return the result
```

```
}
```

## 2.3.1 Polynomial Representation

### Representation 1

private:

```
int degree; // degree  $\leq$  MaxDegree
```

```
float coef[MaxDegree+1];
```

Let  $a$  be  $A(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$

```
a.degree = n;
```

```
a.coef[i] =  $a_{n-i}$ ,  $0 \leq i \leq n$ 
```

Simple algorithms for many operations.

## Representation 2

When  $a.degree \ll \text{MaxDegree}$ , representation 1 is very poor in memory use. To improve, define variable sized data member as:

**private:**

**int** degree;

**float** \*coef;

**Polynomial::Polynomial(int d)**

**{**

**int** degree=d;

coef= **new float**[degree+1];

**}**

## Representation 3

Representation 2 is still not desirable. For instance,  $x^{1000}+1$  makes 999 entries of the coef be zero.

So, we store only the none zero terms:

$$A(x) = b_m x^{e_m} + b_{m-1} x^{e_{m-1}} + \dots + b_0 x^{e_0}$$

Where  $b_i \neq 0$ ,  $e_m > e_{m-1} > \dots, e_0 \geq 0$

```
class Polynomial; // forward declaration
class Term {
friend Polynomial;
private:
    float coef; // coefficient
    int exp;    // exponent
};

class Polynomial {
public:
    .....
private:
    Term *termArray;
    int capacity; // size of termArray
    int terms; // number of nonzero terms
}
```

For  $A(x) = 2x^{1000} + 1$

A.termArray looks like:

coef	2	1		
exp	1000	0		



**Many zero --- good**

**Few zero --- not very good, may use twice as much space as in presentation 2.**

## 2.3.2 Polynomial Addition

Use presentation 3 to obtain  $C = A + B$ .

**Idea:**

Because the exponents are in descending order, we can add  $A(x)$  and  $B(x)$  term by term to produce  $C(x)$ .

The terms of  $C$  are entered into its `termArray` by calling function **NewTerm**.

If the space in `termArray` is not enough, its capacity is doubled.

```
1 Polynomial Polynomial::Add (Polynomial b)
2 { // return the sum of the polynomials *this and b.
3   Polynomial c;
4   int aPos=0, bPos=0;
5   while (( aPos < terms) && (bPos < b.terms))
6     if (termArray[aPos].exp==b.termArray[bPos].exp) {
7       float t = termArray[aPos].coef + termArray[bPos].coef
8       if ( t ) c.NewTerm (t, termArray[aPos].exp);
9       aPos++; bPos++;
10    }
11    else if (termArray[aPos].exp < b.termArray[bPos].exp) {
12      c.NewTerm (b.termArray[bPos].coef, b.termArray[bPos].exp);
13      bPos++;
14    }
```

```
15  else {
16      c.NewTerm (termArray[aPos].coef, termArray[aPos].exp);
17      aPos++;
18  }
19  // add in the remaining terms of *this
20  for ( ; aPos < terms; aPos++ )
21      c.NewTerm(termArray[aPos].coef, termArray[aPos].exp );
22  // add in the remaining terms of b
23  for ( ; bPos < b.terms; bPos++ )
24      c.NewTerm(b.termArray[bPos].coef, b.termArray[bPos].exp);
25  return c;
26 }
```

```
void Polynomial::NewTerm(const float theCoeff,  
                        const int theExp)  
{ // add a new term to the end of termArray.  
    if (terms == capacity)  
    { // double capacity of termArray  
        capacity *= 2;  
        term *temp = new term[capacity]; // new array  
        copy(termArray, termArray + terms, temp);  
        delete [ ] termArray; // deallocate old memory  
        termArray = temp;  
    }  
    termArray[terms].coef = theCoeff;  
    termArray[terms++].exp = theExp;  
}
```

## Analysis of Add:

Let  $m, n$  be the number of nonzero terms in  $a$  and  $b$  respectively.

- line 3 and 4--- $O(1)$
- in each iteration of the while loop,  $aPos$  or  $bPos$  or both increase by 1, the number of iterations of this loop  $\leq m+n-1$
- if ignore the time for doubling the capacity, each iteration takes  $O(1)$
- line 20---  $O(m)$ , line 23---  $O(n)$

Asymptotic computing time for Add:  $O(m+n)$

## Analysis of doubling capacity:

- the time for doubling is linear in the size of new array
- initially, c.capacity is 1
- suppose when Add terminates, c.capacity is  $2^k$
- the total time spent over all array doubling is

$$O\left(\sum_{i=1}^k 2^i\right) = O(2^{k+1}) = O(2^k)$$

- since c.terms  $> 2^{k-1}$  and  $m + n \geq \text{c.terms}$ , the total time for array doubling is

$$O(\text{c.terms}) = O(m + n)$$

- so, even consider array doubling, the total run time of Add is  $O(m + n)$ .
- experiments show that array doubling is responsible for very small fraction of the total run time of **Add**.

**Exercises:** P93-2,6, P94-9



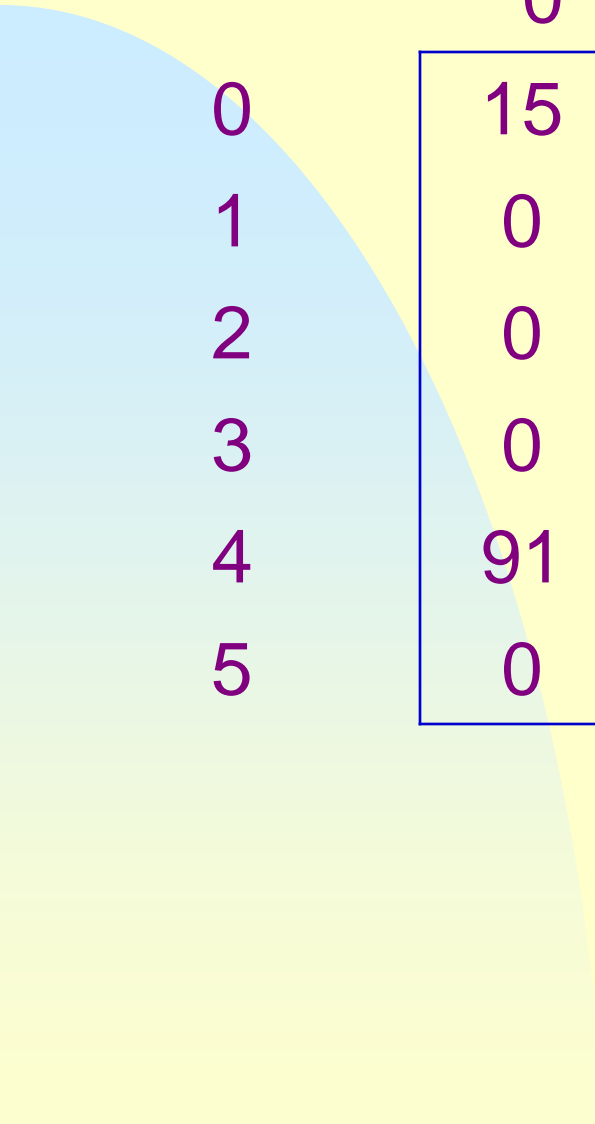
## 2.4 Sparse Matrices

### 2.4.1 Introduction

A general matrix consists of  $m$  rows and  $n$  columns ( $m \times n$ ) of numbers, as:

	0	1	2
0	-27	3	4
1	6	82	-2
2	109	-64	11
3	12	8	9
4	48	27	47

**Fig.2.2(a)  $5 \times 3$**



	0	1	2	3	4	5
0	15	0	0	22	0	-15
1	0	11	3	0	0	0
2	0	0	0	-6	0	0
3	0	0	0	0	0	0
4	91	0	0	0	0	0
5	0	0	28	0	0	0

**Fig. 2.2(b) 6×6**

A matrix of  $m \times m$  is called a **square**.

A matrix with many zero entries is called **sparse**.

**Representation:**

- A natural way ---  $a[m][n]$ , access element by  $a[i][j]$ , easy operations. But for sparse matrix, wasteful of both memory and time.
- Alternative way --- store nonzero elements explicitly. 0 as default.

## ADT 2.4 SparseMatrix

```
class SparseMatrix
```

```
{ // a set of <row, column, value>, where row, column are  
  // non-negative integers and form a unique combination;  
  // value is also an integer.
```

```
public:
```

```
    SparseMatrix ( int r, int c, int t);
```

```
    // creates a  $r \times c$  SparseMatrix with a capacity of t nonzero  
    // terms
```

```
    SparseMatrix Transpose ( );
```

```
    // return the SparseMatrix obtained by transposing *this
```

```
    SparseMatrix Add ( SparseMatrix b);
```

```
    SparseMatrix Multiply ( SparseMatrix b);
```

```
};
```

## 2.4.2 Sparse Matrix Representation

Use triple  $\langle \text{row}, \text{col}, \text{value} \rangle$ , sorted in ascending order by  $\langle \text{row}, \text{col} \rangle$ .

We need also the number of rows and the number of columns and the number of nonzero elements. Hence,

```
class SparseMatrix;  
class MatrixTerm {  
friend class SparseMatrix;  
private:  
    int row, col, value;  
};
```

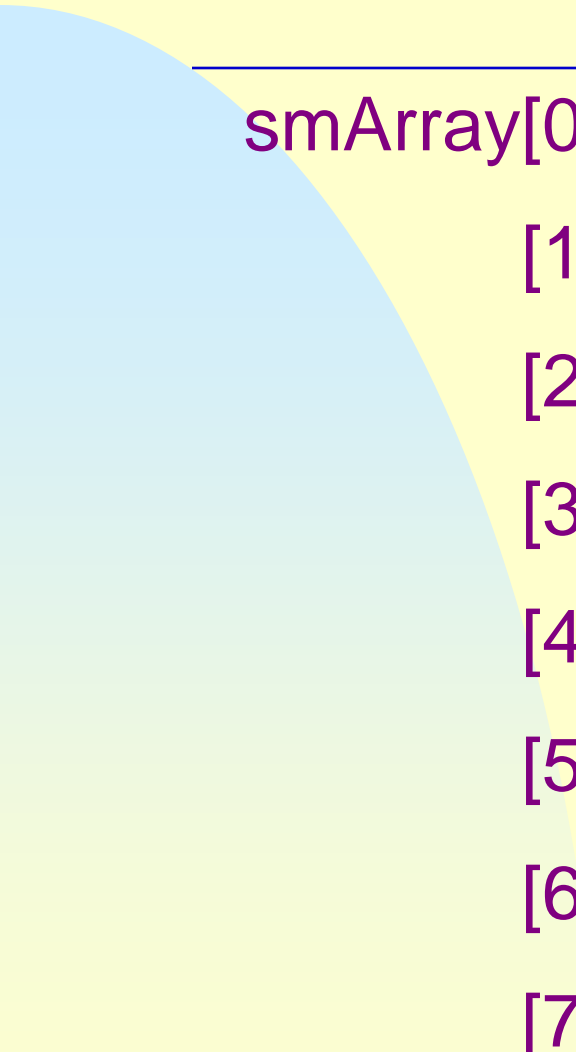
**And in class SparseMatrix:**

**private:**

**Int** rows, cols, terms, capacity;

**MatrixTerm** \*smArray;

**Now we can store the matrix of Fig.2.2 (b) as Fig.2.3 (a).**



	row	col	value
smArray[0]	0	0	15
[1]	0	3	22
[2]	0	5	-15
[3]	1	1	11
[4]	1	2	3
[5]	2	3	-6
[6]	4	0	91
[7]	5	2	28

**Fig.2.3 (a)** JYP

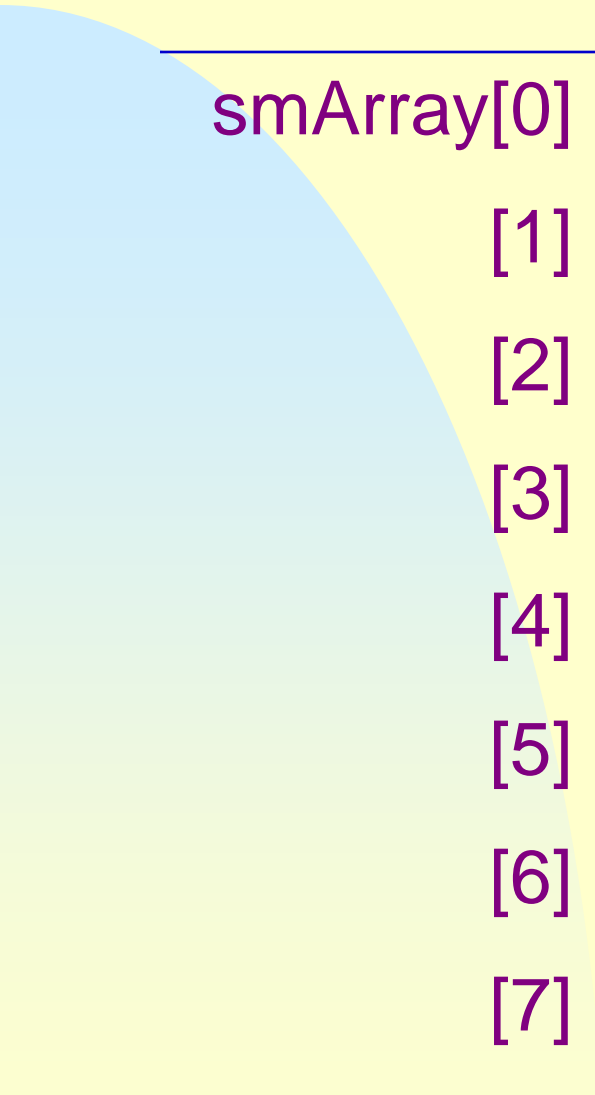
## 2.4.3 Transposing a Matrix

### Transpose:

If an element is at position  $[i][j]$  in the original matrix, then it is at position  $[j][i]$  in the transposed matrix.

Fig.2.3(b) shows the transpose of Fig2.3(a).





	row	col	value
smArray[0]	0	0	15
[1]	0	4	91
[2]	1	1	11
[3]	2	1	3
[4]	2	5	28
[5]	3	0	22
[6]	3	2	-6
[7]	5	0	-15

**Fig.2.3 (b)** JYP

**First try:**

**For (each row  $i$ )**

**take element  $(i, j, \text{value})$  and**

**store it in  $(j, i, \text{value})$  of the transpose;**

**Difficulty:** not knowing where to put  $(j, i, \text{value})$  until all other elements preceding it have been processed.

## Improvement:

For (all elements in column  $j$ )  
store  $(i, j, \text{value})$  of the original matrix as  
 $(j, i, \text{value})$  of the transpose;

Since the rows are in order, we will locate  
elements in the correct column order.

```
1 SparseMatrix SparseMatrix::Transpose ( )
2 { // return the transpose of *this
3   SparseMatrix b(cols, rows, terms);
4   if (terms > 0)
5   { //nonzero matrix
6     int currentB = 0;
```

```
7      for ( int c=0; c<cols; c++ ) // transpose by columns
8          for ( int i=0; i<terms; i++ )
9              // find and move terms in column c
10                 if ( smArray[i].col == c )
11                     {
12                         b.smArray[CurrentB].row = c;
13                         b.smArray[CurrentB].col = smArray[i].row;
14                         b.smArray[CurrentB++].value= smArray[i].value;
15                     }
16     } // end of if (terms > 0)
17     return b;
18 }
```

## Time complexity of Transpose:

- line 7-15 loop--- **cols** times
- line 10 loop--- **terms** times
- other line---  $O(1)$

Total time:  $O(\text{cols} * \text{terms})$

Additional space:  $O(1)$

Think:

$O(\text{cols} * \text{terms})$  is not good. If  $\text{terms} = O(\text{cols} * \text{rows})$  then it becomes  $O(\text{cols}^2 * \text{rows})$ ---too bad!  
Since with 2-dimensional representation,

we can get an easy  $O(\text{cols} * \text{rows})$  algorithm as:

```
for (int j=0; j < columns; j++)  
    for (int i=0; i < rows; i++) B[j][i] = A[i][j];
```

**Further improvement:**

If we use some more space to store some knowledge about the matrix, we can do much better: doing it in  $O(\text{cols} + \text{terms})$ .

- get the number of elements in each column of **\*this** = the number of elements in each row of b;
- obtain the starting point in b of each of its rows;
- move the elements of **\*this** one by one into their right position in b.

Now the algorithm **FastTranspose**.



```
1 SparseMatrix SparseMatrix::FastTranspose ( )
2 { // return the transpose of *this in  $O(\text{terms} + \text{cols})$  time.
3   SparseMatrix b(cols, rows, terms);
4   if (terms > 0)
5   { // nonzero matrix
6     int *rowSize = new int[cols];
7     int *rowStart = new int[cols];
8     // compute rowSize[i] = number of terms in row i of b
9     fill(rowSize, rowSize + cols, 0); // initialize
10    for (i=0; i<terms; i++ ) rowSize[smArray[i].col]++;
```

```
11 // rowStart[i] = starting position of row i in b
12 rowStart[0] = 0;
13 for (i=1;i<cols;i++) rowStart[i]=rowStart[i-1]+rowSize[i-1];
14 for (i=0; i<terms; i++)
15 { // copy from *this to b
16     int j = rowStart[smArray[i].col];
17     b.smArray[j].row = smArray[i].col;
18     b.smArray[j].col = smArray[i].row;
19     b.smArray[j].value = smArray[i].value;
20     rowStart[smArray[i].col]++;
21 } // end of for
```

```
22  delete [ ] rowSize;  
23  delete [ ] rowStart;  
24  } // end of if  
25  return b;  
26 }
```

**For Fig.2.3(a), after line 13, we get :**

	[0]	[1]	[2]	[3]	[4]	[5]
RowSize=	2	1	2	2	0	1
RowStart=	0	2	3	5	7	7

**Note the error in P101 of the text book!**

## Analysis:

### 3 loops:

- line 10---  $O(\text{terms})$
- line 13---  $O(\text{cols})$
- line 14 – 21---  $O(\text{terms})$   
and line 9---  $O(\text{cols})$ , other lines---  $O(1)$

Total:  $O(\text{cols} + \text{terms})$

This is a typical example for trading space for time.

**Exercises:** P107-1, 2, 4

## 2.6 The String Abstract data Type

A string  $S = s_0, s_1, \dots, s_{n-1}$ ,  
where  $s_i \in \text{char}$ ,  $0 \leq i < n$ ,  $n$  is the length.

### ADT 2.5 String

class String

{ // a finite set of zero or more characters;

public:

String (char \*init, int m );

// initialize \*this to string init of length m

```
bool operator == (String t );
```

```
// if *this equals t, return true else false.
```

```
bool operator ! ( );
```

```
// if *this is empty return true else false.
```

```
int Length ( );
```

```
// return the number of chars in *this
```

```
String Concat (String t);
```

```
String Substr (int i, int j);
```

```
int Find (String pat);
```

```
// return i such that pat matches the substring of *this that
```

```
// begins at position i. Return -1 if pat is either empty or not
```

```
// a substring of *this.
```

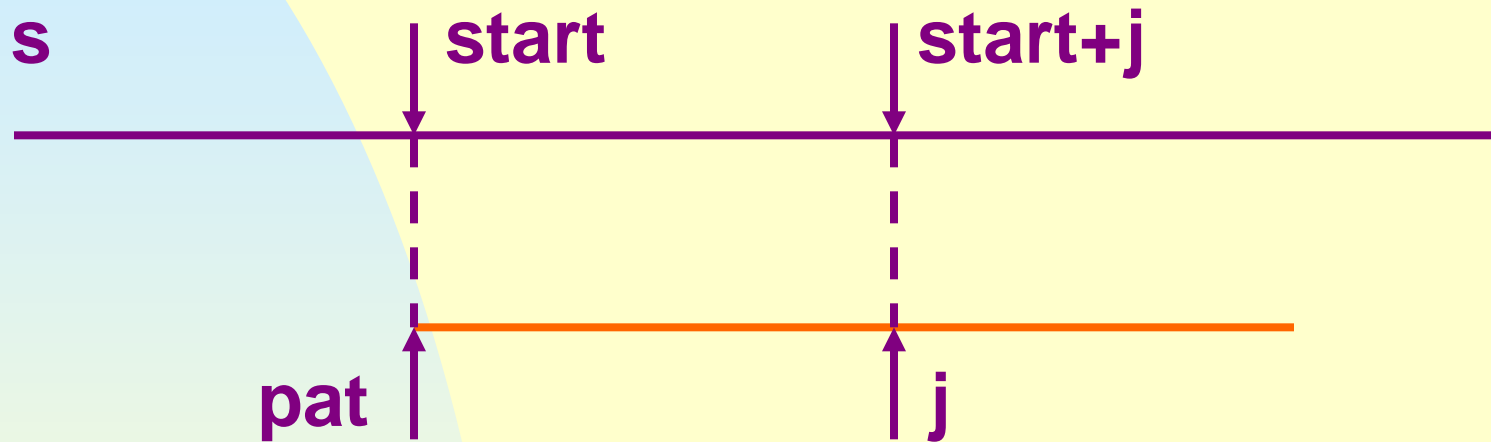
```
};
```

**Assume the String class is represented by:**

**private:**

**char\* str;**

## 2.6.1 String Pattern Matching: A Simple Algorithm



The idea is showed in the function **Find** .



```
int String::Find ( String pat )
{ // Return -1 if pat does not occur in *this; otherwise
  // return the first position in *this, where pat begins.
  if (pat.Length( ) == 0) return -1; // pat is empty
  for (int start=0; start<=Length( ) - pat.Length( ); start++)
  { // check for match beginning at str[start]
    for (int j=0; j<pat.Length( )&&str[start+j]==pat.str[j];j++)
      if (j== pat.Length( )) return start; // match found
    // no match at position start
  }
  return -1;    // pat does not occur in s
}
```

**The complexity of it is  $O(\text{LengthP} * \text{LengthS})$ .**

**Problem: rescanning.**

**Even if we check the last character of `pat` first, the time complexity can't be improved!**

## 2.6.2 String Pattern Matching: The Knuth-Morris-Pratt Algorithm

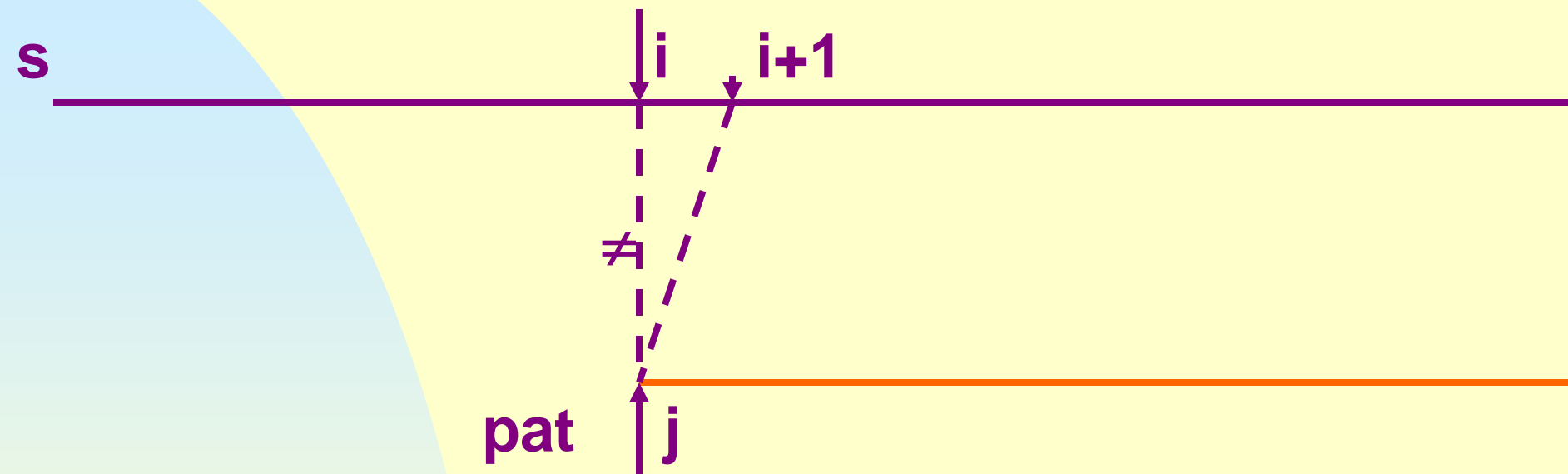
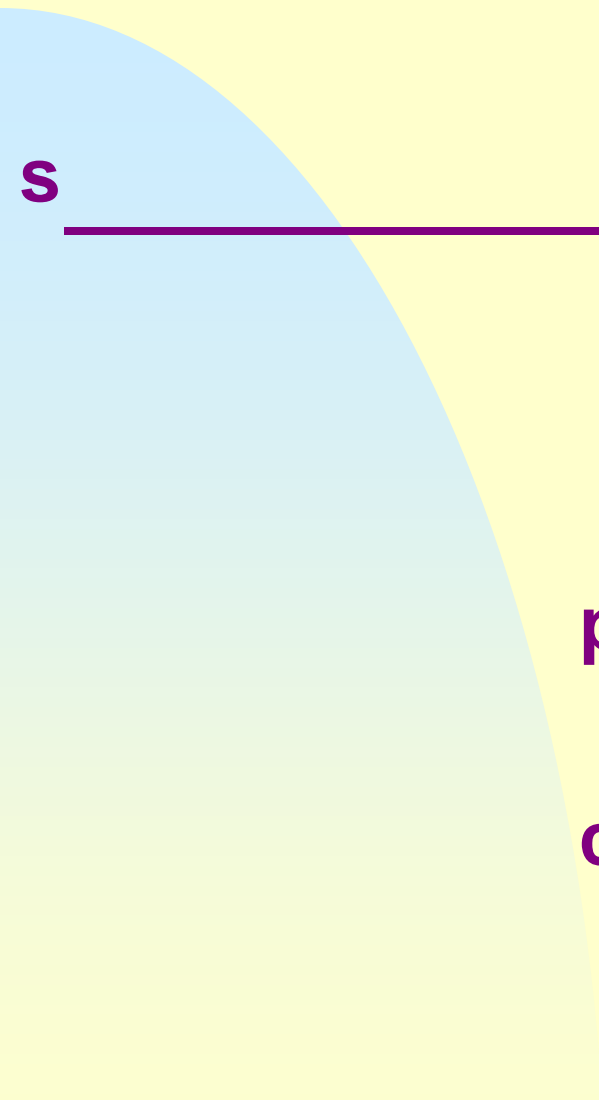
Can we get an algorithm which avoid rescanning the strings and works in  $O(\text{LengthP} + \text{LengthS})$ ?

This is optimal for this problem, as in the worst it is necessary to look at characters in the pattern and string at least once.

## Basic Ideas:

- Rescanning to avoid missing the target --- too conservative. If we can go without rescanning, it is likely to do the job in  $O(\text{LengthP} + \text{LengthS})$ .
- Preprocess the pattern, to get some knowledge of the characters in it and the position in it, so that if a mismatch occurs we can determine where to continue the search and avoid moving backwards in the string.

Now we show details about the idea.

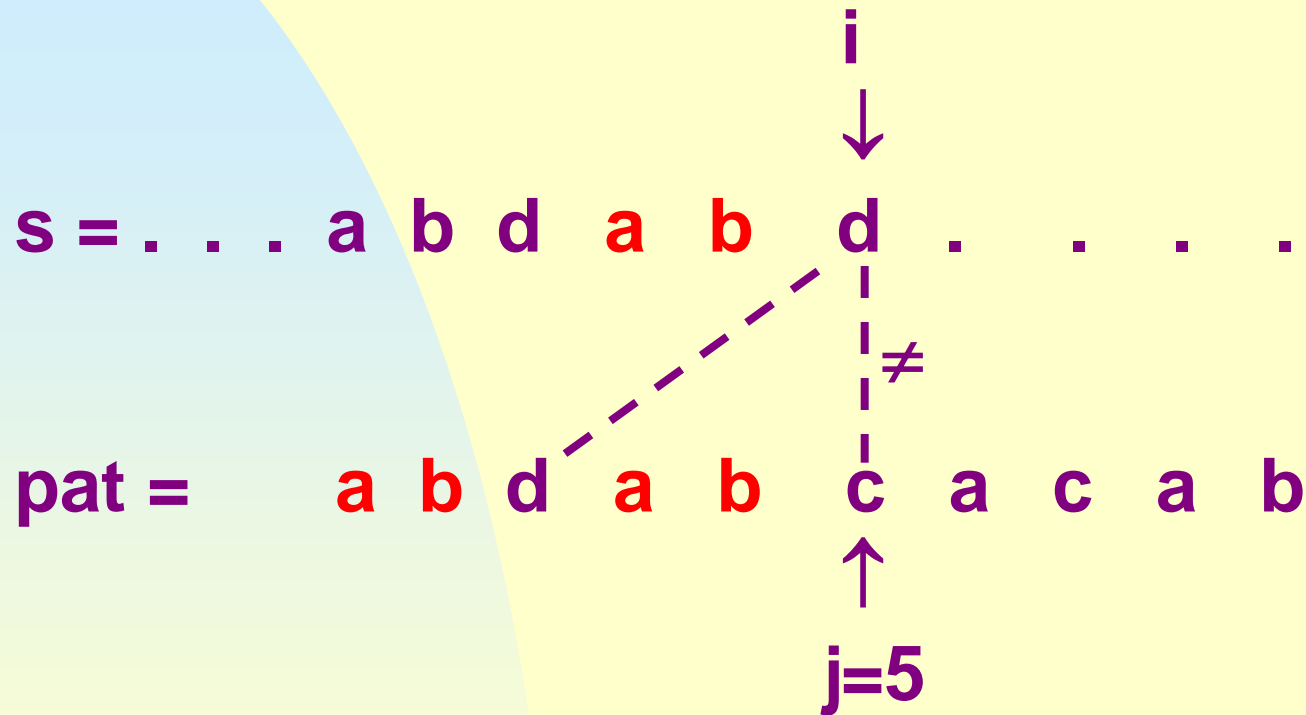


**case:  $j = 0$**



JYP

## An concrete example:



To formalize the above idea:

**Definition:** if  $p = p_0 p_1 \dots p_{n-1}$  is a pattern, then its failure function  $f$ , is defined as:

$$f(j) = \begin{cases} \text{largest } k < j, \text{ such that } p_0 p_1 \dots p_k = p_{j-k} p_{j-k+1} \dots p_j \\ \text{if such } k \geq 0 \text{ exists} \\ -1 & \text{otherwise} \end{cases}$$



For example,  $\text{pat} = \text{a b c a b c a c a b}$ , we have

j	0	1	2	3	4	5	6	7	8	9
pat	a	b	c	a	b	c	a	c	a	b
f	-1	-1	-1	0	1	2	3	-1	0	1

**Note:**

- largest : no match be missed
- $k < j$  : avoid dead loop

From the definition of  $f$ , we have the following rule for pattern matching:

If a partial match is found such that  $s_{i-j} \dots s_{i-1} = p_0 p_1 \dots p_{j-1}$  and  $s_i \neq p_j$  then matching may be resumed by comparing  $s_i$  and  $p_{f(j-1)+1}$  if  $j \neq 0$ . If  $j=0$ , then we may continue by comparing  $s_{i+1}$  and  $p_0$ .

The failure function is represented by an array of integers  $f$ , which is a private data member of `String`.

Now the algorithm **FastFind**.

```
1 int String::FastFind (String pat)
2 { // Determine if pat is a substring of s
3   int PosP = 0, PosS = 0;
4   int LengthP= pat.Length( ), LengthS= Length( );
5   while ((PosP < LengthP) && (PosS < LengthS))
6     if ( pat.str[PosP] == str[PosS] ) { // characters match
7       PosP ++; PosS ++;
8     }
9     else
10      if ( PosP==0)
11        PosS++;
12      else PosP= pat.f [PosP-1] + 1;
13 if ((PosP < LengthP) || LengthP==0)) return -1;
14 else return PosS - LengthP ;
15 }
```

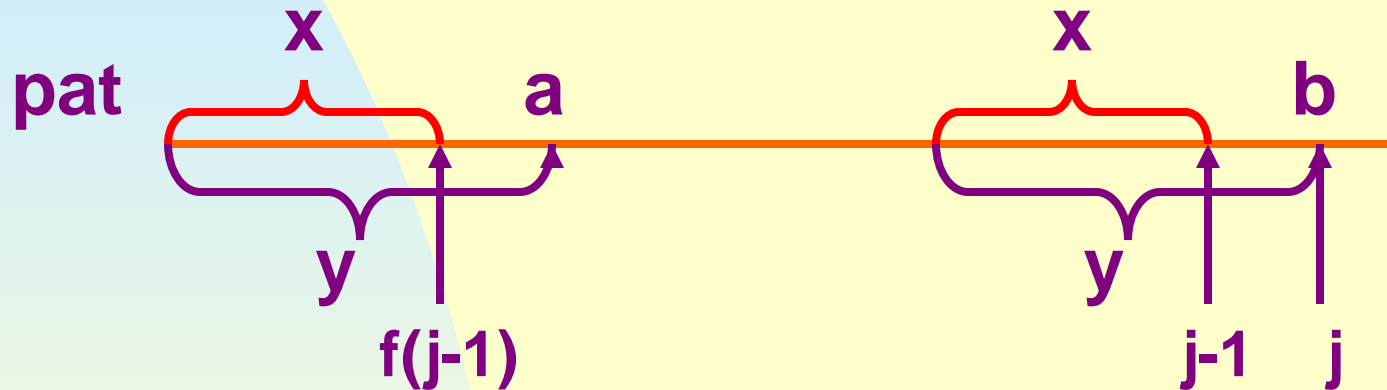
## Analysis of FastFind:

- Line 7 and 11 --- at most  $\text{LengthS}$  times, since  $\text{PosS}$  is increased but never decreased. So  $\text{PosP}$  can move right on  $\text{pat}$  at most  $\text{LengthS}$  times (line 7).
- Line 12 moves  $\text{PosP}$  left, it can be done at most  $\text{LengthS}$  times. Note that  $f(j-1)+1 < j$ .

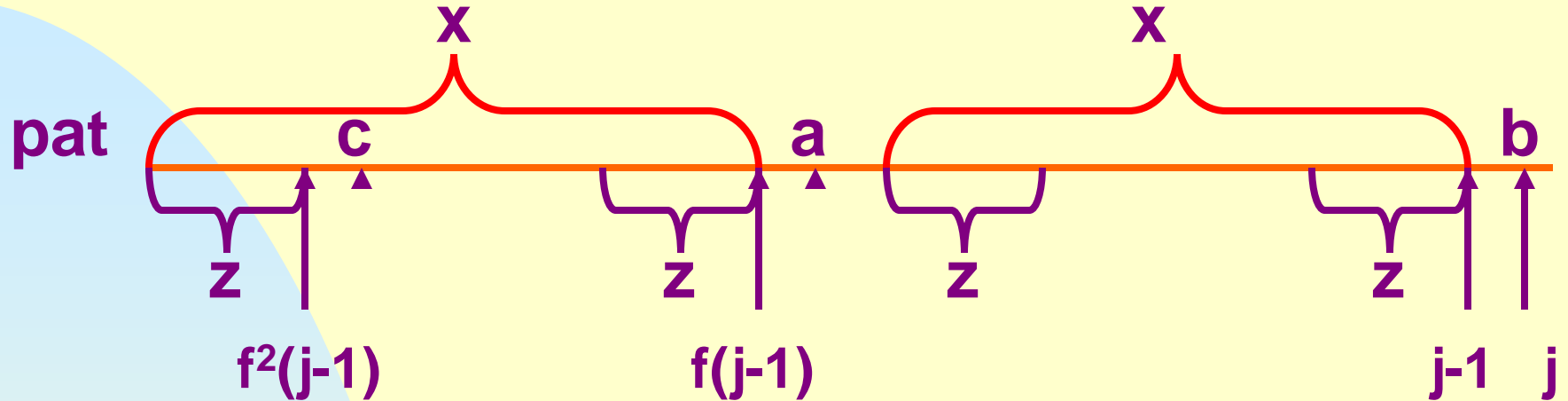
Consequently, the computing time is  $O(\text{LengthS})$ .

How about the computing of the  $f$  for the pattern?  
By similar idea, we can do it in  $O(\text{LengthP})$ .

$f(0)=-1$ , now if we have  $f(j-1)$ , we can compute  $f(j)$  from it by the following observation:



If  $a=b$ , then  $f(j)=f(j-1)+1$  else



If  $c=b$ ,  $f(j)=f(f(j-1))+1=f^2(j-1)+1$  else .....

In general, we have the following restatement of the failure function:

$$f(j) = \begin{cases} -1 & \text{if } j=0 \\ f^m(j-1)+1 & \text{where } m \text{ is the least } k \text{ for which} \\ & p_{f^k(j-1)+1} = p_j \\ -1 & \text{if there is no } k \text{ satisfying the} \\ & \text{above} \end{cases}$$

Now we get the algorithm to compute  $f$ .

```
1 void String::Failurefunction( )
2 { // compute the failure function of the pattern *this.
3   int LengthP= Length( );
4   f [0]= -1;
5   for (int j=1; j< LengthP; j++) // compute f[j]
6   {
7     int i=f [j-1];
8     while ((* (str+j)!=*(str+i+1)) && (i>=0)) i=f[i]; // try for m
9     if ( *(str+j)==*(str+i+1))
10      f[j]=i+1;
11    else f[j]= -1;
12  }
13 }
```



## Analysis of fail:

- In each iteration of the while  $i$  decreases ( line 8, and  $f(j) < j$  )
- $i$  is reset (line 7) to  $-1$  ( when the previous iteration went through line 11 ), or to a value 1 greater than its value on the previous iteration ( when through line 10 ).
- There are only  $\text{LengthP} - 1$  executions of line 7, the value of  $i$  has a total increment of at most  $\text{LengthP} - 1$ .
- $i$  cannot be decremented more than  $\text{LengthP} - 1$  times, the while is iterated at most  $\text{LengthP} - 1$  times over the whole algorithm.

**Consequently, the computing time is  $O(\text{LengthP})$ .**

**Now we can see, when the failure function is not known in advance, pattern matching can be carried out in  $O(\text{LengthP} + \text{LengthS})$  by first computing the failure function and then using the FastFind.**

**Exercises: P118-1, P119-7, 9**

**Experiment 1: P123-8**