Towards Classical Hardness of Module-LWE: The Linear Rank Case

Katharina Boudgoust Corentin Jeudy Adeline Roux-Langlois Weiqiang Wen

Univ Rennes, CNRS, IRISA

Dromadaire, 9 November 2020, Online

Context of our contribution

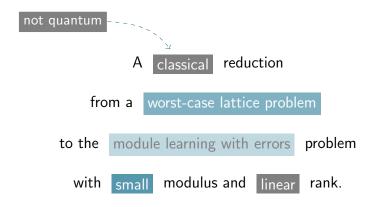
The theoretical understanding of the hardness assumptions that underlie structured lattice-based cryptography.

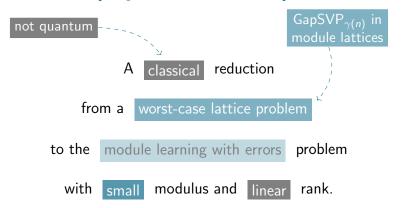
A classical reduction

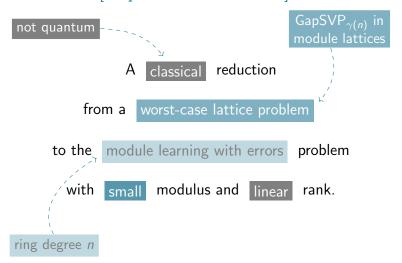
from a worst-case lattice problem

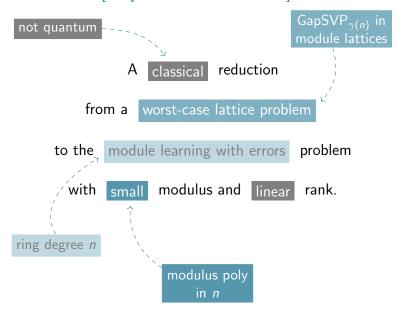
to the module learning with errors problem

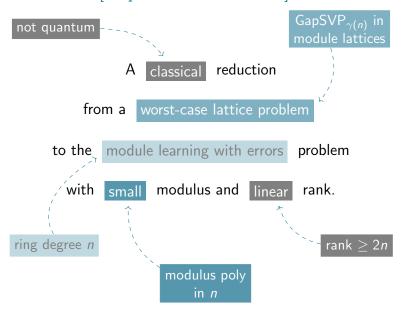
with small modulus and linear rank.











Outline

- Module Lattice Problems
- 2 Motivation
- Technical Details
- Open Questions

Outline

- Module Lattice Problems
- 2 Motivation
- Technical Details
- Open Questions

A lattice Λ is a discrete additive subgroup of \mathbb{R}^n . The **minimum** of Λ is $\lambda_1(\Lambda) = \min_{\mathbf{v} \in \Lambda \setminus \{\mathbf{0}\}} \|\mathbf{v}\|$.

Problem (Approximate Gap Shortest Vector Problem GapSVP $_{\gamma}$)

Let $\gamma \geq 1$. Given a lattice Λ and a parameter $\delta > 0$. Distinguish whether

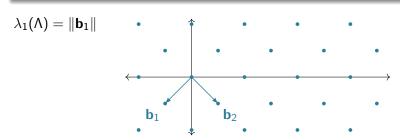
$$\lambda_1(\Lambda) \leq \delta$$
 or $\lambda_1(\Lambda) > \gamma \cdot \delta$.

A lattice Λ is a discrete additive subgroup of \mathbb{R}^n . The minimum of Λ is $\lambda_1(\Lambda) = \min_{\mathbf{v} \in \Lambda \setminus \{\mathbf{0}\}} \|\mathbf{v}\|$.

Problem (Approximate Gap Shortest Vector Problem GapSVP $_{\gamma}$)

Let $\gamma \geq 1$. Given a lattice Λ and a parameter $\delta > 0$. Distinguish whether

$$\lambda_1(\Lambda) \leq \delta$$
 or $\lambda_1(\Lambda) > \gamma \cdot \delta$.



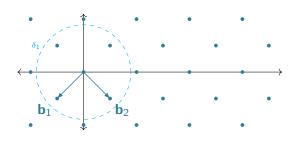
A lattice Λ is a discrete additive subgroup of \mathbb{R}^n . The **minimum** of Λ is $\lambda_1(\Lambda) = \min_{\mathbf{v} \in \Lambda \setminus \{\mathbf{0}\}} \|\mathbf{v}\|$.

Problem (Approximate Gap Shortest Vector Problem GapSVP $_{\gamma}$)

Let $\gamma \geq 1$. Given a lattice Λ and a parameter $\delta > 0$. Distinguish whether

$$\lambda_1(\Lambda) \leq \delta$$
 or $\lambda_1(\Lambda) > \gamma \cdot \delta$.

$$\lambda_1(\Lambda) = \|\mathbf{b}_1\|$$
 $\lambda_1(\Lambda) \le \delta_1$



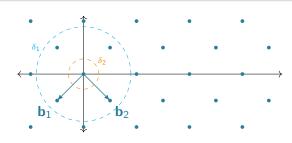
A lattice Λ is a discrete additive subgroup of \mathbb{R}^n . The **minimum** of Λ is $\lambda_1(\Lambda) = \min_{\mathbf{v} \in \Lambda \setminus \{\mathbf{0}\}} \|\mathbf{v}\|$.

Problem (Approximate Gap Shortest Vector Problem GapSVP $_{\gamma}$)

Let $\gamma \geq 1$. Given a lattice Λ and a parameter $\delta > 0$. Distinguish whether

$$\lambda_1(\Lambda) \leq \delta$$
 or $\lambda_1(\Lambda) > \gamma \cdot \delta$.

$$\lambda_1(\Lambda) = \|\mathbf{b}_1\|$$
 $\lambda_1(\Lambda) \le \delta_1$
 $\lambda_1(\Lambda) > 2\delta_2$



Let K be a number field of degree n with R its ring of integers.

Think of K as $\mathbb{Q}[x]/(x^n+1)$ and of R as $\mathbb{Z}[x]/(x^n+1)$.

Let K be a number field of degree n with R its ring of integers.

Think of K as $\mathbb{Q}[x]/(x^n+1)$ and of R as $\mathbb{Z}[x]/(x^n+1)$.

The canonical embedding defines a field homomorphism $\sigma \colon K \to \mathbb{R}^n$. It is equipped with some special symmetries.

Let K be a number field of degree n with R its ring of integers.

Think of K as $\mathbb{Q}[x]/(x^n+1)$ and of R as $\mathbb{Z}[x]/(x^n+1)$.

The canonical embedding defines a field homomorphism $\sigma \colon K \to \mathbb{R}^n$. It is equipped with some special symmetries.

An *R*-module *M* of rank *d* defines via σ a module lattice $\sigma(M) \in \mathbb{R}^{dn}$. An ideal *I* is a module of rank 1 and defines an ideal lattice $\sigma(I) \in \mathbb{R}^{1n}$.



However, **not** every lattice Λ in \mathbb{R}^{nd} is a module lattice.

Let K be a number field of degree n with R its ring of integers.

Think of K as $\mathbb{Q}[x]/(x^n+1)$ and of R as $\mathbb{Z}[x]/(x^n+1)$.

The canonical embedding defines a field homomorphism $\sigma \colon K \to \mathbb{R}^n$. It is equipped with some special symmetries.

An *R*-module *M* of rank *d* defines via σ a module lattice $\sigma(M) \in \mathbb{R}^{dn}$. An ideal *I* is a module of rank 1 and defines an ideal lattice $\sigma(I) \in \mathbb{R}^{1n}$. A However, not every lattice Λ in \mathbb{R}^{nd} is a module lattice.

Problem (Mod-GapSVP $_{\gamma}$)

Let $\gamma \geq 1$. Given a module lattice $\Lambda = \sigma(M)$ and a parameter $\delta > 0$. Distinguish whether

$$\lambda_1(\Lambda) \leq \delta$$
 or $\lambda_1(\Lambda) > \gamma \cdot \delta$.

Concrete Example (4)

Let K be the 4-th cyclotomic number field, having degree 2, $K = \mathbb{Q}[x]/(x^2+1)$, where $x^2+1=(x-i)(x+i)$.



Very low degree, **not** suited for real crypto schemes.

Concrete Example **Q**

Let K be the 4-th cyclotomic number field, having degree 2, $K = \mathbb{O}[x]/(x^2+1)$, where $x^2+1=(x-i)(x+i)$.

Let f = 3x + 4 and g = -6x + 1 be elements in K.

+ Addition:
$$f + g = -3x + 5 \in K$$

***** Multiplication: $f \cdot g = (3x + 4)(-6x + 1)$
 $= -18x^2 + 3x - 24x + 4 \quad \text{(use } x^2 + 1 = 0\text{)}$
 $= (3 - 24)x + (4 + 18)$
 $= -21x + 22 \in K$

Concrete Example **Q**

Let K be the 4-th cyclotomic number field, having degree 2, $K = \mathbb{Q}[x]/(x^2+1)$, where $x^2+1=(x-i)(x+i)$.

▲ Very low degree, **not** suited for real crypto schemes.

Let f = 3x + 4 and g = -6x + 1 be elements in K.

+ Addition:
$$f + g = -3x + 5 \in K$$

***** Multiplication: $f \cdot g = (3x + 4)(-6x + 1)$
 $= -18x^2 + 3x - 24x + 4 \quad (\text{use } x^2 + 1 = 0)$
 $= (3 - 24)x + (4 + 18)$
 $= -21x + 22 \in K$

Then, for every $f \in K$, the canonical embedding σ is given by $\sigma(f) = (f(i), f(-i)) \in \mathbb{C}^2$.

For example $\sigma(3x + 4) = (3i + 4, -3i + 4)$.

Concrete Example **Q**

Let K be the 4-th cyclotomic number field, having degree 2, $K = \mathbb{Q}[x]/(x^2+1)$, where $x^2+1=(x-i)(x+i)$.

▲ Very low degree, **not** suited for real crypto schemes.

Let f = 3x + 4 and g = -6x + 1 be elements in K.

+ Addition:
$$f + g = -3x + 5 \in K$$

** Multiplication: $f \cdot g = (3x + 4)(-6x + 1)$
 $= -18x^2 + 3x - 24x + 4 \quad (\text{use } x^2 + 1 = 0)$
 $= (3 - 24)x + (4 + 18)$
 $= -21x + 22 \in K$

Then, for every $f \in K$, the canonical embedding σ is given by $\sigma(f) = (f(i), f(-i)) \in \mathbb{C}^2$.

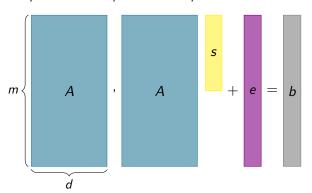
For example $\sigma(3x + 4) = (3i + 4, -3i + 4)$.

Thus, $\sigma\left([(3x+4),(-6x+1)]\cdot\mathbb{Z}[x]/(x^2+1)\right)$ defines a module lattice of rank 2.

The Learning With Errors (LWE) Problem ...

Set $\mathbb{Z}_q = \mathbb{Z}/q\mathbb{Z}$.

Given $A \sim U(\mathbb{Z}_q^{m \times d})$, $b \in \mathbb{Z}_q^m$, $s \sim U(\mathbb{Z}_q^d)$ and $e \sim D_{\mathbb{Z}^m,\alpha}$ s.t.



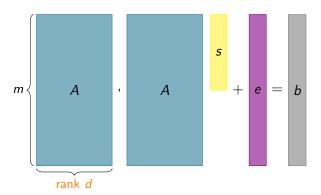
Search: Find secret s.

Decision: Distinguish from (A, b), where $b \sim U(\mathbb{Z}_q^m)$.

Replace \mathbb{Z} by R, the ring of integers of some number field K of degree n. Set $R_a = R/qR$.

Replace \mathbb{Z} by R, the ring of integers of some number field K of degree n. Set $R_q = R/qR$.

Given $A \sim U(R_a^{m \times d})$, $b \in R_a^m$, $s \sim U(R_a^d)$ and $e \sim D_{R^m,\alpha}$ s.t.

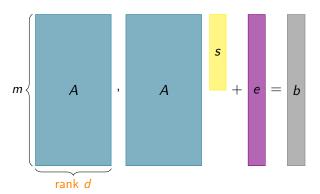


Search: Find secret s.

Decision: Distinguish from (A, b), where $b \sim U(R_a^m)$.

Replace \mathbb{Z} by R, the ring of integers of some number field K of degree n. Set $R_q = R/qR$. For d = 1, we call this Ring-LWE.

Given $A \sim U(R_q^{m \times d})$, $b \in R_q^m$, $s \sim U(R_q^d)$ and $e \sim D_{R^m,\alpha}$ s.t.

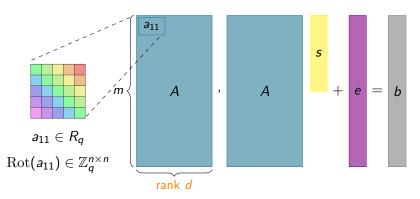


Search: Find secret s.

Decision: Distinguish from (A, b), where $b \sim U(R_a^m)$.

Replace \mathbb{Z} by R, the ring of integers of some number field K of degree n. Set $R_q = R/qR$. For d = 1, we call this Ring-LWE.

Given $A \sim U(R_q^{m \times d})$, $b \in R_q^m$, $s \sim U(R_q^d)$ and $e \sim D_{R^m,\alpha}$ s.t.



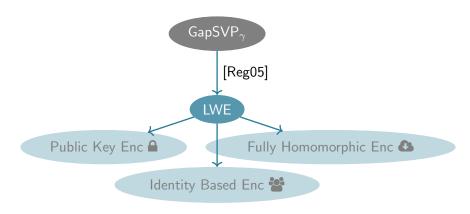
Search: Find secret s.

Decision: Distinguish from (A, b), where $b \sim U(R_a^m)$.

Overview

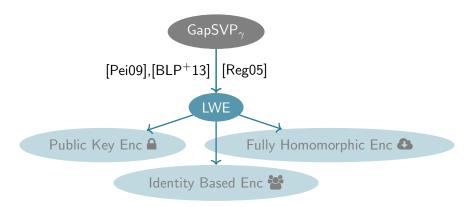
- Module Lattice Problems
- 2 Motivation
- Technical Details
- 4 Open Questions

Motivation: What we know for LWE



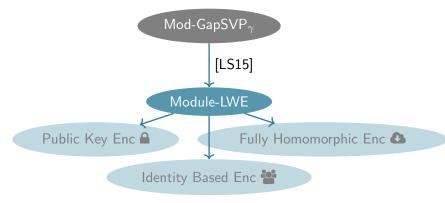
• [Reg05]: quantum reduction, LWE modulus q is poly-large

Motivation: What we know for LWE



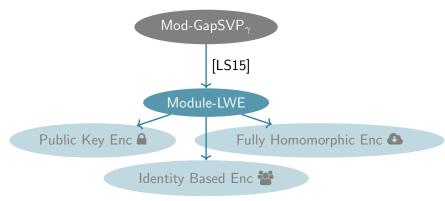
- [Reg05]: quantum reduction, LWE modulus q is poly-large
- [Pei09]: classical reduction, LWE modulus q is exp-large
- [BLP $^+$ 13]: classical reduction and LWE modulus q is poly-large

Motivation: And what we know for Module-LWE



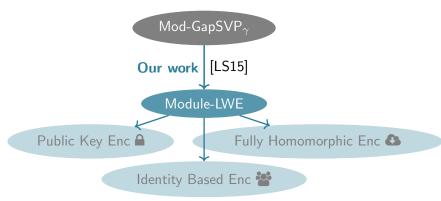
• [LS15]: quantum reduction, modulus q is poly-large, any rank

Motivation: And what we know for Module-LWE



- [LS15]: quantum reduction, modulus q is poly-large, any rank
- Folklore: adapting [Pei09] gives classical reduction, for any rank,
 but modulus q is exp-large, and only search variant
 - ⚠ No search-to-decision reduction for exp-large modulus

Motivation: And what we know for Module-LWE



- [LS15]: quantum reduction, modulus q is poly-large, any rank
- Folklore: adapting [Pei09] gives classical reduction, for any rank,
 but modulus q is exp-large, and only search variant
 - A No search-to-decision reduction for exp-large modulus
- Our work: classical and modulus is poly-large and decisional, but rank linear

Why do we care?

Multiple third-round candidates for the NIST standardization process are based on Module-LWE (and variants)

Public Key Encryption

Crystals-Kyber: Module-LWE

Saber: Module-LWR (deterministic variant)

Digital Signature 🖋

• Crystals-Dilithium: Module-LWE

Why do we care?

Multiple third-round candidates for the NIST standardization process are based on Module-LWE (and variants)

Public Key Encryption

Crystals-Kyber: Module-LWE

• Saber: Module-LWR (deterministic variant)

Digital Signature 🖋

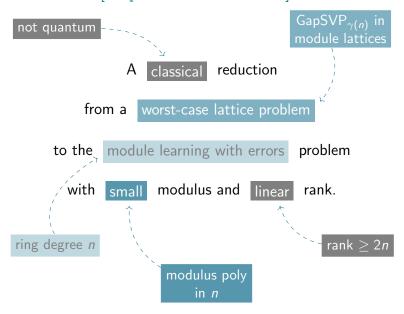
Crystals-Dilithium: Module-LWE

However, they only require very small ranks, between 2 and 5, much smaller than n.

Overview

- Module Lattice Problems
- 2 Motivation
- Technical Details
- Open Questions

Our main result [http://ia.cr/2020/1020]



- Step 1: Classical reduction from Mod-GapSVP $_{\gamma}$ to decisional Module-LWE with exp-large modulus
 - Adapting and merging module variants of [Pei09] (classical) and [PRS17] (decisional), using the Oracle Hidden Center Problem.

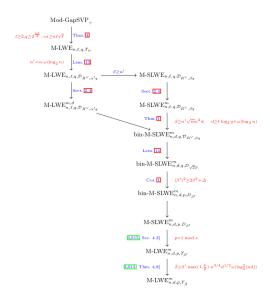
- Step 1: Classical reduction from Mod-GapSVP $_{\gamma}$ to decisional Module-LWE with exp-large modulus
 - Adapting and merging module variants of [Pei09] (classical) and [PRS17] (decisional), using the Oracle Hidden Center Problem.
- Step 2: Reduction from decisional Module-LWE and search Module-LWE to search Module-LWE with binary secret
 - Trivial decision-to-search reduction, intelligent noise flooding applied to LWE-analogue [GKPV10], much simpler than [BLP+13].

- Step 1: Classical reduction from Mod-GapSVP $_{\gamma}$ to decisional Module-LWE with exp-large modulus
 - Adapting and merging module variants of [Pei09] (classical) and [PRS17] (decisional), using the Oracle Hidden Center Problem.
- Step 2: Reduction from decisional Module-LWE and search Module-LWE to search Module-LWE with binary secret
 - Trivial decision-to-search reduction, intelligent noise flooding applied to LWE-analogue [GKPV10], much simpler than [BLP+13].
- Step 3: Modulus reduction from exp-large to poly-large modulus for Module-LWE with binary secret
 - Using [AD17], computing bounds on singular values of rotation matrix, loss in the reduction depends on the norm of the secret.

- Step 1: Classical reduction from Mod-GapSVP $_{\gamma}$ to decisional Module-LWE with exp-large modulus
 - Adapting and merging module variants of [Pei09] (classical) and [PRS17] (decisional), using the Oracle Hidden Center Problem.
- Step 2: Reduction from decisional Module-LWE and search Module-LWE to search Module-LWE with binary secret
 - ▼ Trivial decision-to-search reduction, intelligent noise flooding applied to LWE-analogue [GKPV10], much simpler than [BLP+13].
- Step 3: Modulus reduction from exp-large to poly-large modulus for Module-LWE with binary secret
 - Using [AD17], computing bounds on singular values of rotation matrix, loss in the reduction depends on the norm of the secret.

Today: We will only see Step 2.

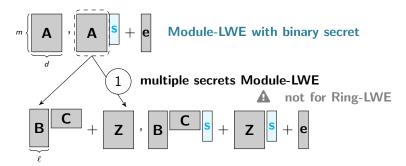
From the idea to the full proof ...



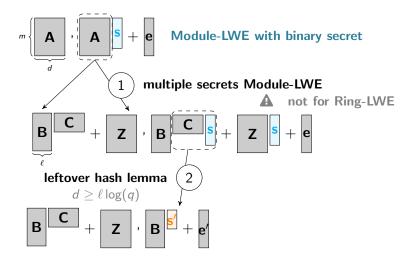
The secret $\mathbf{s} \in R_2^d$ is binary and the secret $\mathbf{s}' \in R_q^\ell$ is modulo q.



The secret $\mathbf{s} \in R_2^d$ is binary and the secret $\mathbf{s}' \in R_q^\ell$ is modulo q.

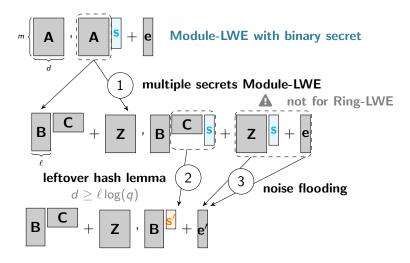


The secret $\mathbf{s} \in R_2^d$ is binary and the secret $\mathbf{s}' \in R_q^\ell$ is modulo q.



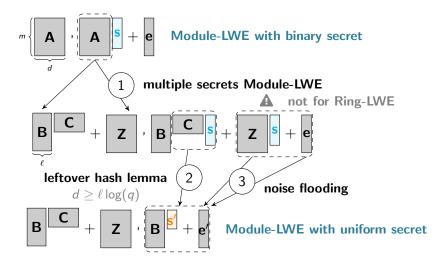
Tikz-Credits to Corentin

The secret $\mathbf{s} \in R_2^d$ is binary and the secret $\mathbf{s}' \in R_q^\ell$ is modulo q.



Tikz-Credits to Corentin

The secret $\mathbf{s} \in R_2^d$ is binary and the secret $\mathbf{s}' \in R_q^\ell$ is modulo q.



Tikz-Credits to Corentin

Improved noise flooding using Rényi Divergence 1/2

Let P, Q be discrete probability distributions.

In [GKPV10]: Statistical Distance

$$SD(P,Q) = \frac{1}{2} \sum_{x \in \text{Supp}(P)} |P(x) - Q(x)|$$

In our work: Rényi Divergence

$$RD(P,Q) = \sum_{x \in \text{Supp}(P)} \frac{P(x)^2}{Q(x)}$$

Improved noise flooding using Rényi Divergence 1/2

Let P, Q be discrete probability distributions.

In [GKPV10]: Statistical Distance

$$SD(P,Q) = \frac{1}{2} \sum_{x \in \text{Supp}(P)} |P(x) - Q(x)|$$

In our work: Rényi Divergence

$$RD(P,Q) = \sum_{x \in \text{Supp}(P)} \frac{P(x)^2}{Q(x)}$$



Example: two Gaussians D_{β} and $D_{\beta,s}$,

$$RD(D_{eta}, D_{eta,s}) = \exp\left(\frac{2\pi \|s\|^2}{\beta^2}\right)$$

$$SD(\mathbf{D}_{\beta}, \mathbf{D}_{\beta,s}) = \frac{\sqrt{2\pi}\|s\|}{\beta}$$

Improved noise flooding using Rényi Divergence 2/2

Both fulfill the **probability preservation property** for an event E:

```
[GKPV10]: P(E) \leq SD(P,Q) + Q(E) (additive)

Our work: P(E)^2 \leq RD(P,Q) \cdot Q(E) (multiplicative)
```

We need: Q(E) negligible $\Rightarrow P(E)$ negligible

Thus: SD(P, Q) = negligible and RD(P, Q) = constant

Improved noise flooding using Rényi Divergence 2/2

Both fulfill the **probability preservation property** for an event E:

[GKPV10]:
$$P(E) \leq SD(P,Q) + Q(E)$$
 (additive)
Our work: $P(E)^2 \leq RD(P,Q) \cdot Q(E)$ (multiplicative)

We need: Q(E) negligible $\Rightarrow P(E)$ negligible

Thus:
$$SD(P,Q) =$$
 negligible and $RD(P,Q) =$ constant

Back to example: two Gaussians D_{β} and $D_{\beta,s}$ with $||s|| \leq \alpha$

$$\begin{array}{ll} SD(D_{\beta},D_{\beta,s}) & = \frac{\sqrt{2\pi}\|s\|}{\beta} & \Rightarrow \alpha/\beta \leq \text{negligible} \\ RD(D_{\beta},D_{\beta,s}) & = \exp\left(\frac{2\pi\|s\|^2}{\beta^2}\right) \approx 1 + \frac{2\pi\|s\|^2}{\beta^2} & \Rightarrow \alpha/\beta \leq \text{constant} \\ & & (\text{Taylor expansion at 0}) \end{array}$$

Improved noise flooding using Rényi Divergence 2/2

Both fulfill the **probability preservation property** for an event E:

[GKPV10]:
$$P(E) \leq SD(P,Q) + Q(E)$$
 (additive)
Our work: $P(E)^2 \leq RD(P,Q) \cdot Q(E)$ (multiplicative)

We need: Q(E) negligible $\Rightarrow P(E)$ negligible

Thus:
$$SD(P,Q) =$$
 negligible and $RD(P,Q) =$ constant

Back to example: two Gaussians D_{β} and $D_{\beta,s}$ with $||s|| \leq \alpha$

$$\begin{array}{ll} \mathit{SD}(\mathsf{D}_{\beta}, \mathsf{D}_{\beta,s}) &= \frac{\sqrt{2\pi}\|\mathbf{s}\|}{\beta} & \Rightarrow \alpha/\beta \leq \mathsf{negligible} \\ \mathit{RD}(\mathsf{D}_{\beta}, \mathsf{D}_{\beta,s}) &= \exp\left(\frac{2\pi\|\mathbf{s}\|^2}{\beta^2}\right) \approx 1 + \frac{2\pi\|\mathbf{s}\|^2}{\beta^2} & \Rightarrow \alpha/\beta \leq \mathsf{constant} \\ & (\mathsf{Taylor\ expansion\ at\ 0}) \end{array}$$



Rényi Divergence only for search problems.

- Step 1: Classical reduction from Mod-GapSVP $_{\gamma}$ to decisional Module-LWE with exp-large modulus
 - Adapting and merging module variants of [Pei09] (classical) and [PRS17] (decisional), using the Oracle Hidden Center Problem.
- Step 2: Reduction from decisional Module-LWE and search Module-LWE to search Module-LWE with binary secret
 - Trivial decision-to-search reduction, intelligent noise flooding applied to LWE-analogue [GKPV10], much simpler than [BLP+13].
- Step 3: Modulus reduction from exp-large to poly-large modulus for Module-LWE with binary secret
 - Using [AD17], computing bounds on singular values of rotation matrix, loss in the reduction depends on the norm of the secret.

Today: We only saw Step 2.

Overview

- Module Lattice Problems
- 2 Motivation
- Technical Details
- Open Questions

Further work and open questions

Related work

Other small secret distributions (HNF, Entropic LWE)

Work in progress 🕰

 Refined proof for hardness of binary Module-LWE Independent of number of samples

Open questions ?

- Smaller rank, in particular rank equals 1 (Ring-LWE)
- Other number fields than power-of-two cyclotomics (bounds on singular values on the rotation matrix)

Further work and open questions

Related work

Other small secret distributions (HNF, Entropic LWE)

Work in progress 🕰

 Refined proof for hardness of binary Module-LWE Independent of number of samples

Open questions ?

- Smaller rank, in particular rank equals 1 (Ring-LWE)
- Other number fields than power-of-two cyclotomics (bounds on singular values on the rotation matrix)

Thank you.

- M. R. Albrecht and A. Deo.
 - Large modulus ring-lwe \geq module-lwe.
 - In Advances in Cryptology ASIACRYPT 2017, Hong Kong, China, December 3-7, 2017, Proceedings, Part I, pages 267–296, 2017.
- Z. Brakerski, A. Langlois, C. Peikert, O. Regev, and D. Stehlé. Classical hardness of learning with errors.
 - In Symposium on Theory of Computing Conference, STOC'13, Palo Alto, CA, USA, June 1-4, 2013, pages 575–584, 2013.
 - S. Goldwasser, Y. T. Kalai, C. Peikert, and V. Vaikuntanathan. Robustness of the learning with errors assumption.
 - In Innovations in Computer Science ICS 2010, Tsinghua University, Beijing, China, January 5-7, 2010. Proceedings, pages 230–240. Tsinghua University Press, 2010.
- A. Langlois and D. Stehlé.
 - Worst-case to average-case reductions for module lattices. *Des. Codes Cryptogr.*, 75(3):565–599, 2015.
- C. Peikert.

Public-key cryptosystems from the worst-case shortest vector problem: extended abstract.

In Proceedings of the 41st Annual ACM Symposium on Theory of Computing, STOC 2009, Bethesda, MD, USA, May 31 - June 2, 2009, pages 333–342, 2009.



C. Peikert, O. Regev, and N. Stephens-Davidowitz.

Pseudorandomness of ring-lwe for any ring and modulus.

In Proceedings of the 49th Annual ACM SIGACT Symposium on Theory of Computing, STOC 2017, Montreal, QC, Canada, June 19-23, 2017, pages 461–473, 2017.



O. Regev.

On lattices, learning with errors, random linear codes, and cryptography.

In Proceedings of the 37th Annual ACM Symposium on Theory of Computing, Baltimore, MD, USA, May 22-24, 2005, pages 84–93, 2005.

Backup

Concrete Example Continued •

Let K be the 4-th cyclotomic number field, having degree 2, $K = \mathbb{Q}[x]/(x^2+1)$, where $x^2+1=(x-i)(x+i)$.

Let f = 3x + 4 and g = -6x + 1 be elements in K.

The canonical embedding σ is given by

$$\sigma(f) = (3i + 4, -3i + 4) \in \mathbb{C}^2 \text{ and } \sigma(g) = (-6i + 1, 6i + 1) \in \mathbb{C}^2.$$

Multiplication is component-wise (fast), thanks to the symmetries the image $\sigma(f)$ can be represented by a 2-dim real vector $\sigma_{\mathbb{R}}(f) \in \mathbb{R}^2$.

The **coefficient** embedding τ given by

$$\tau(f) = (4,3) \text{ and } \tau(g) = (1,-6).$$

Multiplication via convolution product (slow)

Relation between σ and τ via the **Vandermonde matrix**:

$$\sigma(f) = \begin{pmatrix} 1 & i \\ 1 & -i \end{pmatrix} \tau(f).$$

Used to speed up computations in Module-LWE.