

Theoretical Hardness of Algebraically Structured Learning With Errors



PhD Defense

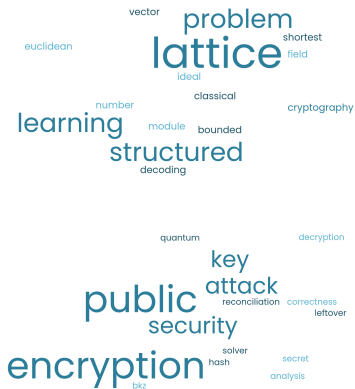
Katharina Boudgoust

Univ Rennes, CNRS, IRISA

16th November 2021

Provably secure **public-key** cryptography needs **well-defined** assumptions in the form of **mathematical problems**.

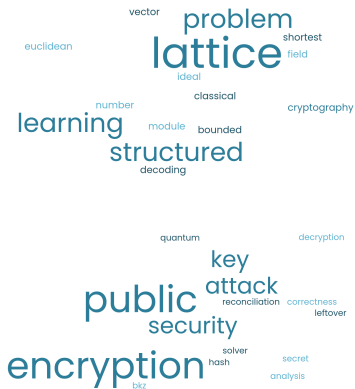




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Current problems:

- Discrete Logarithm
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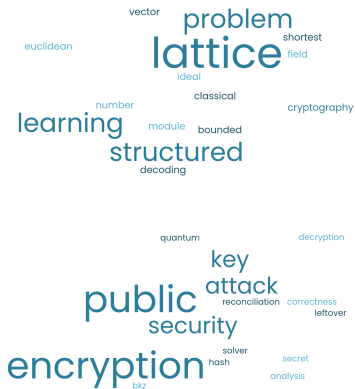
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⚠ \exists poly-time **quantum** algorithm [Sho97].

Sources for assumedly quantum-resistant problems:

- Euclidean Lattices
- Codes
- Isogenies
- Multivariate Systems
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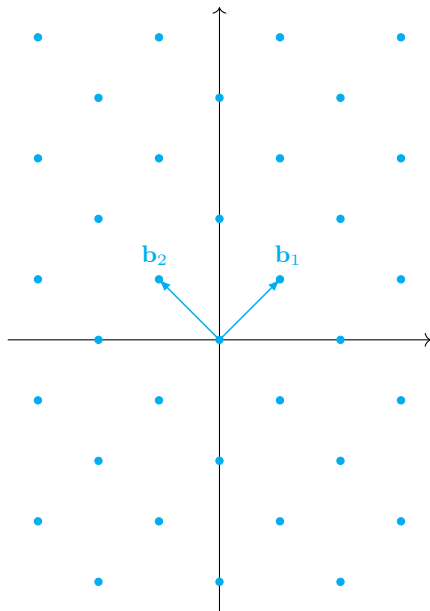
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Hard Lattice Problems

An **Euclidean lattice** Λ of rank n with a basis $\mathbf{B} = (\mathbf{b}_j)_{1 \leq j \leq n}$ is given by

$$\Lambda(\mathbf{B}) = \left\{ \sum_{j=1}^n z_j \mathbf{b}_j : z_j \in \mathbb{Z} \right\}.$$



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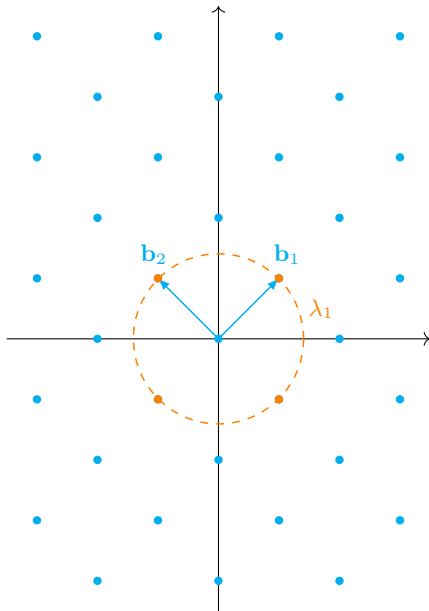
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$$\lambda_1(\Lambda) := \min_{\mathbf{v} \in \Lambda \setminus \{\mathbf{0}\}} \|\mathbf{v}\|.$$

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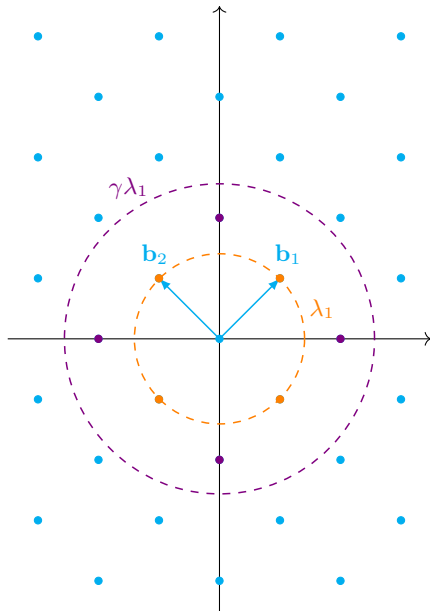
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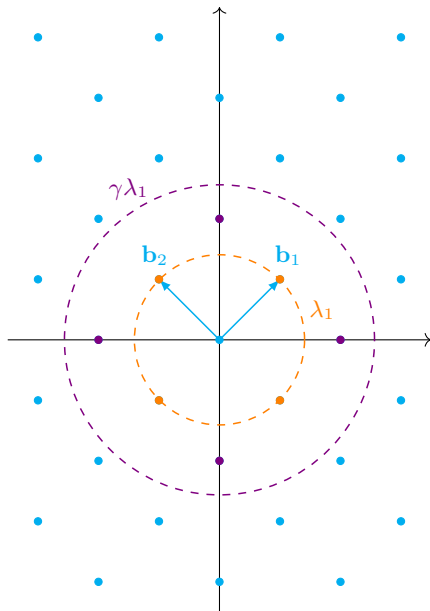
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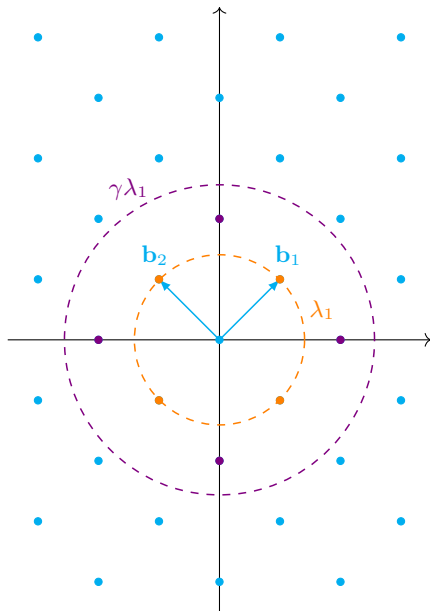
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⚠ Hard to build cryptography on top of SVP_γ .

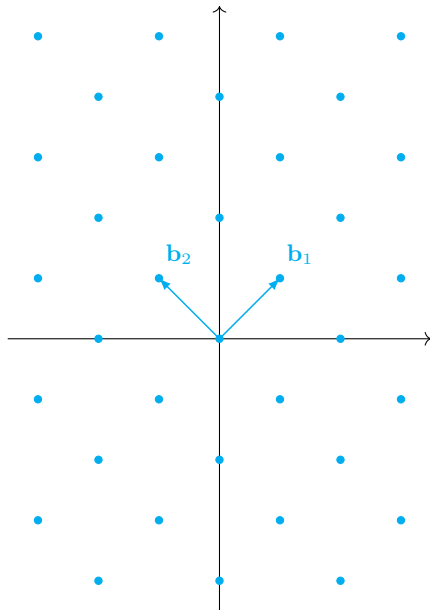


Lattice-Based Cryptography

💡 **Idea:** use intermediate problems!

(Main) Mathematical Problems:

- Short Integer Solution [[Ajt96](#)]
- NTRU [[HPS98](#)]
- Learning With Errors [[Reg05](#)]



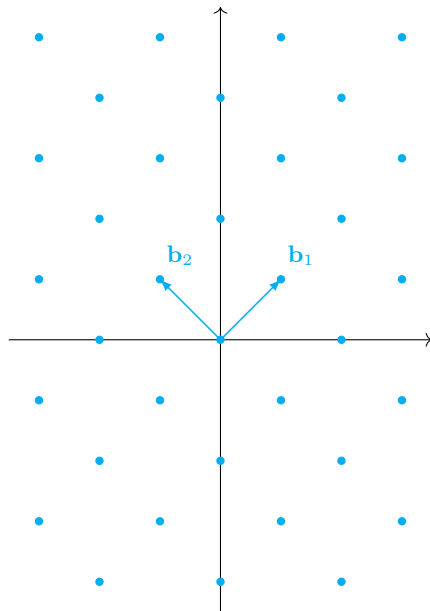
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↪ 🔍 my research

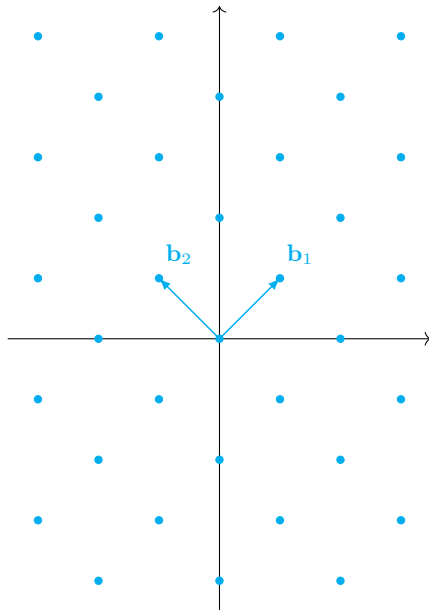


Lattice-Based Cryptography

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(Main) Mathematical Problems:

- Short Integer Solution [Ajt96]
- NTRU [HPS98]
- Learning With Errors [Reg05]
 - ▶ Strong security guarantees
At least as hard as variants of SVP_γ for any Euclidean lattice
 - ▶ Efficiency
Linear algebra & parallelizable
 - ▶ Many cryptographic applications
Fully Homomorphic Encryption,
E-Voting, Zero-Knowledge Proofs, ...



Started in 2016: NIST project to define new standards for post-quantum cryptography.

A majority (5 out of 7) of the finalist candidates are based on **lattice problems**.

Several among them (3 out of 5) are based on (variants of) **Learning With Errors**.

Public Key Encryption

- Kyber: (module variant of) Learning With Errors
- Saber: (deterministic module variant of) Learning With Errors

Digital Signature

- Dilithium: (module variant of) Learning With Errors

NIST Competition

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Observation

*Lattice-based cryptography, and in particular Learning With Errors, plays a **key role** in designing post-quantum cryptography.*

Outline

- 1 Introduction
- 2 Learning With Errors
- 3 Module Learning With Errors
 - Binary Hardness
 - Classical Hardness
- 4 Partial Vandermonde Learning With Errors
 - Hard Problems
 - PASS Encrypt
- 5 Conclusion and Perspectives

Learning With Errors

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⚠ Storage

$m(d+1) \log_2 q$ bits

$\sim \tilde{O}(\lambda^2)$

⚠ Computation

$O(md)$

$\sim O(\lambda^2)$

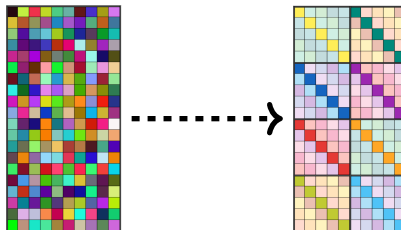
λ security parameter

Reduce needed storage of the public key
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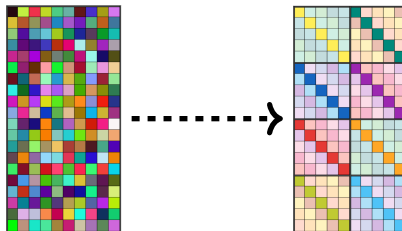
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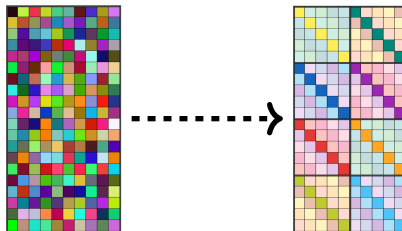


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↗️ 🔍 my research



I. Study of existing structured variants

1. Module Learning With Errors with a binary secret
2. Classical hardness of Module Learning With Errors

$$A \cdot A^T + s \cdot e = b$$

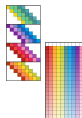
II. Proposing new structured variants

3. Middle-Product Learning With Rounding
4. Partial Vandermonde Learning With Errors

III. Building public key encryption

5. Based on MP-LWR (3.)
6. PASS Encrypt, related to (4.)

provable
secure



Asiacrypt'19
& under submission



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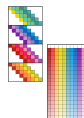
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Hardness of Module Learning With Errors

Joint work with C. Jeudy, A. Roux-Langlois and W. Wen

Ring of Integers over a Number Field

💡 **Idea:** replace \mathbb{Z} by the ring of integers R of some number field K of degree n .

Think of $R = \mathbb{Z}[x]/\langle x^n + 1 \rangle$ and $K = \mathbb{Q}[x]/\langle x^n + 1 \rangle$ with $n = 2^\ell$.

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Before: multiplication of two integers $a \cdot s \in \mathbb{Z}$

Now: multiplication of two polynomials $a \cdot s \in R$ modulo $x^n + 1$

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Example: $n = 4$ thus $R = \mathbb{Z}[x]/\langle x^4 + 1 \rangle$

$$m = 4 \left\{ \underbrace{\begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \\ a_{31} & a_{32} \\ a_{41} & a_{42} \end{bmatrix}}_{d=2} \cdot \begin{bmatrix} s_1 \\ s_2 \end{bmatrix} \in R \right.$$

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$\underbrace{\hspace{10em}}_{d = 2}$

$$nm = 16 \left\{ \begin{bmatrix} \text{grid} \end{bmatrix} \cdot \begin{bmatrix} \text{vector} \end{bmatrix} \in \mathbb{Z} \right.$$

$\underbrace{\hspace{10em}}_{nd = 8}$

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Module Learning With Errors (Module-LWE, M-LWE) [BGV12, LS15]

Let R be the ring of integers of some number field K of degree n , set $R_q = R/qR$.

Given $\mathbf{A} \sim \text{Unif}(R_q^{m \times d})$, $\mathbf{b} \in R_q^m$, $\mathbf{s} \sim \text{DistrS}$ over R^d , $\mathbf{e} \sim \text{DistrE}$ over R^m such that

$$\begin{matrix} a_{11} \in R_q \\ \text{Rot}(a_{11}) \in \mathbb{Z}_q^{n \times n} \end{matrix} \quad \left\{ \begin{matrix} m \\ \text{rank } d \end{matrix} \right\} \mathbf{A}, \mathbf{A} \mathbf{s} + \mathbf{e} = \mathbf{b} \pmod{q}.$$

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Diagram illustrating the Module Learning With Errors (M-LWE) problem. A matrix A of size $m \times d$ (rank d) is shown. A vector s of size d and a vector e of size m are added to the product $A \cdot s$ to produce b of size m . The equation is $A \cdot s + e = b \pmod{q}$. A small grid of colored squares is shown on the left, with one square highlighted in green and labeled a_{11} . Dashed lines connect this square to the top-left element of the matrix A . Below the grid, it says $a_{11} \in R_q$ and $\text{Rot}(a_{11}) \in \mathbb{Z}_q^{n \times n}$.

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Search: find secret s

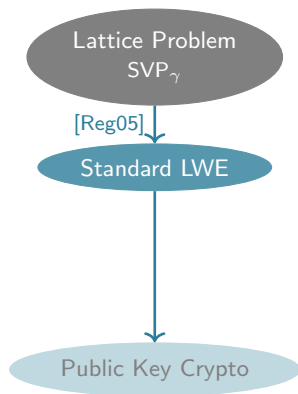
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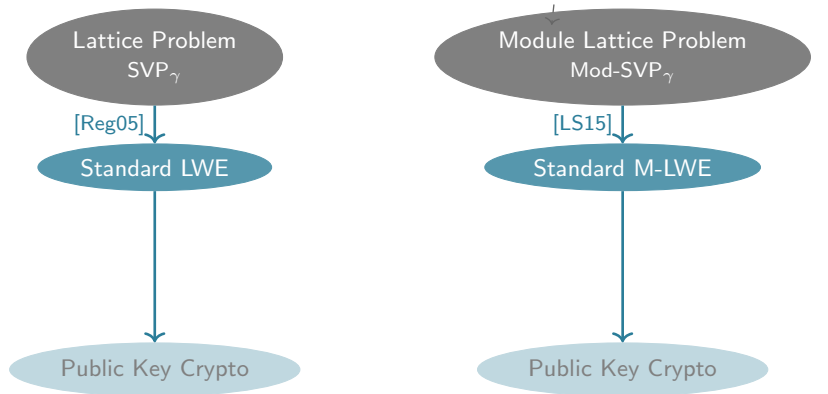
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For $d = 1$, we call this Ring-LWE [SSTX09, LPR10].

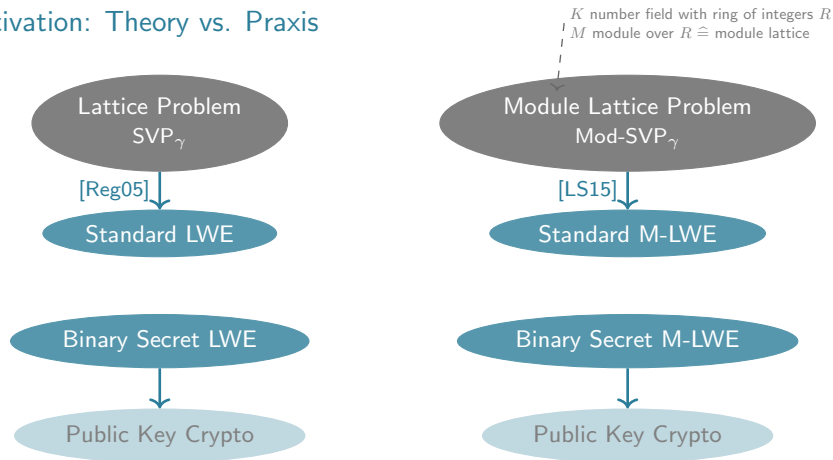
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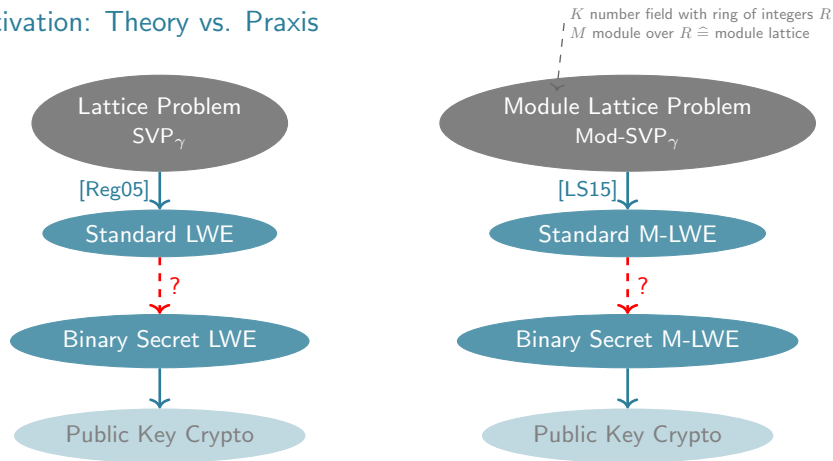


Motivation: Theory vs. Praxis



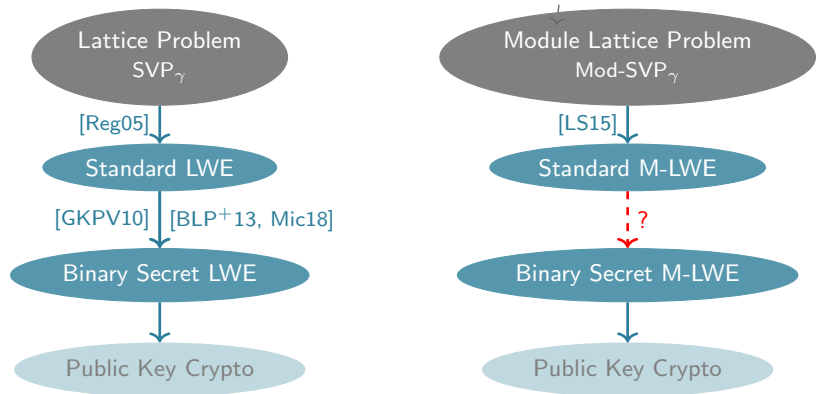
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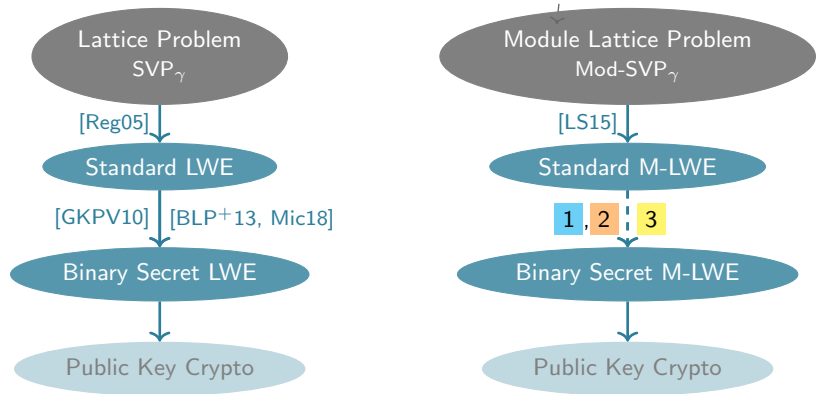
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- Efficiency
- Functionality (e.g., Fully Homomorphic Encryption)
- Proof Technique (e.g., Modulus-Rank Switching)

Motivation: Theory vs. Praxis



Contributions:

- 1 Extending and Improving [GKPV10] to M-LWE
- 2 Extending [BLP+13] to M-LWE
- 3 Generalizing both proofs to bounded secrets

- [BJRW20] Asiacrypt'20
- [BJRW21] CT-RSA'21
- [Bou21] PhD Thesis

Hardness of Module-LWE with Binary Secrets (Cyclotomics)

Standard M-LWE \rightarrow Binary Secret M-LWE

modulus q

ring degree n

secret $s' \bmod q$

Gaussian width α

rank k

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secret $s \bmod 2$

Gaussian width β

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* Rényi Divergence

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LWE analogue	[GKPV10] using RD*	[BLP ⁺ 13]
minimal rank d	$k \log_2 q + \Omega(\log_2 n)$	$(k + 1) \log_2 q + \omega(\log_2 n)$
noise ratio β/α	$O(n^2 \sqrt{md})$	$O(n^2 \sqrt{d})$
conditions on q	prime	number-theoretic restrictions
decision/search	search	decision

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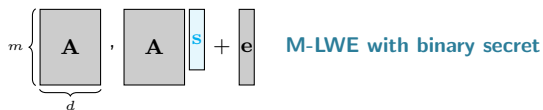

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Proof of Hardness of Module-LWE with Binary Secrets

The secret $\mathbf{s} \in R_2^d$ is binary and the secret $\mathbf{s}' \in R_q^k$ is modulo q .



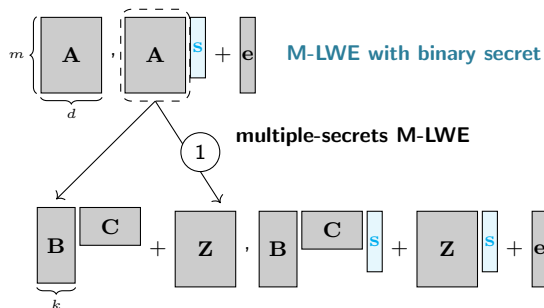
The diagram illustrates the Module-LWE problem with a binary secret. It shows a set of m samples, each consisting of a matrix \mathbf{A} of size $m \times d$ and a vector \mathbf{s} of size d . The matrix \mathbf{A} is represented by a gray square, and the vector \mathbf{s} is represented by a light blue vertical rectangle. The vector \mathbf{s} is added to the product of \mathbf{A} and \mathbf{s} to produce the vector \mathbf{e} , which is represented by a gray vertical rectangle. The text "M-LWE with binary secret" is written to the right of the diagram.

$$\underbrace{\left\{ \begin{array}{c} \mathbf{A} \\ \mathbf{A} \\ \vdots \\ \mathbf{A} \end{array} \right\}}_m, \quad \mathbf{A} \begin{array}{c} \mathbf{s} \\ \mathbf{s} \\ \vdots \\ \mathbf{s} \end{array} + \mathbf{e}$$

M-LWE with binary secret

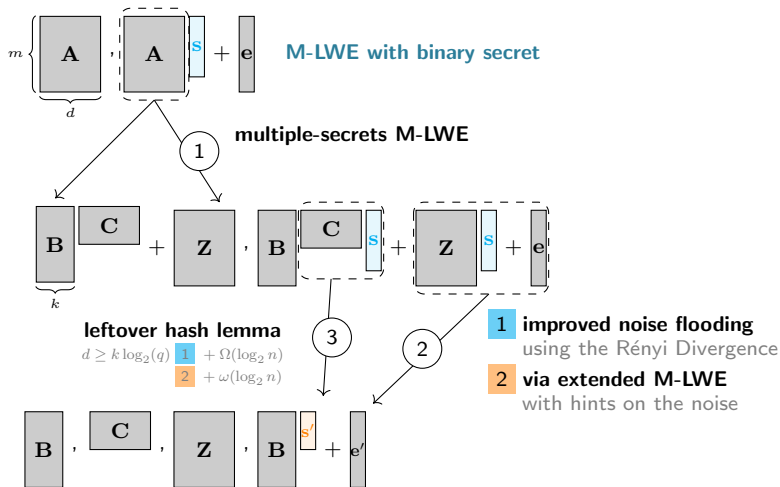
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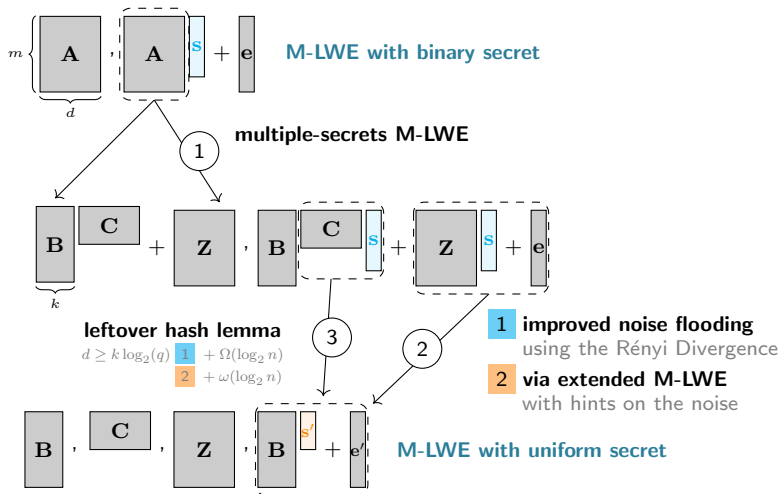
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Improved Noise Flooding via Rényi Divergence 1/2

Let P, Q be discrete probability distributions.

In [GKPV10]: Statistical Distance

$$\text{SD}(P, Q) = \frac{1}{2} \sum_{x \in \text{Supp}(P)} |P(x) - Q(x)|$$

In our work: Rényi Divergence

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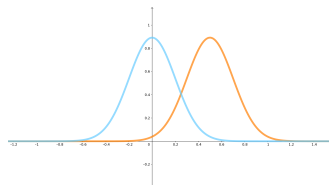
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Example: two Gaussians D_β and $D_{\beta,s}$

$$\text{RD}(D_\beta, D_{\beta,s}) = \exp\left(\frac{2\pi\|s\|^2}{\beta^2}\right)$$

$$\text{SD}(D_\beta, D_{\beta,s}) = \frac{\sqrt{2\pi}\|s\|}{\beta}$$

Improving 2 by using Rényi Divergence 2/2

Both fulfill the **probability preservation property** for an event E :

$$\text{[GKPV10]:} \quad P(E) \leq \text{SD}(P, Q) + Q(E) \quad (\text{additive})$$

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We need: $Q(E)$ negligible $\Rightarrow P(E)$ negligible

Thus: $\text{SD}(P, Q) \stackrel{!}{=} \text{negligible}$ and $\text{RD}(P, Q) \stackrel{!}{=} \text{constant}$

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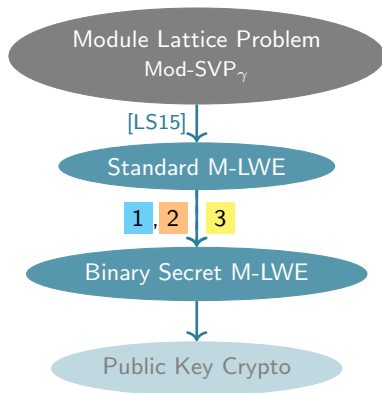
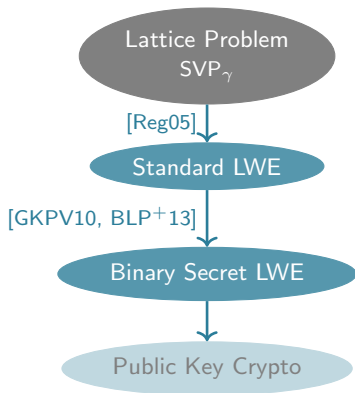
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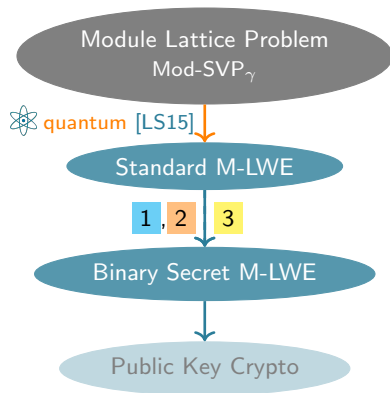
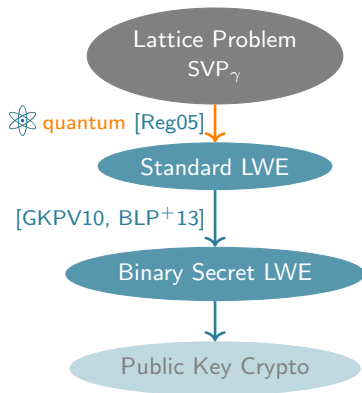
 Rényi Divergence only for search problems.

Motivation (Continued)



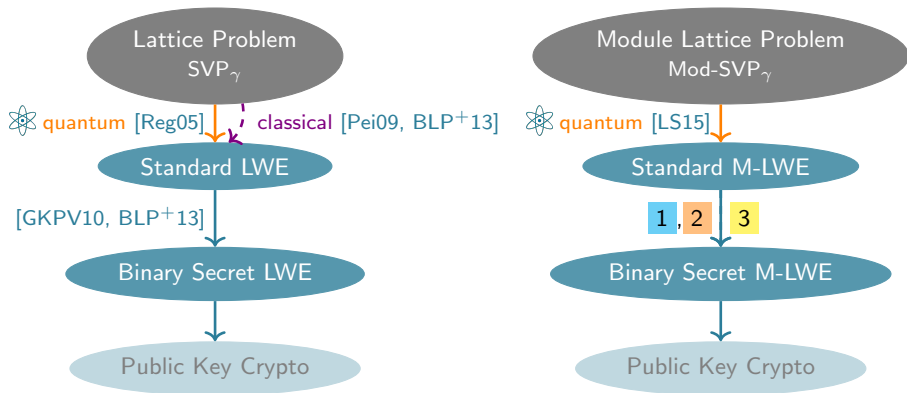
* *Pseudorandomness of ring-LWE for any ring and modulus* C. Peikert, O. Regev and N. Stephen-Davidowitz

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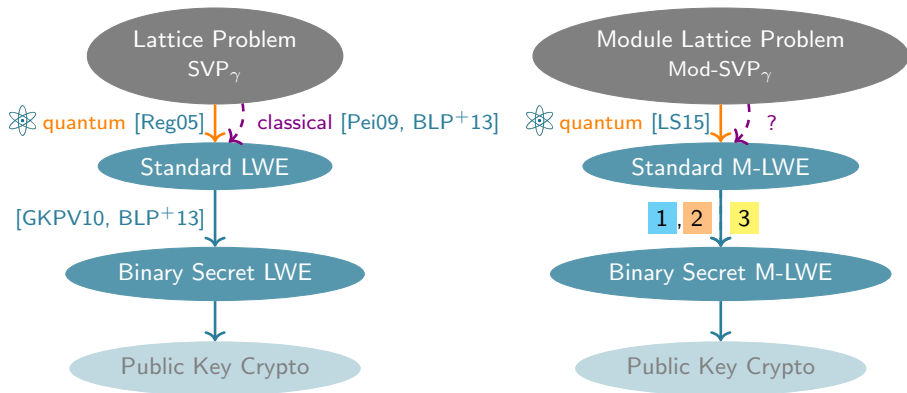
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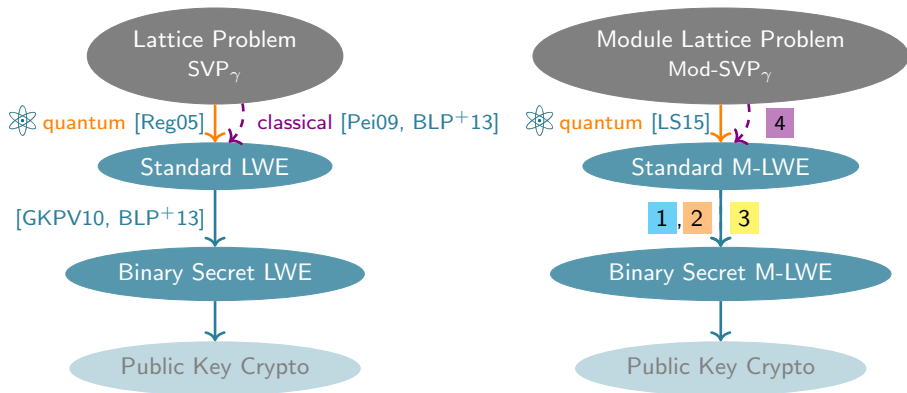
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Motivation (Continued)



Contributions:

- 4 Classical reduction, modulus q is poly-small, but linear rank [BJRW20] extending [Pei09] and [BLP⁺13] to M-LWE and combining them with [PRS17]^{*}

^{*} Pseudorandomness of ring-LWE for any ring and modulus C. Peikert, O. Regev and N. Stephen-Davidowitz

Classical Hardness of Module-LWE

High level idea following [BLP⁺13]:

- Step 1: **Classical** reduction from decision Mod-SVP_γ to **decision** Module-LWE with **exponentially large** modulus q
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- Step 3: Modulus reduction from **exponentially large** to **polynomially small** modulus for Module-LWE with **binary secret**
 - 💡 Using [AD17], computing bounds on singular values of rotation matrix, loss in the reduction depends on the norm of the secret.

Partial Vandermonde Learning With Errors

Joint work with A. Sakzad and R. Steinfeld
Under submission

Partial Vandermonde Transform [HPS⁺14, LZA18]

Again: Let R be the ring of integers of a number field K of degree n .
Think of $R = \mathbb{Z}[x]/\langle x^n + 1 \rangle$ and $K = \mathbb{Q}[x]/\langle x^n + 1 \rangle$ with $n = 2^\ell$.

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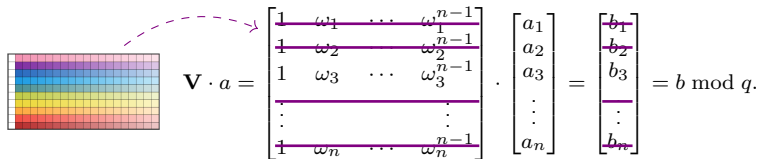
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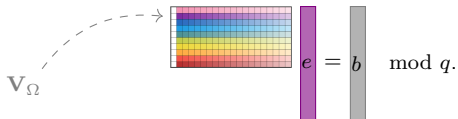
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Note: For $\Omega \subseteq \{\omega_j\}_{j=1,\dots,n}$ write $\mathbf{V}_\Omega \cdot a = b$. (**partial Vandermonde transform**)

Partial Vandermonde Problems

Choose a random subset $\Omega \subseteq \{\omega_j\}_{j=1,\dots,n}$ of size $|\Omega| = t$.

Partial Vandermonde knapsack problem (PV-Knap): Sample $\mathbf{e} \sim \text{DistrE}$ over \mathbb{Z}^n defining



The diagram illustrates the equation $\mathbf{V}_\Omega \mathbf{e} = \mathbf{b} \pmod{q}$. On the left, the label \mathbf{V}_Ω has a dashed arrow pointing to a 10x10 grid. The grid's columns are color-coded: the first 5 columns are shades of purple and blue, and the next 5 columns are shades of yellow and orange. To the right of the grid is a purple vertical bar labeled \mathbf{e} , followed by an equals sign, a gray vertical bar labeled \mathbf{b} , and the text \pmod{q} .

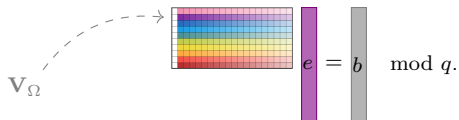
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Search: find \mathbf{e}

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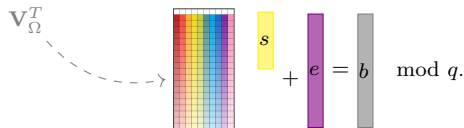
The diagram shows a dashed arrow from the label \mathbf{V}_Ω to a grid representing a Vandermonde matrix. The grid has 10 columns and 10 rows, with each cell containing a color. The colors transition from purple in the top-left to yellow in the bottom-right. To the right of the grid is a vertical purple bar labeled \mathbf{e} , followed by an equals sign, a vertical grey bar labeled \mathbf{b} , and the text $\text{mod } q$.

$$\mathbf{V}_\Omega \mathbf{e} = \mathbf{b} \pmod{q}.$$

Search: find \mathbf{e}

Partial Vandermonde Learning With Errors (PV-LWE): Sample $\mathbf{s} \sim \text{DistrS}$ over \mathbb{Z}^t and

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The diagram shows a dashed arrow from the label \mathbf{V}_Ω^T to a grid representing a Vandermonde matrix. The grid has 10 columns and 10 rows, with each cell containing a color. The colors transition from red in the top-left to blue in the bottom-right. To the right of the grid is a vertical yellow bar labeled \mathbf{s} , followed by a plus sign, a vertical purple bar labeled \mathbf{e} , an equals sign, a vertical grey bar labeled \mathbf{b} , and the text $\text{mod } q$.

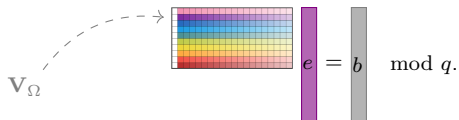
$$\mathbf{V}_\Omega^T \mathbf{s} + \mathbf{e} = \mathbf{b} \pmod{q}.$$

Search: find \mathbf{e} (and secret \mathbf{s})

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Partial Vandermonde knapsack problem (PV-Knap): Sample $\mathbf{e} \sim \text{DistrE}$ over \mathbb{Z}^n defining



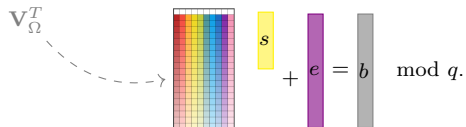
The diagram shows a dashed arrow from the label \mathbf{V}_Ω to a grid representing a Vandermonde matrix. The grid has 10 columns and 10 rows, with each cell containing a color from a rainbow spectrum. To the right of the grid is a vertical purple bar labeled \mathbf{e} , followed by an equals sign, a vertical gray bar labeled \mathbf{b} , and the text $\text{mod } q$.

$$\mathbf{V}_\Omega \mathbf{e} = \mathbf{b} \pmod{q}.$$

Search: find \mathbf{e}

Partial Vandermonde Learning With Errors (PV-LWE): Sample $\mathbf{s} \sim \text{DistrS}$ over \mathbb{Z}^t and

$\mathbf{e} \sim \text{DistrE}$ over \mathbb{Z}^n defining



The diagram shows a dashed arrow from the label \mathbf{V}_Ω^T to a grid representing a Vandermonde matrix. The grid has 10 columns and 10 rows, with each cell containing a color from a rainbow spectrum. To the right of the grid is a vertical yellow bar labeled \mathbf{s} , followed by a plus sign, a vertical purple bar labeled \mathbf{e} , an equals sign, a vertical gray bar labeled \mathbf{b} , and the text $\text{mod } q$.

$$\mathbf{V}_\Omega^T \mathbf{s} + \mathbf{e} = \mathbf{b} \pmod{q}.$$

Search: find \mathbf{e} (and secret \mathbf{s})

Conjecture: Hard to solve if DistrE provides elements of small norm.

Equivalence of PV-Knap and PV-LWE

Let $t = n/2$ and set $\mathcal{P}_t = \{\Omega \subseteq \{\omega_j\}_{j=1,\dots,n} : |\Omega| = t\}$.

Property 1: \mathbf{V}_Ω defines a ring homomorphism from R to \mathbb{Z}_q^t :

$$\mathbf{V}_\Omega(a \cdot b) = (\mathbf{V}_\Omega a) \circ (\mathbf{V}_\Omega b)$$

(component-wise multiplication \circ)

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Property 2: $\Omega^c = \{\omega_j\}_j \setminus \Omega$ defines the **complement** partial Vandermonde transform \mathbf{V}_{Ω^c} .

Given $\mathbf{V}_\Omega a$ and $\mathbf{V}_{\Omega^c} a$, we can recover a .

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Property 3: For every $\Omega \in \mathcal{P}_t$, there exists a $\Omega' \in \mathcal{P}_t$ such that

$$\mathbf{V}_{\Omega'} \cdot \mathbf{V}_\Omega^T = 0 \in \mathbb{Z}_q^{t \times t}.$$

(parity check matrix,  only for power-of-two cyclotomics)

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Lemma (Adapted [MM11, Sec. 4.2])

Let ψ denote a distribution over $\mathbb{Z}^n \cong R$. There is an efficient reduction from PV-LWE $_\psi$ to PV-Knap $_\psi$, and vice versa.

Idea: Given (\mathbf{V}_Ω, b) , with $b = \mathbf{V}_\Omega^T s + e$. Compute Ω' such that $\mathbf{V}_{\Omega'} \cdot \mathbf{V}_\Omega^T = 0$. Then, $b' := \mathbf{V}_{\Omega'} b = \mathbf{V}_{\Omega'} e$ is an instance of PV-Knap.

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$$\left. \begin{aligned} e_1 &= (\mathbf{V}_\Omega f \circ \mathbf{V}_\Omega r') + \mathbf{V}_\Omega s' = \mathbf{V}_\Omega (f \cdot r' + s') \\ c' &= (\mathbf{V}_{\Omega^c} \text{sk} \circ (\mathbf{V}_{\Omega^c} r')) + \mathbf{V}_{\Omega^c} s' = \mathbf{V}_{\Omega^c} (f \cdot r' + s') \end{aligned} \right\} \begin{array}{l} \text{ring} \\ \text{homomorphism} \end{array}$$

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$$\mathbf{V}^{-1}(e_1 || c') = \mathbf{V}^{-1}(\mathbf{V}(f \cdot r' + s')) = f \cdot pr + ps + m = m \bmod p$$

if f, r and s are small enough

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$e_1 = \mathbf{V}_\Omega (f \cdot r' + s')$ defines an instance of PV-Knap with pk , e_2 and e_3 as additional information.

\Rightarrow leaky variant of **PV-Knap**, that we call the **PASS problem**.

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PASS problem is tailored to PASS Encrypt!
Reduce it from some more general problem?

Properties of PASS Encrypt

Homomorphic properties:

Addition: $\text{Enc}(\text{pk}, m_1) + \text{Enc}(\text{pk}, m_2) = \text{Enc}(\text{pk}, m_1 + m_2)$

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Efficiency:

Scheme	NTRU [HPS98]	P-LWE Regev [LP11]	PASS Encrypt
$\frac{ c + \text{pk} }{ m }$	$2 \log_2 q$	$3 \log_2 q$	$2.5 \log_2 q$

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Concrete Security:

Known: key recovery and randomness recovery attacks [HS15, DHSS20]

New: plaintext recovery using hints attacks

💡 make use of leaky LWE estimator of Dachman-Soled et al. [DDGR20]

Conclusion and Perspectives



I. Study of existing structured variants

1. **Module Learning With Errors** with a binary secret
2. **Classical hardness of Module Learning With Errors**

$$A \cdot A \begin{matrix} s \\ + \\ e \end{matrix} = b$$

II. Proposing new structured variants

3. Middle-Product Learning With Rounding
4. **Partial Vandermonde Learning With Errors & Knapsack**

Asiacrypt'19
& under submission

III. Building public key encryption

5. Based on MP-LWR (3.)
6. **PASS Encrypt**, related to (4.)

provable
secure



Open Questions and Perspectives

I. Module LWE

Follow-ups

- General secret distributions (Entropic Secret Module-LWE)
- Small noise distributions (extending [MP13])

Open questions

- Classical and binary hardness for smaller ranks, in particular rank equals 1 (Ring-LWE)
 - ▶ Avoid leftover hash lemma in the reduction?
 - ▶ Avoid exponentially large modulus in [Pei09]?
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II. Partial Vandermonde LWE

Follow-ups

- Construct encryption scheme based only on PV-LWE / PV-Knap

Questions

- Hardness of partial Vandermonde problems
 - ▶ Cryptanalysis?
 - ▶ Worst-case average-case reductions as for LWE?
- More cryptographic applications

Contributions

Published:

- CT-RSA'21 On the Hardness of Module-LWE with Binary Secret** [[HAL](#)]
Katharina Boudgoust, Corentin Jeudy, Adeline Roux-Langlois & Weiqiang Wen.
- Asiacrypt'20 Towards Classical Hardness of Module-LWE: The Linear Rank Case** [[HAL](#)]
Katharina Boudgoust, Corentin Jeudy, Adeline Roux-Langlois & Weiqiang Wen.
- Asiacrypt'19 Middle-Product Learning with Rounding Problem and its Applications** [[HAL](#)]
Shi Bai, Katharina Boudgoust, Dipayan Das, Adeline Roux-Langlois, Weiqiang Wen & Zhenfei Zhang.

Under Submission:

- **Vandermonde meets Regev: Public Key Encryption Schemes Based on Partial Vandermonde Problems.** Katharina Boudgoust, Amin Sakzad and Ron Steinfeld.

E-Print:

- **Compressed Linear Aggregate Signatures Based on Module Lattices** [[IACR ePrint](#)]
Katharina Boudgoust and Adeline Roux-Langlois.

Thank you.



Martin R. Albrecht and Amit Deo.

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In *ITCS*, pages 309–325. ACM, 2012.



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Katharina Boudgoust.

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Abhishek Banerjee, Chris Peikert, and Alon Rosen.

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