

Deep learning for text processing

STAT 4710

November 28, 2023

Where we are

-  **Unit 1:** R for data mining
-  **Unit 2:** Prediction fundamentals
-  **Unit 3:** Regression-based methods
-  **Unit 4:** Tree-based methods
- Unit 5:** Deep learning

Lecture 1: Deep learning preliminaries

Lecture 2: Neural networks

Lecture 3: Deep learning for images

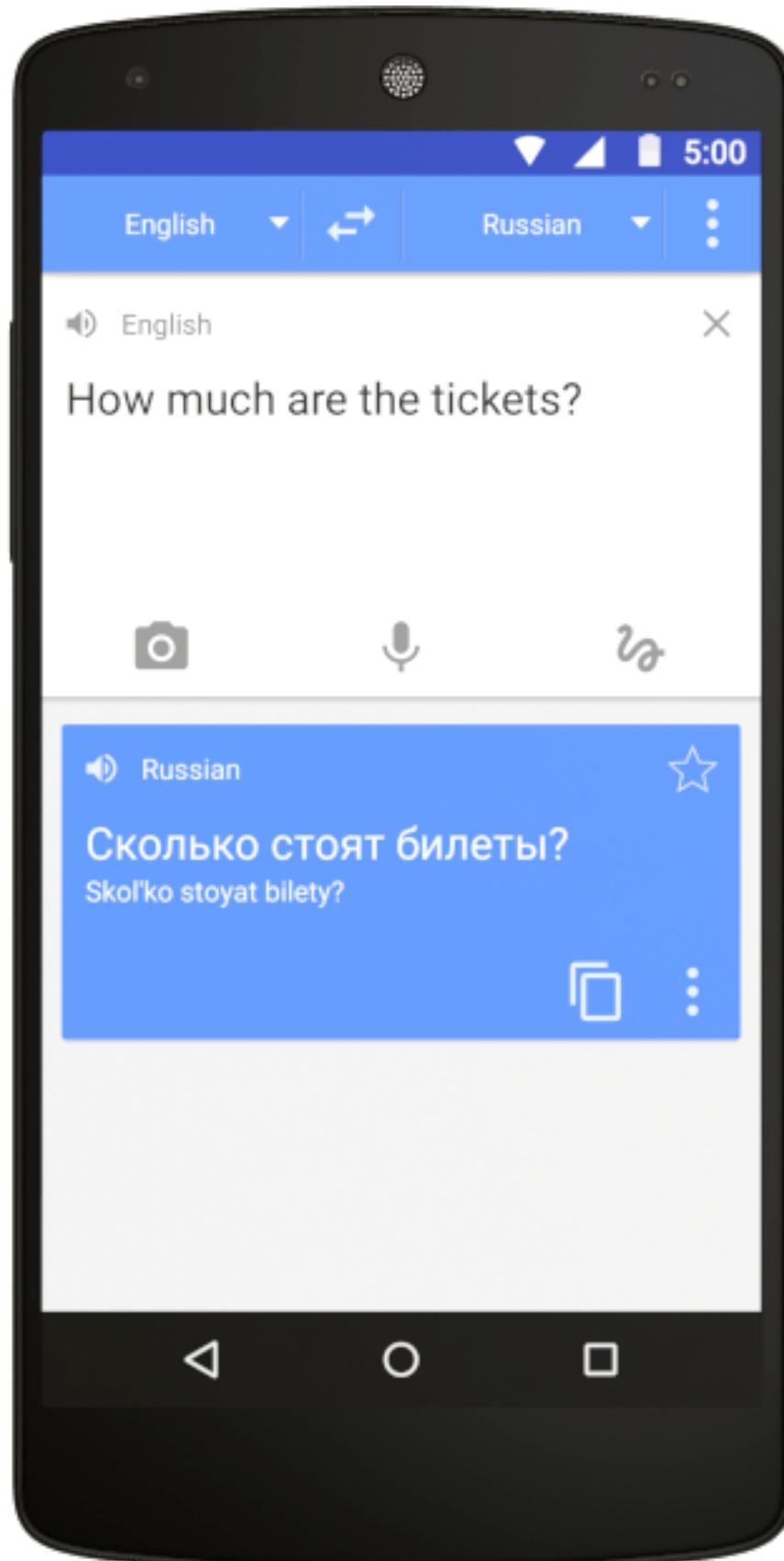
Lecture 4: Deep learning for text

Lecture 5: Unit review and quiz in class

Applications of natural language processing

Applications of natural language processing

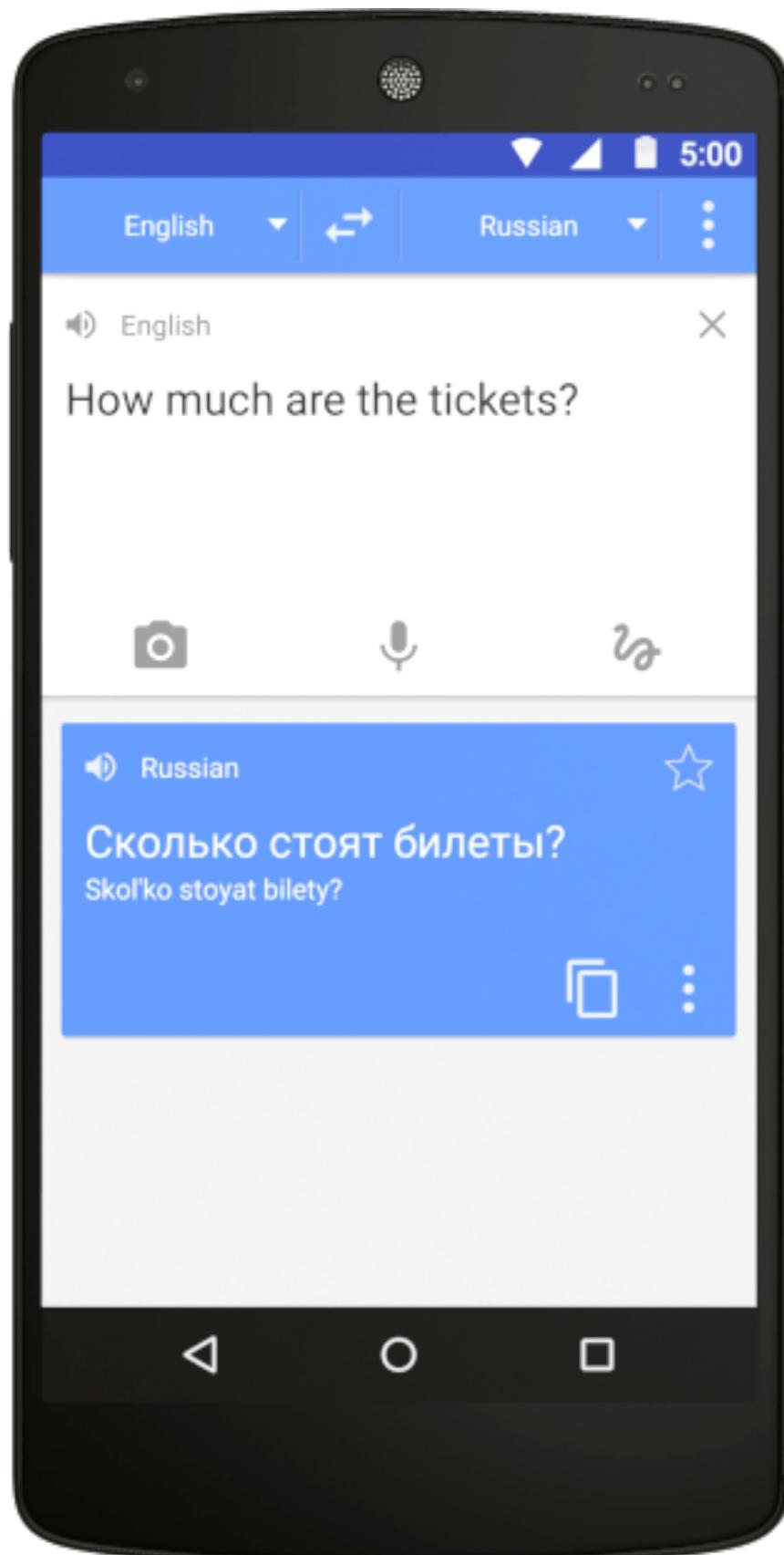
Machine translation



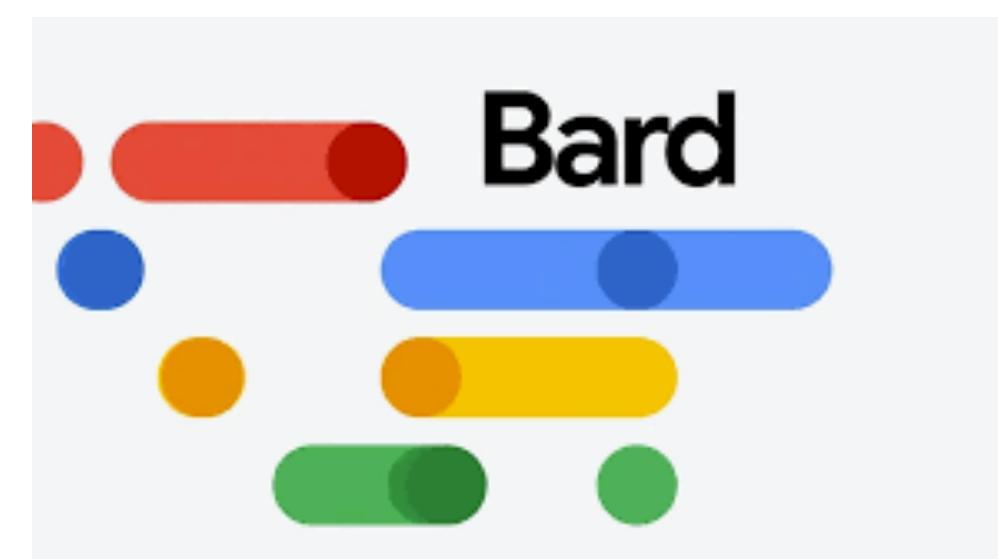
<https://translate.google.com/intl/en/about/>

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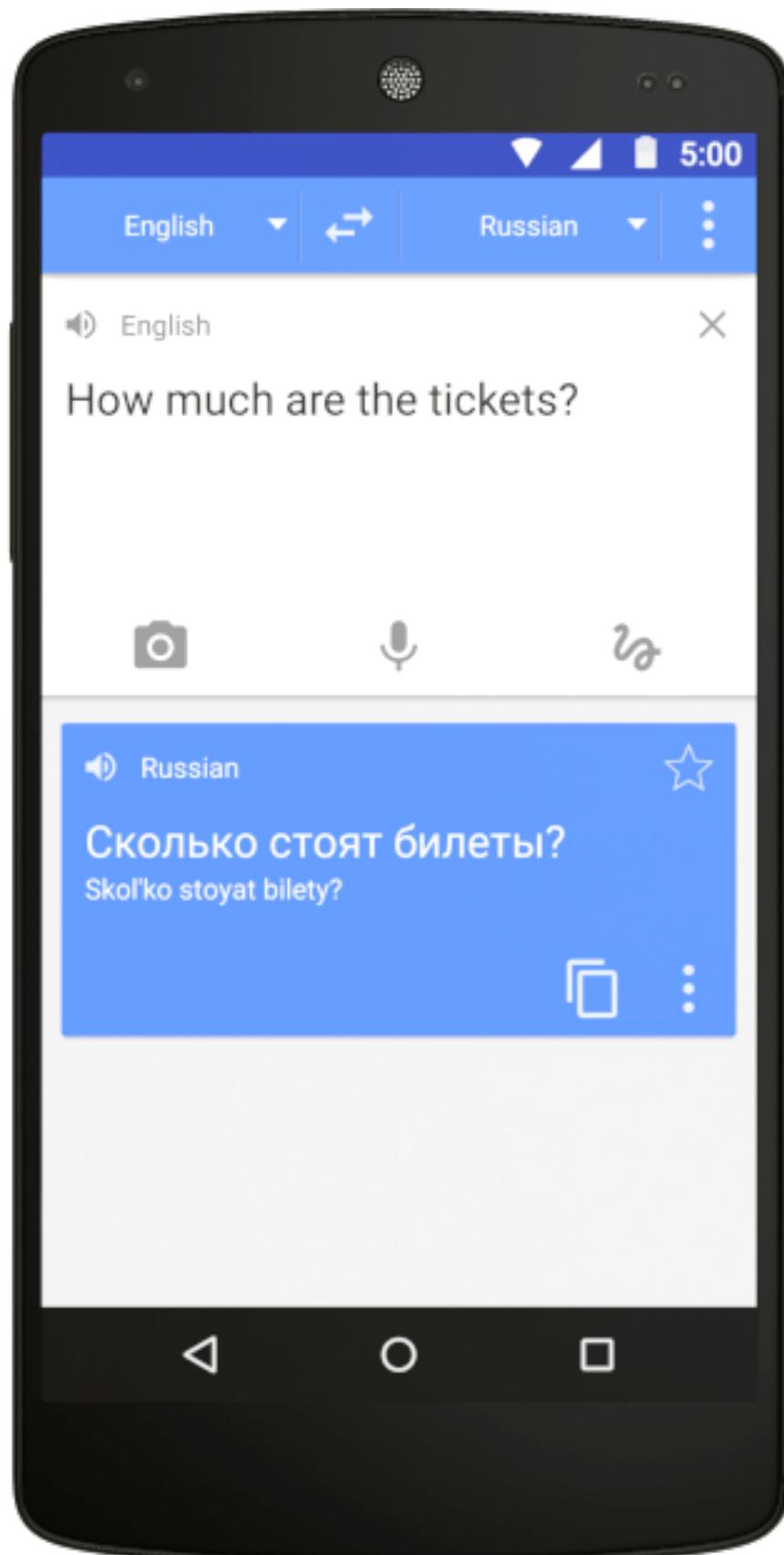


Chatbots



Applications of natural language processing

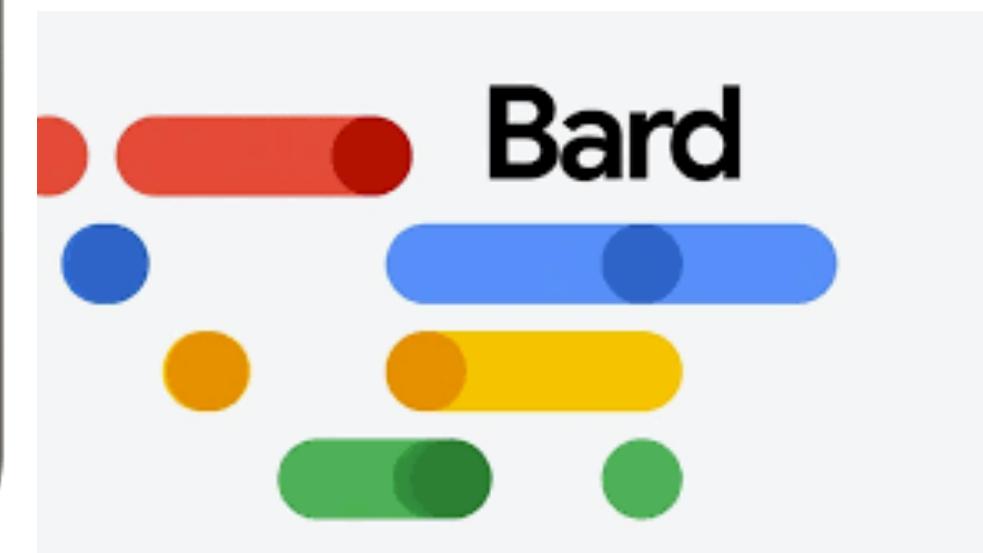
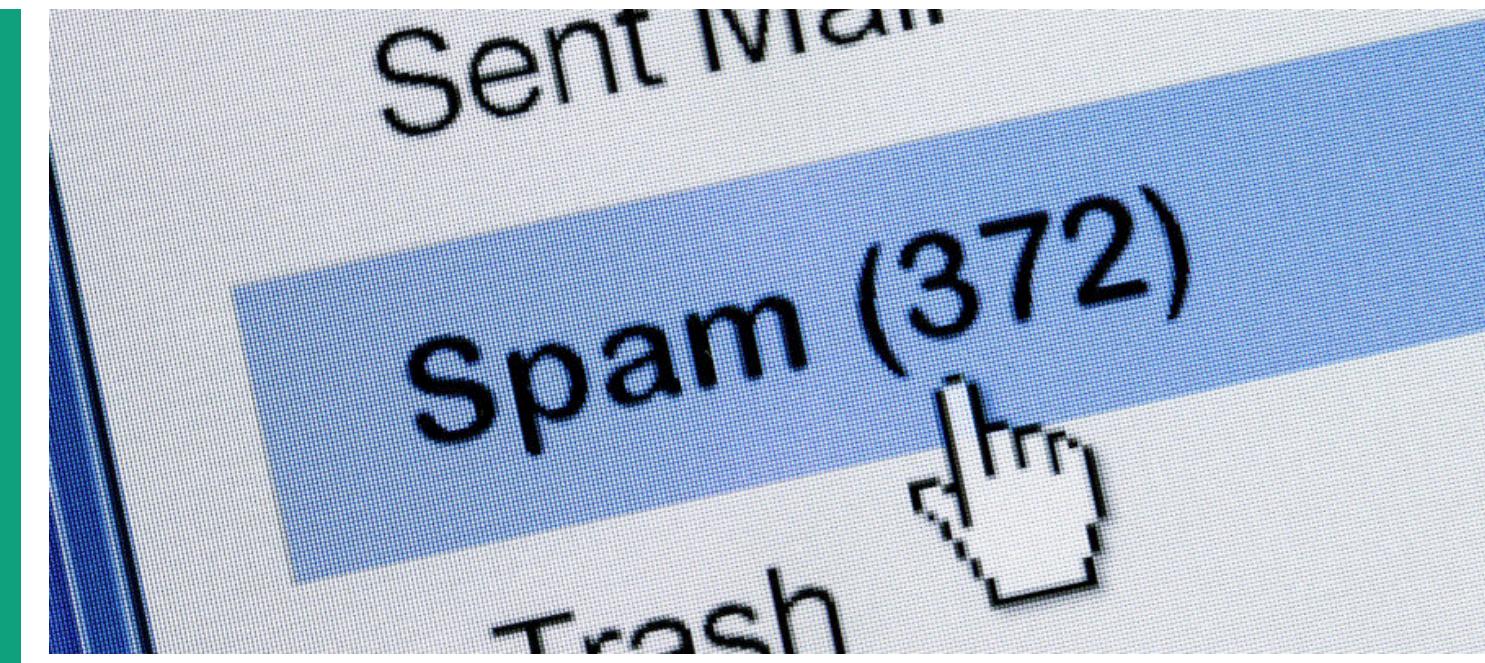
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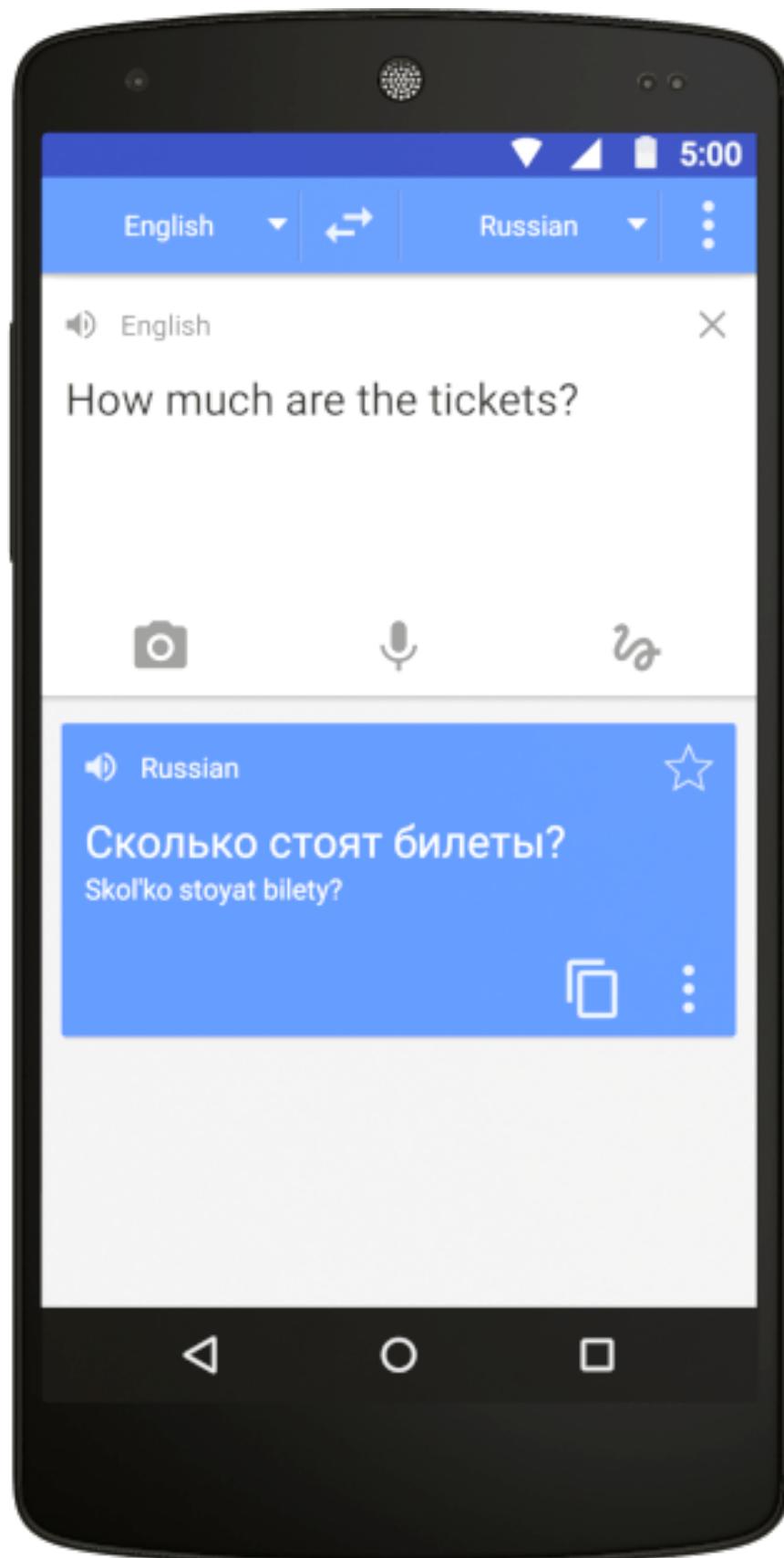


Spam filtering



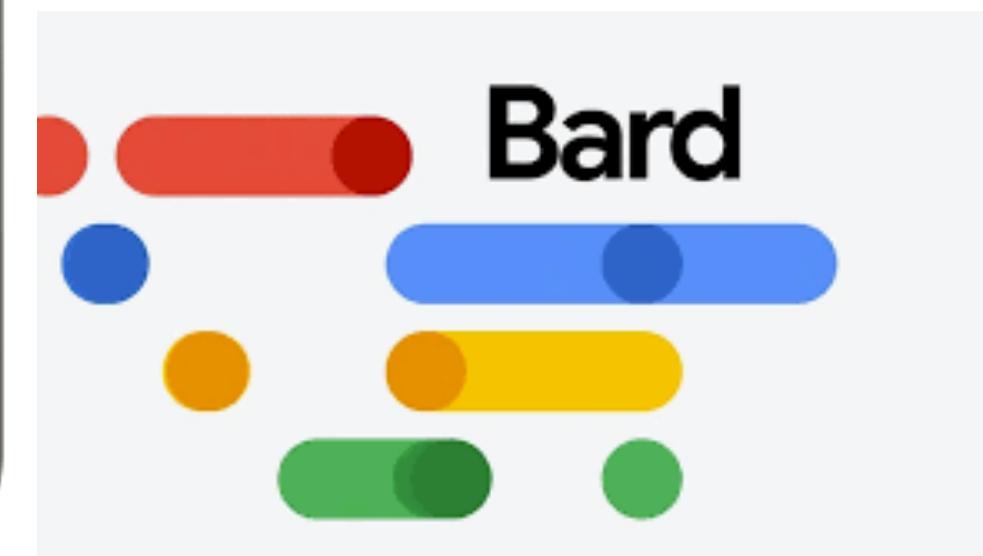
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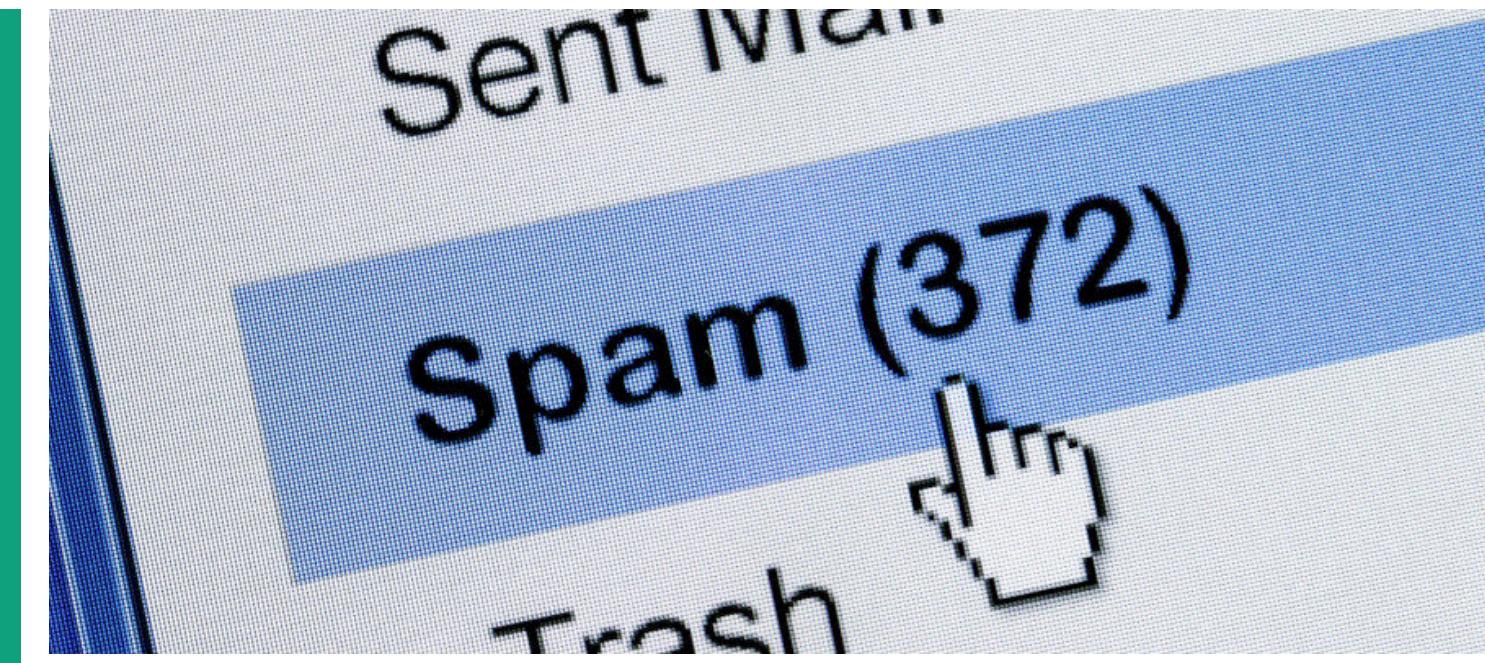


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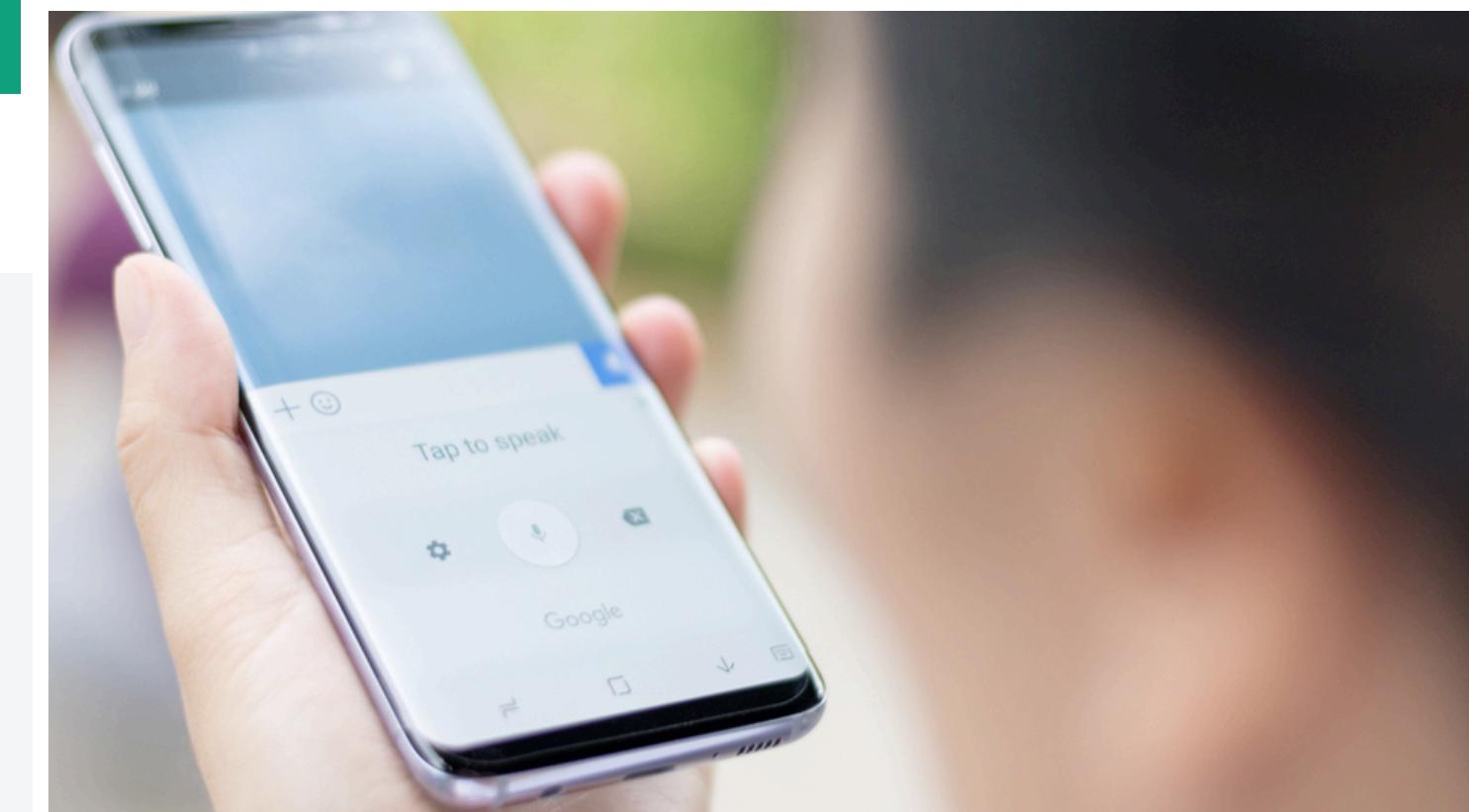


Spam filtering



<https://blog.malwarebytes.com/security-world/2017/02/explained-bayesian-spam-filtering/>

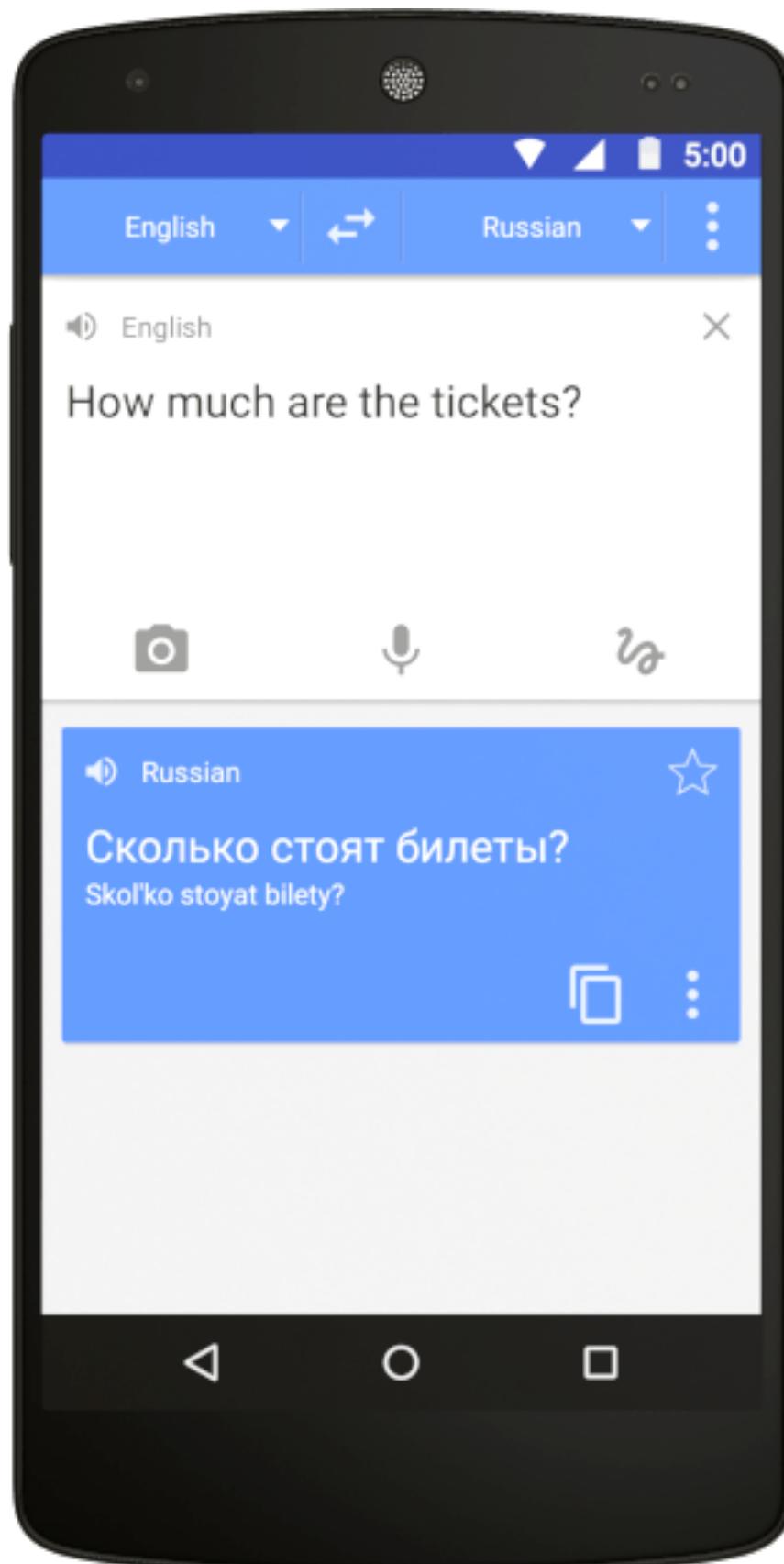
Voice to text



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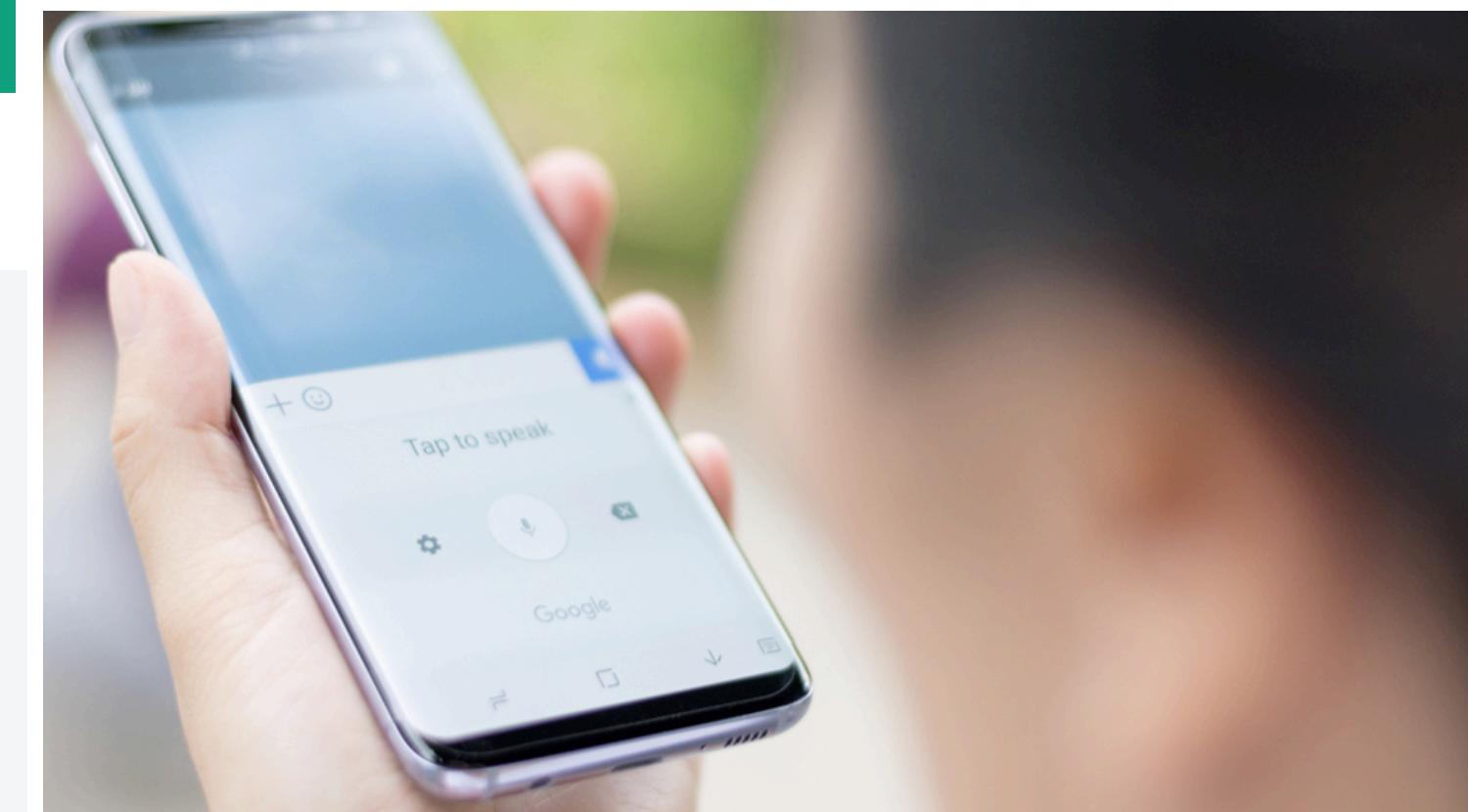


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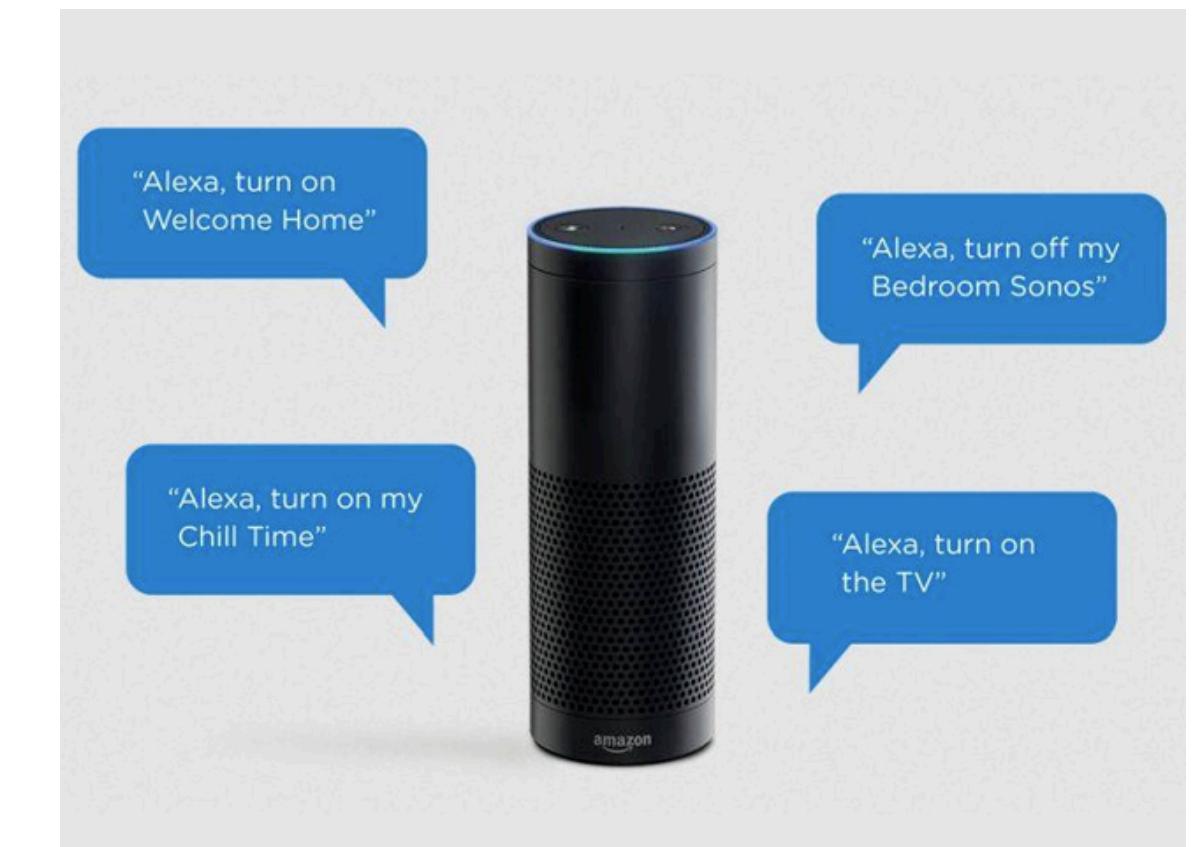
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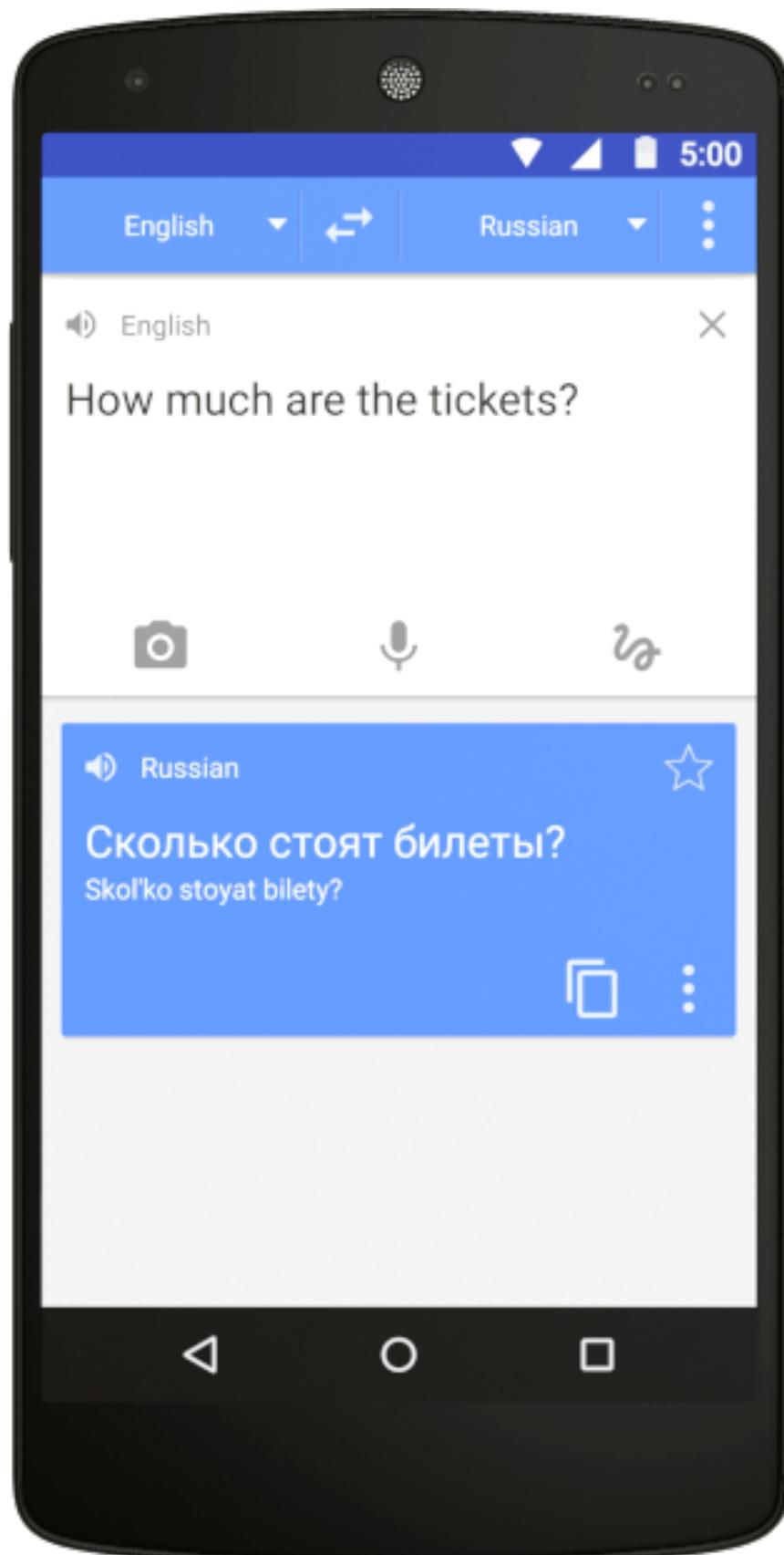
Personal assistant



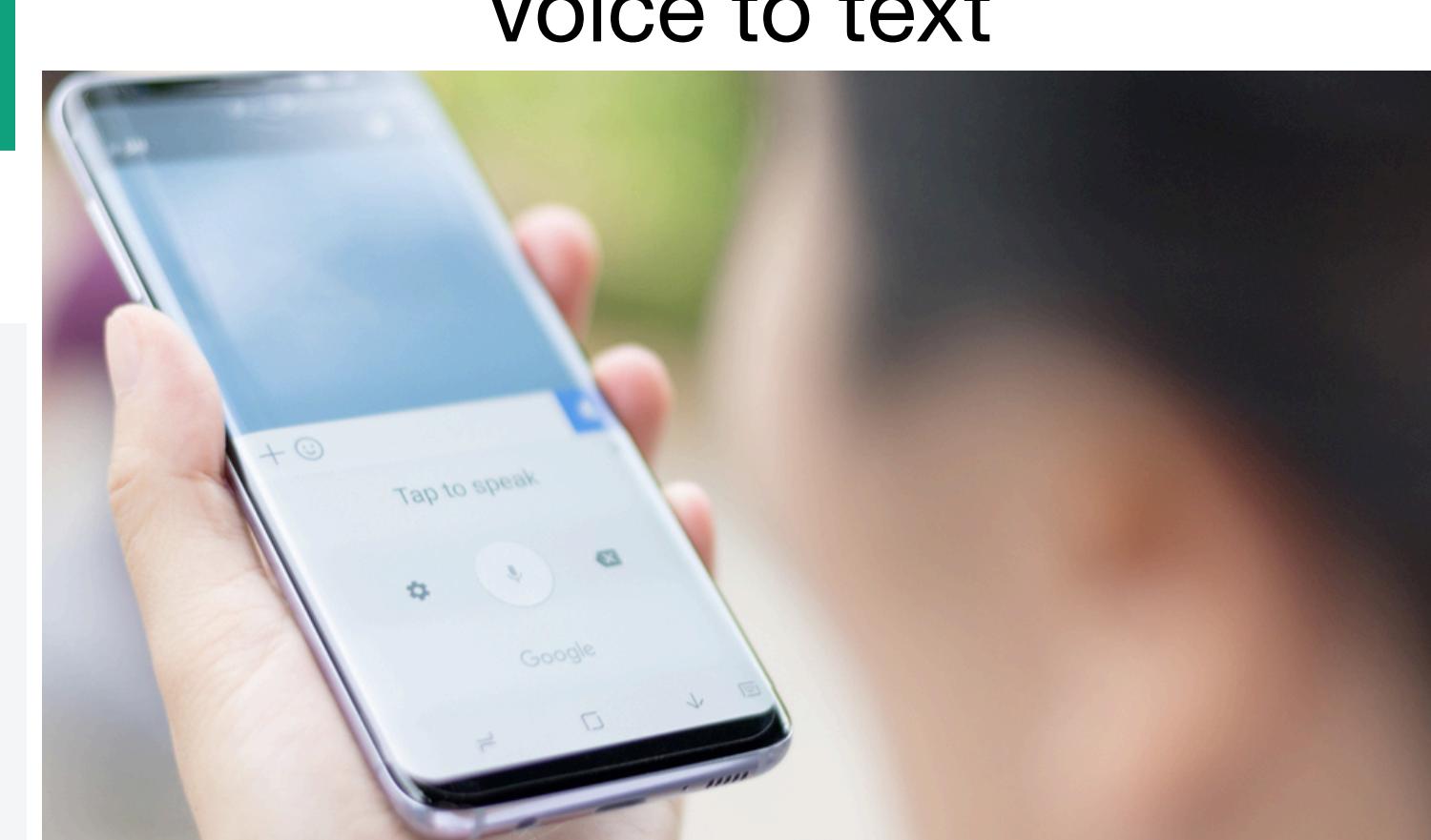
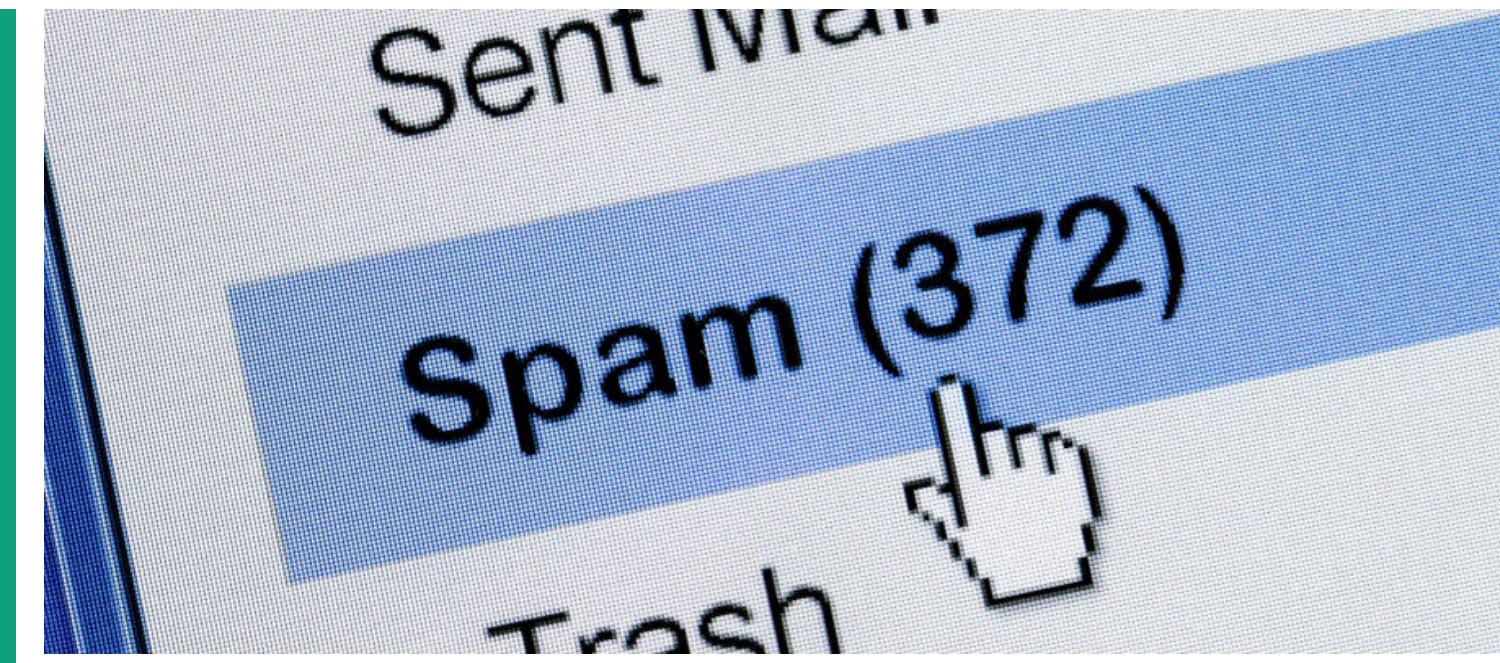
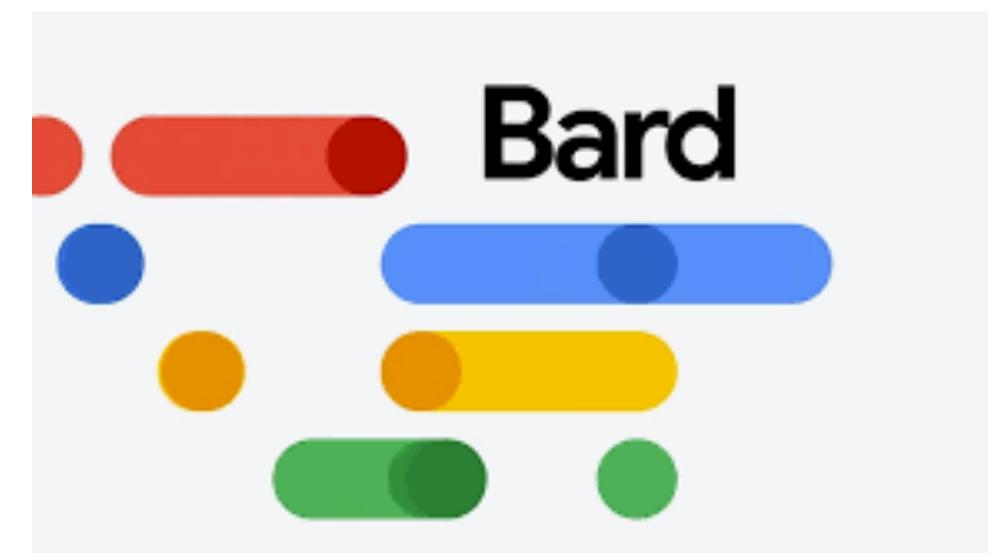
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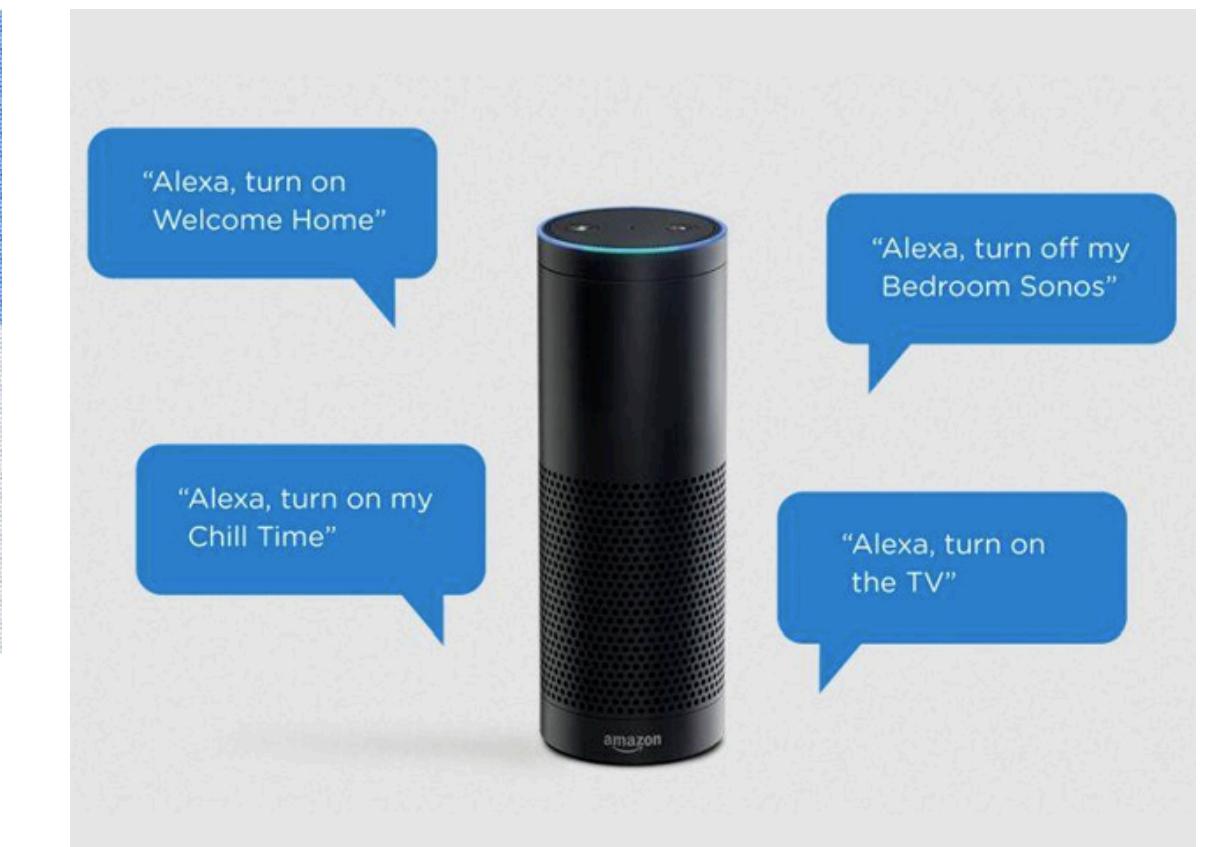


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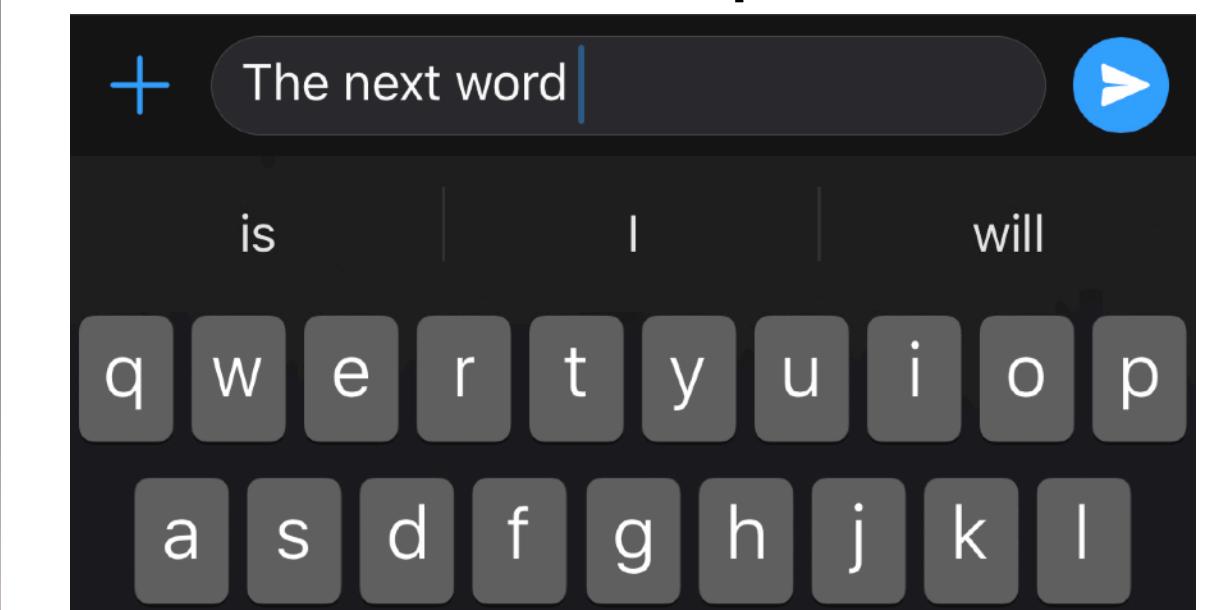


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Auto-complete



Three natural language processing tasks

Three natural language processing tasks

Sentiment analysis

 Just producing a reality-competition show based on Squid Game is a pretty good way of signaling to the world that you didn't really get Squid Game.

November 22, 2023 | [Full Review...](#)



Brian Lowry
CNN.com
★ TOP CRITIC

 Squid Game: The Challenge delivers a captivating, if chaotic, set of episodes. If you can move past the awkwardness of its core concept, the series delivers a lot of the drama, tension, and backstabbing that reality tv has always been known for.

November 23, 2023 | [Full Review...](#)



Charles Hartford
But Why Tho? A Geek Community

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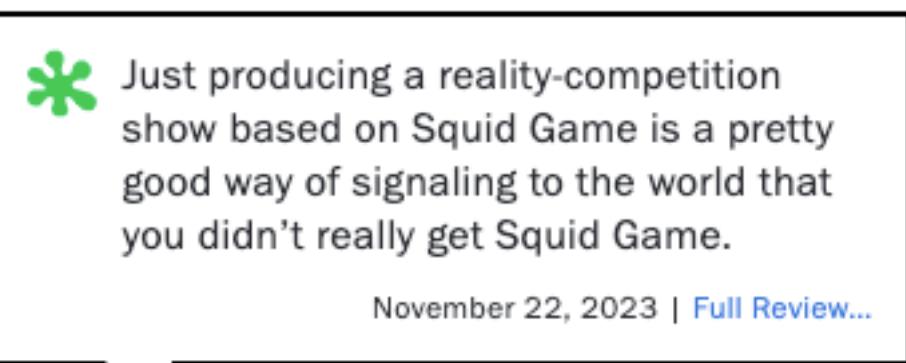
Charles Hartford
But Why Tho? A Geek Community

Input: Movie review

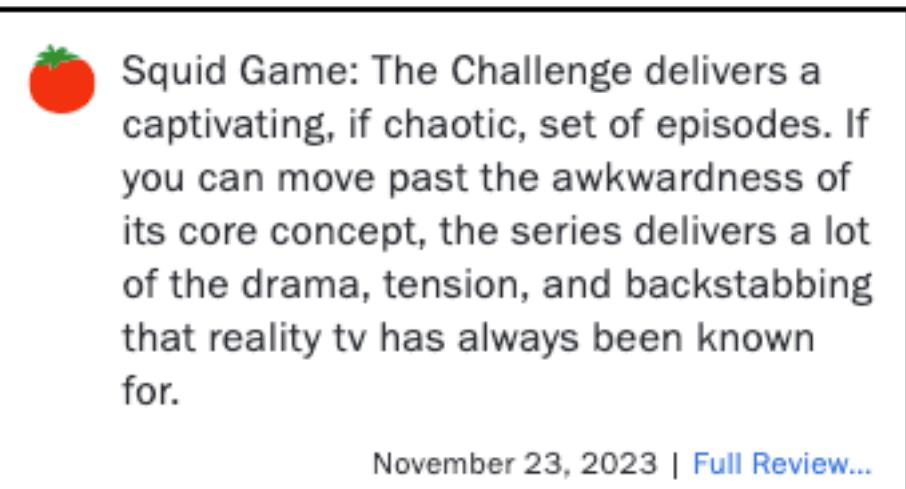
Output: Positive or negative

Three natural language processing tasks

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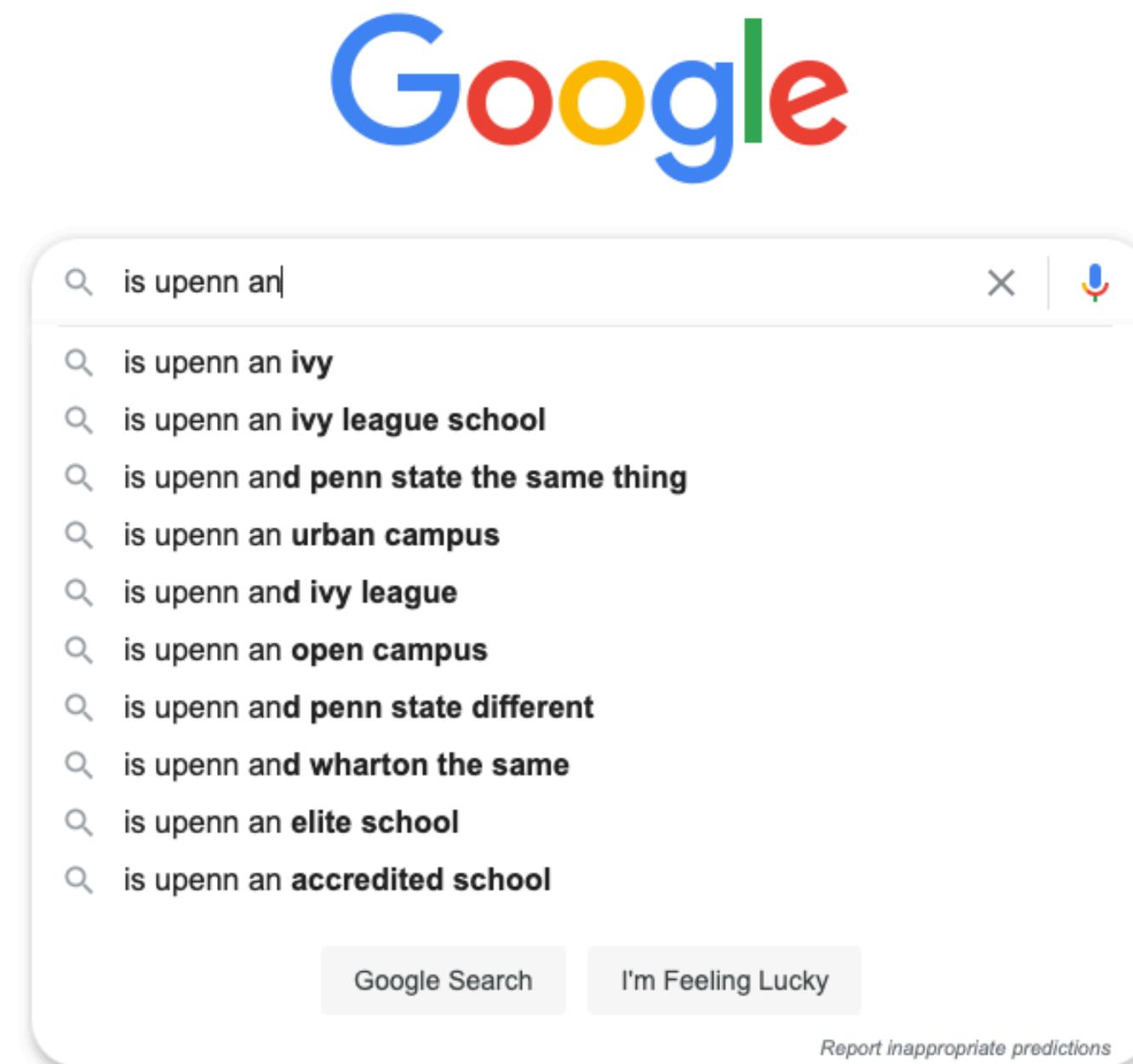
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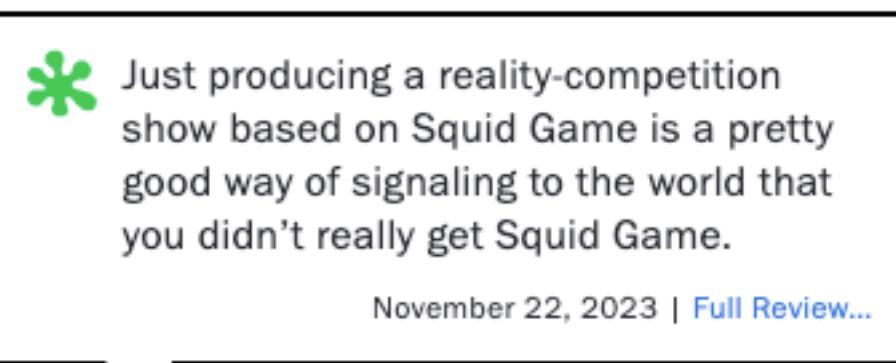
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Language modeling

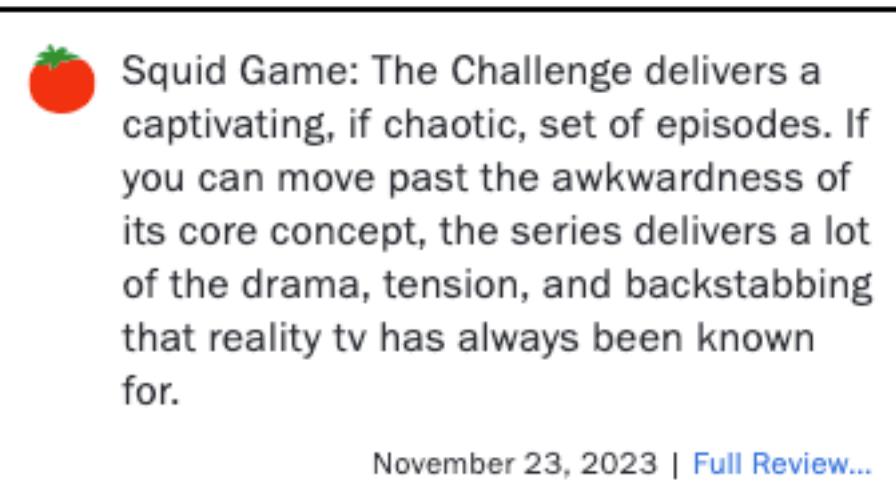


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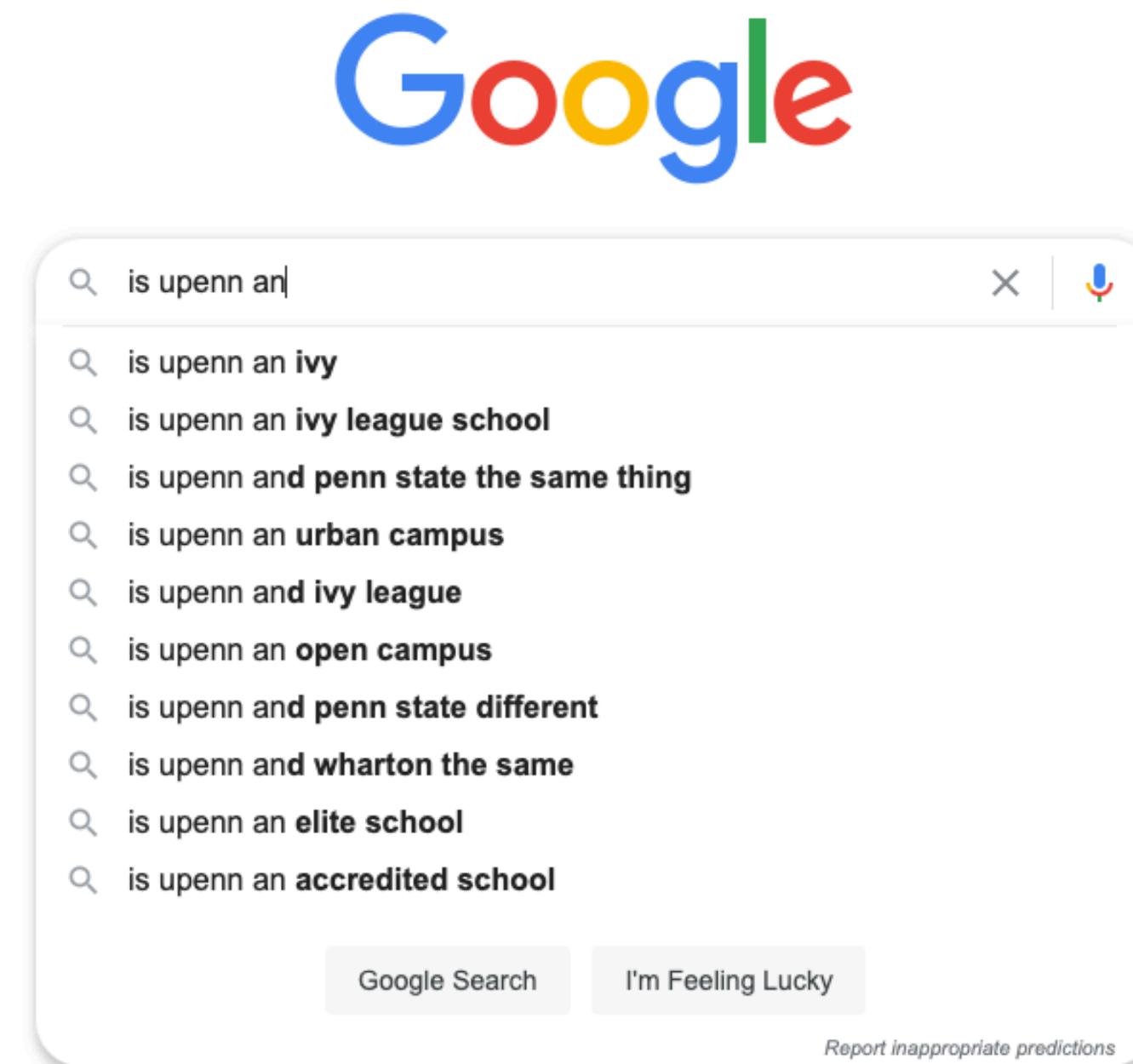
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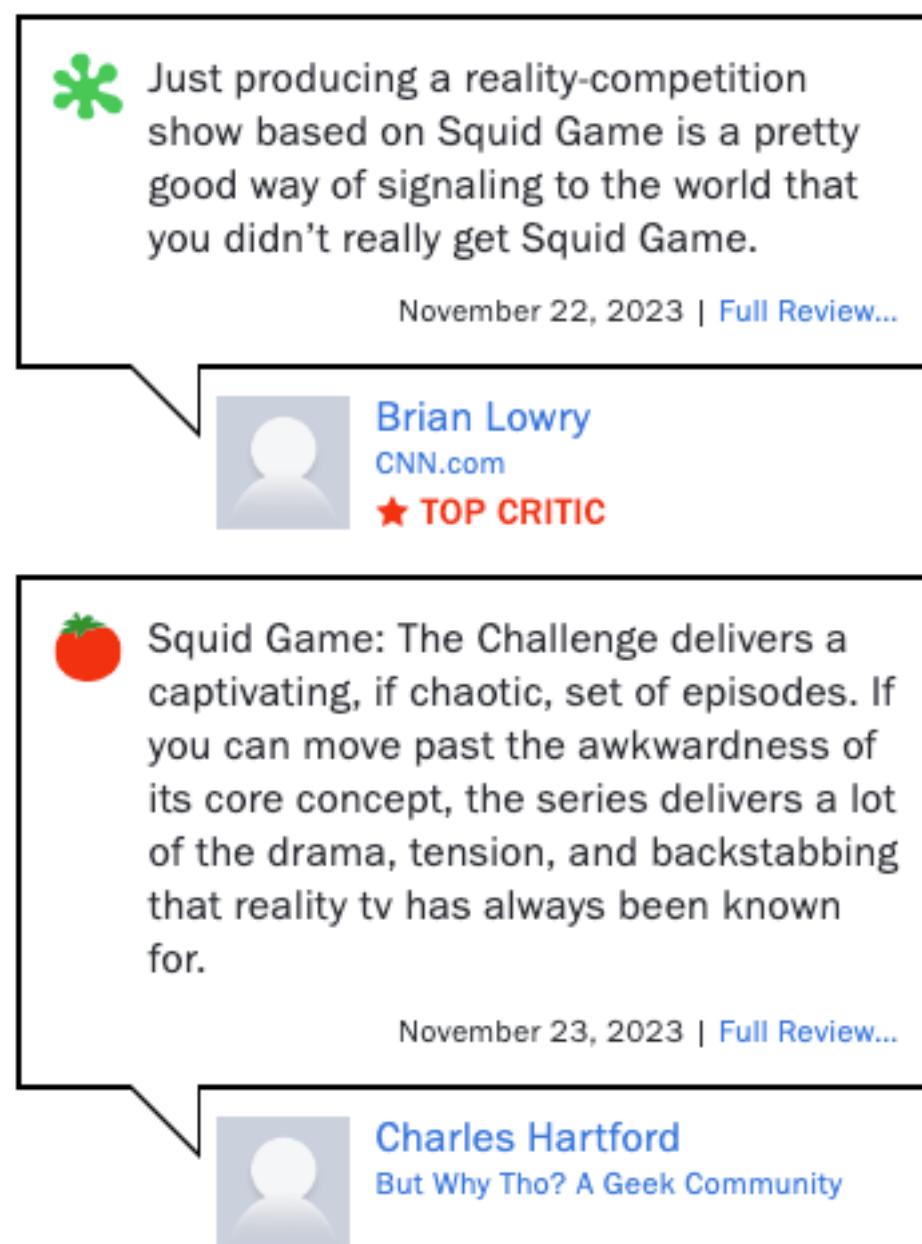
Language modeling



Input: Beginning of sentence
Output: Next word

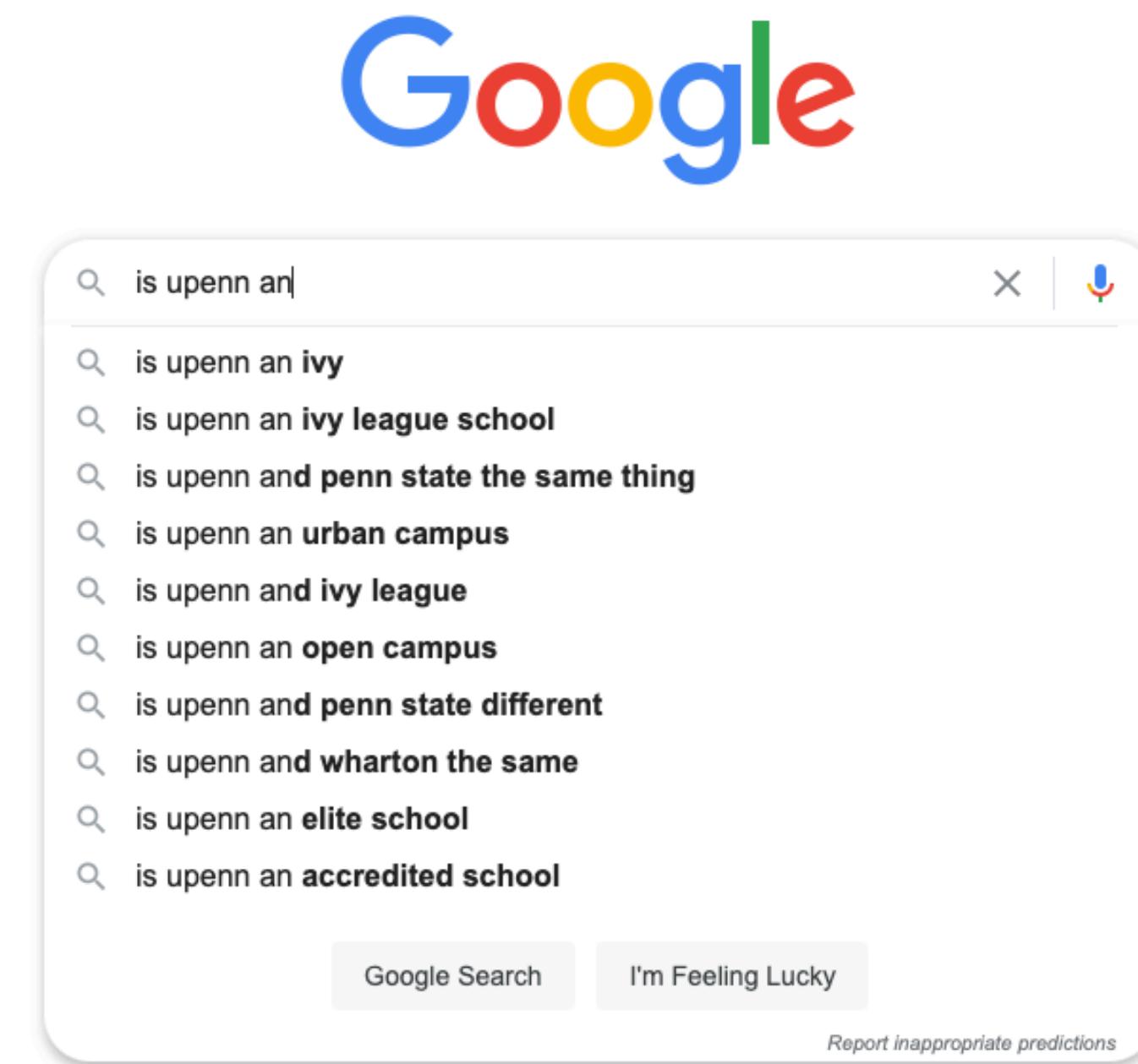
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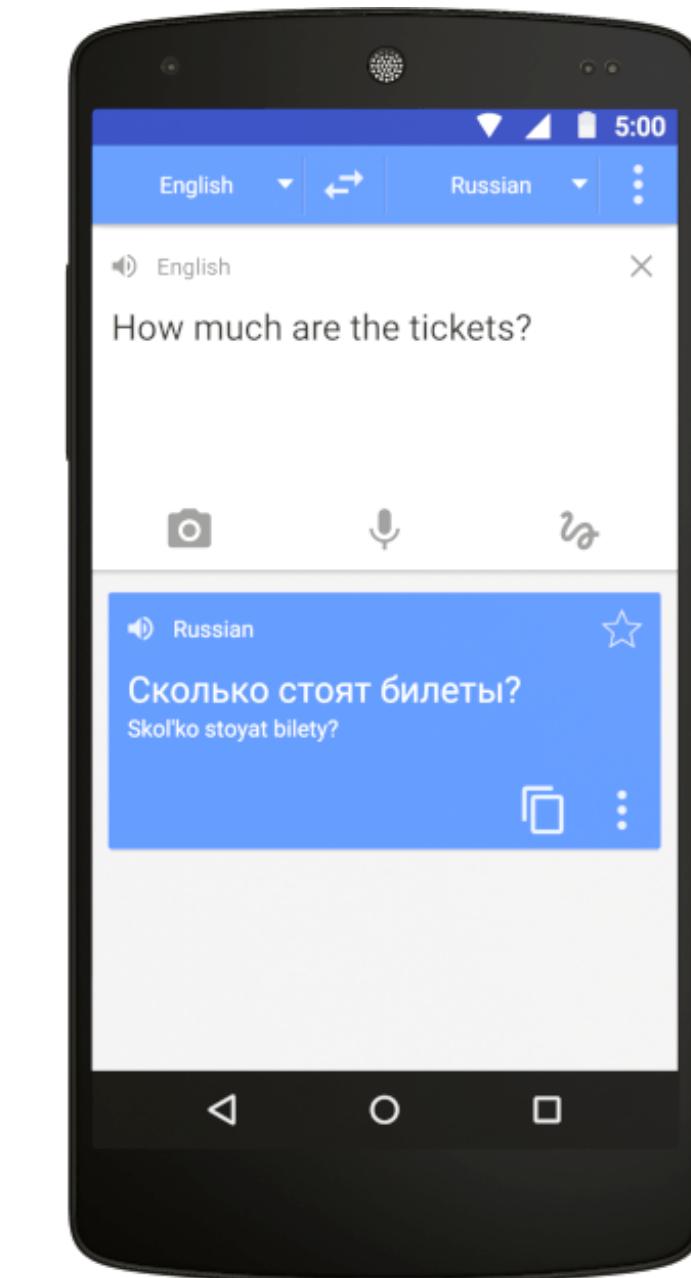
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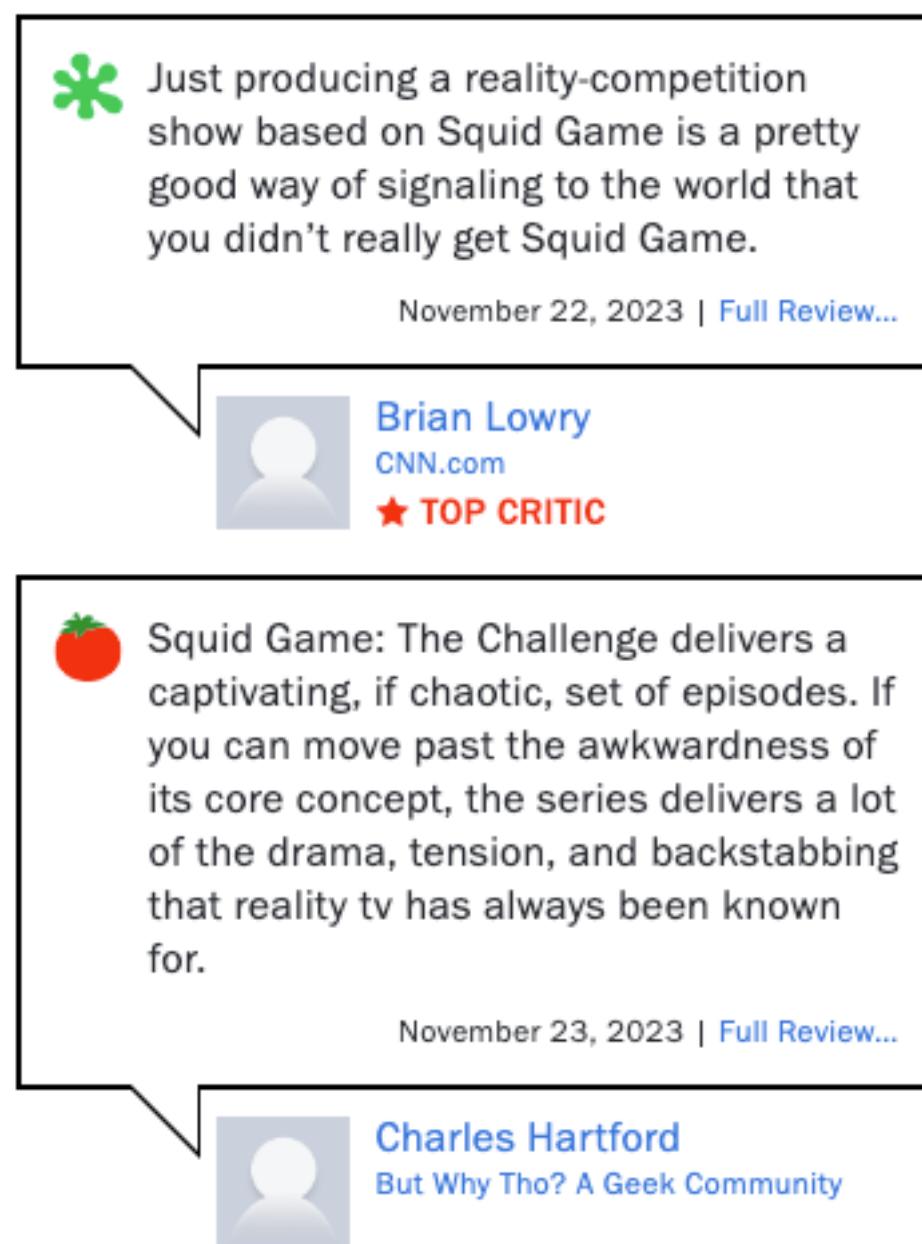
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Machine translation



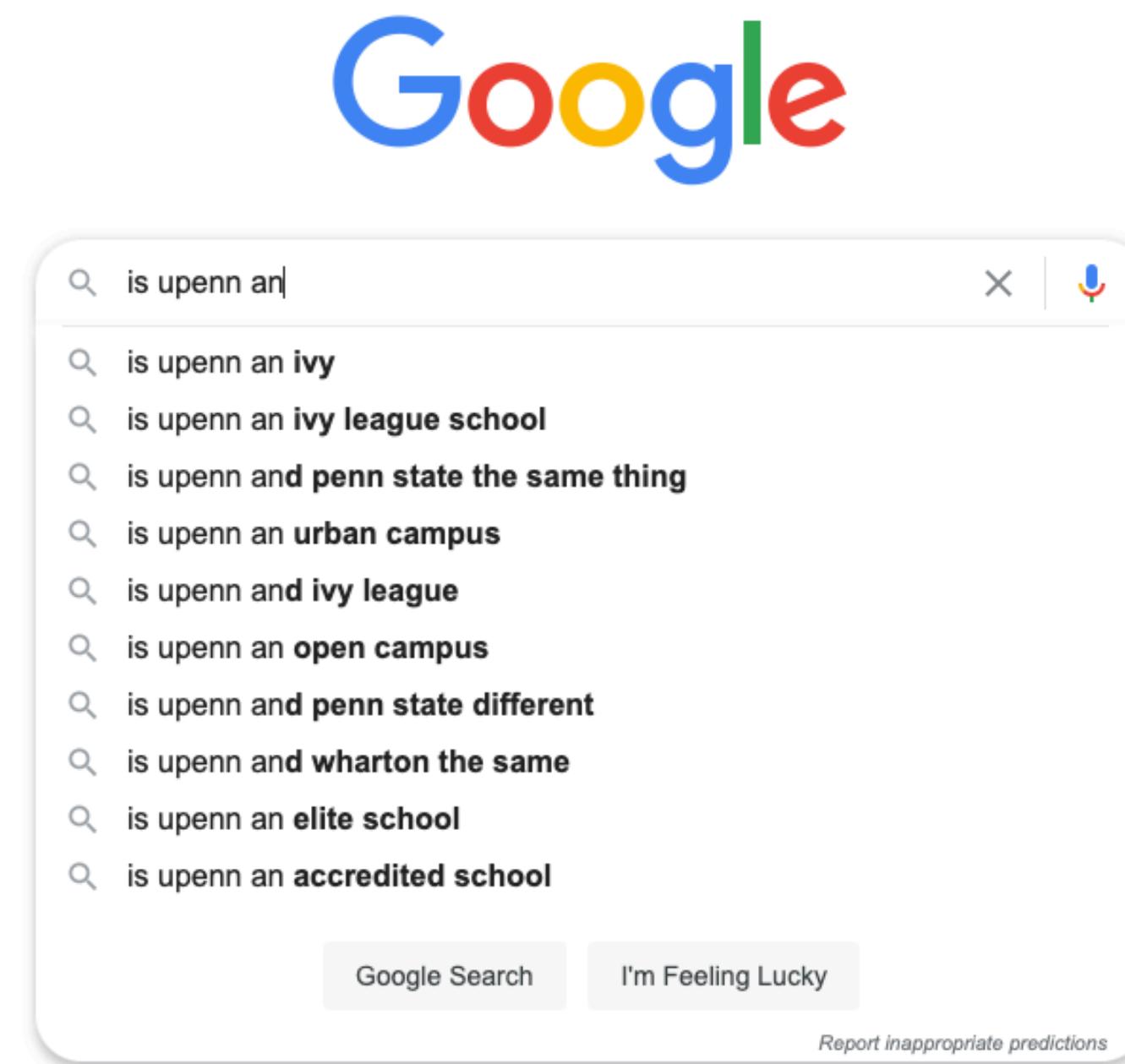
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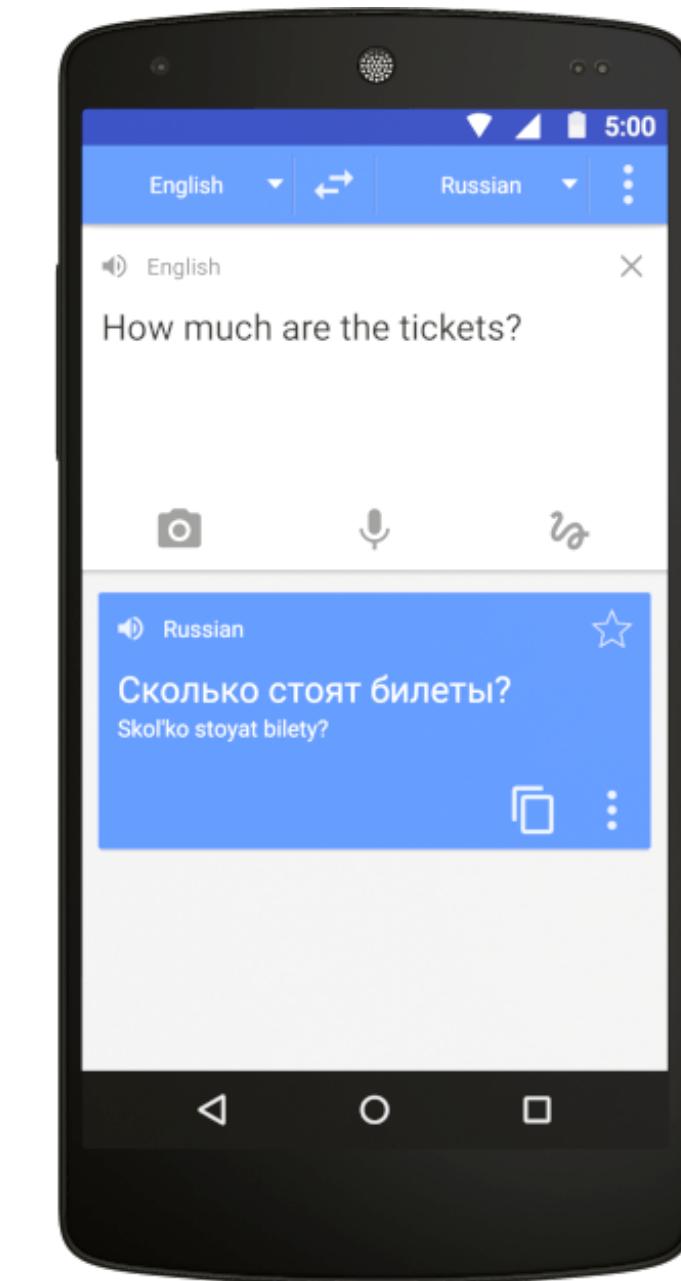
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Language modeling



Input: Beginning of sentence
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Machine translation



Input: Sentence in one language
Output: Translation of sentence to another language

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3. Words do not come with vector representations (unlike pixels).

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- **Encoding.** Representation of token or sequence of tokens as a numeric vector.

NLP timeline

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Word vectors

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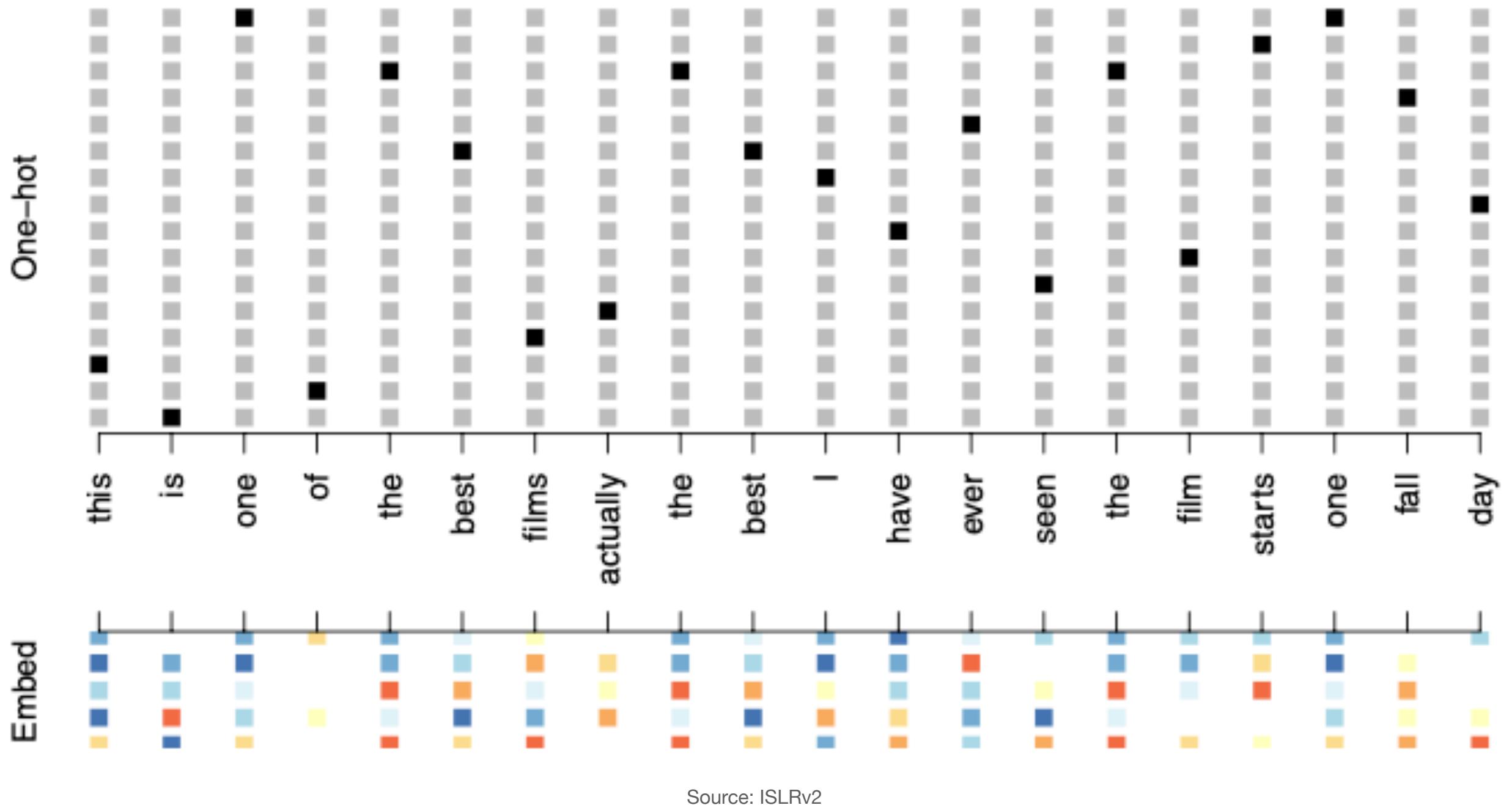
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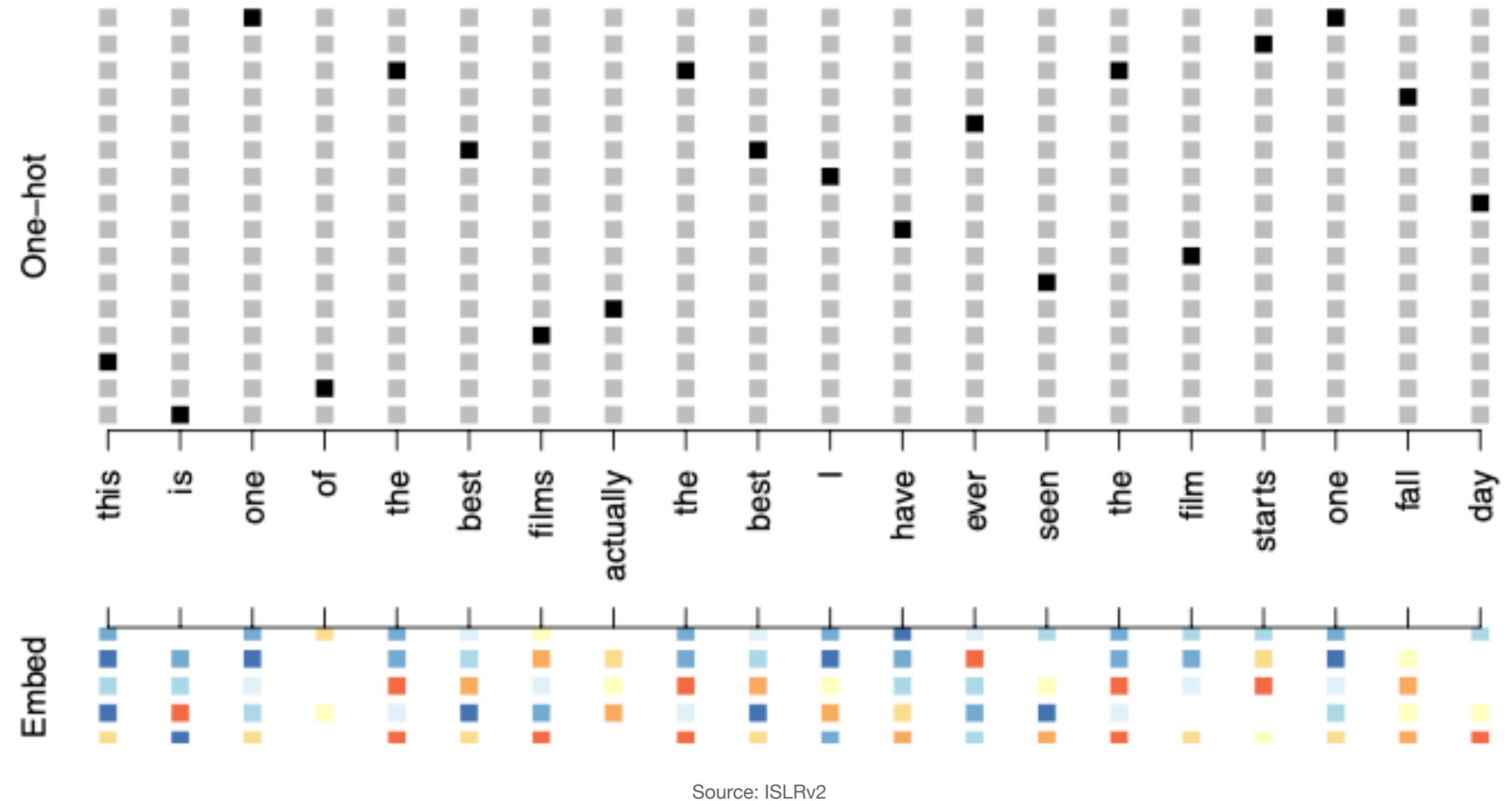
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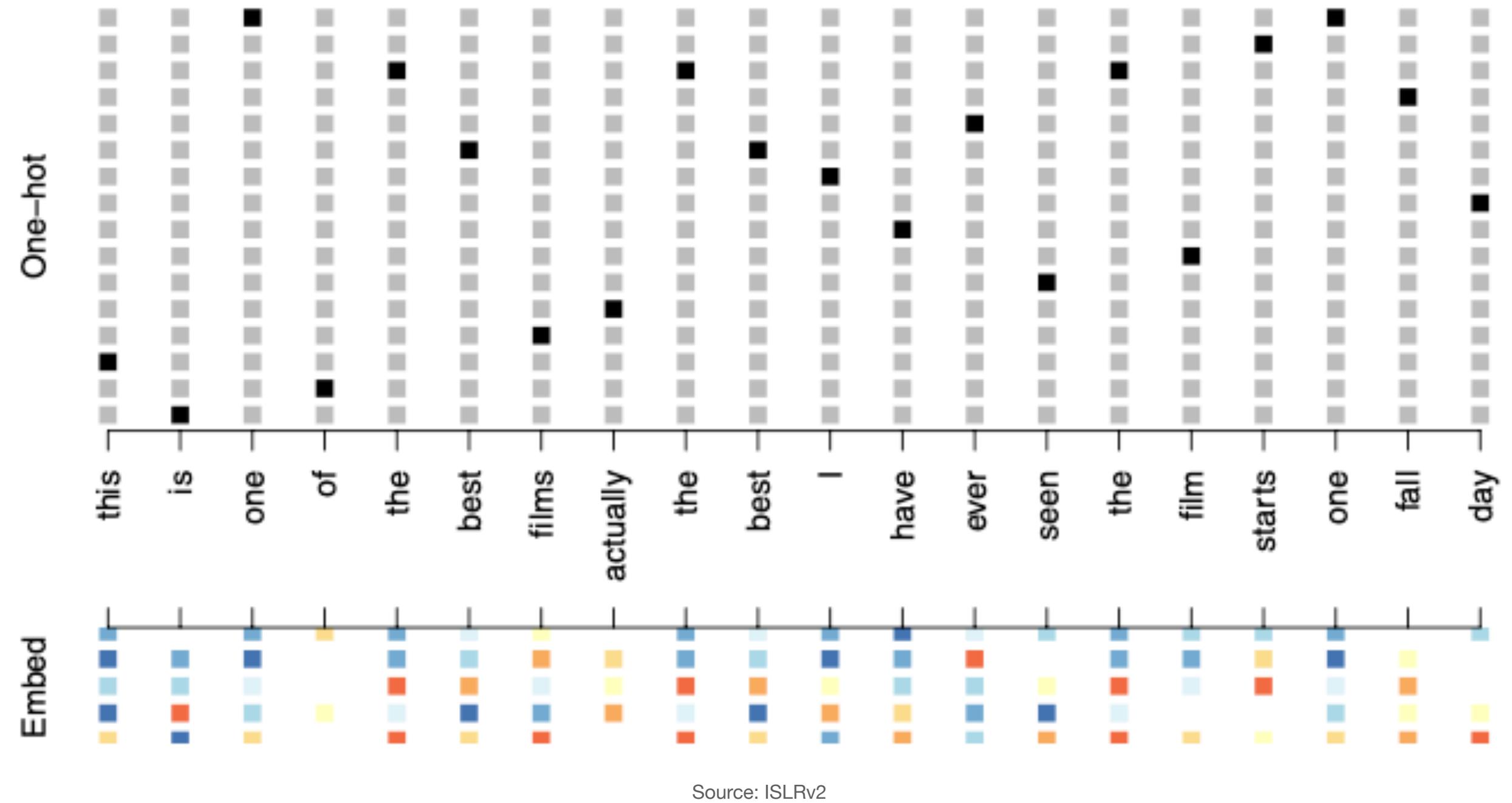
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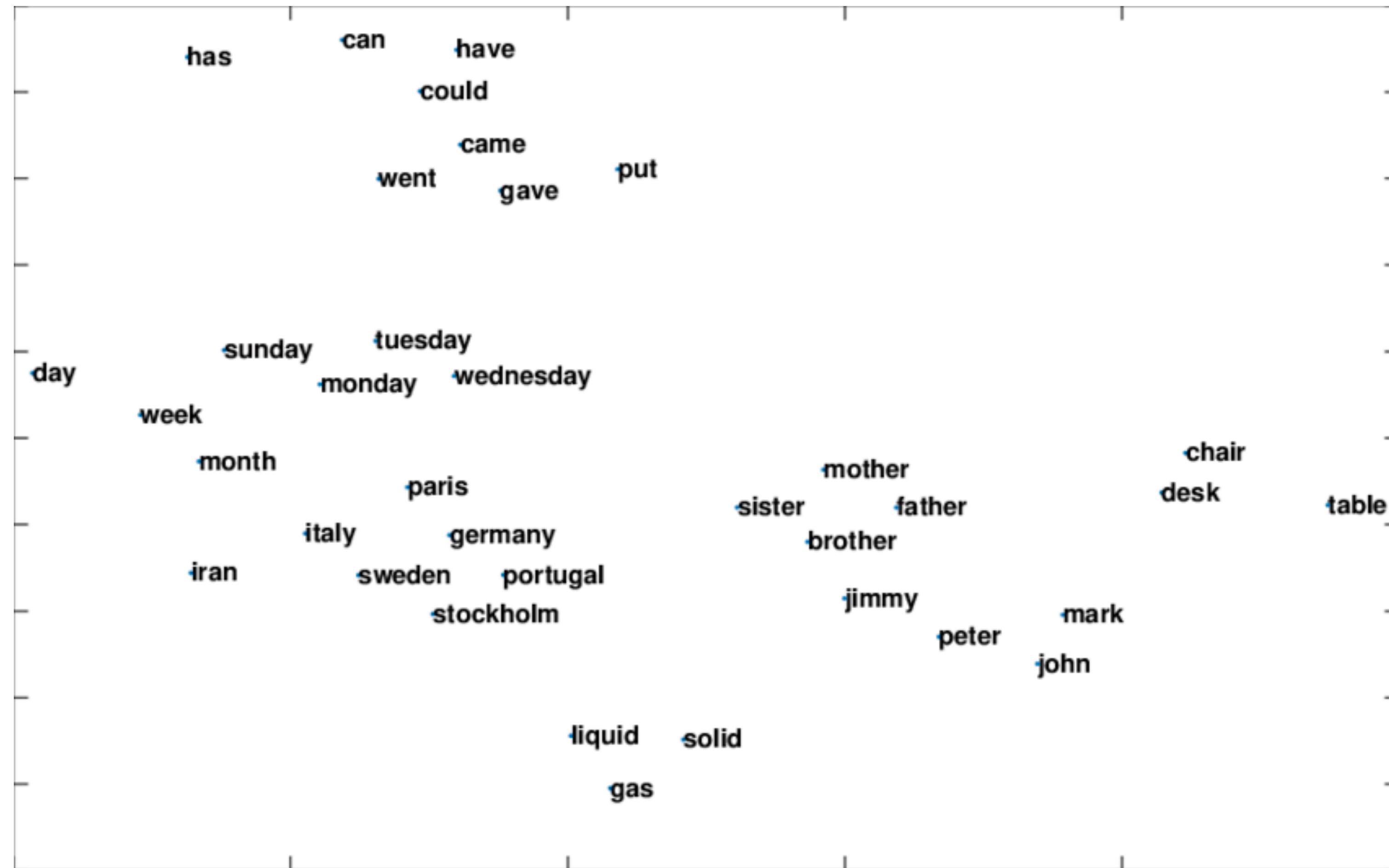
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Word vectors need to be trained only once (for each language), and can be reused.

Word vectors capture semantic relationships

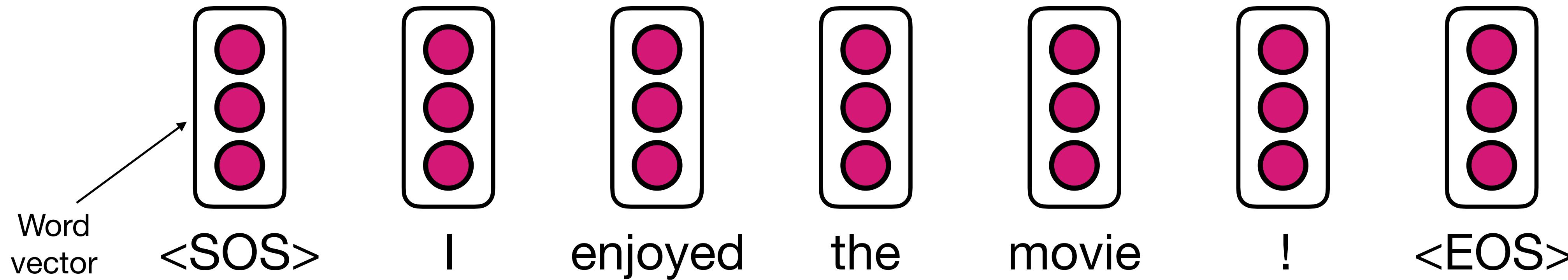


RNN for sentiment analysis

Input text: “I enjoyed the movie!” Output class: “Positive”

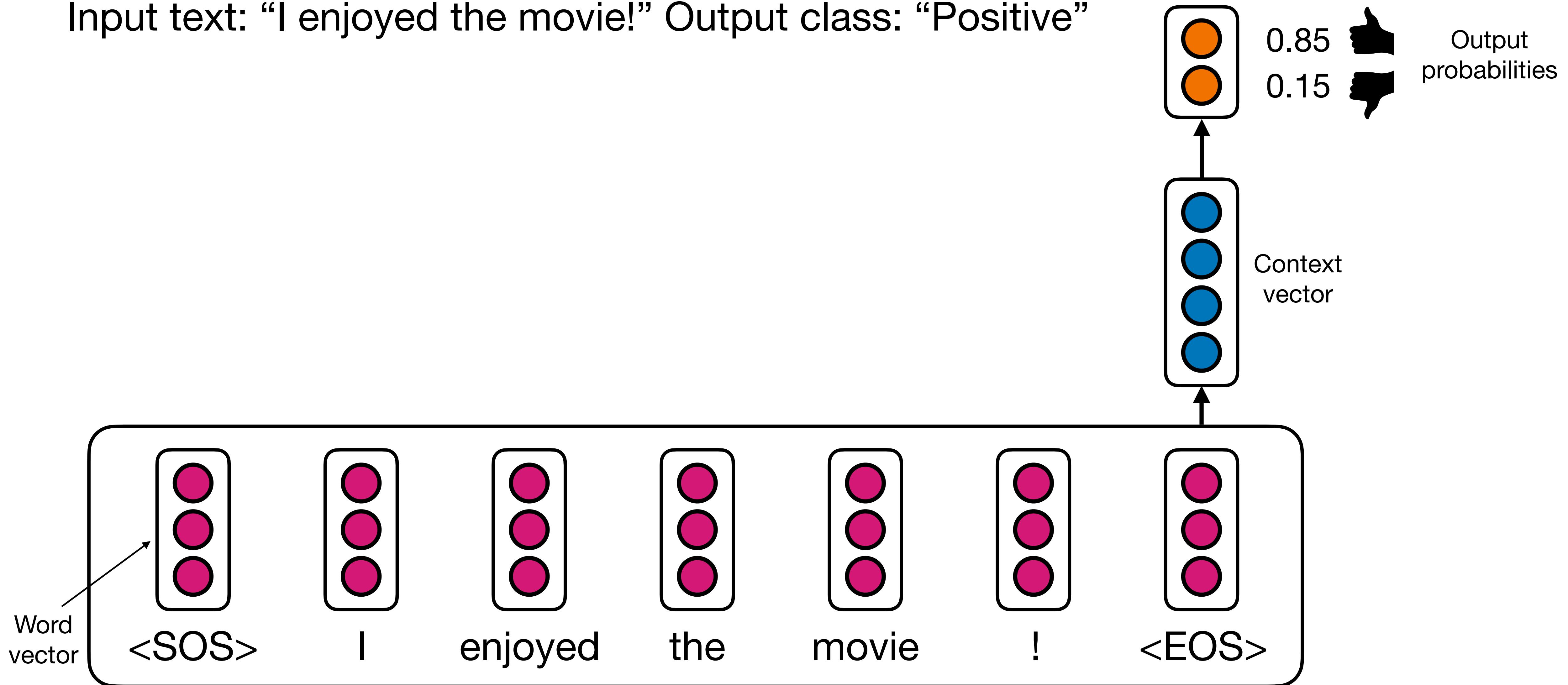
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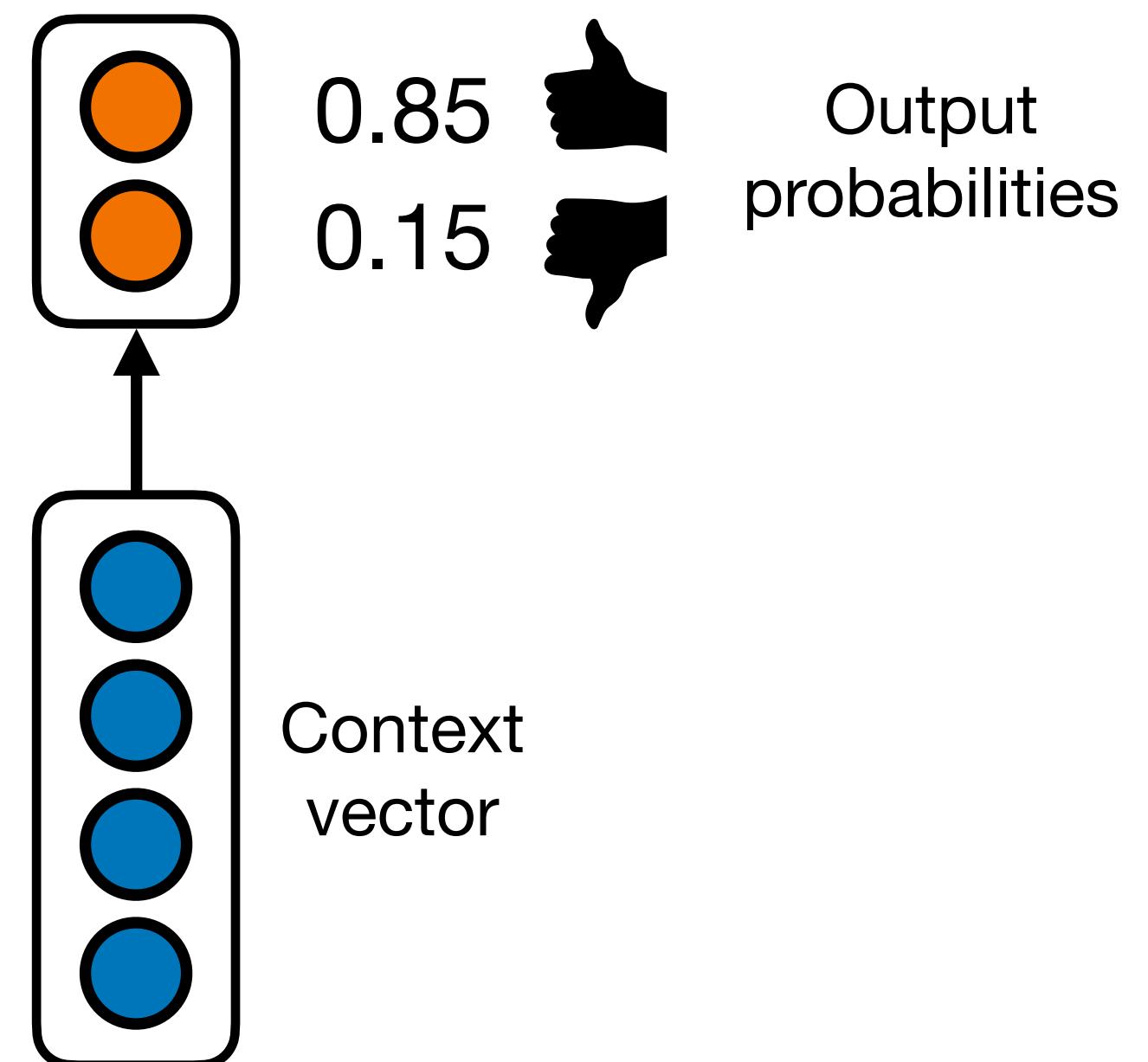
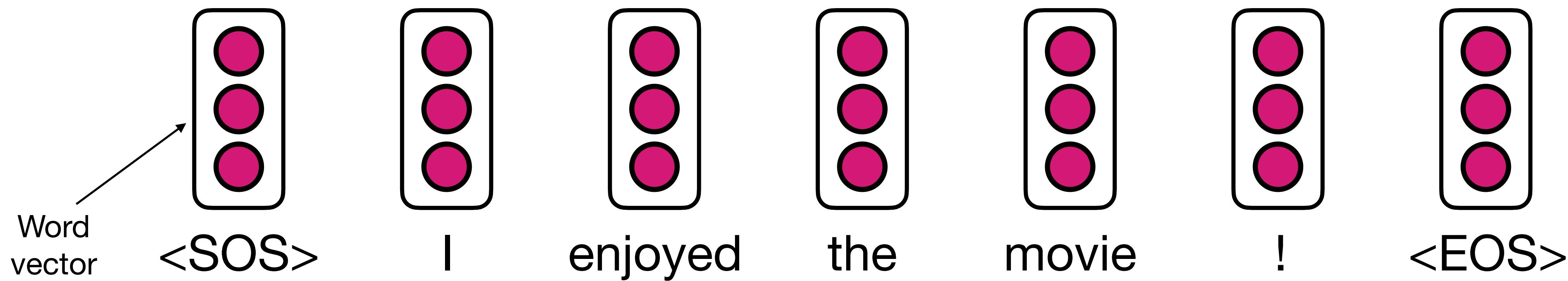
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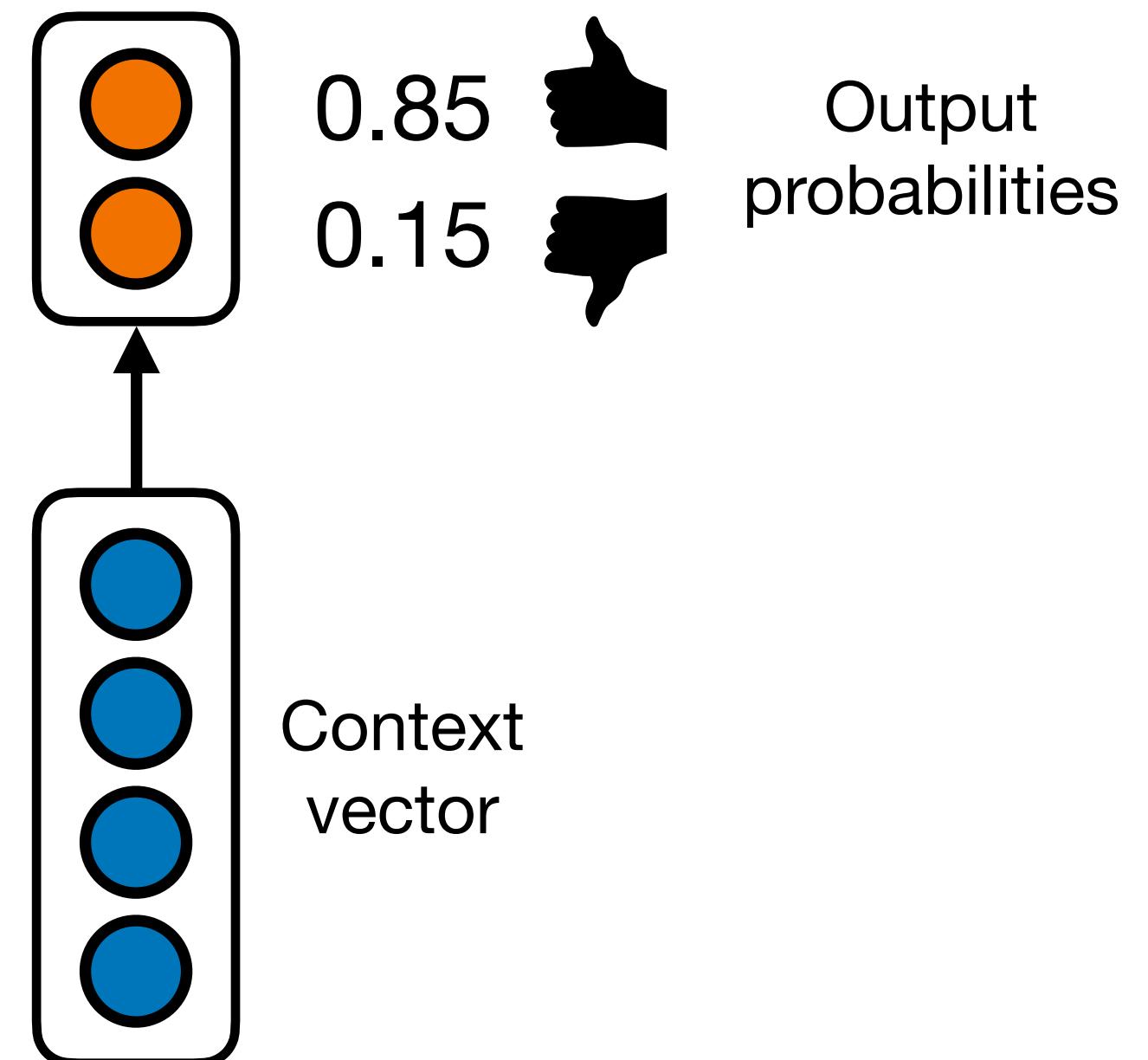
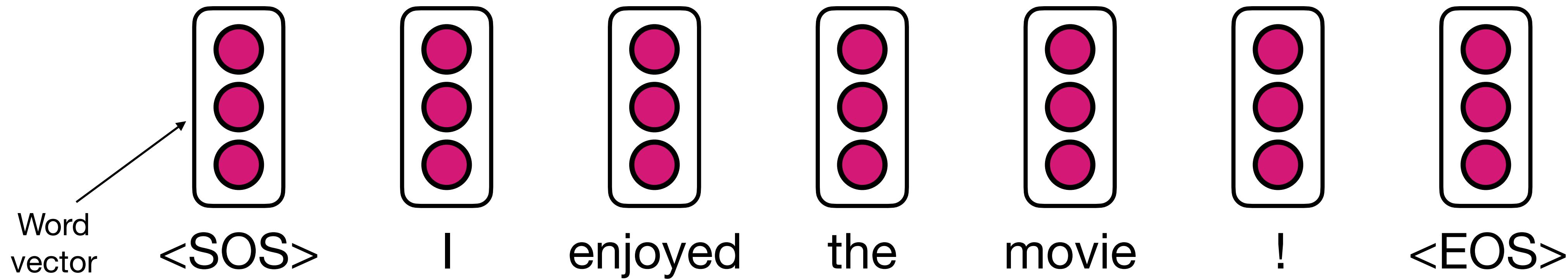
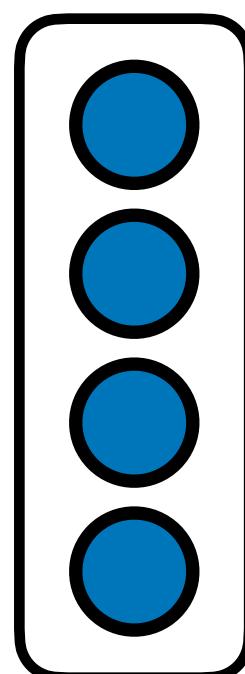
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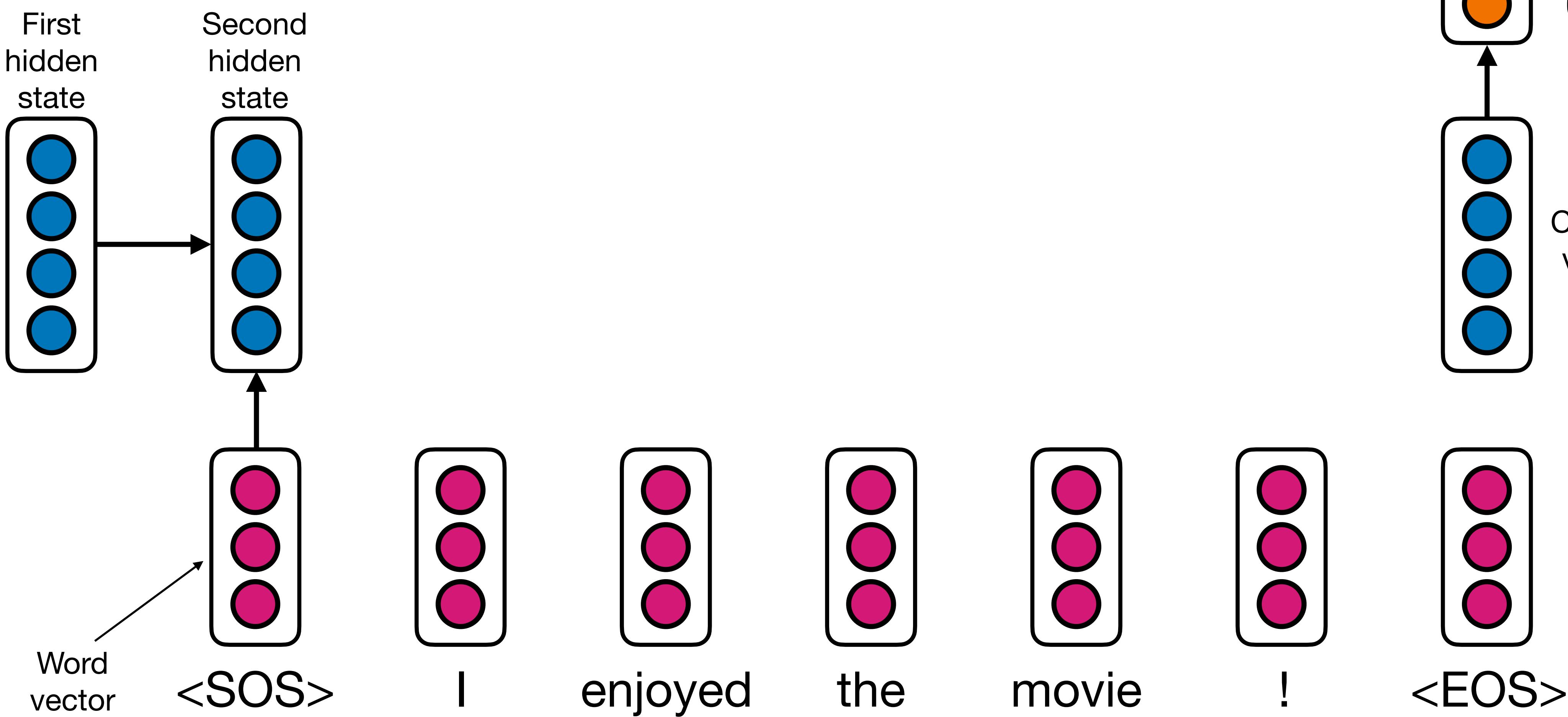
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First hidden state



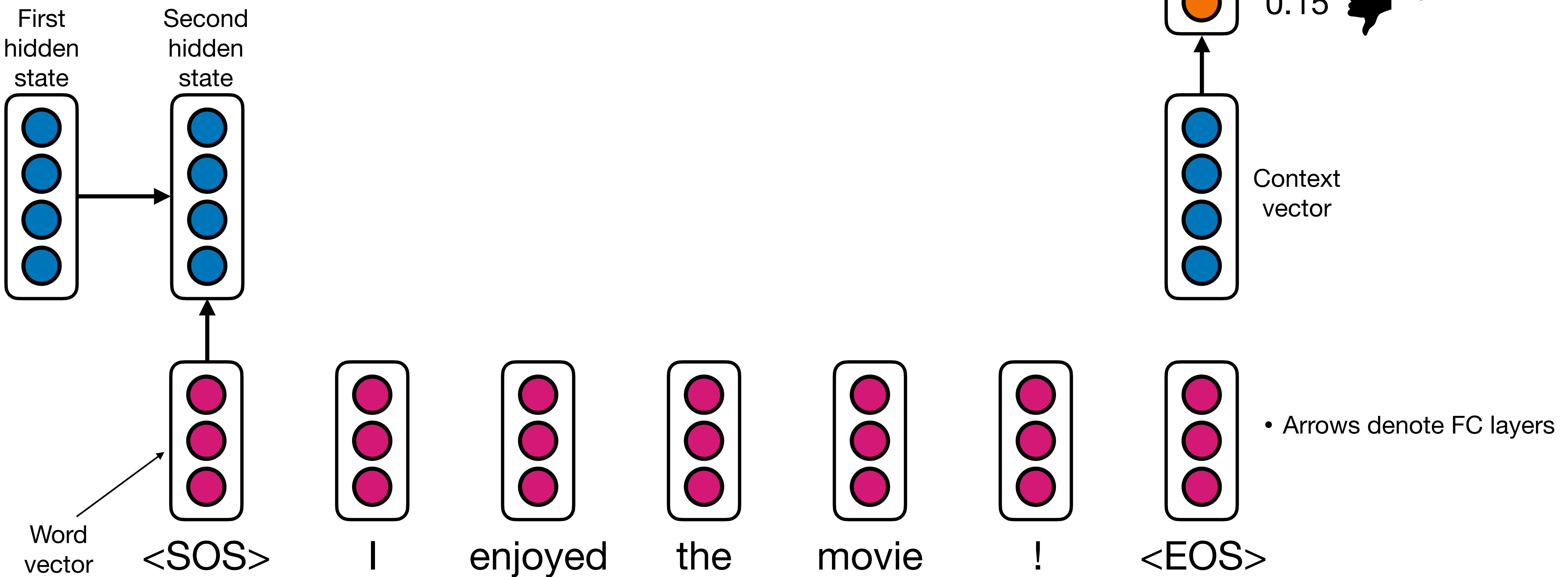
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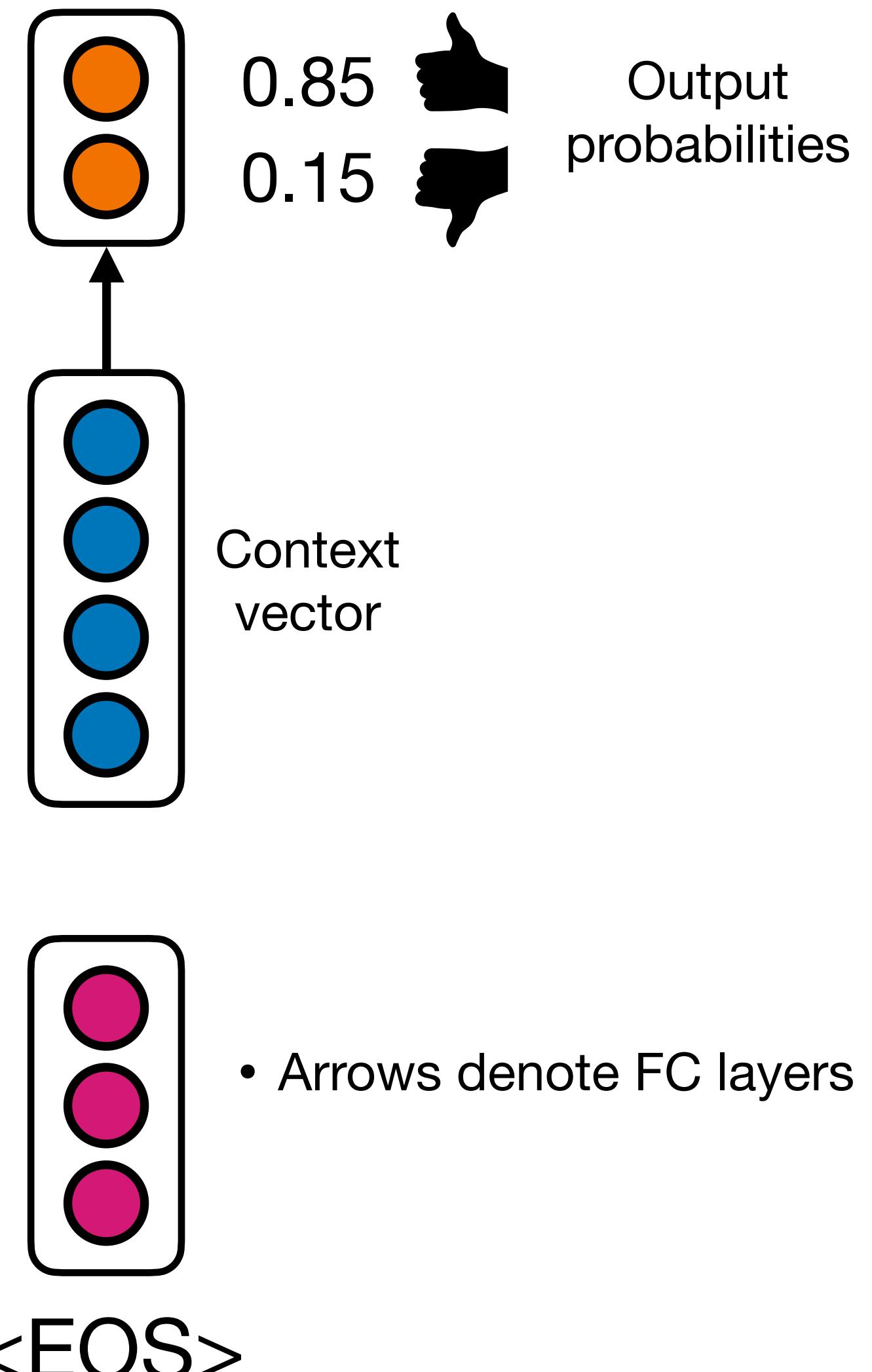
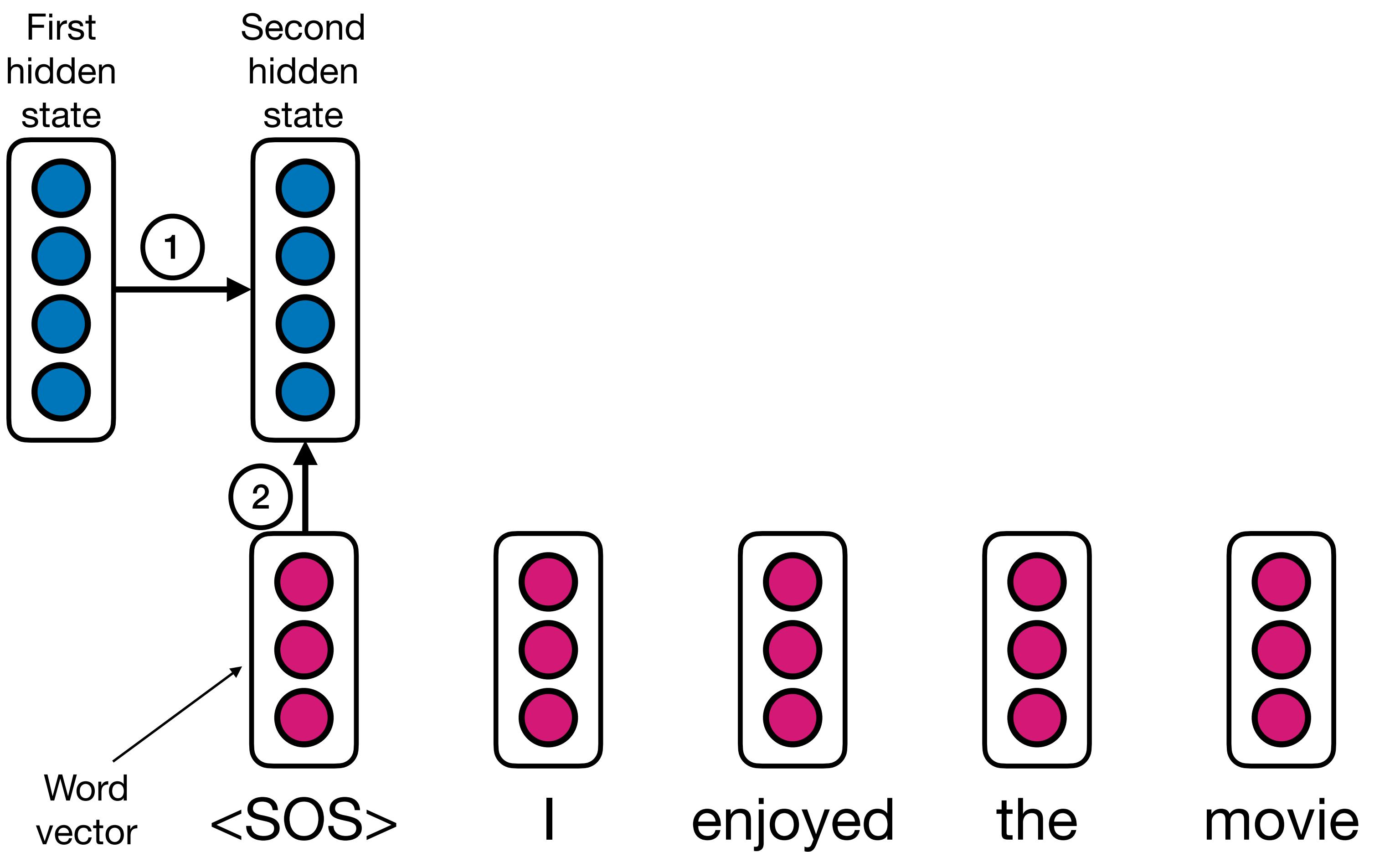
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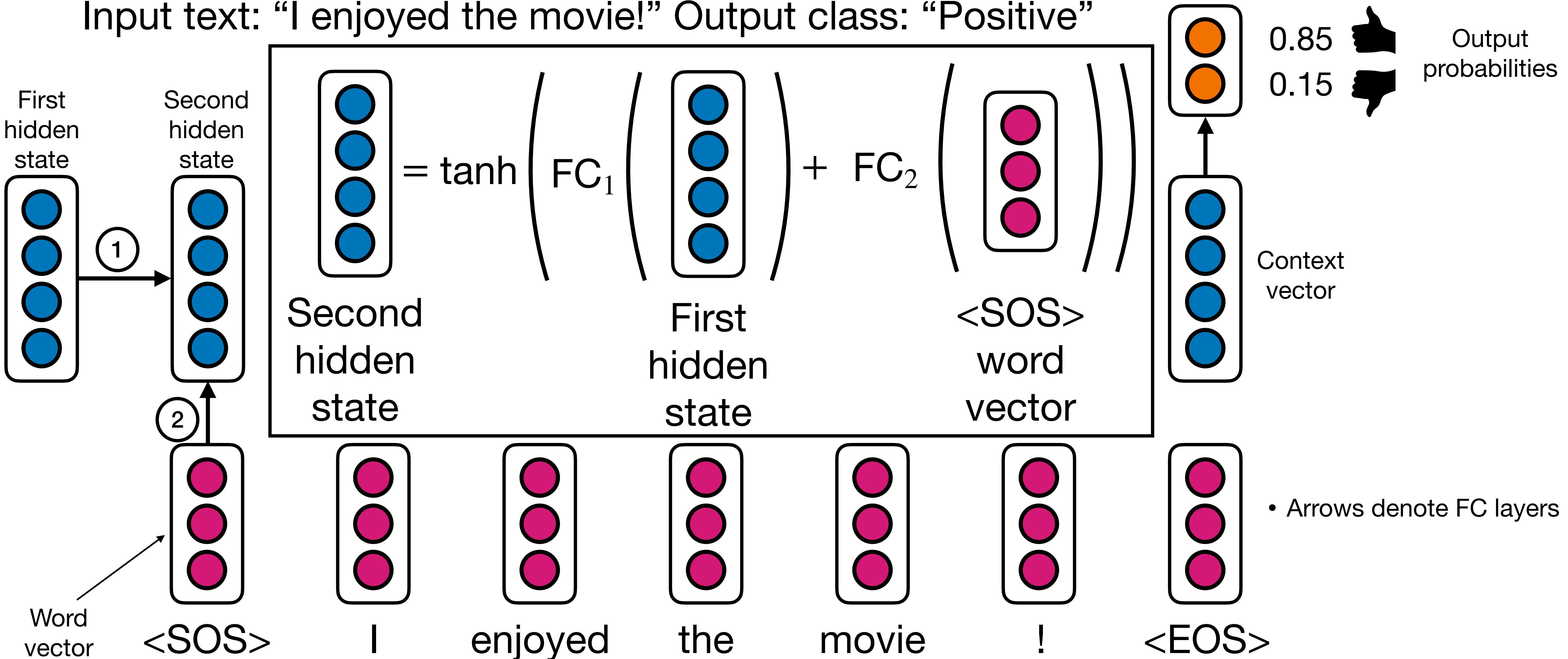
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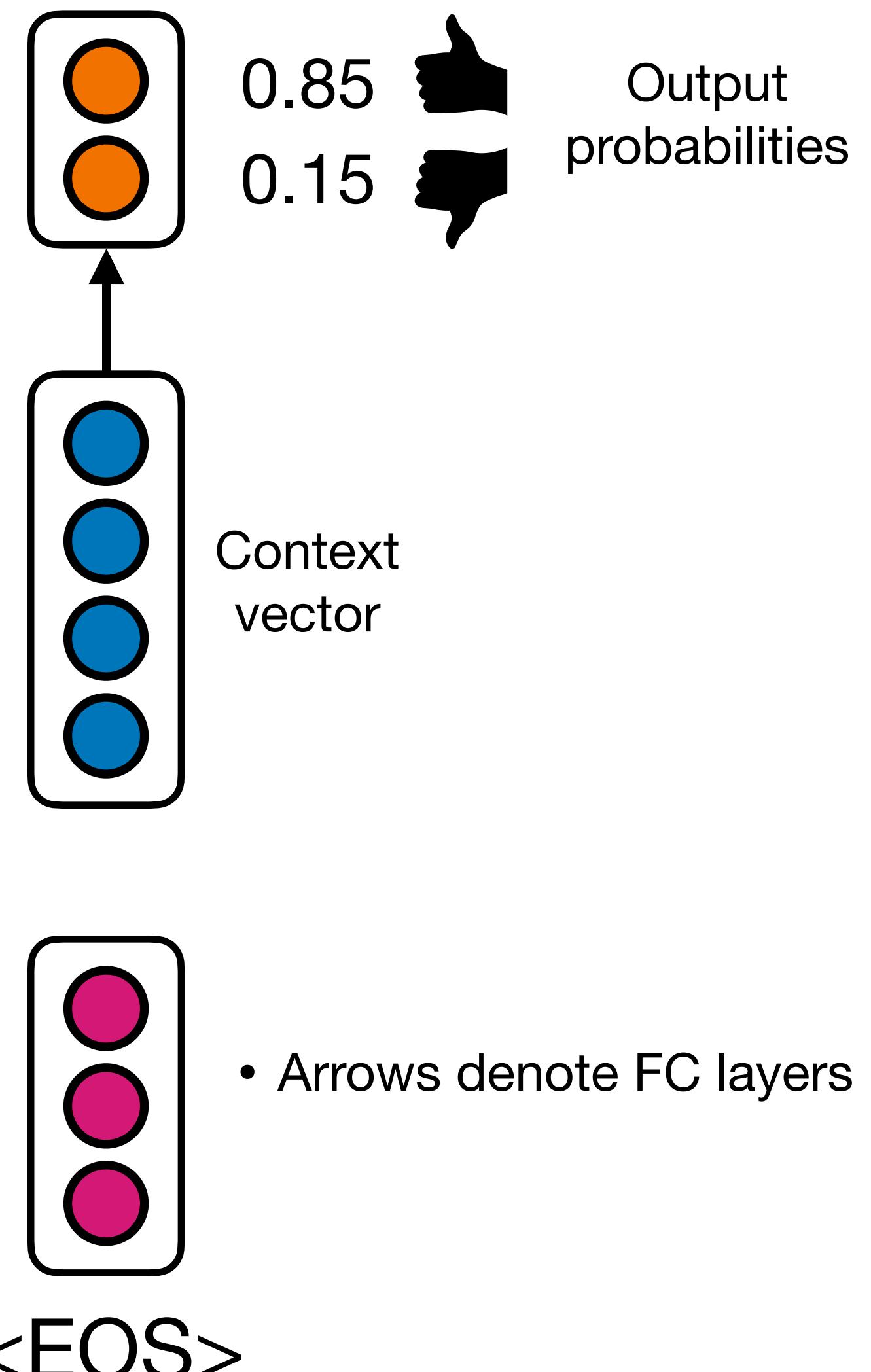
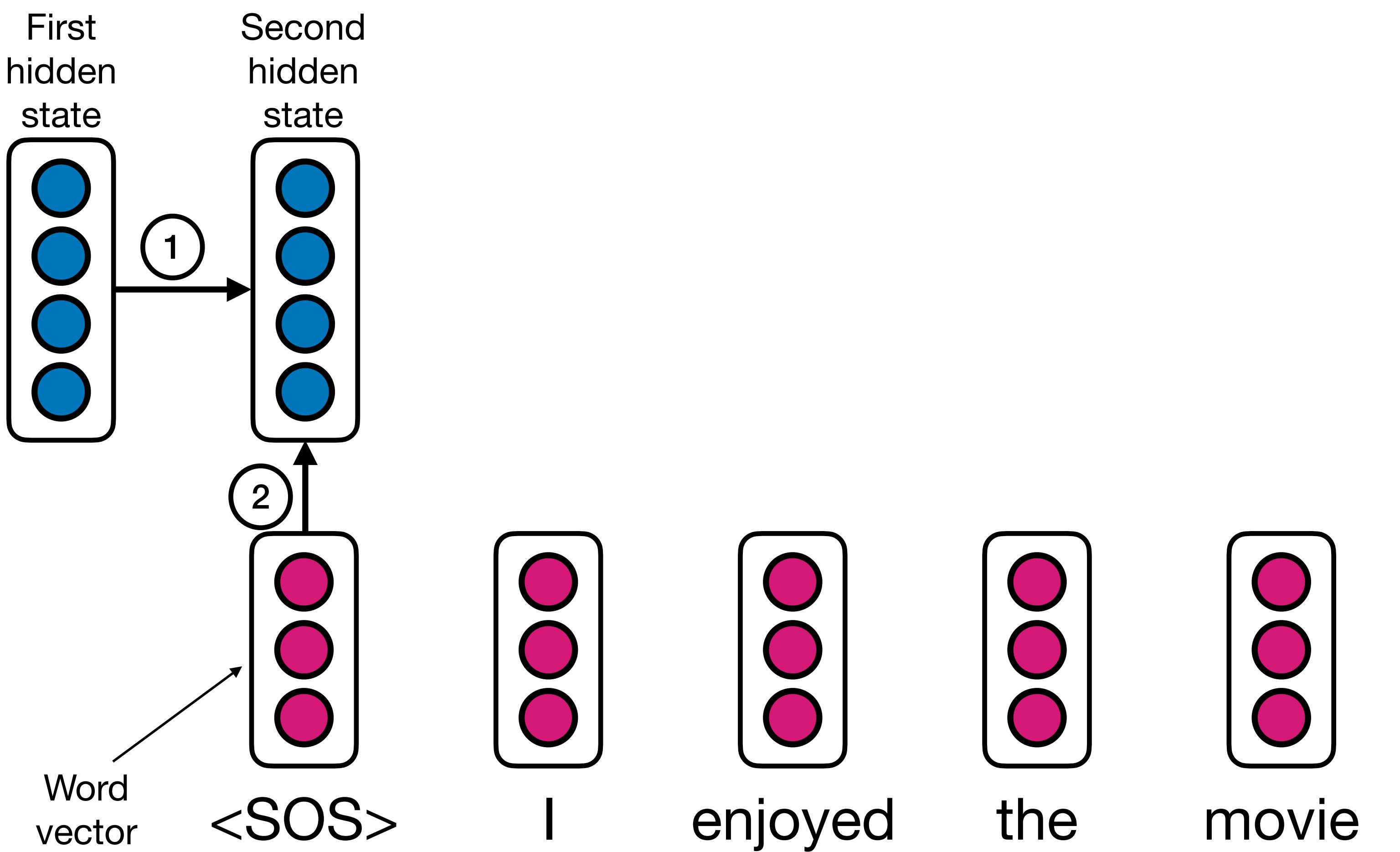
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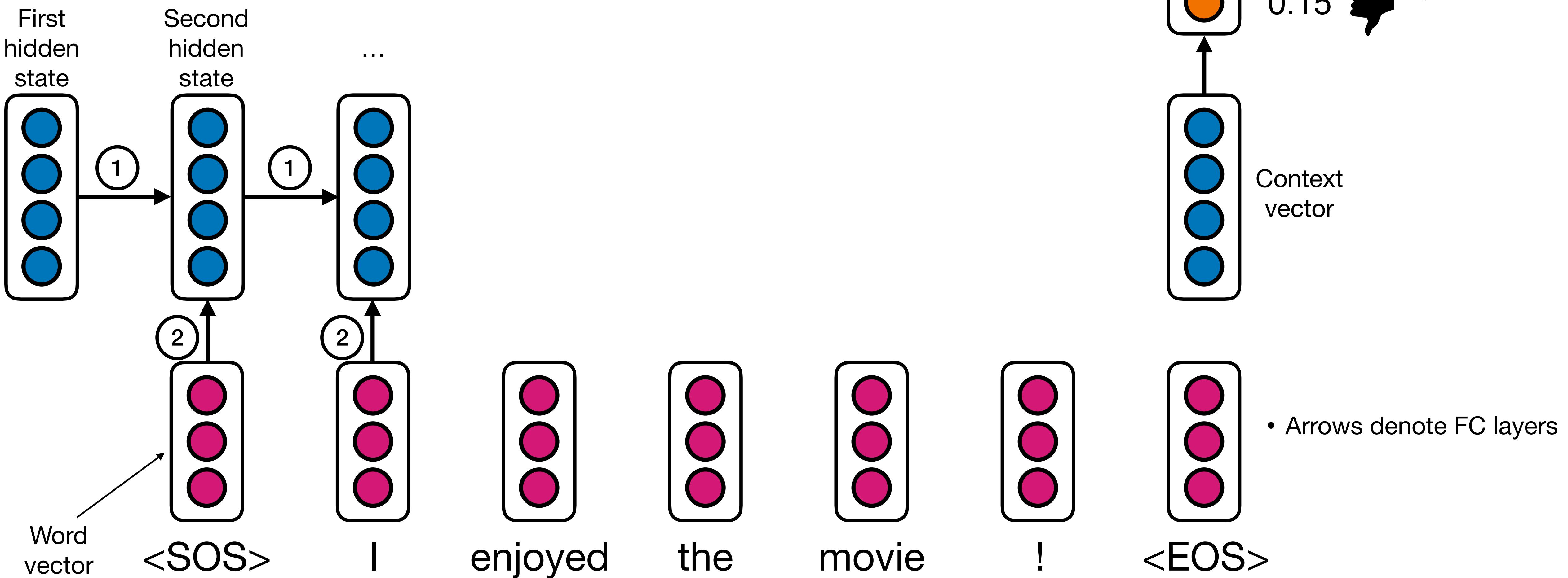
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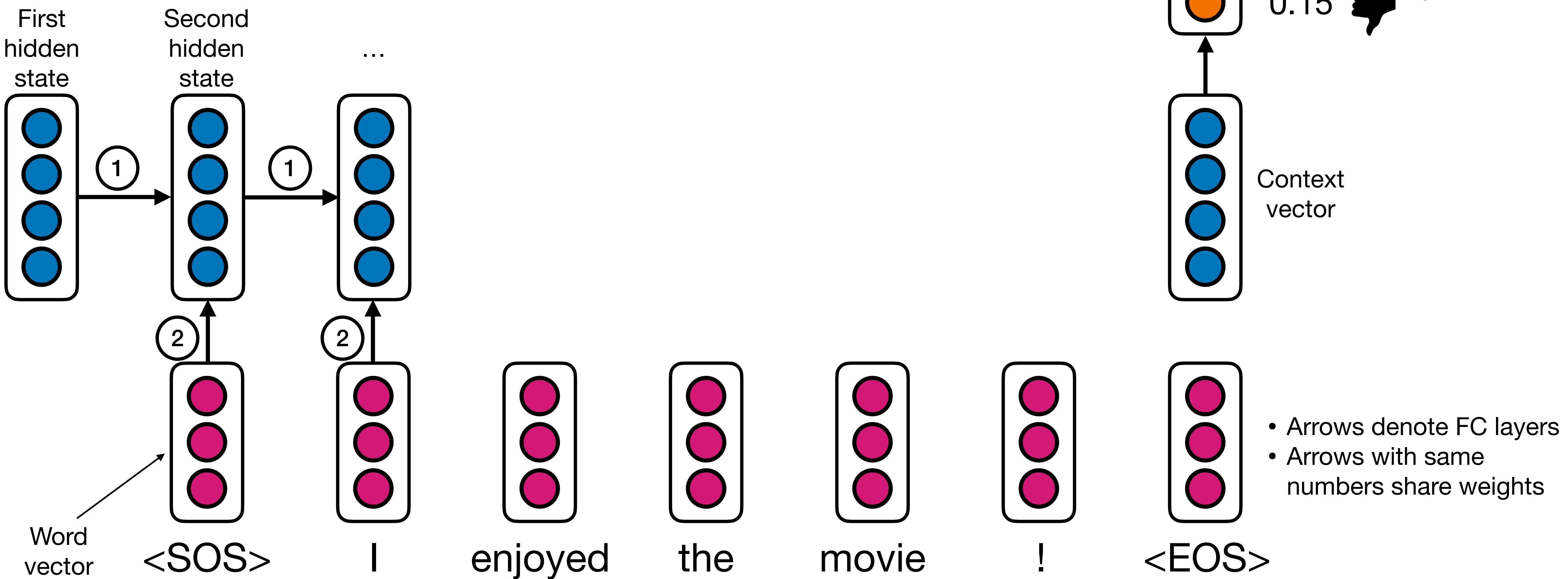
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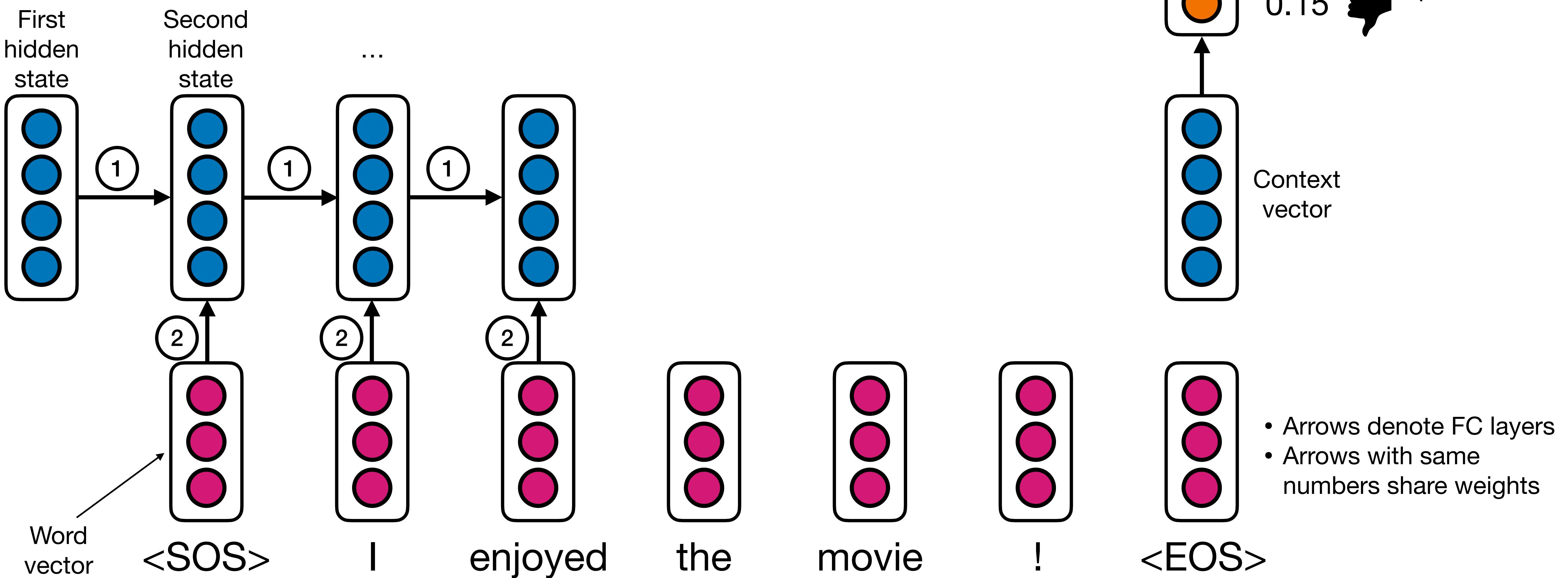
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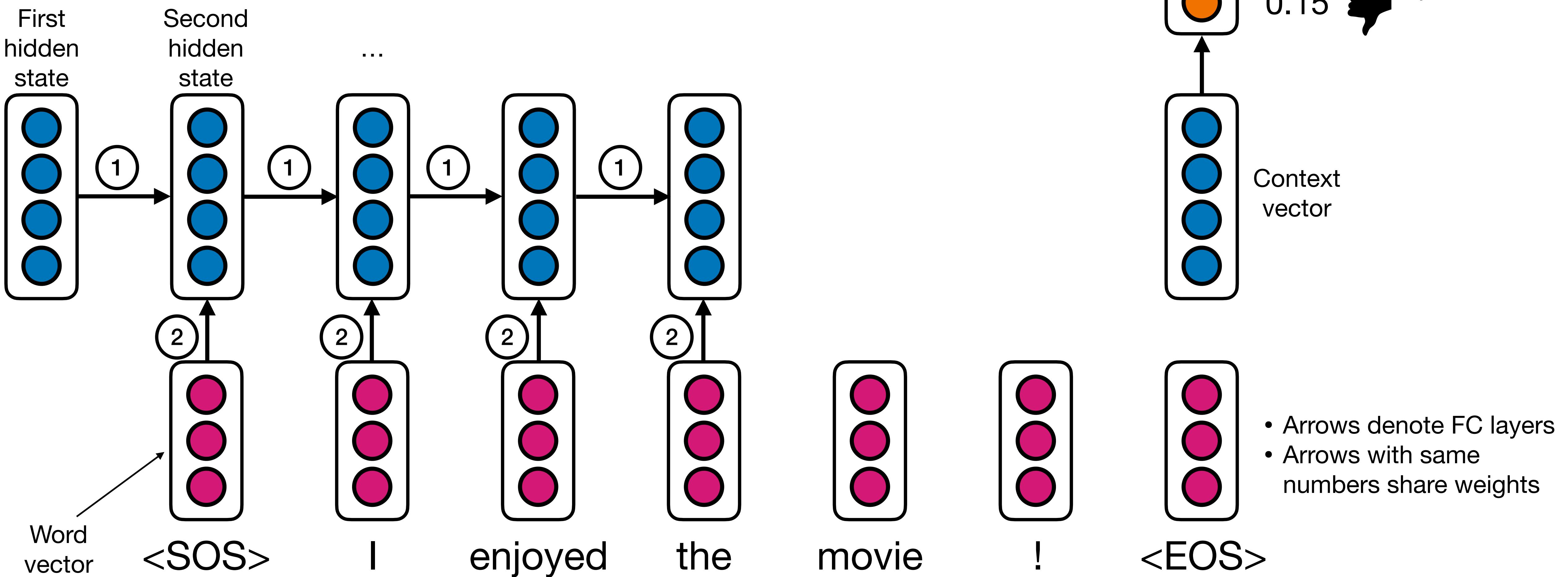
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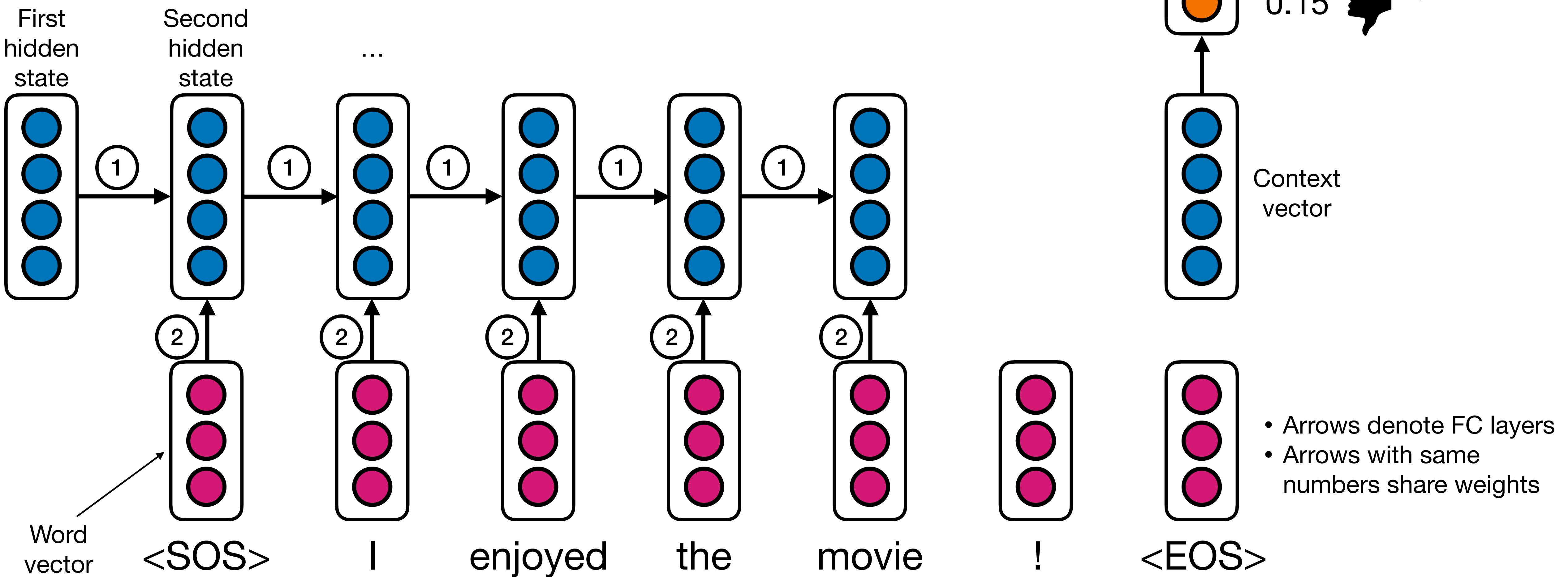
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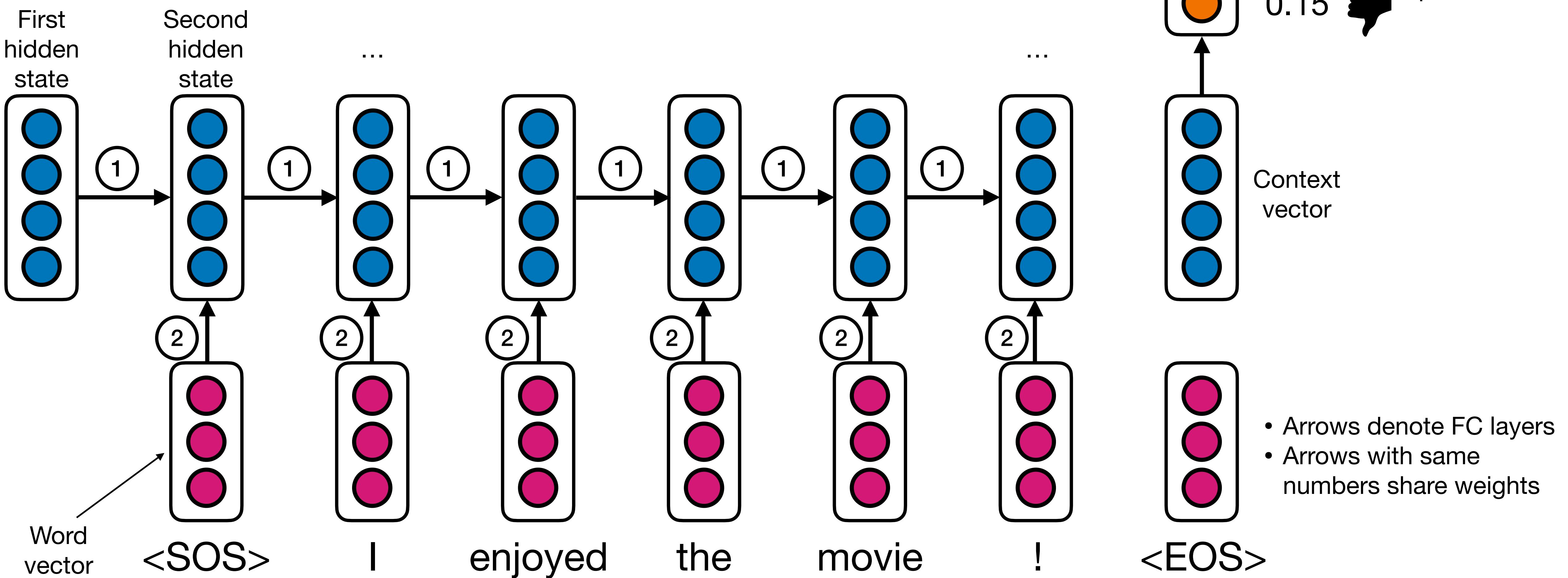
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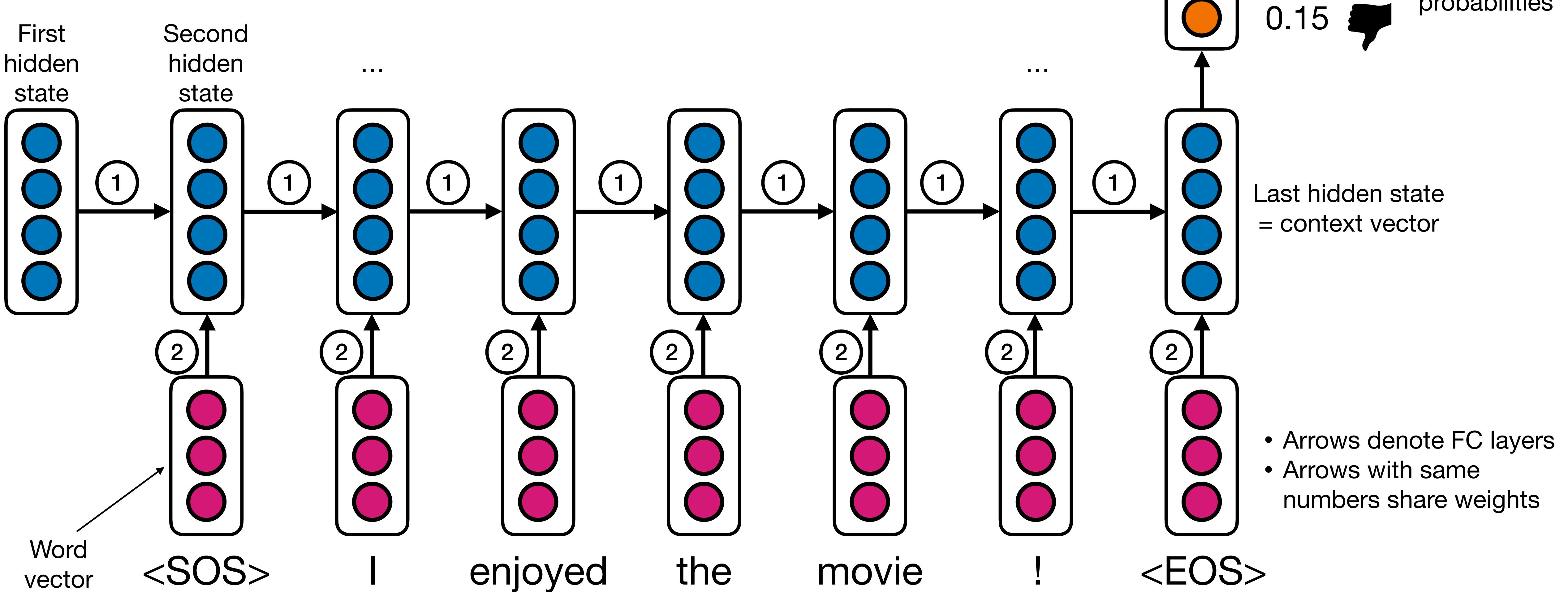
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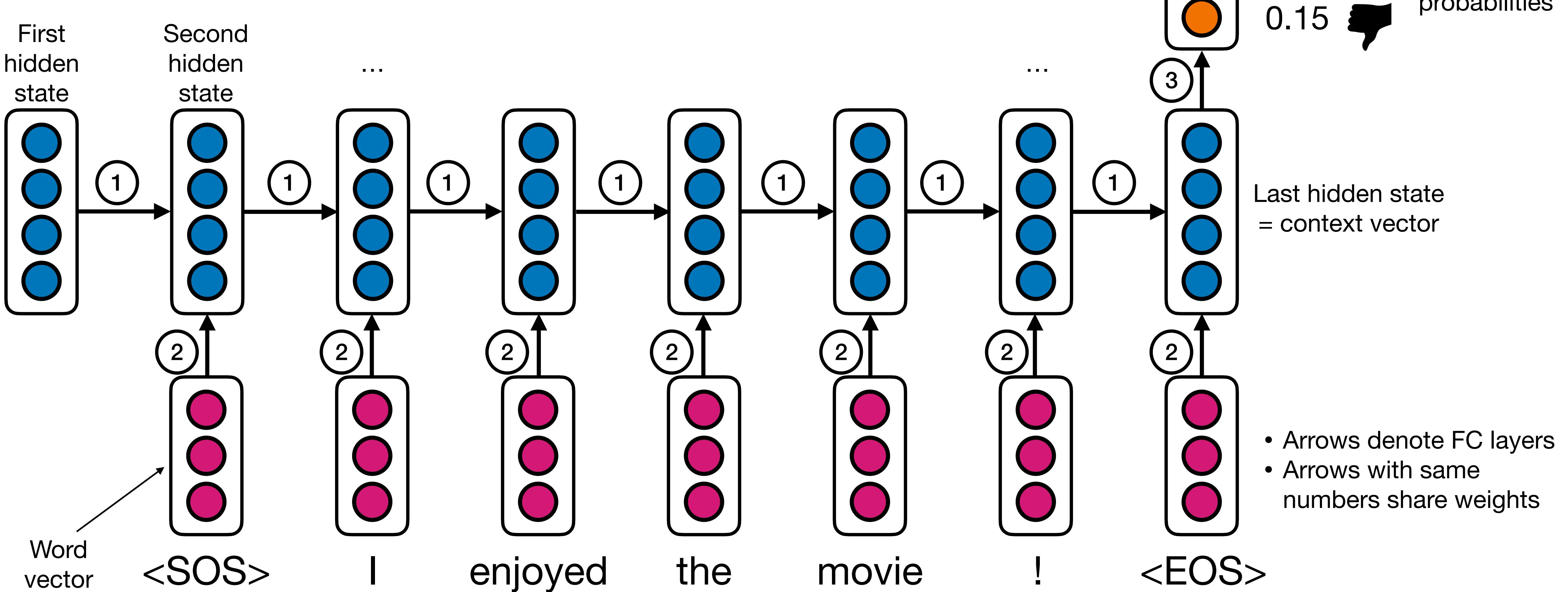
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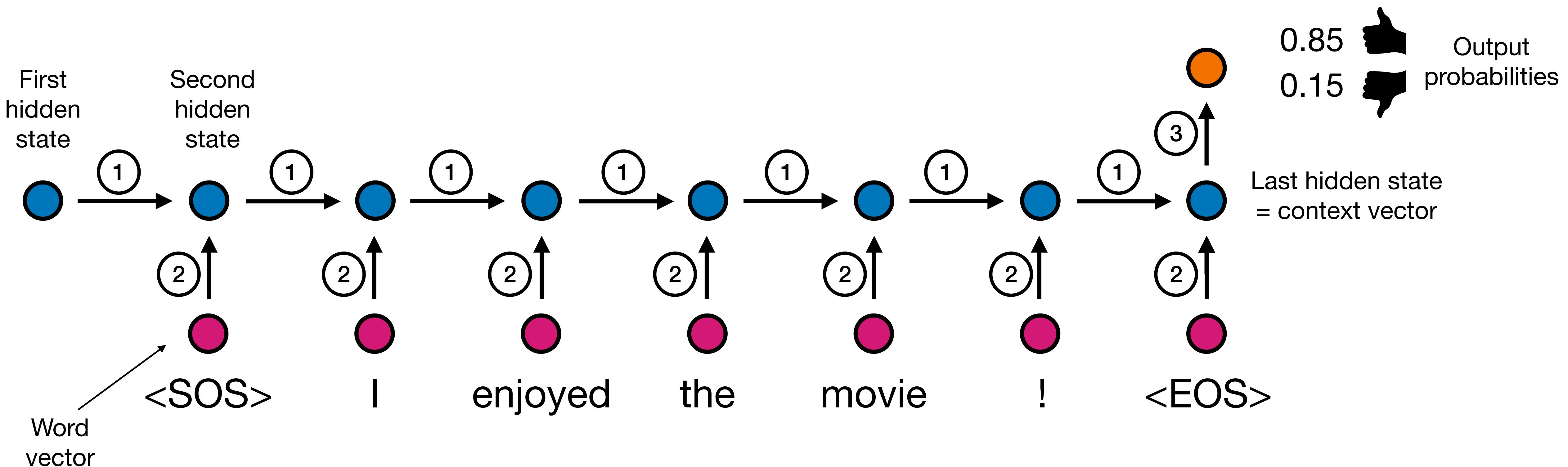
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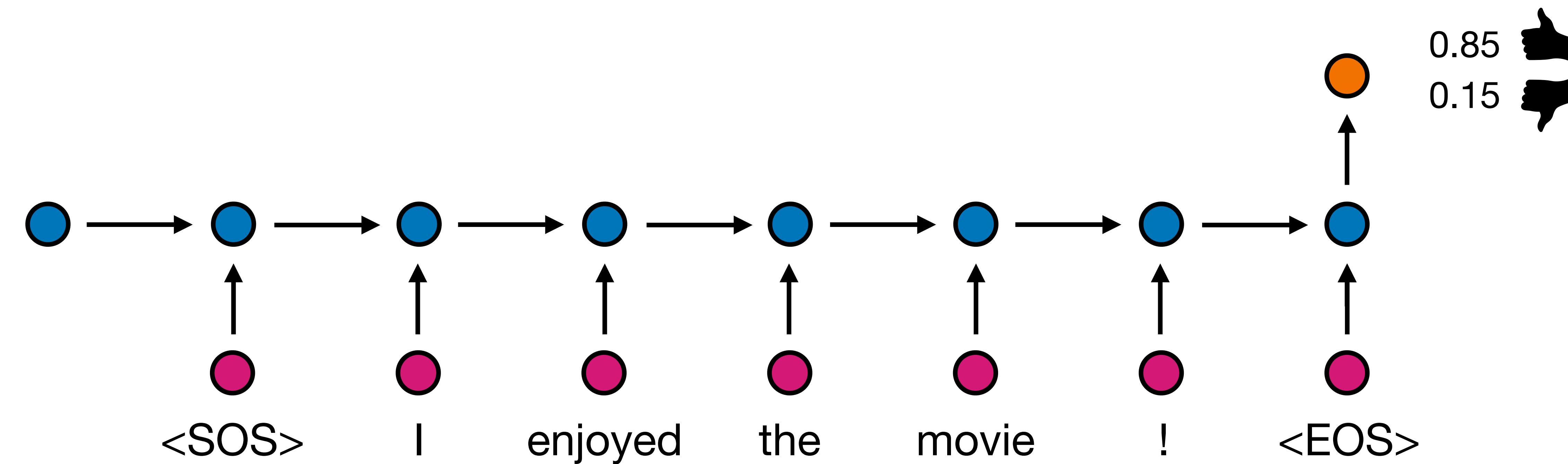
RNN for sentiment analysis (simplified)

Input text: “I enjoyed the movie!” Output class: “Positive”



RNN for sentiment analysis (simplified)

Input text: “I enjoyed the movie!” Output class: “Positive”



Training RNNs for sentiment classification

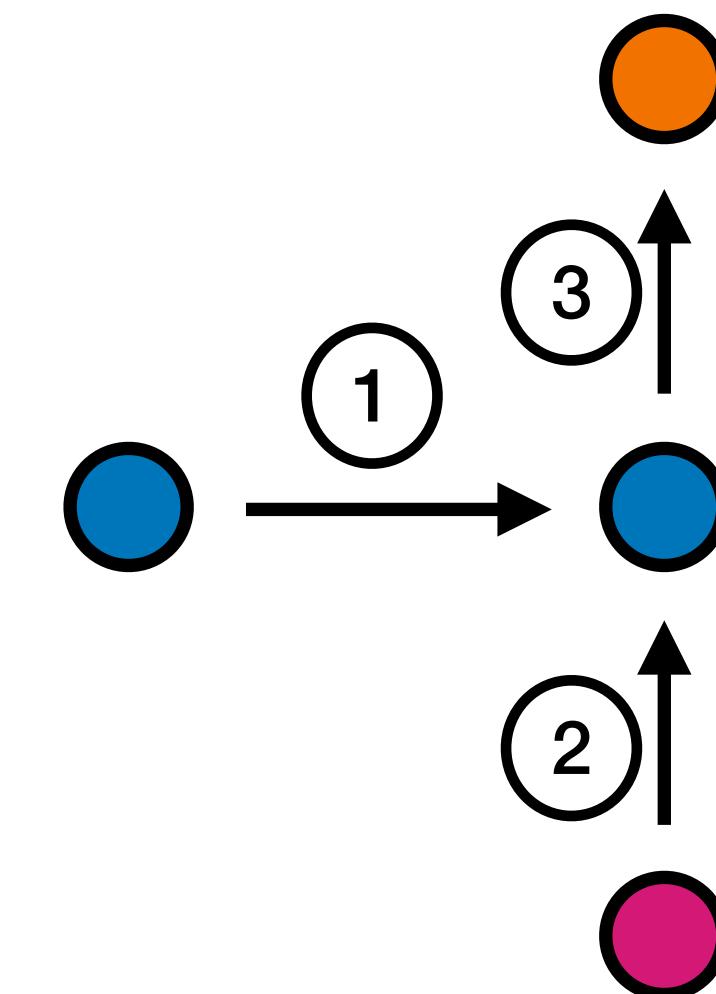
Training data

Movie ratings database:

Input	Output
“I enjoyed the movie.”	“Positive”
“Despite its intriguing premise, this movie ended up being disappointment.”	“Negative”
...	...
“This was the best movie I had seen in a while.”	“Positive”

Training process

- Learn the three sets of weights via stochastic gradient descent on the cross-entropy loss function.



RNN for language modeling

Input text: “my favorite season is”. Output word: “spring”



Training RNNs for language modeling

Training data

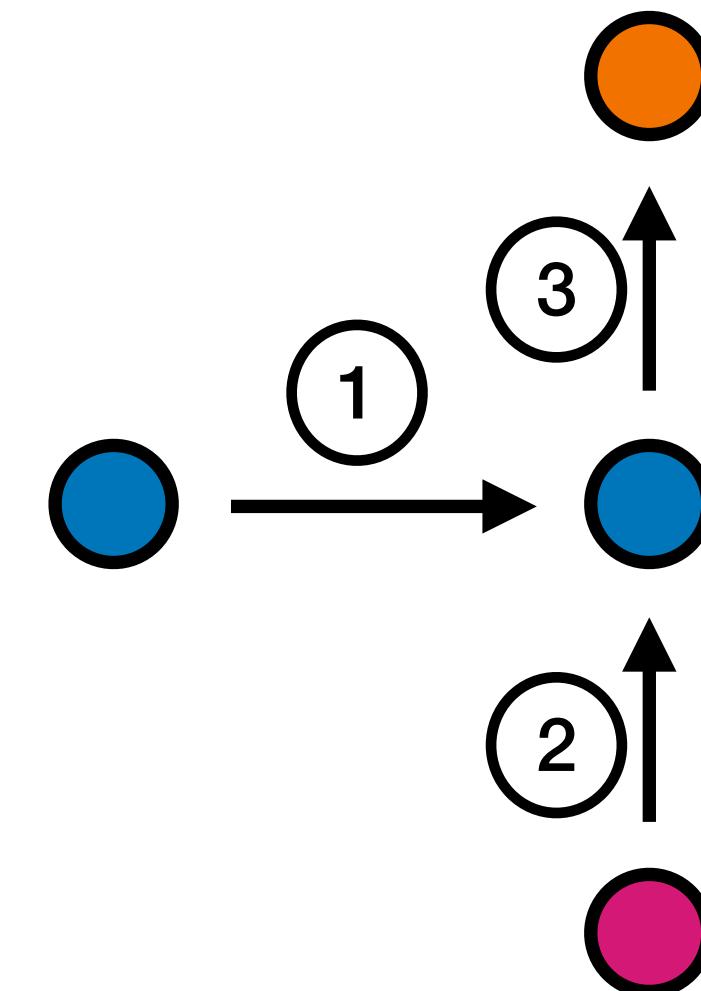
Large corpus of text, e.g. Wikipedia.

“My favorite season in spring. The flowers are blooming and the sun is shining...”

Input	Output
“My”	“favorite”
“My favorite”	“season”
“My favorite season”	“is”
“My favorite season is”	“spring”
...	...

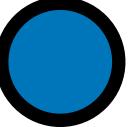
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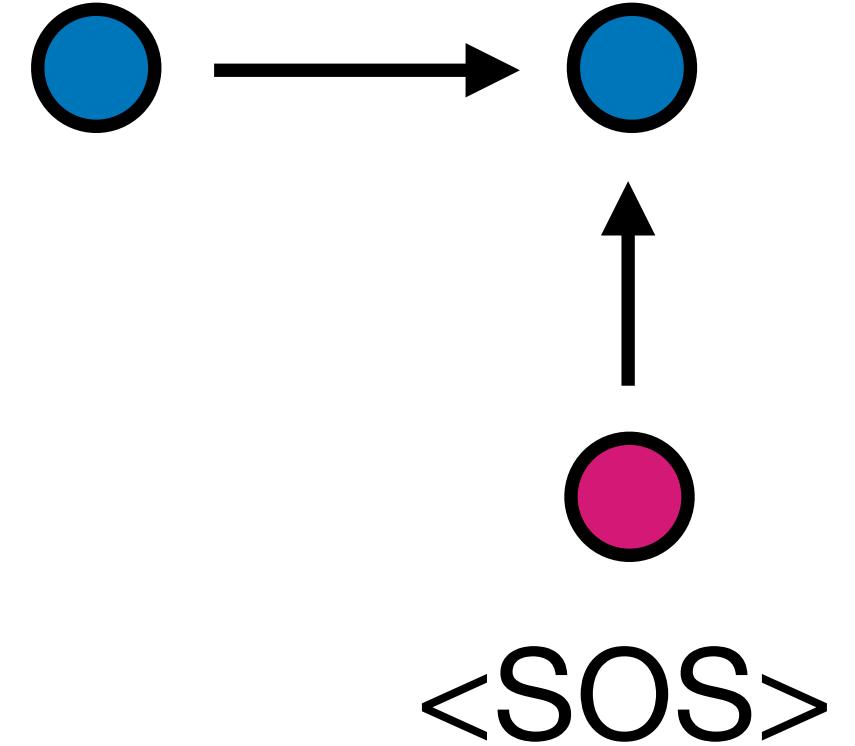
RNNs for autoregressive text generation

RNNs for autoregressive text generation

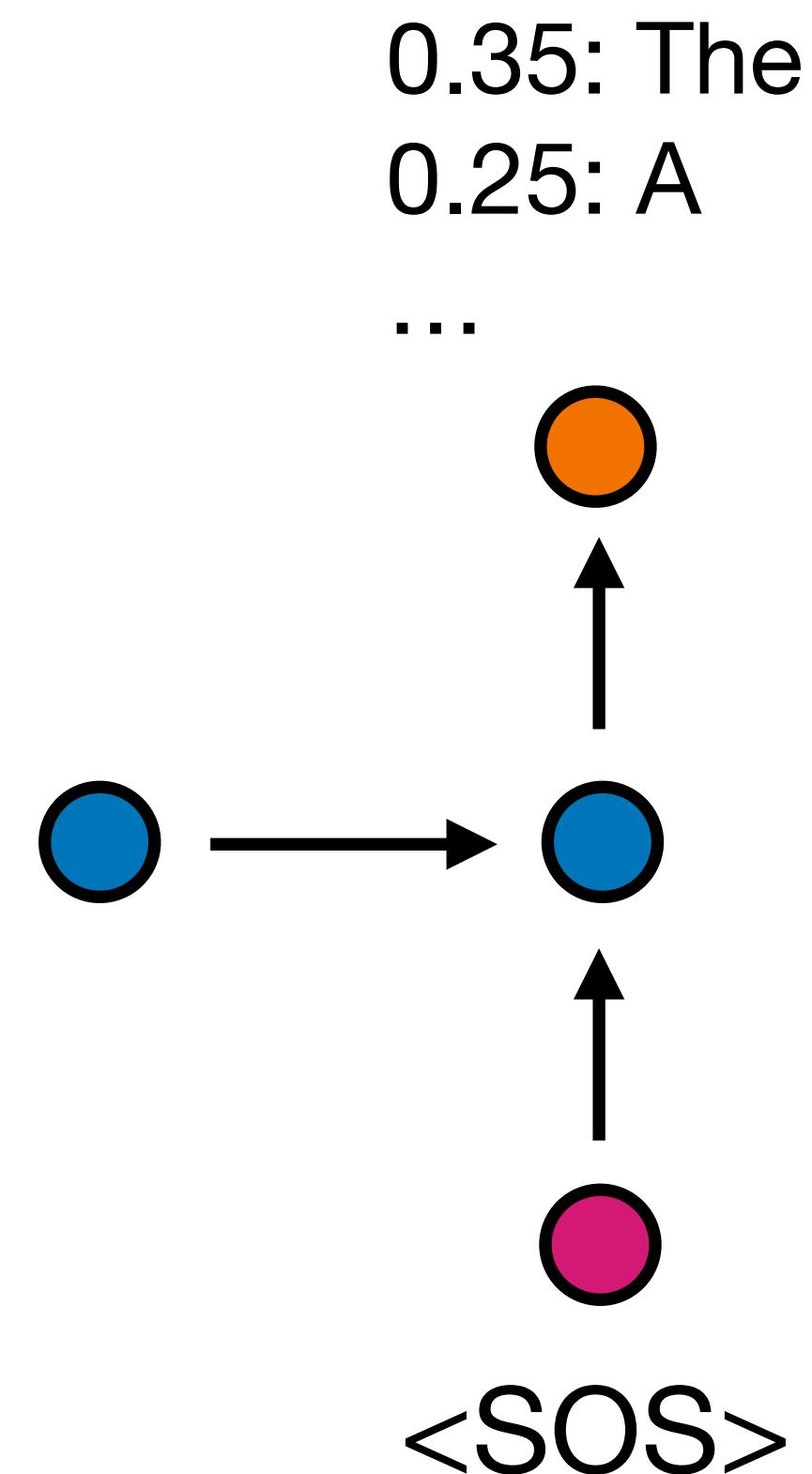


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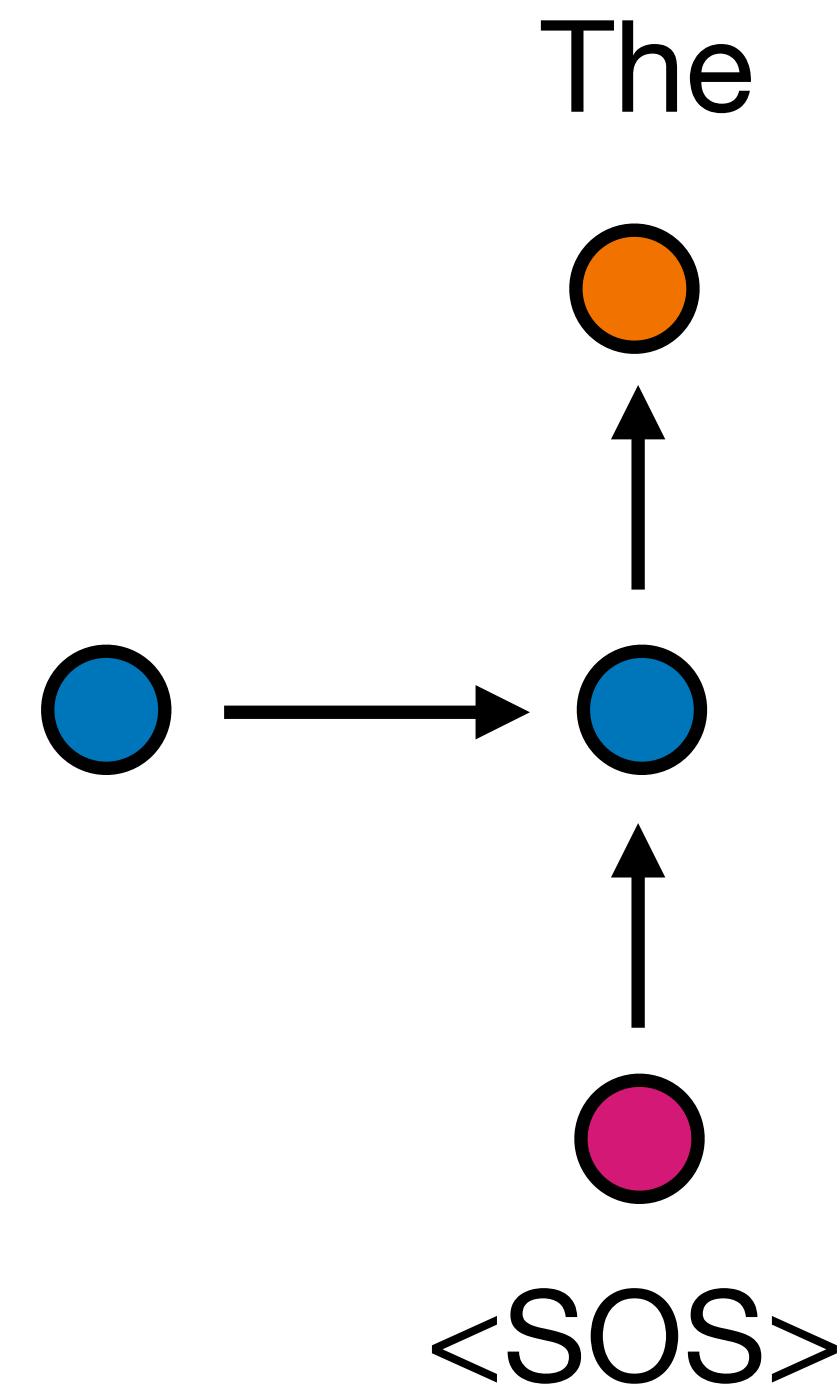
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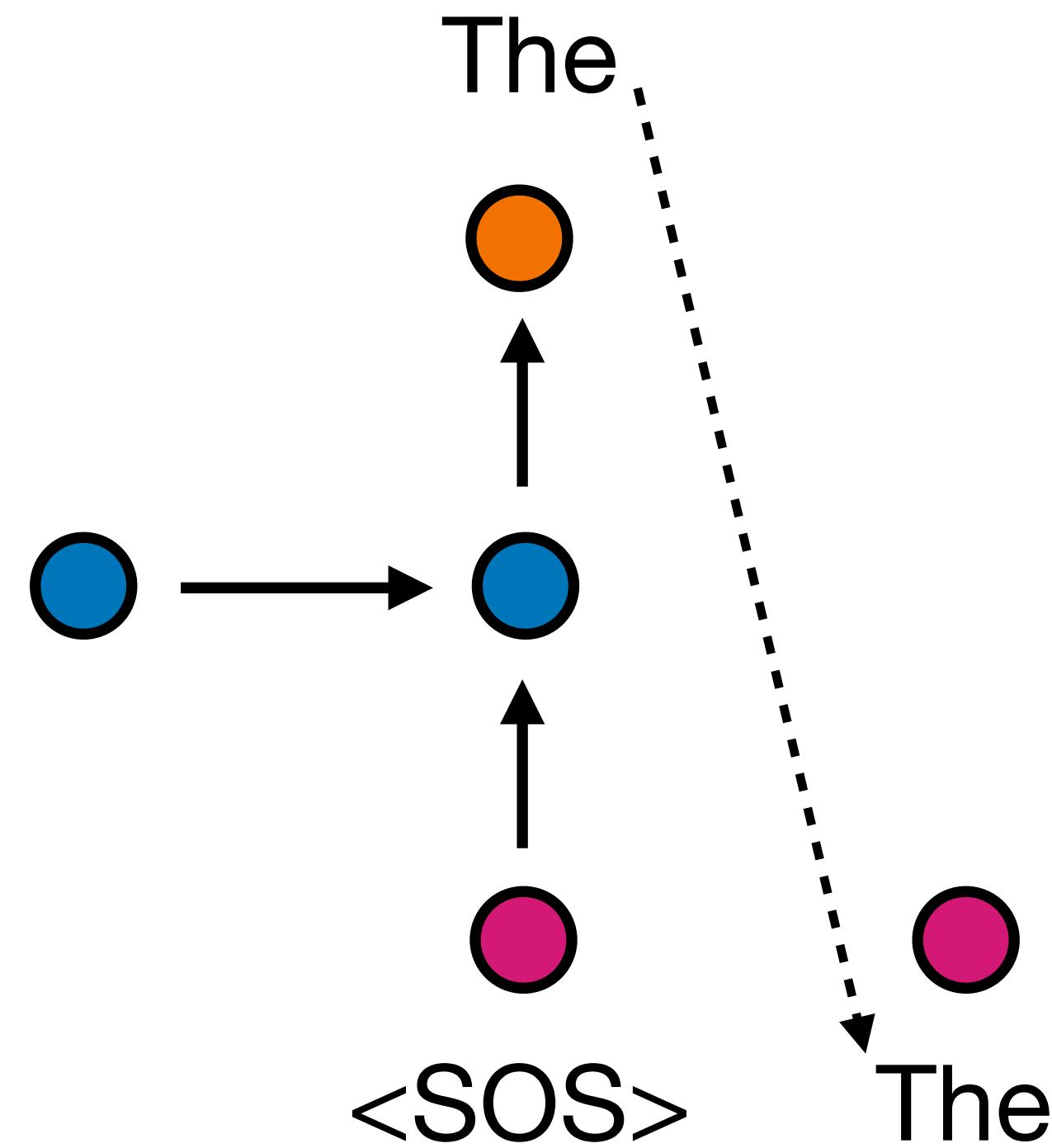
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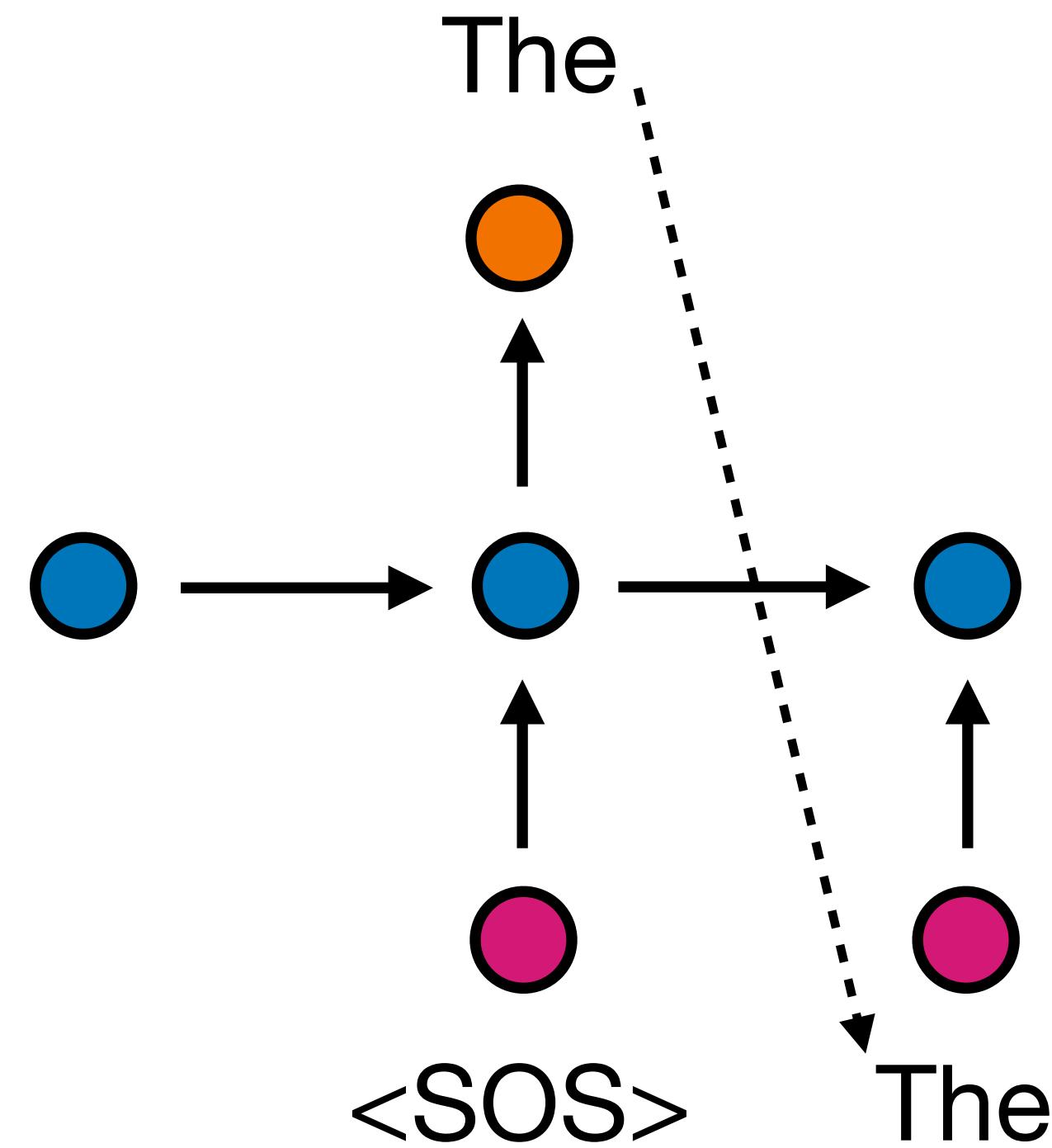
RNNs for autoregressive text generation



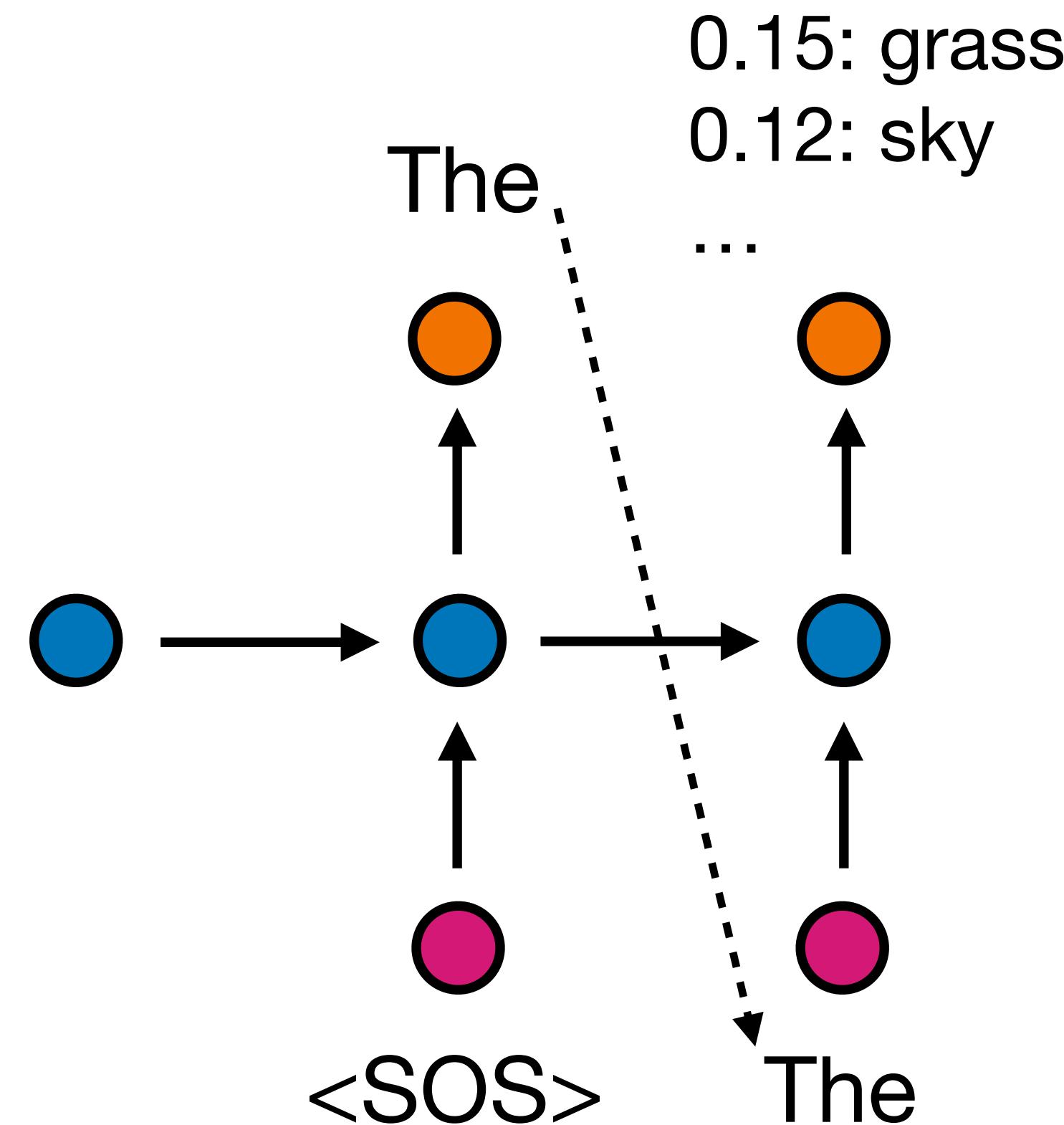
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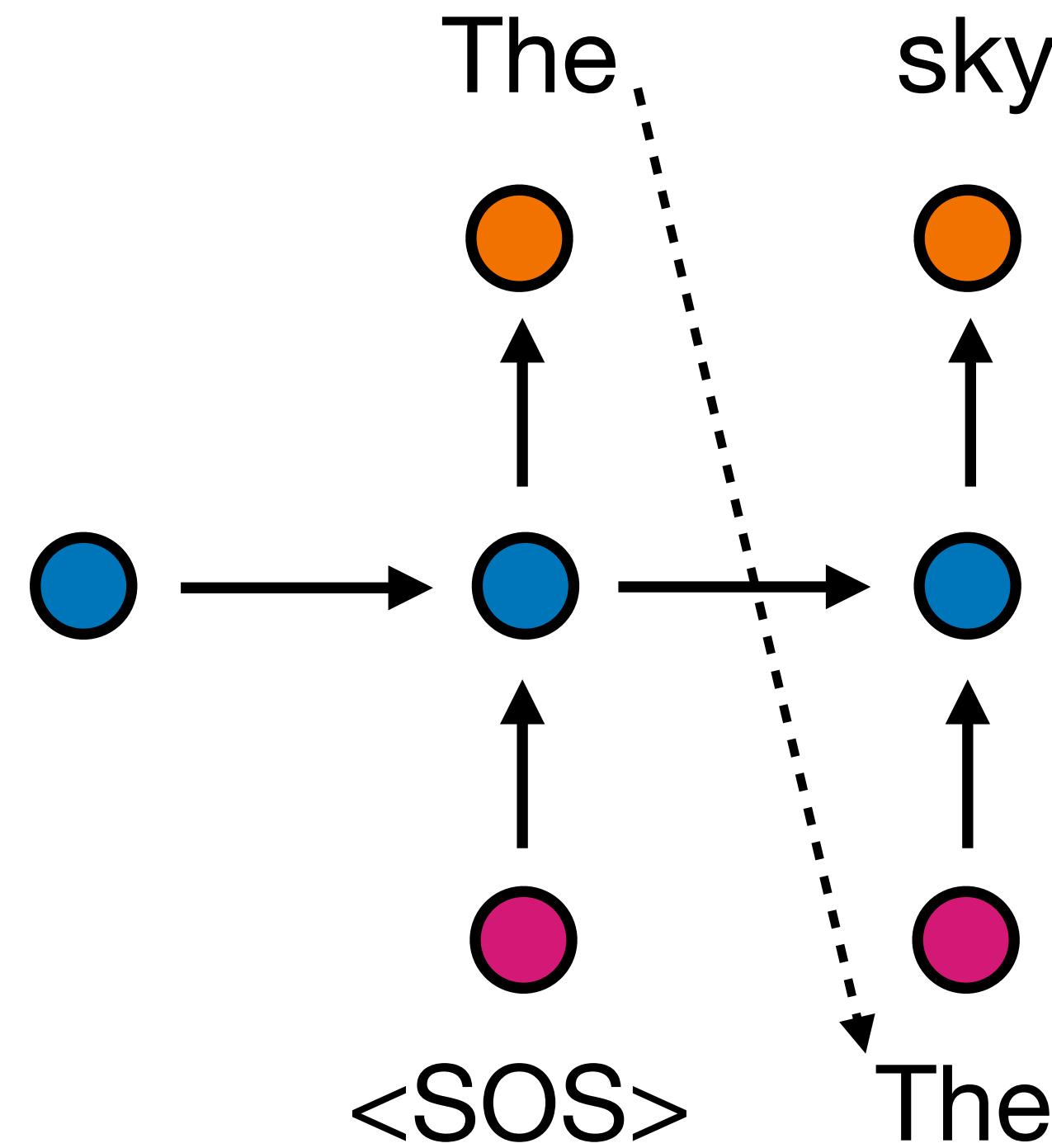
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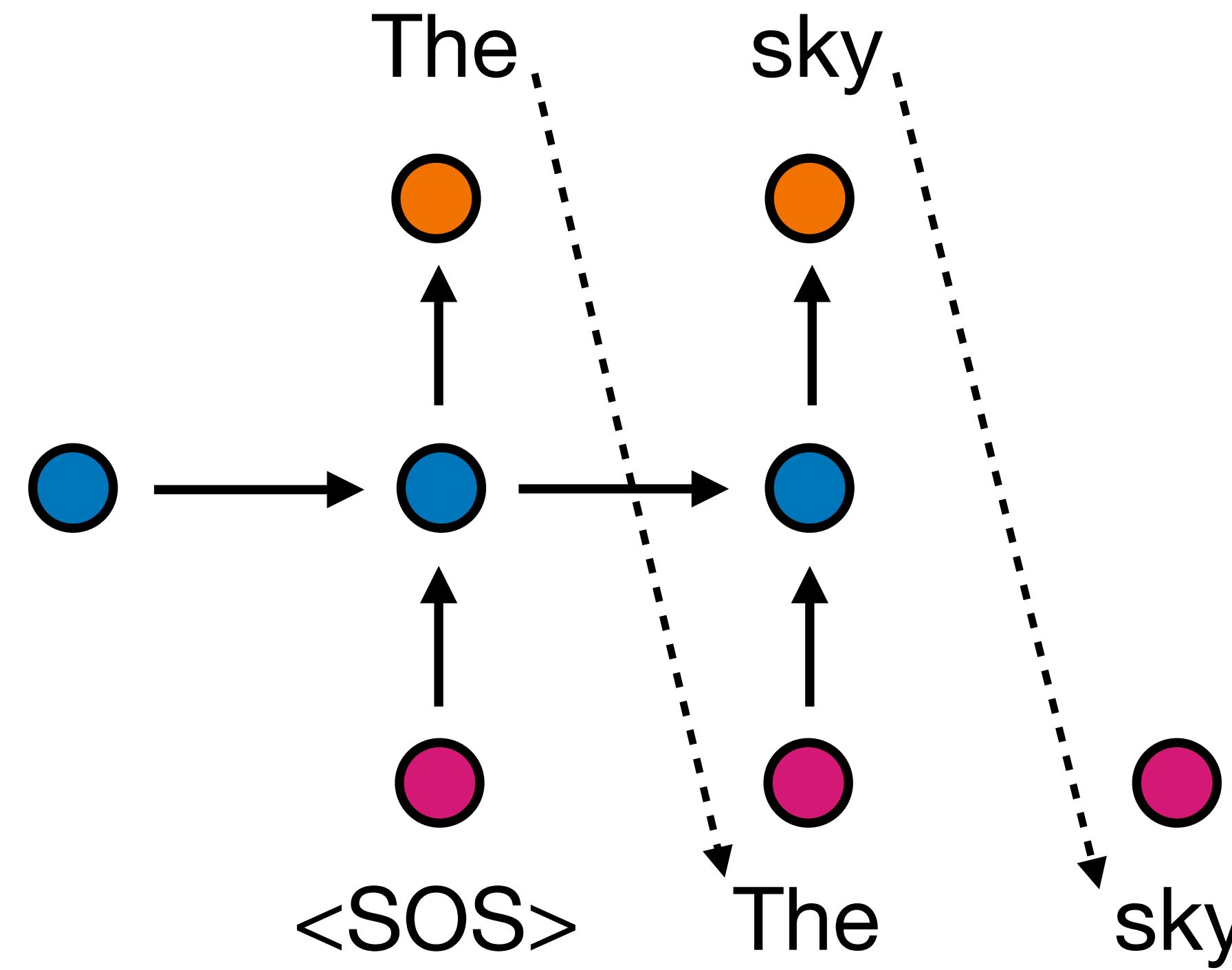
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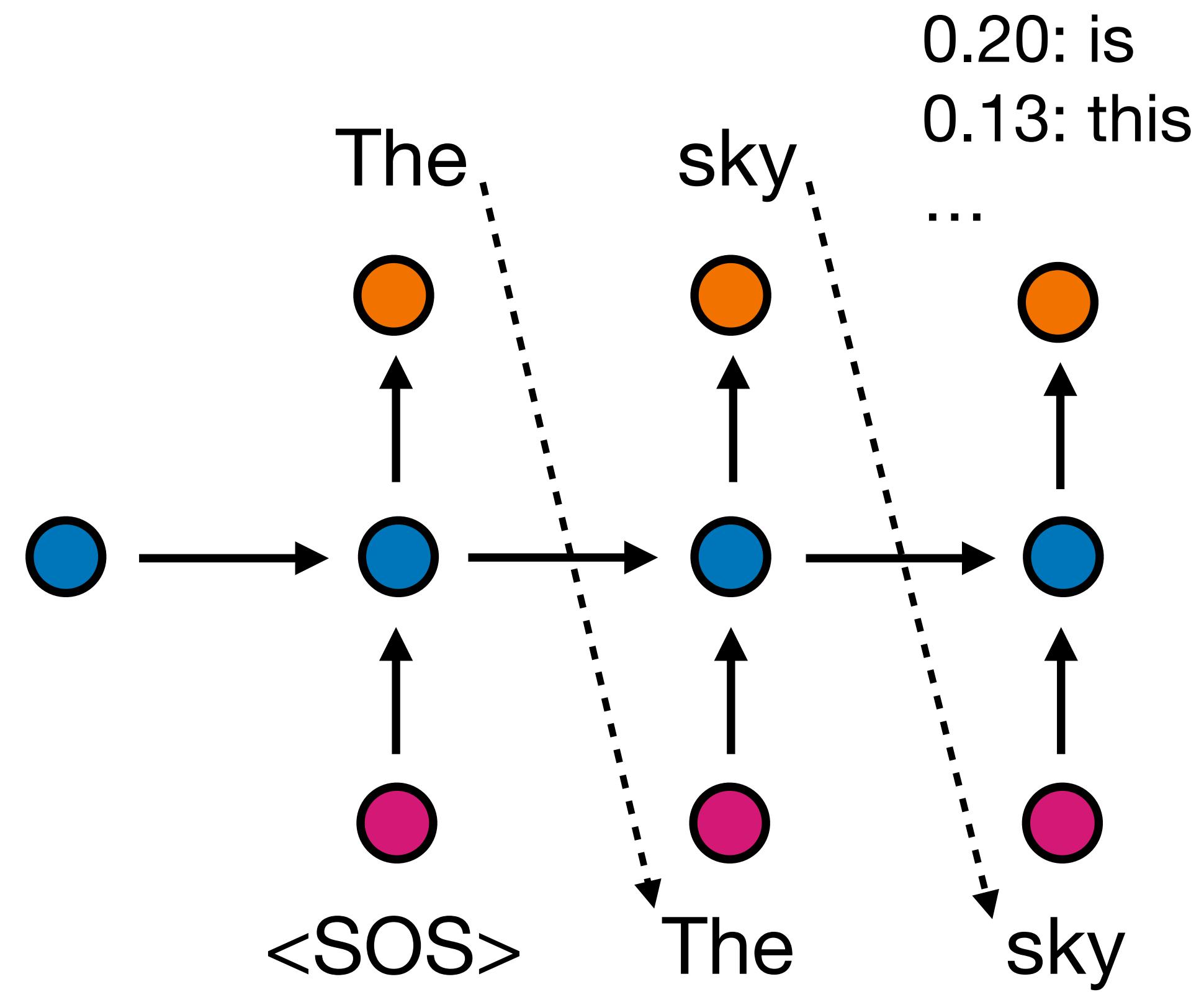
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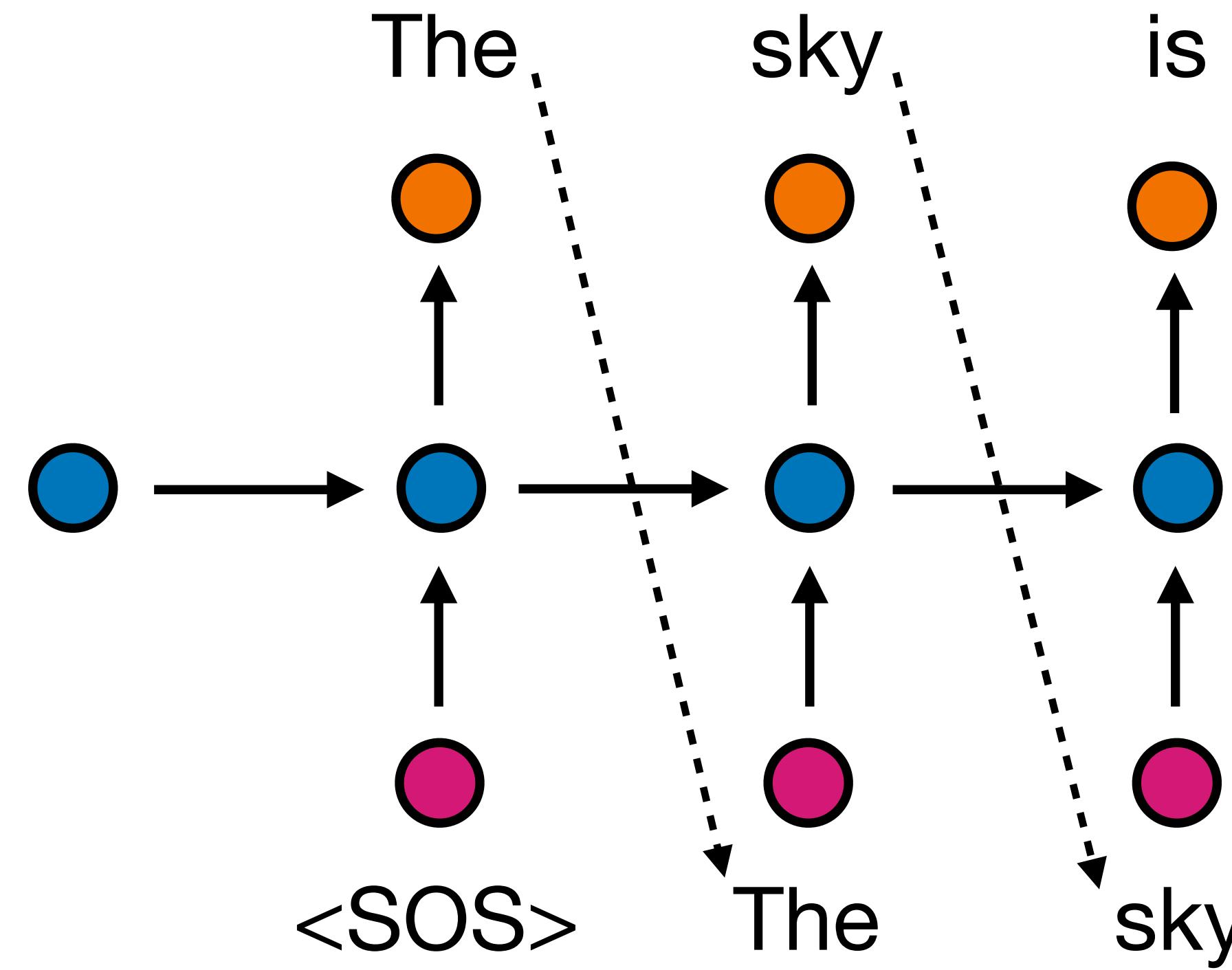
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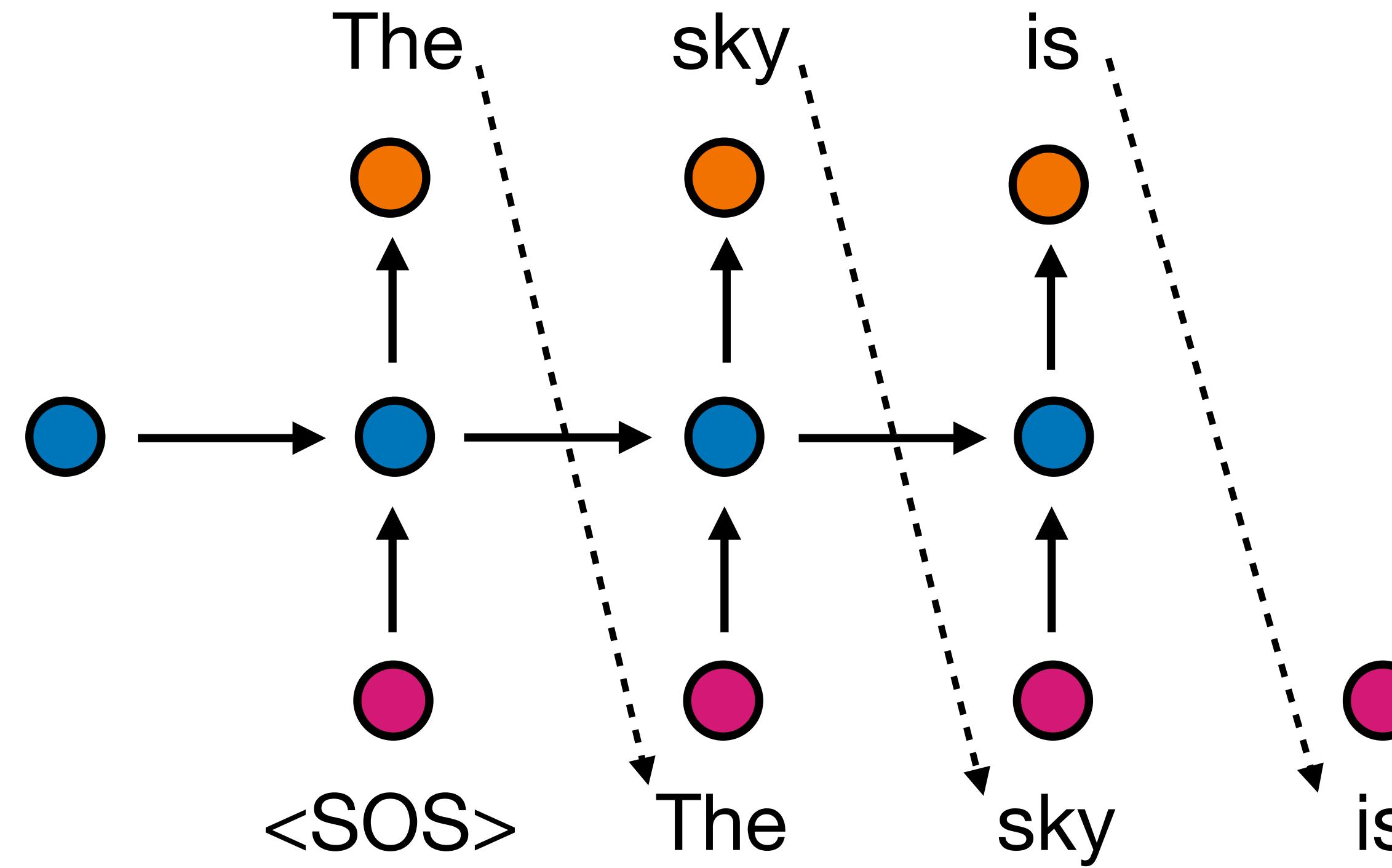
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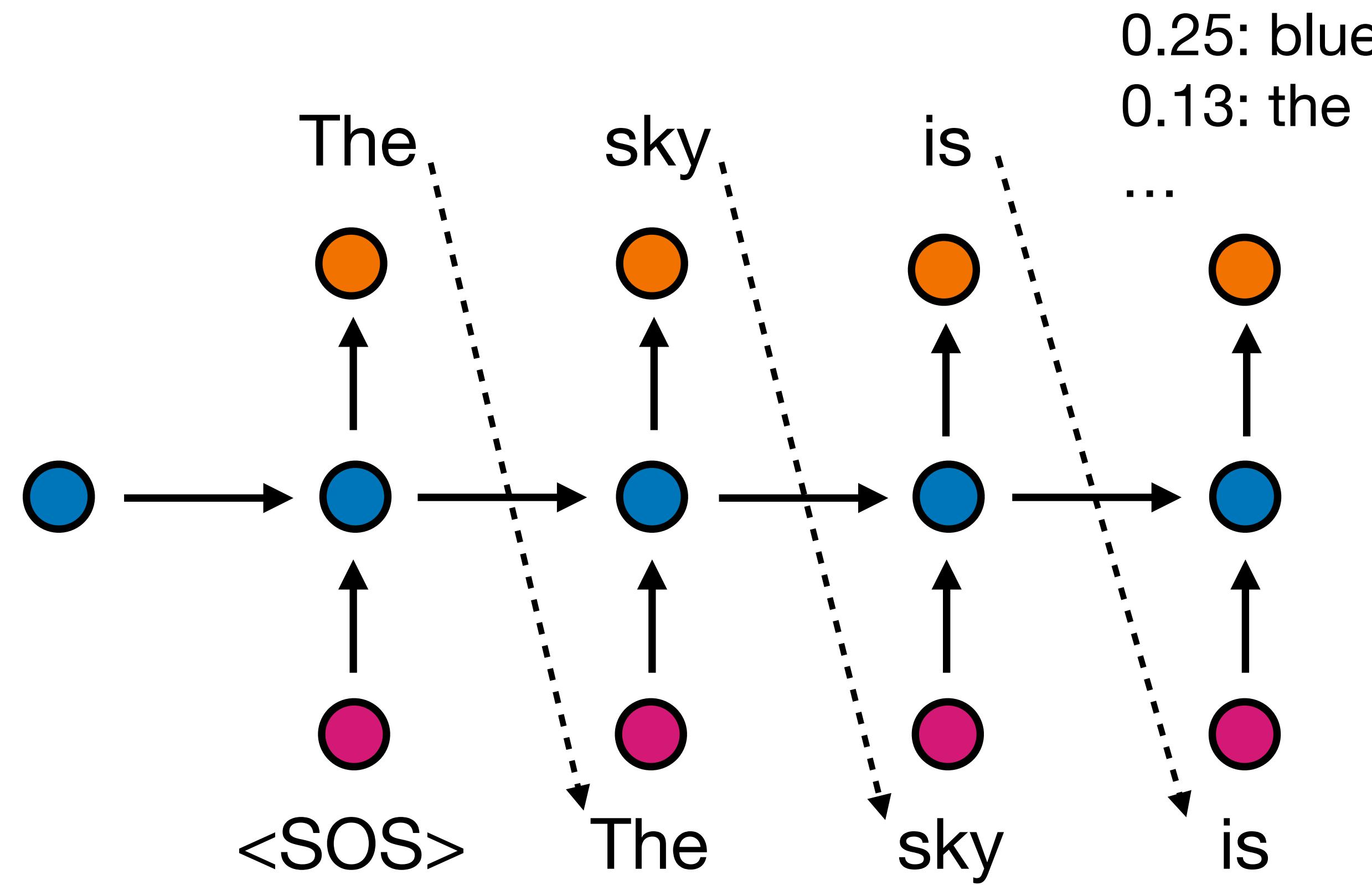
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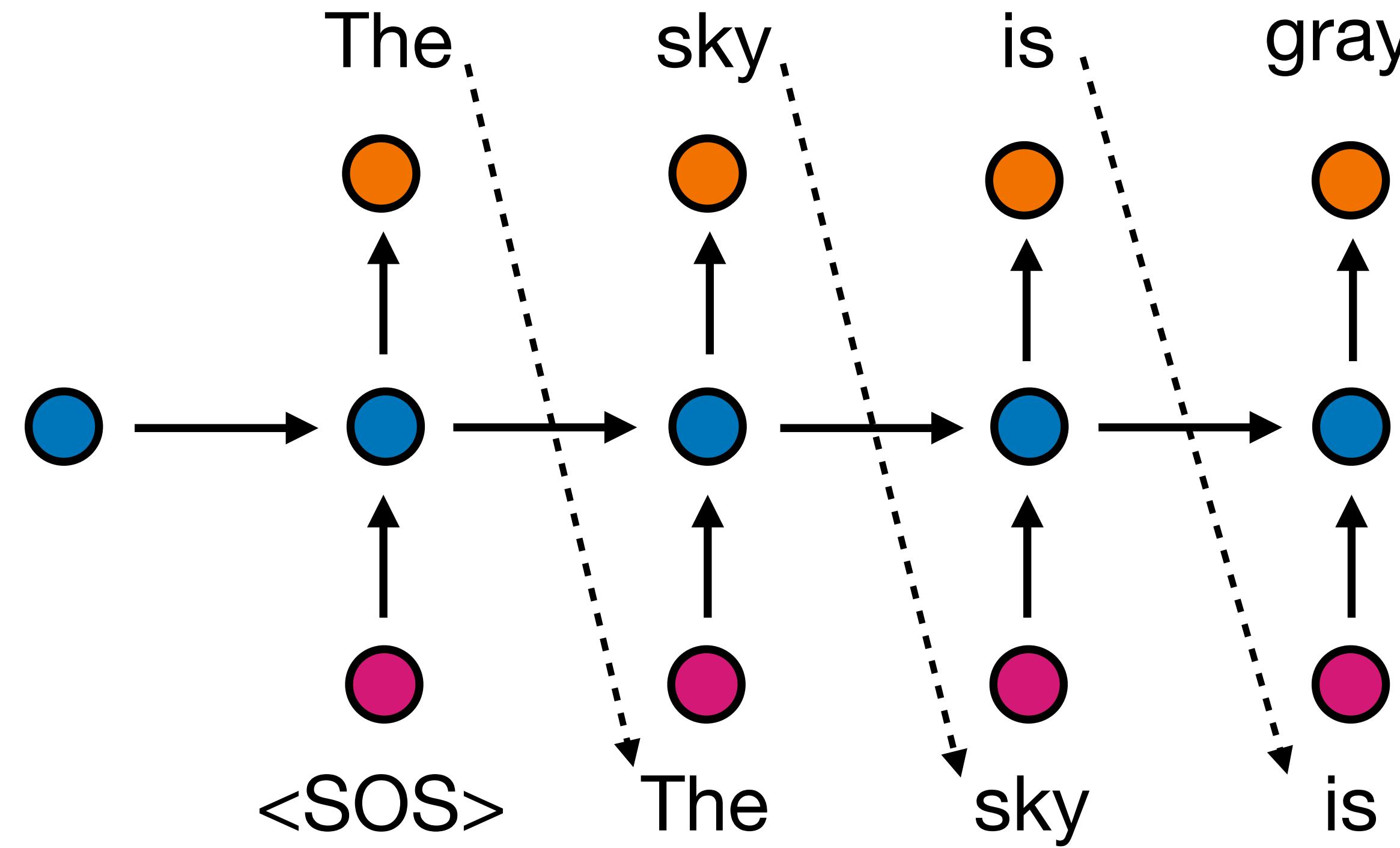
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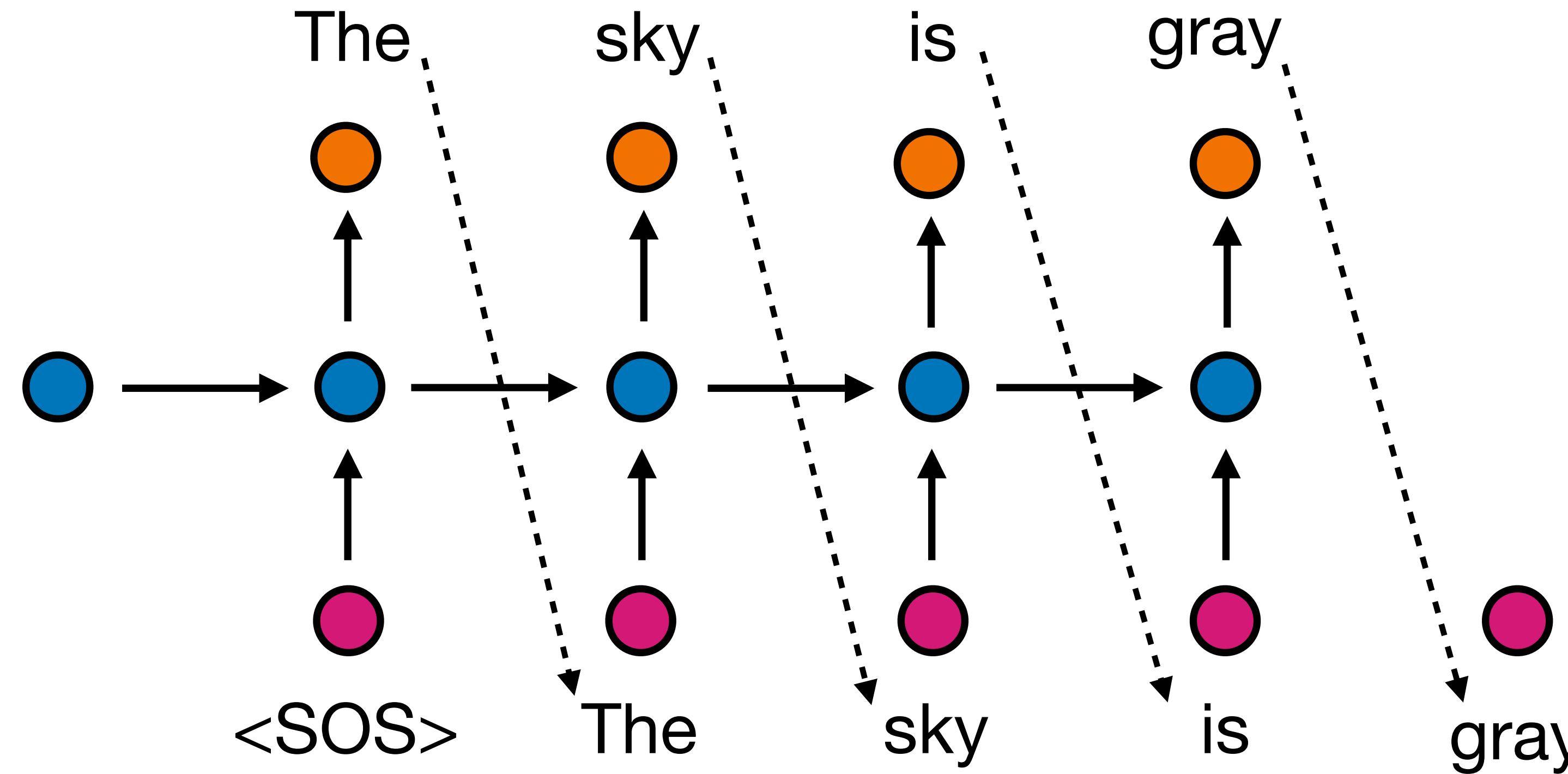
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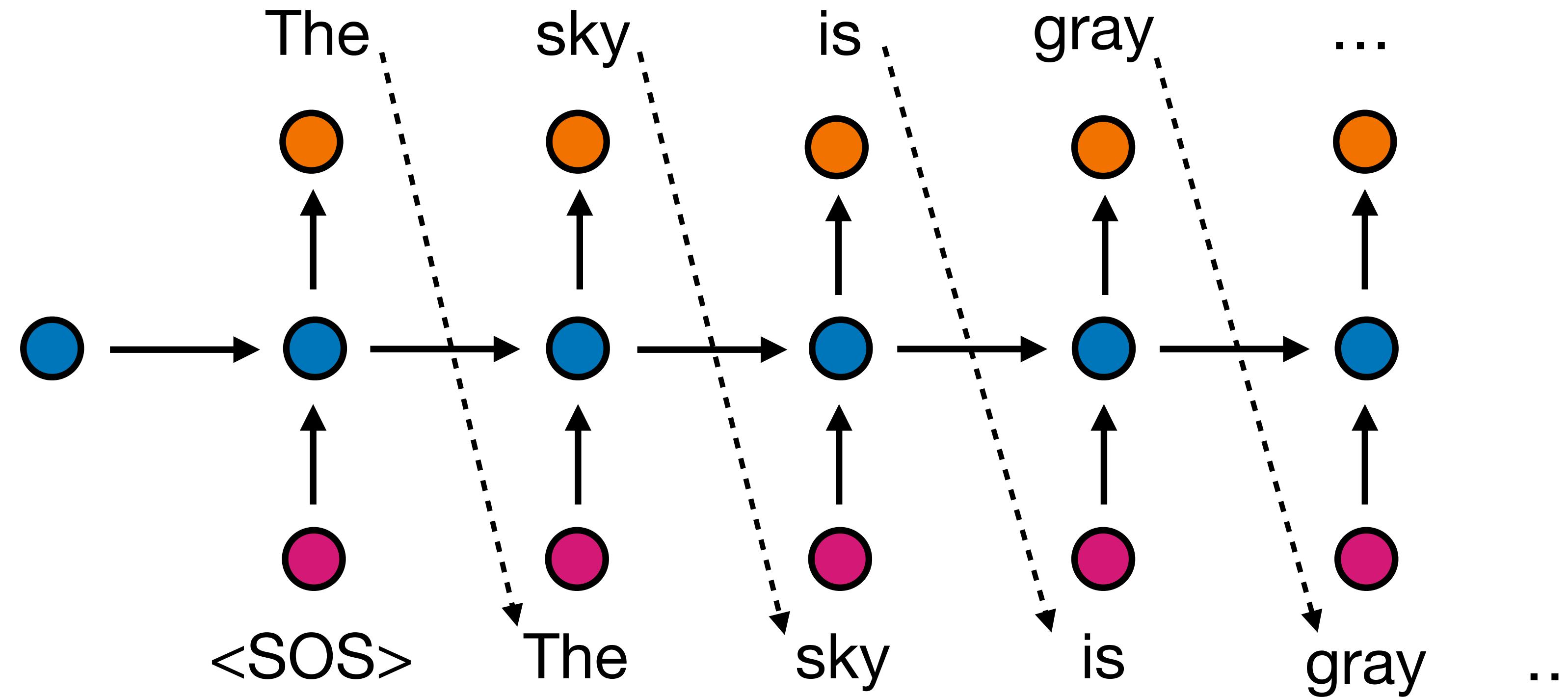
RNNs for autoregressive text generation



RNNs for autoregressive text generation



RNNs for autoregressive text generation



RNN for machine translation

RNN for machine translation

Input text: "How are you?" Output translation: "¿Cómo estás?"

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1. Pass input sentence through *encoder RNN*.

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Encoder RNN

RNN for machine translation

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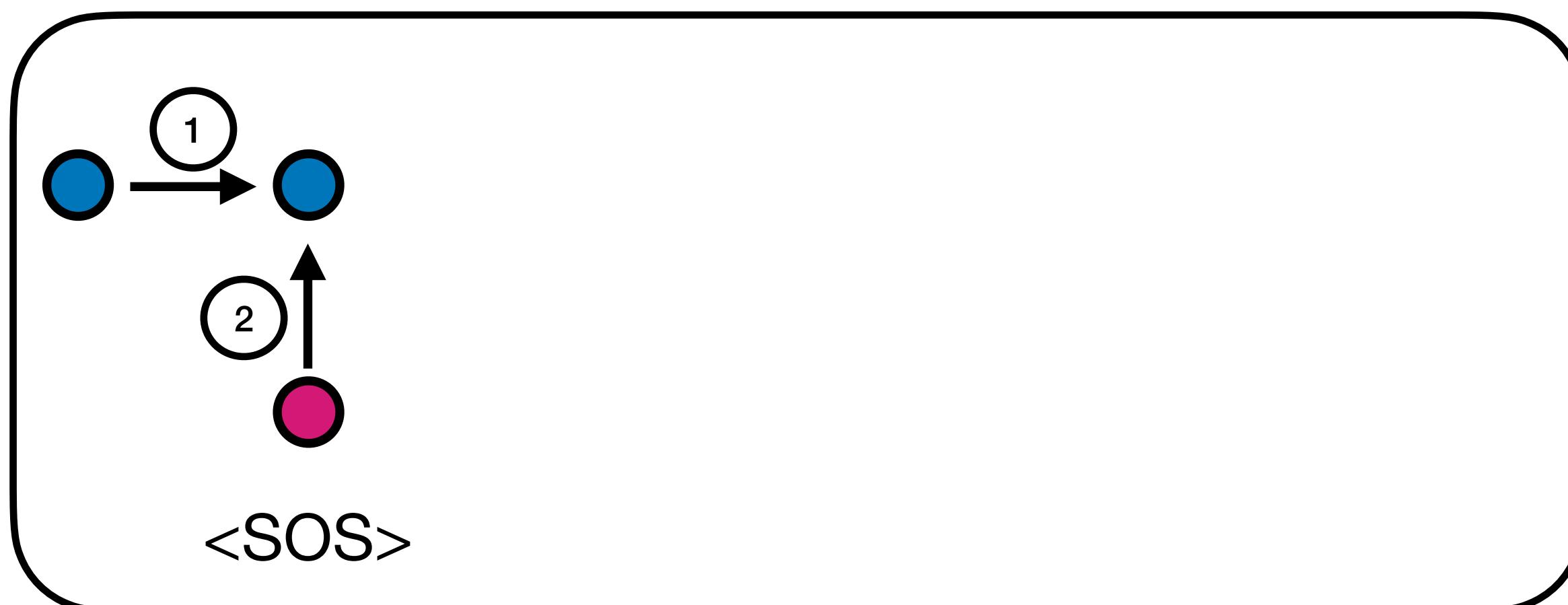


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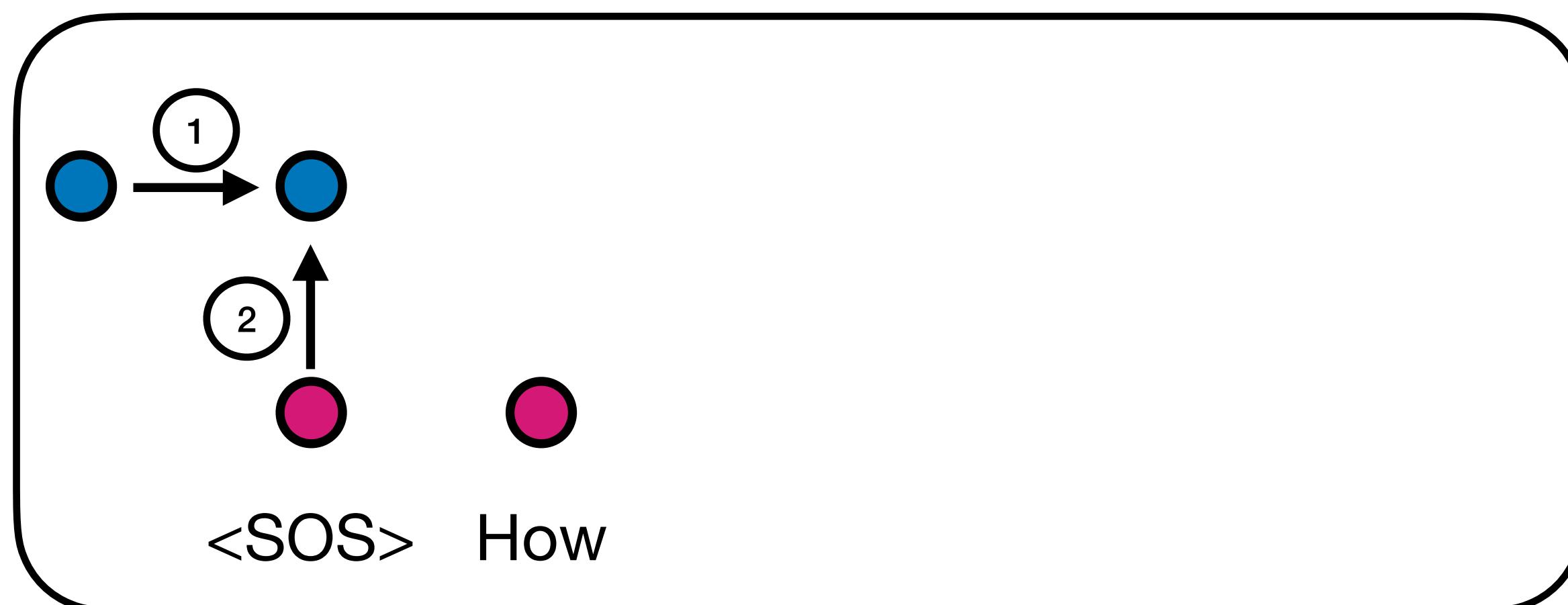


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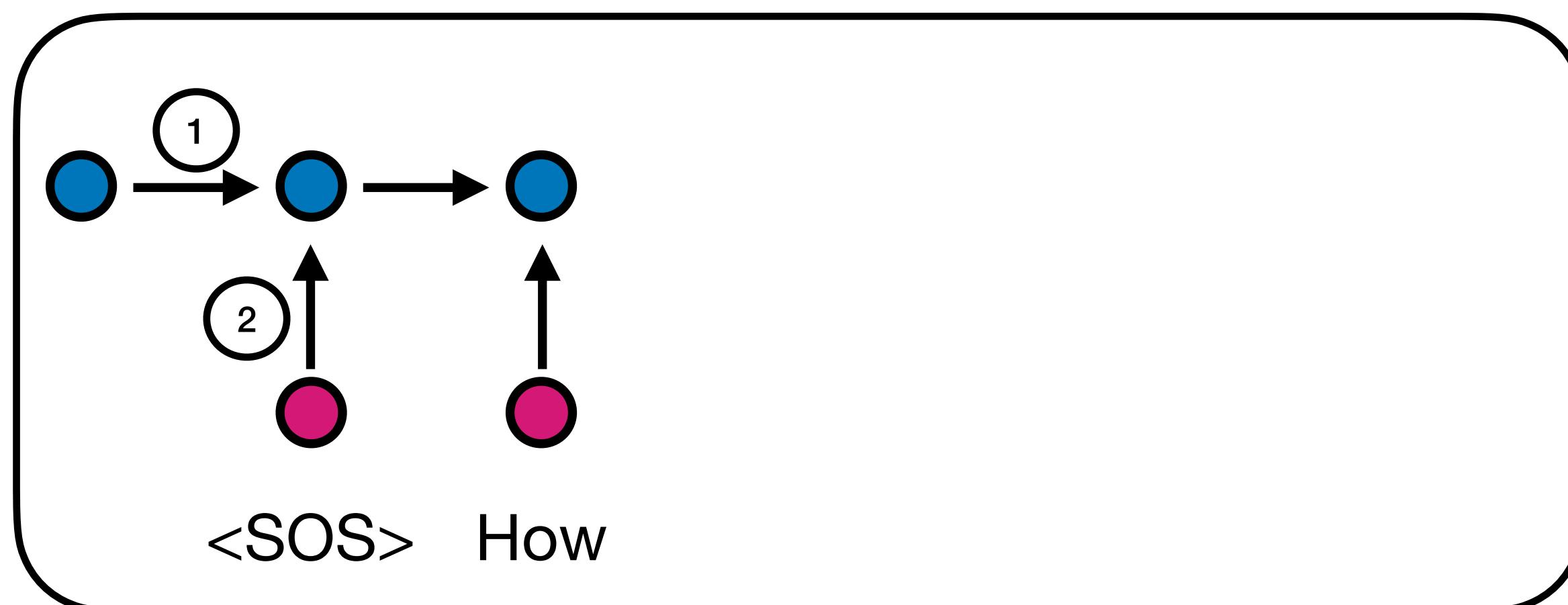


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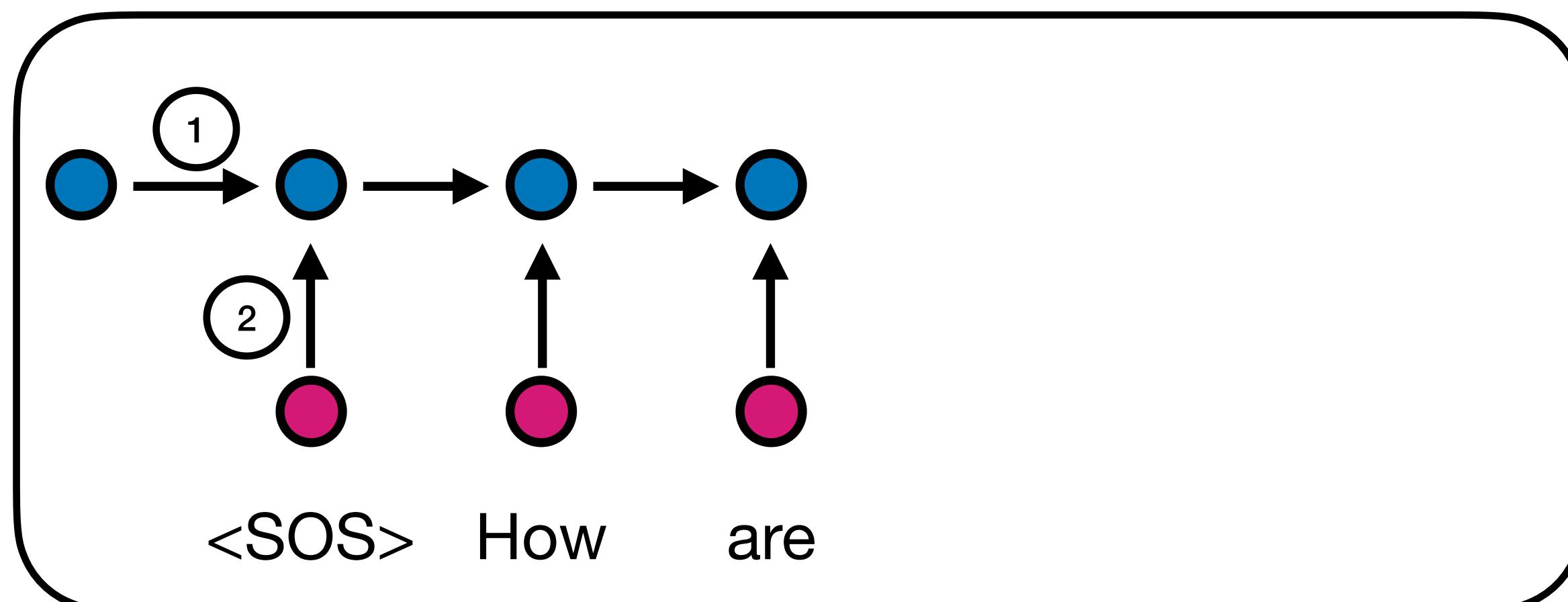


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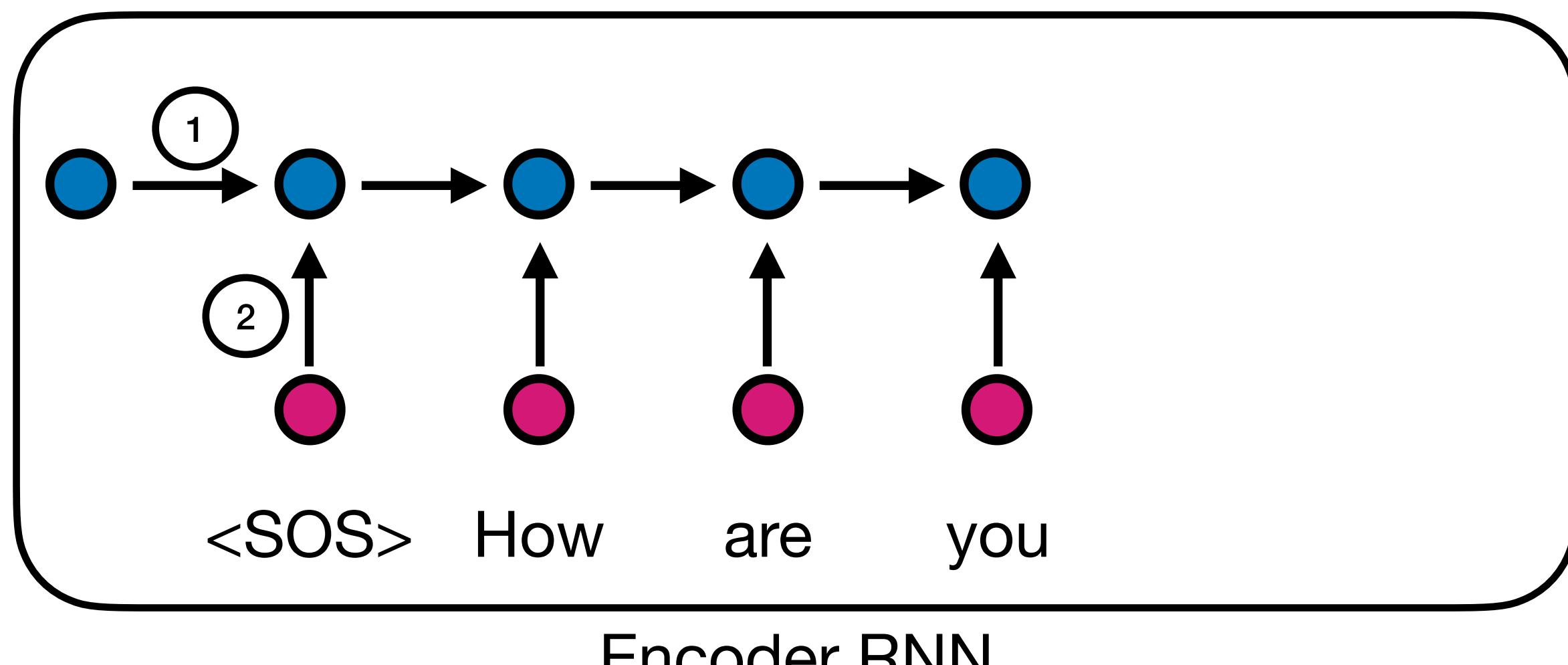


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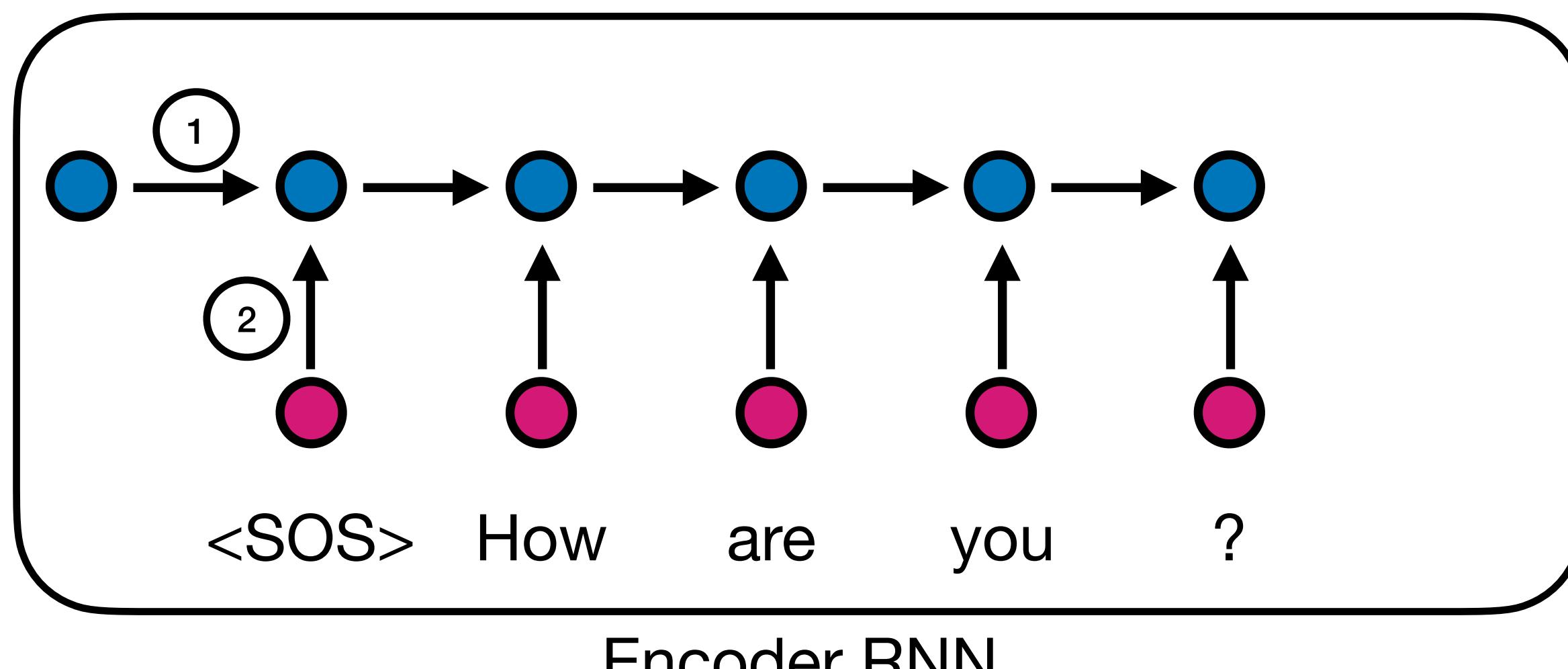
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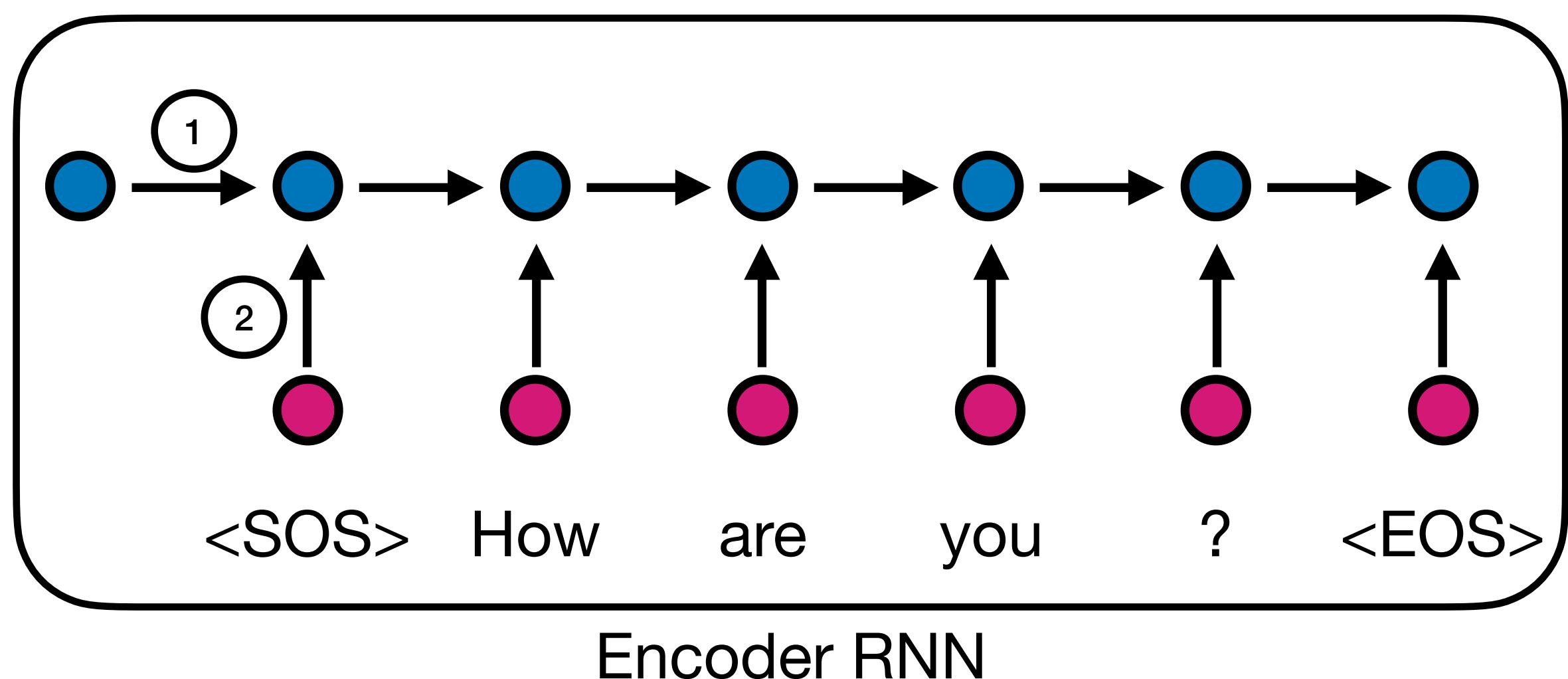
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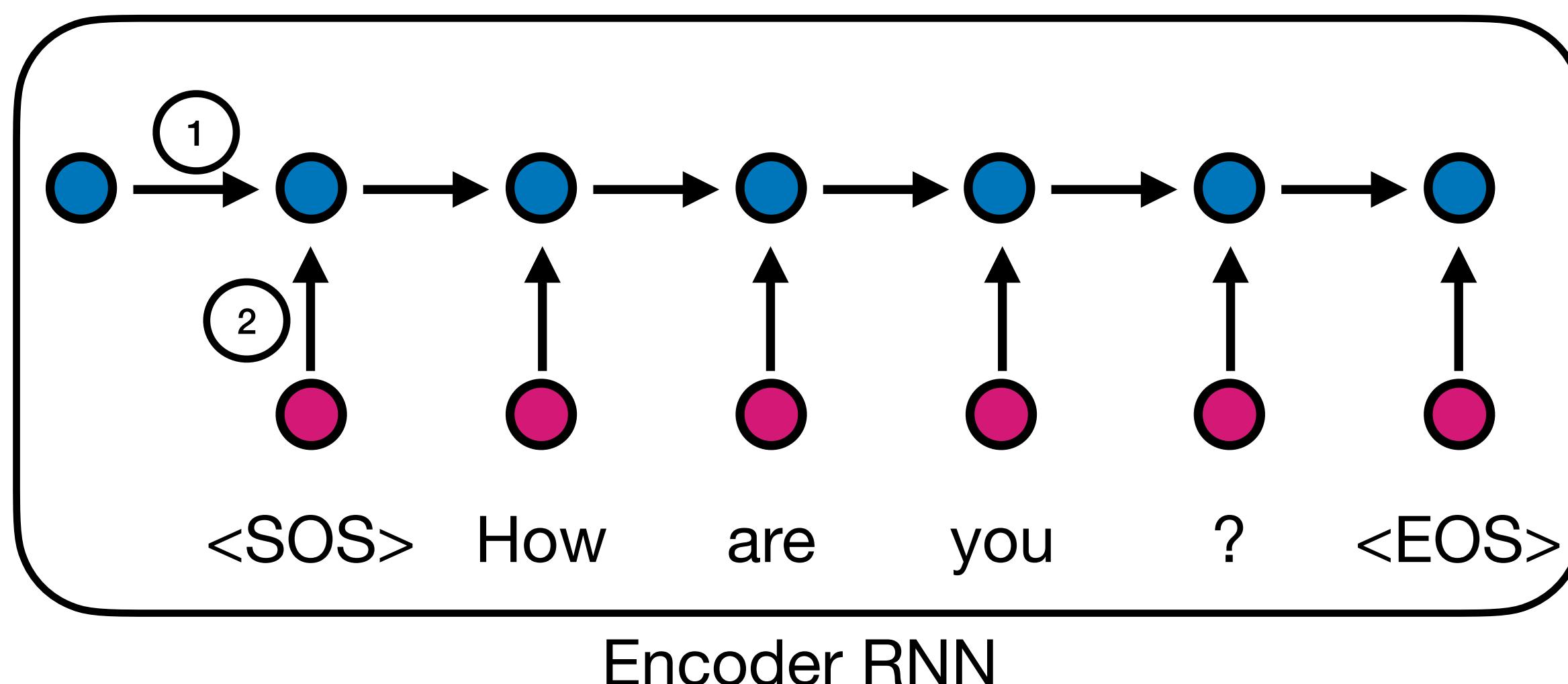
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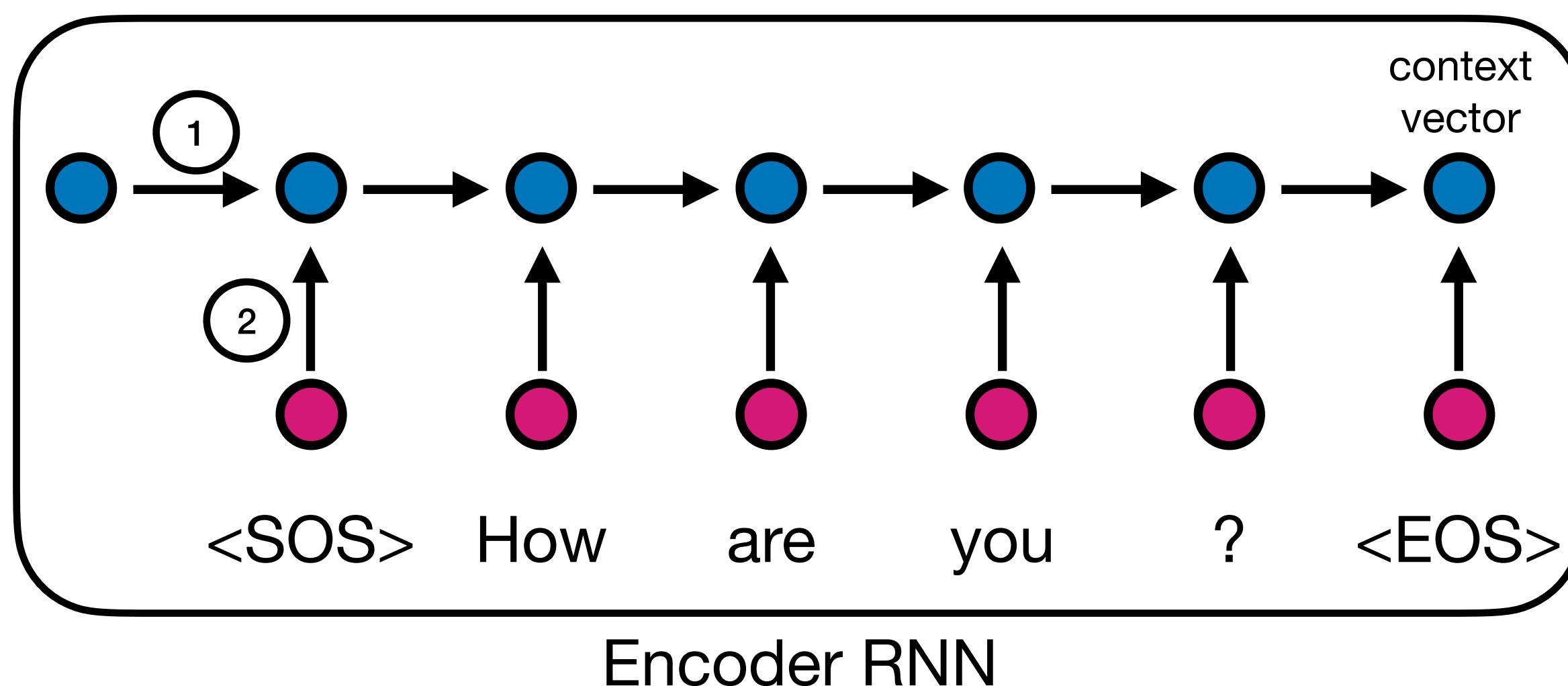
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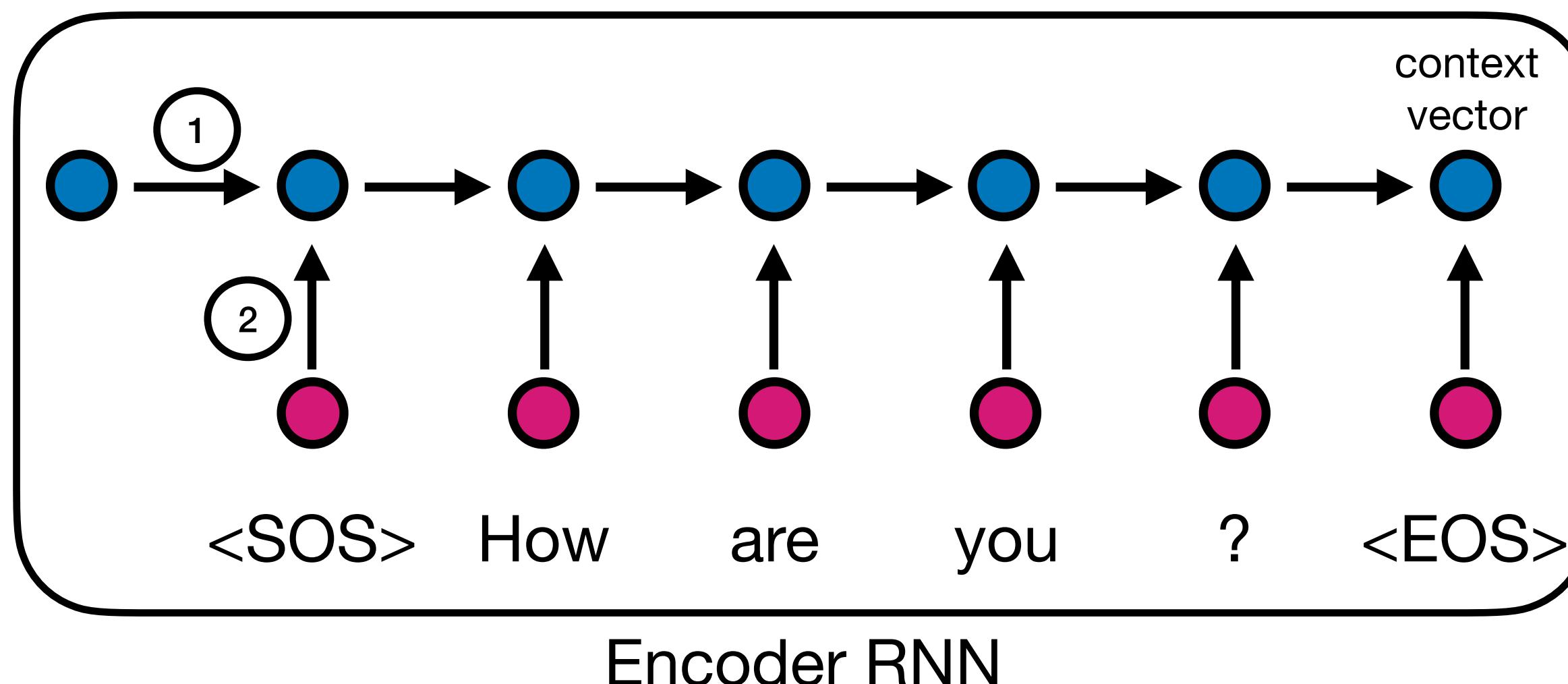
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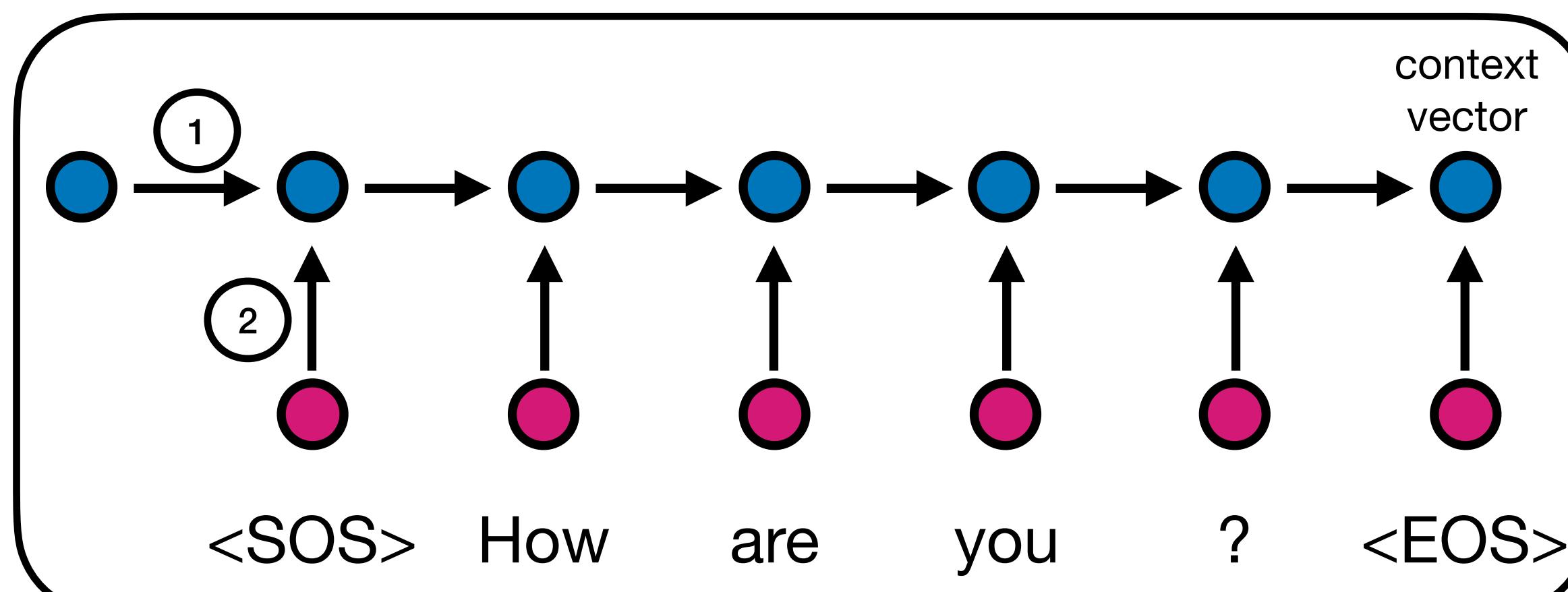
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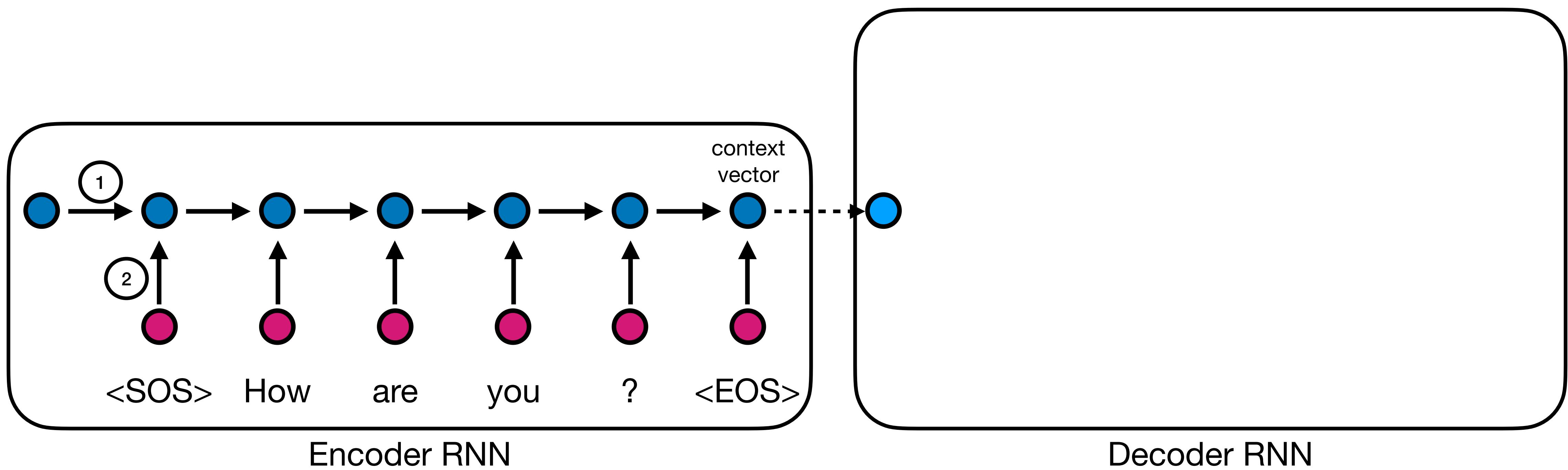


Decoder RNN

RNN for machine translation

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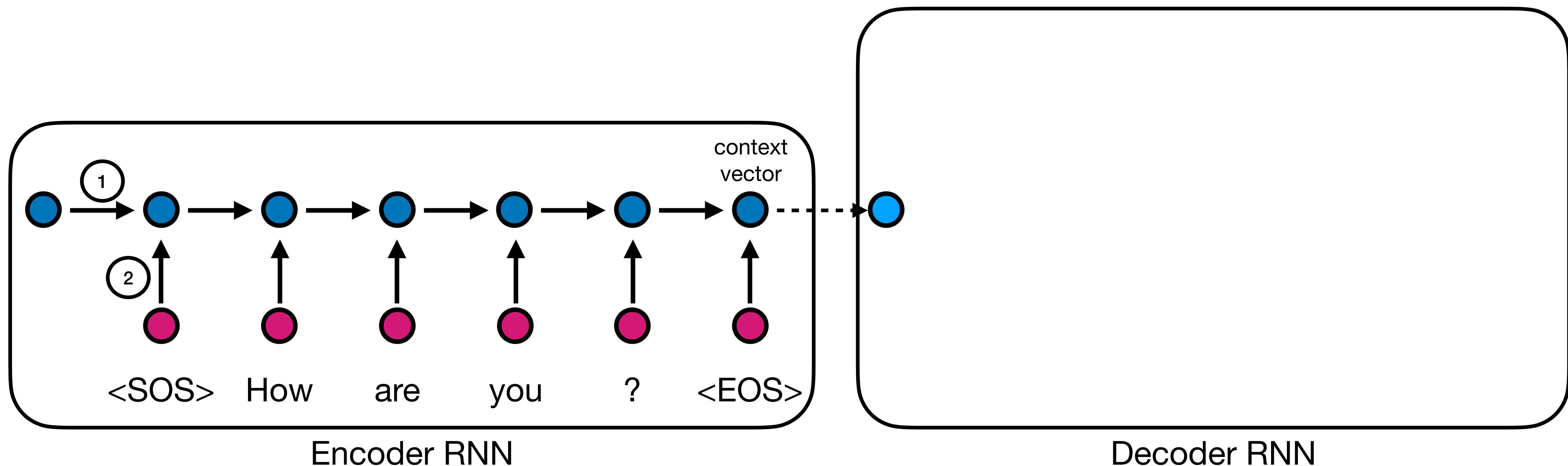
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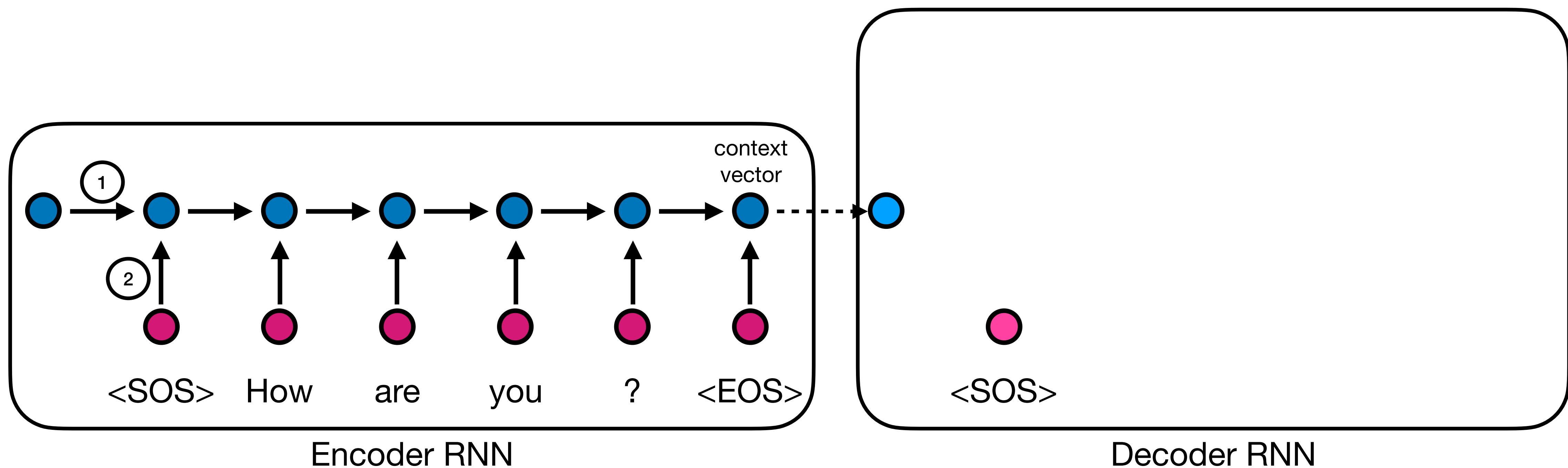
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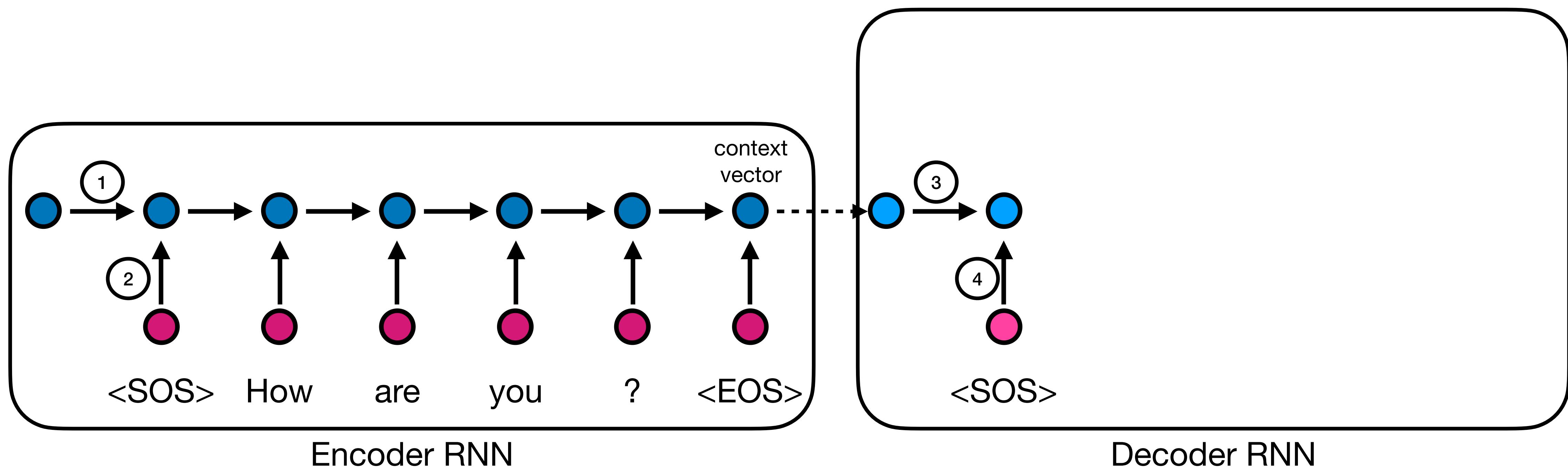
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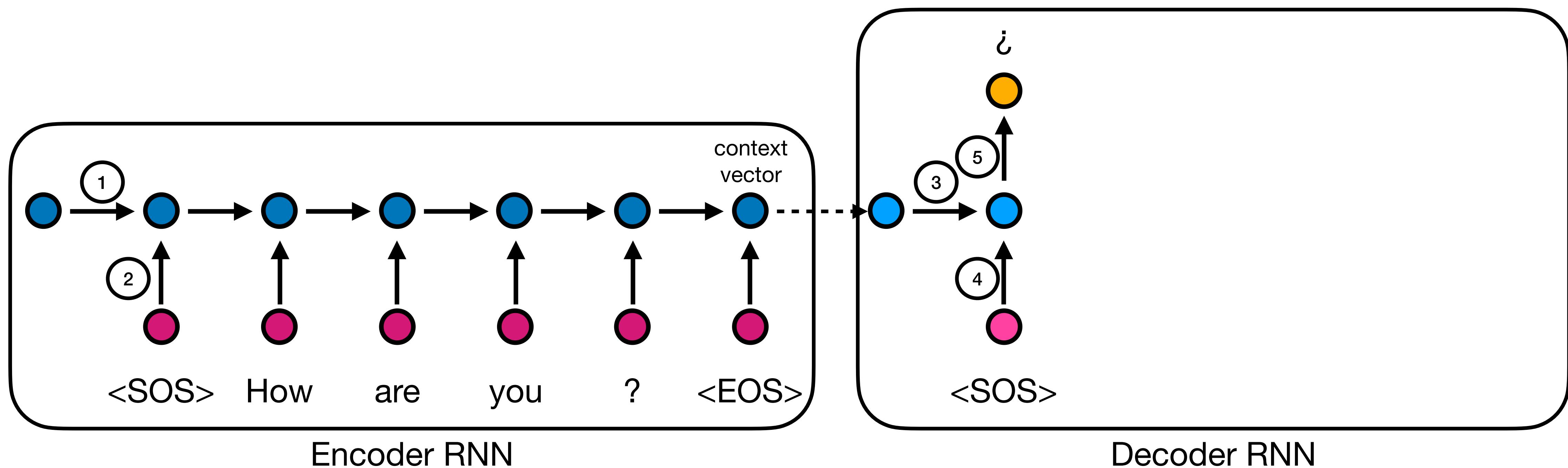
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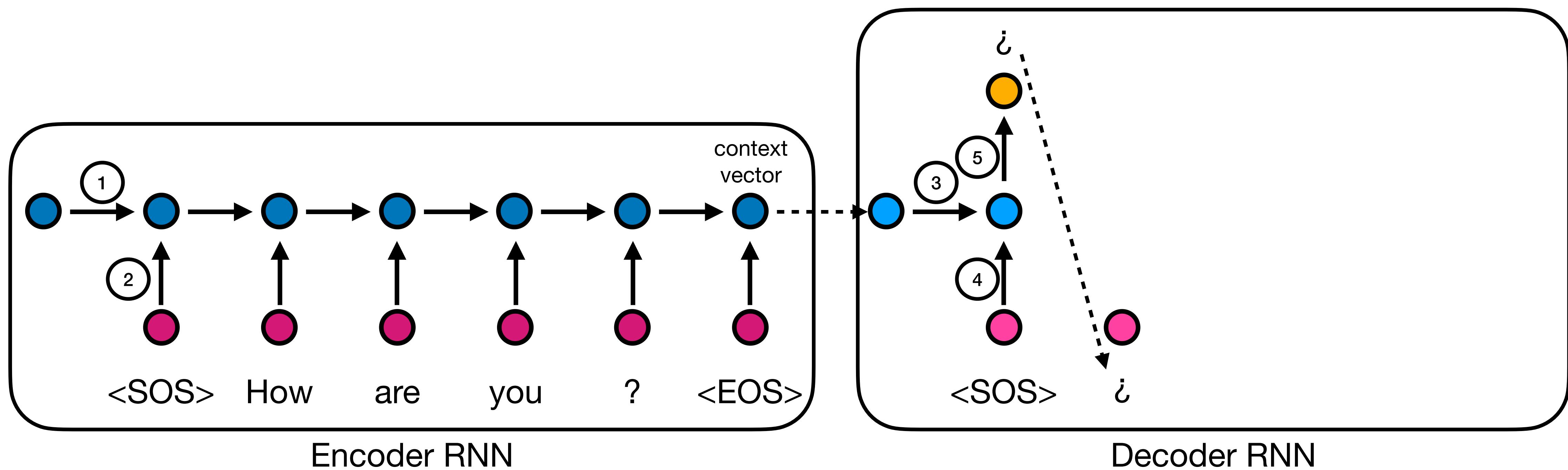
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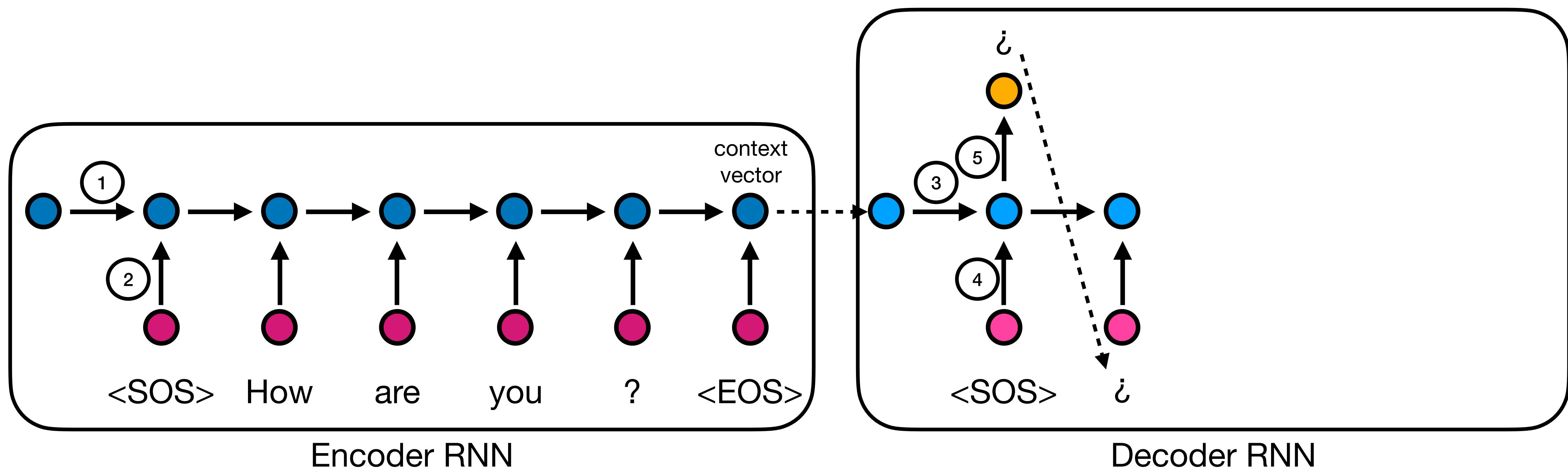
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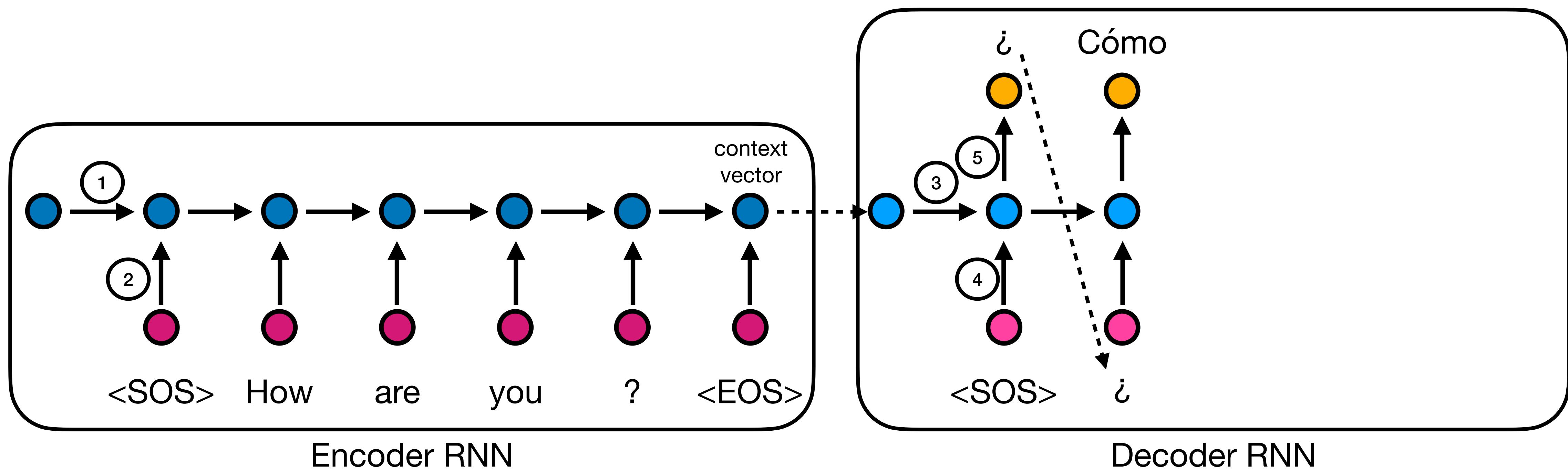
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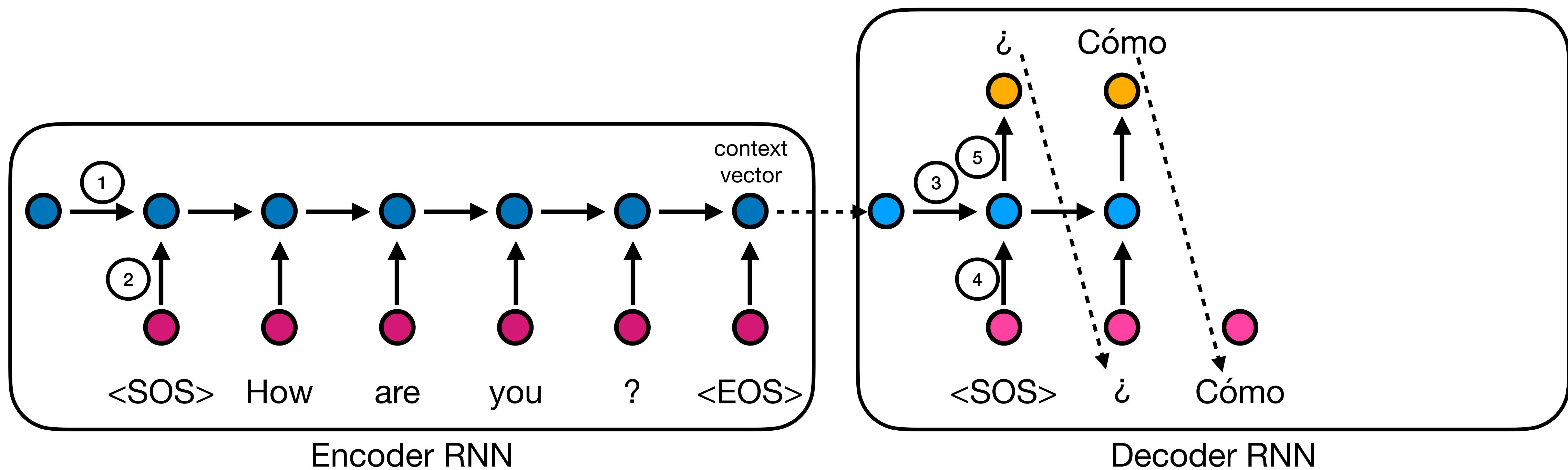
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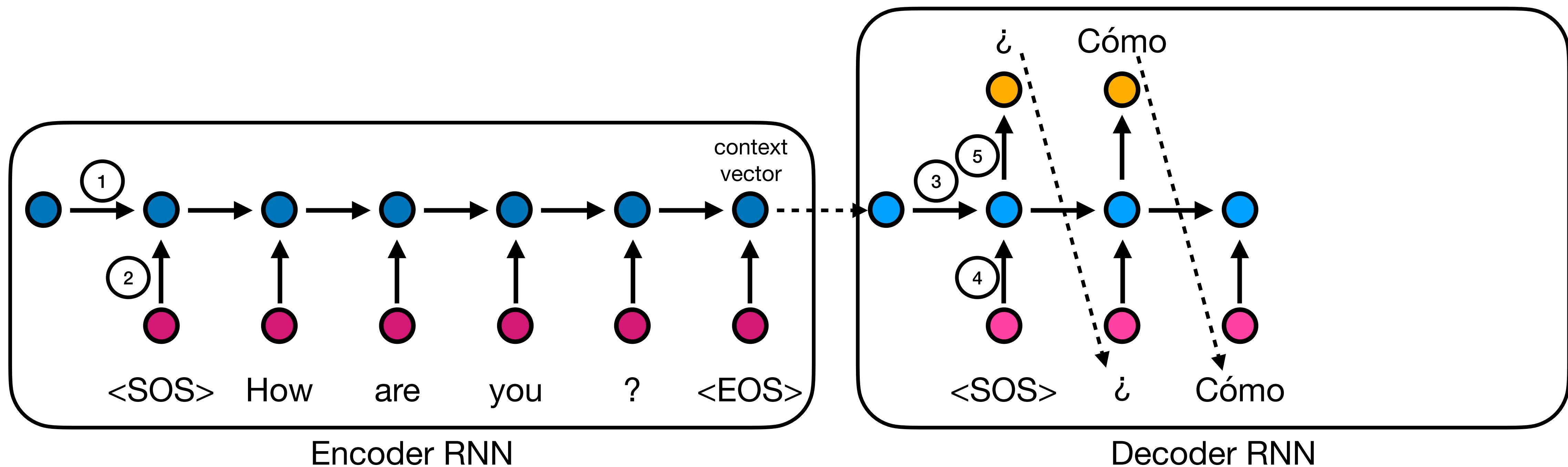
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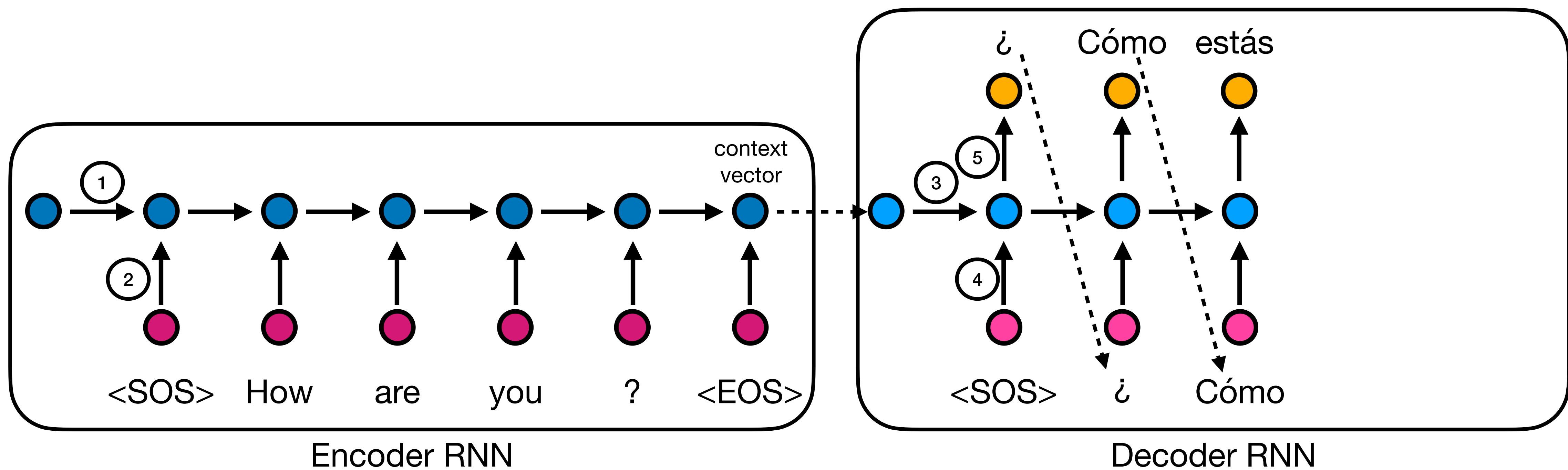
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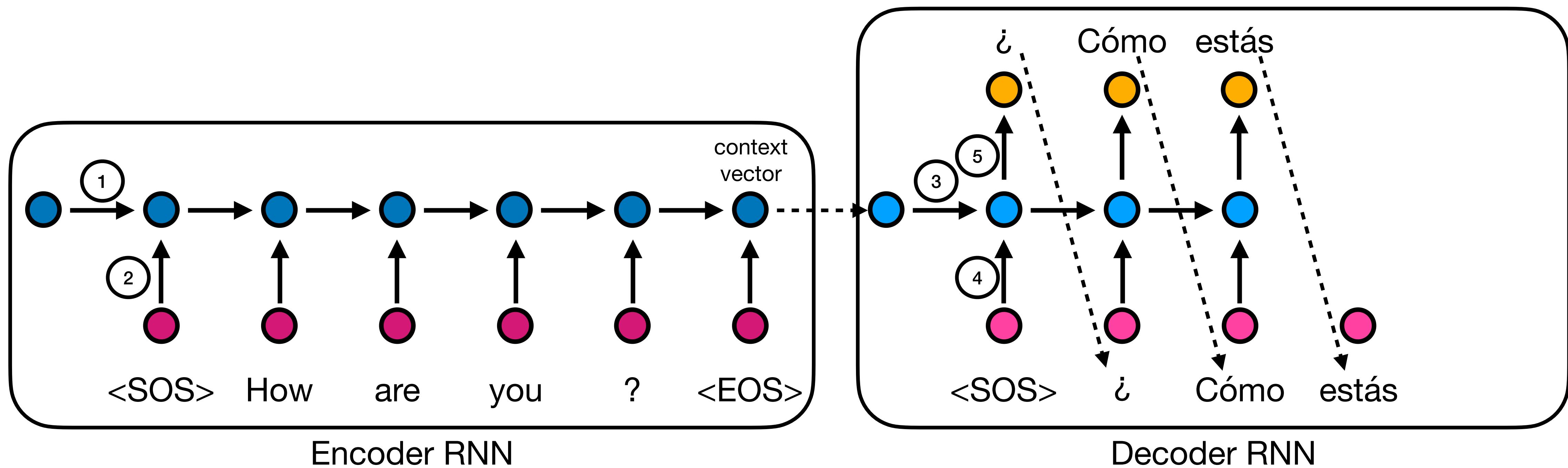
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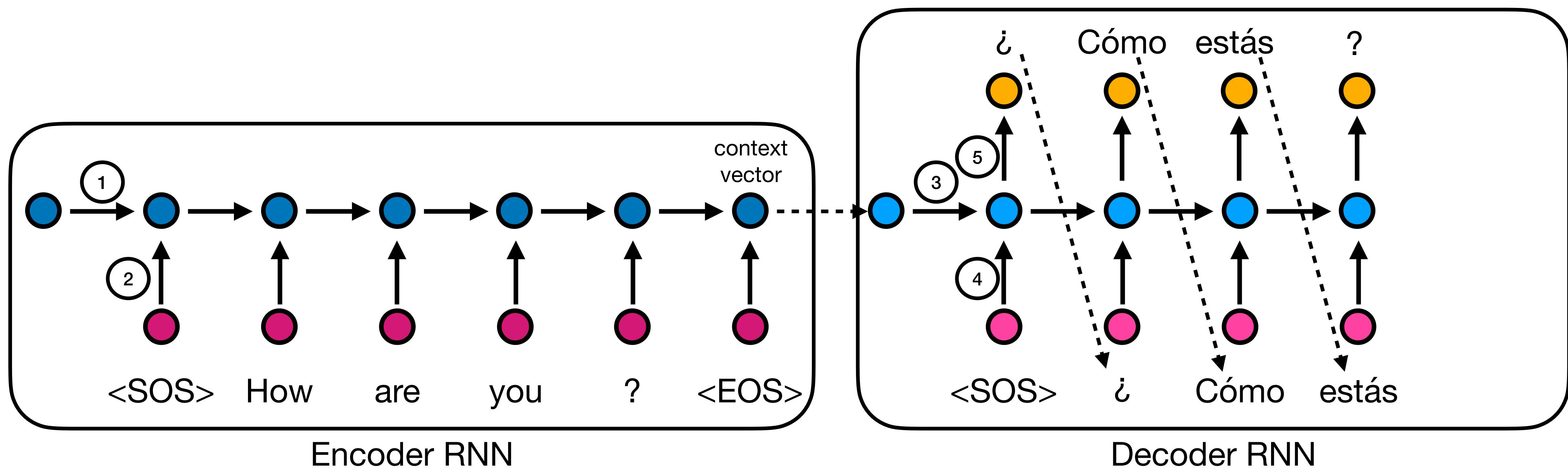
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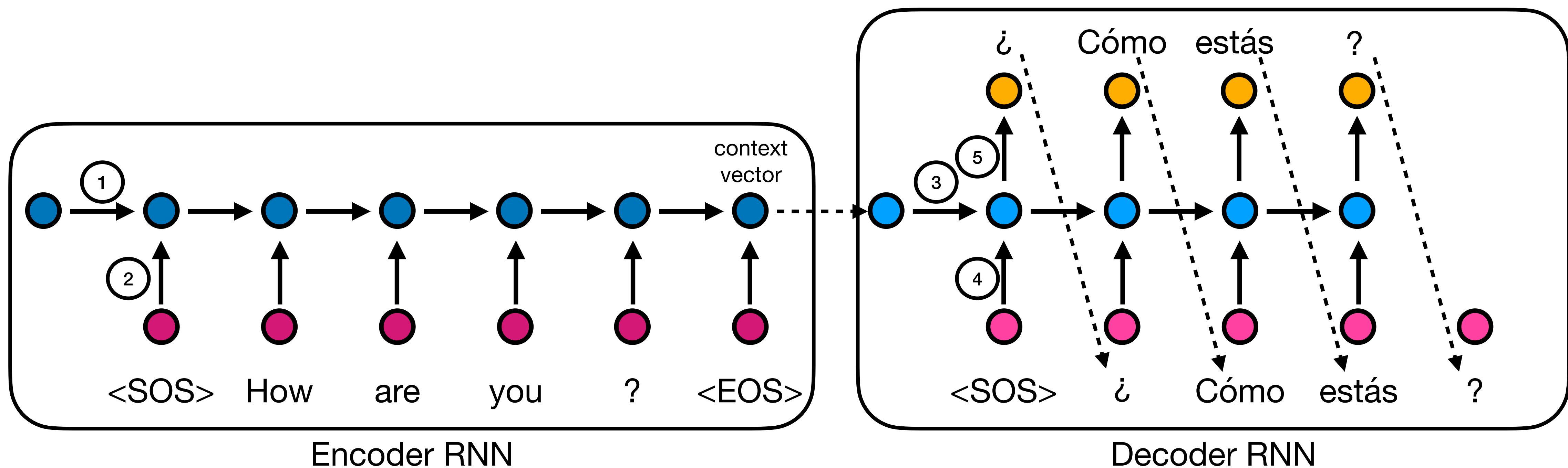
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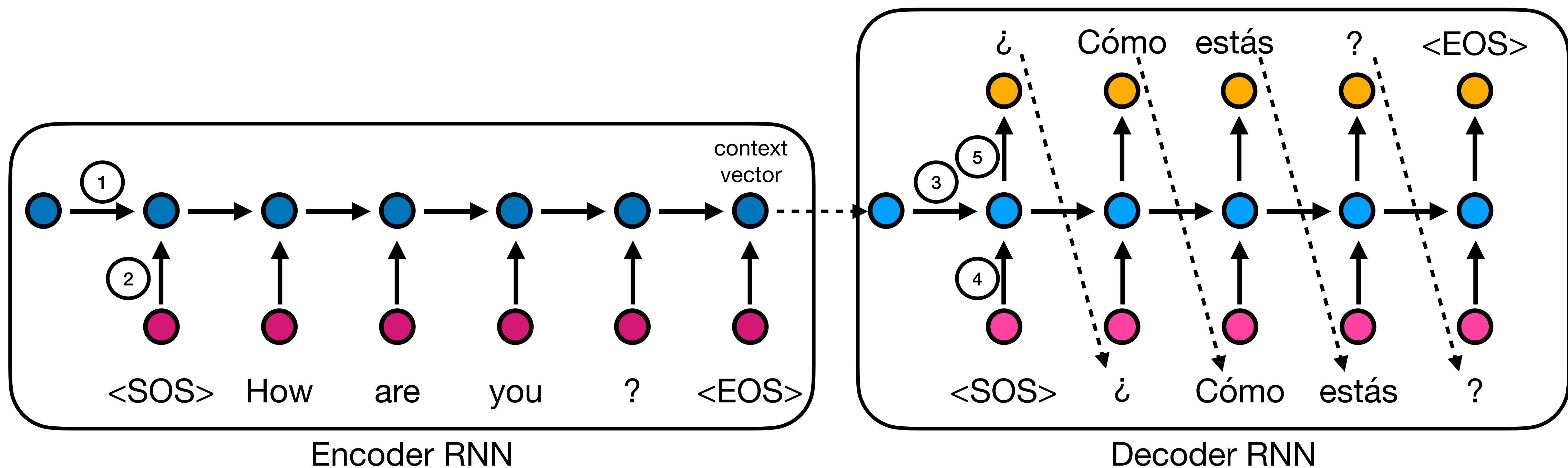
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Training RNNs for machine translation

Training data

Parallel text corpora across two languages, e.g. U.N. proceedings.

Input	Output
“How are you?”	“¿Cómo estás?”
“The cat sleeps.”	“El gato duerme.”
“I am reading.”	“Estoy leyendo.”
“She is happy.”	“Ella está feliz.”
...	...

Training RNNs for machine translation

Training data

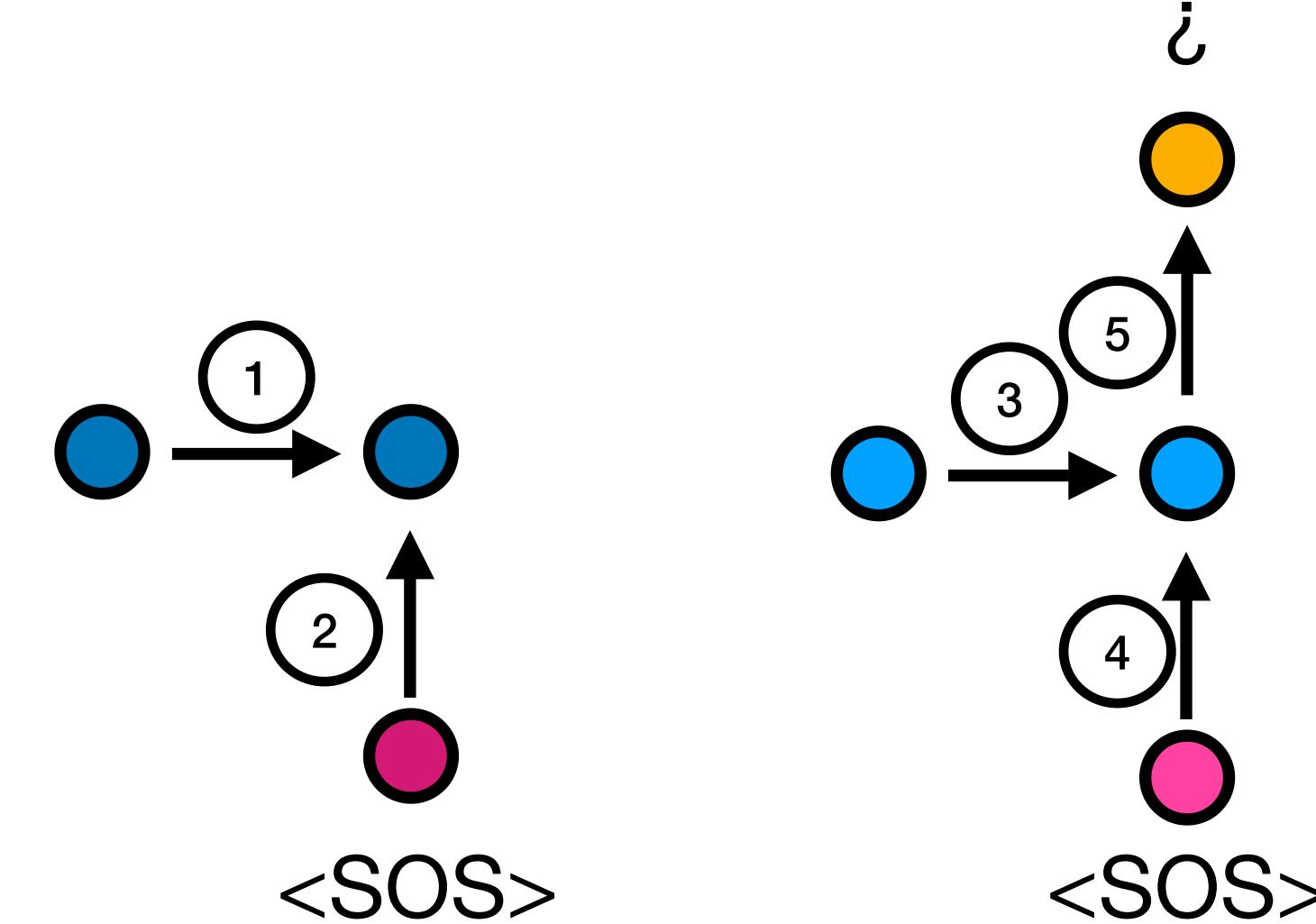
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Encoder weights Decoder weights



Weaknesses of RNNs

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- **Hard to handle long sequences.**
Hard to encode the information from entire input sequence in a single context vector. RNNs tend to “forget.”

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Example: Text generated from RNN trained on Obama’s speeches:

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- **Hard to handle long sequences.**
Hard to encode the information from entire input sequence in a single context vector. RNNs tend to “forget.”

Example: Text generated from RNN trained on Obama’s speeches:

“Good afternoon. God bless you.

The United States will step up to the cost of a new challenges of the American people that will share the fact that we created the problem. They were attacked and so that they have to say that all the task of the final days of war that I will not be able to get this done...”

Weaknesses of RNNs



- **Hard to handle long sequences.**
Hard to encode the information from entire input sequence in a single context vector. RNNs tend to “forget.”
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RNN for sentiment analysis with attention

Input text: “I enjoyed the movie!” Output class: “Positive”

RNN for sentiment analysis with attention

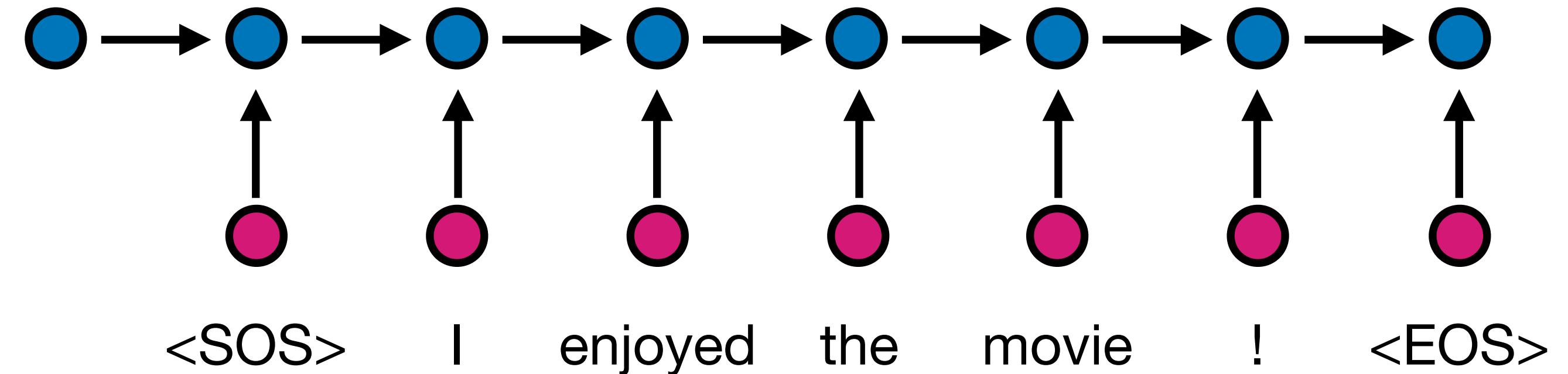
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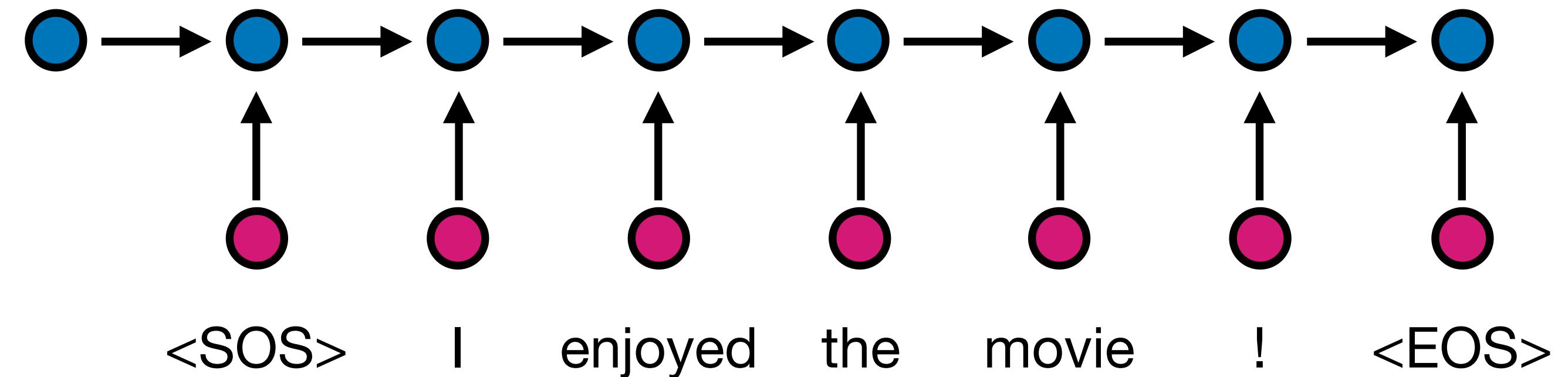
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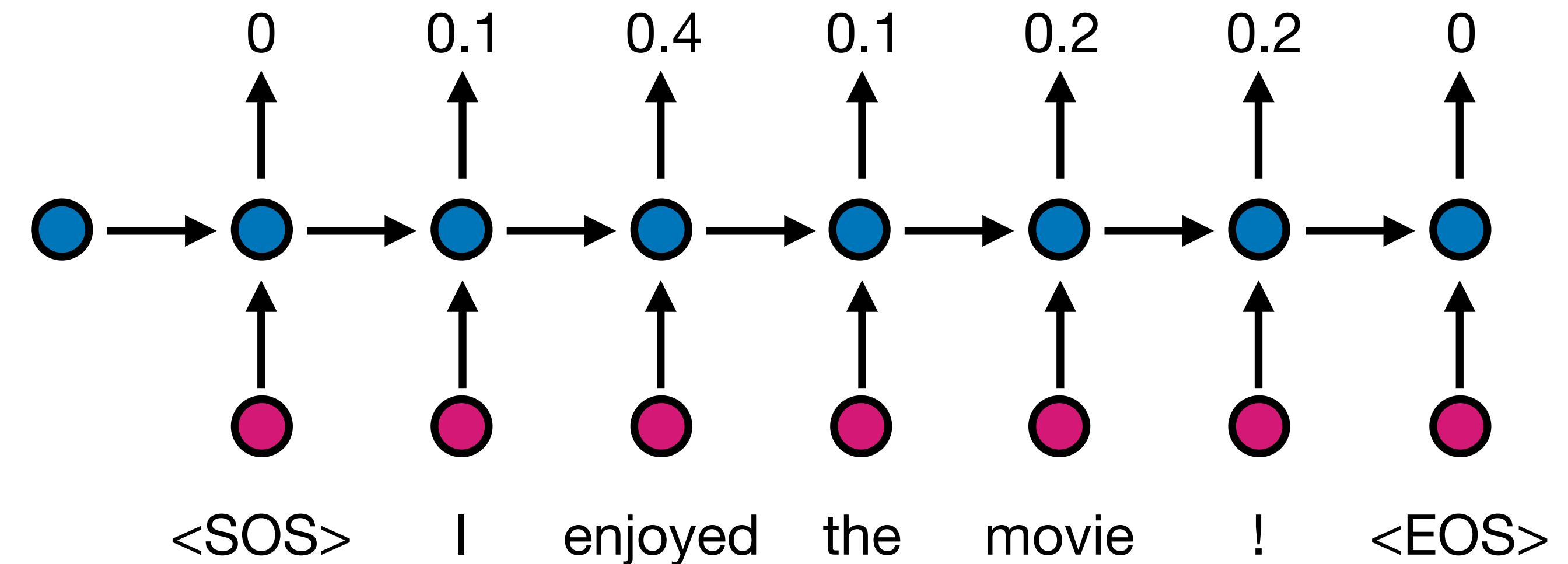
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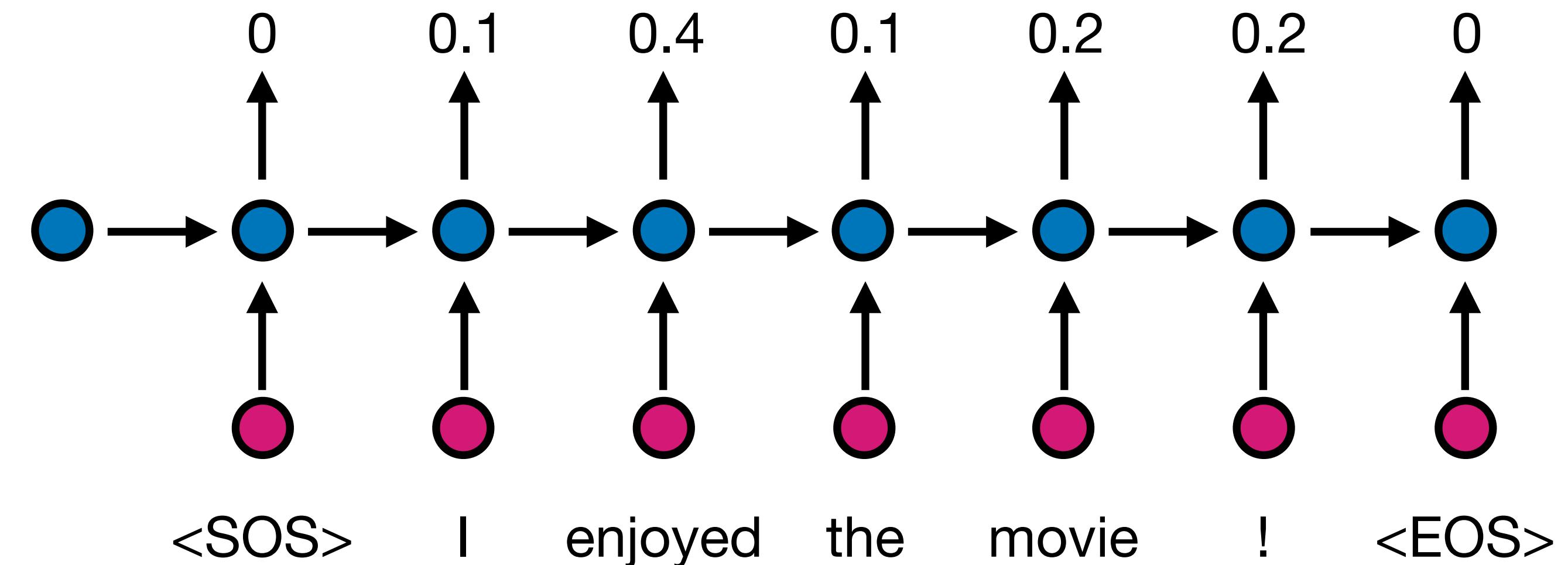
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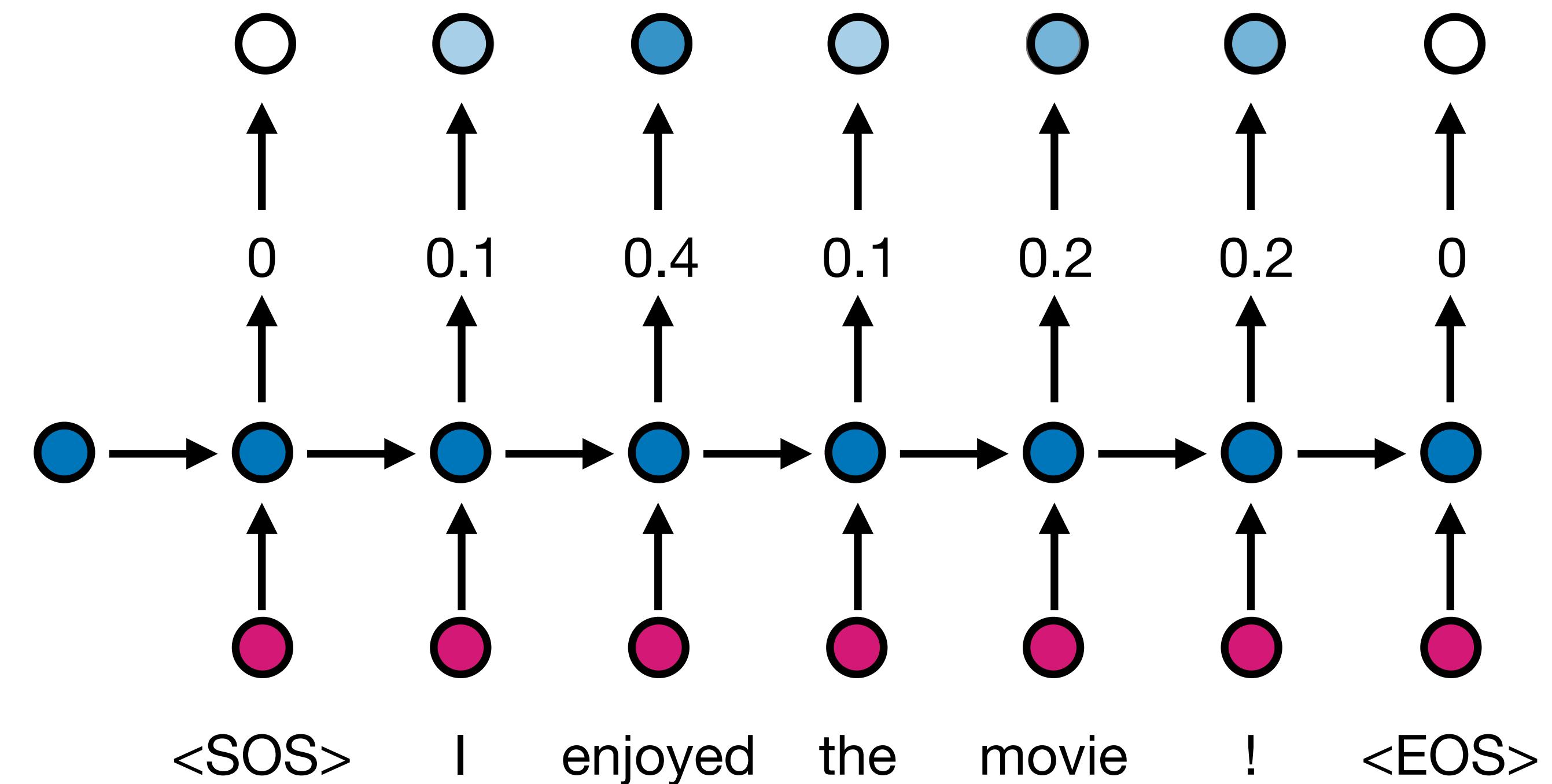
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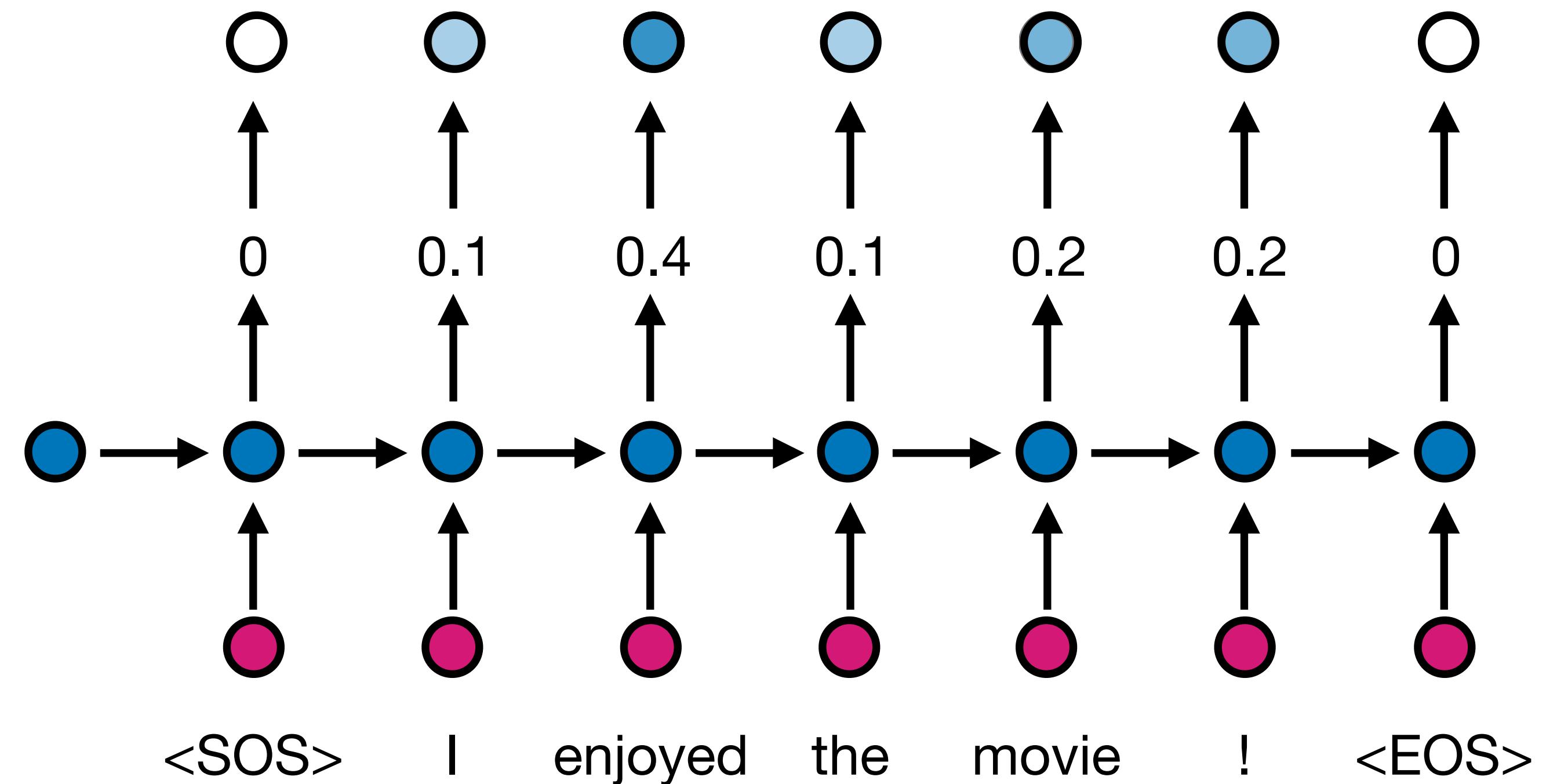
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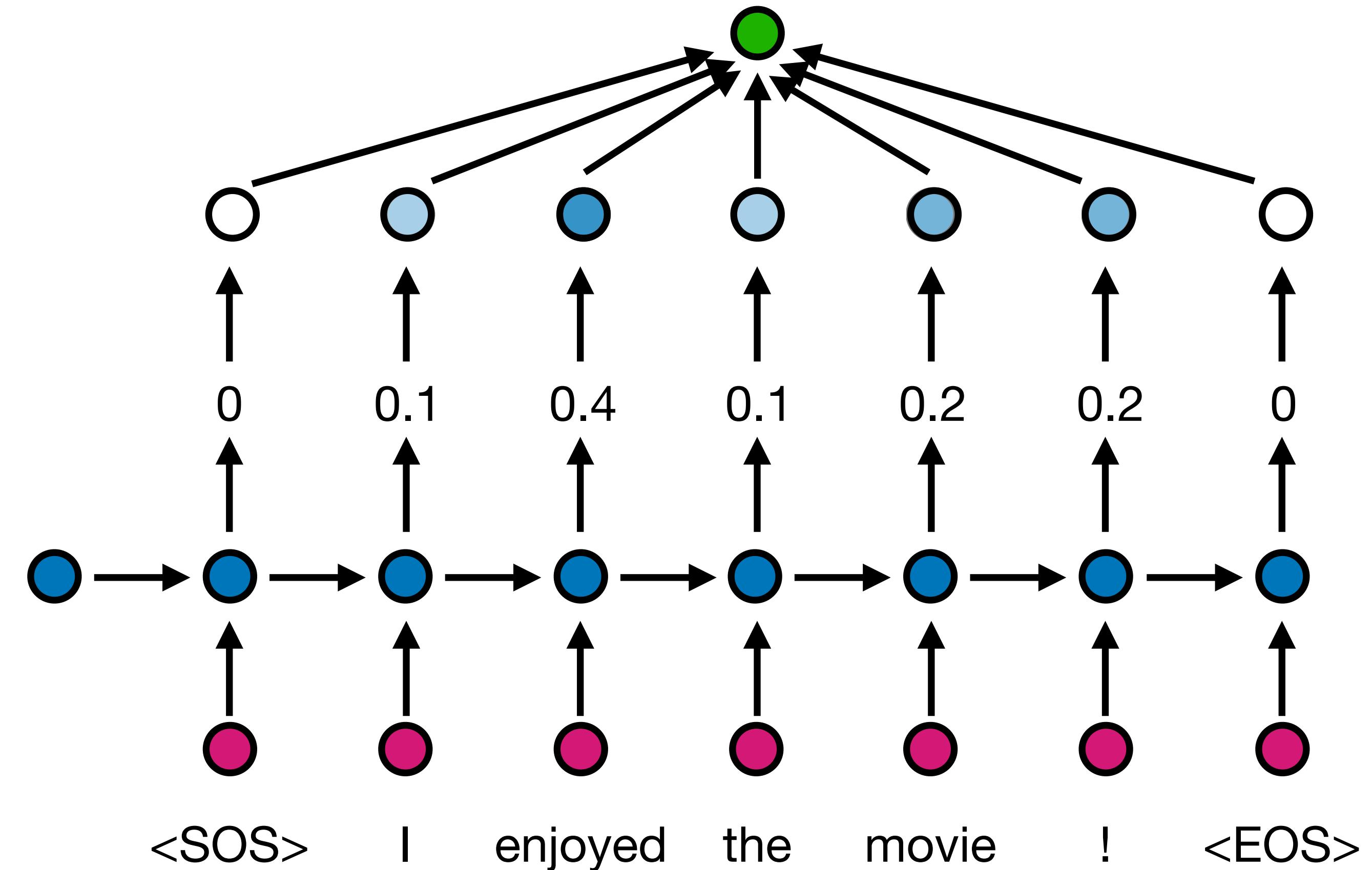
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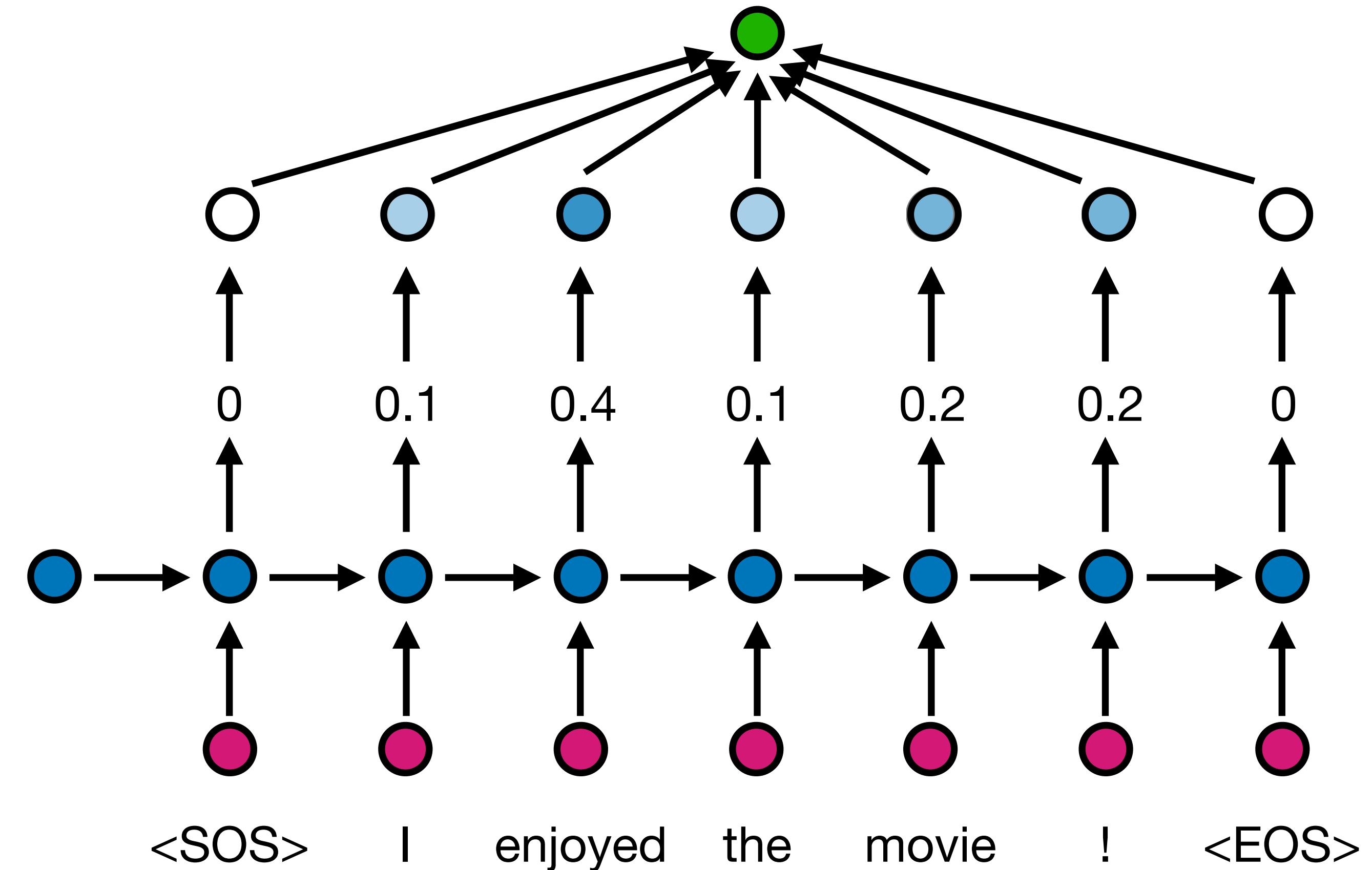
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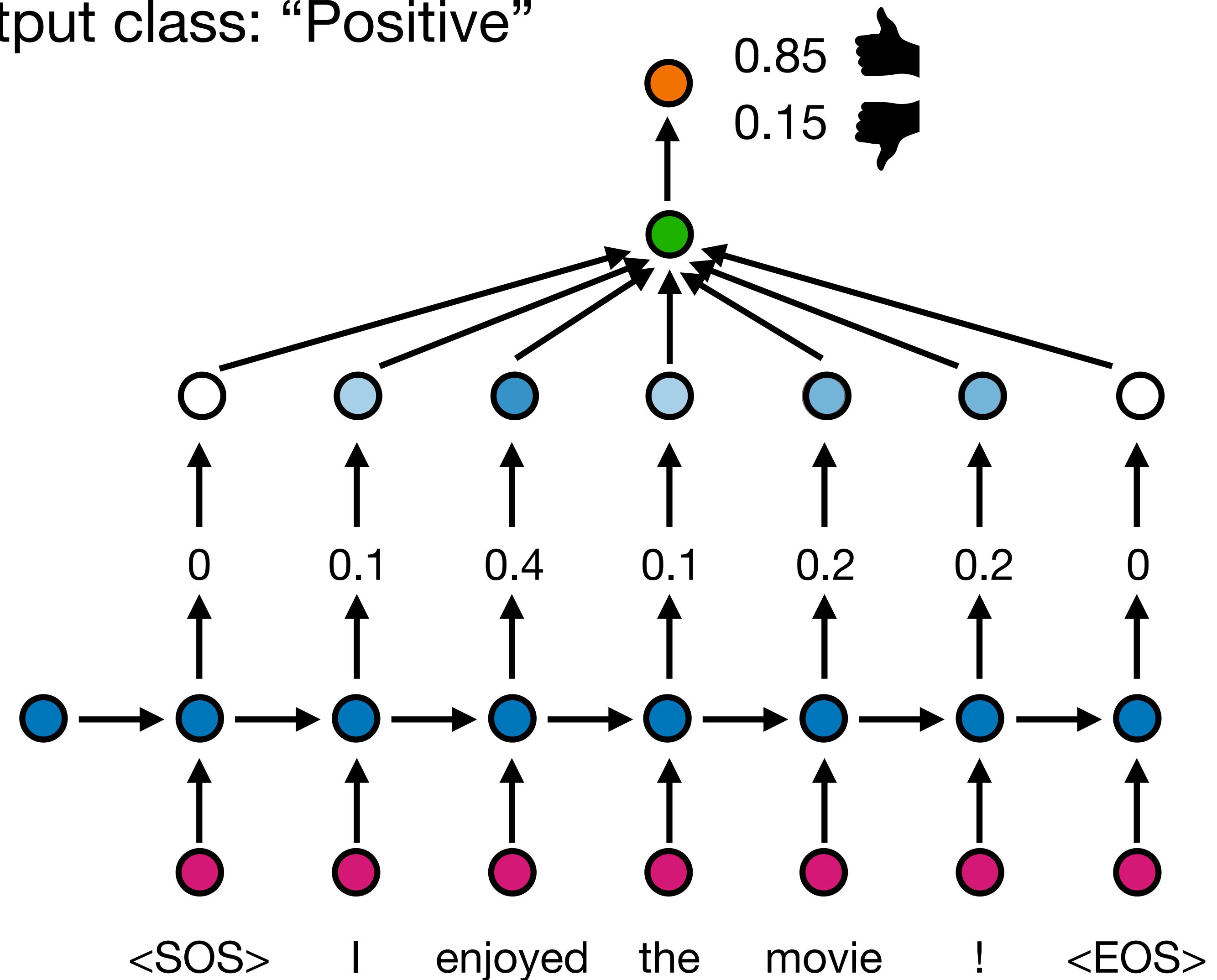
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RNN for machine translation with attention

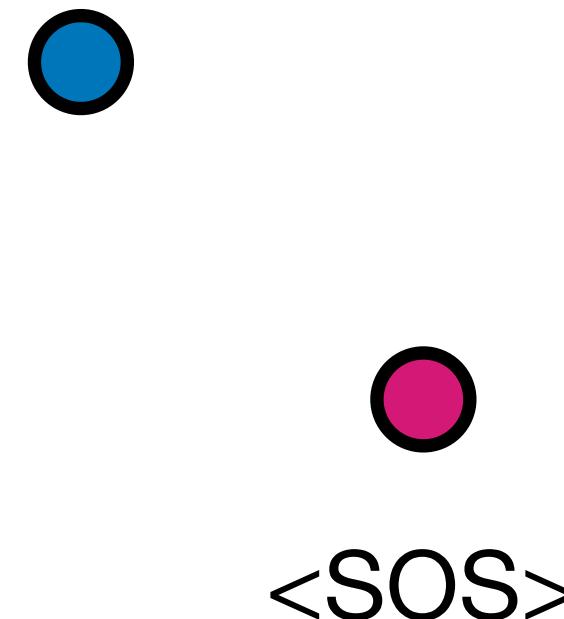
Input text: “How are you?” Output translation: “¿Cómo estás?”

1. Pass input sentence through encoder RNN.

RNN for machine translation with attention

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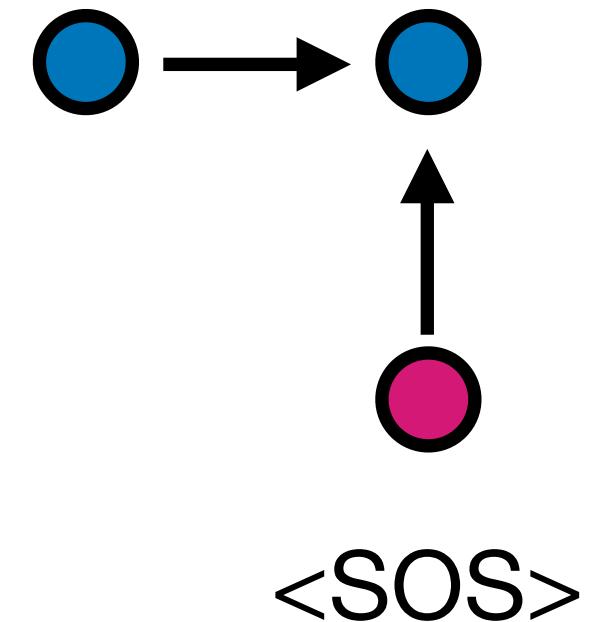
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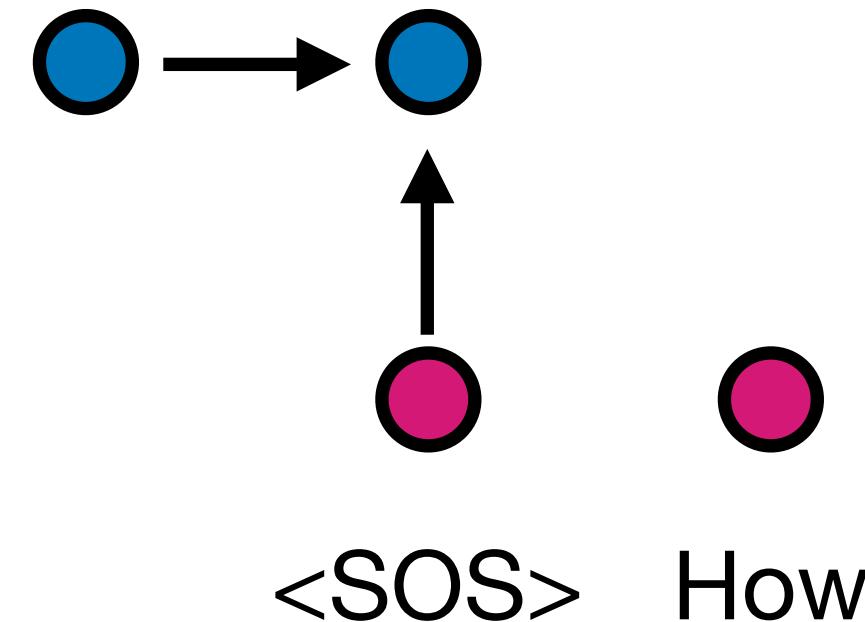
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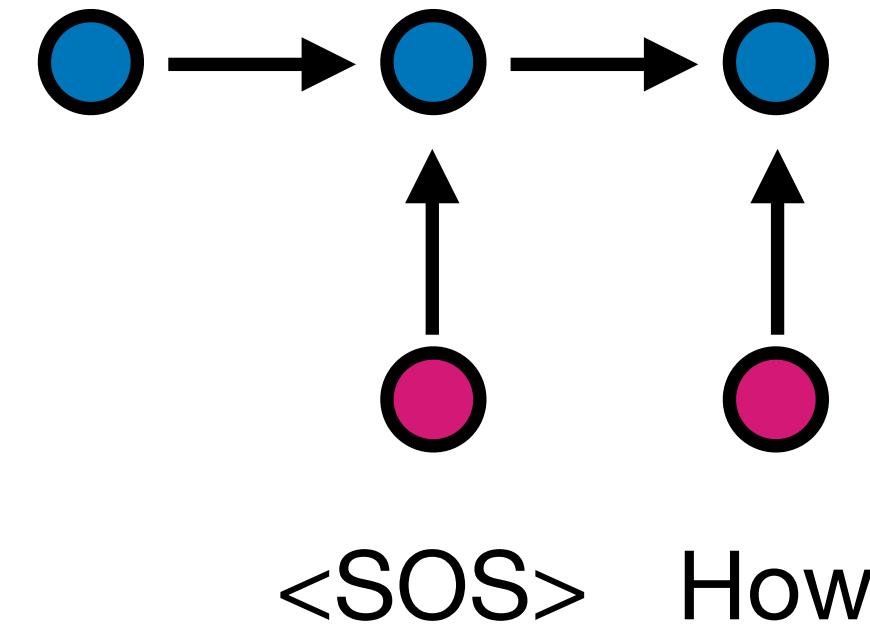
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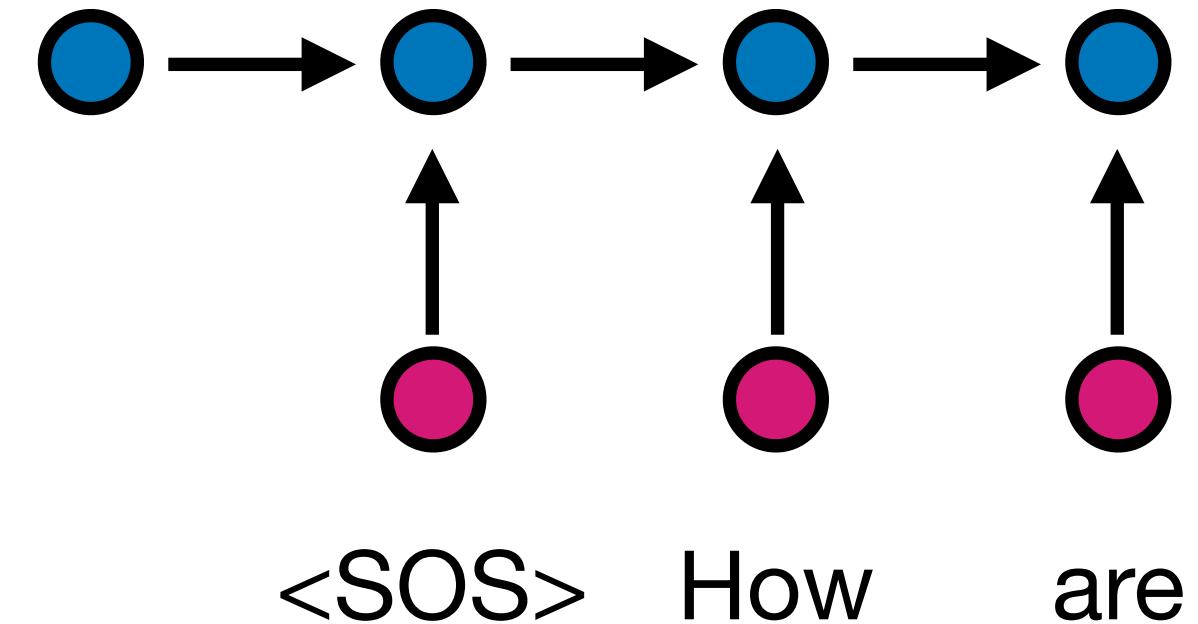
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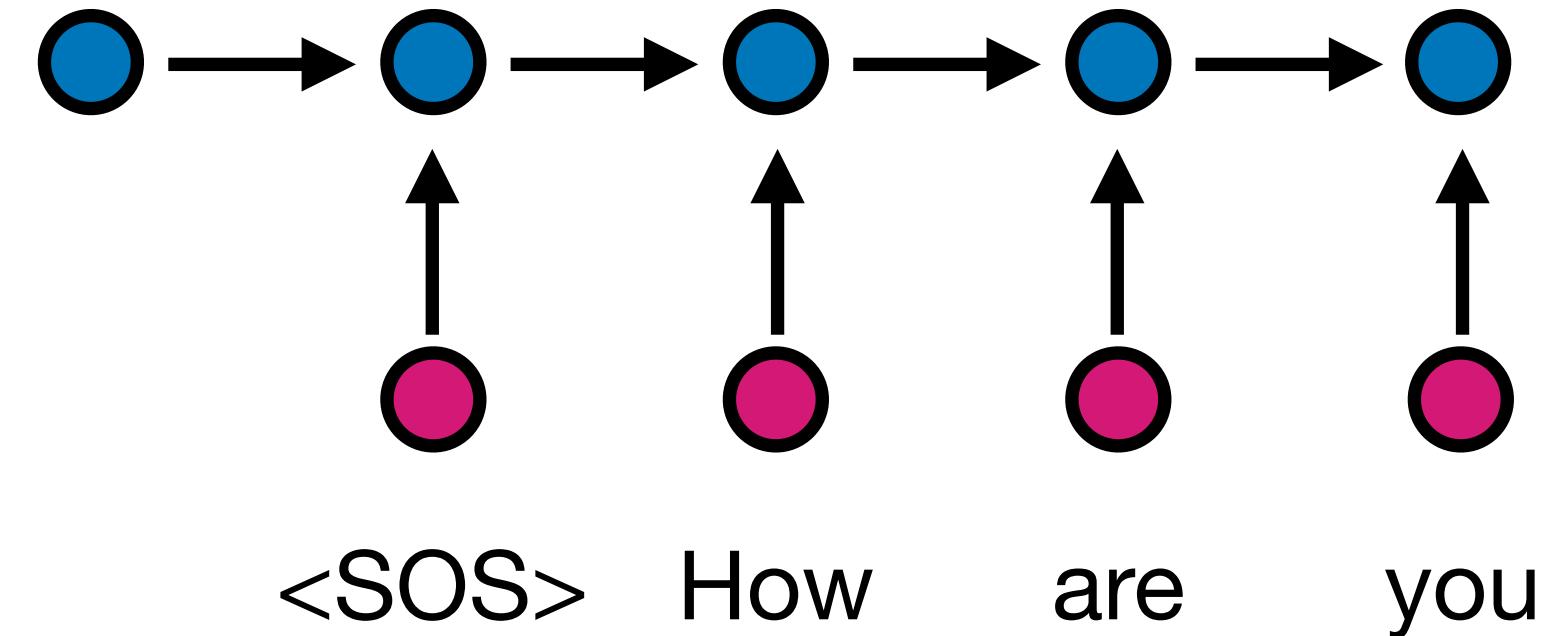
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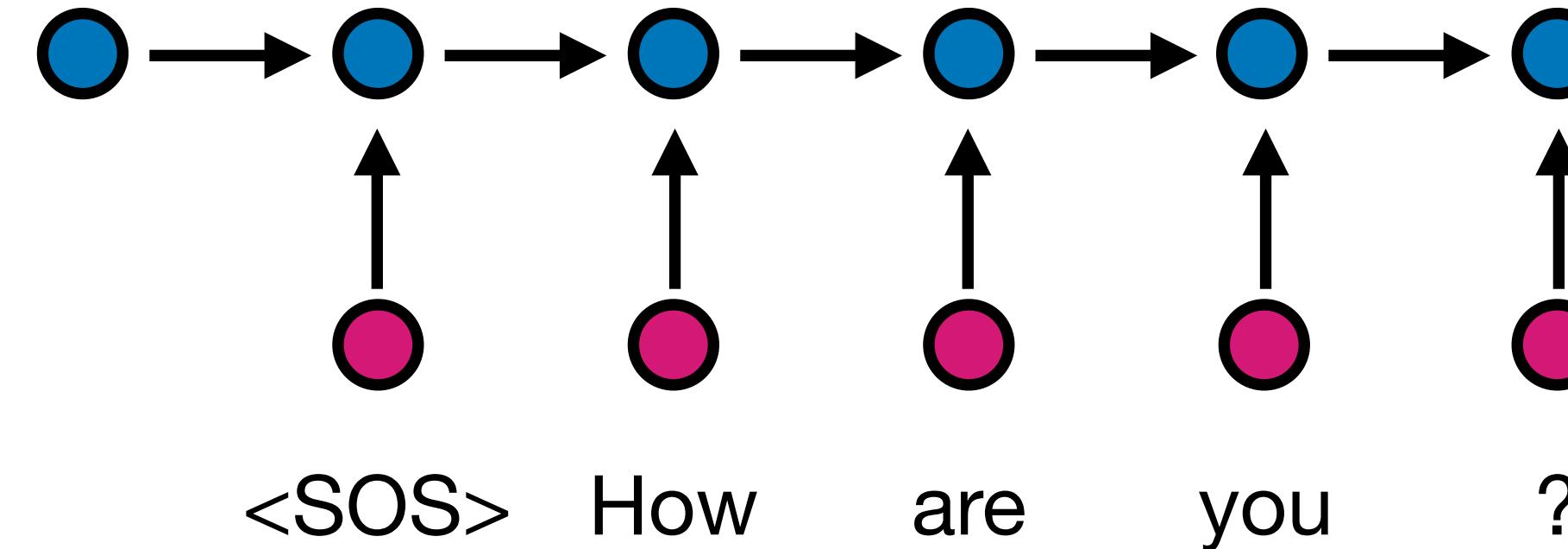
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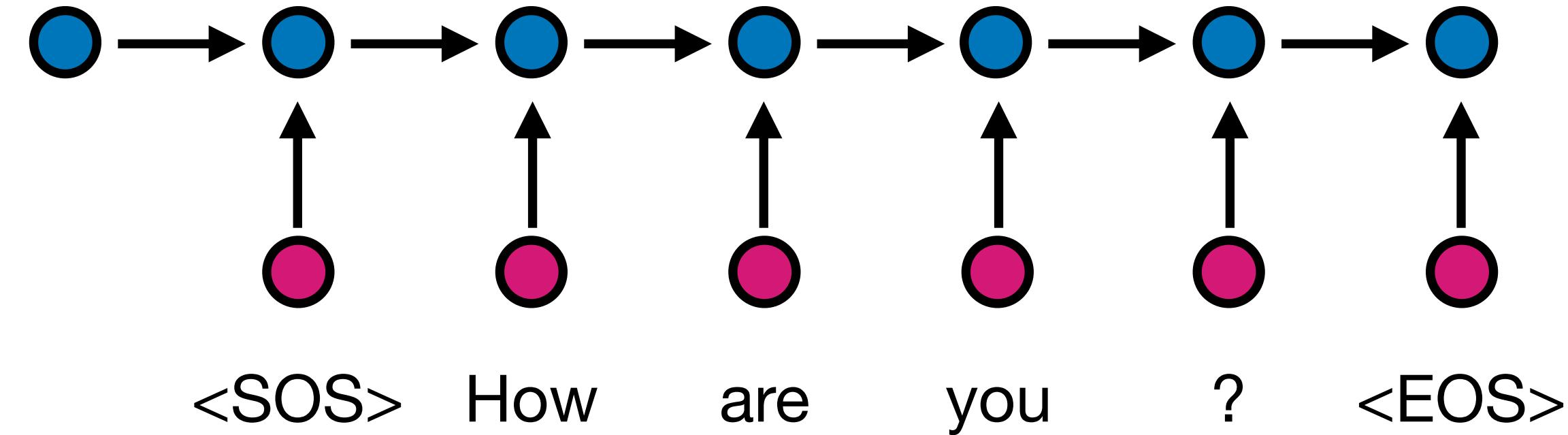
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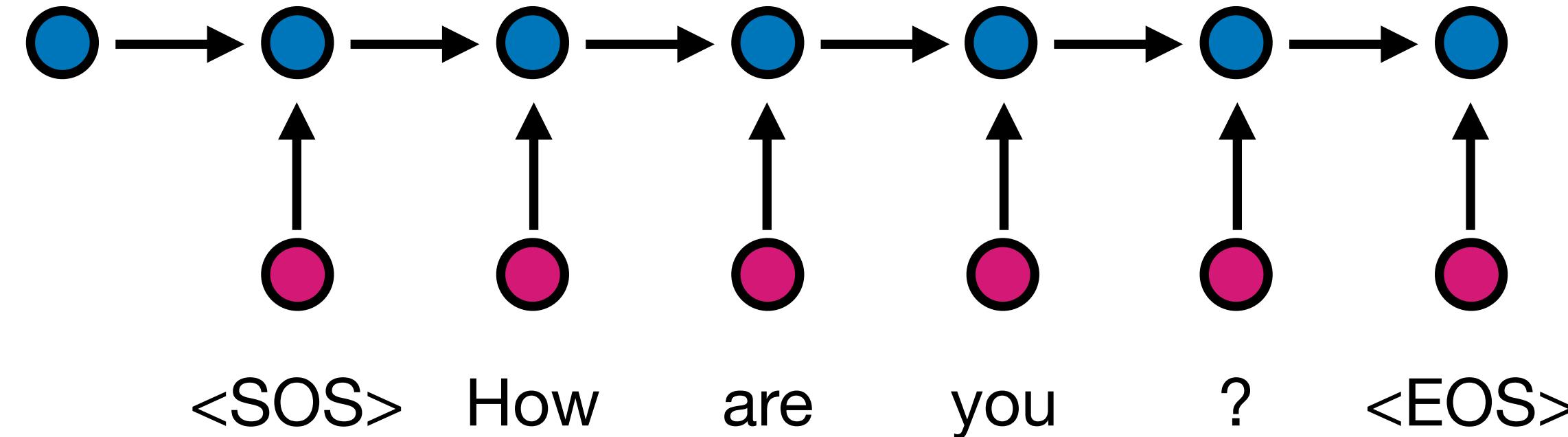
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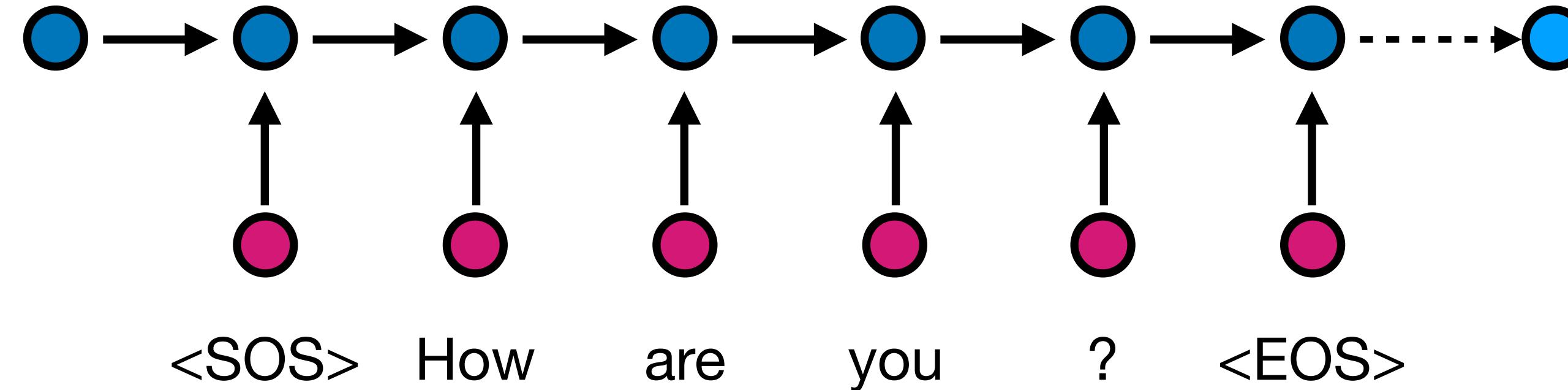
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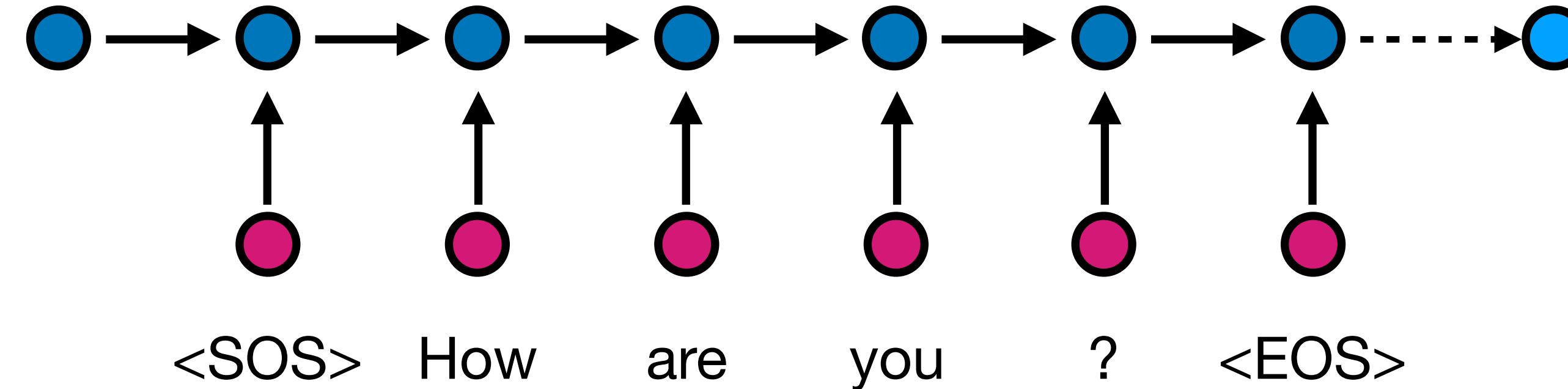
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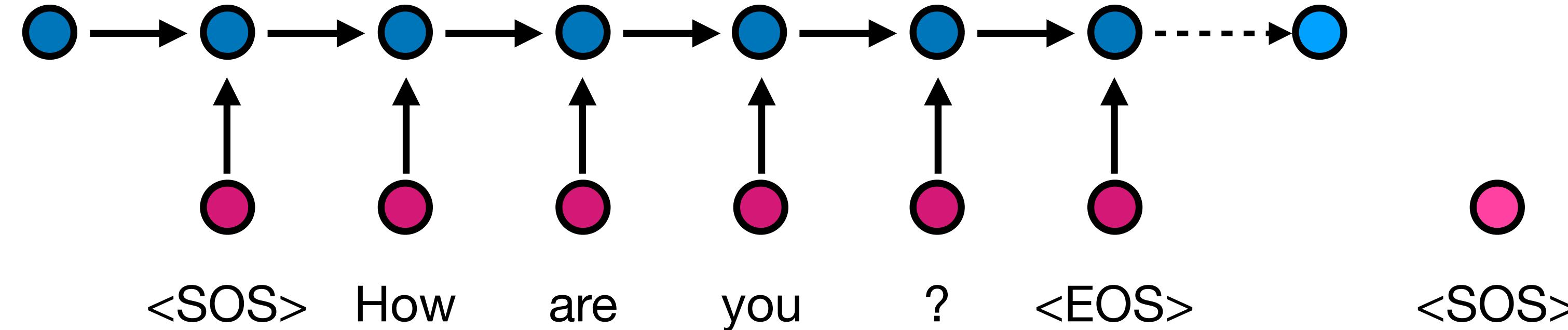
3. Input <SOS> token to generate next decoder hidden state.



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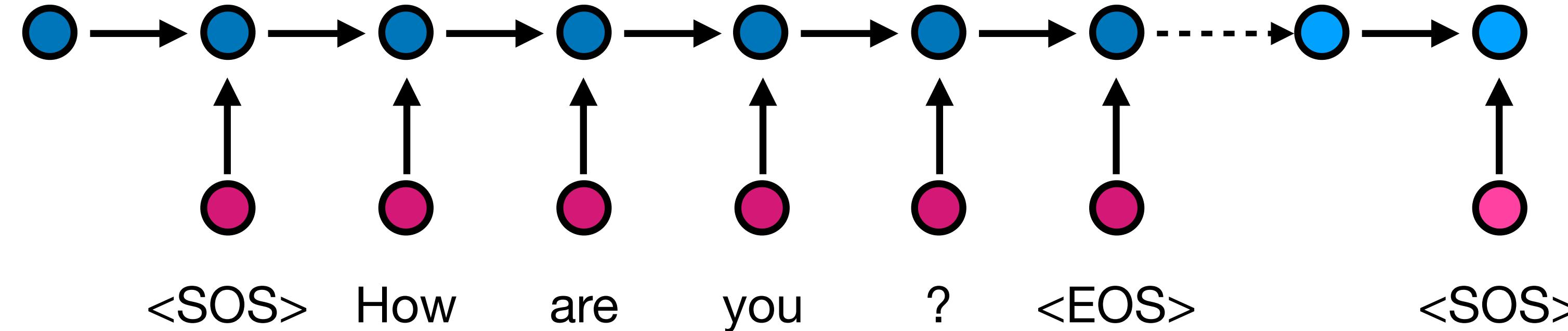
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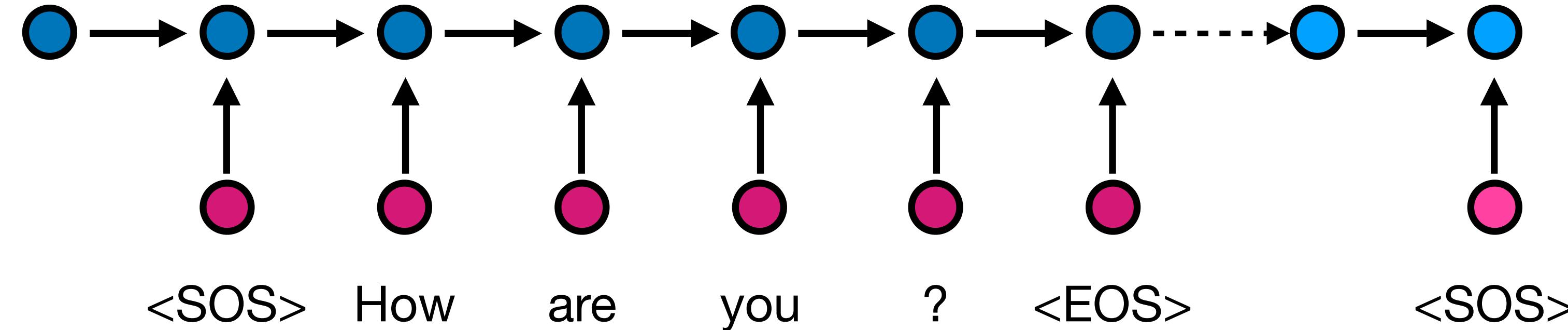
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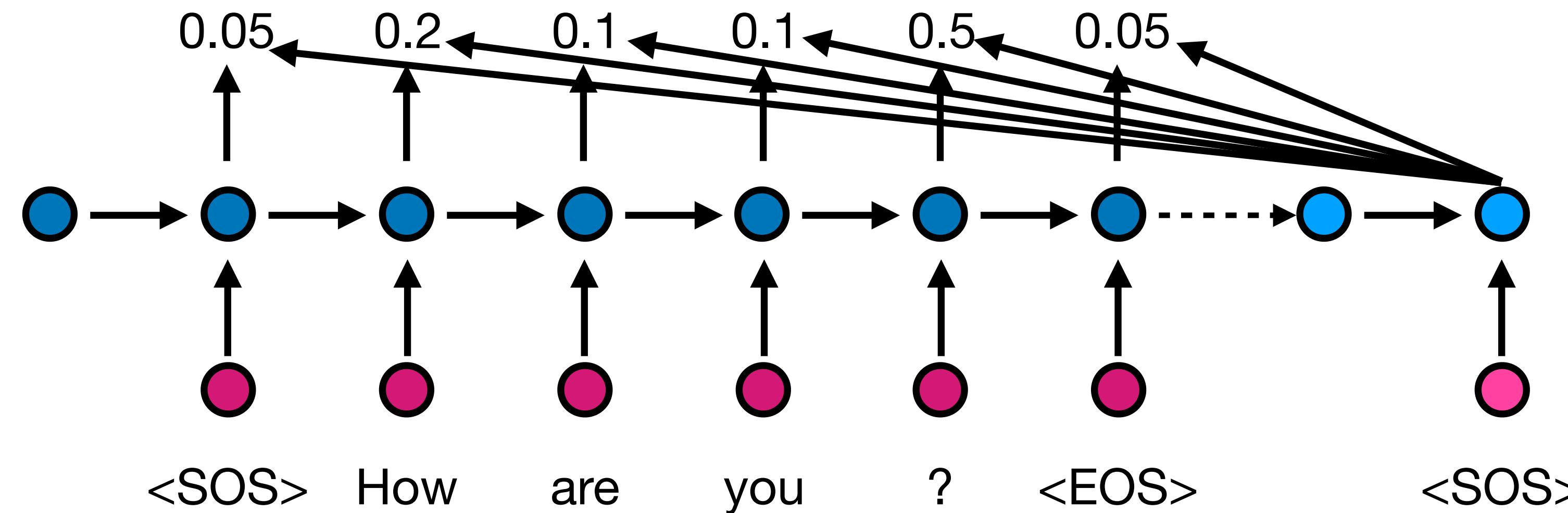
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RNN for machine translation with attention

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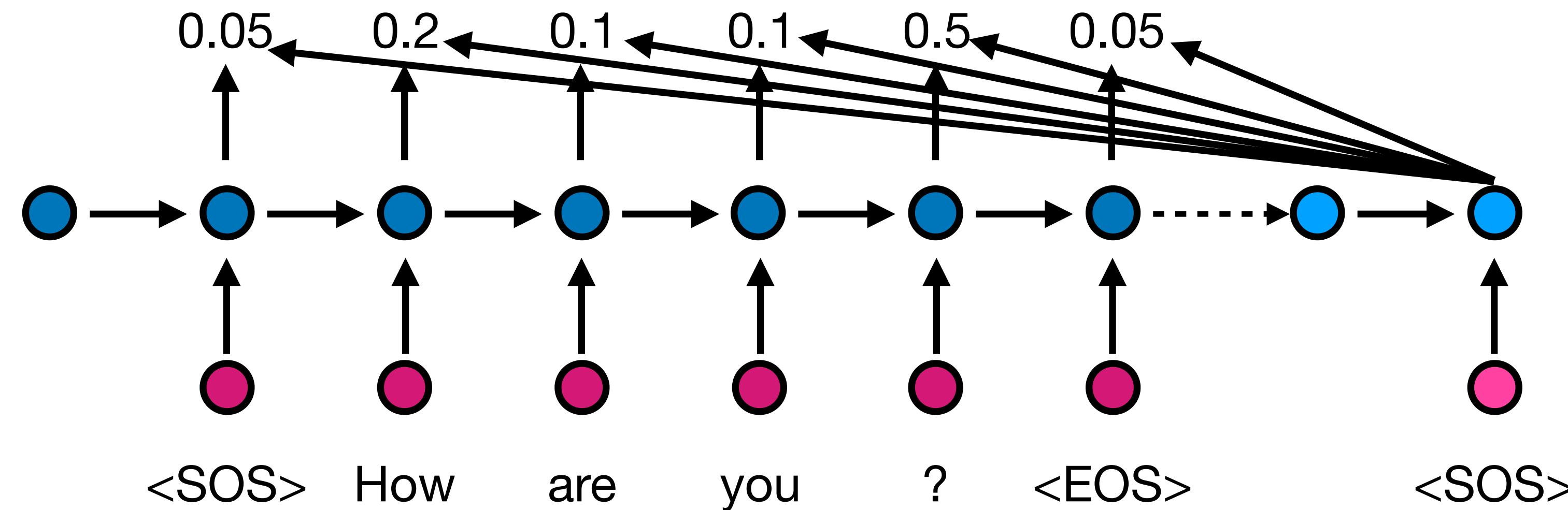
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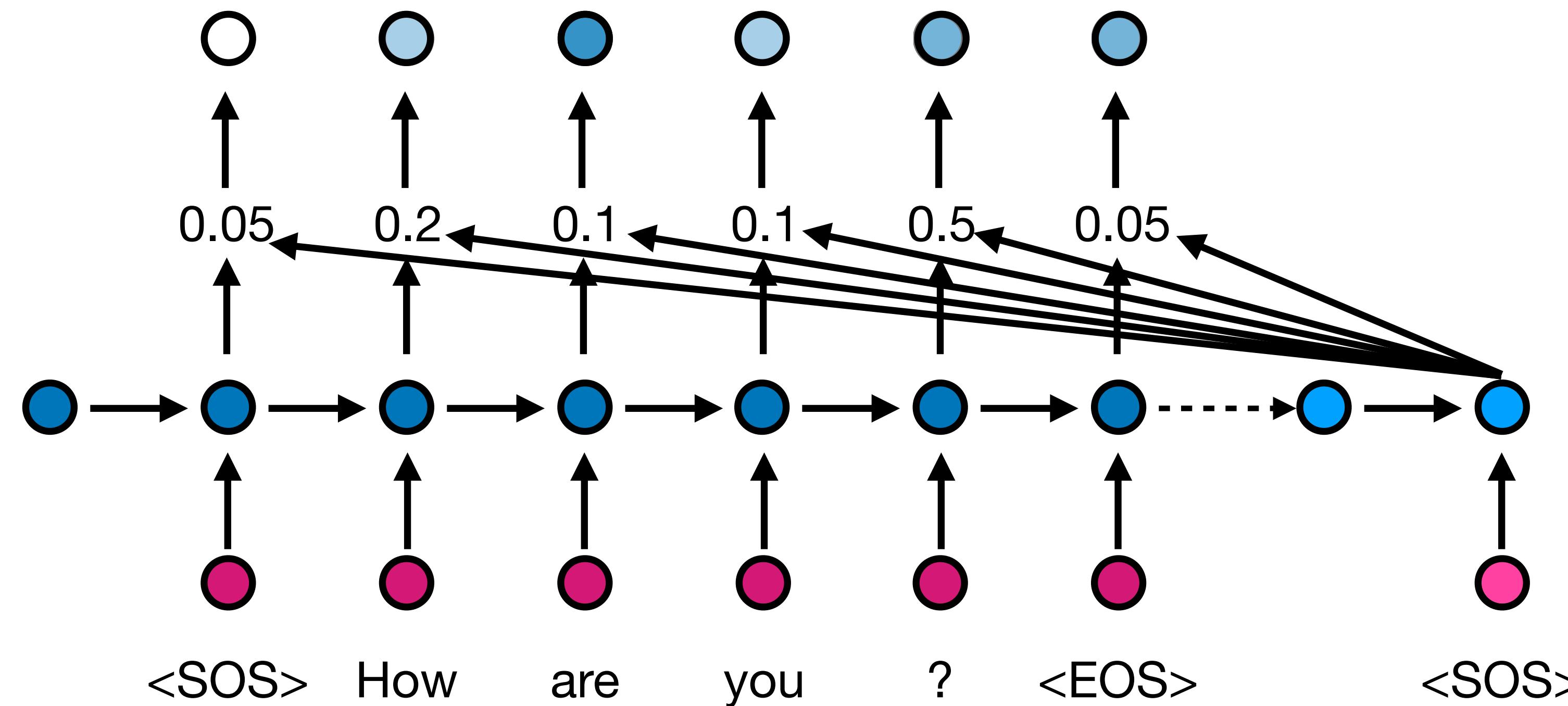
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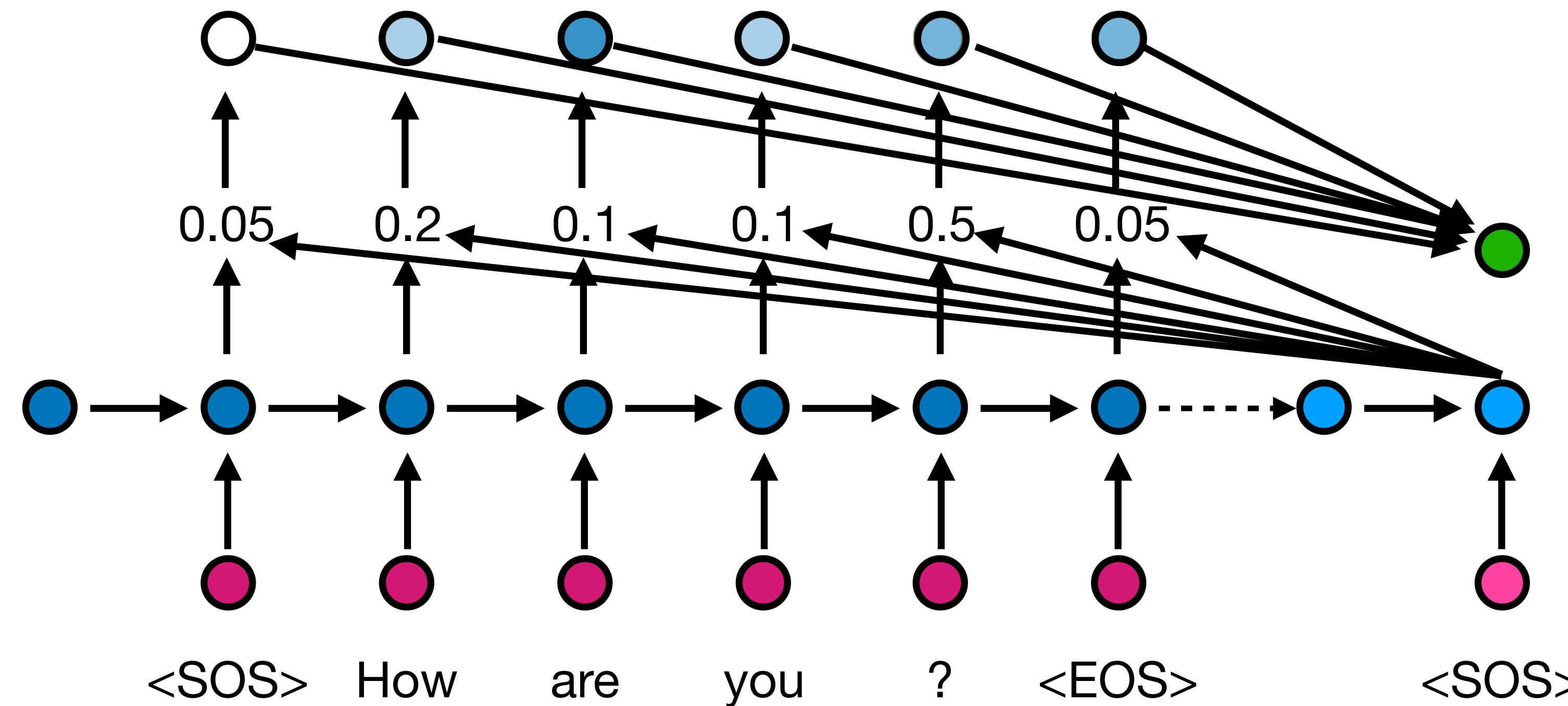
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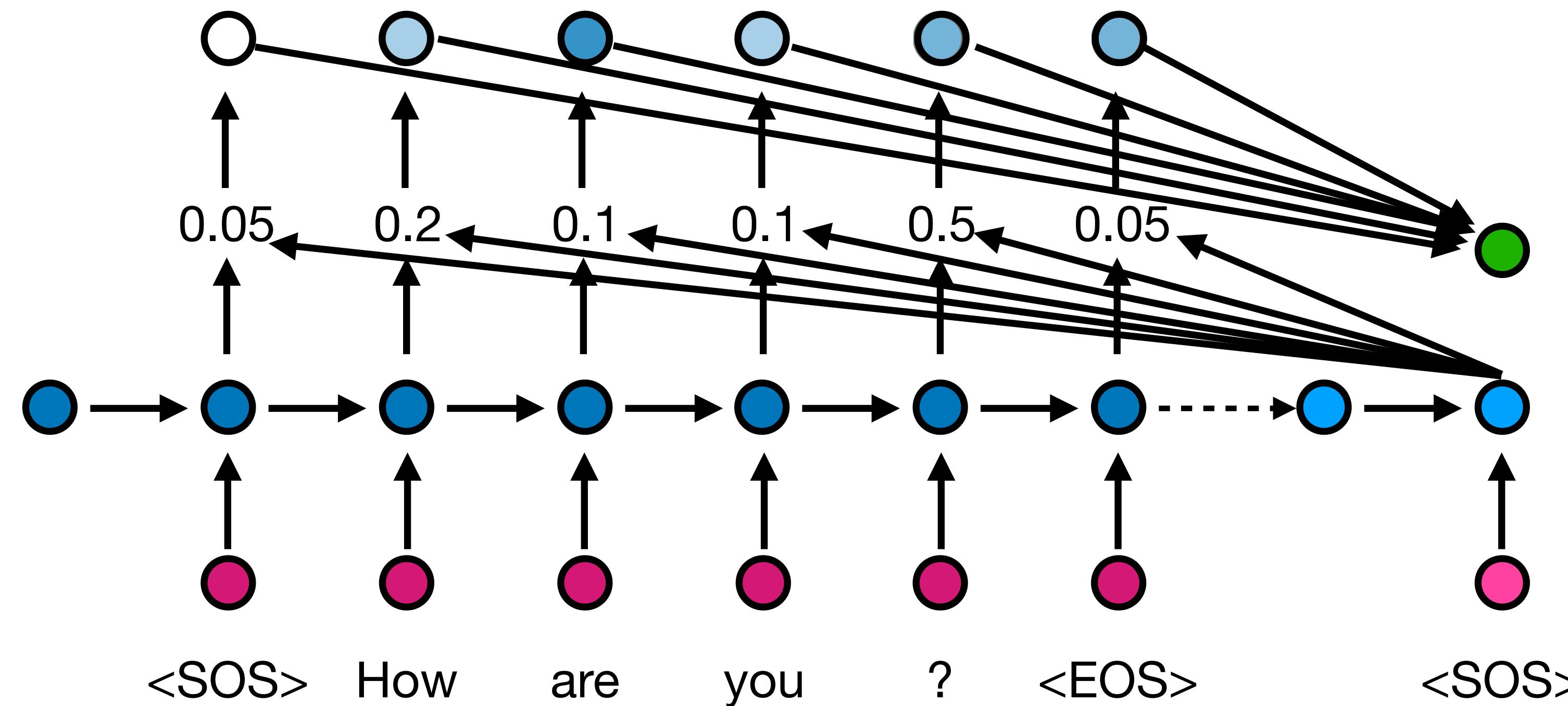
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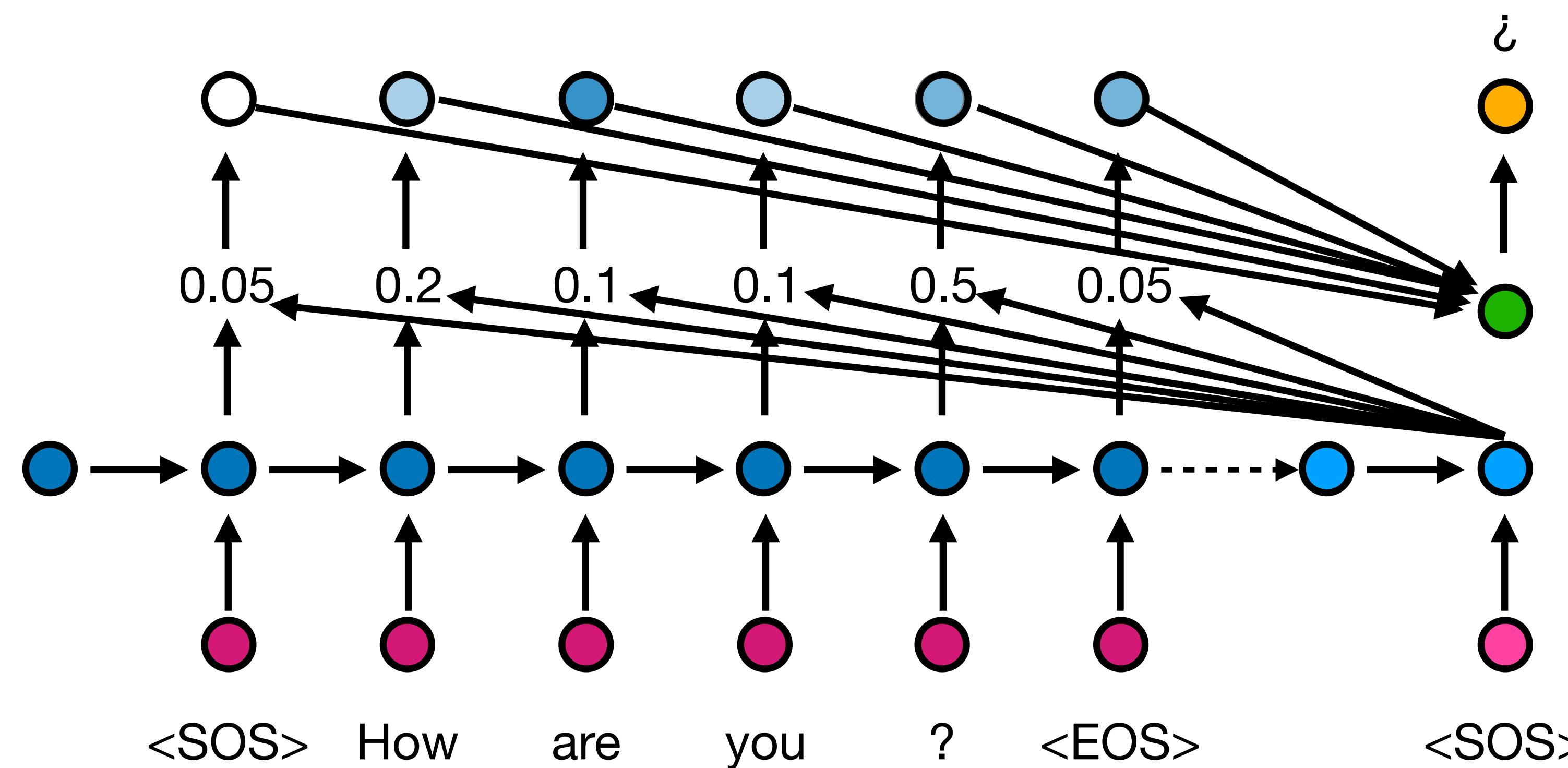
6. Pass context vector through FC layer with softmax to get first predicted token.



RNN for machine translation with attention

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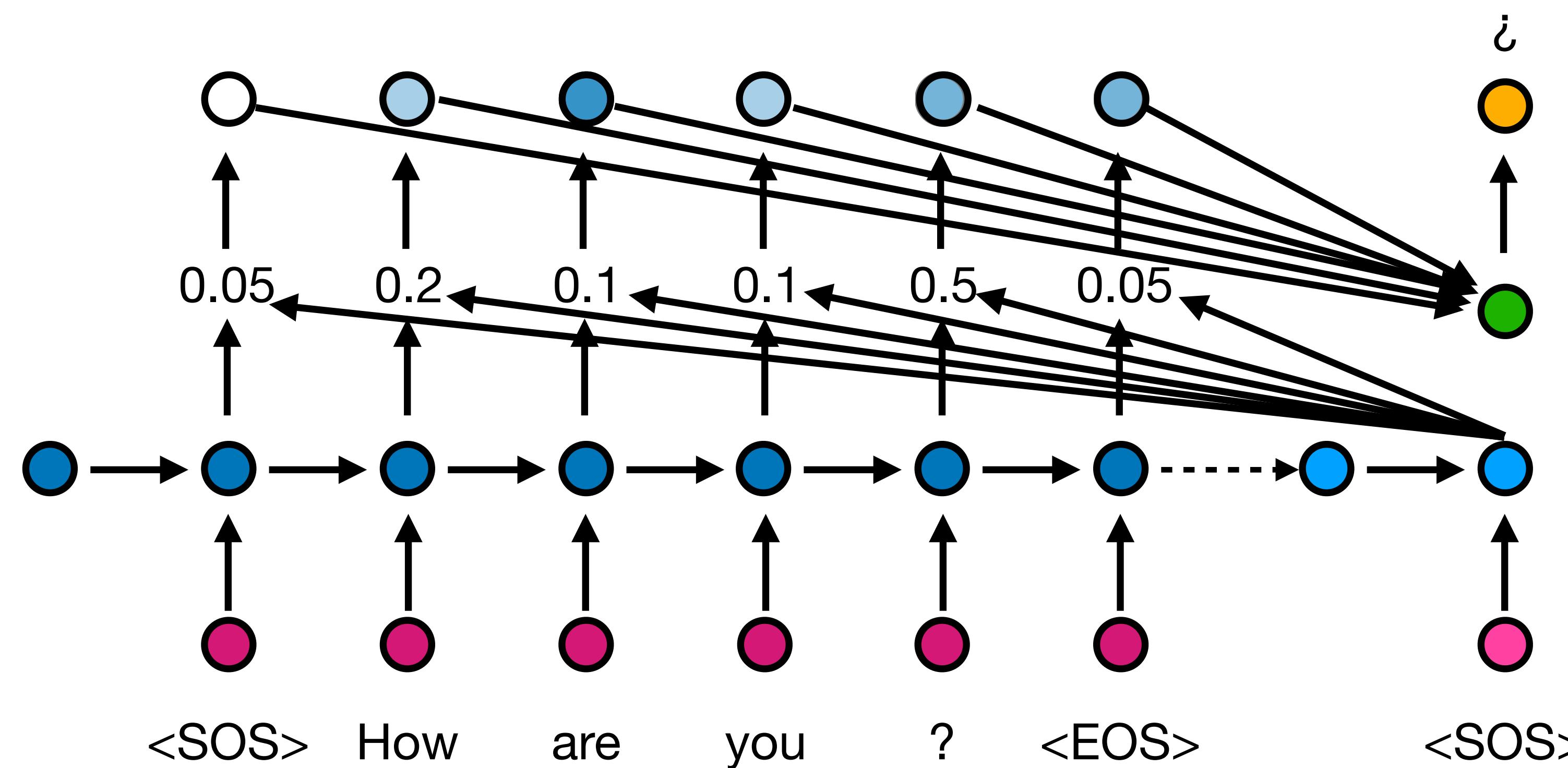
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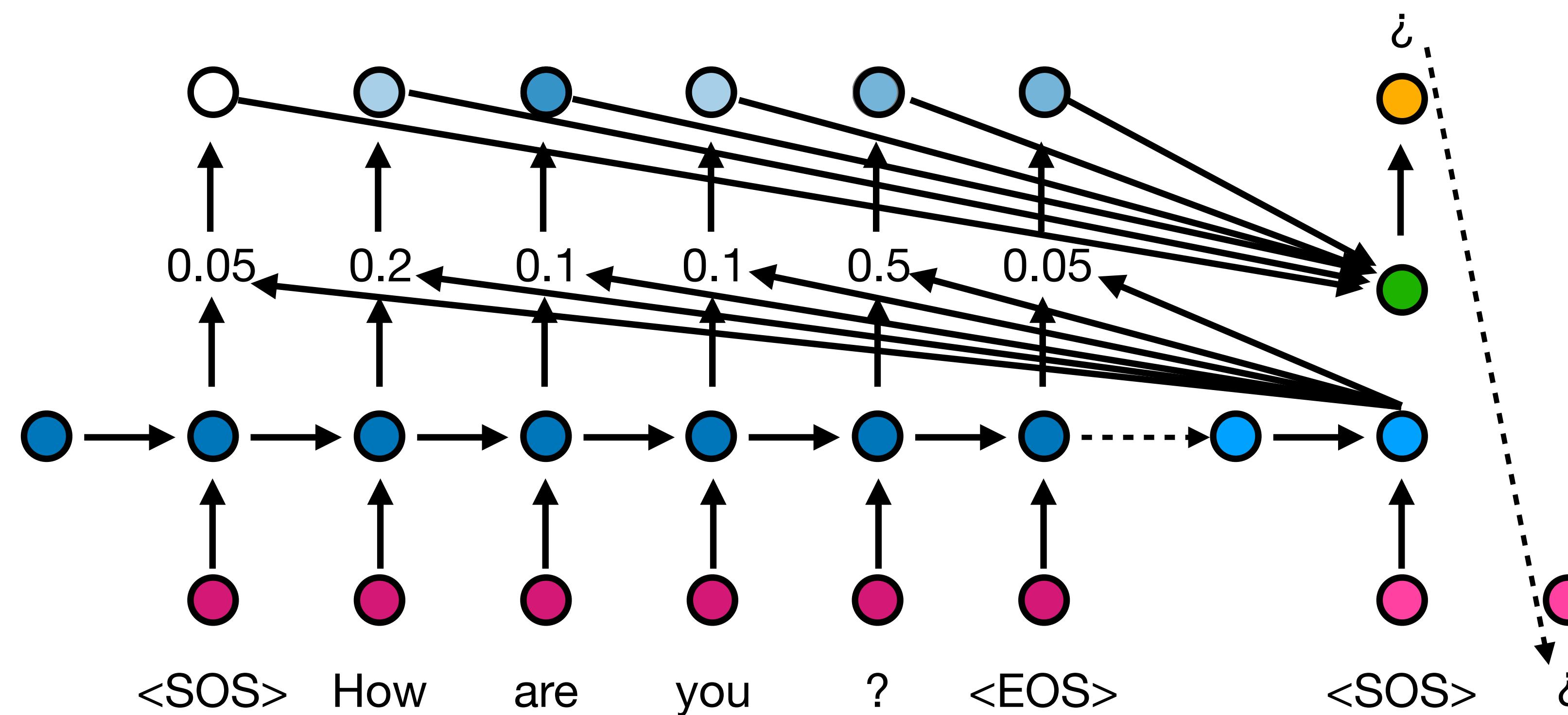
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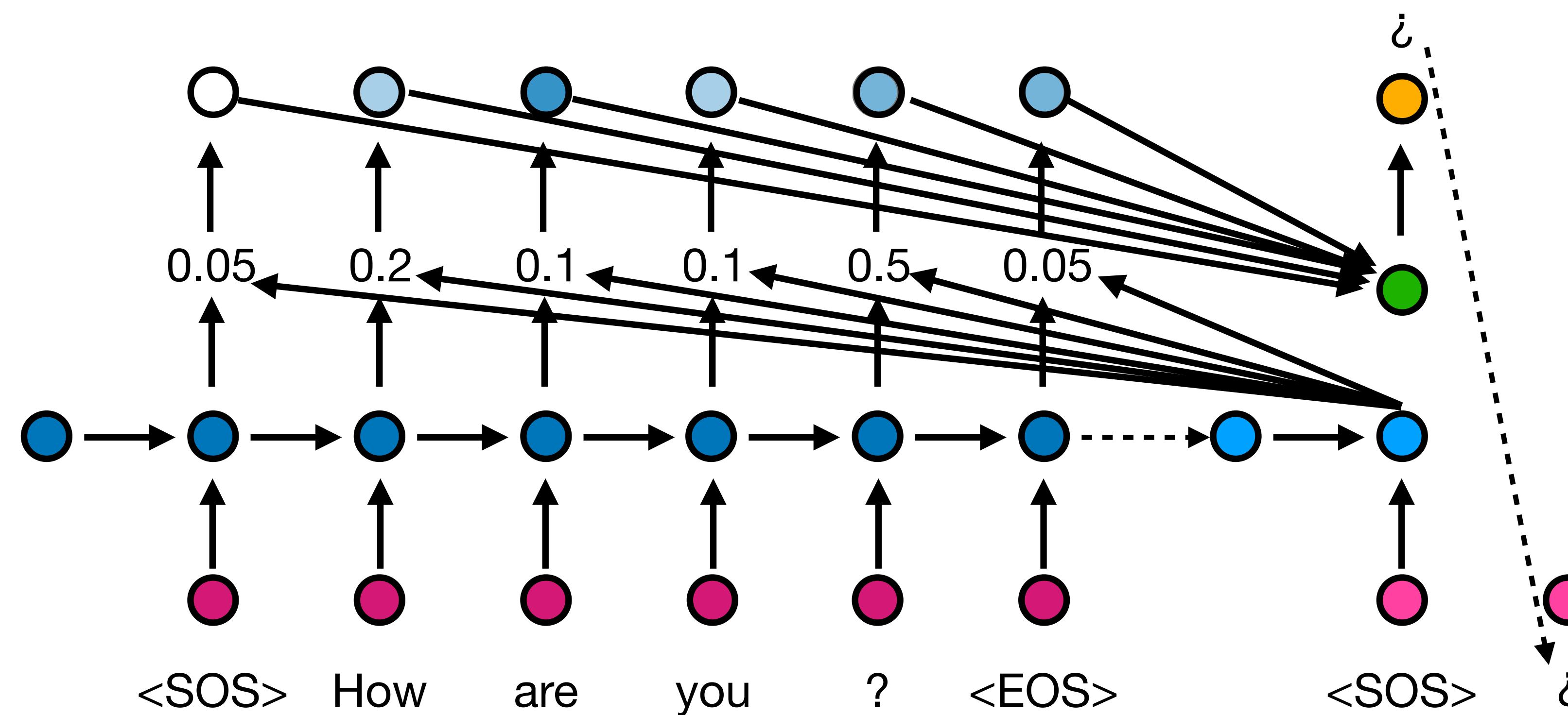
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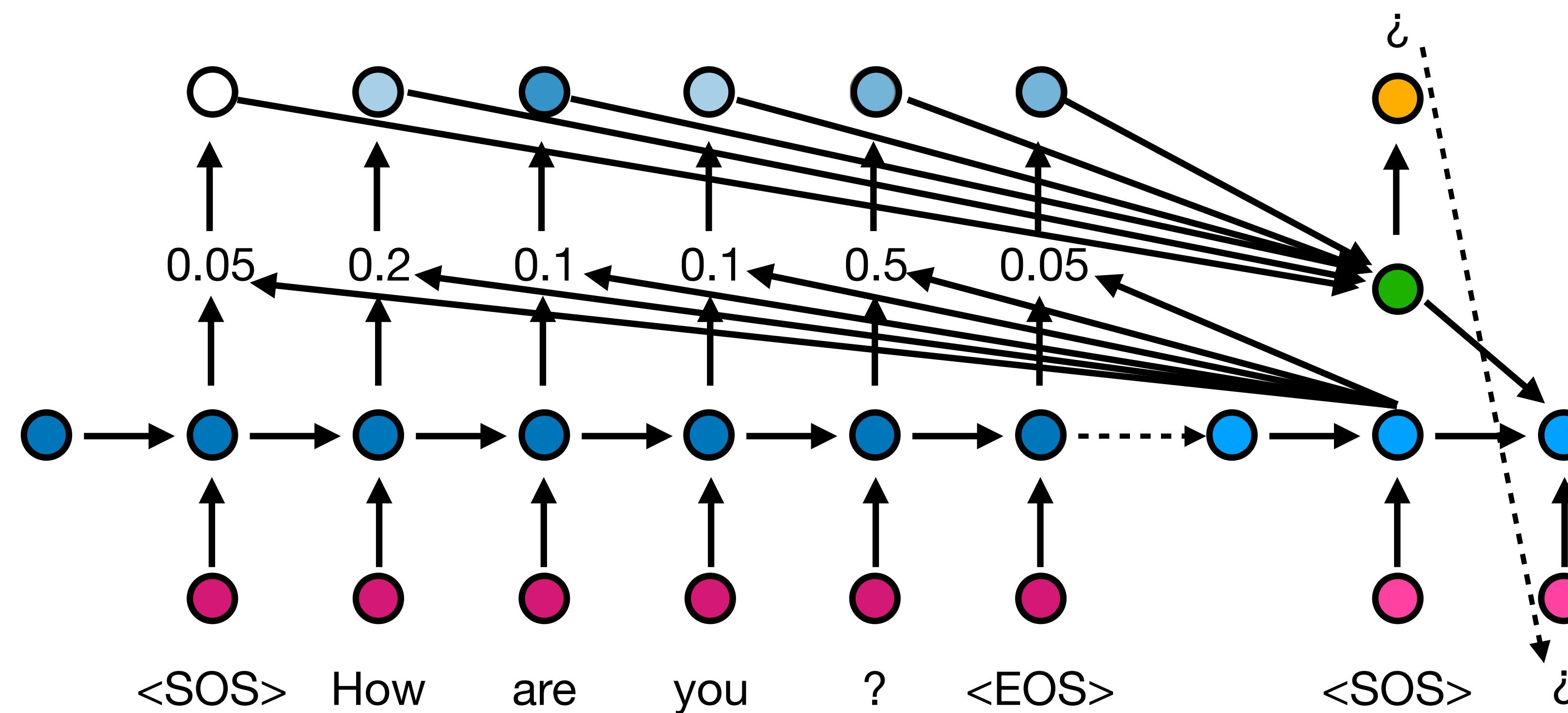
8. Define next hidden state by passing previous context vector, previous hidden state, and input token through FC layers.



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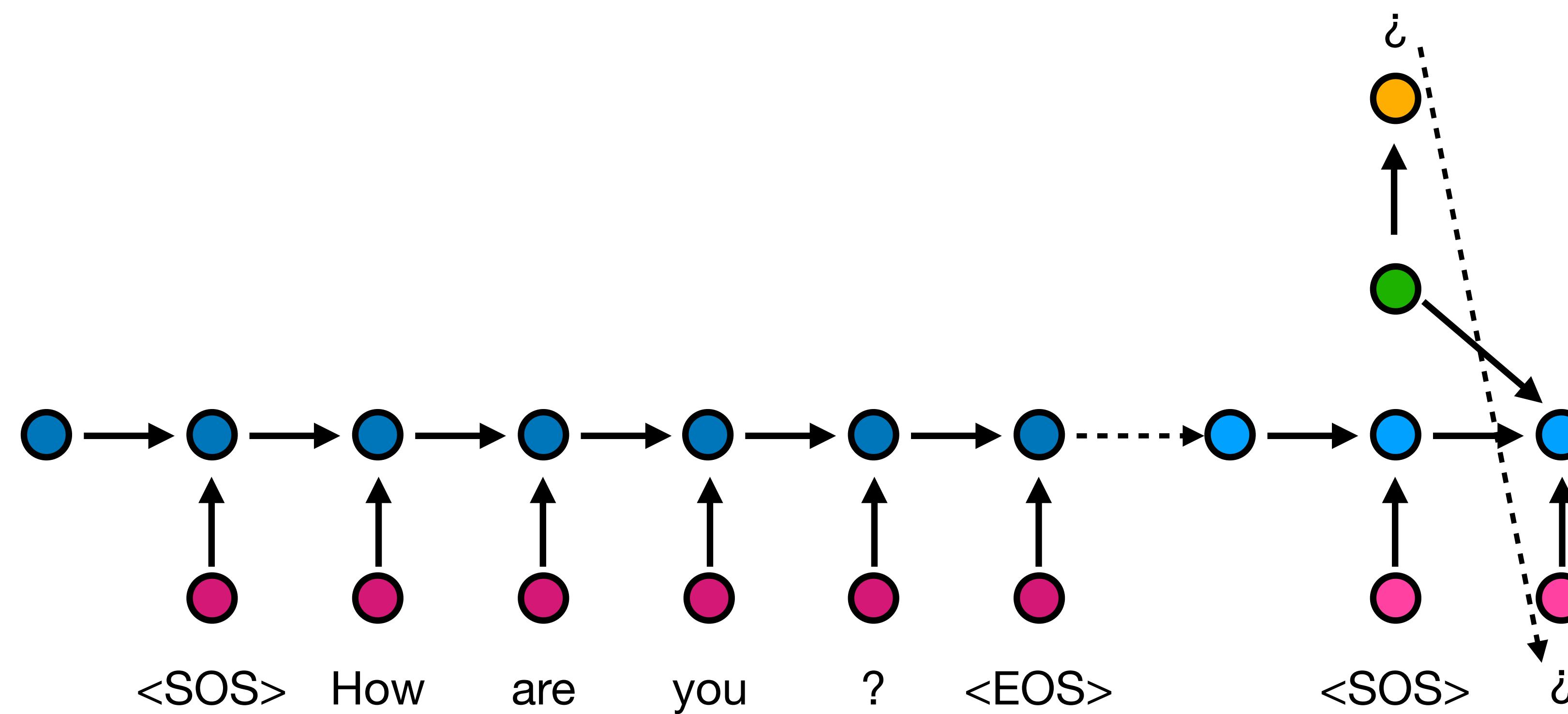
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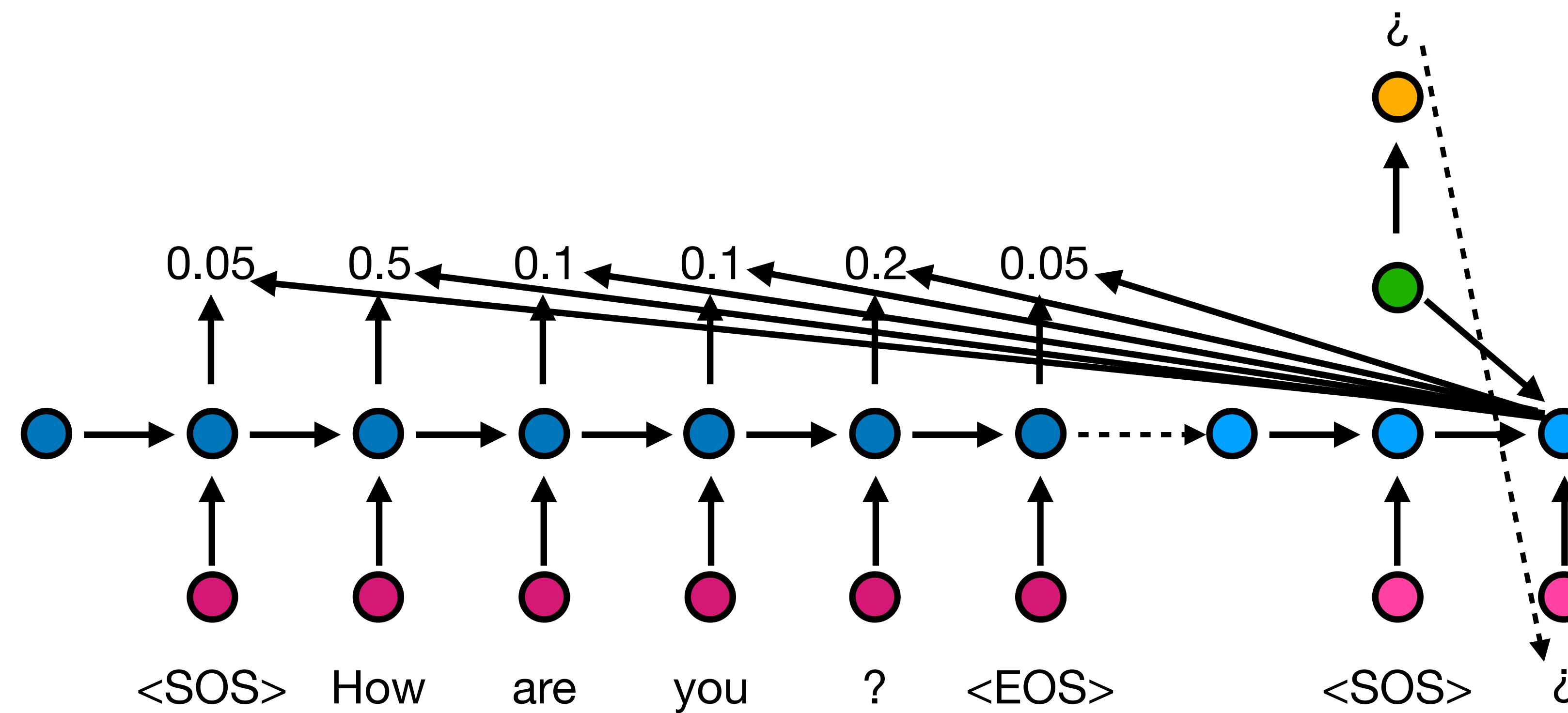
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RNN for machine translation with attention

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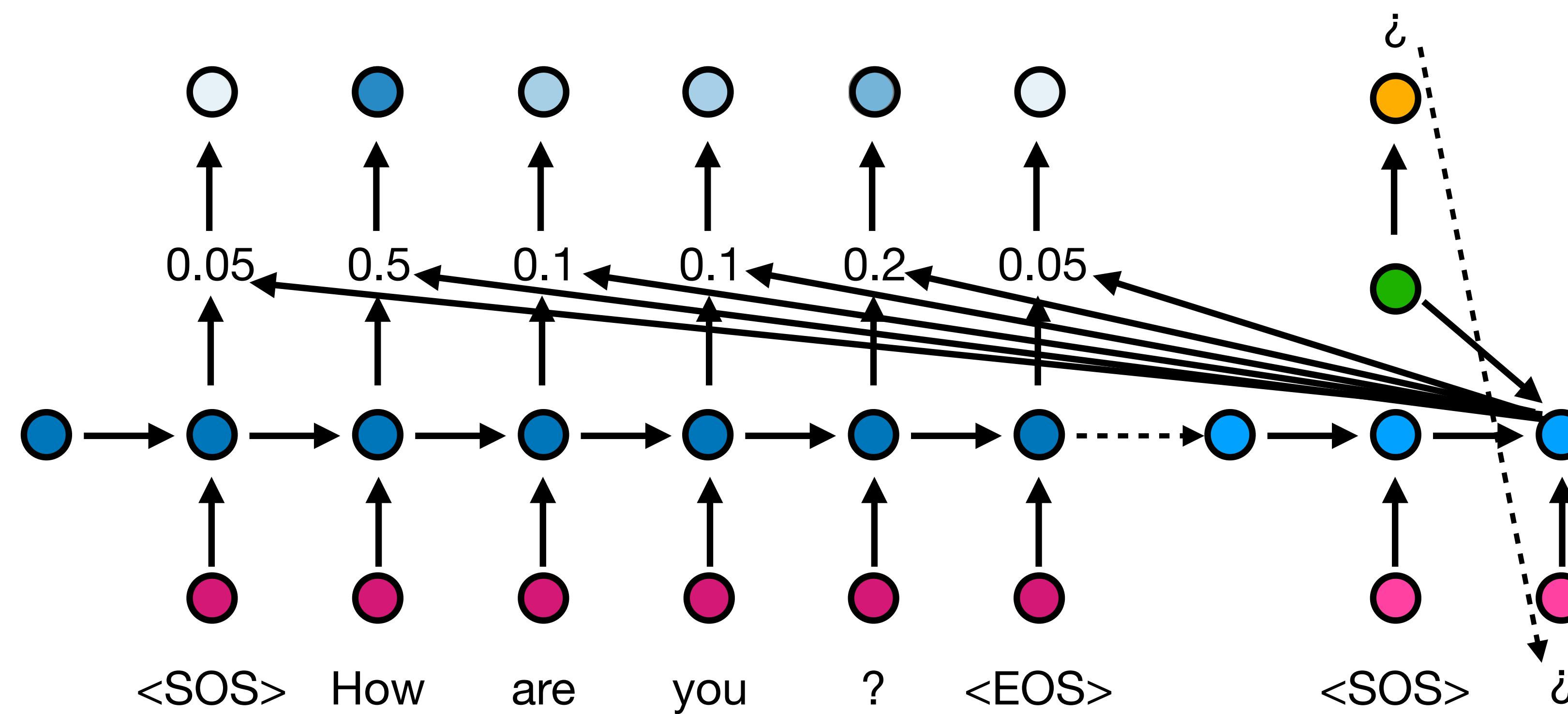
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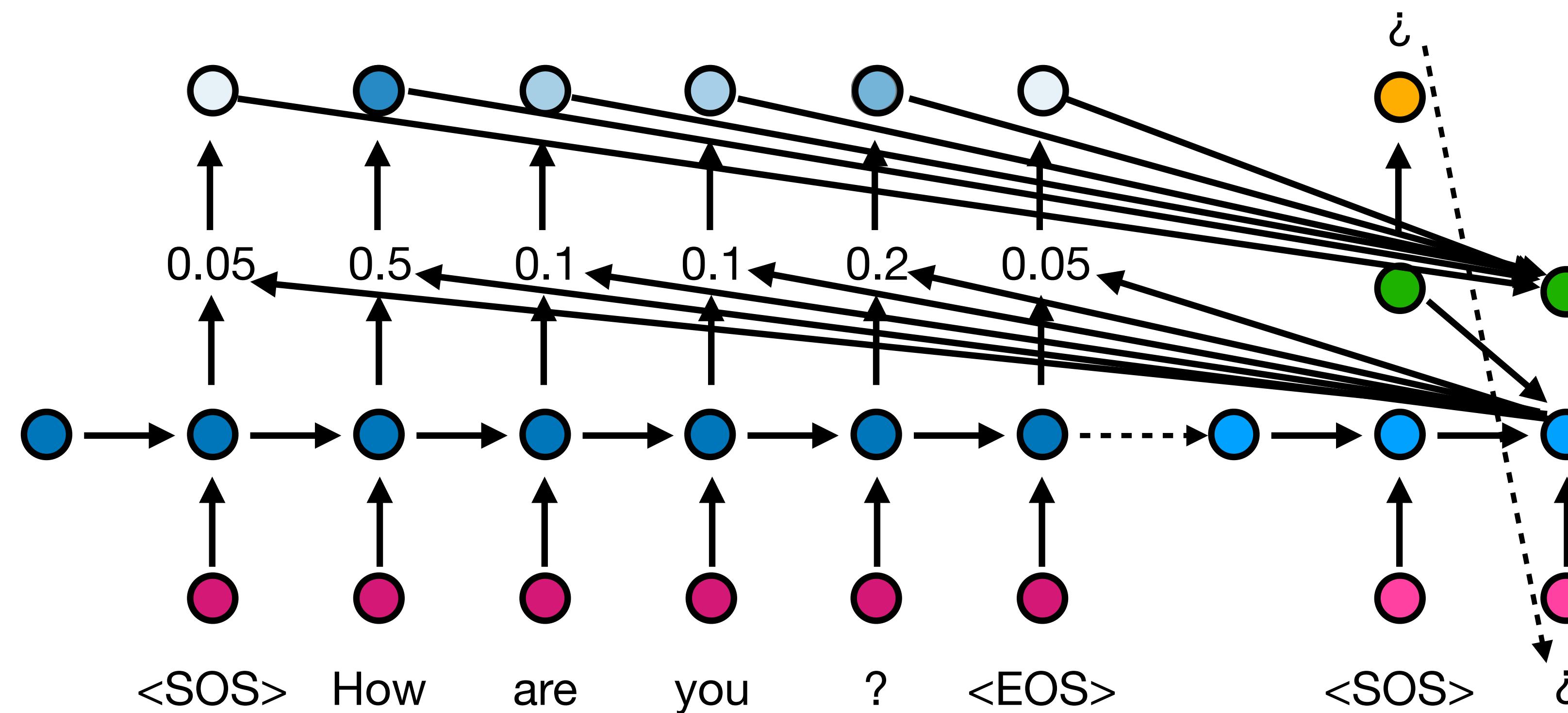
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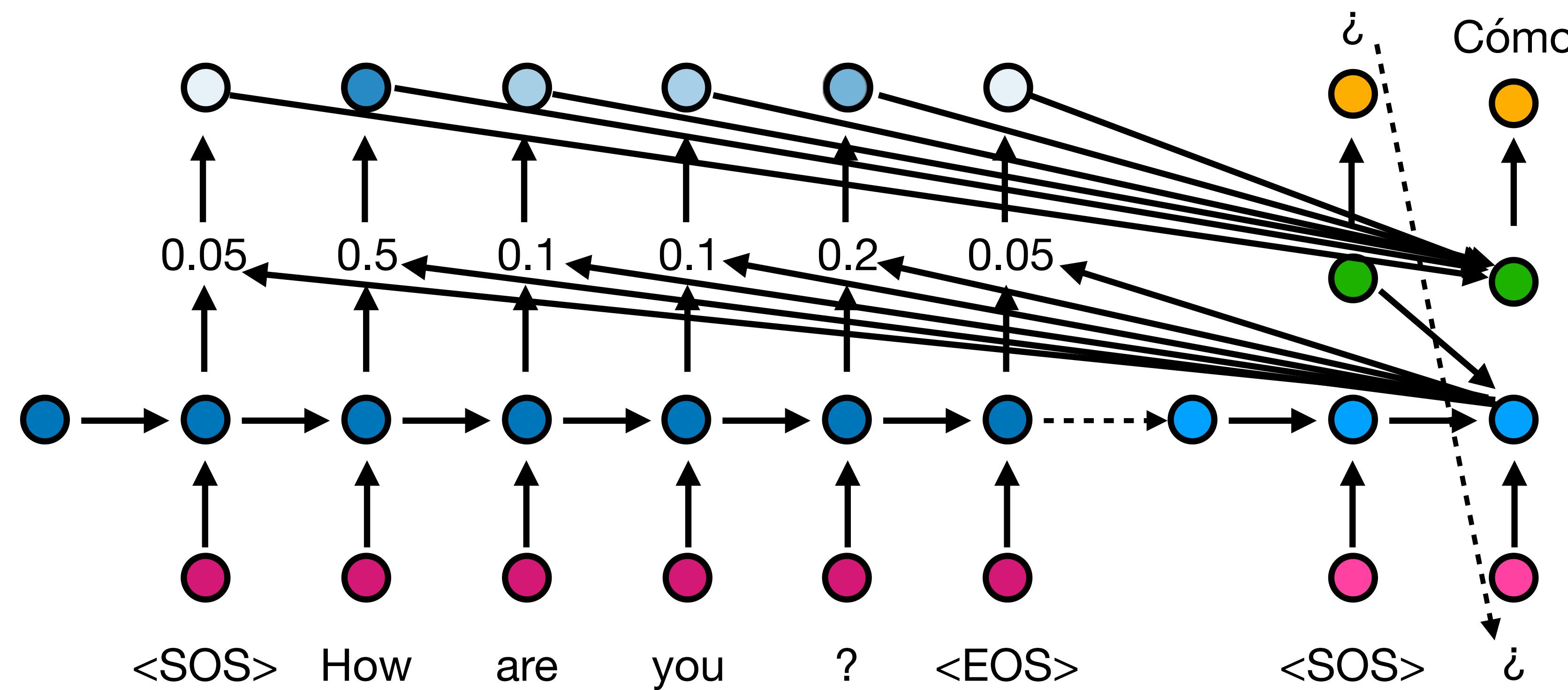
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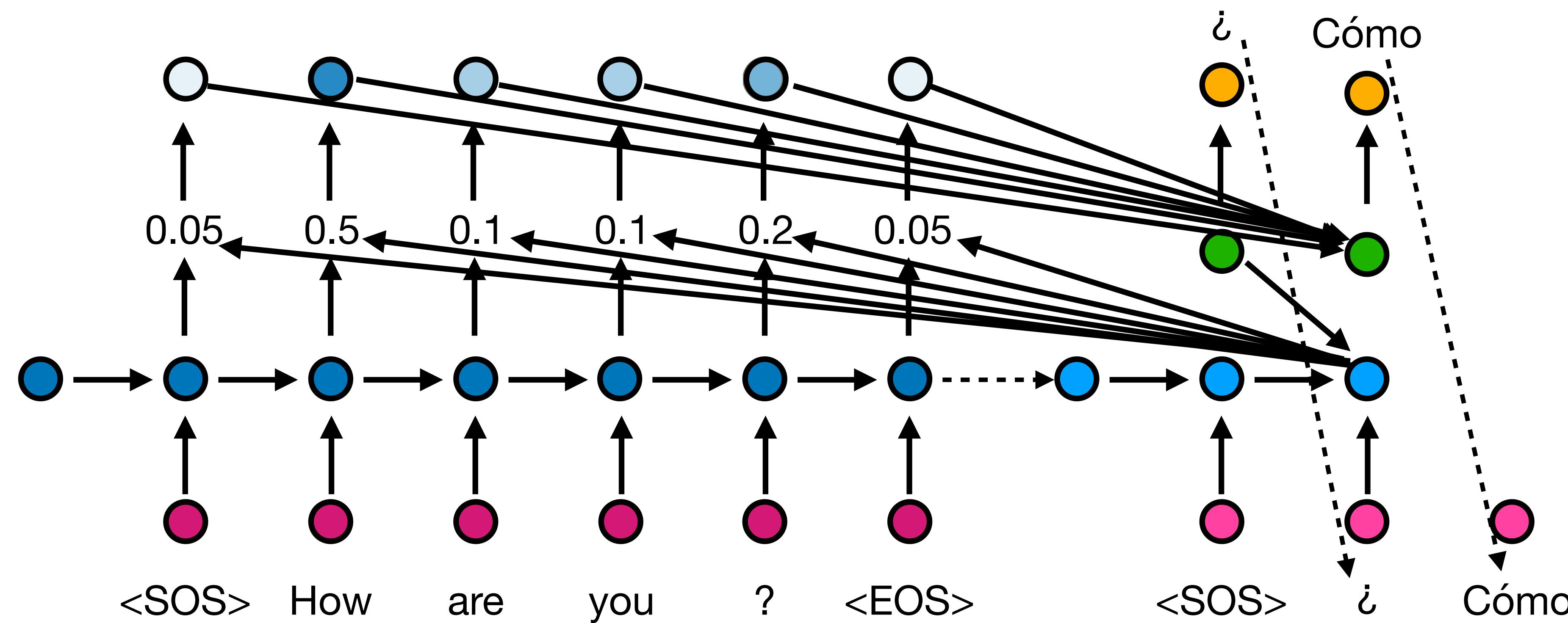
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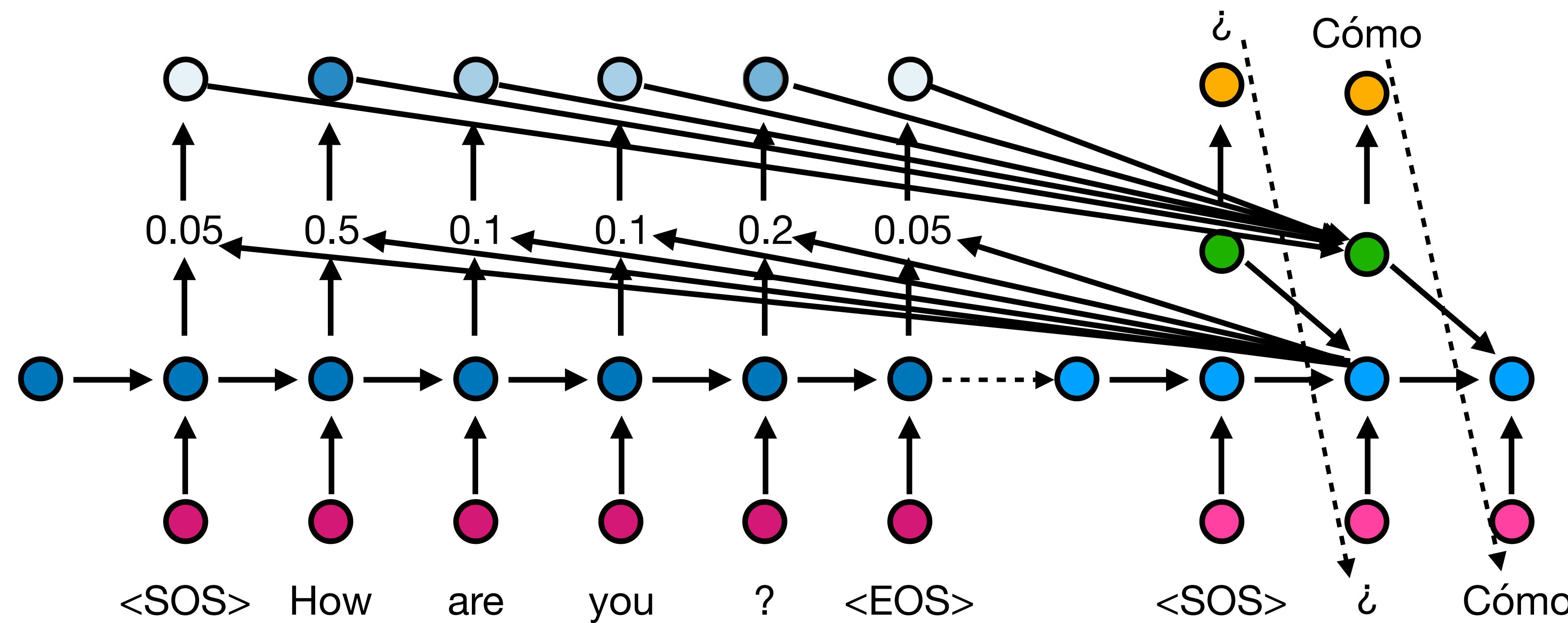
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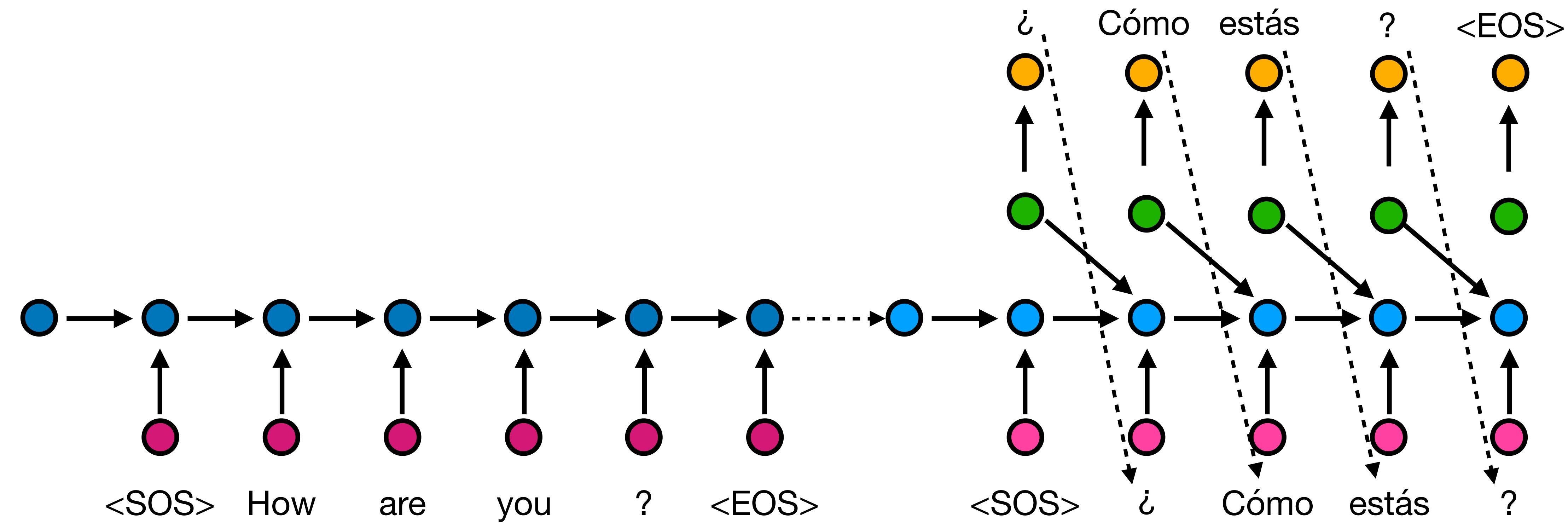
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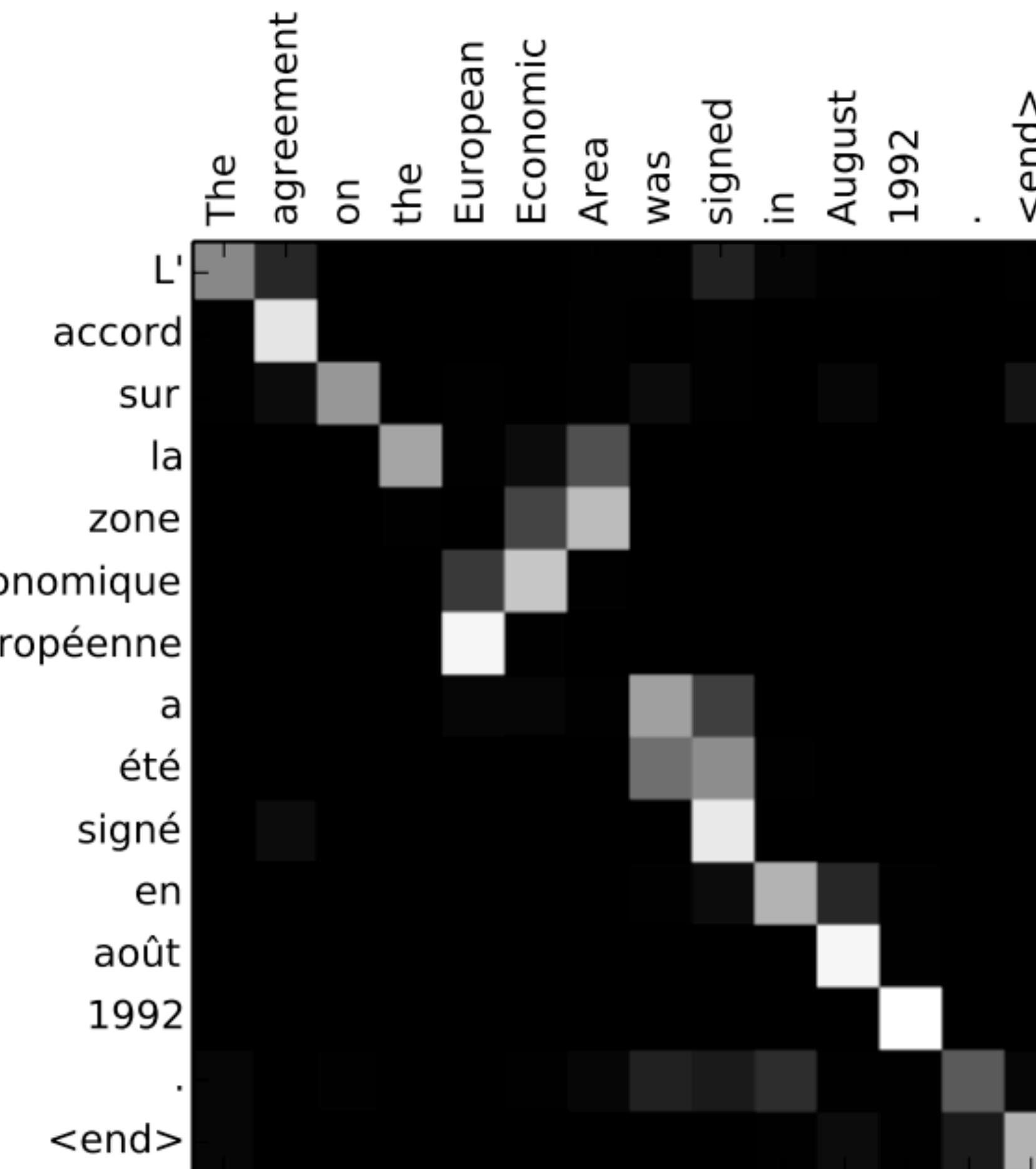
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Visualizing attention



From RNNs to transformers

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Model	Popular during	Speed	Sequence length
Recurrent neural network (RNN)	1980s to early	Good	5-50 tokens
RNN with “memory” (e.g. LSTMs)	1997 to mid-2010s	Poor	100-500 tokens
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[Transformer](#) proposed in 2017: An architecture based on [attention](#) but not recurrence, which performed better than RNNs and accommodated parallelization.

Simplified transformer for sentiment analysis

Input text: “I enjoyed the movie!” Output class: “Positive”

Simplified transformer for sentiment analysis

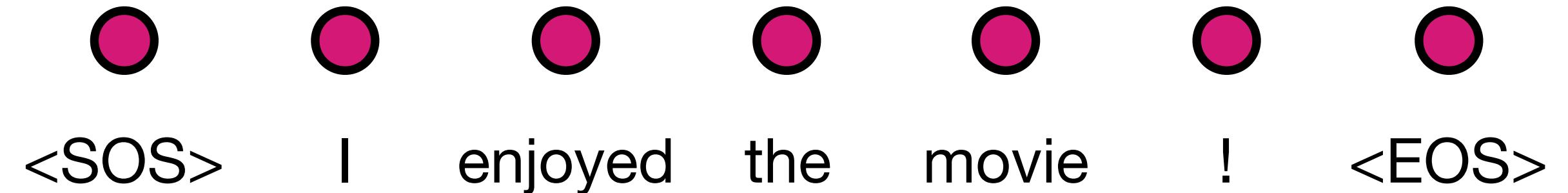
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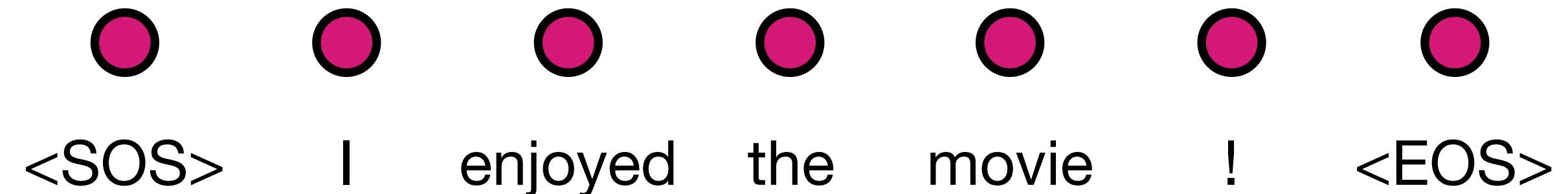
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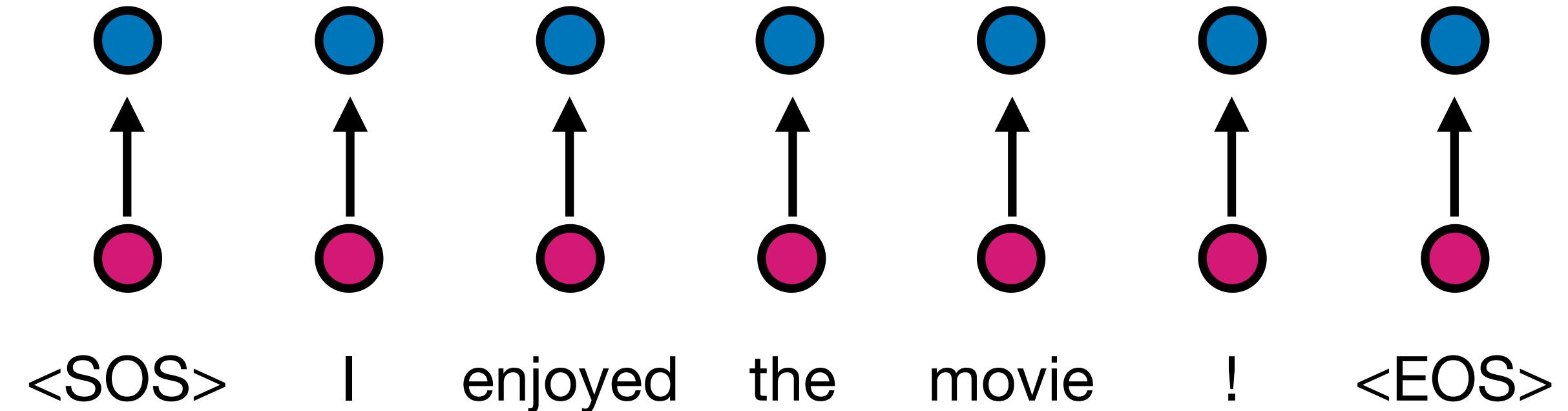
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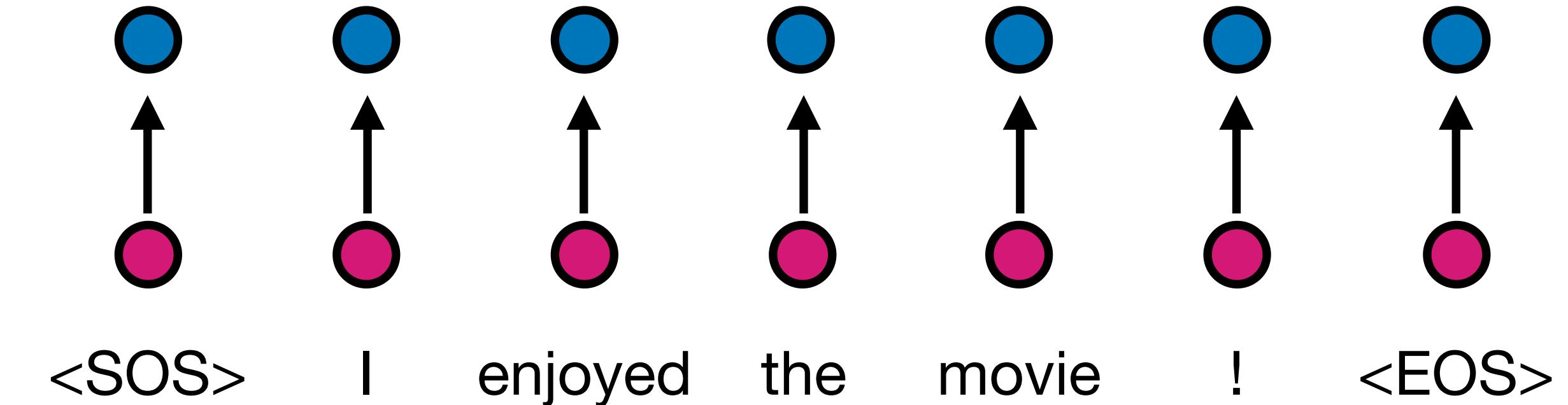
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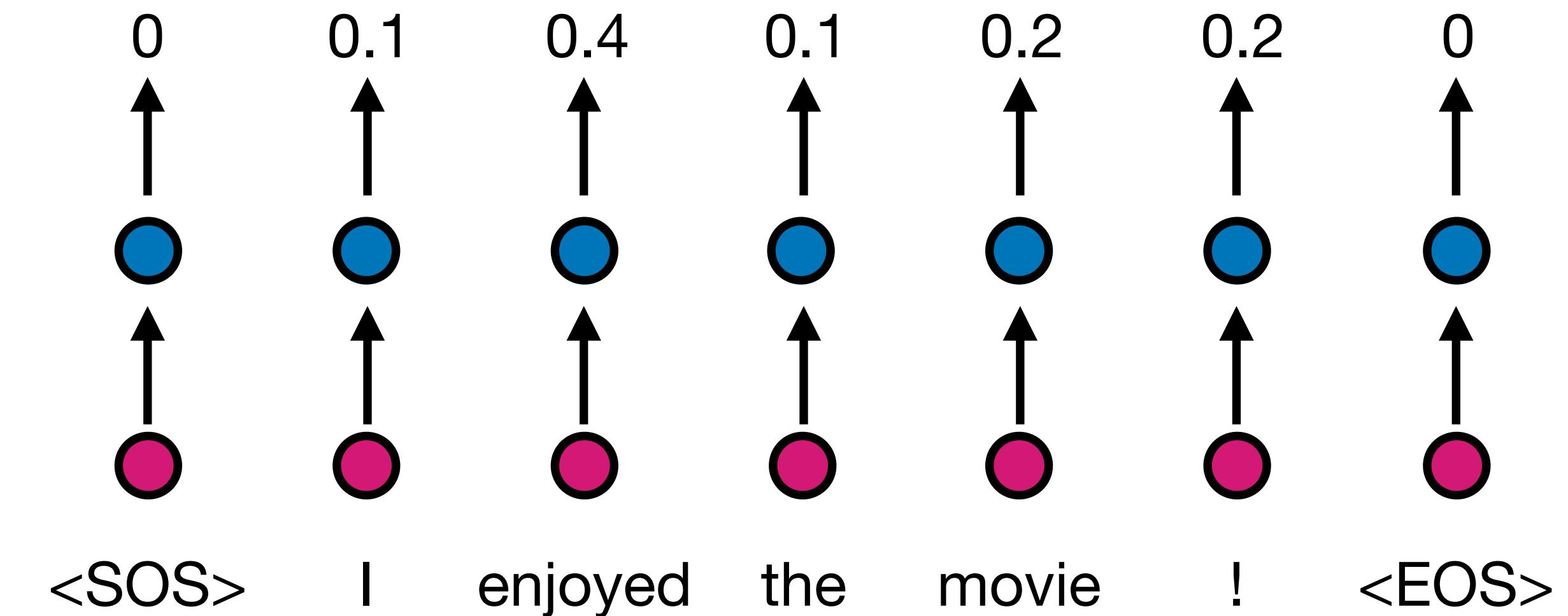
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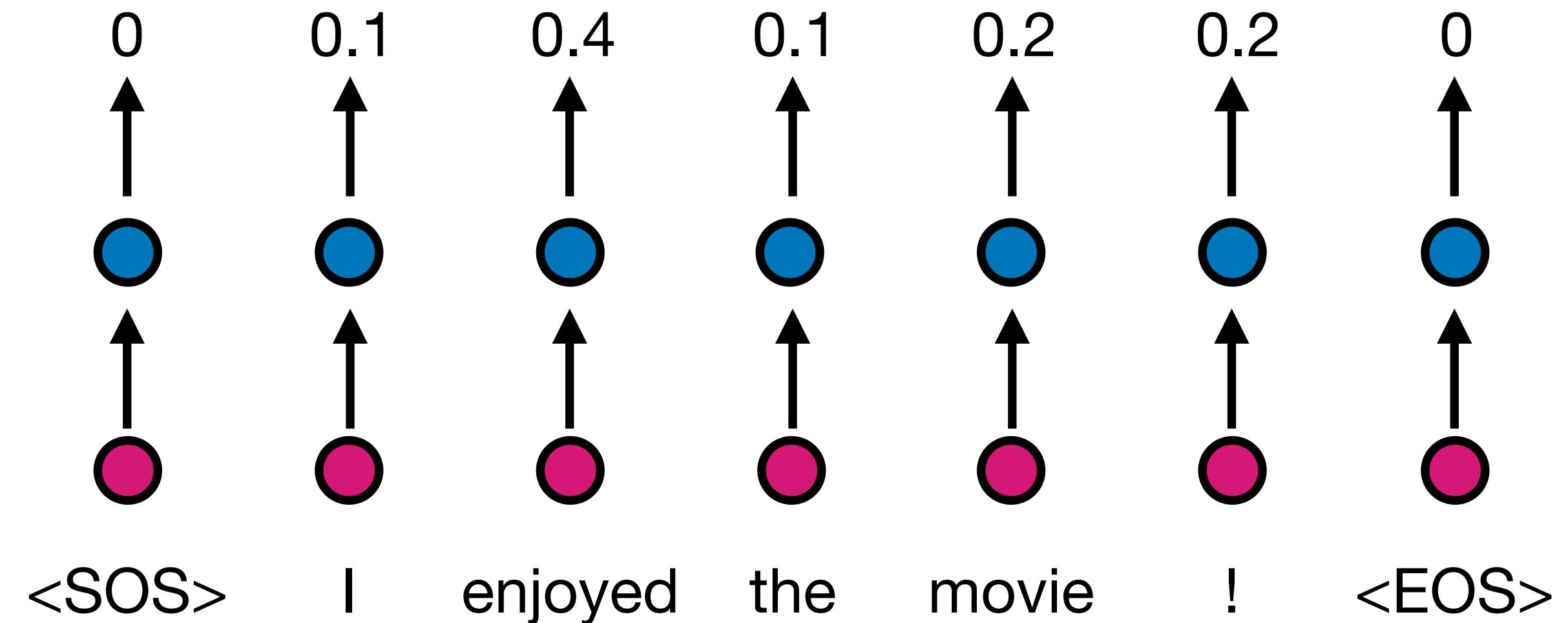
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3. Pass each token value through another FC layer with softmax to get *attention weights*.



Simplified transformer for sentiment analysis

Input text: “I enjoyed the movie!” Output class: “Positive”

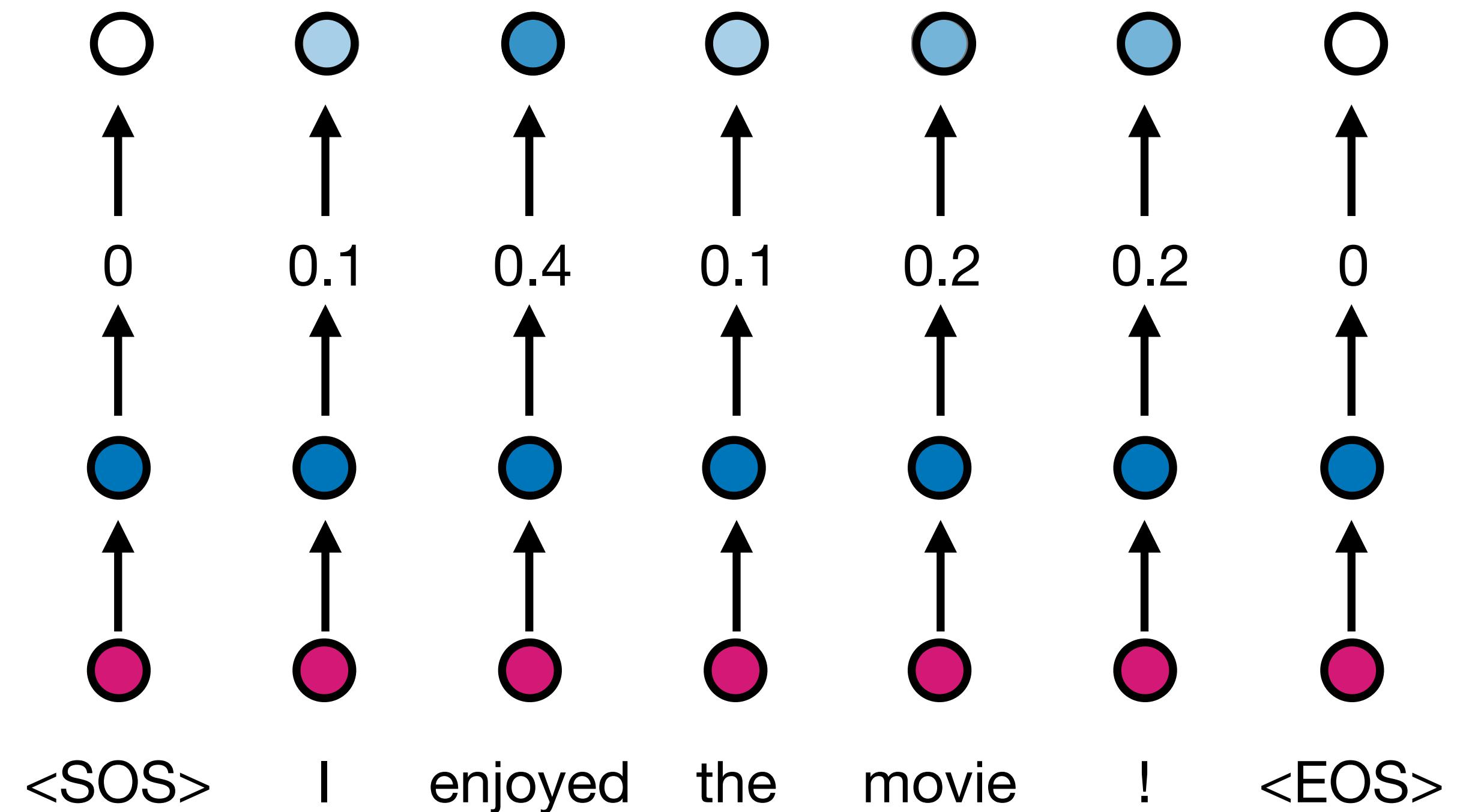
1. Start with each input token’s word vector.
2. Compute each input token’s *value* by passing its word vector through FC layer.
3. Pass each token value through another FC layer with softmax to get *attention weights*.
4. Take weighted average of token values to get *context vector*.



Simplified transformer for sentiment analysis

Input text: “I enjoyed the movie!” Output class: “Positive”

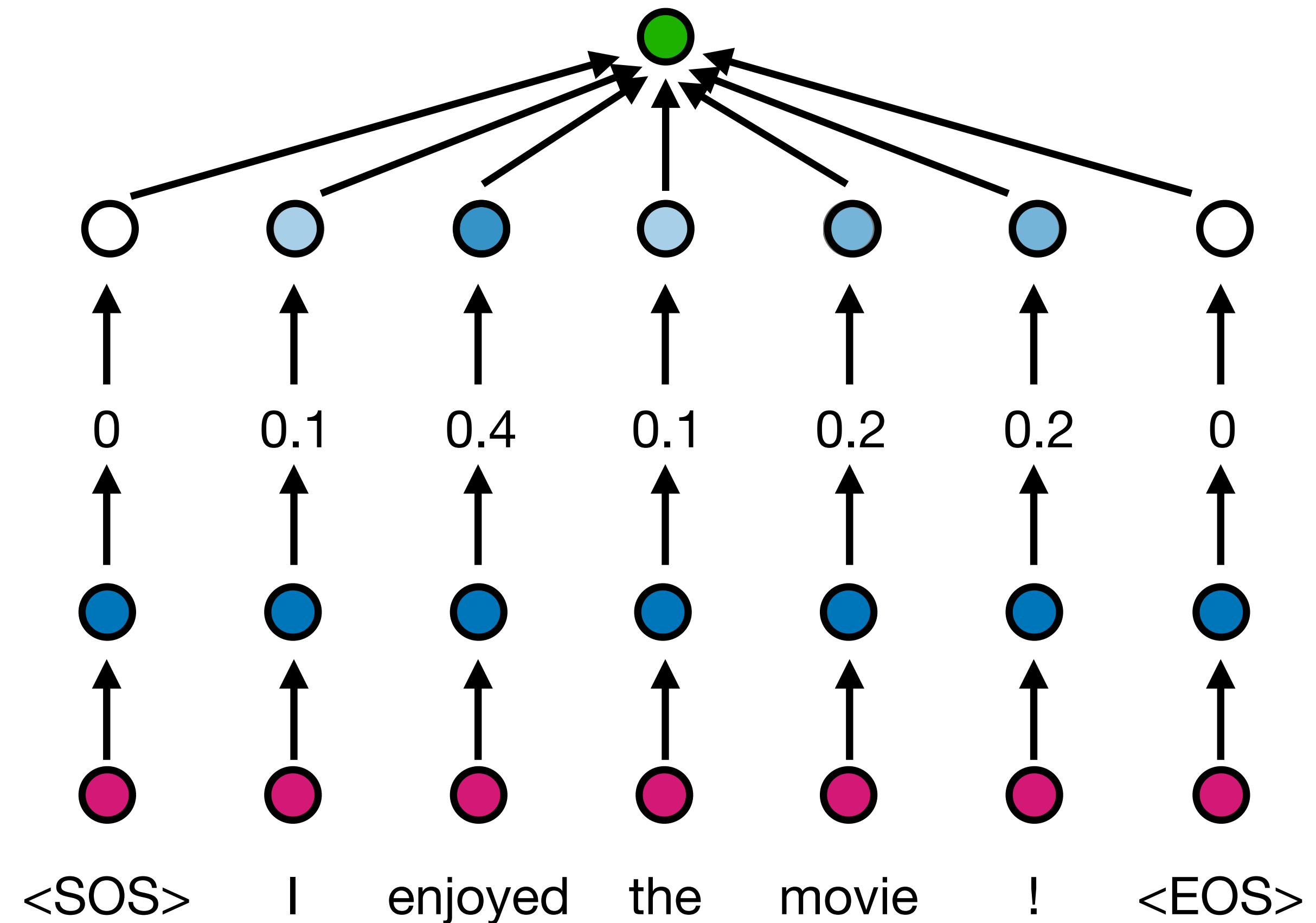
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Simplified transformer for sentiment analysis

Input text: “I enjoyed the movie!” Output class: “Positive”

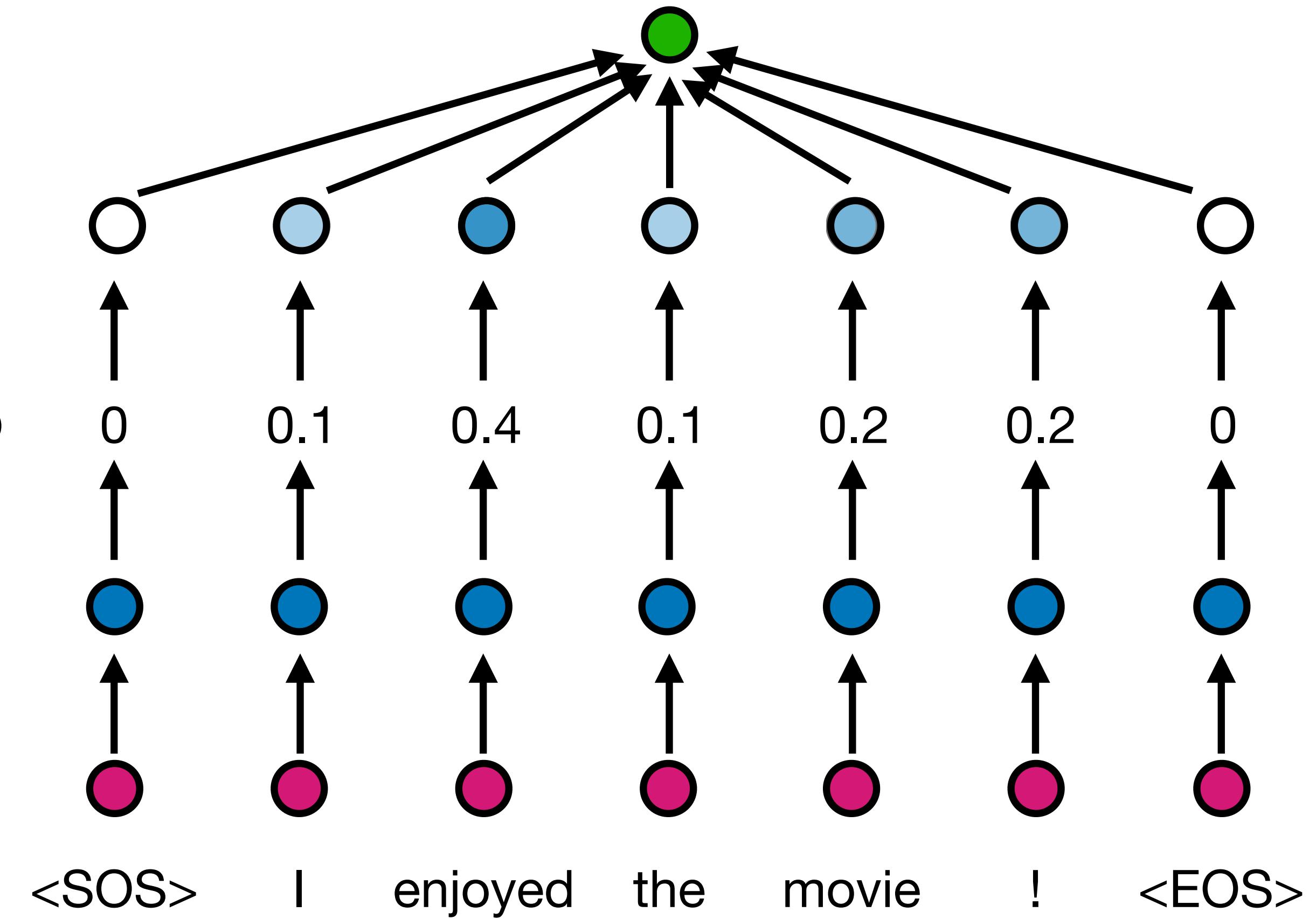
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Simplified transformer for sentiment analysis

Input text: “I enjoyed the movie!” Output class: “Positive”

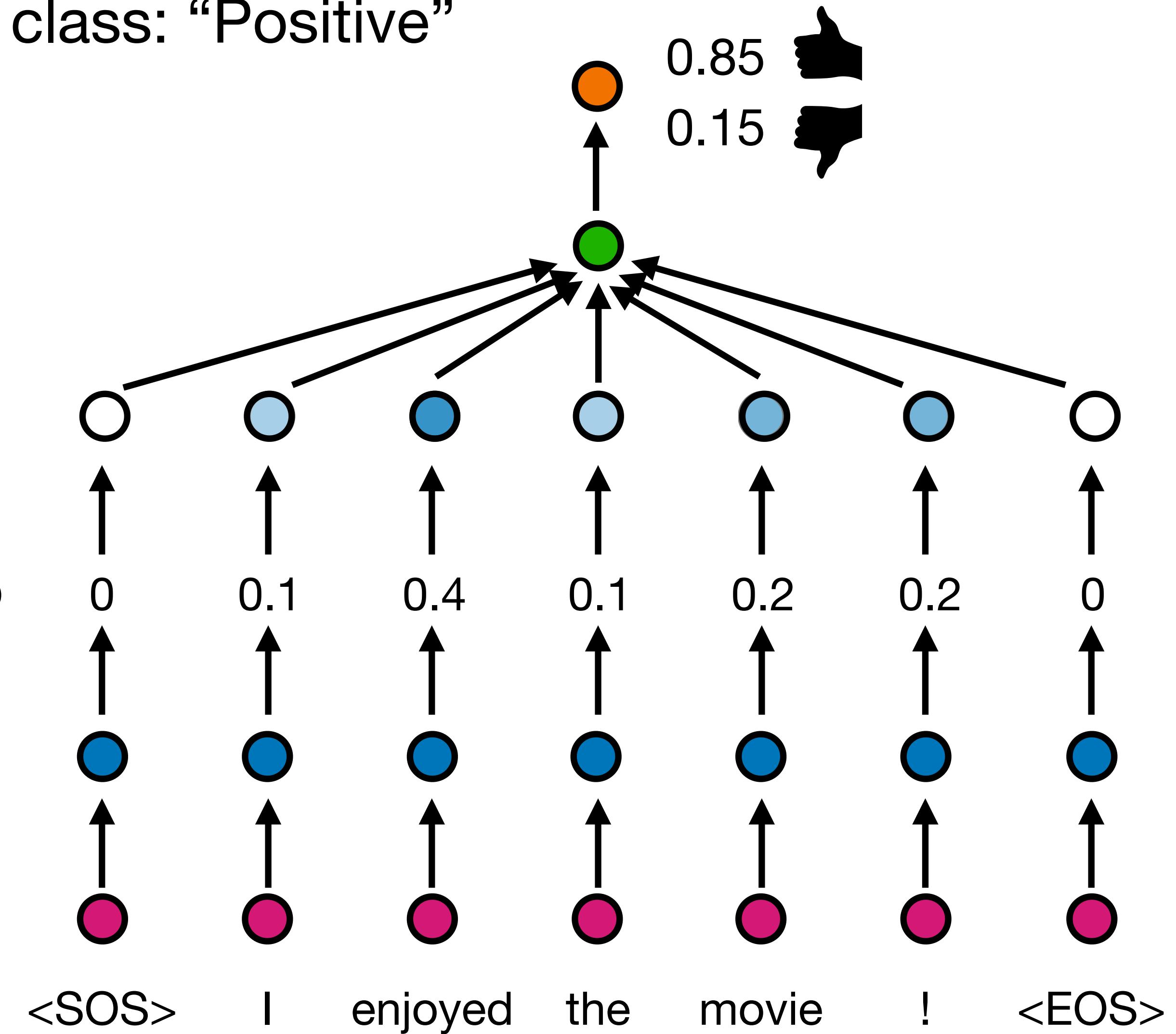
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3. Pass each token value through another FC layer with softmax to get *attention weights*.
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5. Pass context vector through FC layer with softmax to get output class probabilities.



Simplified transformer for sentiment analysis

Input text: “I enjoyed the movie!” Output class: “Positive”

1. Start with each input token’s word vector.
2. Compute each input token’s *value* by passing its word vector through FC layer.
3. Pass each token value through another FC layer with softmax to get *attention weights*.
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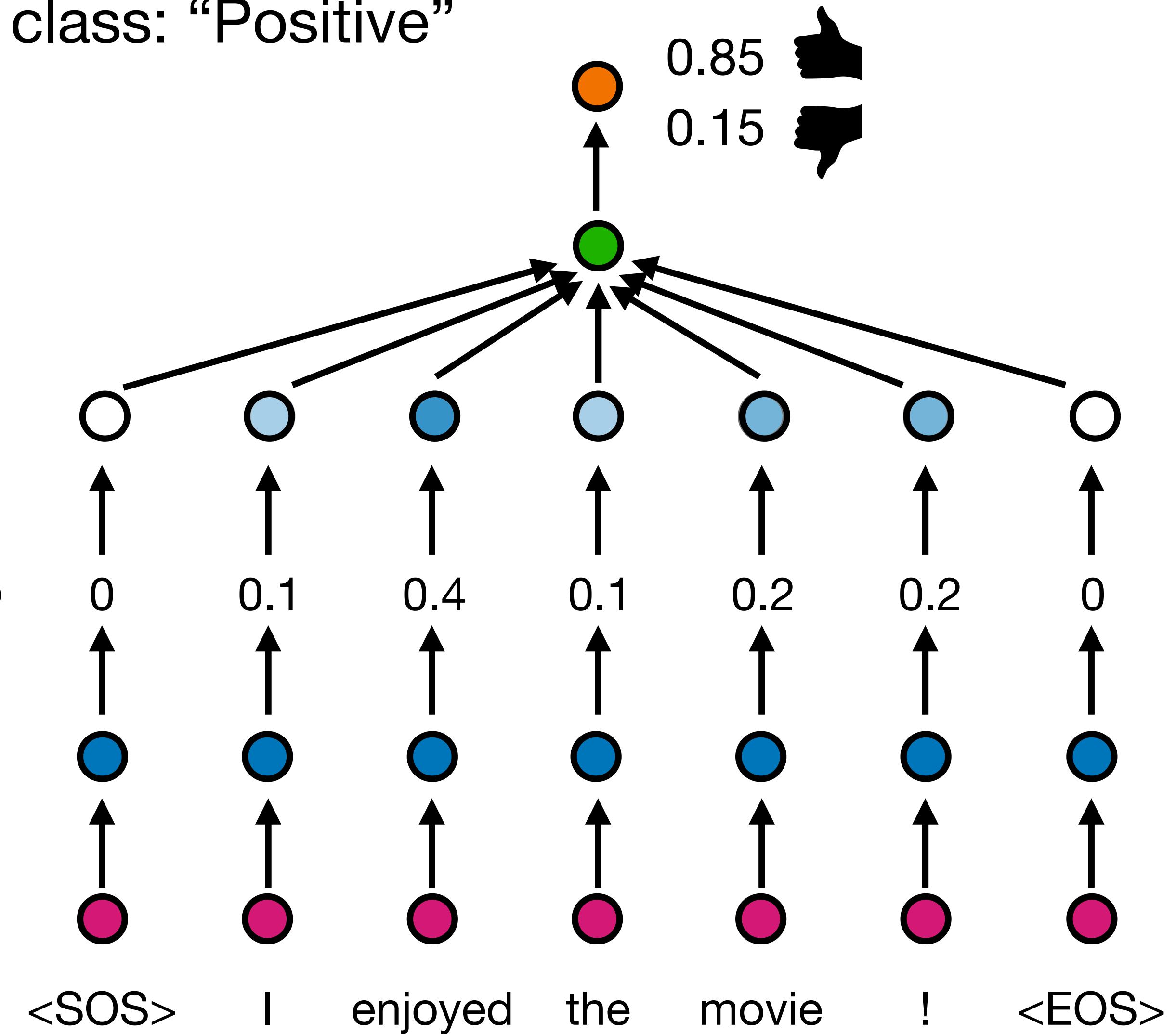


Simplified transformer for sentiment analysis

Input text: “I enjoyed the movie!” Output class: “Positive”

1. Start with each input token’s word vector.
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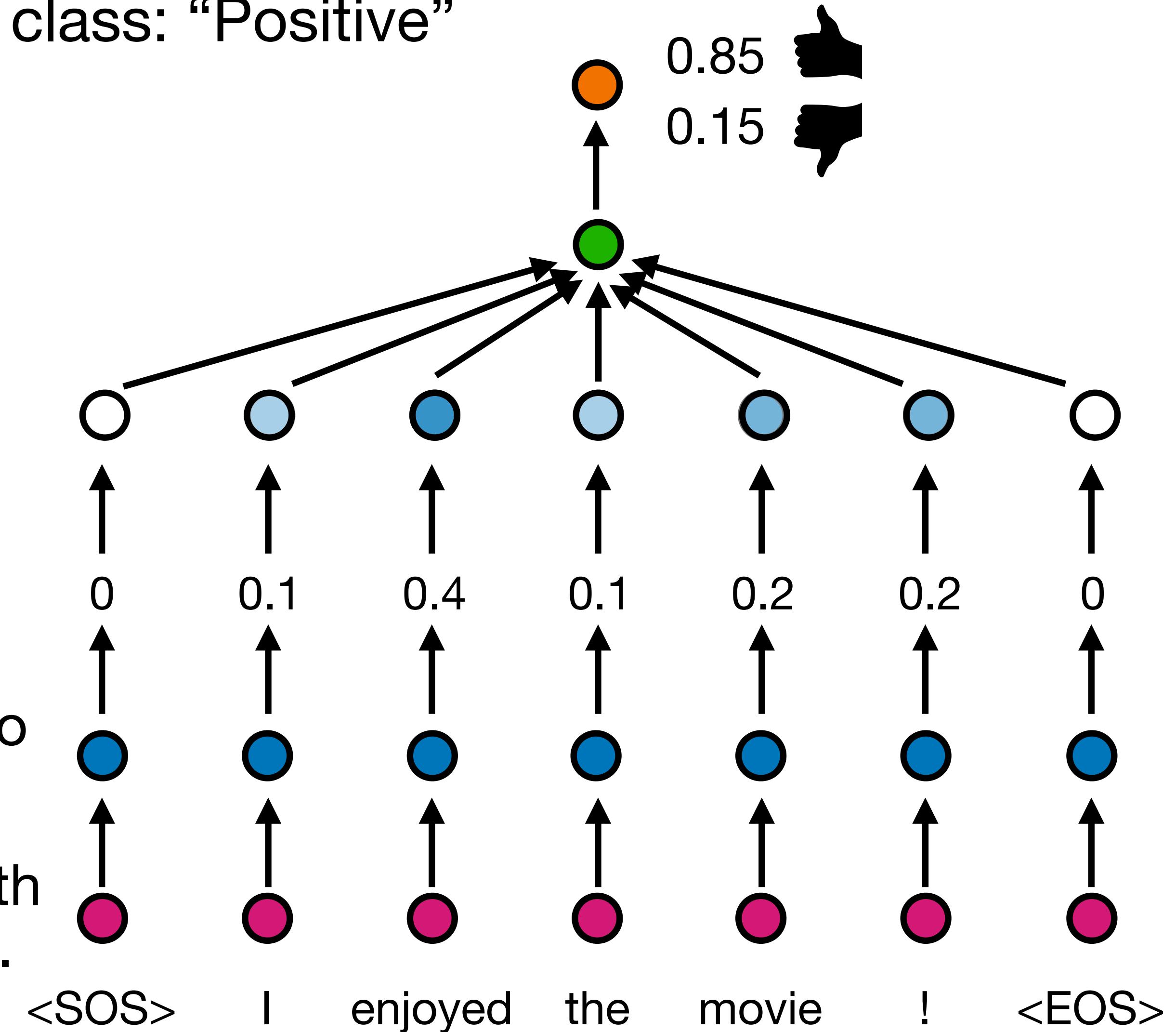
This strategy ignores word order!



Simplified transformer for sentiment analysis

Input text: “I enjoyed the movie!” Output class: “Positive”

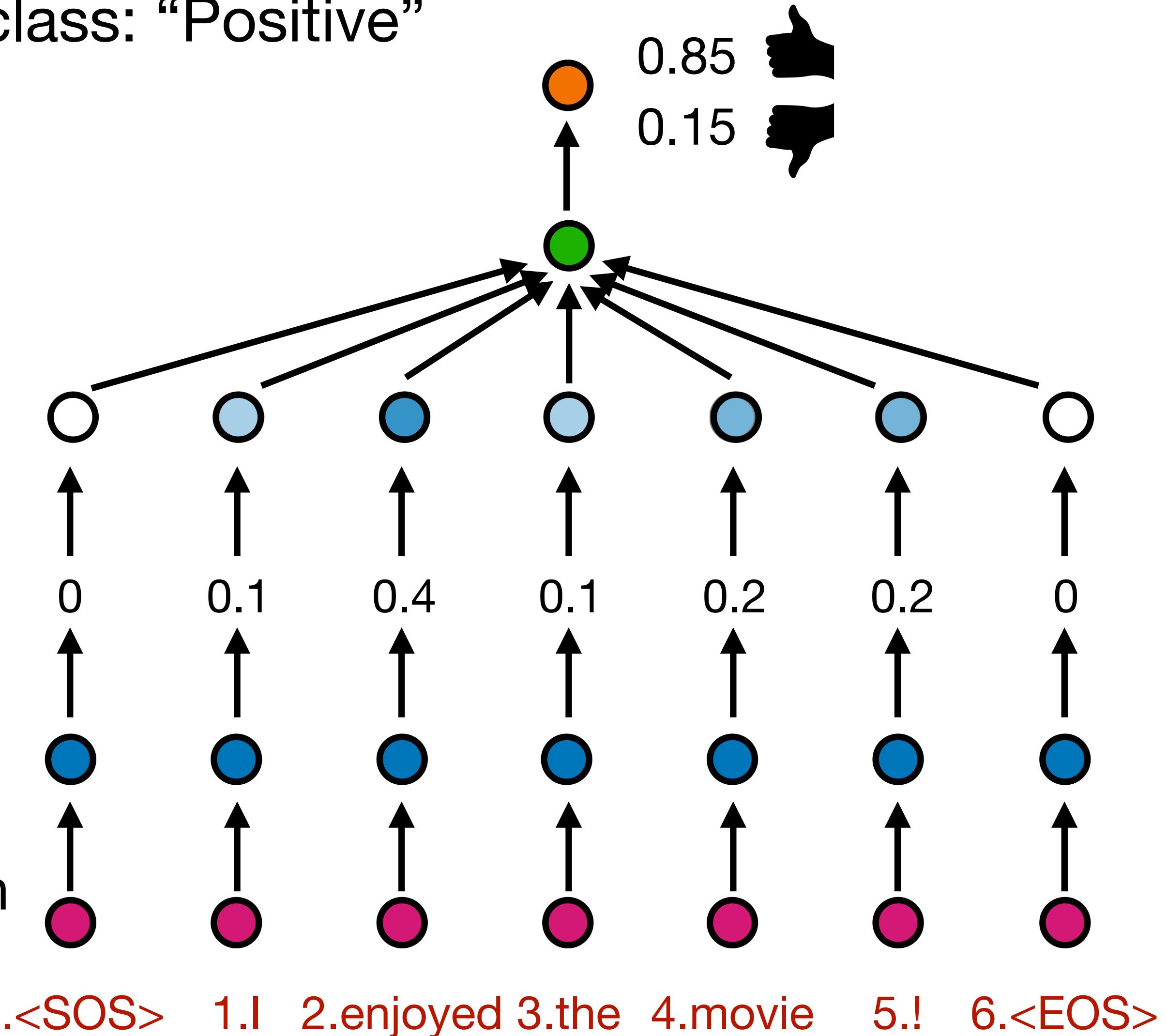
1. **Positionally encode** each input token’s word vector **by appending its index**.
2. Compute each input token’s *value* by passing its positional encoding vector through FC layer.
3. Pass each token value through another FC layer with softmax to get *attention weights*.
4. Take weighted average of token values to get *context vector*.
5. Pass context vector through FC layer with softmax to get output class probabilities.



Simplified transformer for sentiment analysis

Input text: “I enjoyed the movie!” Output class: “Positive”

1. **Positionally encode** each input token’s word vector **by appending its index**.
2. Compute each input token’s *value* by passing its positional encoding vector through FC layer.
3. Pass each token value through another FC layer with softmax to get *attention weights*.
4. Take weighted average of token values to get *context vector*.
5. Pass context vector through FC layer with softmax to get output class probabilities.



Simplified transformer for language modeling

Input text: “my favorite season is” Output word: “spring”

Simplified transformer for language modeling

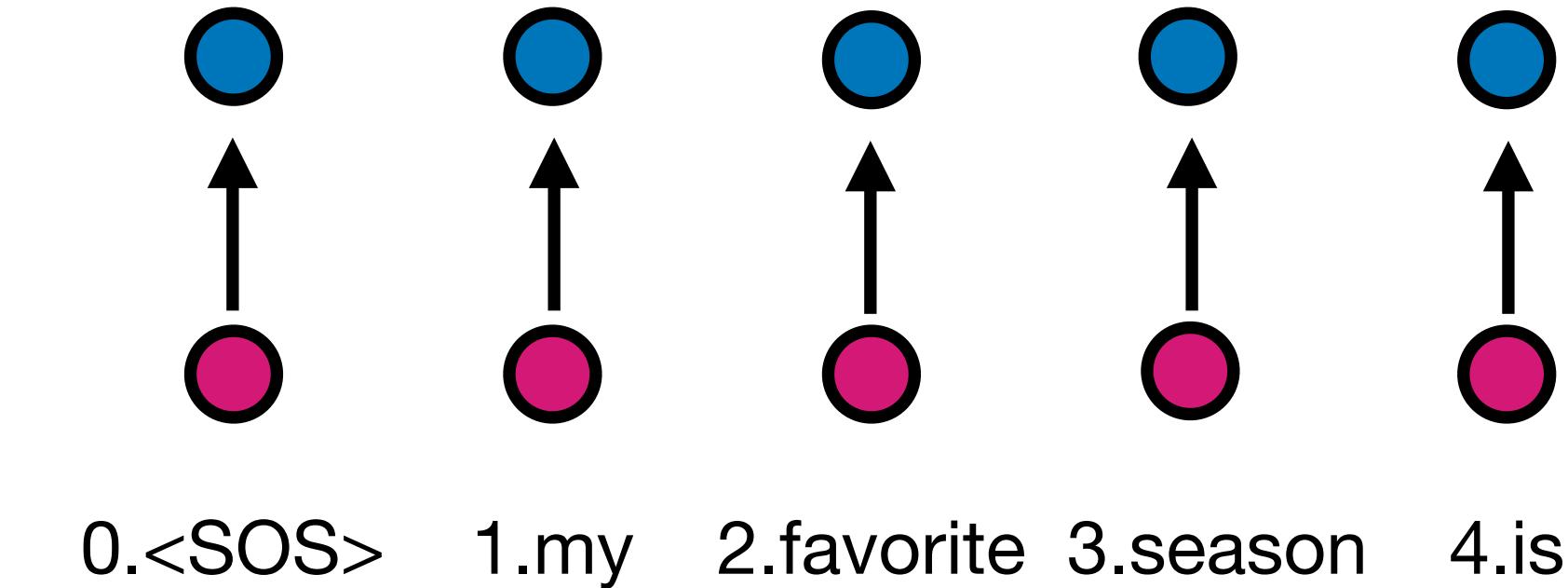
Input text: “my favorite season is” Output word: “spring”

1. *Positionally encode* each input token and pass it through FC layer to compute *values*.

Simplified transformer for language modeling

Input text: “my favorite season is” Output word: “spring”

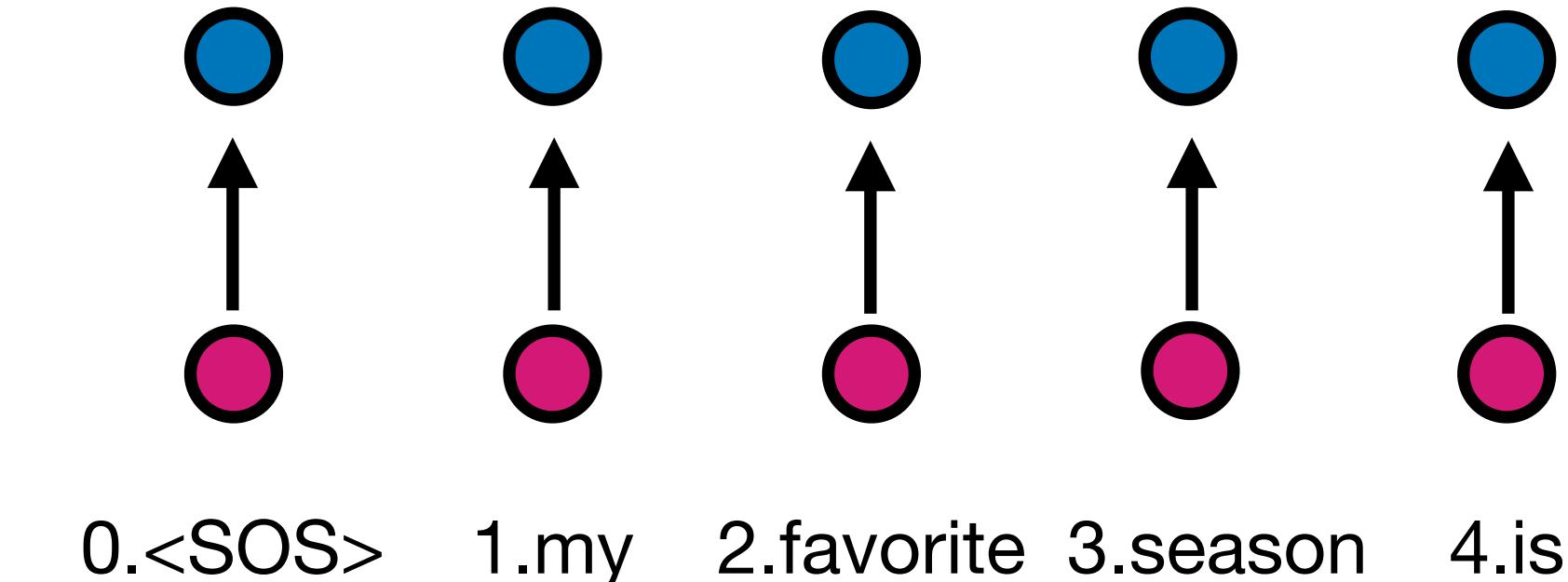
1. *Positionally encode* each input token and pass it through FC layer to compute *values*.



Simplified transformer for language modeling

Input text: “my favorite season is” Output word: “spring”

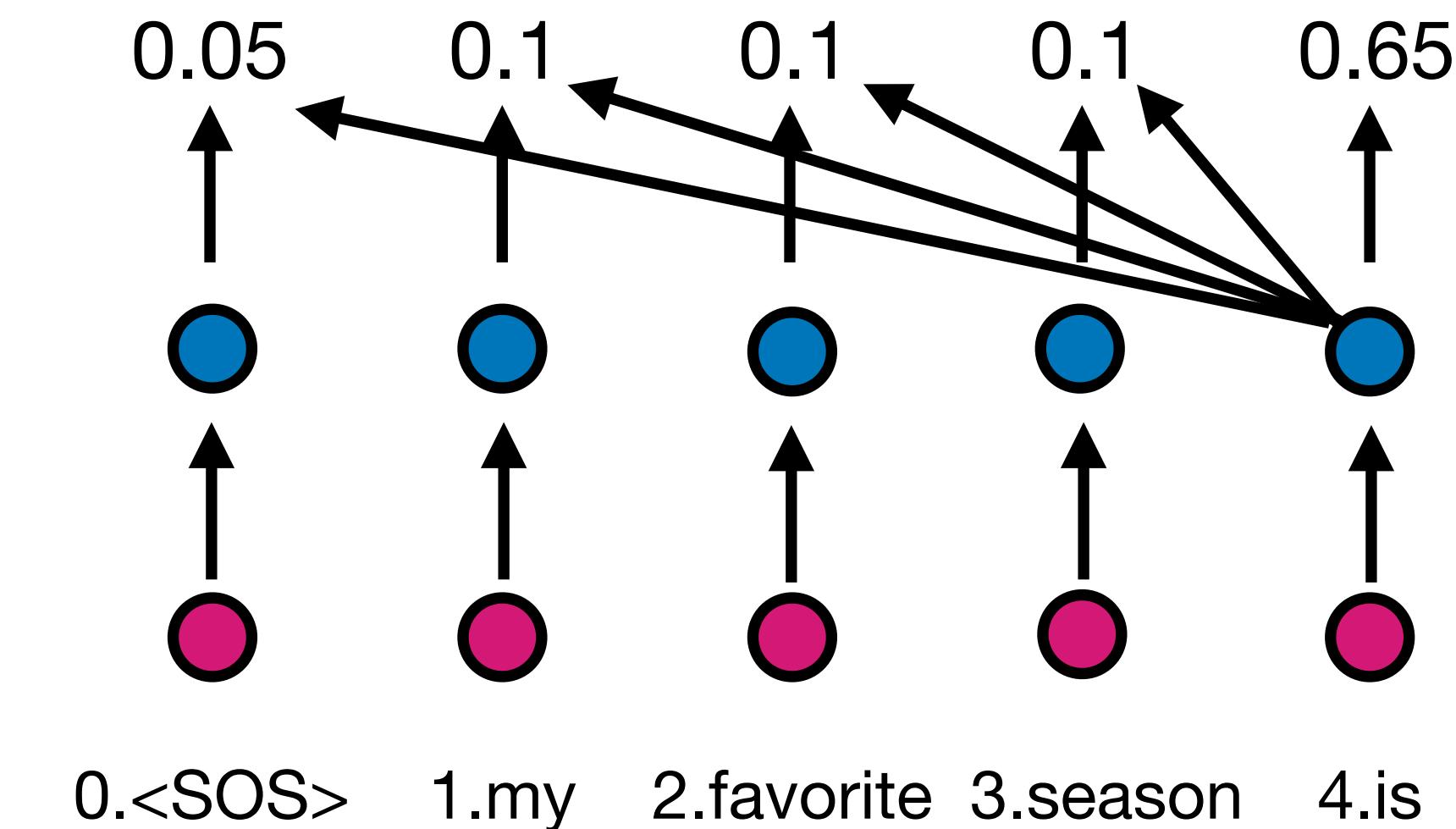
1. *Positionally encode* each input token and pass it through FC layer to compute *values*.
2. Calculate attention weights for “is” by comparing its value to its own and those of preceding tokens (*self-attention*).



Simplified transformer for language modeling

Input text: “my favorite season is” Output word: “spring”

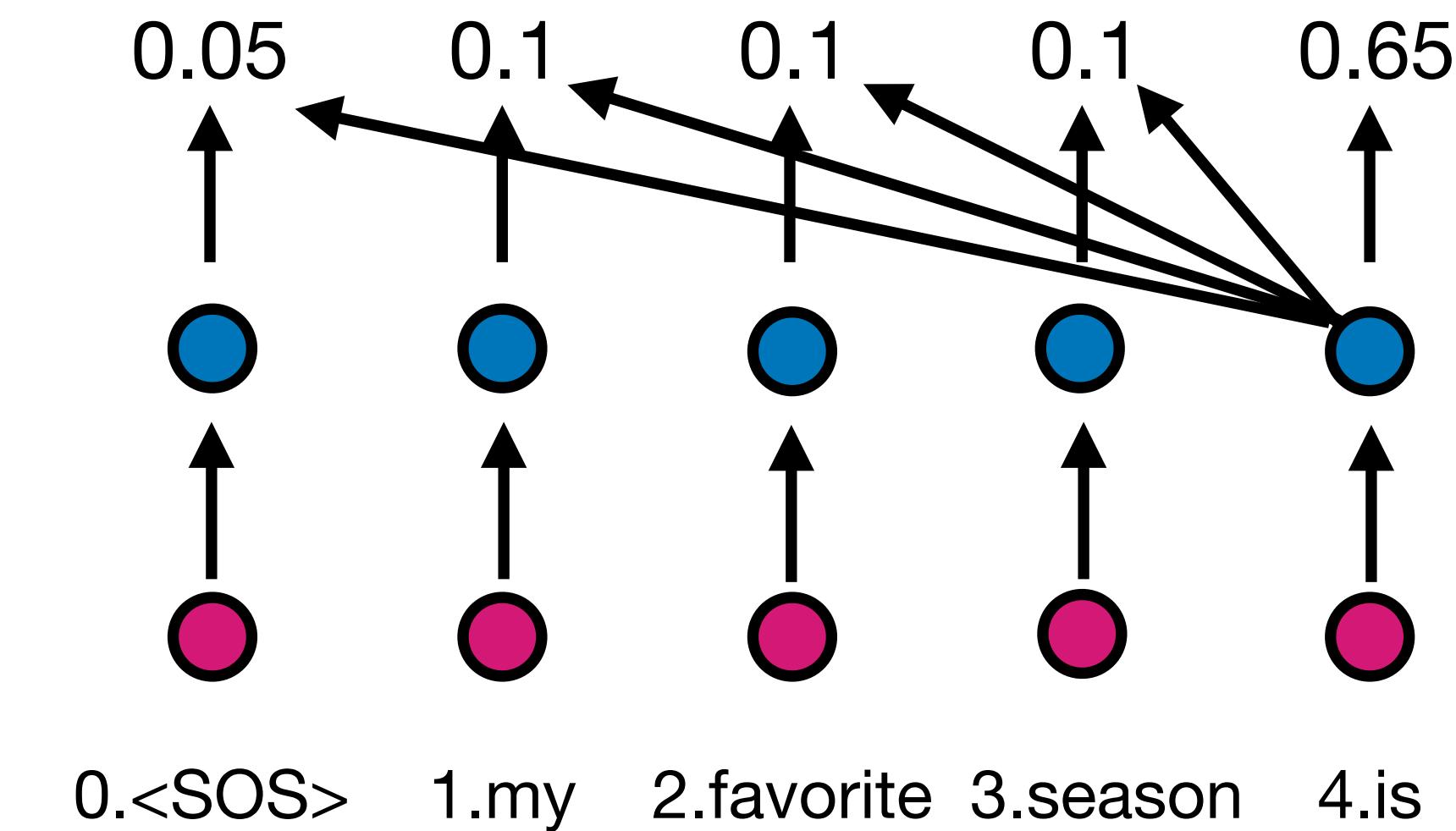
1. *Positionally encode* each input token and pass it through FC layer to compute *values*.
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Simplified transformer for language modeling

Input text: “my favorite season is” Output word: “spring”

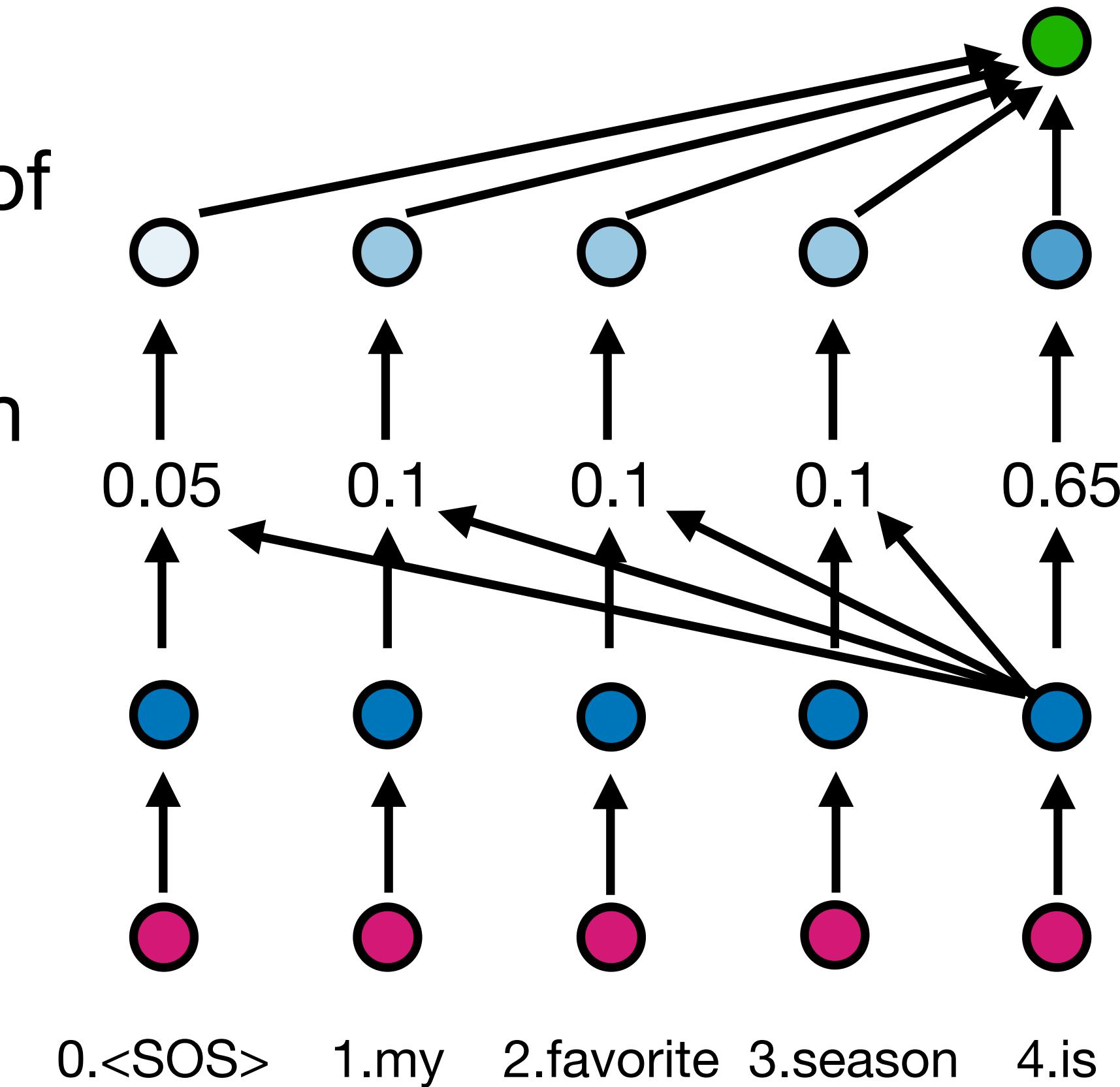
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2. Calculate attention weights for “is” by comparing its value to its own and those of preceding tokens (*self-attention*).
3. Take weighted average of preceding token values to get *context vector* or *contextual embedding* for “is”.



Simplified transformer for language modeling

Input text: “my favorite season is” Output word: “spring”

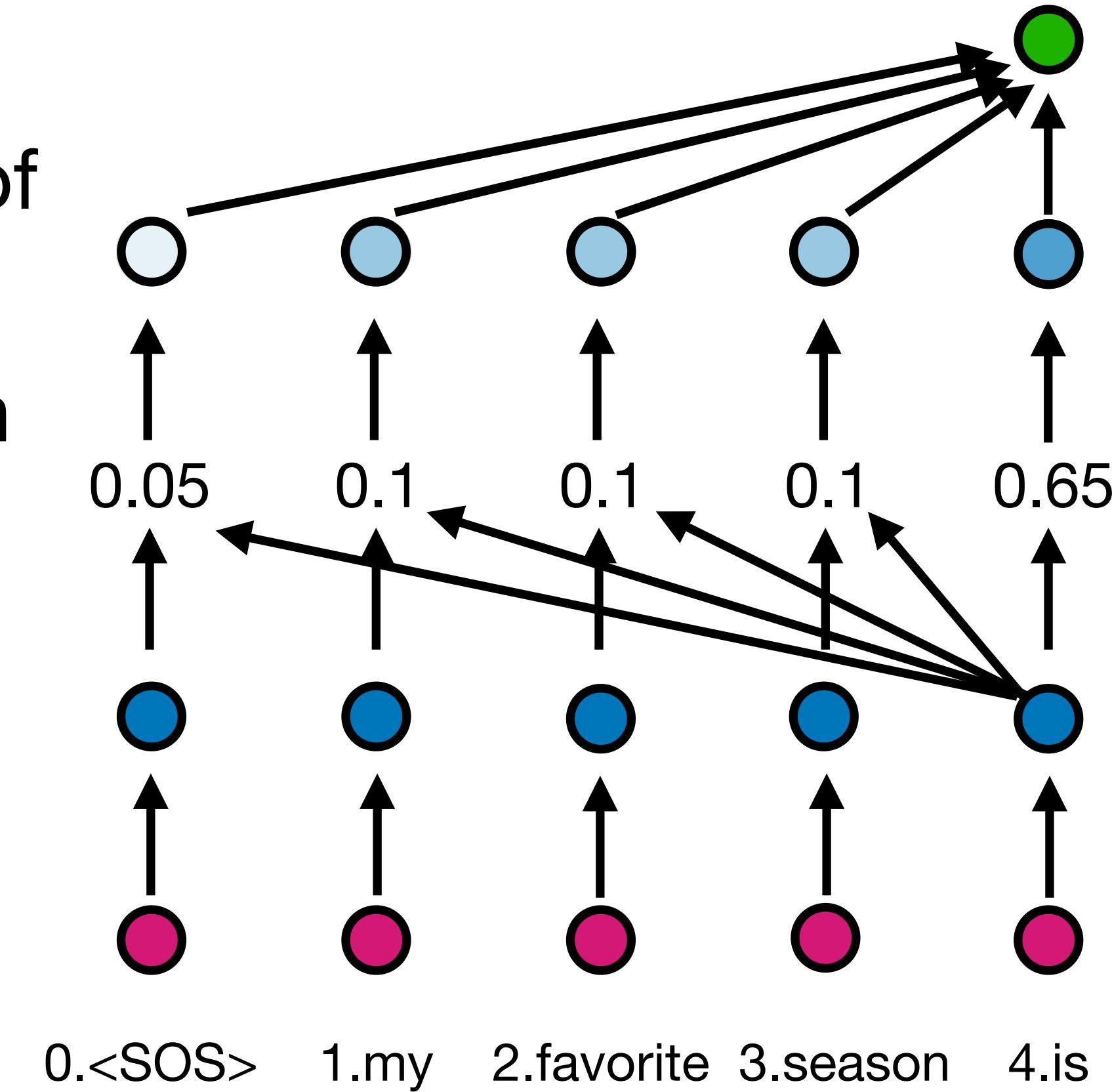
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Simplified transformer for language modeling

Input text: “my favorite season is” Output word: “spring”

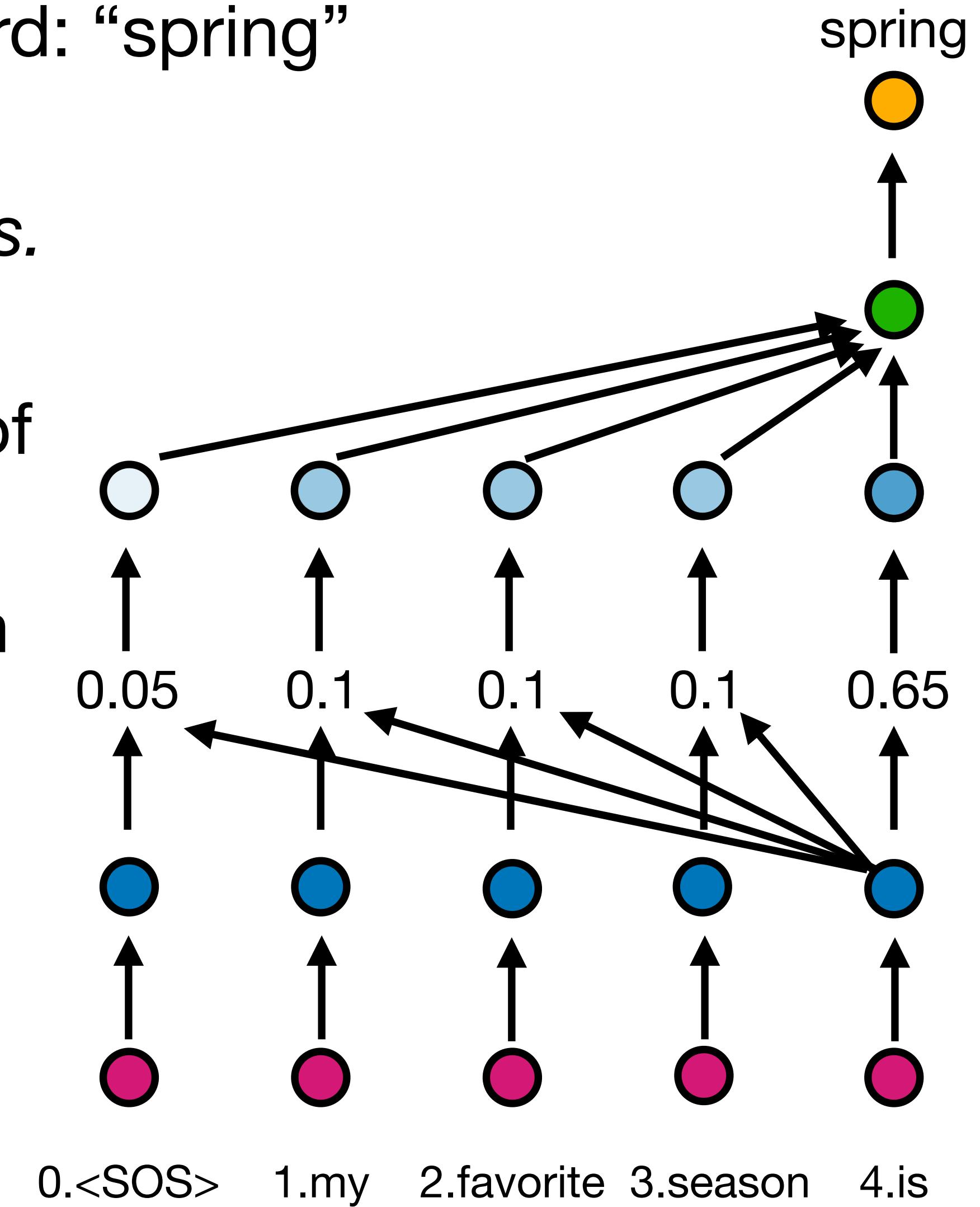
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Simplified transformer for language modeling

Input text: “my favorite season is” Output word: “spring”

1. *Positionally encode* each input token and pass it through FC layer to compute *values*.
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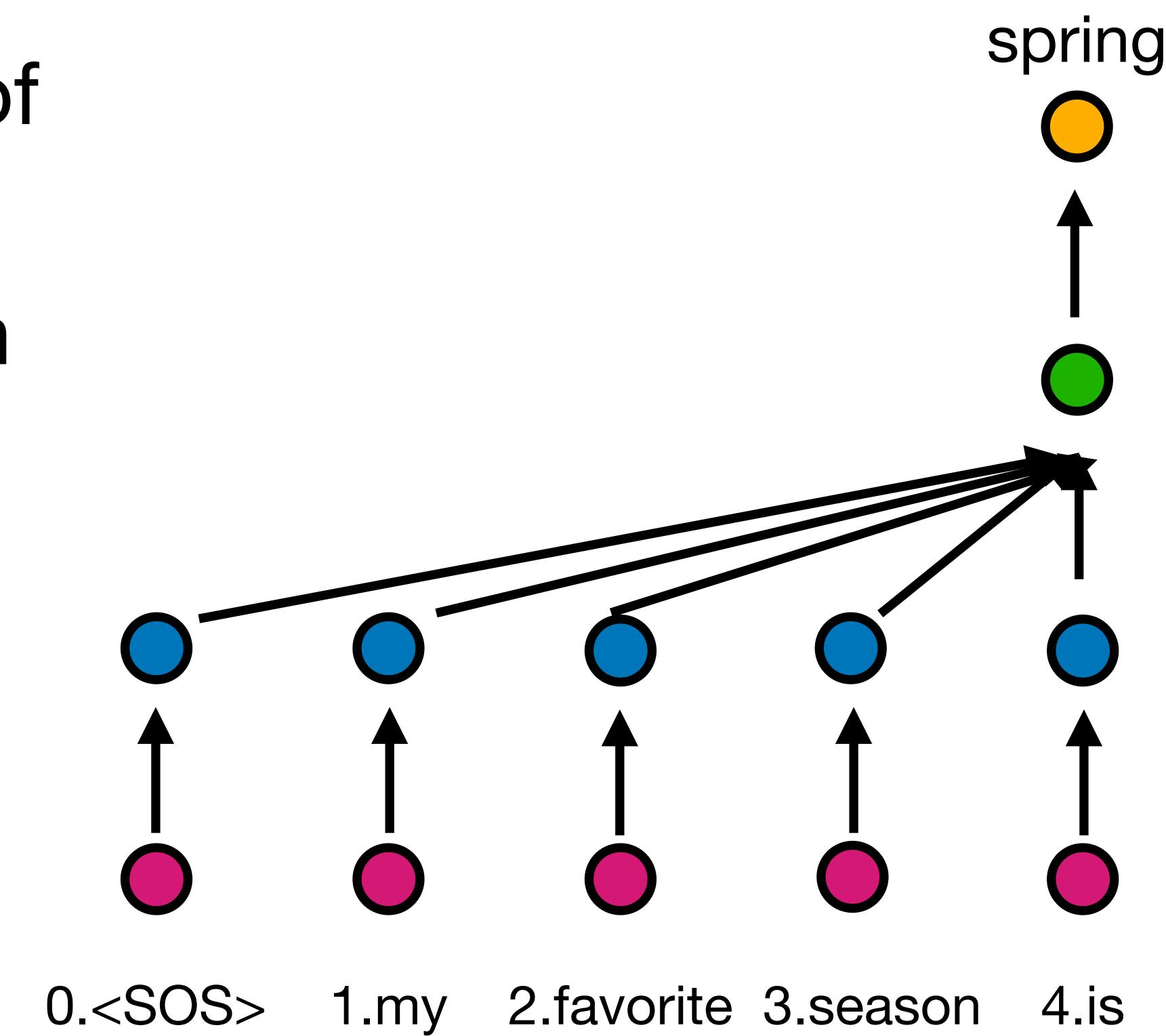


Simplified transformer for language modeling

Input text: “my favorite season is” Output word: “spring”

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Simplified flowchart of simplified transformer



Simplified transformer for autoregressive text generation

Simplified transformer for autoregressive text generation

1. Positionally encode <SOS> token.

Simplified transformer for autoregressive text generation

1. Positionally encode <SOS> token.



0.<SOS>

Simplified transformer for autoregressive text generation

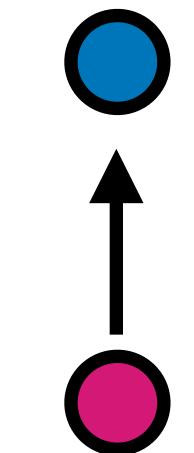
1. Positionally encode <SOS> token.
2. Calculate <SOS> token's *value* by passing token through fully connected layer.



0.<SOS>

Simplified transformer for autoregressive text generation

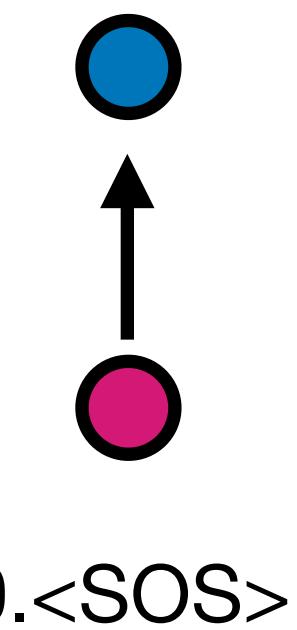
1. Positionally encode <SOS> token.
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0.<SOS>

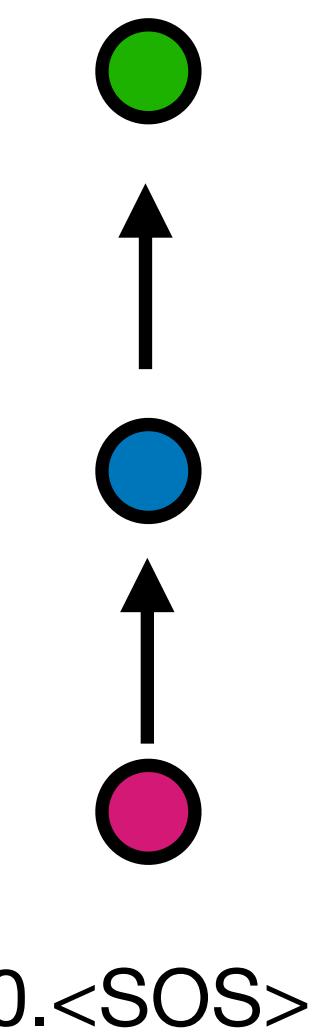
Simplified transformer for autoregressive text generation

1. Positionally encode <SOS> token.
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3. Calculate <SOS> token's contextual embedding by attention-weighted linear combination of its value and all preceding values (so far, no preceding values).



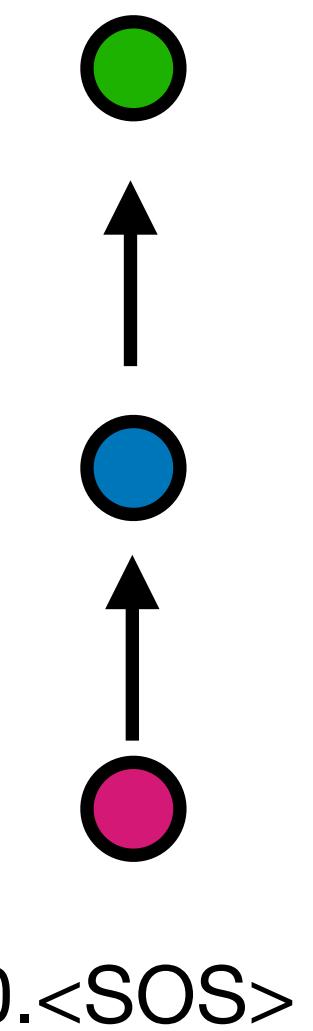
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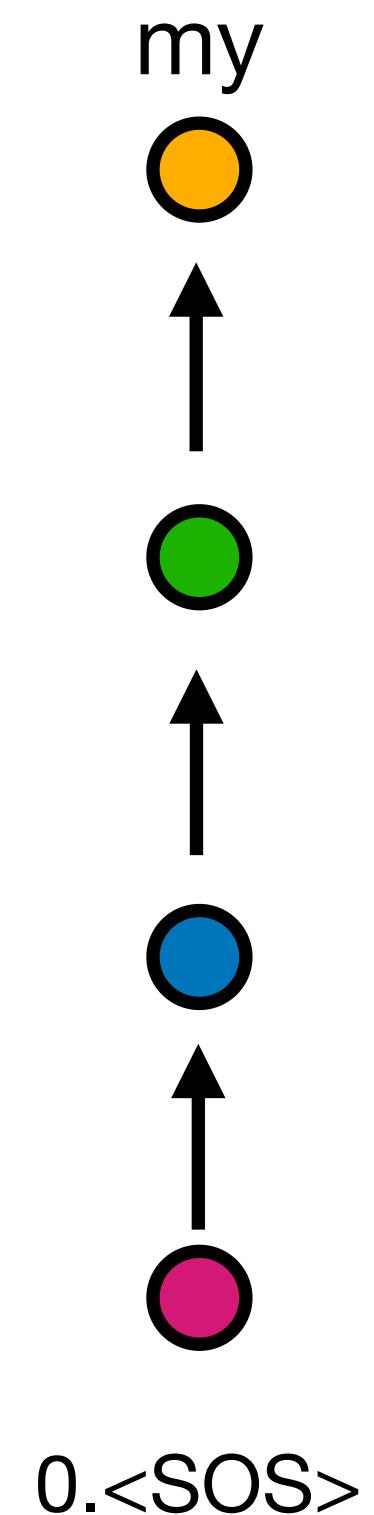
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4. Generate next token by passing contextual embedding through FC layer + softmax.



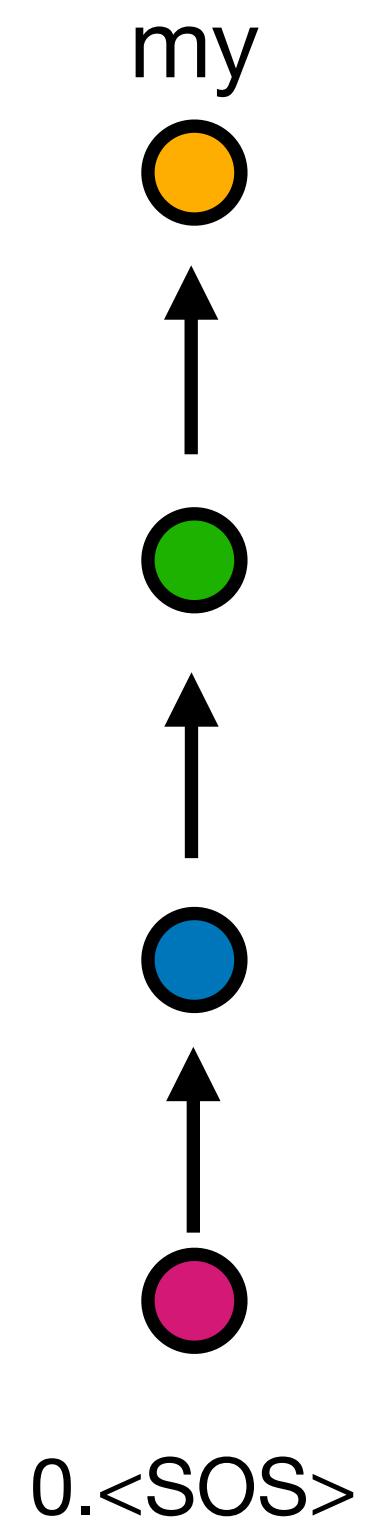
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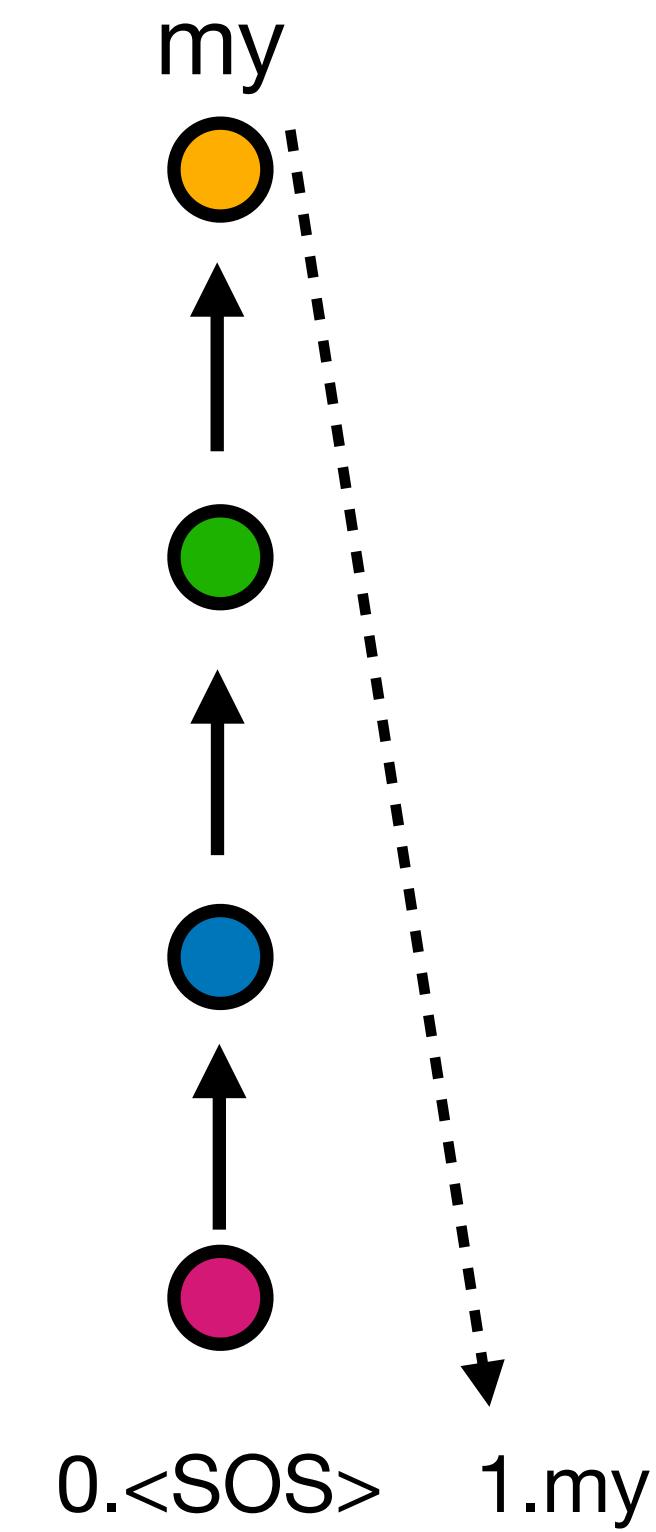
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5. Copy generated token to the input.



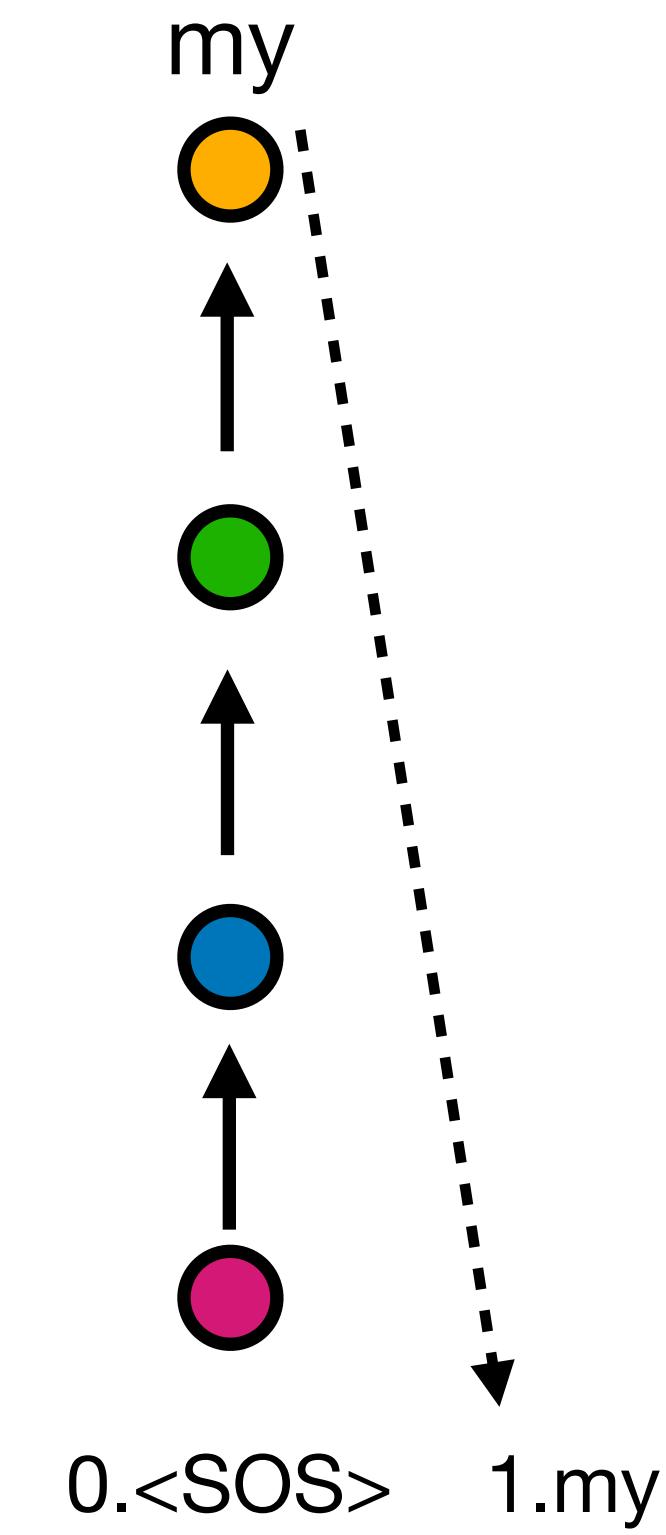
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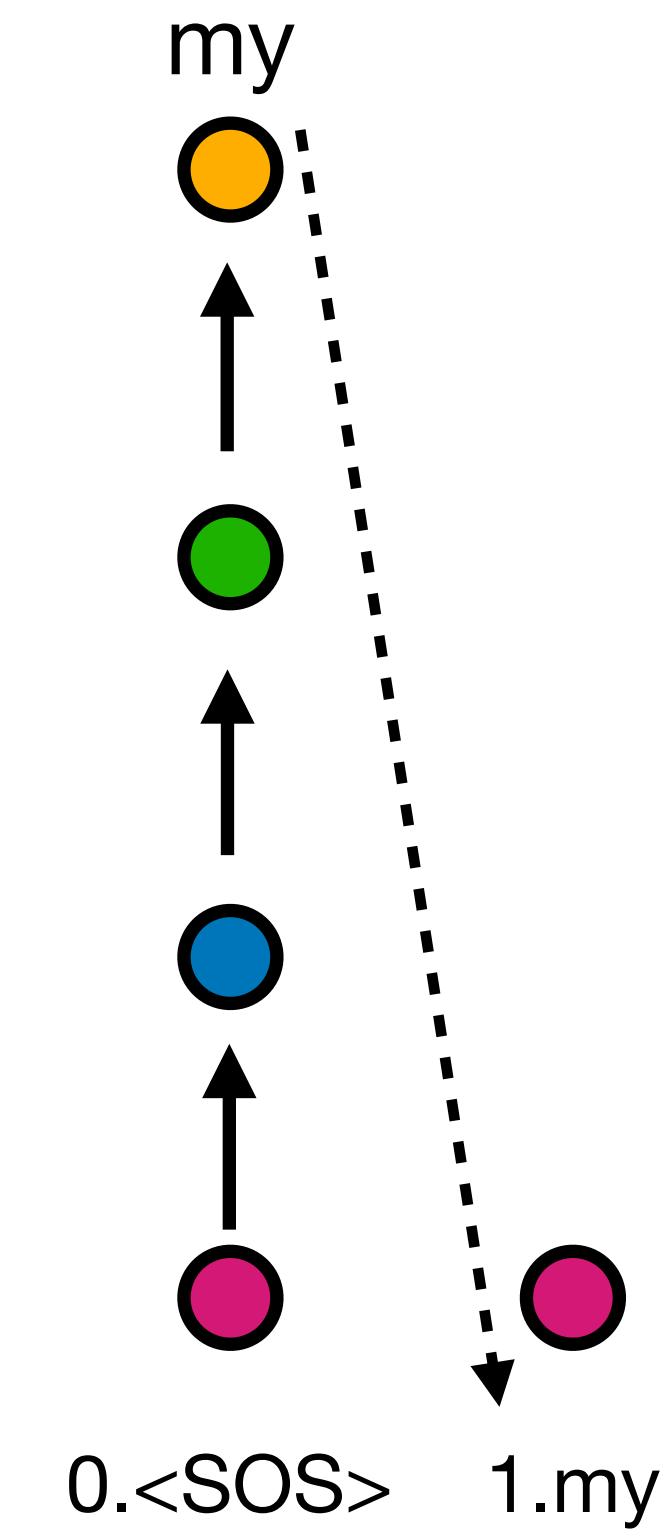
Simplified transformer for autoregressive text generation

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6. Repeat until $\langle \text{EOS} \rangle$ token is generated.



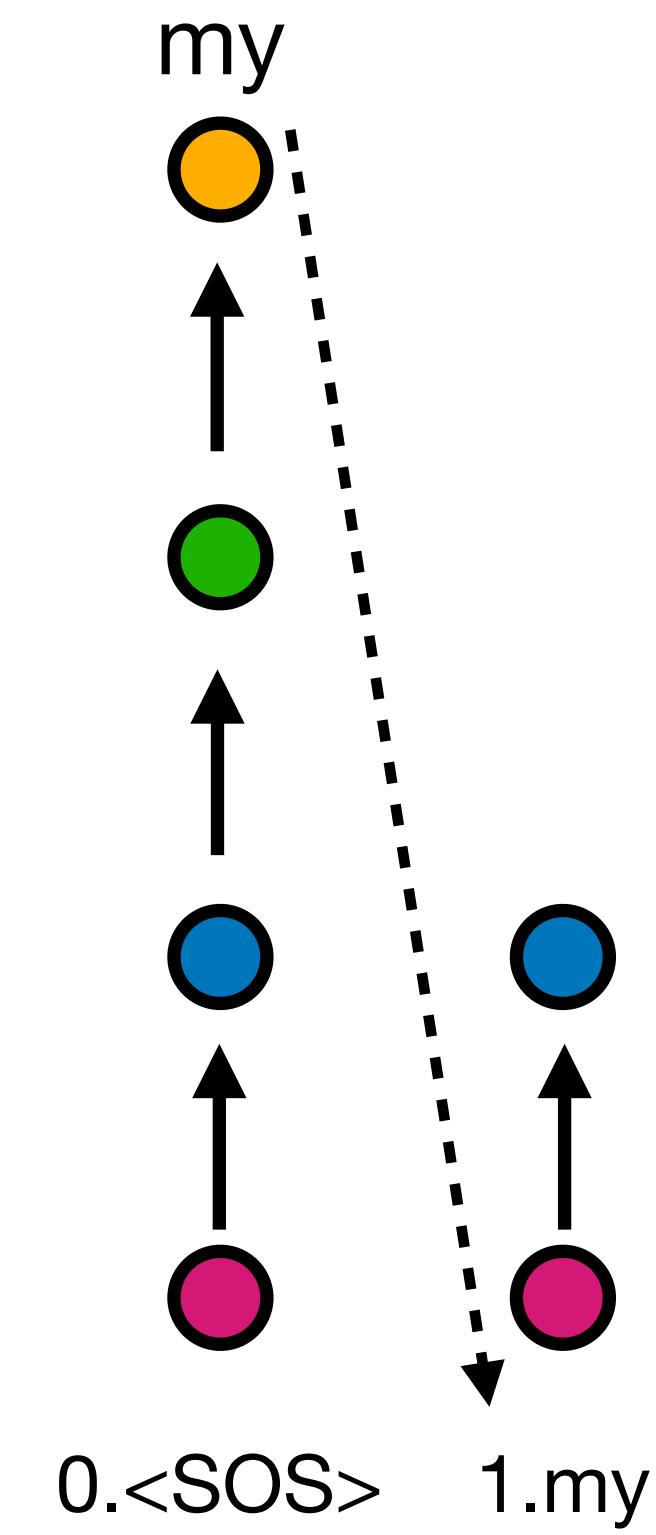
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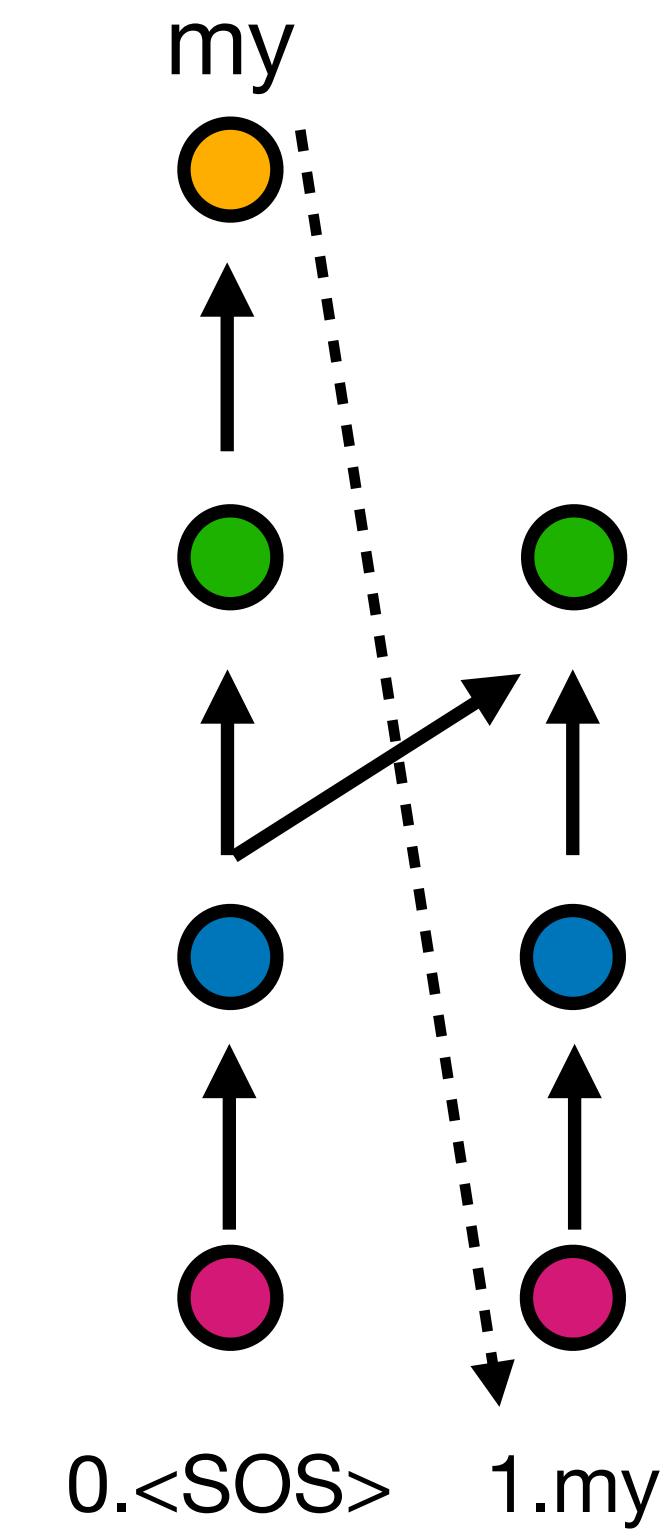
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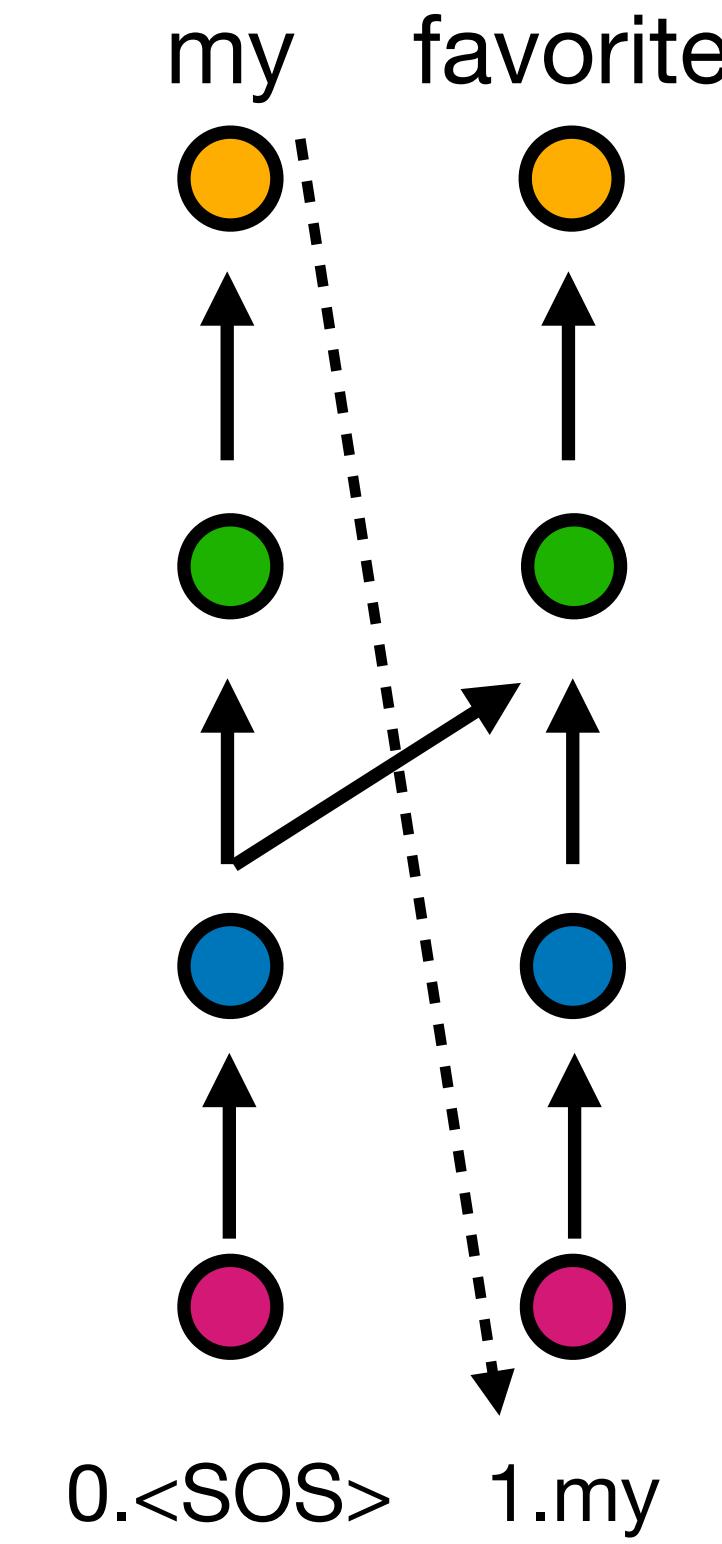
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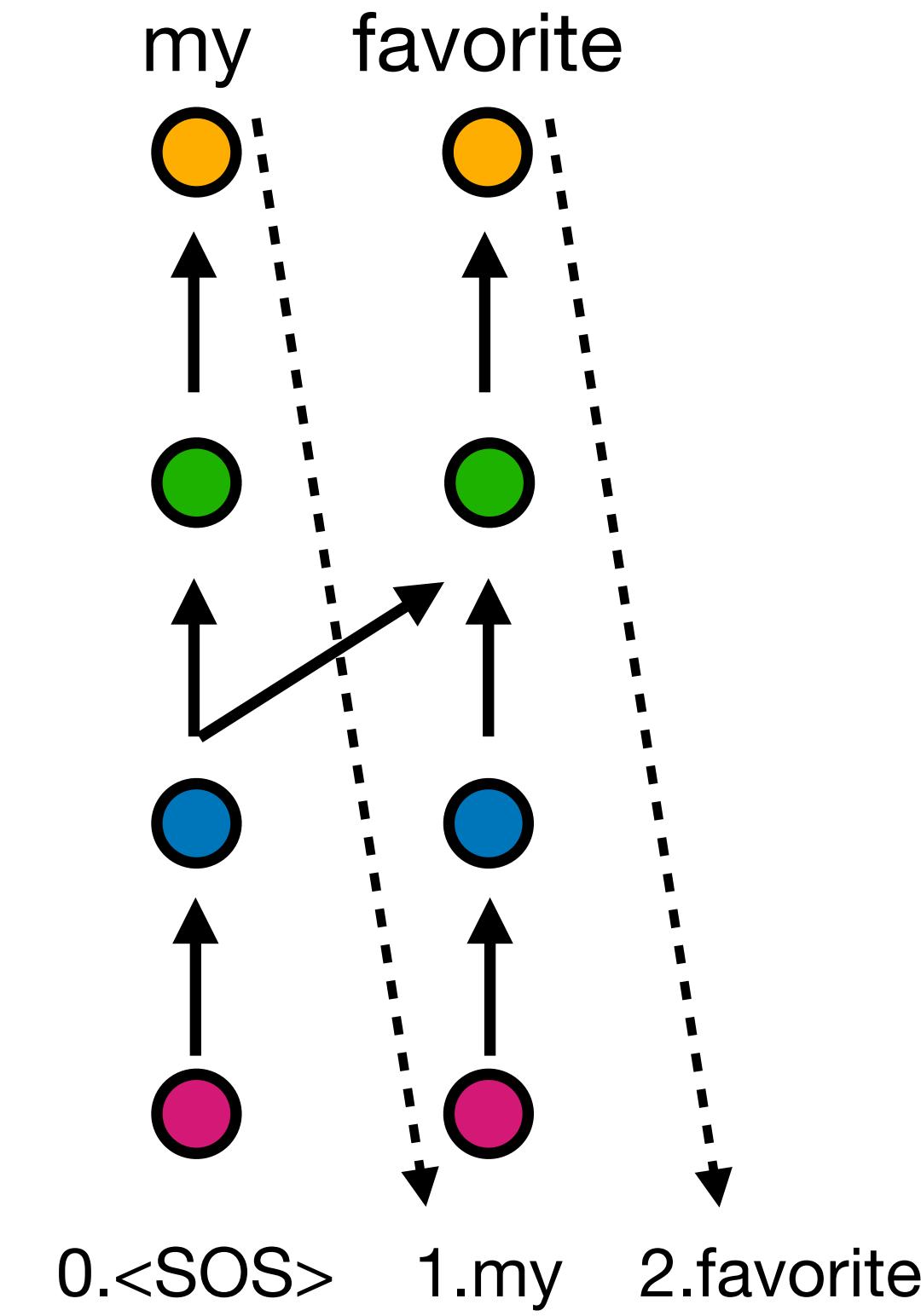
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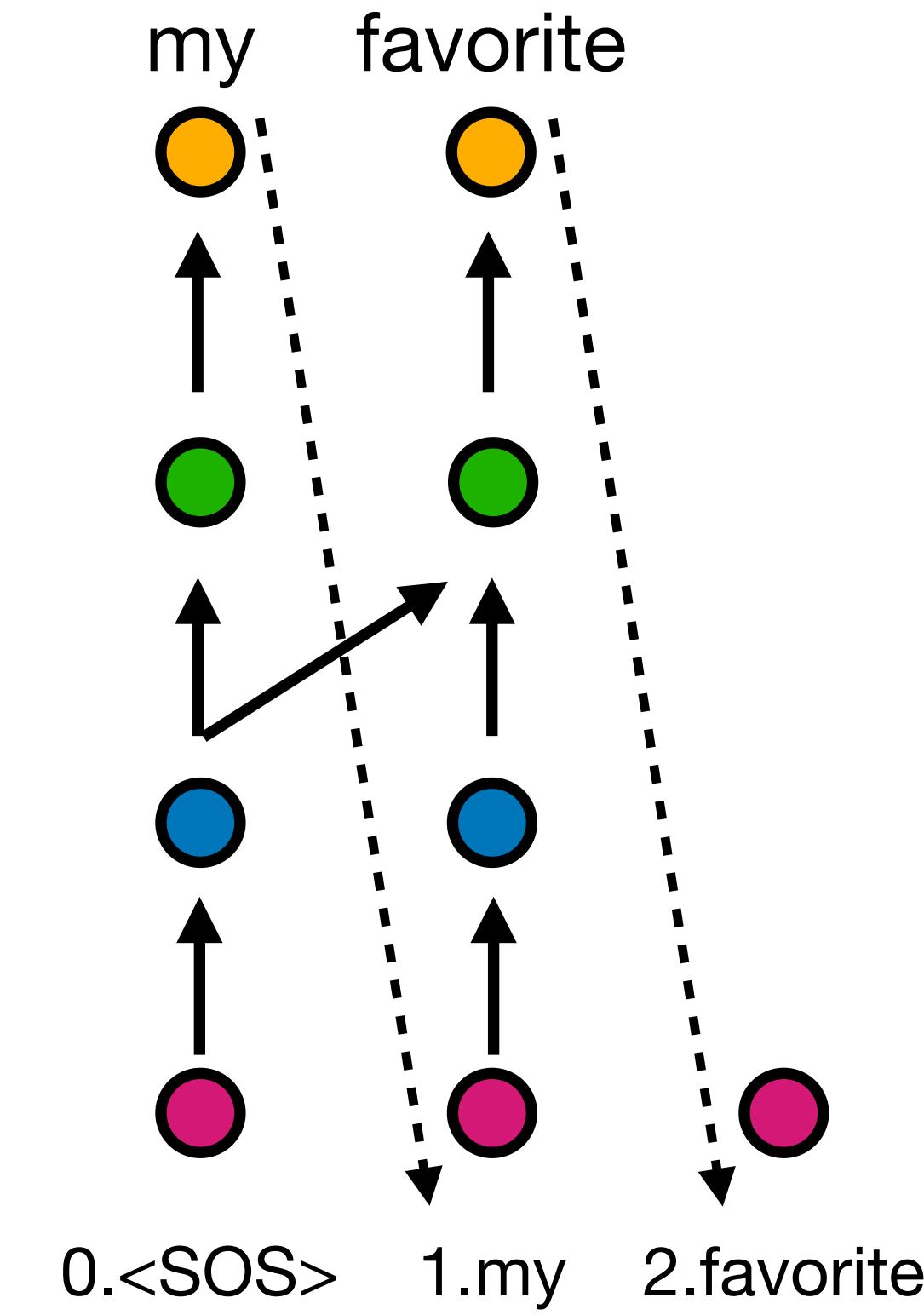
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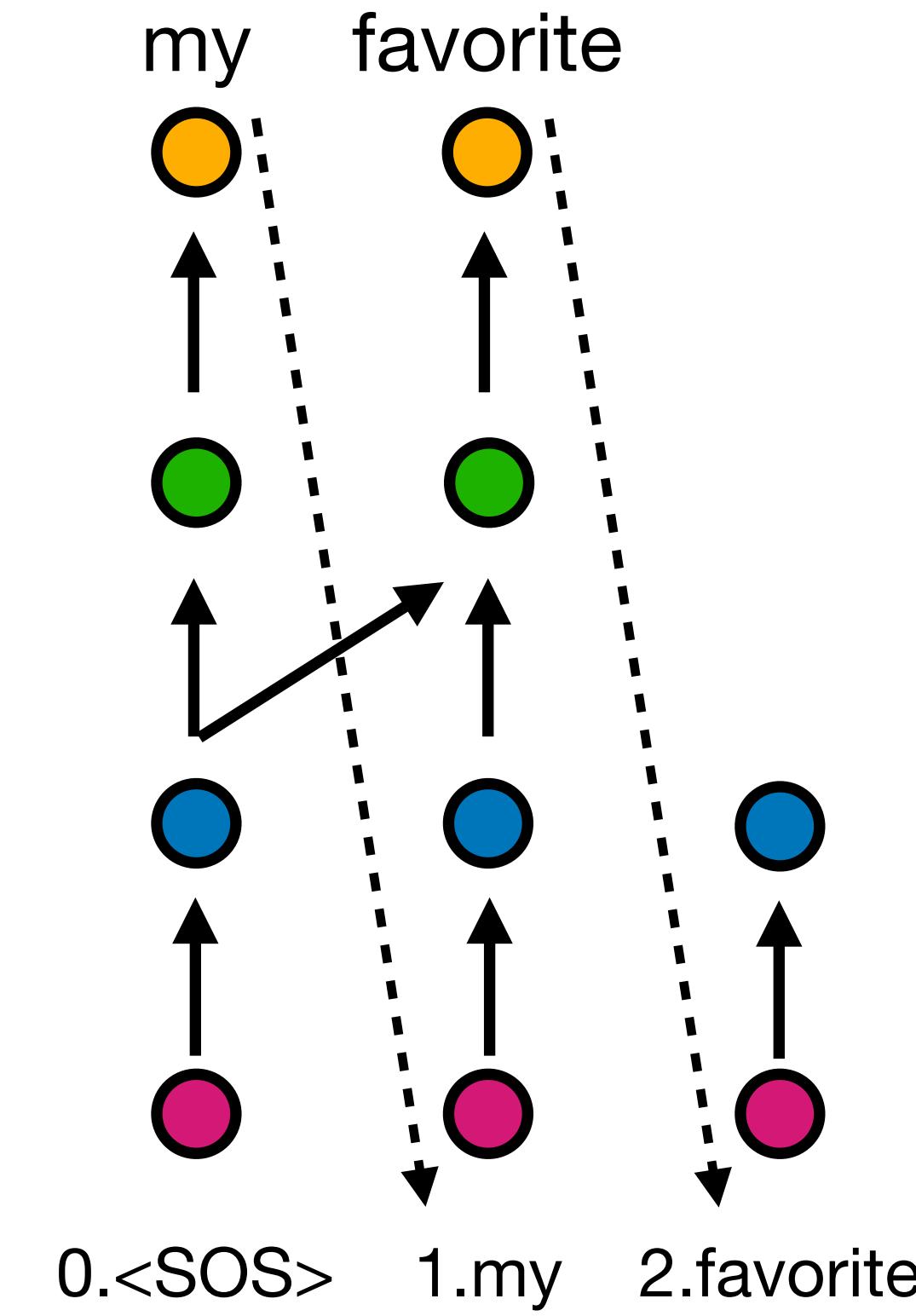
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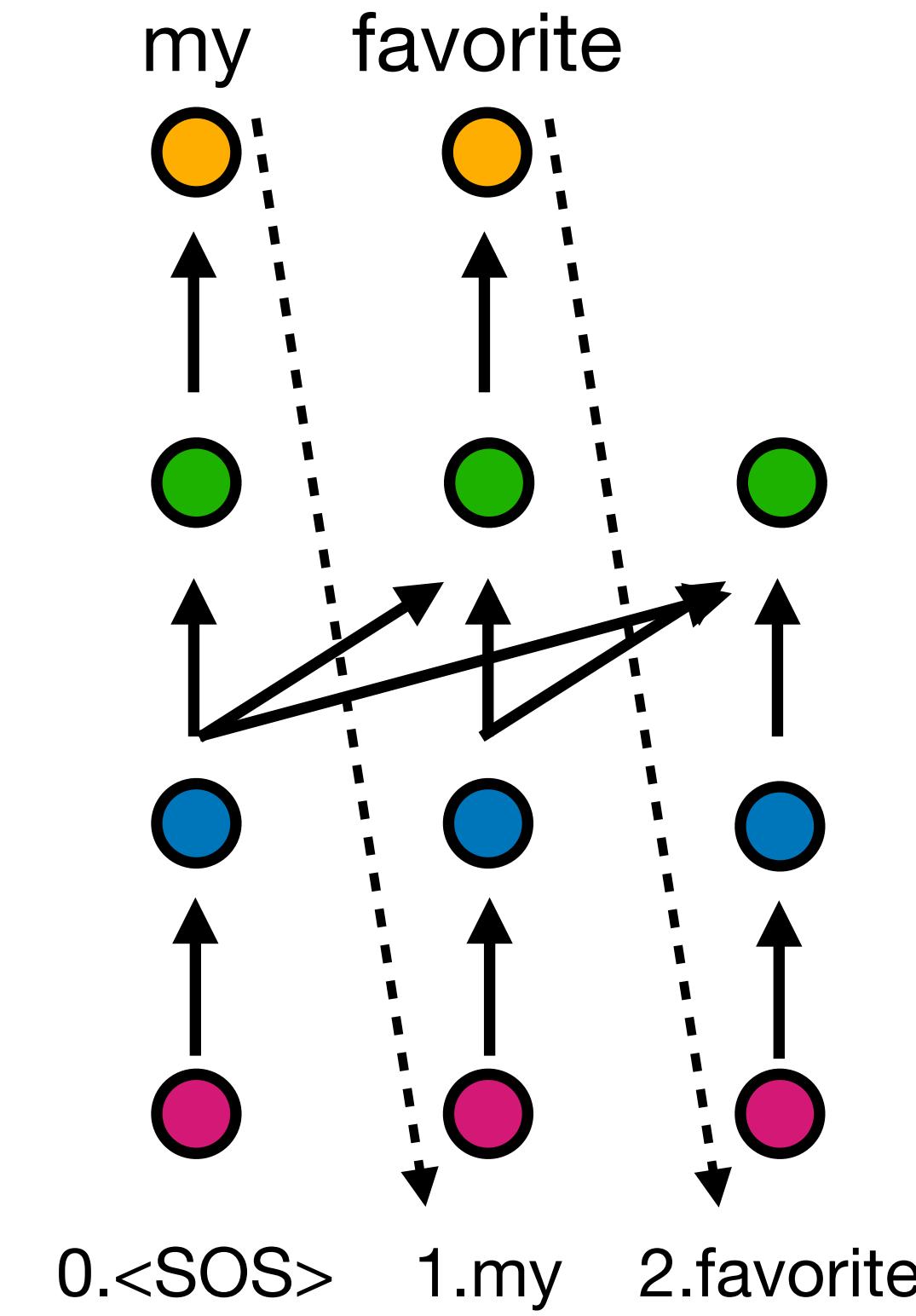
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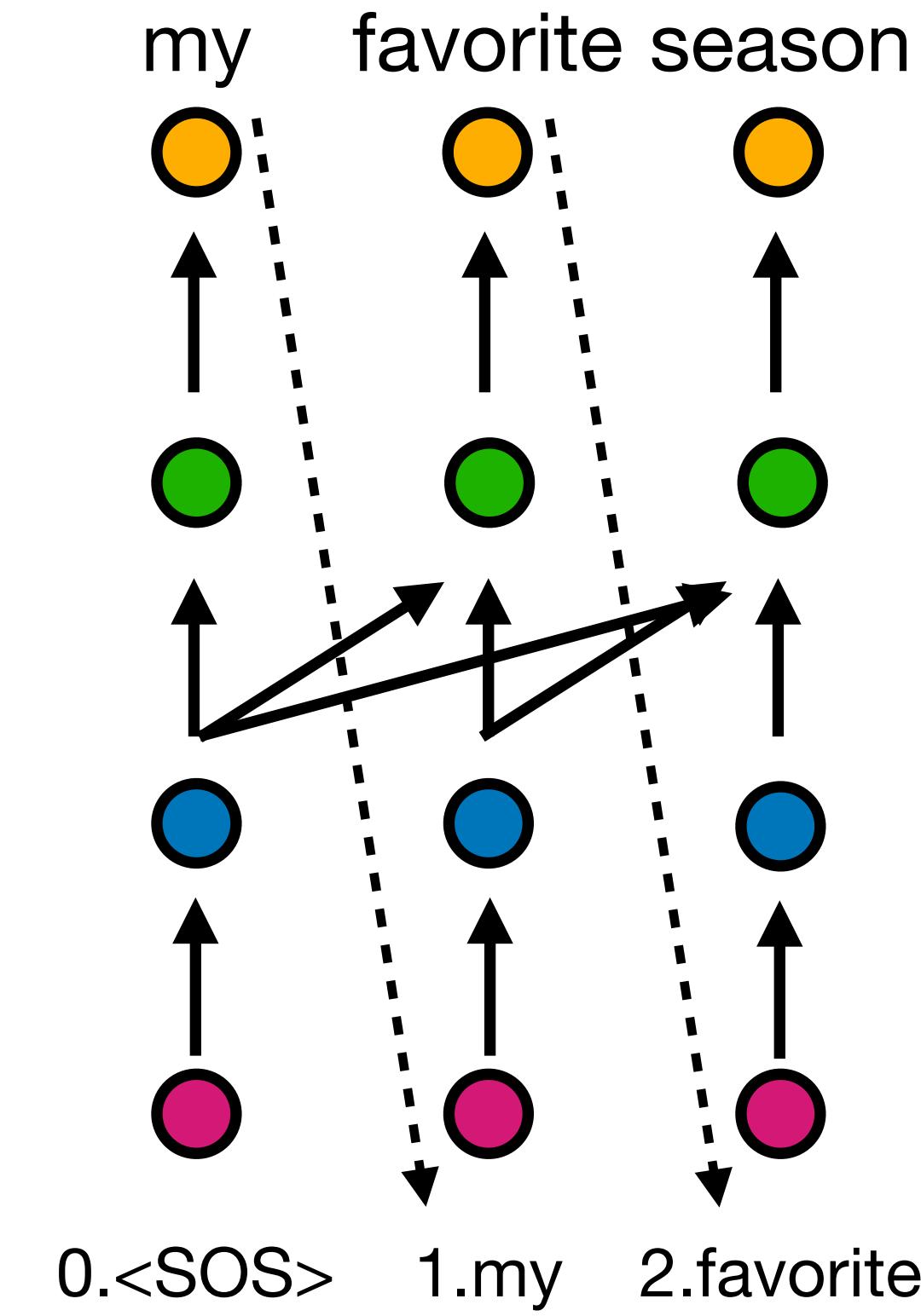
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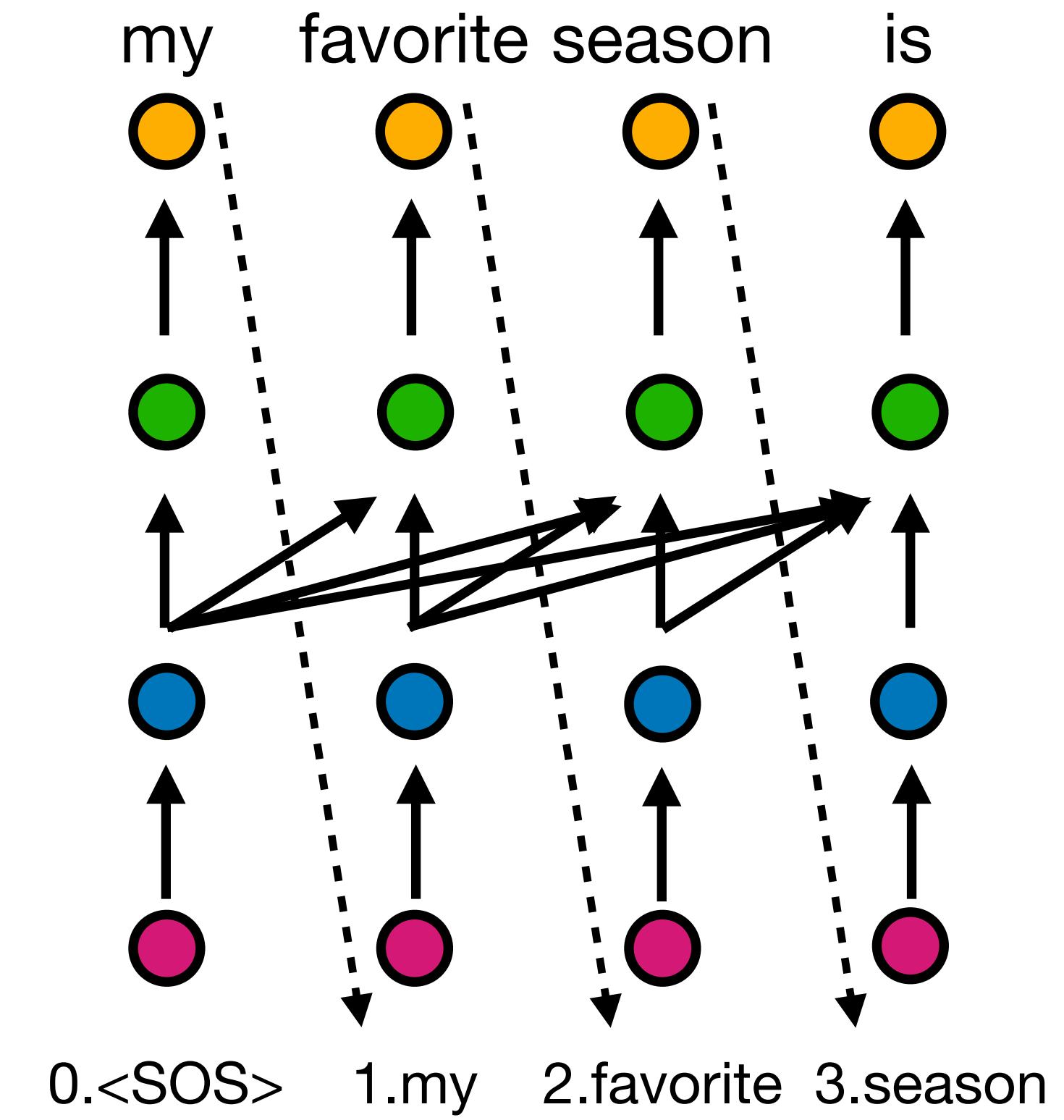
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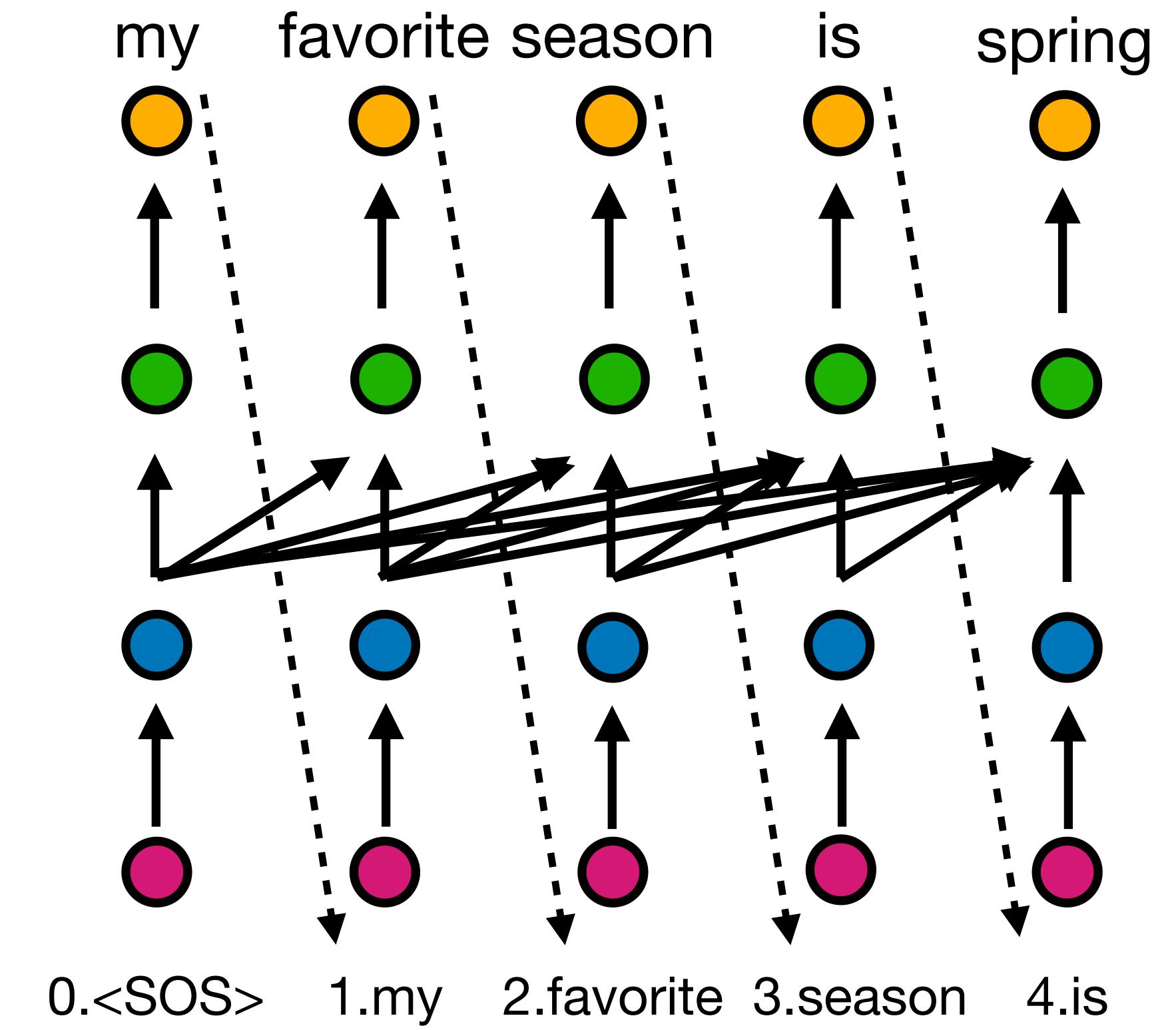
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Simplified transformer for autoregressive text generation

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Simplified transformer for machine translation

Simplified transformer for machine translation

Input text: “How are you?” Output translation: “¿Cómo estás?”

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1. Start a sequence based on input text and append <SOS>.

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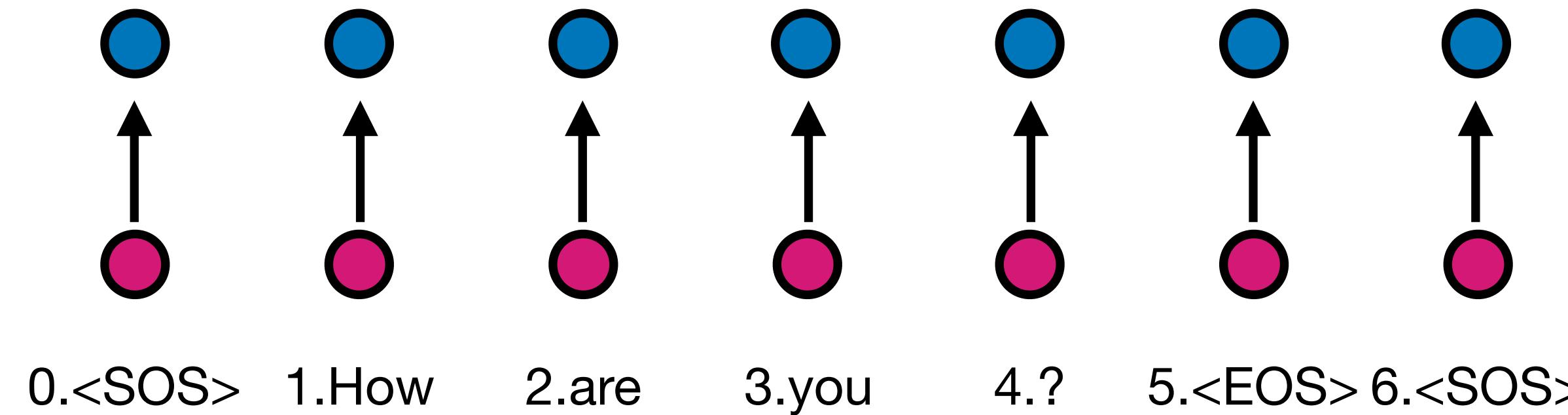
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Input text: “How are you?” Output translation: “¿Cómo estás?”

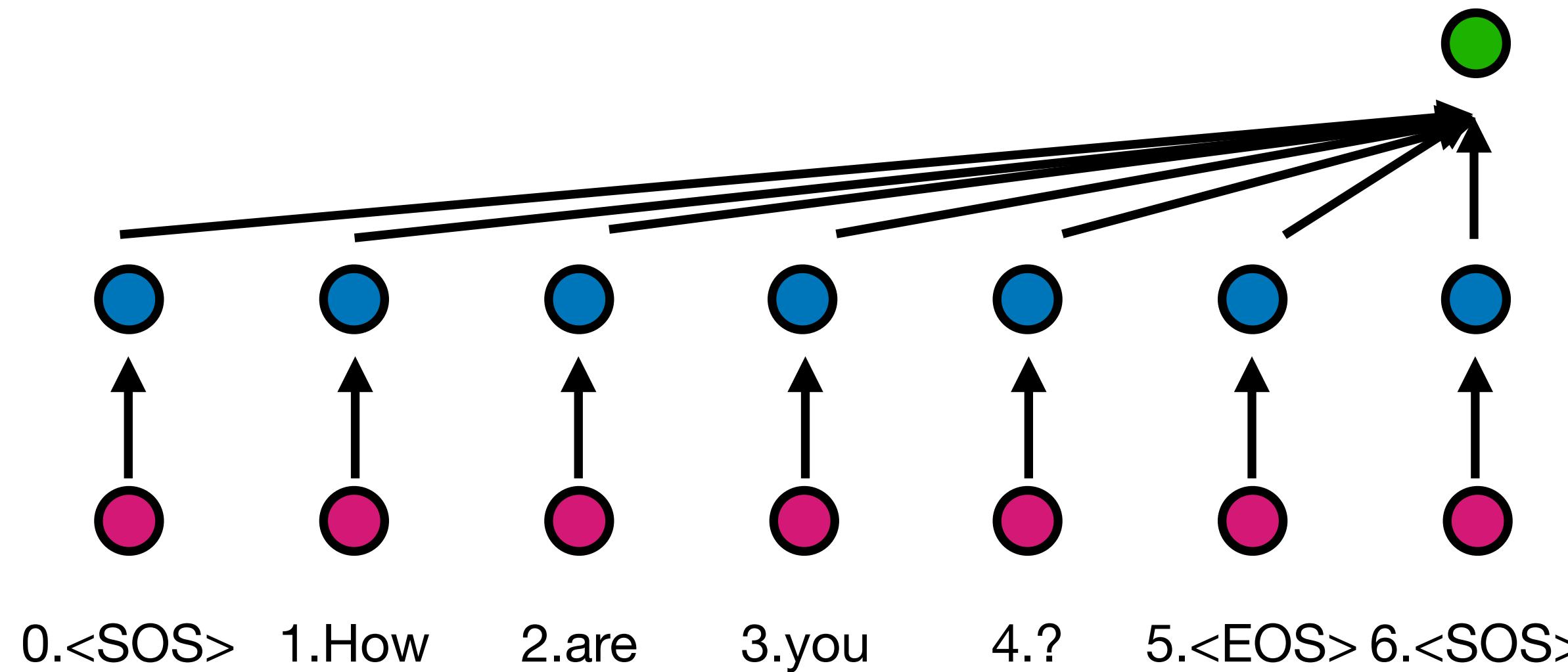
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Simplified transformer for machine translation

Input text: “How are you?” Output translation: “¿Cómo estás?”

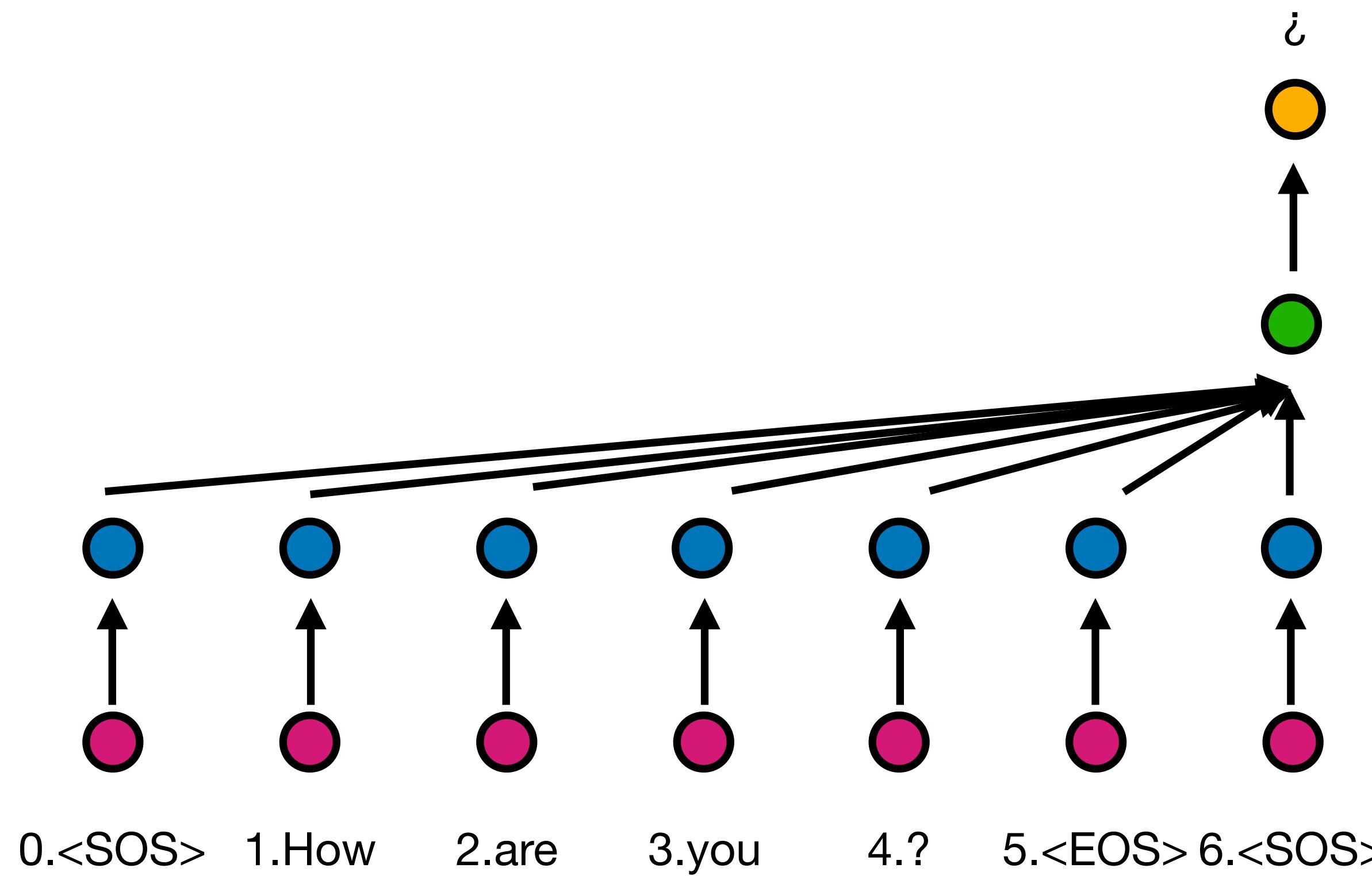
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Simplified transformer for machine translation

Input text: “How are you?” Output translation: “¿Cómo estás?”

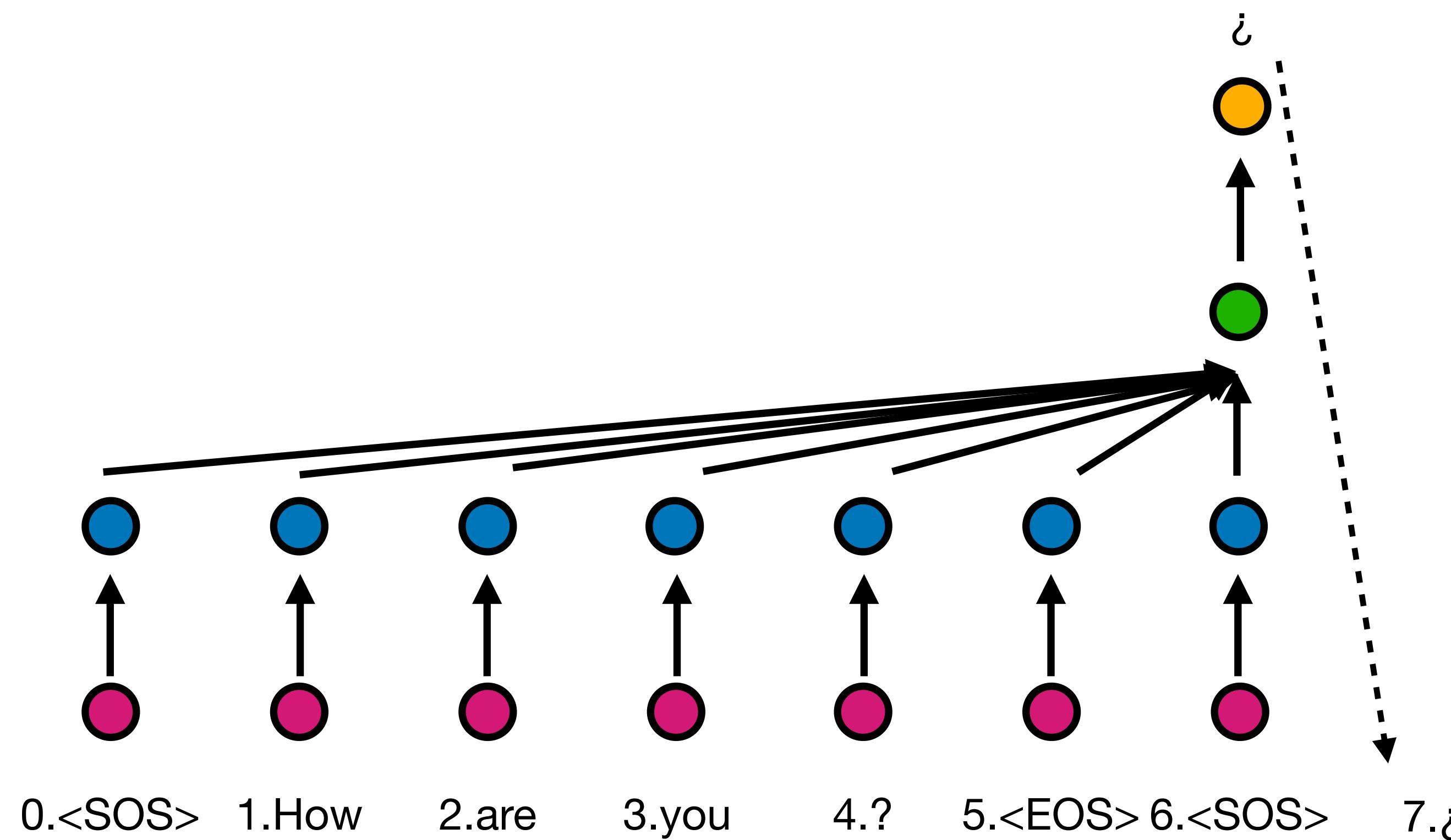
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Input text: “How are you?” Output translation: “¿Cómo estás?”

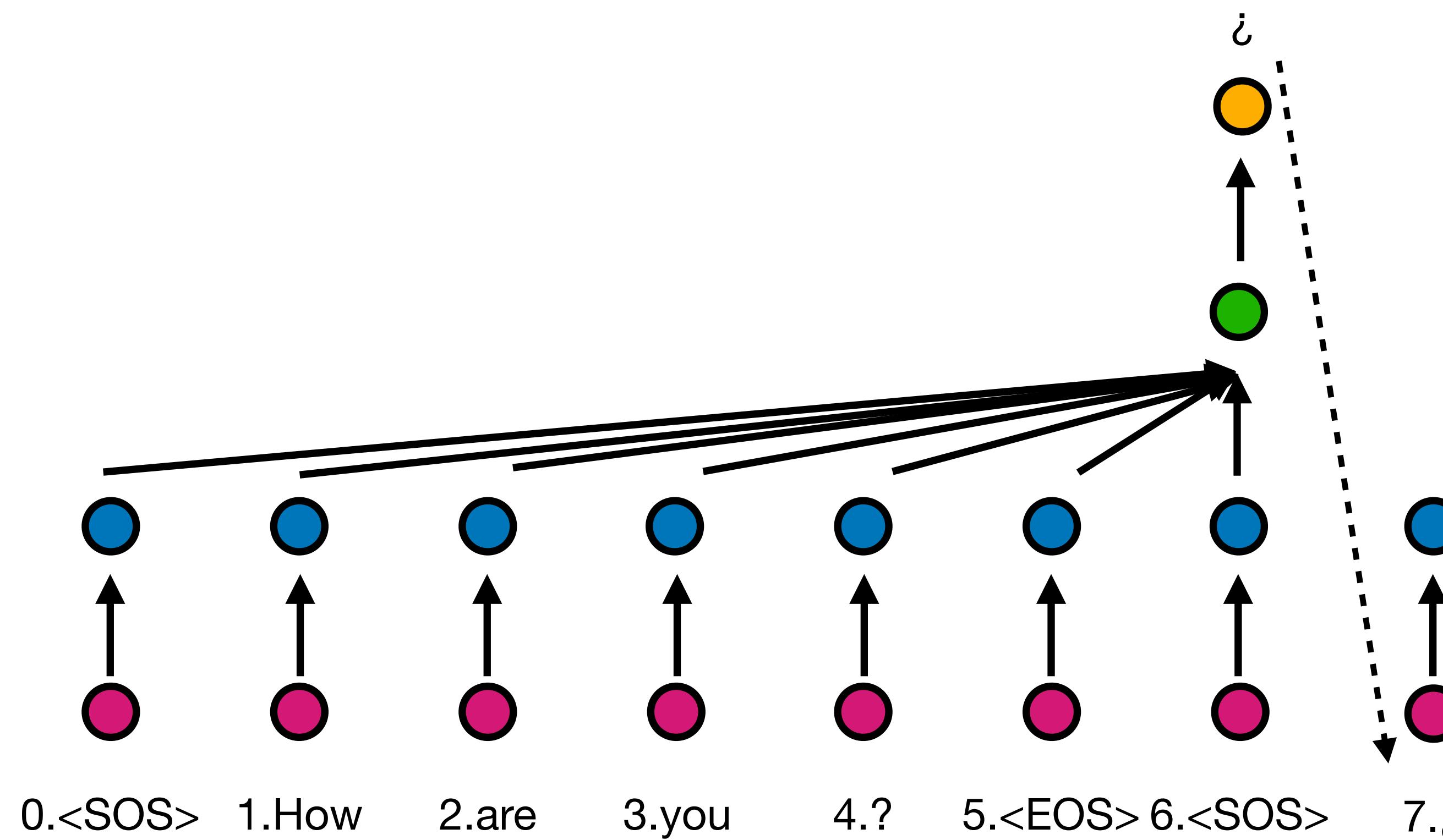
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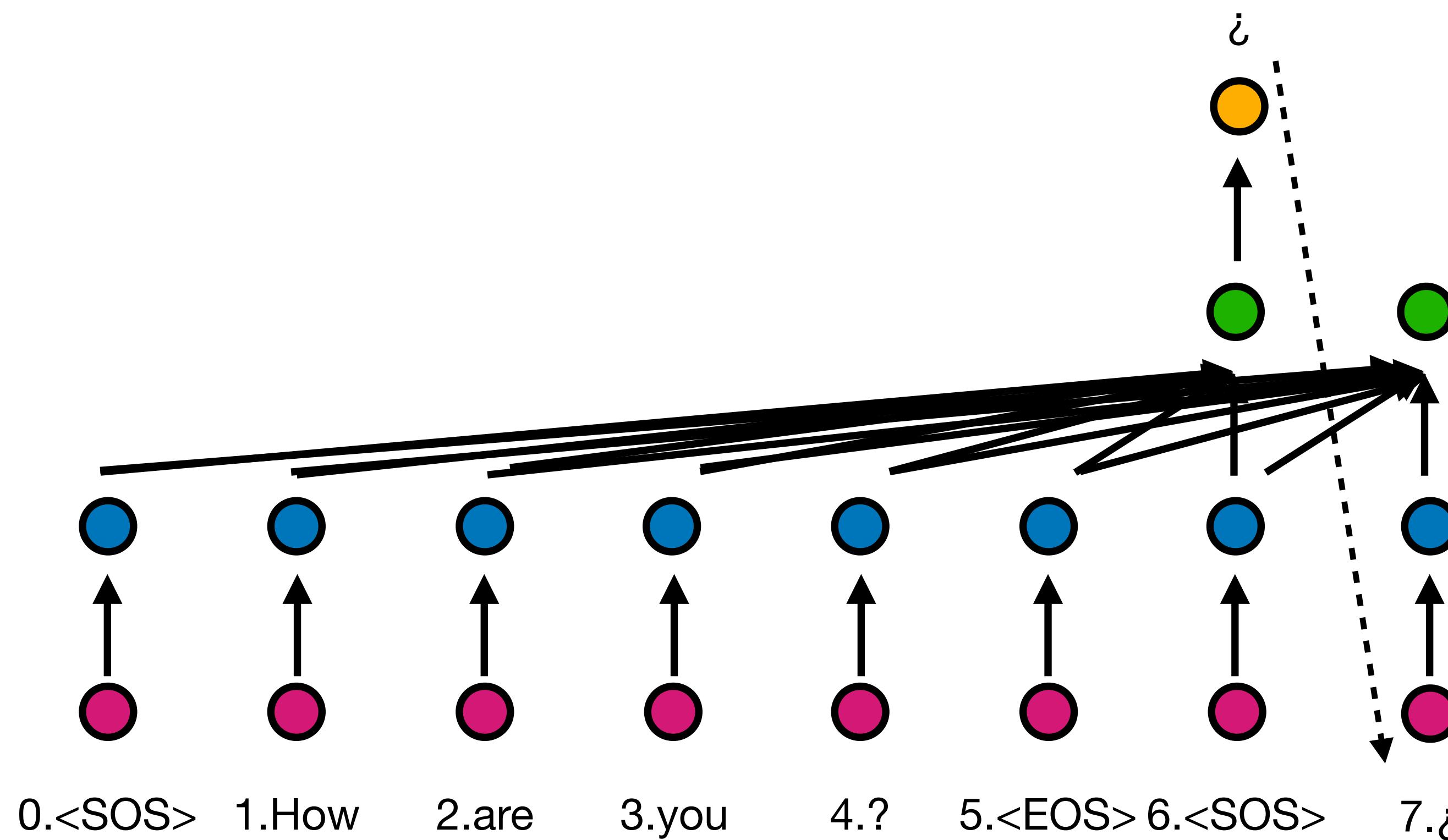
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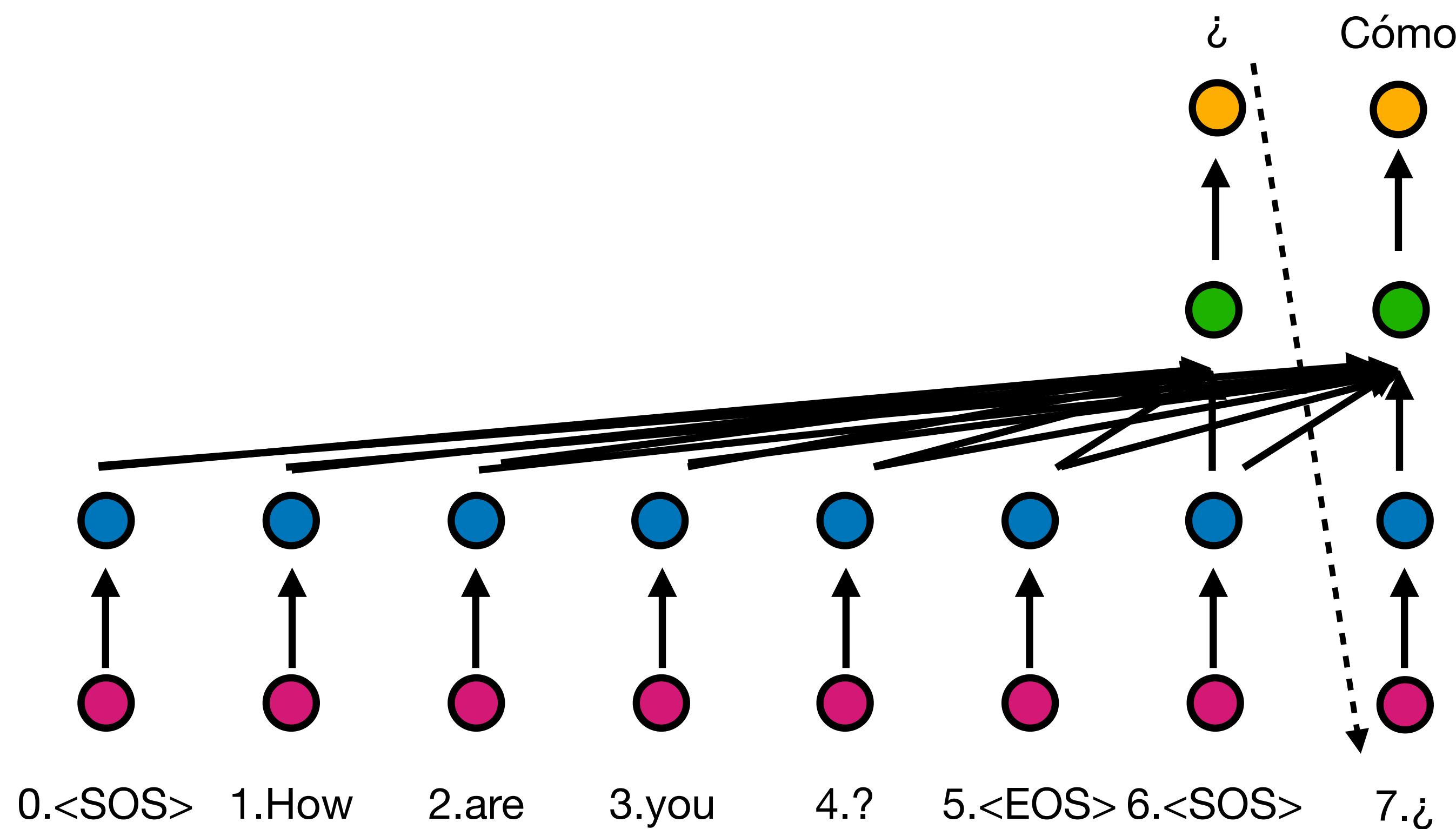
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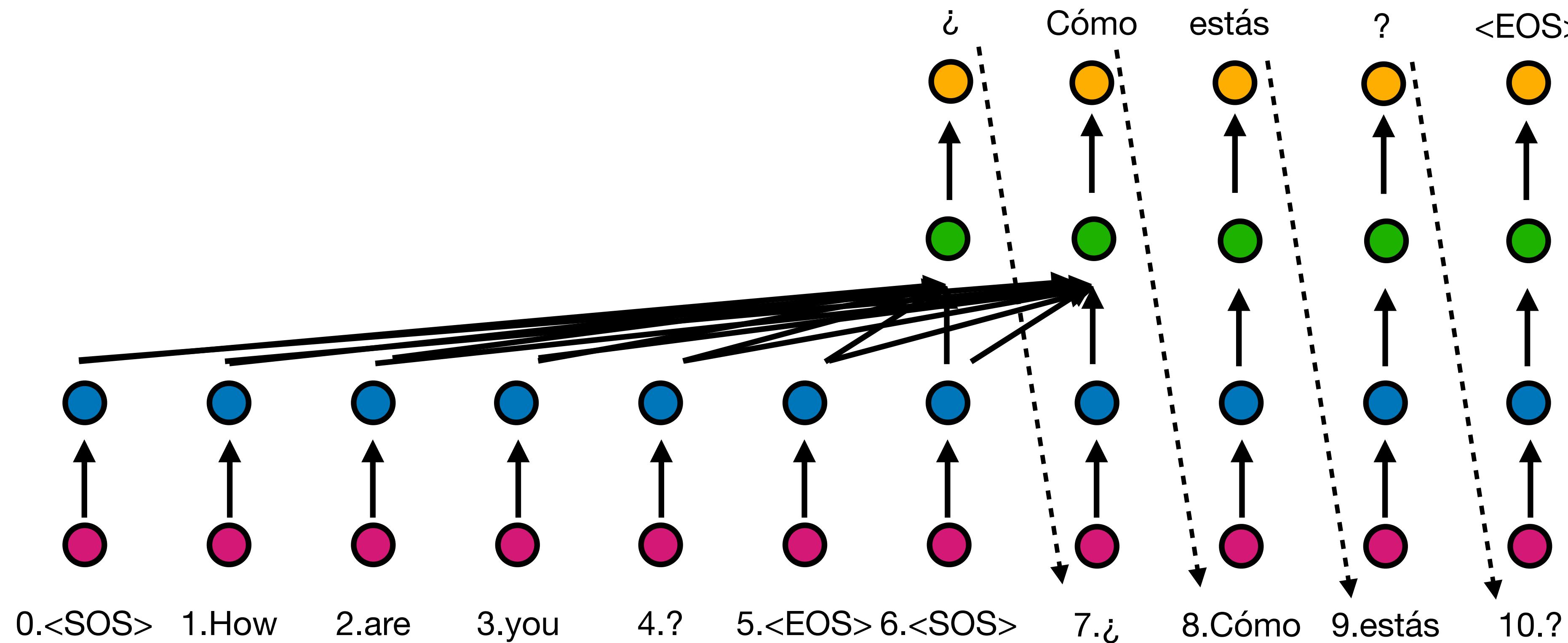
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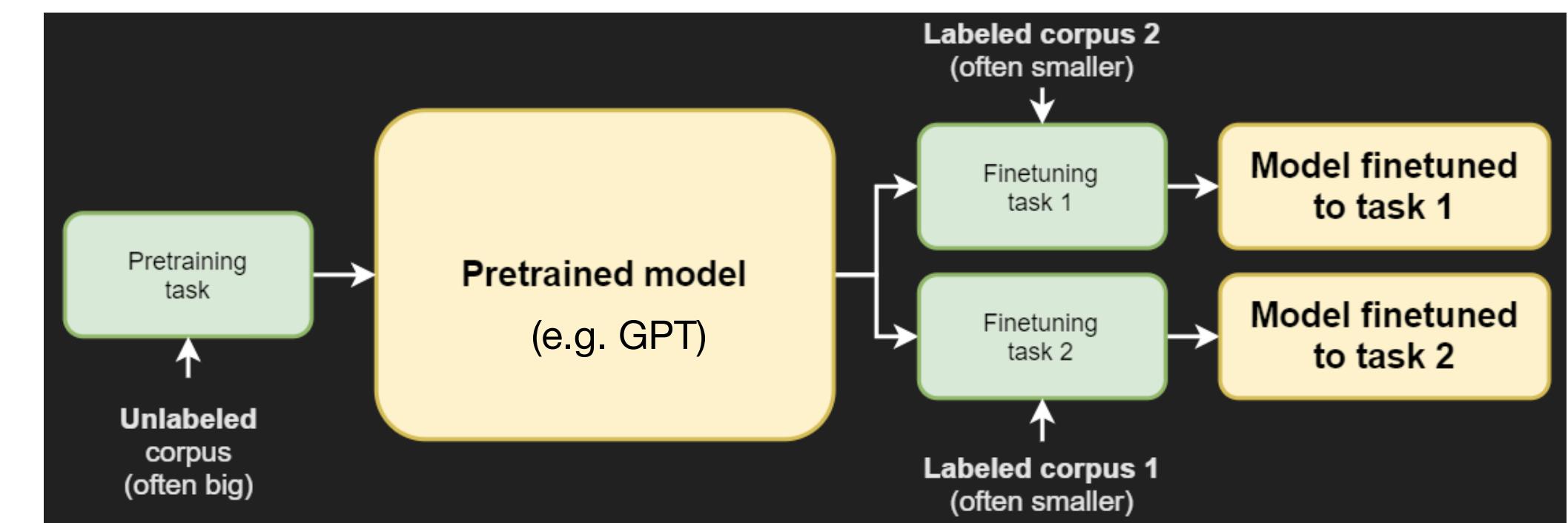
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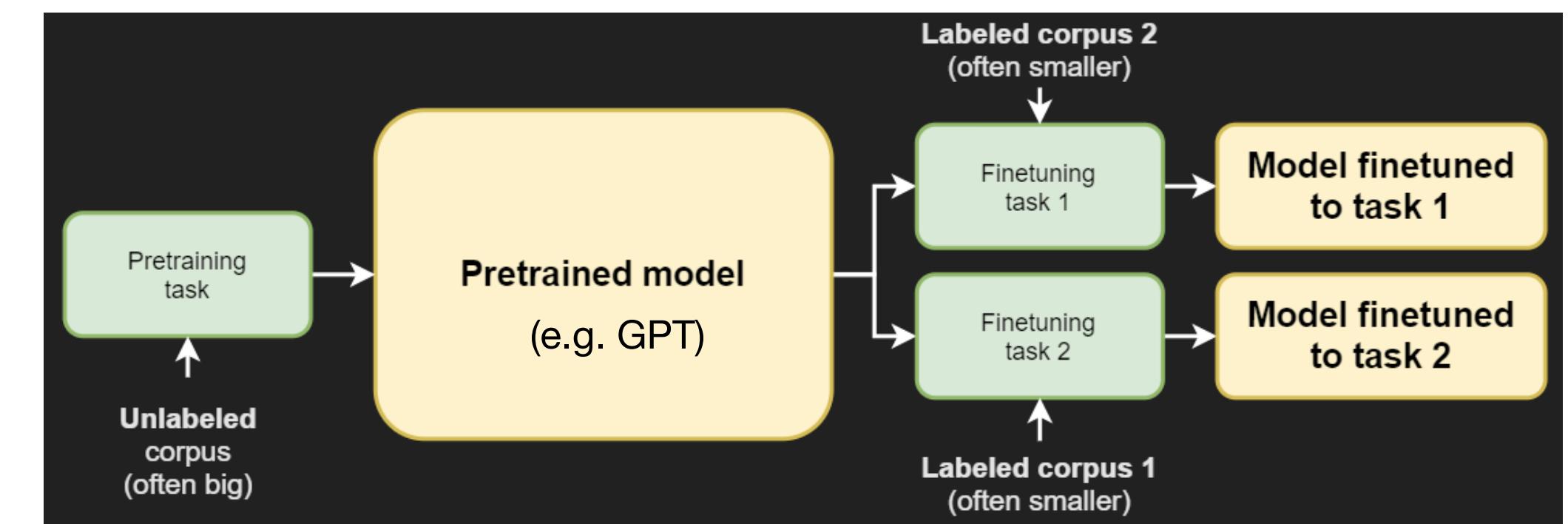
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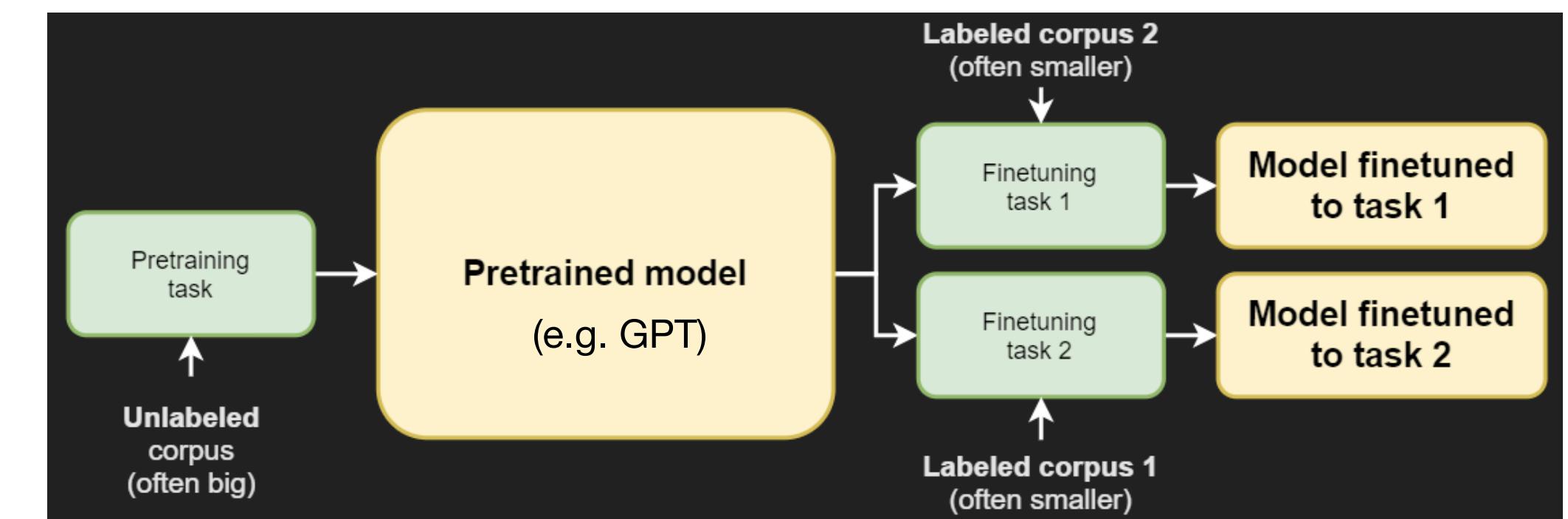
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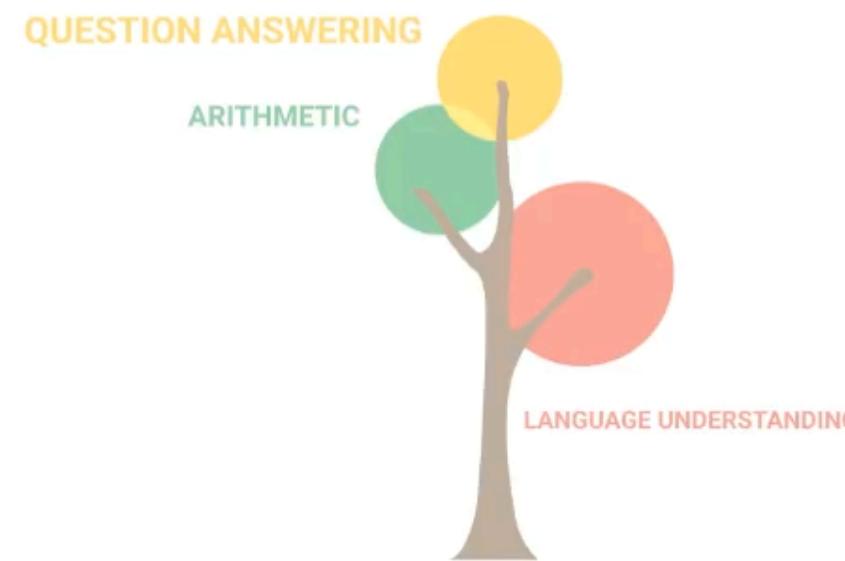
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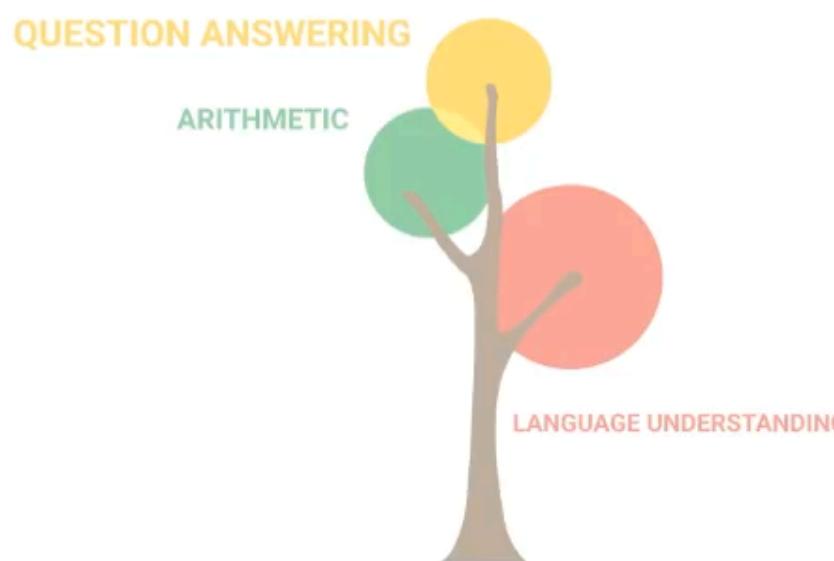
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GPT-4 vs ChatGPT

Prompt: Here we have a book, 9 eggs, a laptop, a bottle and a nail. Please tell me how to stack them onto each other in a stable manner.

GPT-4:

One possible way to stack the objects onto each other in a stable manner is:

- Place the book flat on a level surface, such as a table or a floor. The book will serve as the base of the stack and provide a large and sturdy support.
- **Arrange the 9 eggs in a 3 by 3 square on top of the book, leaving some space between them.** The eggs will form a second layer and distribute the weight evenly. Make sure the eggs are not cracked or broken, and handle them gently to avoid dropping them.
- Place the laptop on top of the eggs, with the screen facing down and the keyboard facing up. The laptop will fit snugly within the boundaries of the book and the eggs, and its flat and rigid surface will provide a stable platform for the next layer. Make sure the laptop is closed and turned off, and do not press any keys or buttons.
- Place the bottle on top of the laptop, with the cap facing up and the bottom facing down. The bottle will add some height and balance to the stack, and its cylindrical shape will reduce the contact area with the laptop. Make sure the bottle is empty and closed, and do not shake or tilt it.
- Place the nail on top of the bottle cap, with the pointy end facing up and the flat end facing down. The nail will be the final and smallest object in the stack, and its sharp tip will prevent it from rolling or sliding off the bottle. Make sure the nail is clean and straight, and do not touch or move it.

ChatGPT:

It would be difficult to stack all of these objects on top of each other in a stable manner without using any extra objects. The book and laptop are likely too large and heavy to stack on top of the eggs without damaging them. The bottle and nail may be too small to provide a stable base for the other objects.

Here is one possible way to stack the objects:

- Place the bottle on a flat surface.
- Carefully balance the nail on top of the bottle.
- **Place the eggs on top of the nail, making sure they are balanced and not tilting to one side.**
- Place the laptop on top of the eggs, again making sure it is balanced and not tilting to one side.
- Finally, place the book on top of the laptop.

This stack may not be very stable, so it is important to be careful when handling it. Alternatively, you could try stacking the objects in a different order or using some of the objects as supports for others to create a more stable stack.

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- The hard work of training large language models can be recycled through the pre-training and fine-tuning paradigm.