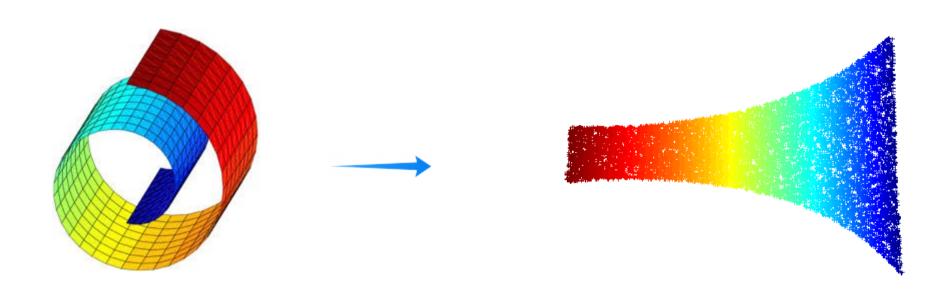
## Locally Linear Embeddings in Pattern Recognition



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- Supervision: Nikolaos Pitsianis

#### Introduction

- Why we need information compression
- Dimensionality Reduction Algorithms (PCA, SVD, ISOMAP, Laplassian Eigenmaps, LLE)
- The Locally Linear Embeddings Algorithm (LLE)
- Surpass LLE limitations with two new variations of LLE algorithm
- Experiments using native LLE and the two new methods
  - Datasets: MNIST, SVHN, Arcene
- Results analysis
- Future work
- Conclusion

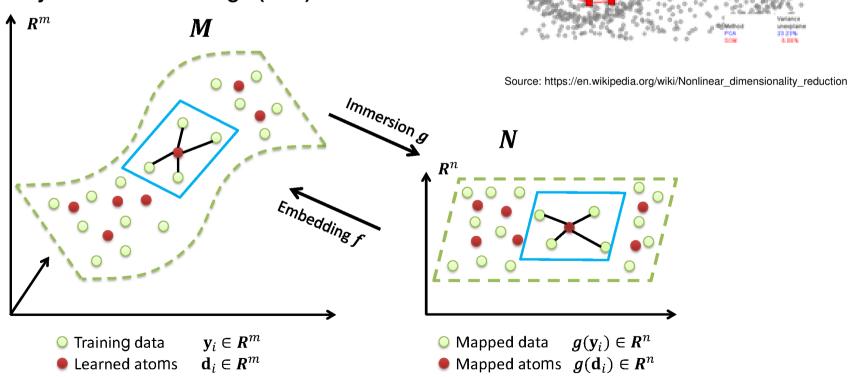
### Dimensionality Reduction Algorithms

- Linear:
  - Principal Component Analysis (PCA)
  - Metric Multi-dimensional Scaling (MDS)
  - Singular Value Decomposition (SVD)

#### Dimensionality Reduction Algorithms

#### Non-Linear:

- Isometric Mapping (ISOMAP)
- Laplacian-Eigenmaps
- Locally Linear Embeddings (LLE)



### Locally Linear Embeddings

- Step-1: Find K-Nearest Neighbors for each data
  - With CUDA
- Step-2: Linear prediction for every point using it's neighbors. Find Weight matrix (W).
  - Minimize cost function:  $argmin E_w = \sum_{i=1}^N \|X_i \sum_{j=1}^N W(i, j) X_j\|^2$
  - Weights W must follow these two restrictions:
    - V(i,j) = 0, if j and i are not neighbors
    - $\sum_{j=1}^{N} W(i,j) = 1$
    - Following these restrictions we have Rotation, Scaling and Translation independence

#### Locally Linear Embeddings

- Step-3: Find the embedded coordinates, using matrix W
  - $\qquad \qquad \text{Minimize cost function:} \quad \arg\min E_y = \sum_{i=1}^N \left\| Y_i \sum_{j=1}^N W(i\,\text{,}\,j) Y_j \right\|^2$

$$F_y = |(I - W)Y|^2 = Y^T M Y$$

- Find eigen-values of the square [NxN] sparse matrix
  - $M = (I W)^T (I W)$
- Step-4: Keep the final embedded coordinates
  - Discard  $\lambda_0$  eigenvalues (equal to zero)
  - Keep the rest d eigenvectors. These are the final d embedded coordinates

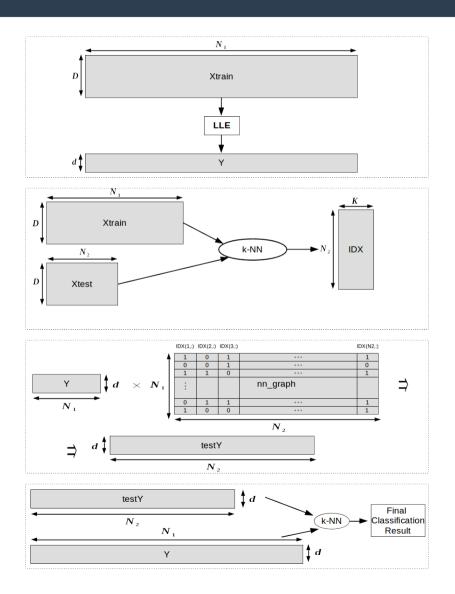
#### LLE Limitations

- Eigen-value decomposition step has complexity  $d \cdot O(N^2)$
- We must run LLE algorithm with both train and test datasets as input data

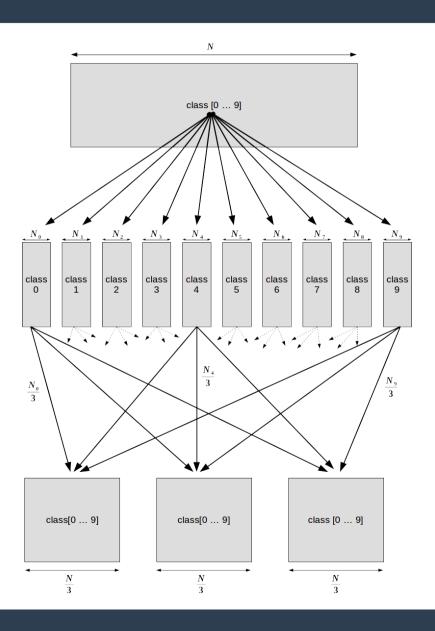
#### Solutions:

- Method-1 will solve 2<sup>nd</sup> limitation
  - Execute LLE on Train+Test data
- Method-2 will solve 1<sup>st</sup> limitation
  - Runtime algorithm's complexity

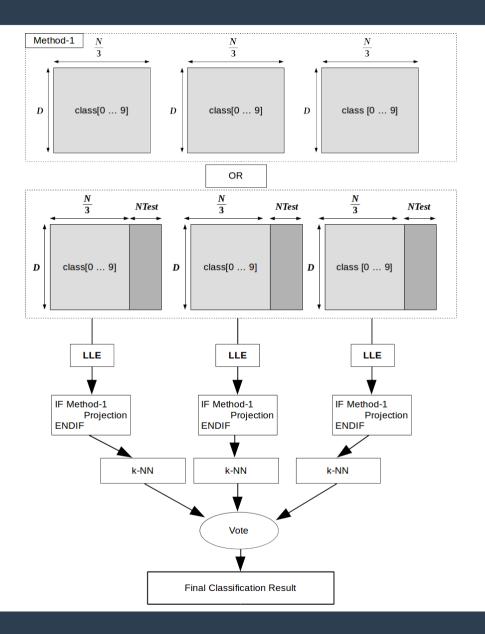
### Method-1: LLE with Test-Projection



## Method-2: Sub-set Majority Voting LLE



# Method-2: Sub-set Majority Voting LLE



#### Experiments

- <u>Datasets</u>: MNIST, SVHN, ARCENE
  - MNIST: Gray scale images of handwritten digits
    - 60K Train data, 10K Test data, D=[28x28]
  - SVHN: Google street view house numbers RGB images
    - > 73257 Train data, 26032 Test data, D=[32x32]
- Source: http://pavel.surmenok.com/2014/07/06/neural-networks-training-using-encog/

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- Arcene: Cancer dataset with a large number of predefined features for each patient.
  - 200 Train data, 700 Test data, D = 10K
- Classification algorithm: k-NearestNeighbors
- Classification metric: Mean average % error
  - Sum Accuracies from every class / number of classes



Source: http://ufldl.stanford.edu/housenumbers/

#### MNIST Experiments

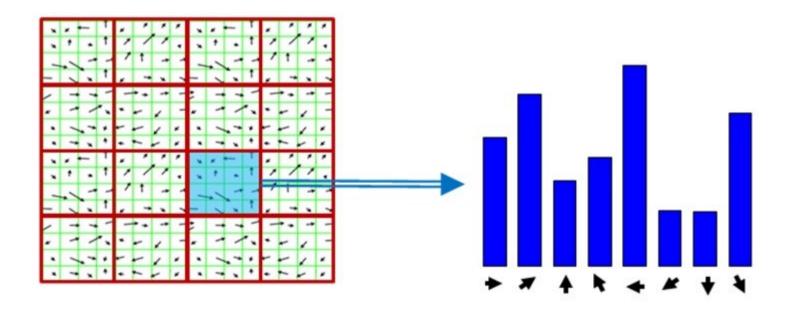
- Invest how LLE parameters (k, d) affect the classification process. Also find out if sub-set voting (Method-2) can lead to acceptable results
  - K = [6, 7, 8, 9, 10, 12, 16, 20, 24, 32, 64], d = [10, 16, 20, 24, 32, 40, 52, 64, 96, 128, 256],
    subSet\_size=[60000,20000,10000] of Train dataset
    - Classification error using k-NN (k=2) without dimensionality reduction (D=784): 3.5%
    - Classification error using k-NN (k=2): K=12, d=128, subSet\_size=60K, qual to 3.06%
    - Classification error using k-NN (k=2): K=8, d=10, subSet\_size=60K equal to 3.31%
- LLE algorithm can be used as a feature extraction process with a great data compression ability

#### MNIST Experiments

- Method-2 results:
  - K=16, d=256, batch\_size=20K. Best classification error equal to 3.27%
  - K=10, d=128, batch\_size=10K. Best classification error equal to 3.31%
  - Huge reduction both in time and space. (Step-3 of LLE has  $O(N^2)$  complexity)
- Method-1: Use of LLE dimensionality reduction in "Real time" applications
  - K=8, d=256, batch\_size=60K. Best classification error equal to 3.85%

#### **SVHN** Experiments

- Extract HoG features due to noise and light distortions
  - Split image into sub sections and calculate the gradient of pixel intensity



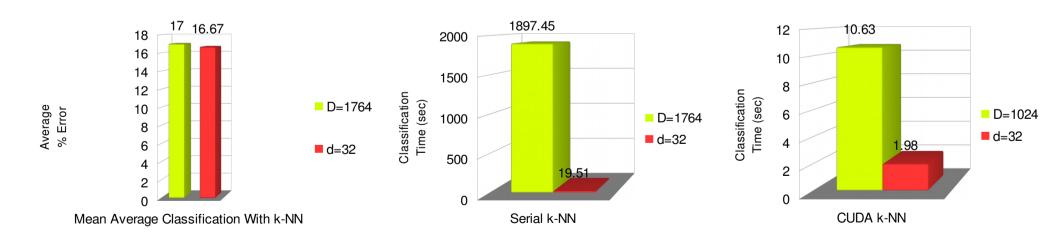
Source: https://www.quora.com/What-is-a-histogram-of-gradient-directions-in-computer-vision

#### **SVHN** Experiments

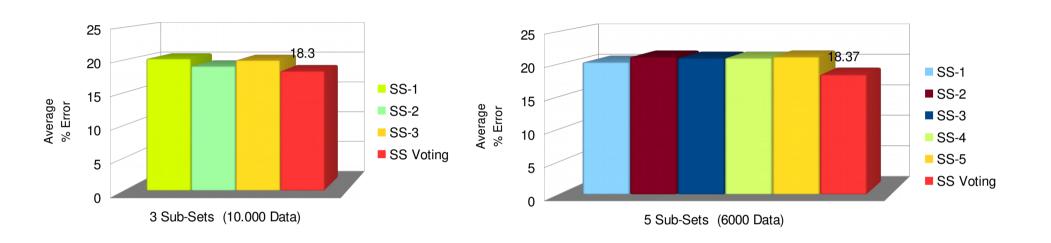
- 1st-Exp: Investigate LLE behaviour to this dataset. Also find out the best HoG kernel size
  - K = [8, 10, 12], d = [16, 20, 32, 64, 96, 128, 164, 196, 256], 30K of SVHN Train data-set
  - HoG Kernel size: [2x2], [4x4], [8x8] produce features of length: 8100, 1764, 324
  - Best parameters for SVHN dataset: K=12, d=32, kernel=[4x4]
- 2<sup>nd</sup> -Exp Method-1: Find out if Method-1 can lead to acceptable results
  - K=12, d=32, kernel=[4x4]. 42K of SVHN Train dataset
  - Classification error with LLE dimensionality reduction on Train data and projection for Test data is equal to 18.34%
  - Classification error without dimensionality reduction is equal to 18.07%

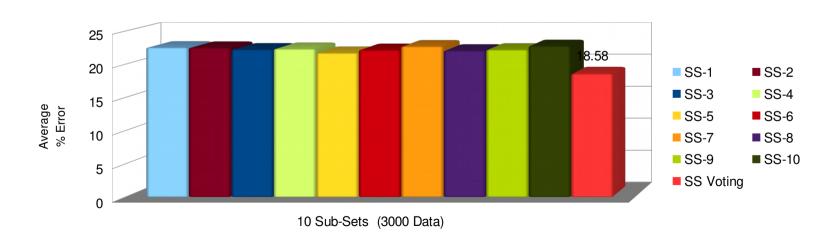
#### SVHN Full Dataset Experiment

- 3rd-Exp: Classification result with vs without LLE dimensionality reduction
  - K=12, d=32, kernel=[4x4]. Full SVHN dataset (73257 Train data, 26032 Test data)

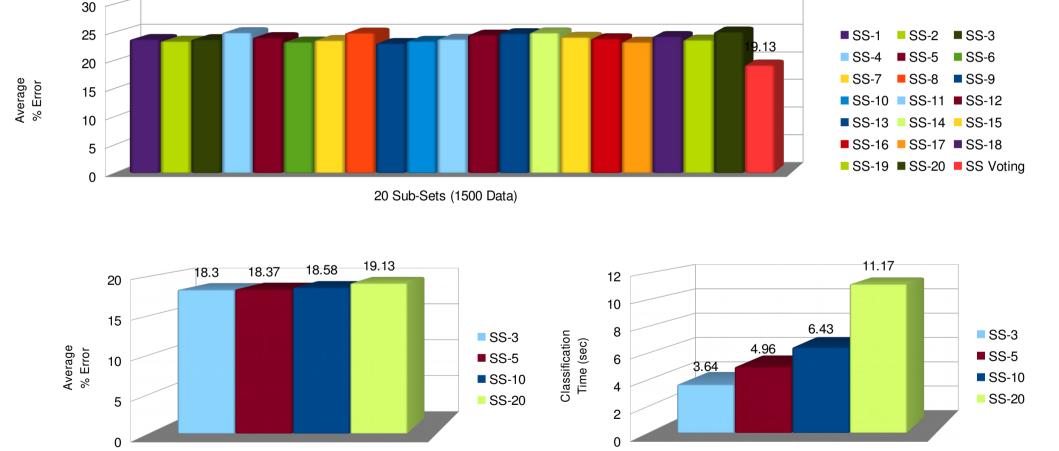


#### SVHN Method-2 Experiments





#### SVHN Method-2 Experiments



Sub-Sets

Sub-Sets

#### **ARCENE** Experiments

Investigate LLE dimensionality reduction as feature selection/extraction algorithm.

	K=10	K=12	K=16	K=20	K=24	K=32	K=64	Classification Time/Sample
d=10	10	12	18	18	20	18	18	2.4 ms
d=16								
d=20	16	18	16	16	22	16	16	2.5 ms
d=24	14	14	18	20	18	18	16	2.7 ms
d=32	14	20	24	18	20	18	18	2.7 ms
d=40		14	14				18	2.7 ms
d=52	10	16	14	14	16	16	14	2.7 ms
d=64	14	16	22	20	22	18	14	2.8 ms
d=96	22	22	22	18	12	16	22	2.9 ms
d=128	24	10	26	14	28	28	26	3.0 ms
D=10.000				24				51.7 ms

Classification Accuracy Error using k-NN Algorithm

#### Future Work

- Parallelization: Even though k-NN algorithm both in LLE and in Classification process is executed in CUDA, further parallelization can be achieved espessially in Method-2
- Extend to large datasets and invest the behaviour of subspace average voting
- Find the best fit between size of Train dataset subsets and Test data

#### Conclusion

- LLE produces very accurate results after huge dimensionality reduction
- LLE algorithm can be used both as feature extraction and feature selection algorithm
- LLE has the ability to remove noise-data, producing very accurate classification results
- Huge space and time savings at Final Classification Step (d << D)</li>
- Method-1 can lead to real time Classification results. Using LLE on Train Data, Classification process can be executed for low dimensional data
- Method-2 achieves huge reduction both in space and time, without or with minimal information loss
- Method-1 and Method-2 make the execution of LLE algorithm available for normal PCs

#### Thank You

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