

282 Final

Hash tables • Hash tables do have several disadvantages. They're based on arrays, and arrays are difficult to expand after they've been created.

- Two different kinds of hash tables: hash sets and hash maps
- Hash maps is one the implementations of a map data structures to store (key, value) pairs.

Hashing → topic

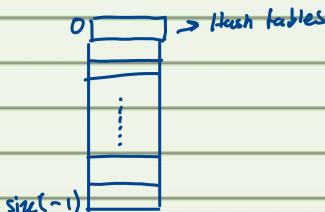
- Hash tables are a better implementation which you can get $O(1)$ for each of these operations (add, find, remove)

Hash tables

A hash table is an array of some fixed size Basic idea:

Hash function

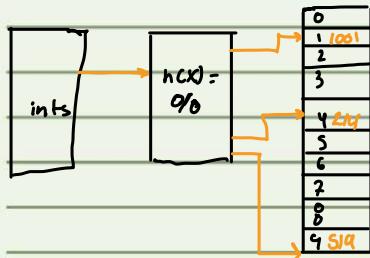
$$\text{index} = h(\text{key})$$





Hash table Example

Save 10 numbers



• insert (519)

$519 \% 10 = 51.9 \rightarrow$ it goes to index 9

• insert (214)

$214 \% 10 = 21.4 \rightarrow$ goes to index 4

• insert (1001)

$1001 \% 10 = 100.1 \rightarrow$ goes to index 2

• insert (37 44)

$3744 \% 10 = 374.4 \rightarrow$ goes to index 4 but

since 4 is occupied
collision happen

How to fix collision

$$h(key) = key \% \text{Table size}$$

$$\text{Client: } f(x) = x$$

$$\text{Library: } g(x) = f(x) \% \text{Table size}$$

• chaining are kept in linked list

Hashing Strings

$s \in [9, 236] \rightarrow$ table size

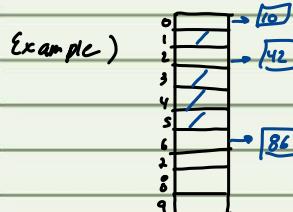
$h(k) = 50 \% \text{Table size}$

$$h(k) = \left(\sum_{i=0}^{k-1} s_i \right) \% \text{Table size}$$

Rigorous Separate Chaining Analysis

Load factor $\lambda \rightarrow$ number of items in the table

$$\lambda = \frac{n}{\text{Table size}}$$



$$\lambda = \frac{n}{\text{Table size}} = \frac{5}{10} = 0.5$$



Under chaining, the average number of elements per buckets is λ

- Each unsuccessful Find compares against $\underline{\underline{1}}$ items
 - Each successful Find compares against $\underline{\underline{1}}$ items.
 - if 1 is low, Find and Insert likely to be O(1)

Open Addressing Linear Probing

if $h(\text{key})$ is already full

try $(h(\text{key}) + 1) \% \text{table size}$. If full,

try $\lceil h(key) + 2 \rceil$ % table size. If full,

$\text{try } (\text{n}(\text{key}) + 3) \% \text{ tableSize}$. If full

Example) insert 38, 19, 8, 74, 10

0 8 $38 \% 10 = 3.8 \rightarrow \text{index } 8$
 1 79 $19 \% 10 = 1.9 \rightarrow \text{index } 9$
 2 10 $8 \% 10 = 0.8 \rightarrow \text{index } 8 \rightarrow (8+1) \% 10 = 0.9 \rightarrow (8+2) \% 10 = 1.0 \rightarrow \text{index } 0$
 3 $79 \% 10 = 1.9 \rightarrow \text{index } 9 = \text{full} \rightarrow (79+1) \% 10 = 8.0 \rightarrow \text{index } 0 = \text{full} \rightarrow (79+2) \% 10 = 8.1 \rightarrow \text{index } 1$
 4 $10 \% 10 = 1.0 \rightarrow \text{index } 0 = \text{full} \rightarrow (10+1) \% 10 = 1.1 \rightarrow \text{index } 1 = \text{full} \rightarrow (10+2) \% 10 = 1.2 \rightarrow \text{index } 2$
 5
 6
 7
 8 38
 9 19

* load factor when using linear probing ever exceed 1.0

Nope!!

$$\lambda = \frac{n}{\text{table size}} = \frac{5}{10} = 0.5$$



Quadratic Probing

For Quadratic probing, $f(i) = i^2$

0th Probe: $(h(\text{key}) + 0^2) \% \text{ Table size}$

1st Probe: $(h(\text{key}) + 1^2) \% \text{ Table size}$

2nd Probe: $(h(\text{key}) + 2^2) \% \text{ Table size}$

3rd Probe: $(h(\text{key}) + 3^2) \% \text{ Table size}$

.....

ith Probe: $(h(\text{key}) + i^2) \% \text{ Table size}$

Double Hashing

For double hashing, $f(i) = i.g(\text{key})$

0th Probe: $(h(\text{key}) + 0.g(\text{key})) \% \text{ Table size}$

1st Probe: $(h(\text{key}) + 1.g(\text{key})) \% \text{ Table size}$

2nd Probe: $(h(\text{key}) + 2.g(\text{key})) \% \text{ Table size}$

ith probe: $(h(\text{key}) + i.g(\text{key})) \% \text{ Table size}$

$$T = 10 \text{ (Table size)}$$

Hash functions

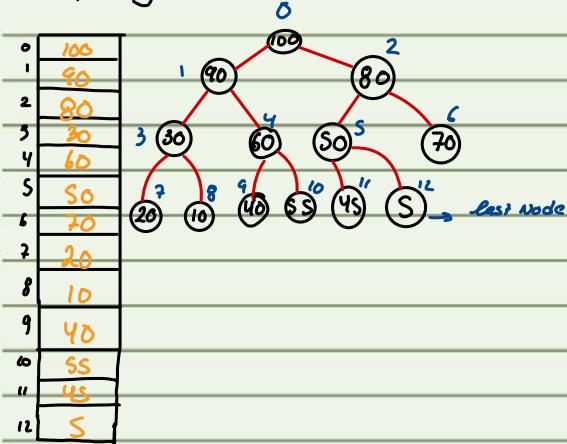
$$h(\text{key}) = \text{key} \bmod T$$

$$g(\text{key}) = 1 + ((\text{key}/T) \bmod (T-1))$$

$$\text{Ex)} \quad 33 \rightarrow g(33) = 2+3 \bmod 9 = 4$$

Chapter 12) Heaps

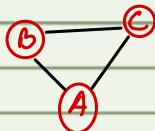
Heap Array



Chapter 13 Graphs

Graphs

- Elements and connections can store data
- Relationships dictate structures; huge freedom with connection

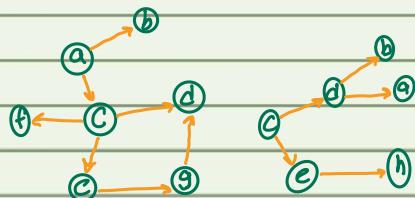


Graphs

A Graph consists of two sets, V and E

- V : Set of vertices (also nodes)
- E : Set of edges (pairs of vertices)
- $|V|$: size of V (also called n)
- $|E|$: size of E (also called m)

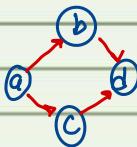
V : sets of vertices E : set of Edges



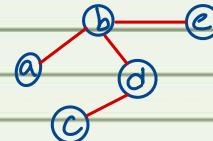
Directed vs Undirected; Acyclic vs cyclic

Directed

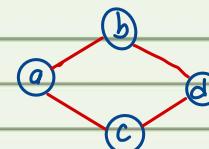
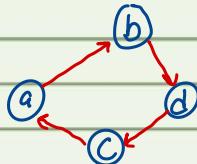
acyclic



undirected

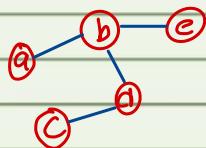


cyclic

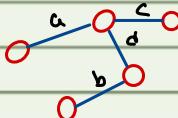


Labeled and weighted graphs

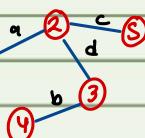
Vertex labels



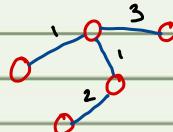
Edge labels



vertex and edge labels



numeric Edge labels



Graph terminology

A simple graph has no self-loops

or parallel edges

- in a simple graph, $|E|$ is $O(|V|^2)$

- unless otherwise stated, all graphs in this course are simple



• vertices with an edge between them are adjacent.

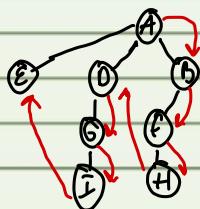
- vertices or edges may have optional labels

- numeric edge labels are sometimes called weights



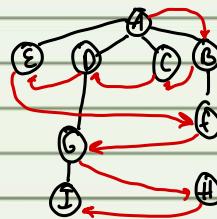


Depth first Search



Breadth first Search

right to left



Weighted Graphs

Lecture Outline

- *Review DFS, BFS, Unweighted Shortest Paths* 
- Weighted Shortest Path Problem
- Reductions: Weighted \square Unweighted
- Dijkstra's Algorithm
 - Definition & Examples
 - Implementing Dijkstra's

DFS

Follow a “choice” all the way to the end, then come back to revisit other choices

```
dfs(Graph graph, Vertex start) {  
    Stack<Vertex> perimeter = new Stack<>();  
    Set<Vertex> visited = new Set<>();  
  
    ...
```

* Can also be implemented recursively; though be careful of stack overflow!

- BFS and DFS are just techniques for iterating! (think: for loop over an array)
 - Need to add code that actually processes something to solve a problem
 - A *lot* of interview problems on graphs can be solved with **modifications on top of BFS or DFS!** Very worth being comfortable with the pseudocode □

BFS

Explore layer-by-layer: examine every node at a certain distance from start, then examine nodes that are one level farther

```
bfs(Graph graph, Vertex start) {  
    Queue<Vertex> perimeter = new Queue<>();  
    Set<Vertex> visited = new Set<>();  
  
    perimeter.add(start);  
    visited.add(start);  
  
    while (!perimeter.isEmpty()) {  
        Vertex from = perimeter.remove();  
        for (Edge edge : graph.edgesFrom(from)) {  
            Vertex to = edge.to();  
            if (!visited.contains(to)) {  
                perimeter.add(to);  
                visited.add(to);  
            }  
        }  
    }  
}
```

Review Using BFS for the Shortest Path Problem

(Unweighted) Shortest Path Problem

Given source vertex s and a target vertex t ,
how long is the shortest path from s to t ?

What edges make up that path?

- This is a little harder, but still totally doable! We just need a way to keep track of how far each node is from the start.
 - Sounds like a job for?
 - BFS!

Remember how we got to this point
and what layer this vertex is part of.

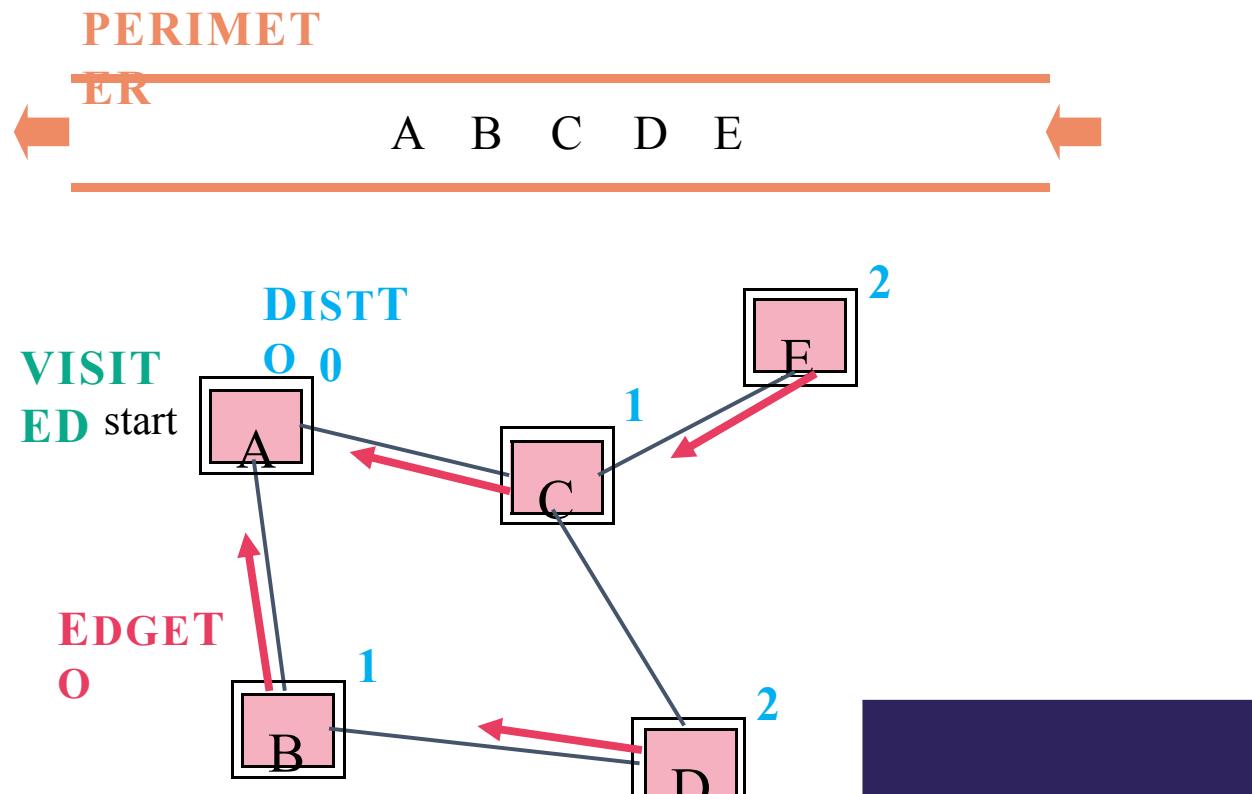
```
...
Map<Vertex, Edge> edgeTo = ...
Map<Vertex, Double> distTo = ...

edgeTo.put(start, null);
distTo.put(start, 0.0);

while (!perimeter.isEmpty()) {
    Vertex from = perimeter.remove();
    for (Edge edge : graph.edgesFrom(from)) {
        Vertex to = edge.to();
        if (!visited.contains(to)) {
            edgeTo.put(to, edge);
            distTo.put(to, distTo.get(from) + 1);
            perimeter.add(to);
        }
    }
}
return edgeTo;
```

The start required no edge to arrive at, and is on level 0

Review BFS for Shortest Paths: Example



- The edgeTo map stores **backpointers**: each vertex remembers what vertex was used to arrive at it!
- Note: this code stores visited, edgeTo, and distTo as **external maps** (only drawn on graph for convenience). Another implementation option: store them as fields of

```

...
Map<Vertex, Edge> edgeTo = ...
Map<Vertex, Double> distTo = ...

edgeTo.put(start, null);
distTo.put(start, 0.0);

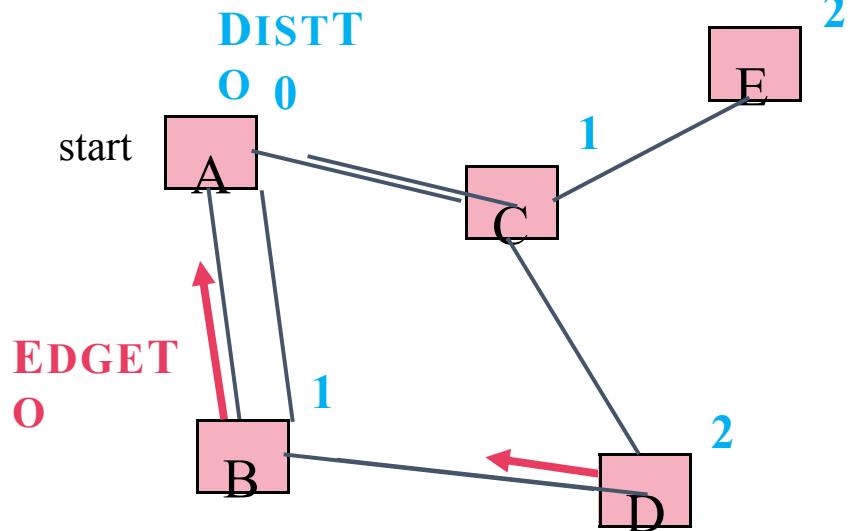
while (!perimeter.isEmpty()) {
    Vertex from = perimeter.remove();
    for (Edge edge : graph.edgesFrom(from)) {
        Vertex to = edge.to();
        if (!visited.contains(to)) {
            edgeTo.put(to, edge);
            distTo.put(to, distTo.get(from) + 1);
            perimeter.add(to);
            visited.add(to);
        }
    }
}
return edgeTo;
}

```

Review What about the Target Vertex?

Shortest Path

Tree:



- This modification on BFS didn't mention the target vertex at all!
- Instead, it calculated the shortest path and distance from start to *every other vertex*
 - This is called the **shortest path tree**
 - A general concept: in this implementation, made up of **distances** and **backpointers**
- Shortest path tree has all the answers!
 - **What's the shortest path from A to D?**
 - **edgeTo map: 2**
 - **What's the shortest path from A to D?**
 - Build up backwards from **edgeTo** map: start at D, follow **backpointer** to B, follow **backpointer** to A – our shortest path is **A □ B □ D**

Lecture Outline

- *Review* DFS, BFS, Unweighted Shortest Paths
- **Weighted Shortest Path Problem** ◀
- Reductions: Weighted □ Unweighted
- Dijkstra's Algorithm
 - Definition & Examples
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Our Graph Problem Collection



s-t Connectivity Problem

Given source vertex s and a target vertex t , does there exist a path from s to t ?

SOLUTION

Base Traversal: BFS or DFS

Modification: Check if each vertex == t

Unweighted Shortest Path Problem

Given source vertex s and target vertex t , what path from s to t minimizes the number of edges?
How long is that path, and what edges make it up?

SOLUTION

Base Traversal: BFS

Modification: Generate shortest path tree as we go

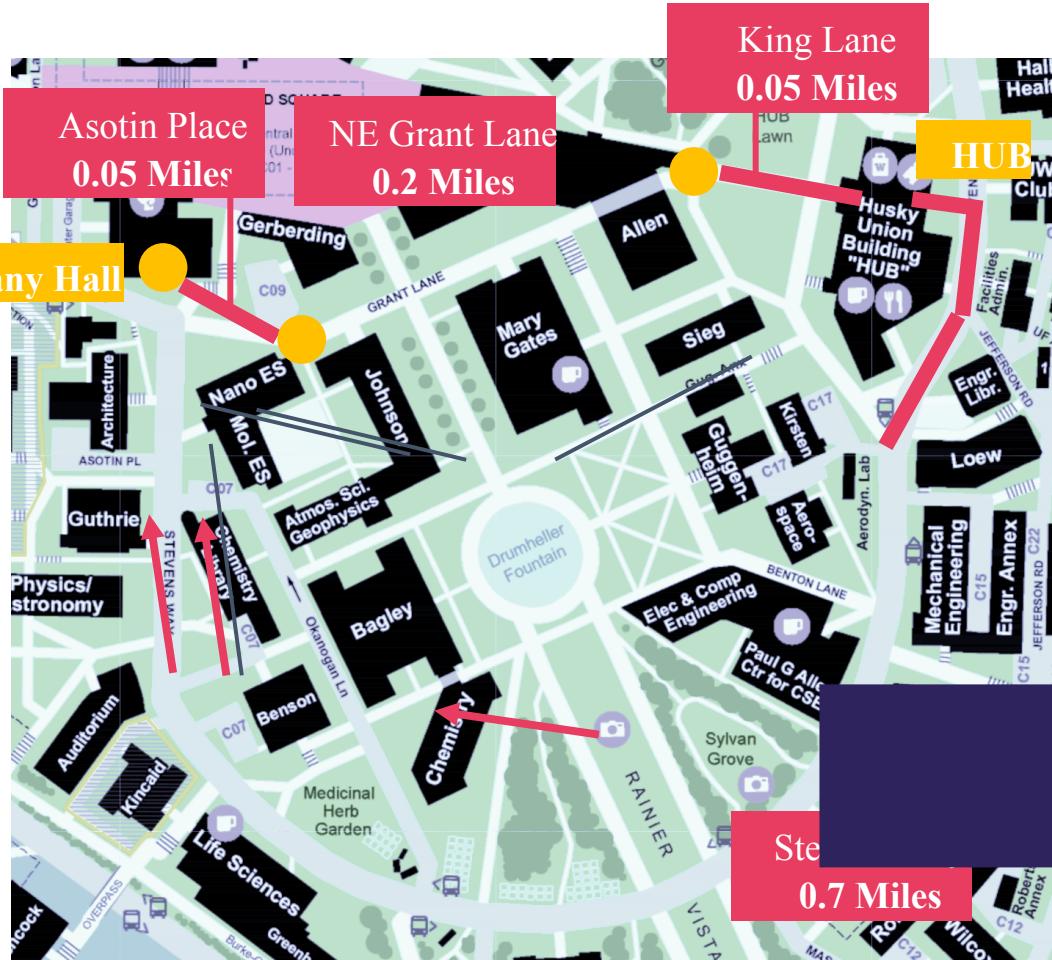
Weighted Shortest Path Problem

Given source vertex s and target vertex t , what path from s to t minimizes the total weight of its edges? How long is that path, and what edges make it up?

??

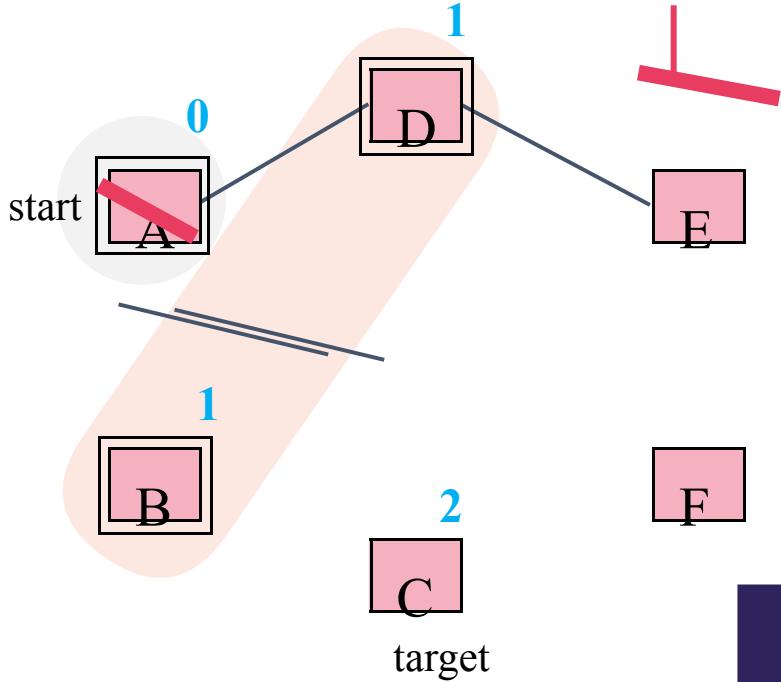
?

The Weighted Shortest Path Problem



- It's lunchtime, and that Pagliacci slice isn't going to eat itself – Suppose we want to find the fastest path from Meany Hall to the HUB
 - Model as a graph: buildings & road meeting points are vertices, roads are edges
 - Of course, want to take ~~Austin~~ – Grant – King, not Stevens
 - Use edge weights to model distance, since ~~"edges have the same cost"~~ Meany Hall $\xrightarrow{0.05}$ $\xrightarrow{0.2}$ $\xrightarrow{0.7}$ HUB $\xrightarrow{0.05}$
 - Would BFS give us the right answer here?

Why does BFS work for unweighted graphs?



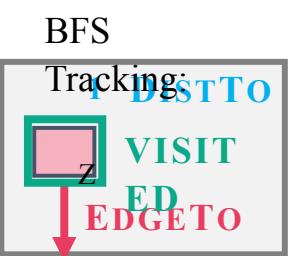
Observation: The “First Try Phenomenon”

- BFS only enqueues each vertex once (makes it efficient)
- As soon as BFS enqueues a vertex, the final path to that vertex has been chosen! Never re-evaluate its path.



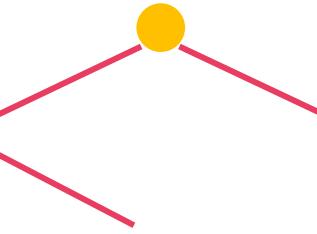
Key Intuition: BFS works because:

- IF we always process the closest vertices first,
- THEN the first path we discover to a new vertex will be shortest!

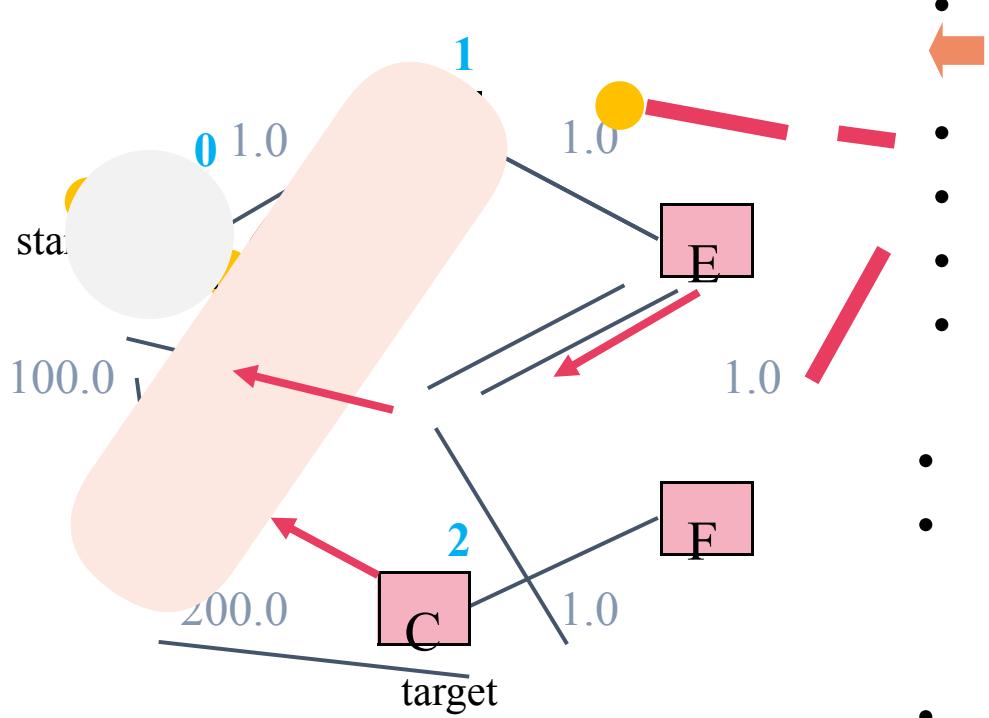


Example: For shortest path to C, why do we choose edge (B,C) and not (F,C)?

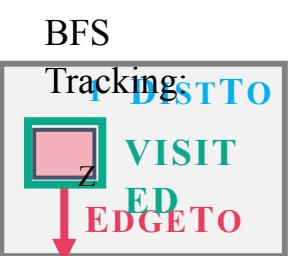
- Exactly *because* we visit B before F!



Why *doesn't* BFS work for weighted graphs?



- We want the path that minimizes the sum of edge weights
 - A-D-E-F-C: total distance 4
 - A-B-C: total distance 300.
 - Do the edge weights affect how BFS runs?
 - Nope! Exactly the same path chosen
- **Observation:** still have “First Try Phenomenon”
- **Key Intuition:** yet BFS breaks because we no longer process the closest vertices first (that is, not closest according to the edge weights!)
 - So we can’t rely on first path found being best anymore ☐
- **Idea 1:** Could we change the weighted graph into an unweighted graph?

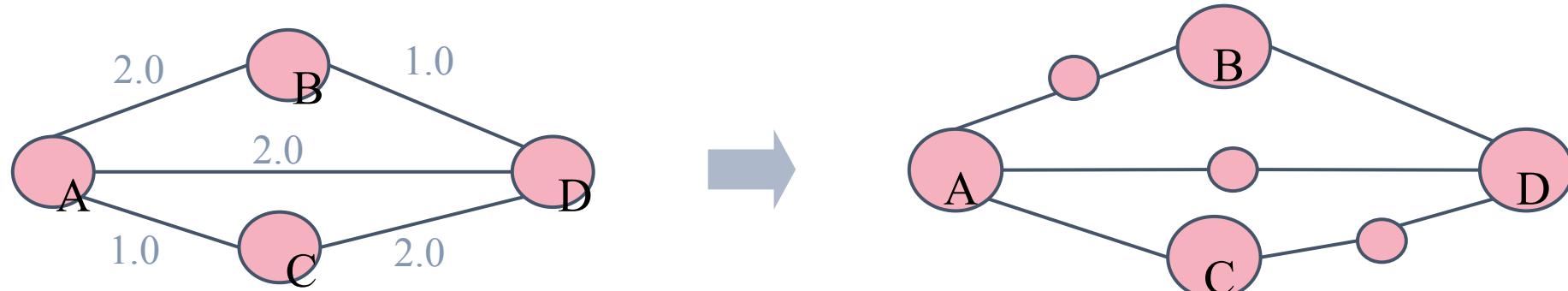


Lecture Outline

- *Review* DFS, BFS, Unweighted Shortest Paths
- ~~Weighted~~ Shortest Path Problem
- **Reductions: Weighted \square Unweighted**
- Dijkstra's Algorithm
 - Definition & Examples
 - Implementing Dijkstra's

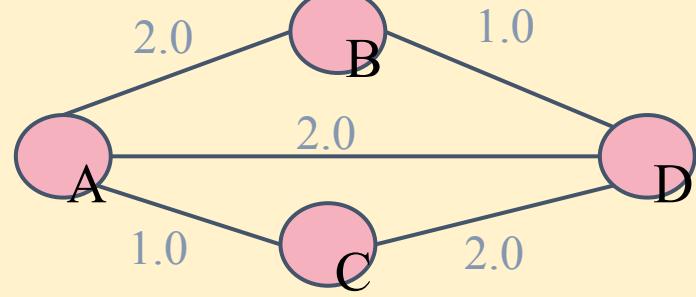
Idea 1: Change into an unweighted graph

- We know BFS works on unweighted graphs
 - If we can transform a weighted graph to unweighted, we can solve it!
- This idea is known as a **reduction**
 - “Reduce” a problem you can’t solve to one you can
 - Here, we’re trying to reduce BFS on weighted graphs to BFS on unweighted graphs



Weighted Graphs: An Example Reduction

WEIGHTED
GRAPHS



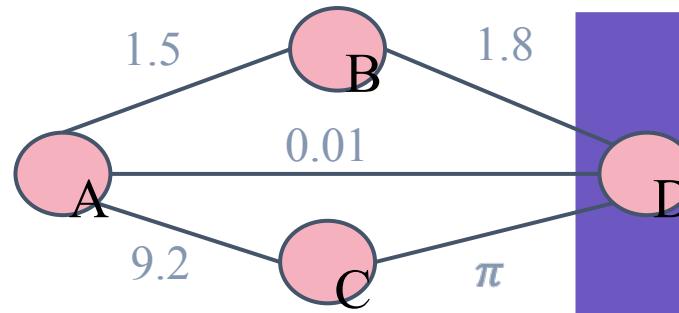
UNWEIGHTED
GRAPHS

Transform input into a form
we can feed into the algorithm

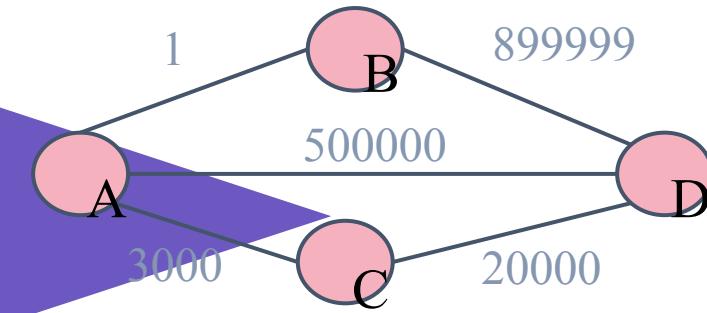
Run the algorithm:
Unweighted Shortest Paths

Transform output back into the original
form, now with a solution

Idea 1: Change into an unweighted graph



Not possible to convert these to whole numbers of nodes



Even if we can convert, how long will converting take? That's so many nodes to create.

- Unfortunately, looks like we can't use this reduction here.
 - Note: we'll see *good* examples of reductions later on!
- **Idea 2:** Could we change the order that we visit nodes to take edge weights into account?

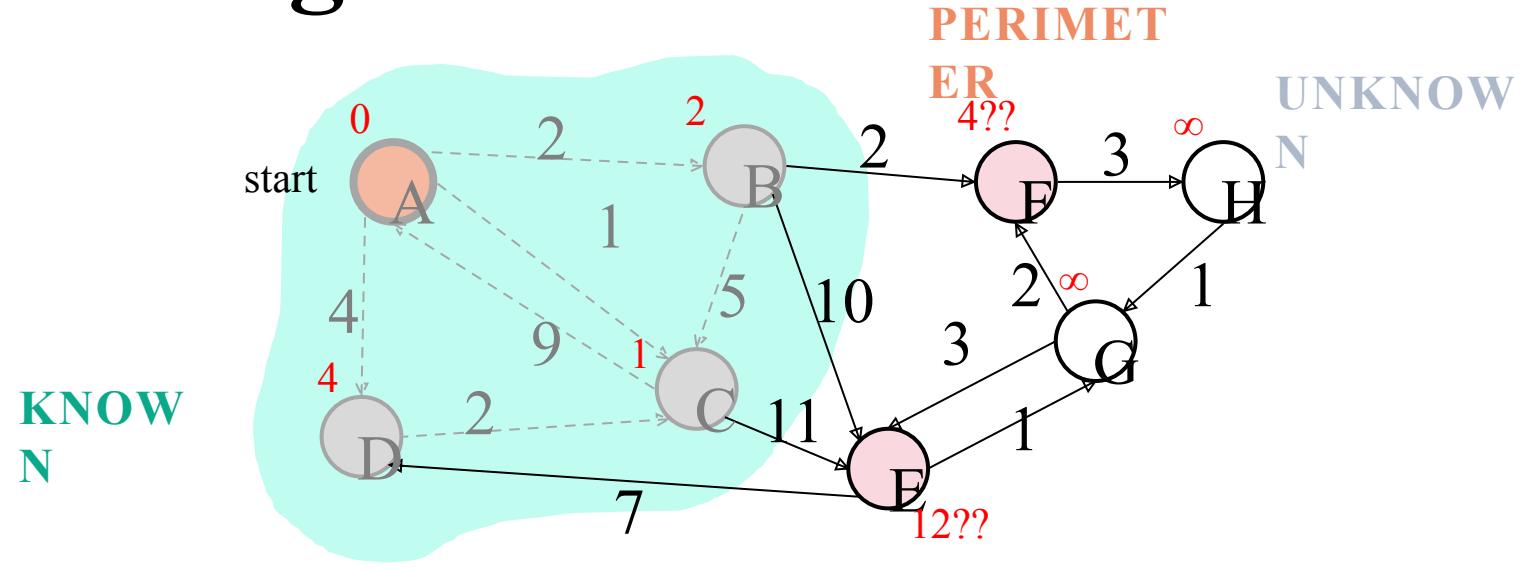
Lecture Outline

- ***Review*** DFS, BFS, Unweighted Shortest Paths
- Weighted Shortest Path Problem
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- **Dijkstra's Algorithm**
 - Definition & Examples 
 - Implementing Dijkstra's

Dijkstra's Algorithm

- Named after its inventor, Edsger Dijkstra (1930-2002)
 - Truly one of the “founders” of computer science
 - 1972 Turing Award
 - This algorithm is just *one* of his many contributions!
 - Example quote: “Computer science is no more about computers than astronomy is about telescopes”
- The idea: reminiscent of BFS, but adapted to handle weights
 - Grow the set of nodes whose shortest distance has been computed
 - Nodes not in the set will have a “best distance so far”

Dijkstra's Algorithm: Idea



- Initialization:
 - Start vertex has distance **0**; all other vertices have distance ∞
- At each step:
 - Pick closest unknown vertex v
 - Add it to the “cloud” of known vertices
 - Update “best-so-far” distances for vertices with edges from v

Dijkstra's Pseudocode (High-Level)

Similar to “visited” in BFS,
“known” is nodes that are
finalized (we know their path)

Dijkstra’s algorithm is all about
updating “best-so-far” in distTo
if we find shorter path! Init all
paths to infinite.

Order matters: always visit
closest first!

Consider all vertices reachable
from me: would getting there
through me be a shorter path
than they currently know
about?

- Suppose we already visited B, $\text{distTo}[D] = 7$
- Now considering edge (C, D):
 - $\text{oldDist} = 7$
 - $\text{newDist} = 3 + 1$
 - That’s better! Update $\text{distTo}[D]$, $\text{edgeTo}[D]$

dijkstraShortestPath(G graph, V start)

Set known; Map edgeTo , distTo ;
initialize distTo with all nodes mapped to ∞ , except start to 0

while (there are unknown vertices):

let u be the closest unknown vertex

known.add(u);

for each edge (u,v) from u with weight w:

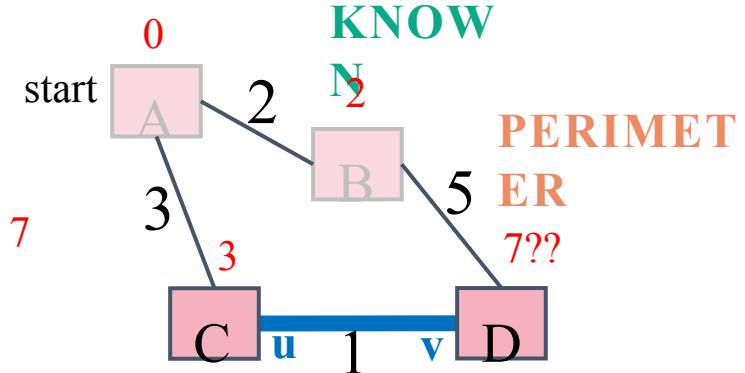
$\text{oldDist} = \text{distTo.get}(v)$ // previous best path to v

$\text{newDist} = \text{distTo.get}(u) + w$ // what if we went through u?

if ($\text{newDist} < \text{oldDist}$):

$\text{distTo.put}(v, \text{newDist})$

$\text{edgeTo.put}(v, u)$



Dijkstra's Algorithm: Key Properties

- Once a vertex is marked known, its shortest path is known
 - Can reconstruct path by following back-pointers (in edgeTo map)
- While a vertex is not known, another shorter path might be found
 - We call this update **relaxing** the distance because it only ever shortens the current best path
- Going through closest

```
dijkstraShortestPath(G graph, V start)
```

```
Set known; Map edgeTo, distTo;
```

```
initialize distTo with all nodes mapped to  $\infty$ , except start to 0
```

```
while (there are unknown vertices):
```

```
    let u be the closest unknown vertex
```

```
    known.add(u)
```

```
    for each edge (u,v) to unknown v with weight w:
```

```
        oldDist = distTo.get(v) // previous best path to v
```

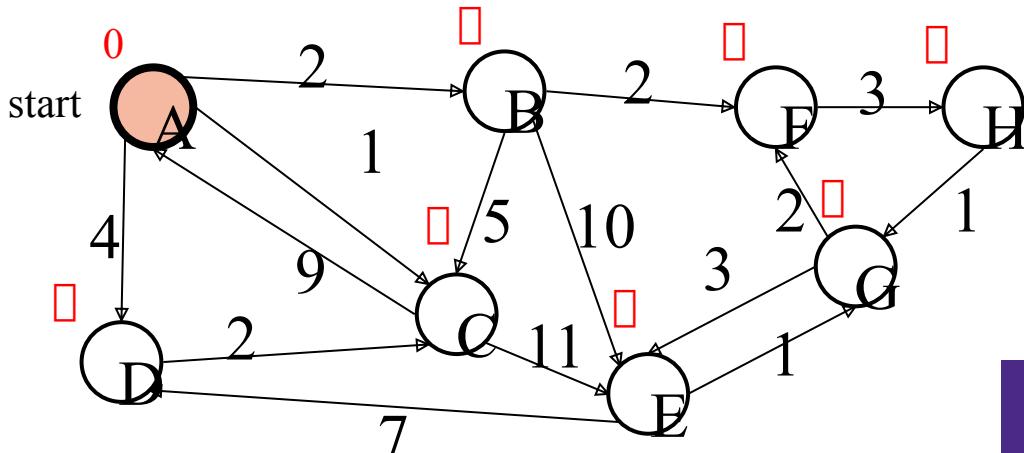
```
        newDist = distTo.get(u) + w // what if we went through u?
```

```
        if (newDist < oldDist):
```

```
            distTo.put(v, newDist)
```

```
            edgeTo.put(v, u)
```

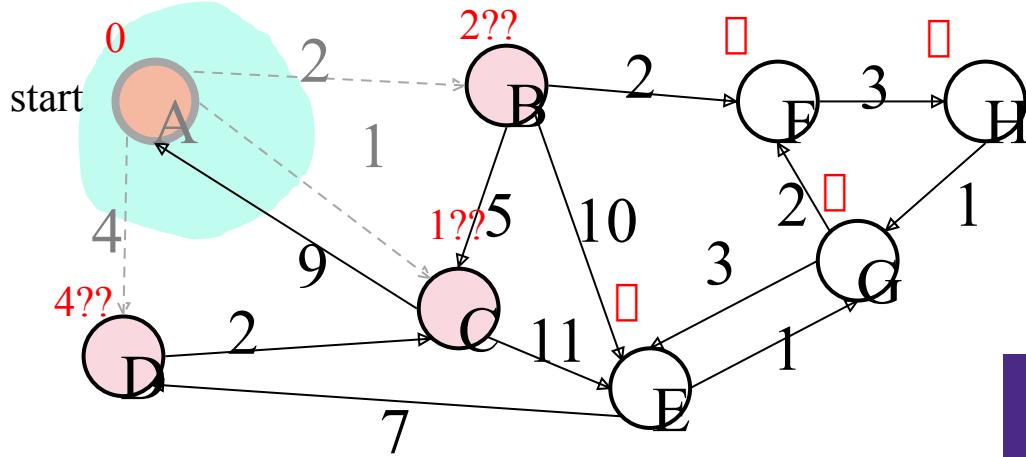
Dijkstra's Algorithm: Example #1



Order Added to
Known Set:

Vertex	Known ?	distTo	edgeTo
A			
B			
C			
D			
E			
F			
G			
H			

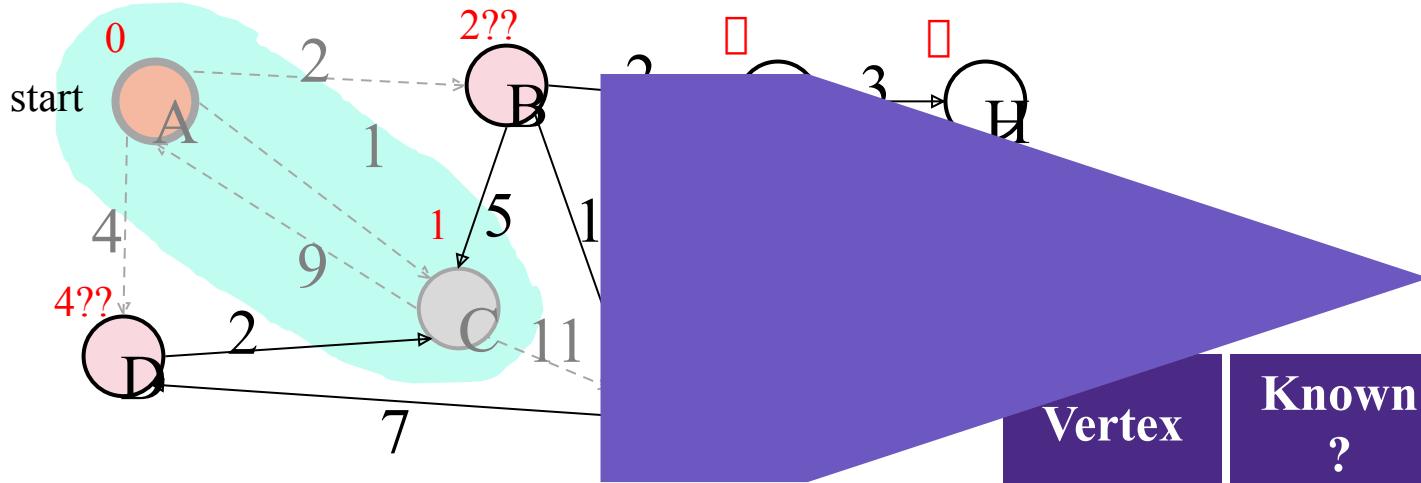
Dijkstra's Algorithm: Example #1



Order Added to
Known Set:
A

Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B		2	A
C		1	A
D		4	A
E			
F			
G			
H			

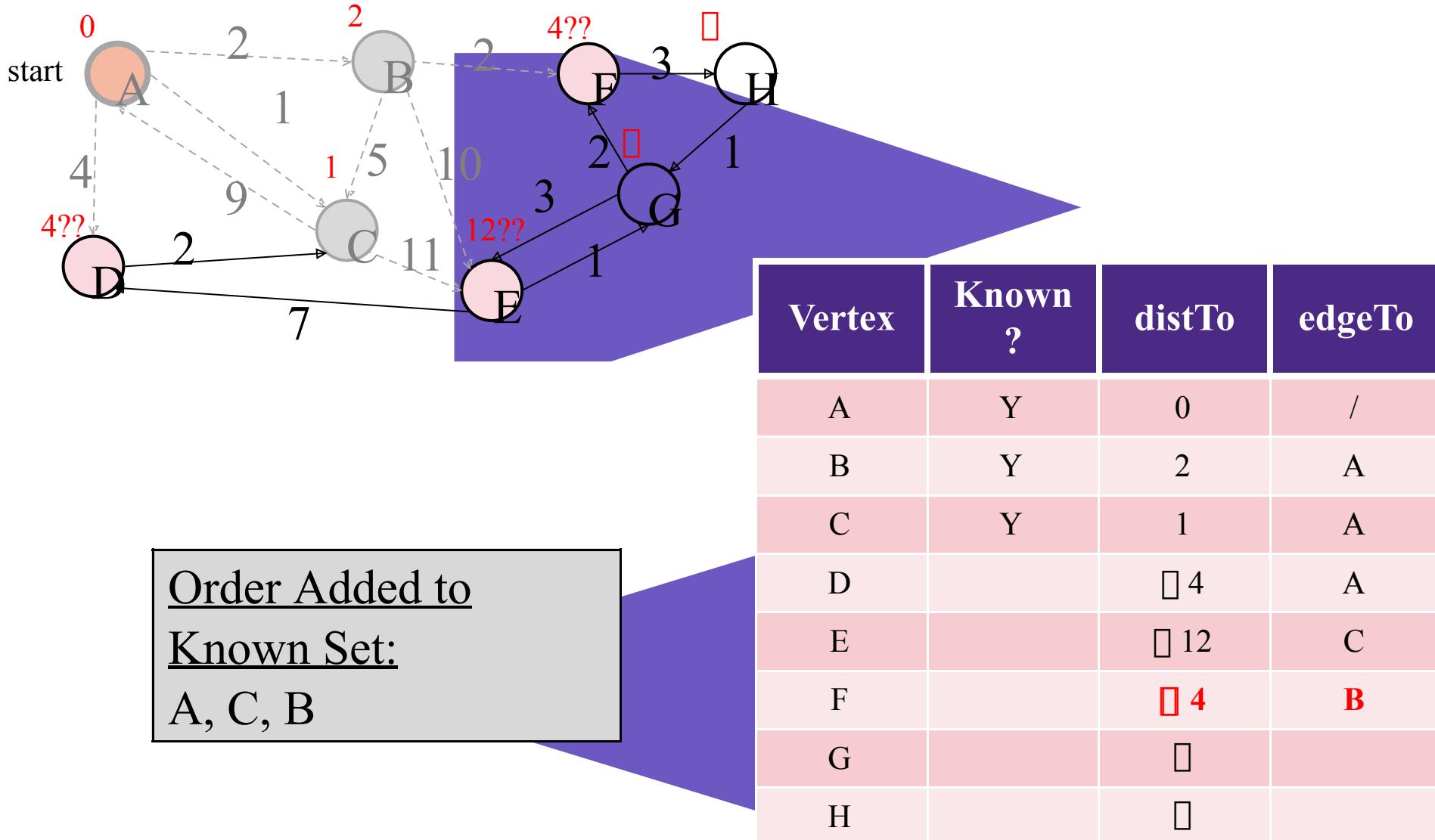
Dijkstra's Algorithm: Example #1



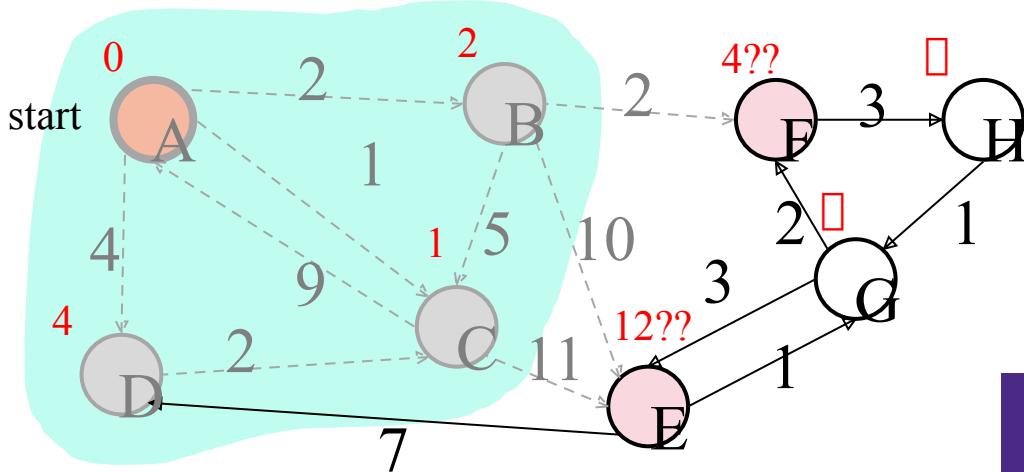
Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B		□ 2	A
C	Y	1	A
D		□ 4	A
E		□ 12	C
F		□	
G		□	
H		□	

Order Added to Known Set:
A, C

Dijkstra's Algorithm: Example #1



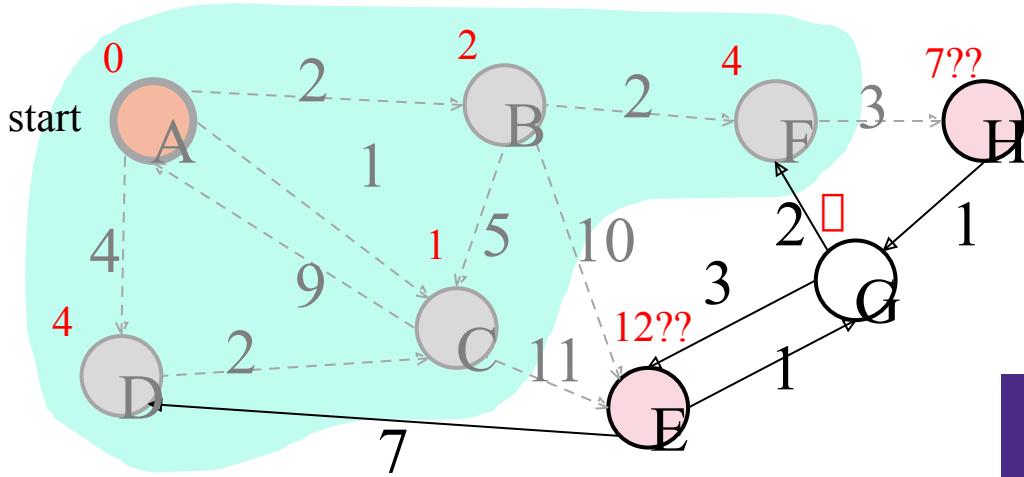
Dijkstra's Algorithm: Example #1



Order Added to Known Set:
A, C, B, D

Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B	Y	2	A
C	Y	1	A
D	Y	4	A
E		12	C
F		4	B
G			
H			

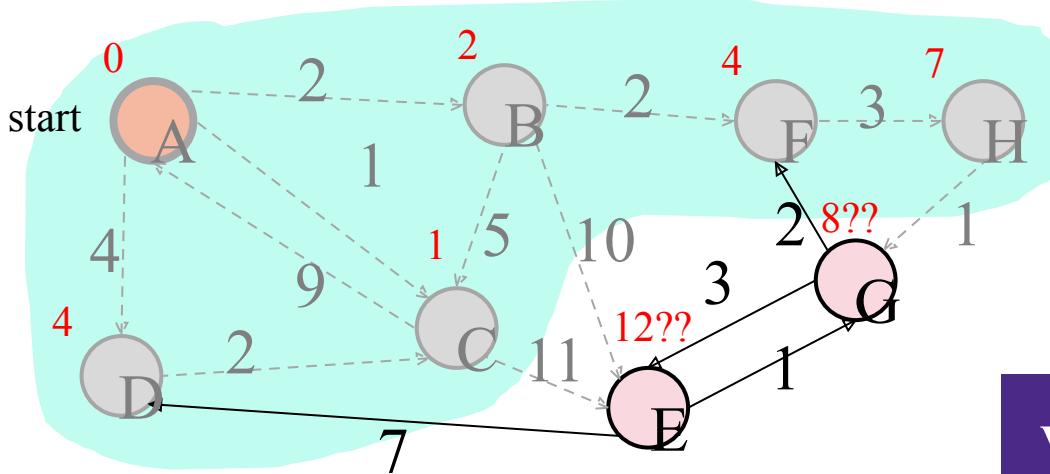
Dijkstra's Algorithm: Example #1



Order Added to
Known Set:
A, C, B, D, F

Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B	Y	2	A
C	Y	1	A
D	Y	4	A
E		12	C
F	Y	4	B
G		7	
H		7	F

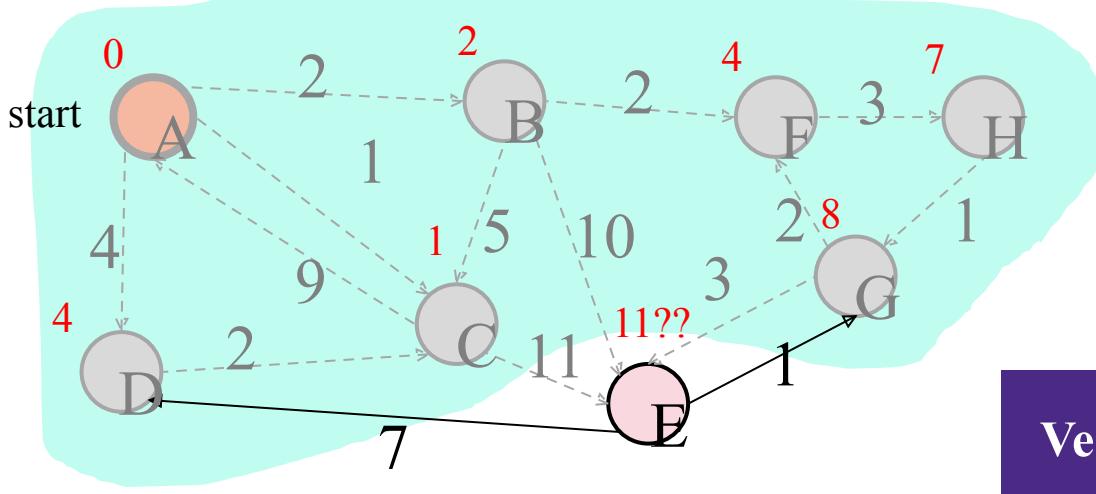
Dijkstra's Algorithm: Example #1



Order Added to Known Set:
A, C, B, D, F, H

Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B	Y	2	A
C	Y	1	A
D	Y	4	A
E		12	C
F	Y	4	B
G		8	H
H	Y	7	F

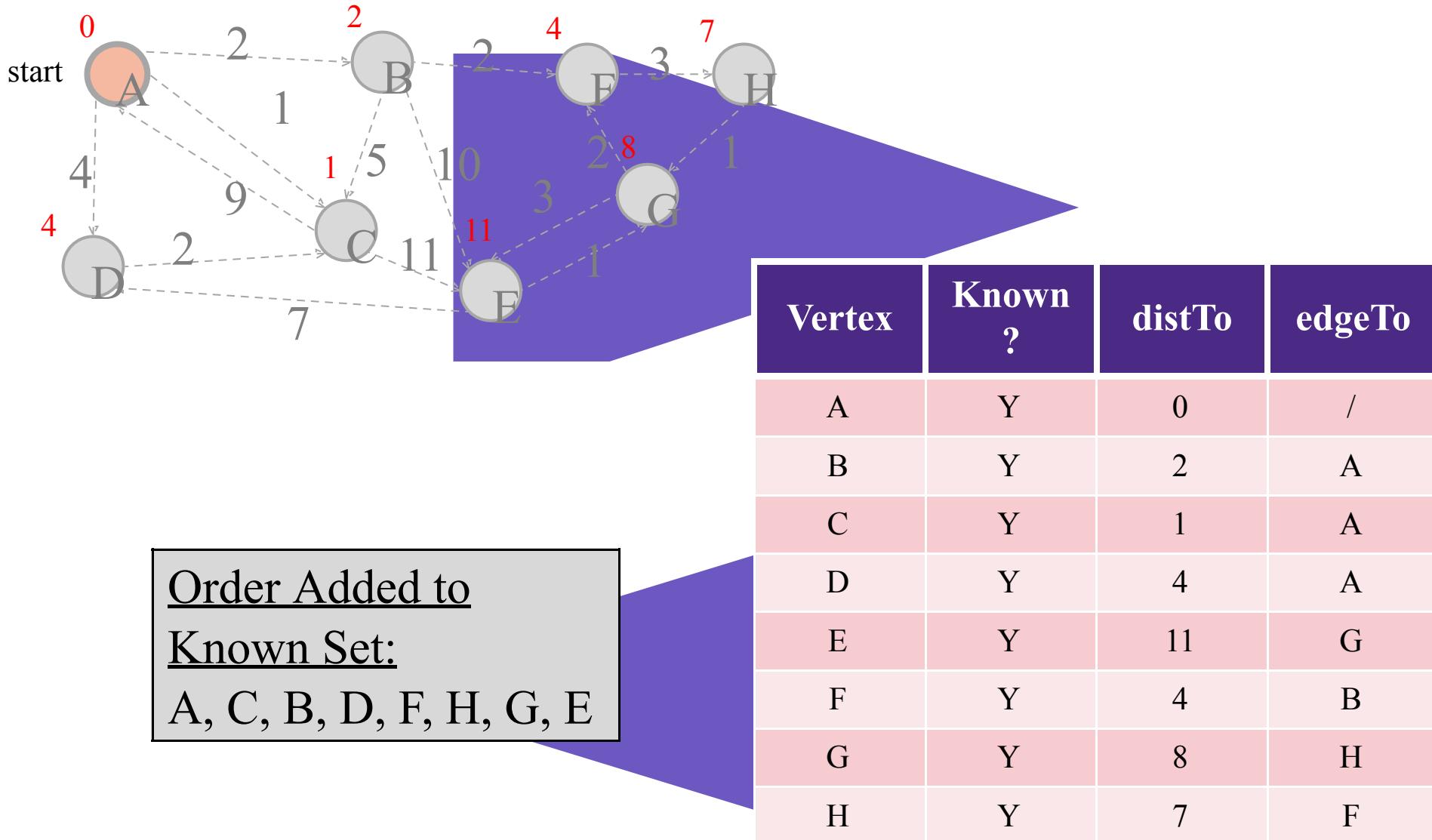
Dijkstra's Algorithm: Example #1



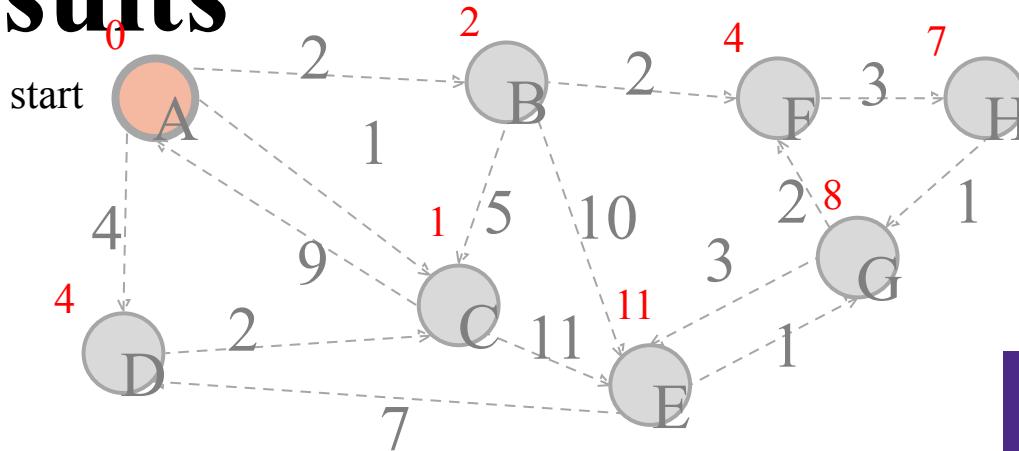
Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B	Y	2	A
C	Y	1	A
D	Y	4	A
E	Y	11	G
F	Y	4	B
G	Y	8	H
H	Y	7	F

Order Added to Known Set:
A, C, B, D, F, H, G

Dijkstra's Algorithm: Example #1



Dijkstra's Algorithm: Interpreting the Results



Order Added to Known Set:
A, C, B, D, F, H, G, E

Now that we're done, how do we get the path from A to E?

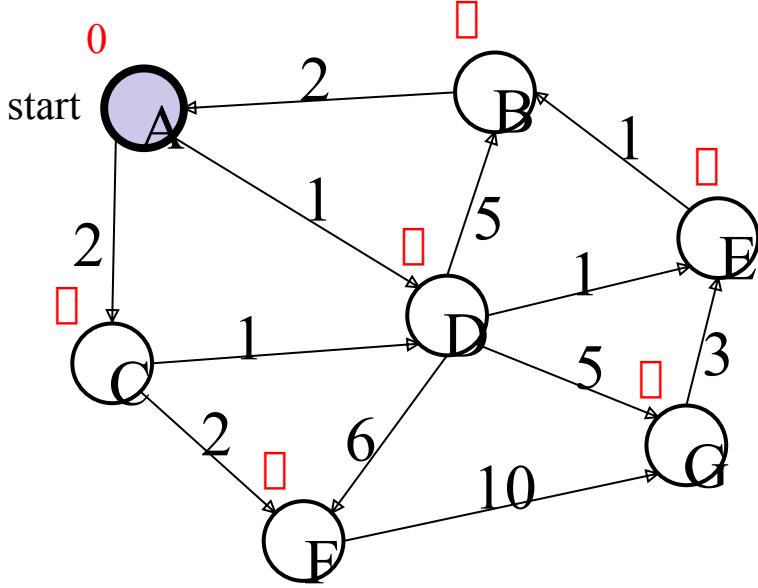
- Follow edgeTo backpointers!
- distTo and edgeTo make up the **shortest path tree**

Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B	Y	2	A
C	Y	1	A
D	Y	4	A
E	Y	11	G
F	Y	4	B
G	Y	8	H
H	Y	7	F

Review: Key Features

- Once a vertex is marked known, its shortest path is known
 - Can reconstruct path by following backpointers
- While a vertex is not known, another shorter path might be found!
- The “Order Added to Known Set” is unimportant
 - A detail about how the algorithm works (*client doesn't care*)
 - Not used by the algorithm (*implementation doesn't care*)
 - It is sorted by path-distance; ties are resolved “somehow”
- If we only need path to a specific vertex, can stop early once that vertex is known
 - Because its shortest path cannot change!
 - Return a partial **shortest path tree**

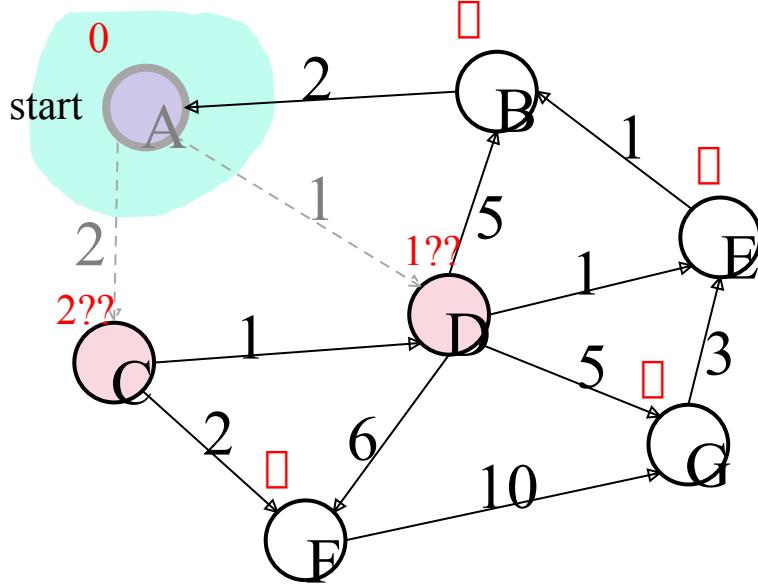
Dijkstra's Algorithm: Example #2



Order Added to
Known Set:

Vertex	Known ?	distTo	edgeTo
A			
B			
C			
D			
E			
F			
G			

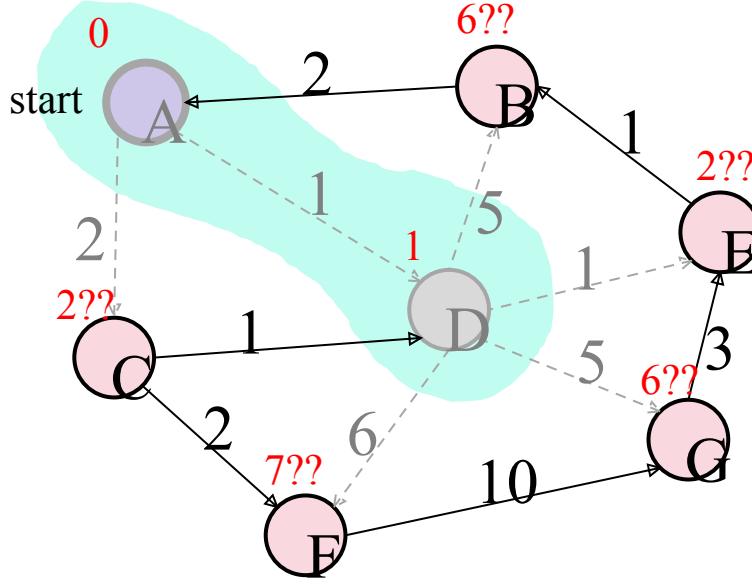
Dijkstra's Algorithm: Example #2



Order Added to
Known Set:
A

Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B			
C		2	A
D		1	A
E			
F			
G			

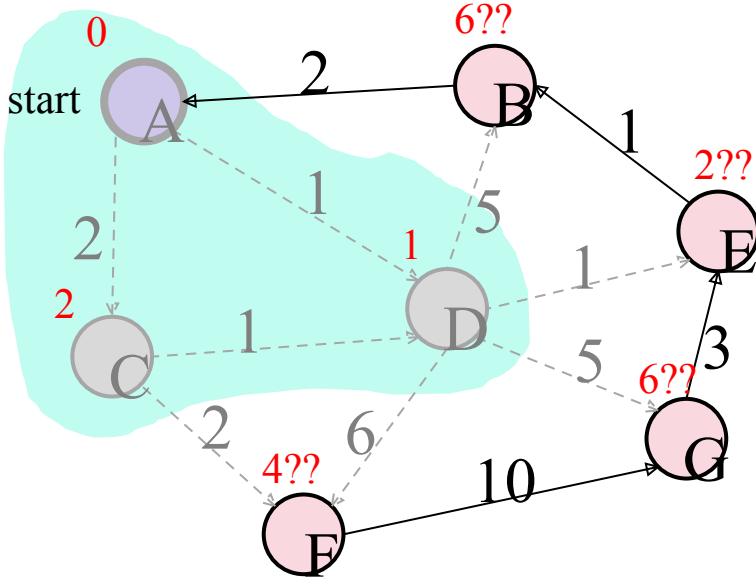
Dijkstra's Algorithm: Example #2



Order Added to
Known Set:
A, D

Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B		6	D
C		2	A
D	Y	1	A
E		2	D
F		7	D
G		6	D

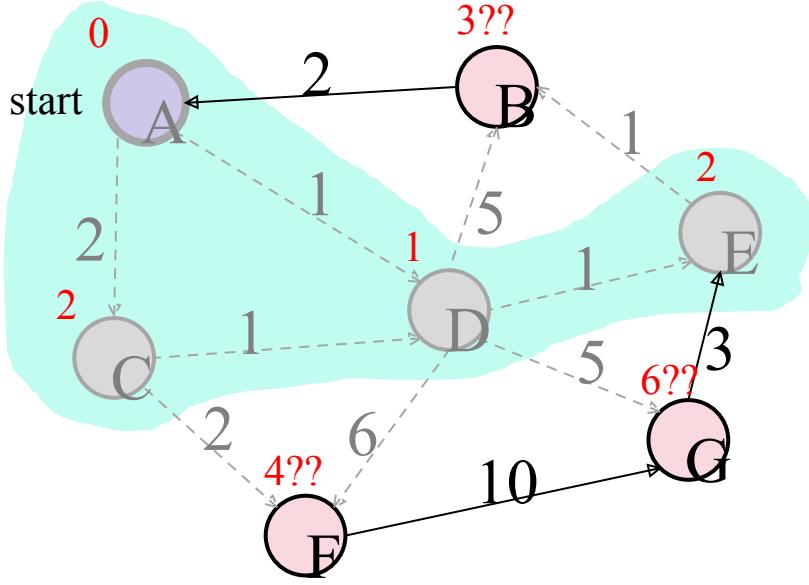
Dijkstra's Algorithm: Example #2



Order Added to Known Set:
A, D, C

Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B		□ 6	D
C	Y	2	A
D	Y	1	A
E		□ 2	D
F		□ 4	C
G		□ 6	D

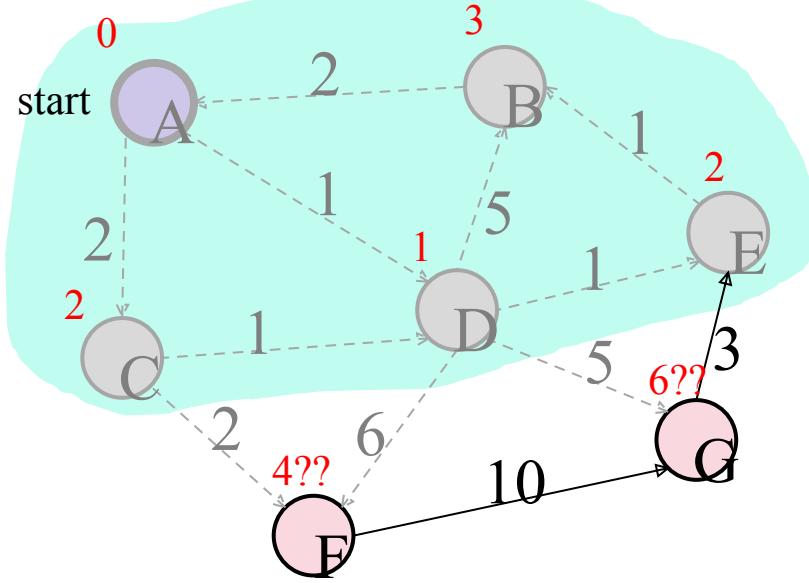
Dijkstra's Algorithm: Example #2



Order Added to Known Set:
A, D, C, E

Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B		?? 3	E
C	Y	2	A
D	Y	1	A
E	Y	2	D
F		?? 4	C
G		?? 6	D

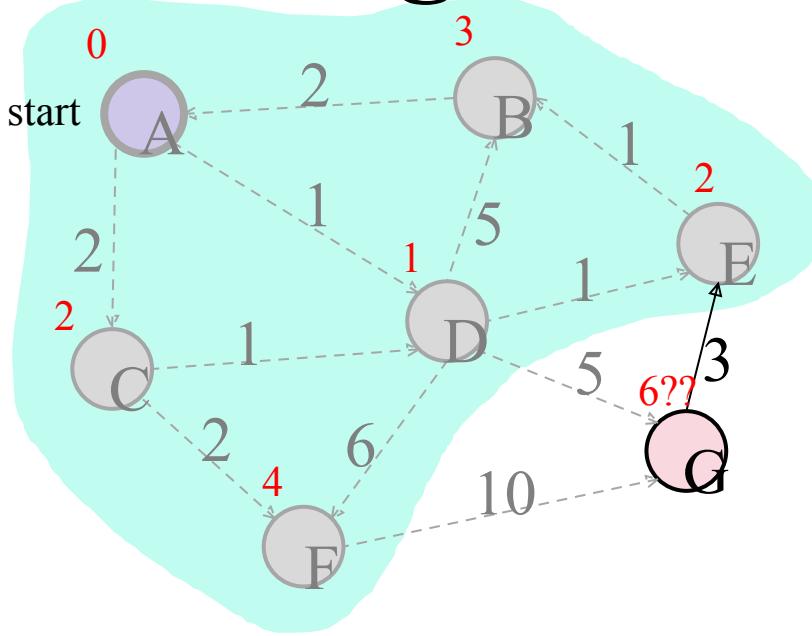
Dijkstra's Algorithm: Example #2



Order Added to Known Set:
A, D, C, E, B

Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B	Y	3	E
C	Y	2	A
D	Y	1	A
E	Y	2	D
F		4	C
G		6	D

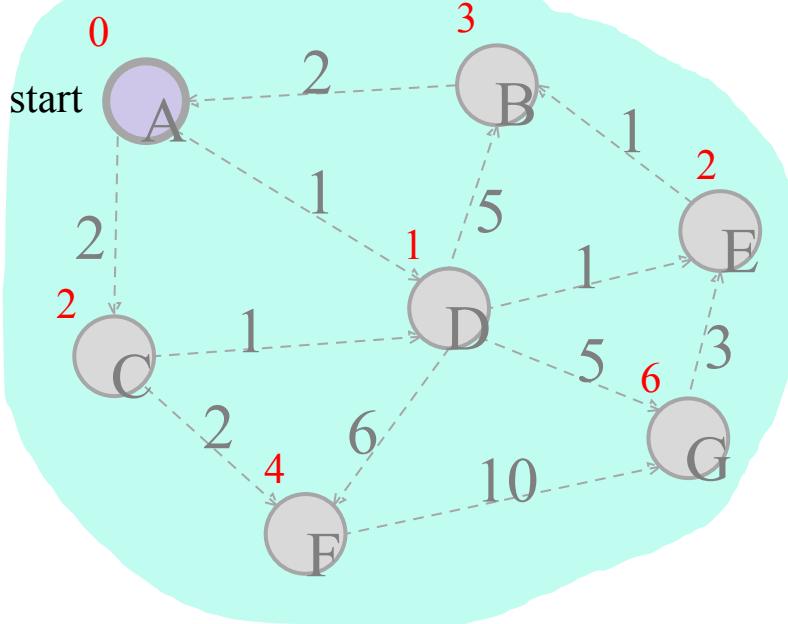
Dijkstra's Algorithm: Example #2



Order Added to Known Set:
A, D, C, E, B, F

Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B	Y	3	E
C	Y	2	A
D	Y	1	A
E	Y	2	D
F	Y	4	C
G		6	D

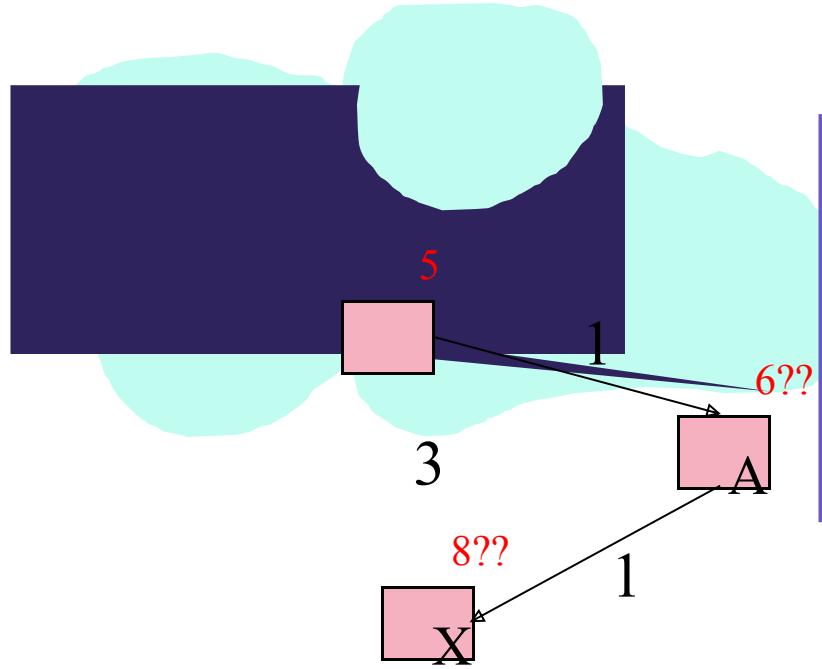
Dijkstra's Algorithm: Example #2



Order Added to Known Set:
A, D, C, E, B, F, G

Vertex	Known ?	distTo	edgeTo
A	Y	0	/
B	Y	3	E
C	Y	2	A
D	Y	1	A
E	Y	2	D
F	Y	4	C
G	Y	6	D

Why Does Dijkstra's Work?



Dijkstra's Algorithm Invariant
INVARIA~~N~~T All vertices in the “known” set have the correct shortest path

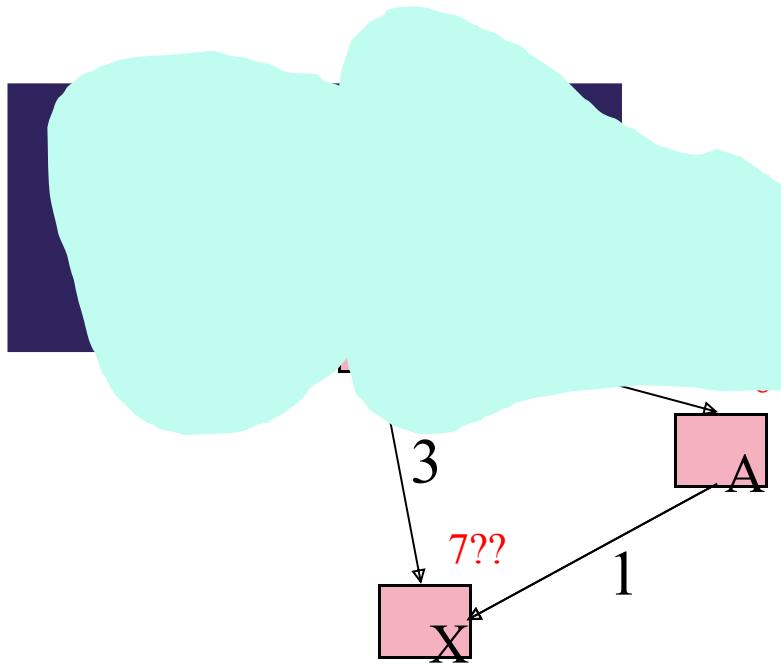
- Similar “First Try Phenomenon” to BFS
- How can we be sure we won’t find a shorter path to X later?

- - - - -
IF we always add the shortest vertex to the known set
THEN by the time we relax a vertex, we will have found the shortest!

Example:

- We’re about to add X to the known set
- But how can we be sure we won’t later find a path through some node A that is shorter to X?

Why Does Dijkstra's Work?



Dijkstra's Algorithm Invariant

All vertices in the “known” set have the correct shortest distance from the source.

“First Try Phenomenon” to BFS

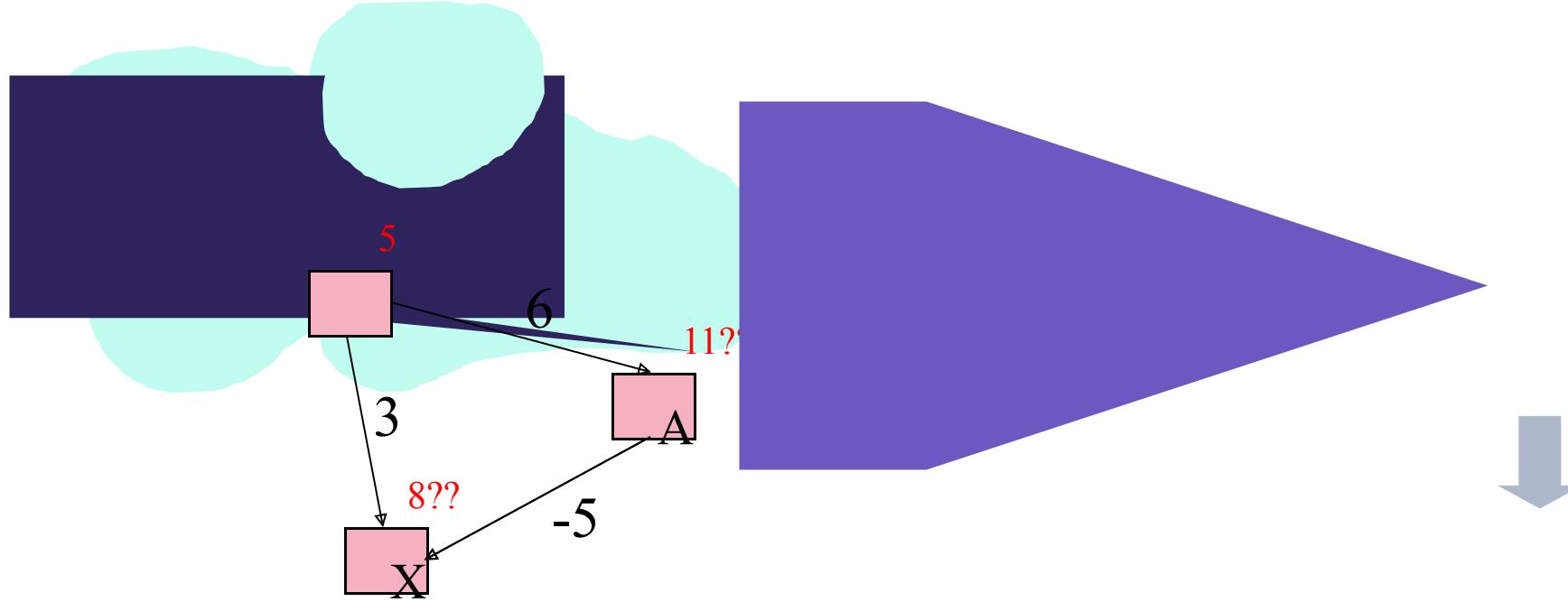
- How can we be sure we won't find a shorter path to X later?

- **Key Intuition:** Dijkstra's works because:
- It always adds the closest vertices to “known” first,
- Once a vertex is added, any possible paths are opened and the path we know is *always* the shortest.

Example:

- We're about to add X to the known set
- But how can we be sure we won't later find a path through some node A that is shorter to X?

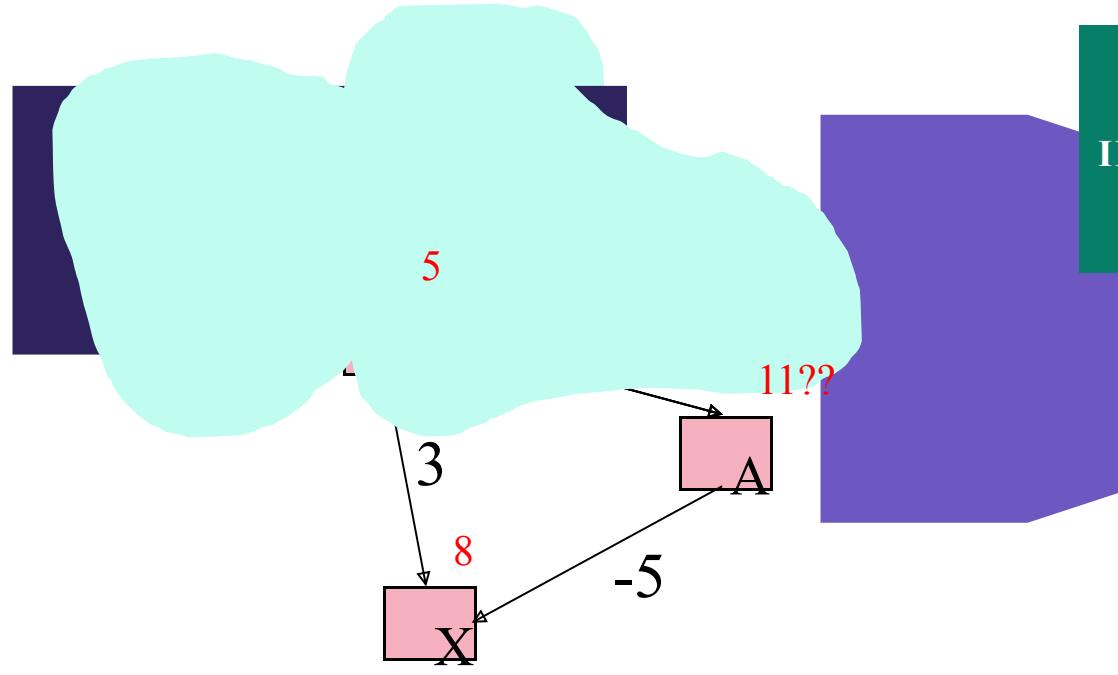
When *Doesn't* Dijkstra's Work?



Example:

- Which vertex do we add first?
 - X, using edge 3, because $8 < 11$

When *Doesn't* Dijkstra's Work?



Example:

- Which vertex do we add first?
 - X, using edge 3, because $8 < 11$
- Is 8 the correct shortest path length to X?
 - No! Going through A, we could have gotten a path of length 6

Dijkstra's Algorithm Invariant
INVARIANT All vertices in the “known” set have the correct shortest path



- Dijkstra's Algorithm is not guaranteed to work on graphs with **negative edge weights**
 - It *can* work, but is fooled when a negative edge “hides” behind a large edge weight
 - Will still run, but give wrong answer

Lecture Outline

- ***Review*** DFS, BFS, Unweighted Shortest Paths
- Weighted Shortest Path Problem
- Reductions: Weighted \square Unweighted
- **Dijkstra's Algorithm**
 - Definition & Examples
 - **Implementing Dijkstra's**



Implementing Dijkstra's

- How do we implement “let u be the closest unknown vertex”?
- Would sure be convenient to store vertices in a structure that...
 - Gives them each a distance “priority” value
 - Makes it fast to grab the one with the smallest distance

MIN PRIORITY QUEUE ADT

distance as we discover new, better

41

```
dijkstraShortestPath(G graph, V start)
Set known; Map edgeTo, distTo;
initialize distTo with all nodes mapped to  $\infty$ , except start to 0

while (there are unknown vertices):
    let u be the closest unknown vertex
    known.add(u)
    for each edge (u,v) to unknown v with weight w:
        oldDist = distTo.get(v)    // previous best path to v
        newDist = distTo.get(u) + w // what if we went through u?
        if (newDist < oldDist):
            distTo.put(v, newDist)
            edgeTo.put(v, u)
```

Implementing Dijkstra's: Pseudocode

- Use a MinPriorityQueue to keep track of the perimeter
 - Don't need to track entire graph
 - Don't need separate "known" set – implicit in PQ (we'll never try to update a "known" vertex)
- This pseudocode is much closer to what you'll implement in P4
 - However, still some

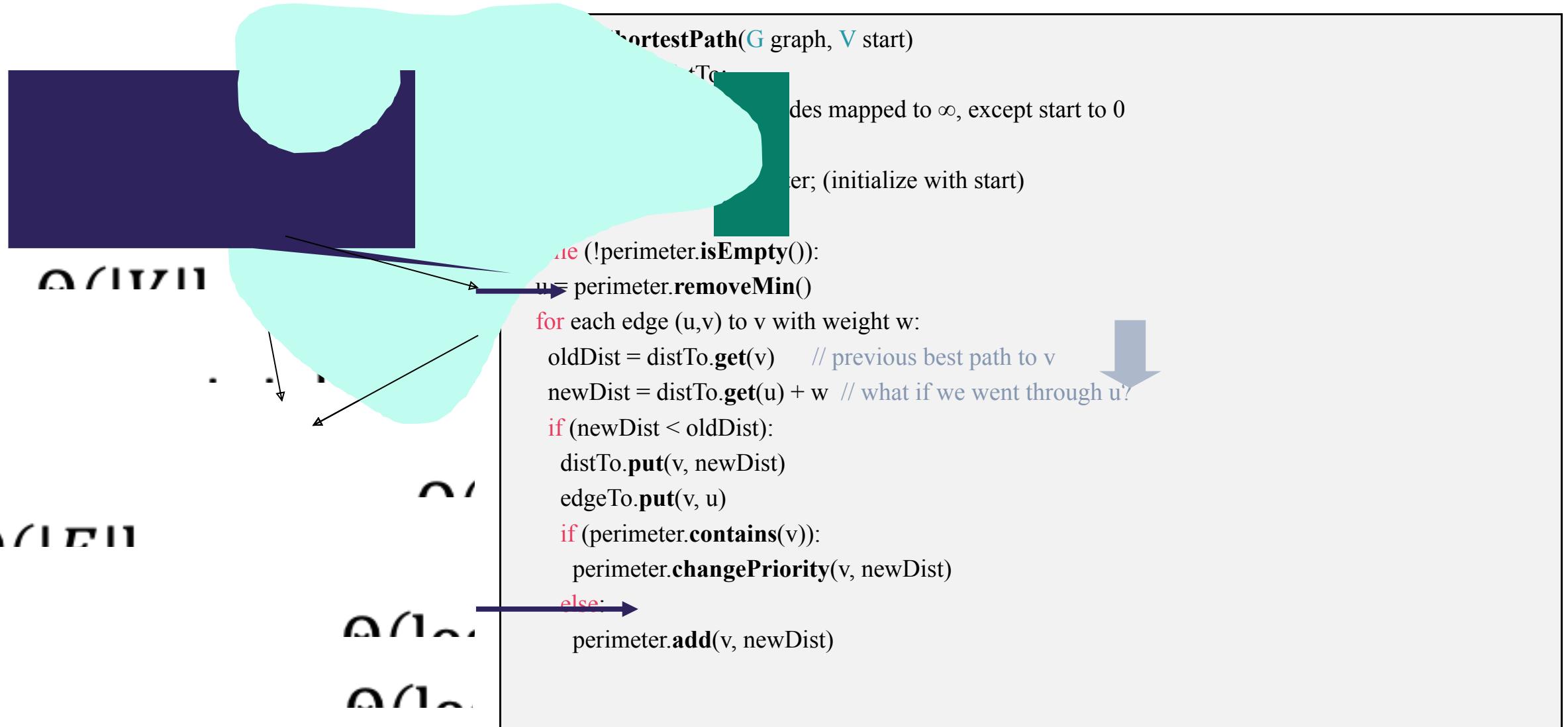
```
dijkstraShortestPath(G graph, V start)
Map edgeTo, distTo;
initialize distTo with all nodes mapped to  $\infty$ , except start to 0

PriorityQueue<V> perimeter; (initialize with start)

while (!perimeter.isEmpty()):
    u = perimeter.removeMin()

    for each edge (u,v) to v with weight w:
        oldDist = distTo.get(v)    // previous best path to v
        newDist = distTo.get(u) + w // what if we went through u?
        if (newDist < oldDist):
            distTo.put(v, newDist)
            edgeTo.put(v, u)
            if (perimeter.contains(v)):
                perimeter.changePriority(v, newDist)
            else:
                perimeter.add(v, newDist)
```

Dijkstra's Runtime



Topological Sort

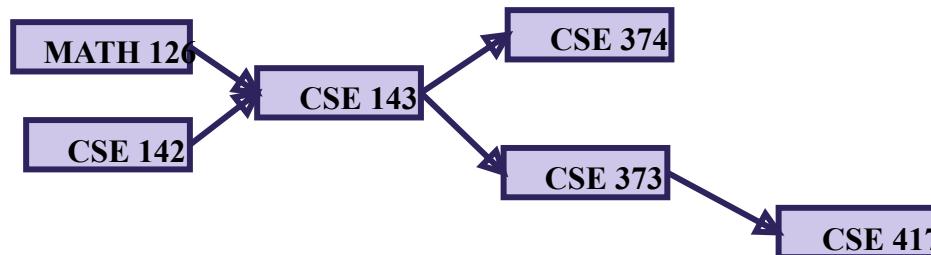
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Minimum Spanning Trees

Slides from UW + UC Berkeley

Sorting Dependencies

- Given a set of courses and their prerequisites, find an order to take the courses in (assuming you can only take one course per quarter)

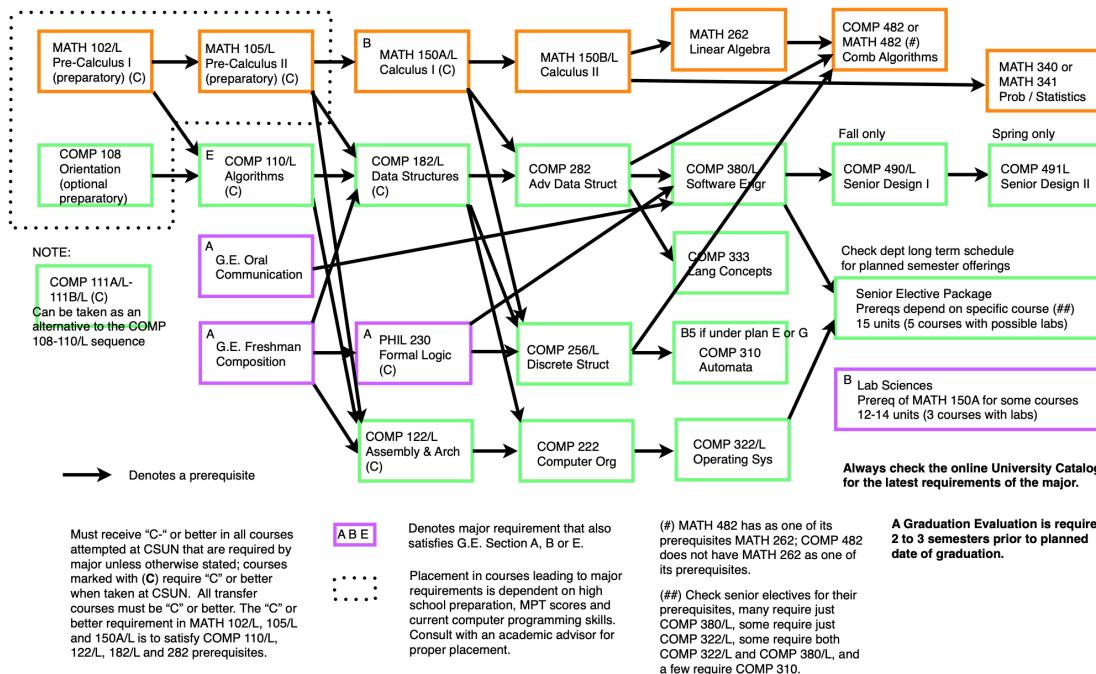


- Possible ordering:



CSU Northridge, Computer Science Department COMPUTER SCIENCE MAJOR

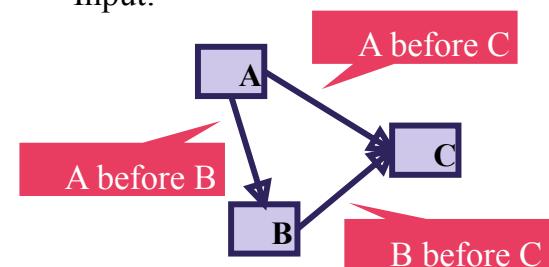
2021-23 Catalog Requirements



Topological Sort

- A **topological sort** of a directed graph G is an ordering of the nodes, where for every edge in the graph, the origin appears before the destination in the ordering
- Intuition: a “dependency graph”
 - An edge (u, v) means u must happen before v
 - A topological sort of a dependency graph gives an ordering that **respects dependencies**
- Applications:
 - Graduating
 - Compiling multiple Java files
 - Multi-job Workflows

Input:



Topological Sort:



With original edges for reference:



Can We Always Topo Sort a Graph?

- Can you topologically sort this graph?

CSE 373

🤔 *Where do I
start?*

CSE 143

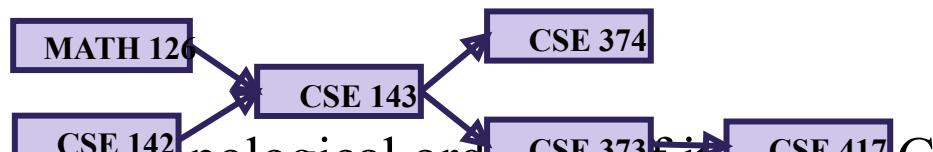
Where do I end?

🤔
CSE 417

No 😞

- What's the difference between this graph and our first graph?

- A graph has a topological ordering if it is a DAG
- But a DAG can have multiple orderings



DIRECTED ACYCLIC
GRAPH

A directed graph
without any cycles
Edges may or may

not be weighted

How To Perform Topo Sort?

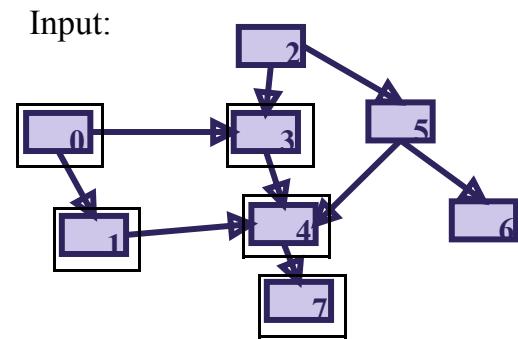
- Topo sort is an ordering problem. Could we use... BFS?

IDEA 1

Performing Topo Sort

Use BFS, starting from a vertex with no incoming edges

Doesn't reach all vertices \square



BFS starting at 0:

0 1 3 4 7

How To Perform Topo Sort?

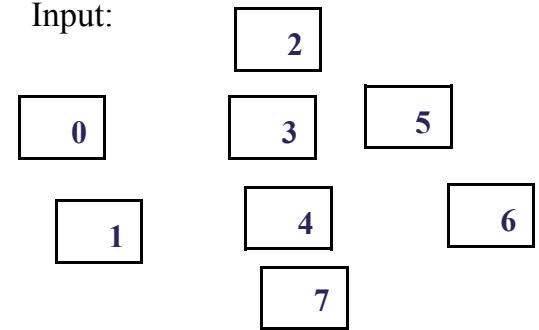
- Okay, there may be multiple “roots”. What if we use BFS multiple times?

IDEA 2

Performing Topo
Sort

Use BFS, starting from ALL
vertices with no incoming edges

Input:



BFS starting at 0:
+ BFS starting at 2:



How To Perform Topo Sort?

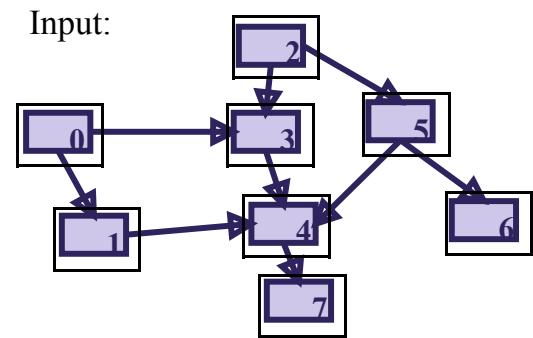
- Okay, there may be multiple “roots”. What if we use BFS multiple times?

IDEA 2

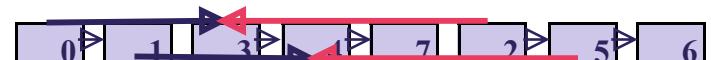
Performing Topo
Sort

Use BFS, starting from ALL
vertices with no incoming edges

Doesn't respect all edges □



BFS starting at 0:
+ BFS starting at 2:



Idea: DFS:

- In DFS, we print a vertex and then recursively call DFS for its adjacent vertices. In topological sorting, we need to print a vertex before its adjacent vertices.

- We can modify DFS to find the Topological Sorting of a graph.
In DFS,

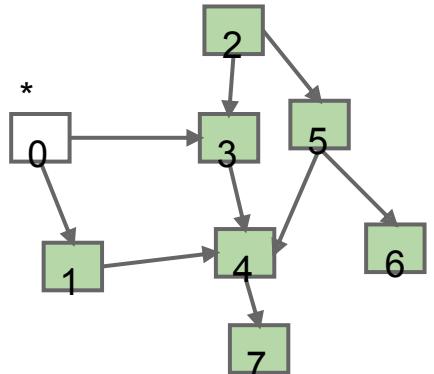
- We start from a vertex, we first print it, and then
 - Recursively call DFS for its adjacent vertices.

- In topological sorting,

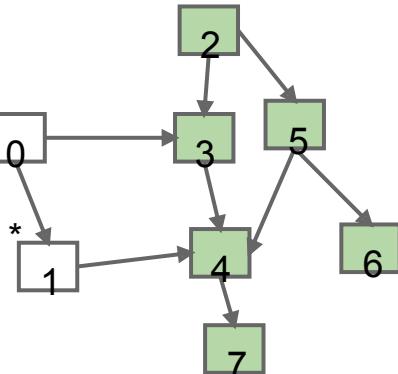
- We use a temporary stack.
 - We don't print the vertex immediately,
 - We first recursively call topological sorting for all its adjacent vertices, then push it to a stack.
 - Finally, print the contents of the stack.



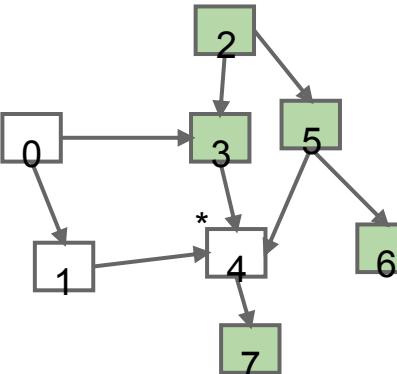
Topological Sort (Demo 1/2)



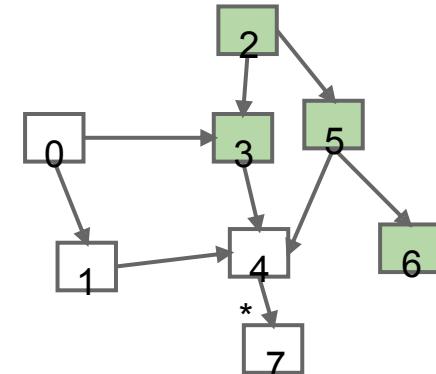
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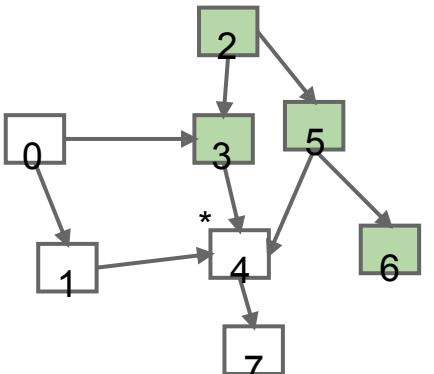
Postorder: []



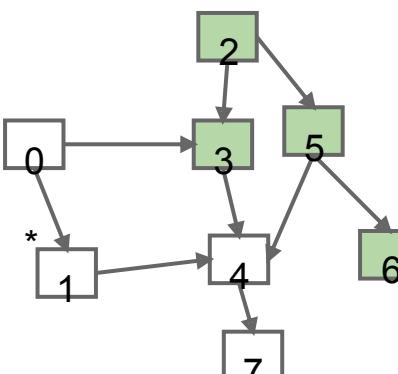
Postorder: []



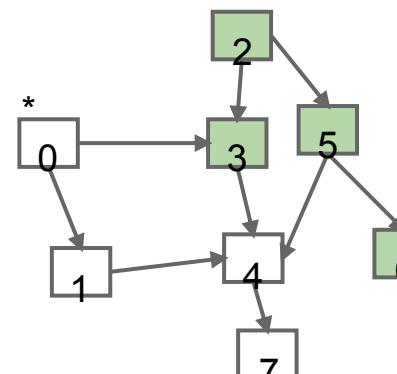
Postorder: [7]



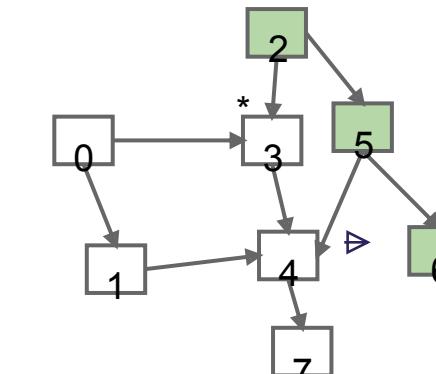
Postorder: [7, 4]



Postorder: [7, 4, 1]

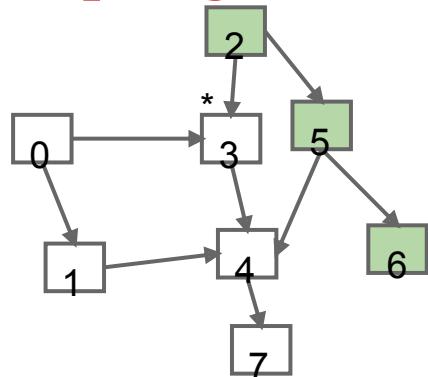


Postorder: [7, 4, 1]

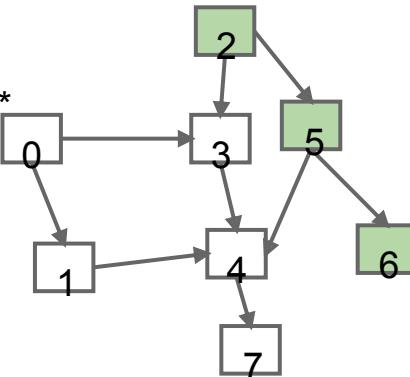


Postorder: [7, 4, 1, 3]

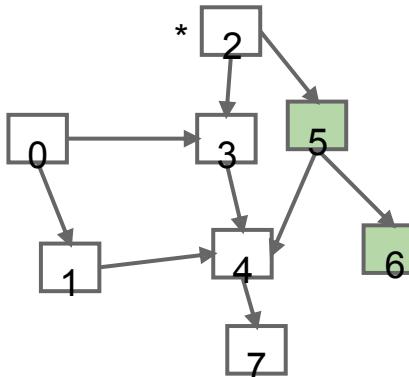
Topological Sort (Demo 2/2)



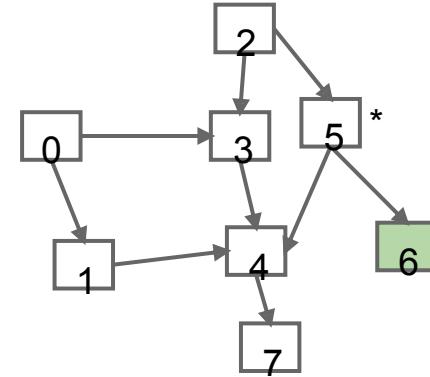
Postorder: [7, 4, 1, 3]



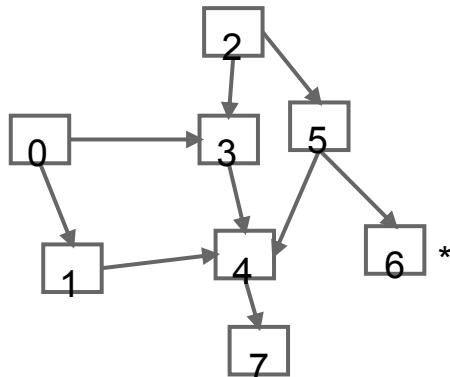
Postorder: [7, 4, 1, 3, 0]



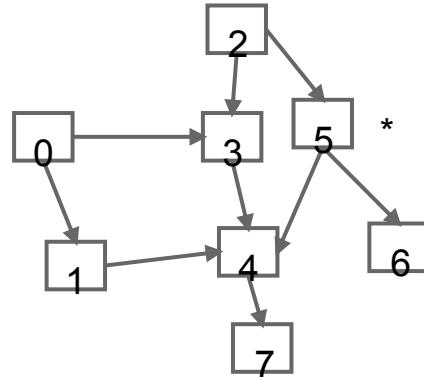
Postorder: [7, 4, 1, 3, 0]



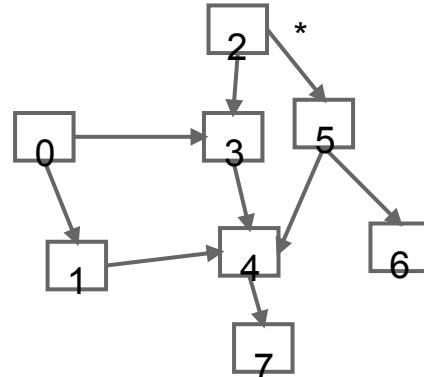
Postorder: [7, 4, 1, 3, 0]



Postorder: [7, 4, 1, 3, 0, 6]

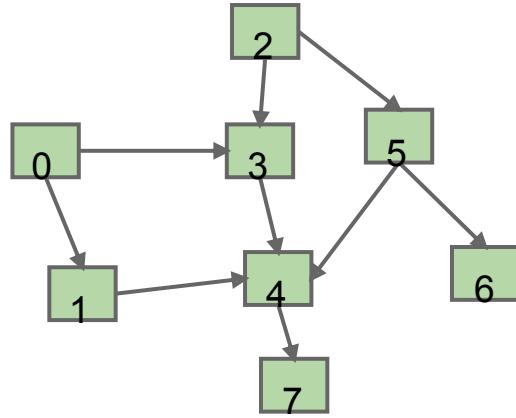


Postorder: [7, 4, 1, 3, 0, 6, 5]



Postorder: [7, 4, 1, 3, 0, 6, 5, 2]

Topological Sort



The reason it's called topological sort: Can think of this process as sorting our nodes so they appear in an order consistent with edges, e.g. [2, 5, 6, 0, 3, 1, 4, 7]

- When nodes are sorted in diagram, arrows all point rightwards.



Minimum Spanning Trees

MST, Cut Property, Generic MST Algorithm

Prim's Algorithm

Kruskal's Algorithm

Spanning Trees

Given an **undirected** graph, a *spanning tree* T is a subgraph of G, where T:

- Is connected.
- Is acyclic.
- Includes all of the vertices.

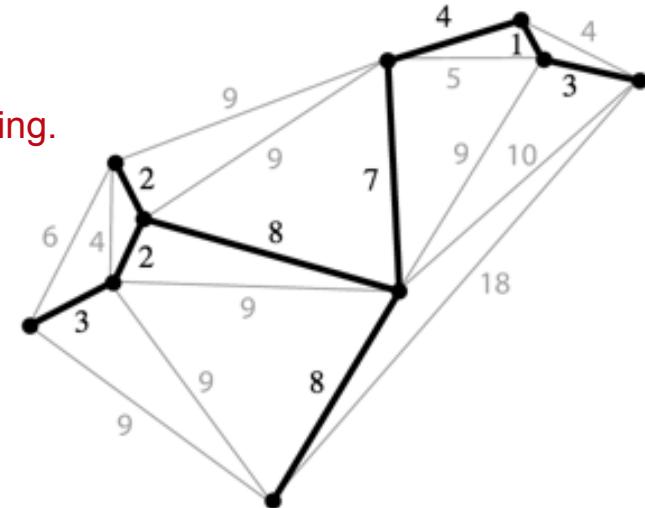
These two properties
make it a tree.

This makes it spanning.



Example:

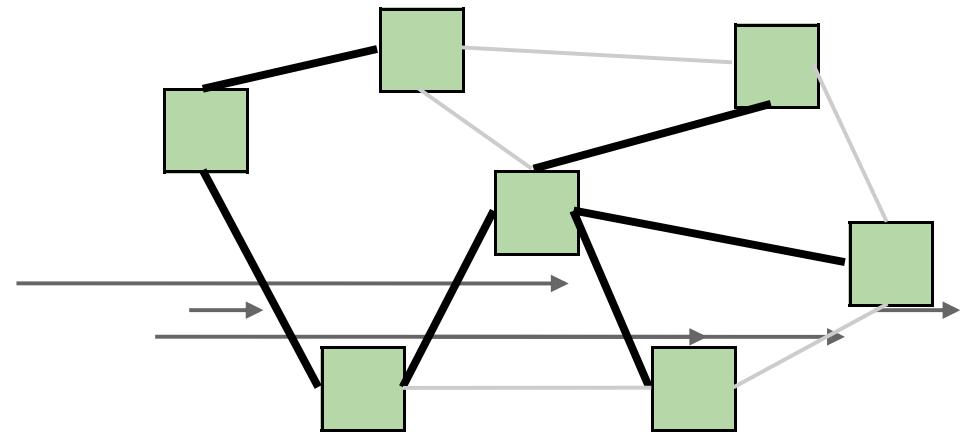
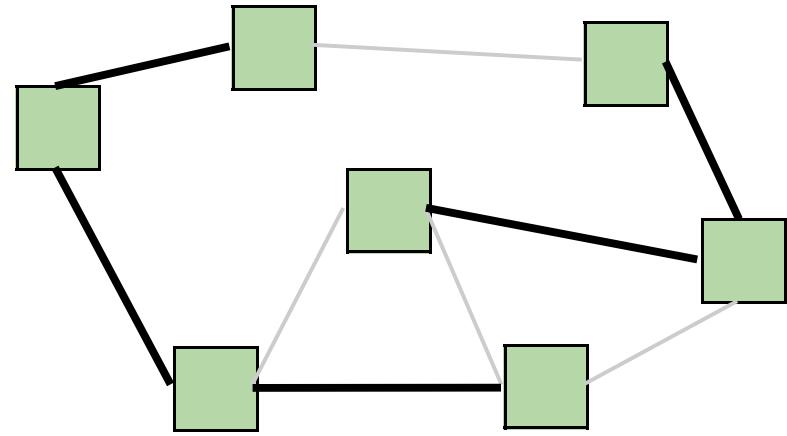
- Spanning tree is the black edges and vertices.



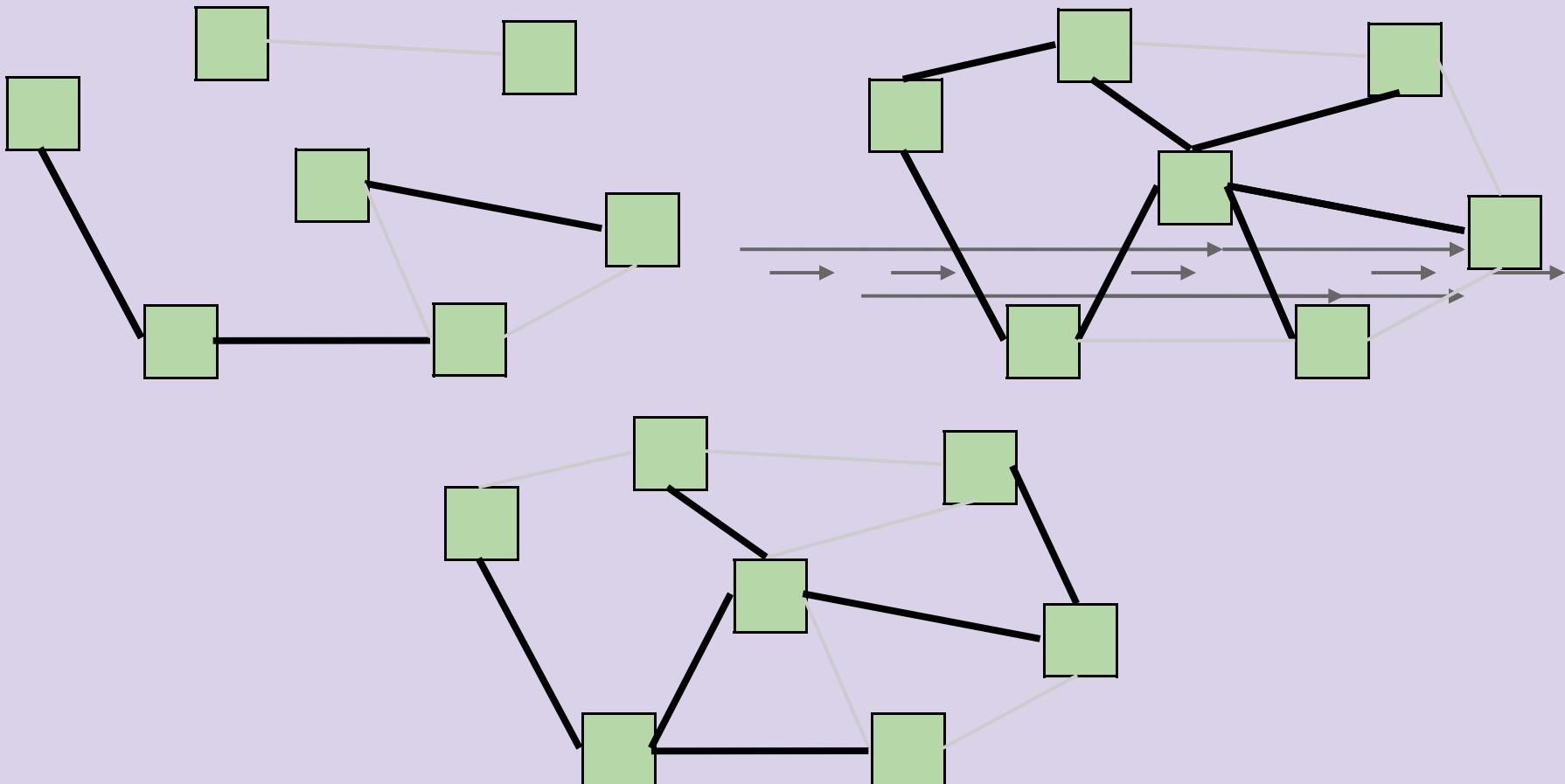
A *minimum spanning tree* is a spanning tree of minimum total weight.

Example: Directly connecting buildings by power lines.

Spanning Trees



How Many are Spanning Trees?



MST Applications

Old school handwriting recognition (left ([link](#)))

Medical imaging (e.g. arrangement of nuclei in cancer cells (right))

For more, see: <http://www.ics.uci.edu/~eppstein/gina/mst.html>

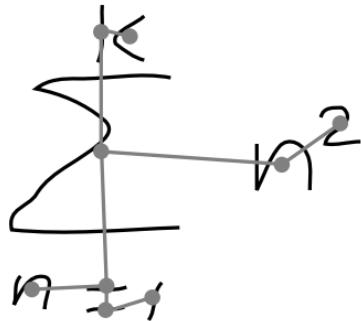
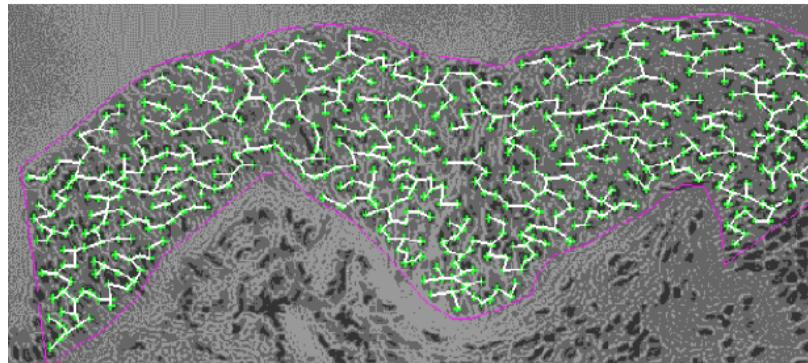
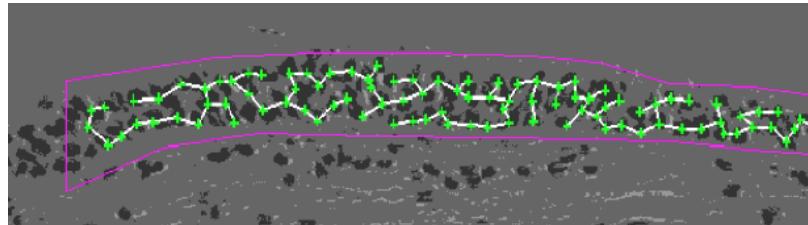
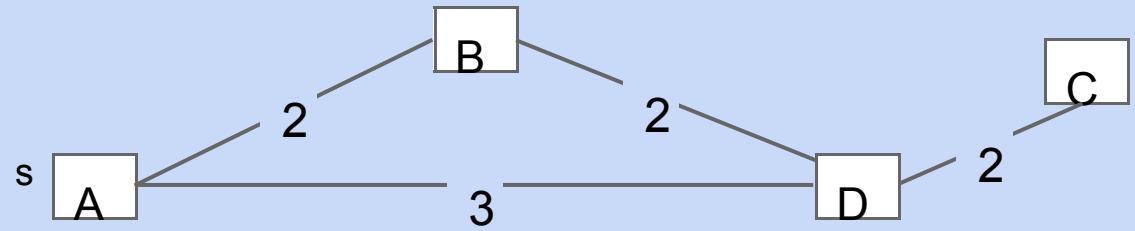


Figure 4-3: A typical minimum spanning tree



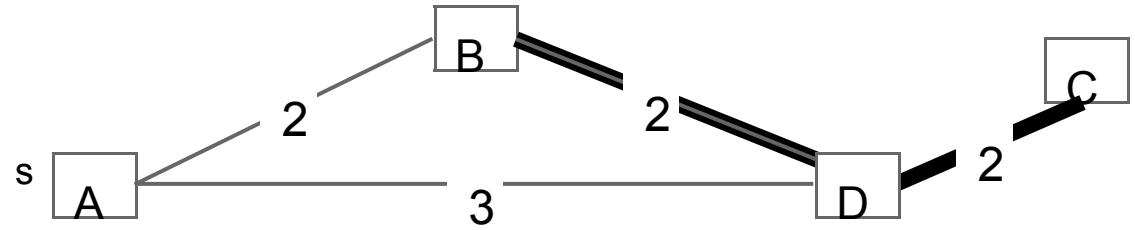
MST

Find the MST for the graph.



MST

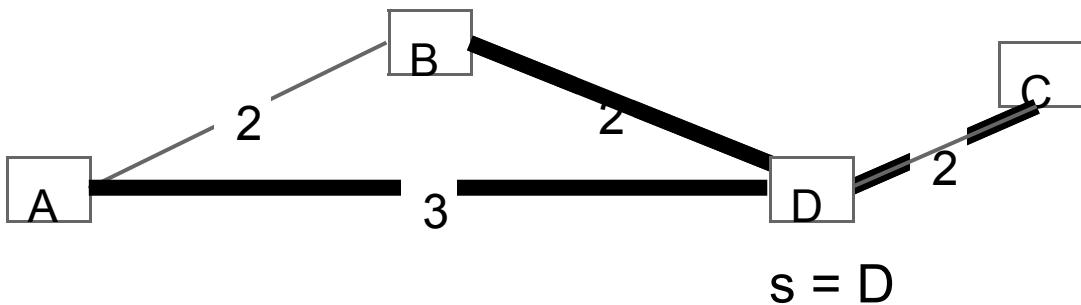
Find the MST for the graph.



MST vs. SPT

Is the MST for this graph also a shortest paths tree? If so, using which node as the starting node for this SPT?

- A. A
- B. B
- C. C
- D. D
- E. No SPT is an MST.



Doesn't work for $s = D$!

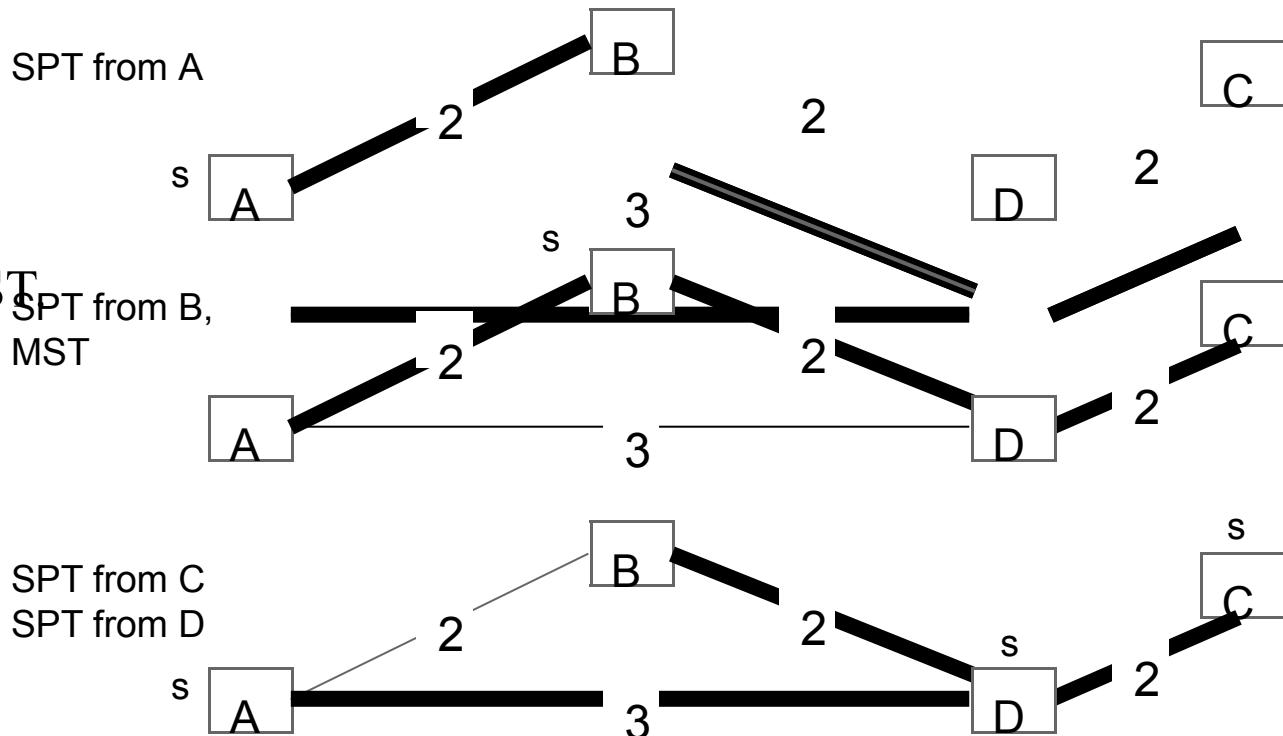
MST vs. SPT

Is the MST for this graph also a shortest paths tree? If so, using which node as the starting node for this SPT?

- A.
- B.
- C.
- D.
- E.

A
B
C
D

No SPT is an MST
SPT from B,
MST



MST vs. SPT

A shortest paths tree depends on the start vertex:

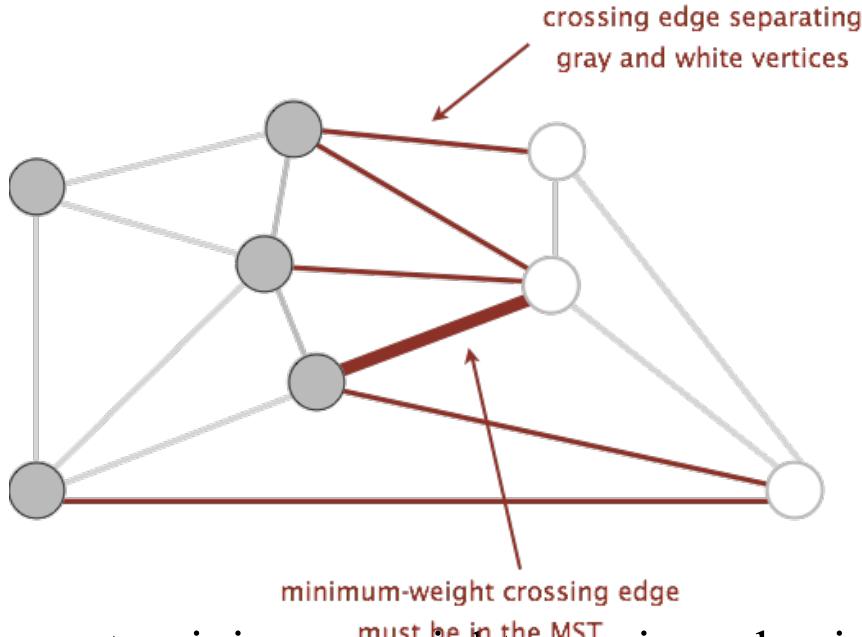
- Because it tells you how to get from a source to EVERYTHING.

There is no source for a MST.

Nonetheless, the MST sometimes happens to be an SPT for a specific vertex.

A Useful Tool for Finding the MST: Cut Property

- A *cut* is an assignment of a graph's nodes to two non-empty sets.
- A *crossing edge* is an edge which connects a node from one set to a node from the other set.

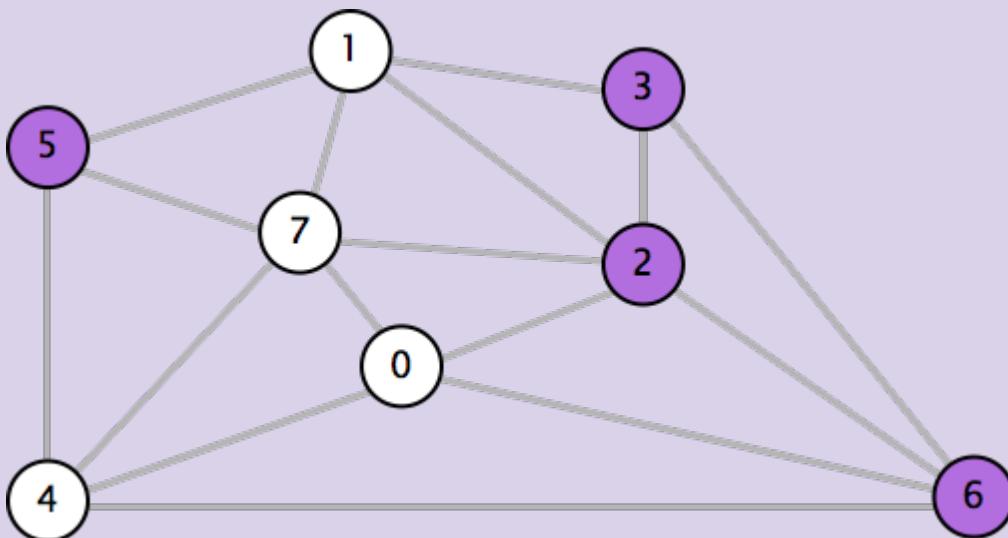


Cut property: Given any cut, minimum weight crossing edge is in the MST.

For rest of today, we'll assume edge weights are unique.

Cut Property in Action

Which edge is the minimum weight edge crossing the cut $\{2, 3, 5, 6\}$?

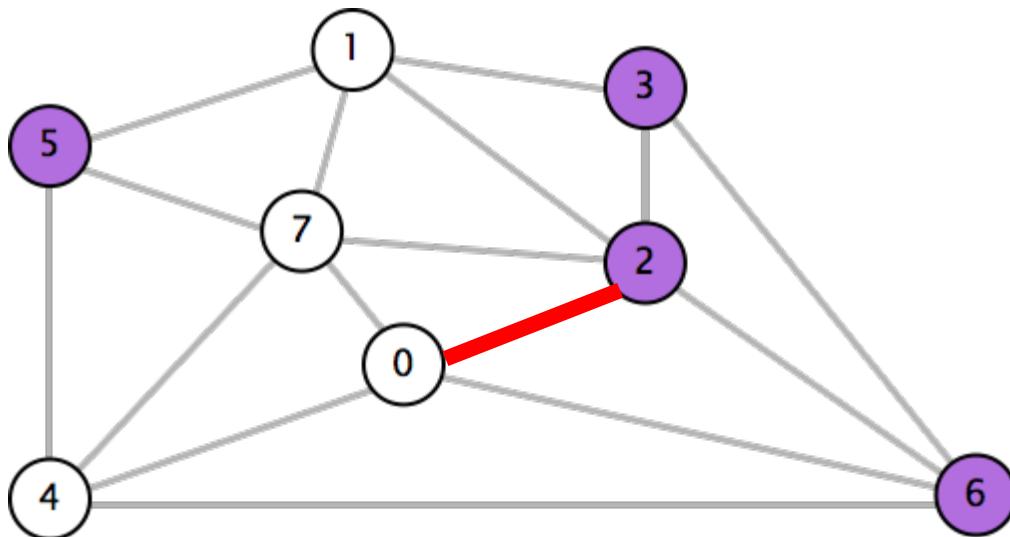


0-7	0.16
2-3	0.17
1-7	0.19
0-2	0.26
5-7	0.28
1-3	0.29
1-5	0.32
2-7	0.34
4-5	0.35
1-2	0.36
4-7	0.37
0-4	0.38
6-2	0.40
3-6	0.52
6-0	0.58
6-4	0.93

Cut Property in Action

Which edge is the minimum weight edge crossing the cut $\{2, 3, 5, 6\}$?

- 0-2. Must be part of the MST!

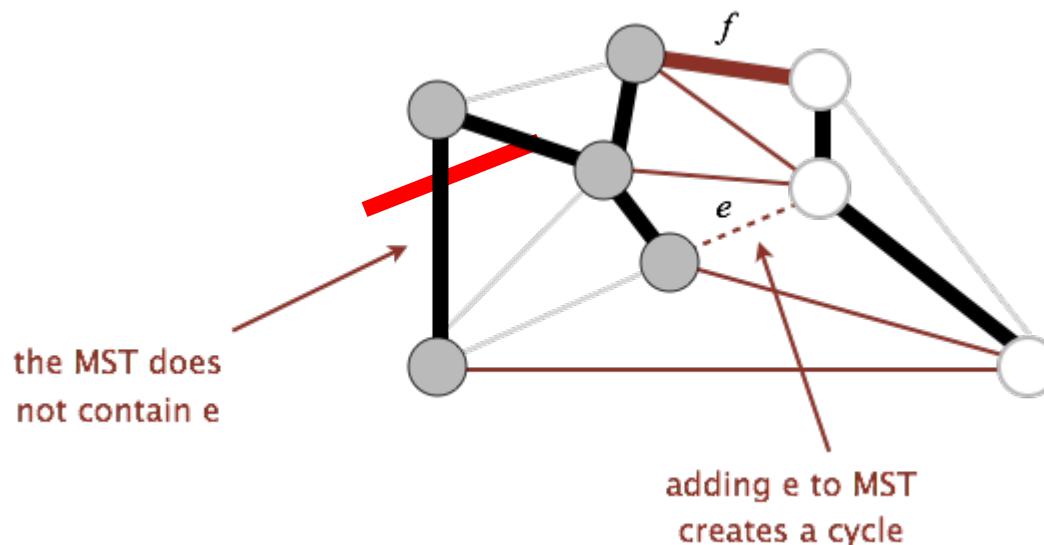


0-7	0.16
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6-2	0.40
3-6	0.52
6-0	0.58
6-4	0.93

Cut Property Proof

Suppose that the minimum crossing edge e were not in the MST.

- Adding e to the MST creates a cycle.
- Some other edge f must also be a crossing edge.
- Removing f and adding e is a lower weight spanning tree.
- Contradiction!



Generic MST Finding Algorithm

Start with no edges in the MST.

- Find a cut that has no crossing edges in the MST.
- Add smallest crossing edge to the MST.
- Repeat until $V-1$ edges.

This should work, but we need some way of finding a cut with no crossing edges!

- Random isn't a very good idea.

Prim's Algorithm

Prim's Algorithm

Start from some arbitrary start node.

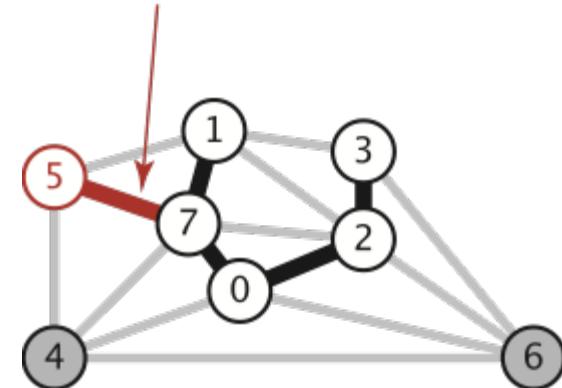
- Repeatedly add shortest edge (mark black) that has one node inside the MST under construction.
- Repeat until $V-1$ edges.

Conceptual Prim's Algorithm Demo ([Link](#))

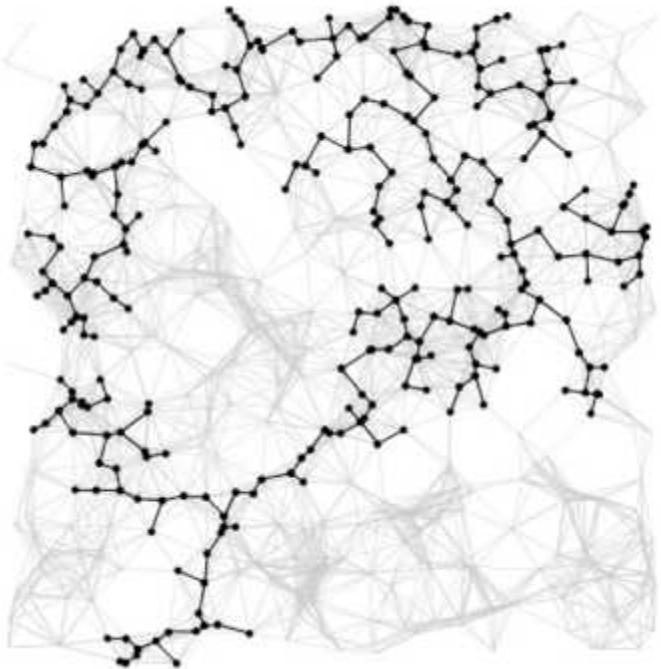
Why does Prim's work? Special case of generic algorithm.

- Suppose we add edge $e = v \rightarrow w$.
- Side 1 of cut is all vertices connected to start, side 2 is all the others.
- No crossing edge is black (all connected edges on side 1).
- No crossing edge has lower weight (consider in increasing order).

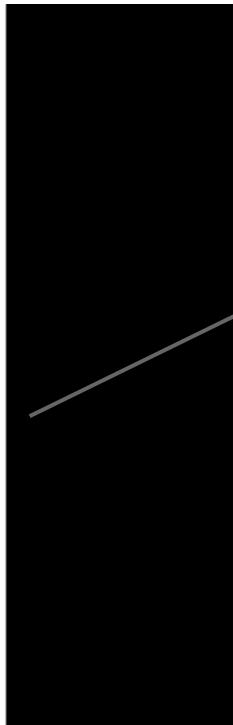
edge $e = 7-5$ added to tree



Prim's vs. Dijkstra's (visual)



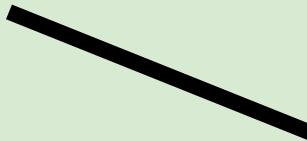
Prim's Algorithm



Dijkstra's Algorithm

Demos courtesy of Kevin Wayne, Princeton University

Kruskal's Algorithm



Kruskal's Algorithm

Initially mark all edges gray.

- Consider edges in increasing order of weight.

- Add edge to MST (mark black) unless doing so creates a cycle.

- Repeat until $V-1$ edges.

Conceptual Kruskal's Algorithm Demo ([Link](#))

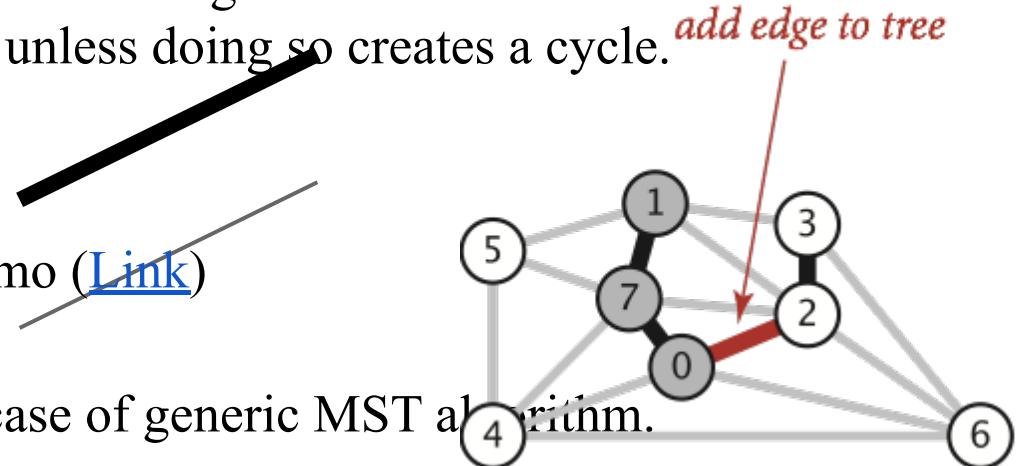
Why does Kruskal's work? Special case of generic MST algorithm.

- Suppose we add edge $e = v \rightarrow w$.

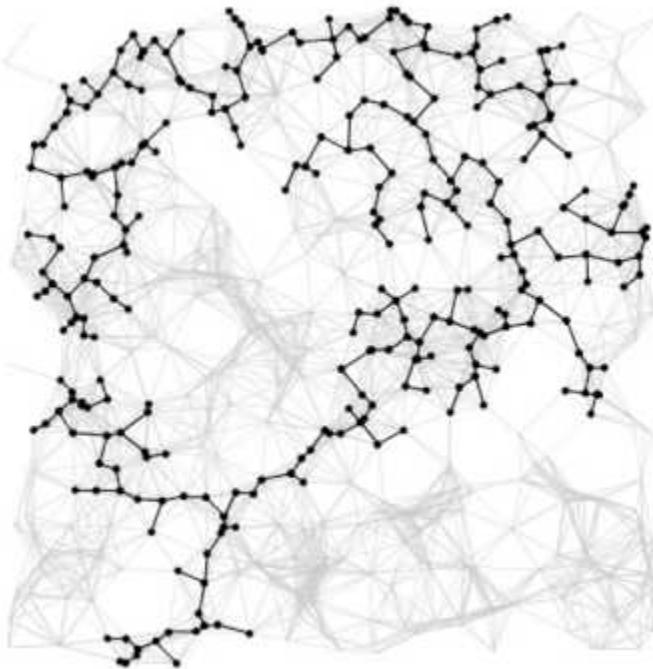
- Side 1 of cut is all vertices connected to v , side 2 is everything else.

- No crossing edge is black (since we don't allow cycles).

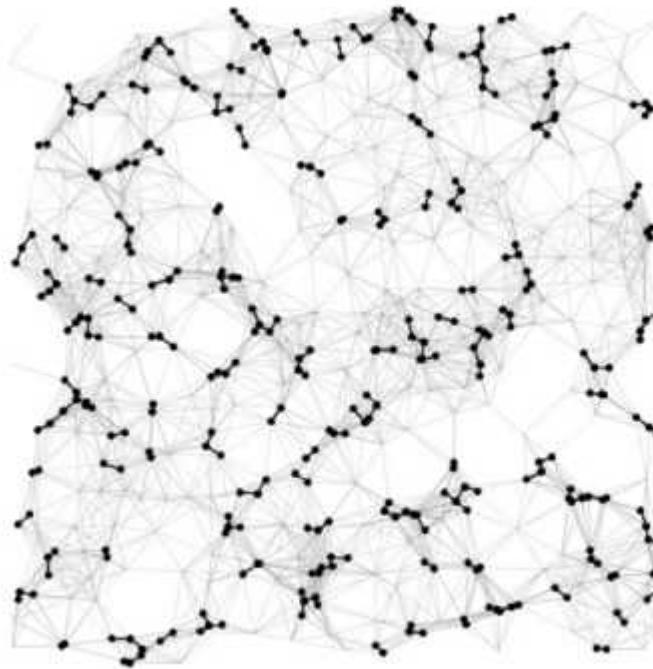
- No crossing edge has lower weight (consider in increasing order).



Prim's vs. Kruskal's



Prim's Algorithm



Kruskal's Algorithm

Demos courtesy of Kevin Wayne, Princeton University

Shortest Paths and MST Algorithms Summary

Problem	Algorithm	Runtime (if $E > V$)	Notes
Shortest Paths	Dijkstra's	$O(E \log V)$	Fails for negative weight edges.
MST	Prim's	$O(E \log V)$	Analogous to Dijkstra's.
MST	Kruskal's	$O(E \log E)$	Uses WQUPC.

Citations

Tree fire: http://www.miamidade.gov/fire/library/hotlines/2011-december_files/tree-fire.jpg

Bicycle routes in Seattle: <https://www.flickr.com/photos/ewedistrict/21980840>

Cancer MST: http://www.bccrc.ca/ci/ta01_archlevel.html

Back to DFS & BFS for a minute!

Graph Traversal Implementations and Runtime

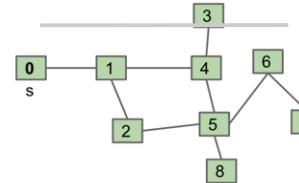
Depth First Search Implementation

Common design pattern in graph algorithms: Decouple type from processing algorithm.

- Create a graph object.



- Pass the graph to a graph-processing method (or constructor) in a client class.
- Query the client class for information.



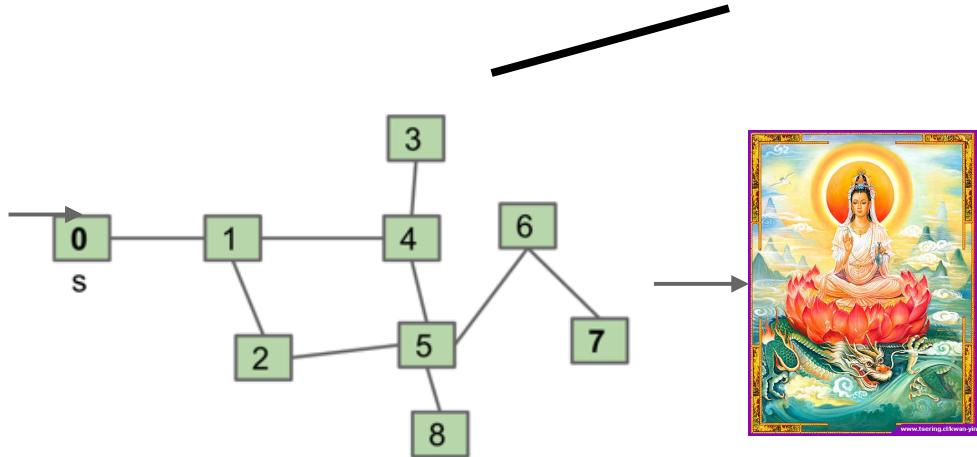
```
public class Paths {  
    public Paths(Graph G, int s): Find all paths from G  
    boolean hasPathTo(int v):      is there a path from s to v?  
    Iterable<Integer> pathTo(int v): path from s to v (if any)  
}
```

Paths.java

Example Usage

Start by calling: Paths P = new Paths(G, 0);

- P.hasPathTo(3); //returns true
- P.pathTo(3); //returns {0, 1, 4, 3}



Paths.java

```
public class Paths {  
    public Paths(Graph G, int s): Find all paths from G  
    boolean hasPathTo(int v):      is there a path from s to v?  
    Iterable<Integer> pathTo(int v): path from s to v (if any)  
}
```



DepthFirstPaths, Recursive Implementation

```
public class DepthFirstPaths {  
    private boolean[] marked;  
    private int[] edgeTo;  
    private int s;
```

```
    public DepthFirstPaths(Graph G, int s) {  
        ...  
        dfs(G, s);  
    }
```

```
    private void dfs(Graph G, int v) {  
        marked[v] = true;  
        for (int w : G.adj(v)) {  
            if (!marked[w]) {  
                edgeTo[w] = v;  
                dfs(G, w);  
            }  
        }  
    }  
    ...
```

marked[v] is true iff v connected to s

edgeTo[v] is previous vertex on path from s to v

not shown: data structure initialization
find vertices connected to s.

recursive routine does the work and stores results
in an easy to query manner!

Question to ponder: How would we write
pathTo(v) and hasPathTo(v)?

Answer on next slide.



DepthFirstPaths, Recursive Implementation

```
public class DepthFirstPaths {  
    private boolean[] marked;  
    private int[] edgeTo;  
    private int s;  
    ...  
    public Iterable<Integer> pathTo(int v) {  
        if (!hasPathTo(v)) return null;  
        List<Integer> path = new ArrayList<>();  
        for (int x = v; x != s; x = edgeTo[x]) {  
            path.add(x);  
        }  
        path.add(s);  
        Collections.reverse(path);  
        return path;  
    }  
  
    public boolean hasPathTo(int v) {  
        return marked[v];  
    }  
}
```

marked[v] is true iff v connected to s
edgeTo[v] is previous vertex on path from s to v

Runtime for DepthFirstPaths

Give a tight O bound for the runtime for the DepthFirstPaths constructor.

```
public class DepthFirstPaths {  
    private boolean[] marked;  
    private int[] edgeTo;  
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    public DepthFirstPaths(Graph G, int s) {  
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    }  
    private void dfs(Graph G, int v) {  
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        for (int w : G.adj(v)) {  
            if (!marked[w]) {  
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                dfs(G, w);  
            }  
        }  
    } ...  
}
```

Assume graph uses adjacency list!

Runtime for DepthFirstPaths

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        for (int w : G.adj(v)) {  
            if (!marked[w]) {  
                edgeTo[w] = v;  
                dfs(G, w);  
            }  
        }  
    } ...  
}
```

Assume graph uses adjacency list!

$O(V + E)$

- Each vertex is visited at most once ($O(V)$).
- Each edge is considered at most twice ($O(E)$).

vertex visits (no more than V calls)

edge considerations

(no more than $2E$ calls)

Cost model is the sum of:
 $\text{marked}[w]$ checks.
Number of dfs calls.

Graph Problems

Problem	Problem Description	Solution	Efficiency (adj. list)
s-t paths	Find a path from s to every reachable vertex.	DepthFirstPaths.java Demo [update]	$O(V+E)$ time $\Theta(V)$ space

Runtime is $O(V+E)$

- Based on cost model: $O(V)$ `.next()` calls and $O(E)$ `marked[w]` checks.
- Space is $\Theta(V)$.
- Need arrays of length V to store information.

BreadthFirstPaths Implementation

```
public class BreadthFirstPaths {  
    private boolean[] marked;  
    private int[] edgeTo;  
    ...  
  
    private void bfs(Graph G, int s) {  
        Queue<Integer> fringe =  
            new Queue<Integer>();  
        fringe.enqueue(s);  
        marked[s] = true;  
        while (!fringe.isEmpty()) {  
            int v = fringe.dequeue();  
            for (int w : G.adj(v)) {  
                if (!marked[w]) {  
                    fringe.enqueue(w);  
                    marked[w] = true;  
                    edgeTo[w] = v;  
                }  
            }  
        }  
    }  
}
```

marked[v] is true iff v connected to s
edgeTo[v] is previous vertex on path from s to v

set up starting vertex

for freshly dequeued vertex v, for each neighbor that is unmarked:

- Enqueue that neighbor to the fringe.
- Mark it.
- Set its edgeTo to v.

Graph Problems

Problem	Problem Description	Solution	Efficiency (adj. list)
s-t paths	Find a path from s to every reachable vertex.	DepthFirstPaths.java Demo	$O(V+E)$ time $\Theta(V)$ space
s-t shortest paths	Find a shortest path from s to every reachable vertex.	BreadthFirstPaths.java Demo	$O(V+E)$ time $\Theta(V)$ space

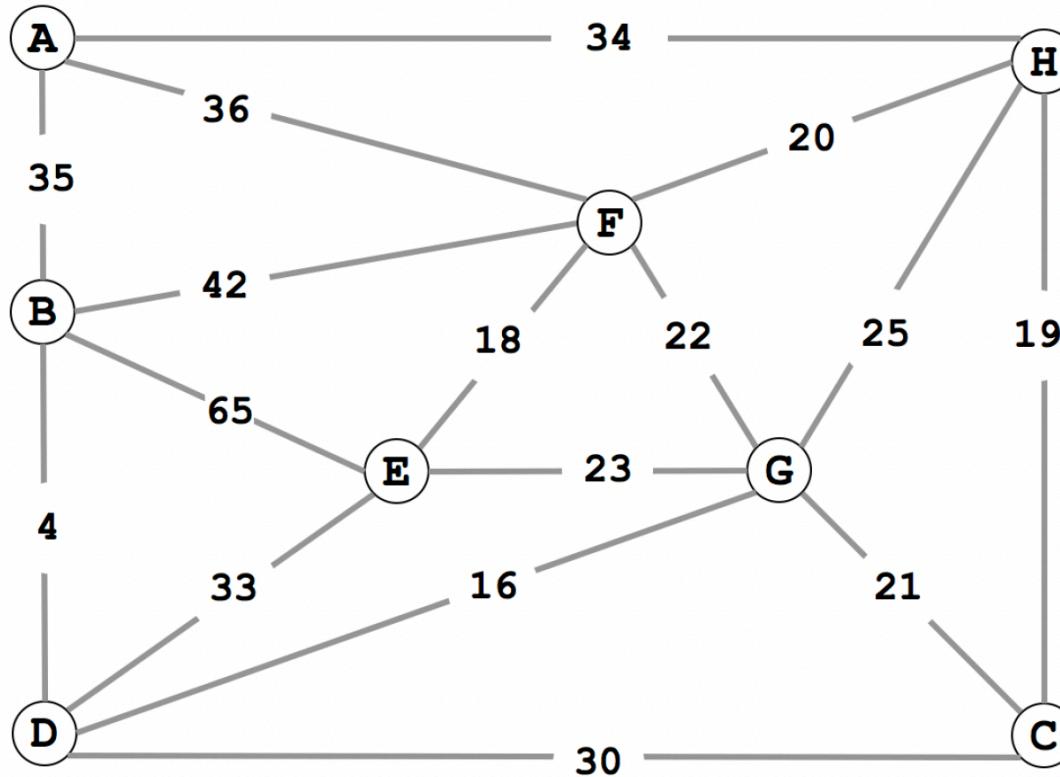
Runtime for shortest paths is also $O(V+E)$



• Based on same cost model: $O(V)$ `.next()` calls and $O(E)$ `marked[w]` checks.

• Space is $\Theta(V)$.

• Need arrays of length V to store information.



Dijkstra's Runtime

Final result:

∞ ∞ ∞ ∞

- Why can't we simplify further?
 - We don't know if $|V|$ or $|E|$ is going to be larger, so we don't know which term will dominate.

!

```
dijkstraShortestPath(G graph, V start)
```

```
Map edgeTo, distTo;
```

```
initialize distTo with all nodes mapped to  $\infty$ , except start
```

```
PriorityQueue<V> perimeter; (initialize with start)
```

```
while (!perimeter.isEmpty()):
```

```
    u = perimeter.removeMin()
```

```
    for each edge (u,v) to v with weight w:
```

```
        oldDist = distTo.get(v) // previous best path to v
```

```
        newDist = distTo.get(u) + w // what if we went thro
```

```
        if (newDist < oldDist):
```

```
            distTo.put(v, newDist)
```

```
            edgeTo.put(v, u)
```

```
            if (perimeter.contains(v)):
```

```
                perimeter.changePriority(v, newDist)
```

```
            else:
```

```
                perimeter.add(v, newDist)
```

Dijkstra's Runtime

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Dijkstra's Runtime

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```

```
            else:
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