

Computer Science & IT

Database Management System



Relational Model & Normal Forms

Lecture No. 07



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Recap of Previous Lecture



✓
Topic

Closure of an attribute set

✓
Topic

Super key

✓
Topic

Identification of Candidate key

Topics to be Covered



✓
Topic

Identification of candidate key w.r.t. FD set

✓
Topic

Membership test

✓
Topic

Relation between two FD sets

✓
Topic

FD set of a sub-relation



Topic : Closure of an attribute set

Closure of an attribute set X (i.e., X^+) can be defined as set of all the attributes which can be functionally determined from attribute of set X .

#e.g. Assume a relation R (A,B,C,D) that has the following functional dependencies:

$A \rightarrow B$

$B \rightarrow C$

$C \rightarrow D$

$$(A)^+ = \{A, B, C, D\}$$

$$(AB)^+ = \text{---} //$$

$$(AC)^+ = \text{---} //$$

$$(AD)^+ = \text{---} //$$

$$(ABC)^+ = \text{---} //$$

$$(ABD)^+ = \text{---} //$$

$$(ACD)^+ = \text{---} //$$

$$(ABCD)^+ = \text{---} //$$

$$(B)^+ = \{B, C, D\}$$

$$(BC)^+ = \{B, C, D\}$$

$$(BD)^+ = \{B, D, C\}$$

$$(BCD)^+ = \{B, C, D\}$$

$$(C)^+ = \{C, D\}$$

$$(CD)^+ = \{C, D\}$$

$$(D)^+ = \{D\}$$

#e.g. Consider the following FD set

$$F = \{ AB \rightarrow CD, AF \rightarrow D, DE \rightarrow F, C \rightarrow G, F \rightarrow E, G \rightarrow A \}$$

find the closure of following set of attributes.

$$(i) \{C, F\}^+ = \{C, F, G, E, A, D\}$$

$$(ii) \{B, G\}^+ = \{B, G, A, C, D\}$$

$$(iii) \{A, F\}^+ = \{A, F, D, E\}$$

$$(iv) \{A, B\}^+ = \{A, B, C, D, G\}$$



Topic : Super key



Let R be the relational schema, and let X be some attribute set over relation R . If X^+ determines all attributes of relation R , then X is called super key of relation R .

#e.g. Assume a relation R (A,B,C,D) that has the following functional dependencies:

$A \rightarrow B$

$B \rightarrow C$

$C \rightarrow D$

$$(A)^+ = \{A, B, C, D\}$$

$$(AB)^+ = \text{--- " ---}$$

$$(AC)^+ = \text{--- " ---}$$

$$(AD)^+ = \text{--- " ---}$$

$$(ABC)^+ = \text{--- " ---}$$

$$(ABD)^+ = \text{--- " ---}$$

$$(ACD)^+ = \text{--- " ---}$$

$$(ABCD)^+ = \text{--- " ---}$$

$$(B)^+ = \{B, C, D\}$$

$$(BC)^+ = \{B, C, D\}$$

$$(BD)^+ = \{B, D, C\}$$

$$(BCD)^+ = \{B, C, D\}$$

Not all attributes

$$(C)^+ = \{C, D\}$$

$$(CD)^+ = \{C, D\}$$

Not all attributes

$$(D)^+ = \{D\}$$

Not all attributes

All attributes of relation R

all are Super keys of relation R.

* Proper subset : - For a given set A , any subset of set ' A ' except set ' A ' itself are called Proper subsets of set A .

eg. let $A = \{a, b, c\}$ and let $\{a, b, c\}^+ =$ all attributes of relation
i. $\{a, b, c\}$ is a key.

Proper Subsets
of set $A =$

- $\{a\}$
- $\{b\}$
- $\{c\}$
- $\{a, b\}^+$
- $\{a, c\}$
- $\{b, c\}$
- $\{ \}$

$\{a, b\}^+ =$ all attributes of Rel^h
then $\{a, b\}$ will be key

\Downarrow
Even if we delete ' c '
from $\{a, b, c\}$ it does
not loose its property
of being a key
i. $\{a, b, c\}$ is not a
minimal key.



Topic : Candidate key (Minimal Super key)

Let R be the relational schema, and let X be the super key of relation R.
i.e., $(X)^+ = \{\text{All attributes of relation R}\}$

If no proper subset of X is a super key, then X is minimal super key
i.e., X is Candidate key

eg. let $(AB)^+$ contains all attributes of relation R
 $\therefore (AB)$ is a Super key of relation R.

Proper subsets
of AB =

$\{A\}$, if $(A)^+ =$ Not all attributes of Relⁿ
 $\therefore A$ is not a S.K. of relⁿ R.

$\{B\}$, if $(B)^+ =$ Not all attributes of relⁿ R
 $\therefore B$ is not a S.K. of relⁿ R

$\emptyset \leftarrow$ We don't need to check w.r.t. proper subset ' \emptyset '

\rightarrow i.e., No proper-subset of $\{A, B\}$ is a Super key
Hence $\{A, B\}$ will be the Candidate Key.

#Q. Assume a relation R (A, B, C, D, E) that has the following functional dependencies:

$AB \rightarrow C$,

$B \rightarrow E$,

$C \rightarrow D$

Find the Candidate key of R.

Note: The attributes that are not present in R.H.S. part of any FD of given FD set are called essential attributes.
 → Every essential attribute must be present in every key of the relation

In the given question A & B are essential attributes.

$$(AB)^+ = \{ \underbrace{A, B, C, E, D}_{\text{all attributes}} \}$$

↓ Closure of Proper Subsets of AB

$$(A)^+ = \{A\} = \text{Not all attributes}$$

$$(B)^+ = \{B, E\} = \text{Not all attributes}$$

No proper subset is a Superkey
 ∴ AB is minimal
 i.e., AB is a C.K.

∴ AB is a Superkey

A & B both are essential

∴ No one can be removed from the key

Hence AB is also the minimal Superkey
 i.e., AB is a C.K.

In the above example \boxed{AB} is a Candidate Key

∴ Prime attributes = $\{ A, B \}$

→ There is no FD in the FD set of above relation in which any of the prime attribute appears in the R.H.S. part of that FD.

→ ∴ Relation will have only one C.K.

i.e., \boxed{AB} is the only C.K. of the above relation.

#Q. Assume a relation R (A, B, C, D, E) that has the following functional dependencies:

$AB \rightarrow C$,

$B \rightarrow E$,

$C \rightarrow D$

$E \rightarrow A$

Find the Candidate key of R.

Hit & trial Method: -

$$(AB)^+ = \{A, B, C, D, E\}$$

all attributes

$\therefore AB$ is a Super Key

Check for minimal

Closure w.r.t.

Proper subsets of AB =

$$\{A\}^+ = \{A\} \quad \text{Not all attributes}$$

$\therefore A$ is not a S.K.

$$\{B\}^+ = \{B, E, A, C, D\}$$

all attributes $\therefore B$ is a S.K.

$\rightarrow B$ is a new Super Key

Check for minimal

it is a key with a single attribute \therefore always minimal

Hence

\boxed{B} is a C.K.

A key with a single attribute is always minimal, \therefore Candidate Key

'B' is the essential attribute,

$$(B)^+ = \{B, E, A, C, D\}$$

all attributes

$\therefore B$ is a Super Key

\boxed{B} is a Candidate Key.

one proper subset of $\{A, B\}$ is a S.K.
 $\therefore AB$ is not a minimal S.K.
 ie, AB is not a C.K.

* In the above eg. "B" is a C.K.

o. Prime Attributes = {B}

• No prime attribute is present in the R.Hs. part of any FD of FD set.

Hence, only one C.K.

i.e. \boxed{B} is the only C.K.



Topic : Note



If there exist any non-trivial FD
of the form $X \rightarrow Y$

where 'Y' is any prime attribute of
the relation,

Reason,

$X \rightarrow Y$

∴ Whatever that can be determined by Y

Can also be determined by X

∴ We can replace Y by X in the
Corresponding Candidate Key, in order to obtain a new Super Key

then that relation will have
more than one candidate key.

#Q. Assume a relation R (A, B, C, D) that has the following functional dependencies:

$$AB \rightarrow \underline{CD}, \quad \begin{matrix} AB \xrightarrow{f} C \\ AB \xrightarrow{f} D \end{matrix}$$

$$\underline{D} \rightarrow A$$

Find all the Candidate keys of R.

* B is the essential attribute.

$(B)^+ = \{B\}$
Not all attributes
 \therefore Not a Super Key.

$(AB)^+ = \{A, B, C, D\}$ - all attributes.
 $\therefore AB$ is S.K.

$(A)^+ = \{A\}$ } No proper subset of AB is a S.K.
 $(B)^+ = \{B\}$ }

$\therefore \boxed{AB}$ is a Candidate Key
Prime attributes = $\{A, B\}$

We have $D \rightarrow \underline{A}$
Prime Attn.

\therefore Replace A by D

DB is another S.K.

$(D)^+ = \{D, A\}$

$(B)^+ = \{B\}$

\boxed{DB} is a C.K. \Rightarrow Prime = $\{A, B, D\}$
Attributes

D is a Prime attribute
 $AB \xrightarrow{f} D$
 \therefore Replace D by AB
in C.K. \boxed{DB}

#Q. H.W.

Assume a relation R (M, N, O, P, Q) that has the following functional

dependencies:

$$MNO \rightarrow Q$$

$$MNO \rightarrow P$$

$$MNO \rightarrow PQ \text{ and } \equiv$$

$$P \rightarrow MN$$

$$P \rightarrow M$$

$$P \rightarrow N$$

Find the Candidate keys of R.

O is essential

$$(MNO)^+ = \{M, N, O, P, Q\}$$

$$(MO)^+ = \{M, O\}$$

$$(NO)^+ = \{N, O\}$$

all $\therefore MNO$ is S.K

$\therefore \boxed{MNO}$ is a C.K

MNO is a C.K

Prime attributes = {M, N, O}

$P \rightarrow \underline{M}$
Prime Attribute

\therefore Replace M by P

PNO is S.K.

$$(NO)^+ = \{N, O\}$$

$$(PO)^+ = \{P, O, M, N, Q\}$$

all $\therefore PNO$ is not a C.K.

PO is a Super key as well as a C.K.

MNO & PO are the only two Candidate Keys

$P \rightarrow \underline{MN}$

Prime attributes

\therefore Replace MN by P

PO is a S.K.

as well as a Candidate Key

Now Prime attributes = {M, N, O, P}

H.W.

#Q. Assume a relation $R(A, B, C, D)$ that has the following functional dependencies:

- $AB \rightarrow CD$
- $C \rightarrow A$
- $D \rightarrow B$

Find the Candidate keys of R.

$$(AB)^+ = \{A, B, C, D\}$$

$$\left. \begin{matrix} (A)^+ = \{A\} \\ (B)^+ = \{B\} \end{matrix} \right\} \therefore AB \text{ is a C.K.}$$

$AB \rightarrow CD$
 \therefore Replace CD by AB

$C \rightarrow A$
Prime
 \therefore Replace A by C

CB is a S.K.
 $(C)^+ = \{C, A\}$
 $(B)^+ = \{B\}$

② \therefore CB is a C.K.
Prime Attributes = $\{A, B, C\}$

$D \rightarrow B$
 \therefore Replace B by D

CD is a S.K.
 $(C)^+ = \{C, A\}$, $(D)^+ = \{D, B\}$
 \therefore CD is C.K. P.A. = $\{A, B, C, D\}$

$C \rightarrow A$
 $D \rightarrow B$
Replace A by C
B by D

$D \rightarrow B$ Prime
 \therefore Replace B by D

AD is a S.K.
 $(A)^+ = \{A\}$
 $(D)^+ = \{D, B\}$
 \therefore AD is C.K. P.A. = $\{A, B, C, D\}$

$C \rightarrow A$
 \therefore Replace A by C

AB is a C.K. Prime = $\{A, B\}$ Attributes

H.W.
#Q.



Assume a relation R (A, B, C, D, E, H) that has the following functional dependencies:

$A \rightarrow B$

$BC \rightarrow D$

$E \rightarrow C$

$D \rightarrow A$

Find the Candidate keys of R.

E & H are essential attributes

$(EH)^+ = \{E, H, C\}$

Not all attributes

(EH) can not be a S.K.

but E & H will be part of every C.K.

$(AEH)^+ = \{A, E, H, B, C, D\}$
all attributes

AEH

is a Super key as well as a Candidate Key

Prime Attributes = {A, E, H}

$D \rightarrow A$

∴ Replace A by D

DEH

is a Super key as well as a Candidate Key

Prime Attributes = {A, E, H, D}

$BC \rightarrow D$

∴ Replace D by BC

BCEH

is a S.K. $(CEH)^+ = \{C, E, H\}$

but Not a C.K.

BEH

is a S.K. as well as a Candidate Key

$(BEH)^+ = \{B, E, H, C, D, A\}$

∴ BEH is a S.K.



Topic : Membership test

- Membership test is used to check whether a given FD is a member of given FD set or not.
- To check whether $X \rightarrow Y$ is a member of FD set F or not
(i.e., $F \models X \rightarrow Y$ or not) $\rightarrow F$ yields $X \rightarrow Y$ or not

We first obtain X^+ (closure of X) w.r.t. FD set F .

If $Y \in X^+$, then $X \rightarrow Y$ is a member of FD set F
otherwise not a member of FD set F

Note :

If FD $X \rightarrow Y$ is a member of FD set F ,

then we say that

$X \rightarrow Y$ is implied in FD set F

#Q. Let FD set $F = \{A \rightarrow B, B \rightarrow C\}$

Check whether $A \rightarrow C$ is a member of F or not?

$A \rightarrow C$ is a member of F or not

$(A)^+$ w.r.t $F = \{A, B, C\}$

$C \in (A)^+$ w.r.t. $F = \{A, B, C\}$

$\therefore A \rightarrow C$ is a member of F .

#Q. Let FD set $F = \{ AB \rightarrow C, BC \rightarrow D \}$

Check whether $AB \rightarrow D$ is a member of F or not?

$(AB)^+ \text{ wrt } F = \{A, B, C, D\}$

$D \in (AB)^+ \text{ wrt } F.$

$\therefore \boxed{AB \rightarrow D}$ is implied in $F.$

#Q. Let FD set $F = \{ AB \rightarrow C, C \rightarrow A \}$

Check whether $C \rightarrow B$ is a member of F or not?

$(C)^+ \text{ w.r.t. } F = \{ C, A \}$, $B \notin (C)^+ \text{ w.r.t. } F$

∴ $C \rightarrow B$ is not a member of F .

$$\textcircled{F_1} = \{ \underbrace{\quad}_{fd_1}, \underbrace{\quad}_{fd_2}, \dots \}$$

$$\textcircled{F_2} = \{ \underbrace{\quad}_{fd_{x_1}}, \underbrace{\quad}_{fd_{x_2}}, \dots \}$$

$$\begin{matrix} A \subseteq B \\ B \subseteq A \end{matrix} \Rightarrow \underline{A=B}$$

$$\{ \textcircled{1}, \textcircled{2}, \textcircled{3} \} = \{ \textcircled{2}, \textcircled{3}, \textcircled{1} \}$$

$\underbrace{\quad}_A \quad \underbrace{\quad}_{A=B} \quad \underbrace{\quad}_B$

$$\begin{matrix} \{1, 2, 3, 4\} & \{1, 2, 3\} \\ X & Y \\ Y \subseteq X, \text{ but } X \not\subseteq Y \\ \therefore Y \subset X \end{matrix}$$

$$\begin{matrix} \{1, 2, 3\} & \{1, 2, 3, 4\} \\ P & Q \\ P \subseteq Q, Q \not\subseteq P \\ \therefore \underline{P \subset Q} \end{matrix}$$

- ① $F_1 = F_2$
- ② $F_1 \subset F_2$
- ③ $F_2 \subset F_1$
- ④ No relationship



Topic : Relationship between two FD sets

- Let F and G are any two FD sets.
- { If all the FDs of FD set F are member of FD set G , then $F \subseteq G$
if G covers F (or) if All FDs of F are implied in G .
- If all the FDs of FD set G are member of FD set F , then $G \subseteq F$
(or) F covers G (or) All the FDs of G are implied in F .
- If both $F \subseteq G$ and $G \subseteq F$ are true, then $F = G$

#Q. Consider the following FD sets

$F1 = \{A \rightarrow B, B \rightarrow C, AB \rightarrow D\}$ and $F2 = \{A \rightarrow B, B \rightarrow C, A \rightarrow C, A \rightarrow D\}$

Find the relationship between FD sets $F1$ and $F2$

#Q. Consider the following FD sets

$F_1 = \{A \rightarrow B, B \rightarrow C, AB \rightarrow D\}$ and $F_2 = \{A \rightarrow B, B \rightarrow C, A \rightarrow C, A \rightarrow D\}$

Find the relationship between FD sets F_1 and F_2

Check if F_1 covers F_2 . {i.e. check if all FDs of F_2 are member of F_1 }

FDs of F_2	Closure wrt. F_1	Remark	Conclusion
$A \rightarrow B$	$(A)^+ \text{ wrt. } F_1 = \{A, B, C, D\}$	Directly available in F_1 \therefore Member of F_1	all FDs of F_2 are member of F_1 $\therefore F_2 \subseteq F_1$ — eq ⁿ ①
$B \rightarrow C$	$(B)^+ \text{ wrt. } F_1 = \{B, C\}$	$C \in (B)^+ \therefore B \rightarrow C$ Member of F_1	
$A \rightarrow C$	$(A)^+ \text{ wrt. } F_1 = \{A, B, C, D\}$	$C \in (A)^+ \therefore A \rightarrow C$ member of F_1	
$A \rightarrow D$	$//$ — $\{A, B, C, D\}$	$D \in (A)^+ \therefore A \rightarrow D$ is Member of F_1	

#Q. Consider the following FD sets

$F1 = \{A \rightarrow B, B \rightarrow C, AB \rightarrow D\}$ and $F2 = \{A \rightarrow B, B \rightarrow C, A \rightarrow C, A \rightarrow D\}$

Find the relationship between FD sets $F1$ and $F2$

Check if $F2$ covers $F1$ {i.e. check if all FDs of $F1$ are member of $F2$ }

FDs of $F1$	Closure w.r.t. $F2$	Remark	Conclusion
$A \rightarrow B$	$(A)^+ = \{A, B, C, D\}$	$B \in (A)^+ \therefore$ Member of $F2$	all FDs of $F1$ are member of $F2$ $\therefore F1 \subseteq F2$ — eq (2)
$B \rightarrow C$	$(B)^+ = \{B, C\}$	$C \in (B)^+ \therefore$ Member of $F2$	
$AB \rightarrow D$	$(AB)^+ = \{A, B, C, D\}$	$D \in (AB)^+ \therefore$ Member of $F2$	

By eqⁿ ① & eqⁿ ②

$$F_1 = F_2$$

#Q. Consider the following FD sets

✓ $F1 = \{A \rightarrow B, AB \rightarrow C, D \rightarrow AC, D \rightarrow E\}$ and

✓ $F2 = \{A \rightarrow BC, D \rightarrow AE\}$

Find the relationship between FD sets $F1$ and $F2$

• Check if $F1$ covers $F2$, { Check if FDs of $F2$ are member of $F1$ }

FDs of $F2$	Closure w.r.t. $F1$	Remark	Conclusion
$A \rightarrow BC$	$(A)^+ \text{ w.r.t. } F1 = \{A, B, C\}$	$BC \in (A)^+ \therefore A \rightarrow BC$ is Member of $F1$	All FDs of $F2$ are member of $F1$ $\therefore F2 \subseteq F1$ — ①
$D \rightarrow AE$	$(D)^+ \text{ w.r.t. } F1 = \{D, A, C, E, B\}$	$AE \in (D)^+ \therefore D \rightarrow AE$ is Member of $F1$	

#Q. Consider the following FD sets

✓ $F1 = \{A \rightarrow B, AB \rightarrow C, \underline{D \rightarrow AC}, \underline{D \rightarrow E}\}$ and

✓ $F2 = \{A \rightarrow BC, D \rightarrow AE\}$

Find the relationship between FD sets $F1$ and $F2$

• Check if $F2$ covers $F1$ — { Check if FDs of $F1$ are member of $F2$ }

FDs of $F1$	Closure w.r.t. $F2$	Remark	Conclusion
$A \rightarrow B$	$(A)^+ = \{A, B, C\}$	$B \in (A)^+ \therefore$ Member of $F2$	All FDs of $F1$ are member of $F2$ $\therefore F1 \subseteq F2$ — ① By eqn ① & ② $F1 = F2$
$AB \rightarrow C$	$(AB)^+ = \{A, B, C\}$	$C \in (AB)^+ \therefore$ Member of $F2$	
$D \rightarrow AC$	$(D)^+ = \{D, A, E, B, C\}$	$AC \in (D)^+ \therefore$ Member of $F2$	
$D \rightarrow E$		$E \in (D)^+ \therefore$ Member of $F2$	



Topic : FD set of a sub-relation

- Let R be a relation with FD set F . We can use the concept of membership test to obtain the FD set of a sub-relation of relation R .

#Q. Consider a relational schema $R(A,B,C,D,E)$ with FD set

$F = \{A \rightarrow B, AB \rightarrow C, D \rightarrow AC, D \rightarrow E\}$

Find the FD set F_1 for sub-relation $R_1(A,B,E)$ of $R(A,B,C,D,E)$.

Also find candidate keys for the sub-relation $R_1(A,B,E)$.

Candidate key of a relation is identified by the FD set of that relation

To identify C.K. of $R_1(A,B,E)$ We need FDs of set F_1

We are interested only in non-trivial FDs.

Only two non-trivial functional dependencies exist in $R_1(A,B,E)$

$\therefore F_1 = \{A \rightarrow B, AE \rightarrow B\}$
 \therefore C.K. of $R_1 = (AE)$

$A \rightarrow ?$ Not in R_1
 $(A)^+ \text{ wrt } F = \{A, B, C\}$
 trivial = $A \rightarrow B$

$B \rightarrow ?$
 $(B)^+ \text{ wrt } F = \{B\}$
 trivial

$E \rightarrow ?$
 $(E)^+ \text{ wrt } F = \{E\}$
 trivial

$AB \rightarrow ?$ Not in R_1
 $(AB)^+ \text{ wrt } F = \{A, B, C\}$
 trivial

$AE \rightarrow ?$ Not in R_1
 $(AE)^+ \text{ wrt } F = \{A, E, B, C\}$
 trivial

$BE \rightarrow ?$ trivial
 $(BE)^+ = \{B, E\}$
 trivial

#Q. H.W. Consider a relational schema $R(A,B,C,D,E,F)$ with FD set

$F = \{AB \rightarrow C, B \rightarrow D, BC \rightarrow A, D \rightarrow EF\}$

Find the FD set F_1 for sub-relation $R_1(A,B,C,D)$ of $R(A,B,C,D,E,F)$.

Also find candidate keys for the sub-relation $R_1(A,B,C,D)$.



2 mins Summary



Topic

Identification of candidate key w.r.t. FD set

Topic

Membership test

Topic

Relation between two FD sets

Topic

FD set of a sub-relation

THANK - YOU