

## **Team - GSV**

### **Abstract: Train Scheduling and Routing**

Indian Railways, one of the largest railway networks globally, faces significant operational challenges in efficiently managing train scheduling and routing due to its vast scale, high traffic volume, and complex infrastructure. Current scheduling methods are primarily manual and rule-based, often leading to suboptimal outcomes such as delays, congestion, and resource misallocation. This complexity is further exacerbated by real-time disruptions like variable passenger demand, track maintenance, and unpredictable weather conditions. To address these issues, we propose an automated train scheduling and routing system based on reinforcement learning (RL), a subset of artificial intelligence (AI).

### **Proposed Solution-**

Our model employs reinforcement learning to dynamically optimize train schedules by learning from both historical data and real-time operational inputs. The core of the system revolves around an agent-environment interaction loop, where the agent (the RL model) takes actions (scheduling and routing decisions) and receives feedback (reward signals) based on the outcome of those actions. Over time, the agent learns to maximize cumulative rewards, which are designed to reflect system efficiency, minimizing delays, and optimizing track usage.

### **Reinforcement Learning Framework for Train Scheduling**

- **State (S):** The state represents the current condition of the railway network, including:
  - Position of all trains on the network
  - Train status (arrival time, delay, destination, etc.)

- Track availability (maintenance or blockage information)
- Time of day or scheduling period
- **Action (A):** Actions are the decisions made by the RL agent. These could include:
  - Assigning a train to a specific track or platform
  - Adjusting the speed of a train
  - Rescheduling a train's departure or arrival
  - Deciding on train priorities in case of a conflict
- **Reward (R):** The reward system guides the learning process. Some key factors in the reward function might include:
  - Minimizing delays or maximizing on-time performance
  - Energy efficiency (minimizing power consumption)
  - Reducing passenger waiting times
  - Avoiding conflicts between trains
- **Policy ( $\pi$ ):** The policy is the mapping from the state to the action, determining the behavior of the agent. It can be deterministic or stochastic:
  - **Deterministic Policy:**  $\pi(s) = a$  (chooses a specific action  $a$  in state  $s$ )
  - **Stochastic Policy:**  $\pi(a|s) = P(a|s)$  (probability distribution over actions given the state)
- **Value Function (V):** The value function represents the expected cumulative reward starting from a certain state and following a policy  $\pi$ :
  - $V^\pi(s) = \mathbb{E} [\sum_{t=0}^{\infty} \gamma^t R_t | S_0 = s, \pi]$  where  $\gamma$  is the discount factor, and  $R_t$  is the reward at time step  $t$ .
- **Q-Function (Q):** The Q-function represents the expected reward for taking a specific action  $a$  in state  $s$ , and then following the policy  $\pi$ :
  - $Q^\pi(s, a) = \mathbb{E} [\sum_{t=0}^{\infty} \gamma^t R_t | S_0 = s, A_0 = a, \pi]$

## 2. Reinforcement Learning Algorithm for Train Scheduling

One of the most common RL algorithms is **Q-learning**, which is useful for train scheduling tasks. Here is its update formula:

- **Q-learning Update Rule:**

$$Q(s_t, a_t) \leftarrow Q(s_t, a_t) + \alpha \left( r_{t+1} + \gamma \max_a Q(s_{t+1}, a) - Q(s_t, a_t) \right)$$

where:

- $s_t$  and  $a_t$  are the current state and action
- $r_{t+1}$  is the immediate reward received after taking action  $a_t$
- $s_{t+1}$  is the next state after taking action  $a_t$
- $\alpha$  is the learning rate
- $\gamma$  is the discount factor
- $\max_a Q(s_{t+1}, a)$  is the maximum Q-value over all possible actions in the next state  $s_{t+1}$

### 3. Deep Q-Network (DQN) for Large-Scale Train Scheduling

For complex networks with many trains and stations, a deep reinforcement learning approach like Deep Q-Network (DQN) can be used. DQN uses a neural network to approximate the Q-function:

- Input: The current state (train positions, time, delays)
- Output: Q-values for all possible actions (train routing decisions)

The DQN uses the same Q-learning update rule but incorporates experience replay and target networks to stabilize training.

#### Application to Train Scheduling and Routing:

- **Action Selection:** DQN or Q-learning helps in deciding the optimal routing and scheduling of trains to minimize delays, energy consumption, and conflicts.
- **Handling Uncertainty:** The RL agent can handle uncertainties such as track failures, delays, or passenger load variations by continuously updating its policy based on new experiences.

By learning from interactions with the railway environment, the RL model continuously improves its scheduling decisions to optimize network efficiency.

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## **Model Functionality: Optimizing Scheduling and Routing**

The RL model operates by incorporating a variety of operational constraints:

- **Passenger Demand:** The model forecasts demand based on historical data and real-time updates, ensuring that the allocation of trains and scheduling frequency match peak and off-peak passenger volumes, thereby reducing overcrowding and improving service reliability.
- **Track Availability:** The model dynamically adjusts schedules based on real-time track availability, factoring in congestion and prioritizing critical routes to avoid bottlenecks, particularly at high-traffic junctions.
- **Maintenance Schedules:** Planned and unplanned maintenance activities are integrated into the model, allowing it to reroute or reschedule trains to minimize disruptions caused by track closures.
- **Weather Conditions:** Real-time weather data is fed into the model to account for weather-induced delays, allowing for proactive adjustments in scheduling or rerouting.

## **Performance Evaluation: Simulation and Testing**

To evaluate the performance of the RL-based model, we will simulate its behavior under multiple operational scenarios. Historical data from Indian Railways, including past schedules, delays, and operational records, will be used for initial testing. Furthermore, hypothetical scenarios will be constructed to assess the model's robustness, such as unplanned disruptions (e.g., sudden track closures or equipment failures) and periods of high demand (e.g., holiday seasons). Performance metrics, such as train punctuality, route efficiency, and resource utilization, will be compared with traditional rule-based systems.

## **Suitability for Indian Railways: Scalability and Impact**

Given the vast and diverse nature of Indian Railways, the RL model is highly scalable. Its decentralized architecture allows for local optimization within different regions while maintaining global coherence across the entire network. The model's ability to handle real-time data inputs and adapt to changing conditions ensures its suitability for large-scale rail systems like Indian Railways. Moreover, the model is designed to optimize infrastructure usage, reduce delays, and improve passenger experience, offering a substantial improvement over current scheduling practices.

## **Integration Plan: Deploying the Model in Rail Network Management System**

The implementation plan involves a phased rollout strategy:

1. **Pilot Testing:** Initially tested in high-traffic areas, with performance improvements based on real-time data.
2. **Full-Scale Deployment:** Gradually expanded across regions to manage the full network's complexity.
3. **System Integration:** Integrated with the Rail Network Management System, ensuring smooth data flow with existing infrastructure.
4. **Training and Support:** Rail operators will be trained to use the system, with ongoing technical support provided to ensure effective operations.

## **Conclusion-**

The RL-based train scheduling model offers a scalable, data-driven solution for Indian Railways, improving efficiency, reducing delays, and optimizing resource use. By integrating real-time data and continuous learning, it promises significant operational improvements and enhanced passenger experience across the network.