



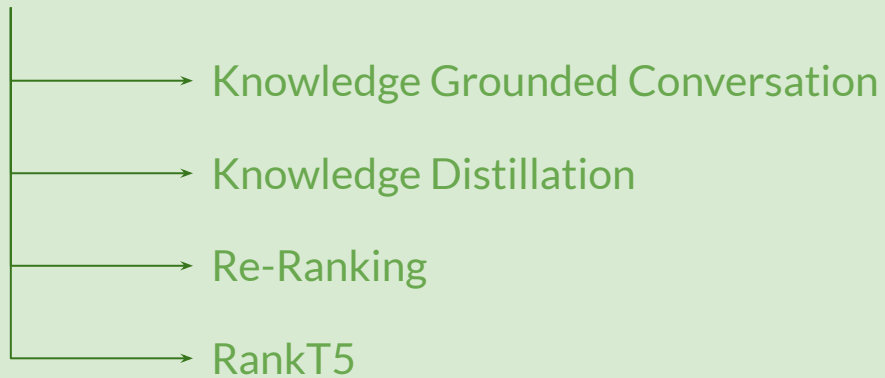
# Foresight: Leveraging Masked Response as query for Knowledge Selection

Kavin R V (IISER Bhopal)

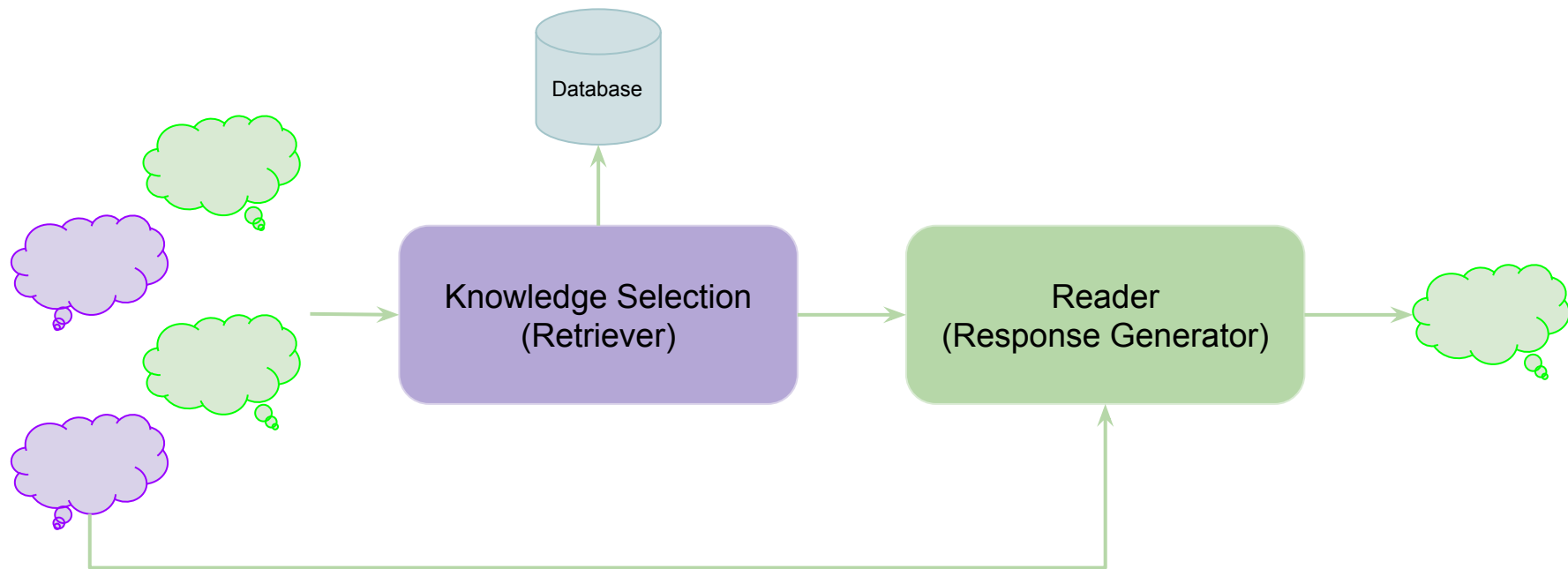
Mr. Suvodip Dey

Dr. Maunendra Sankar Desarkar

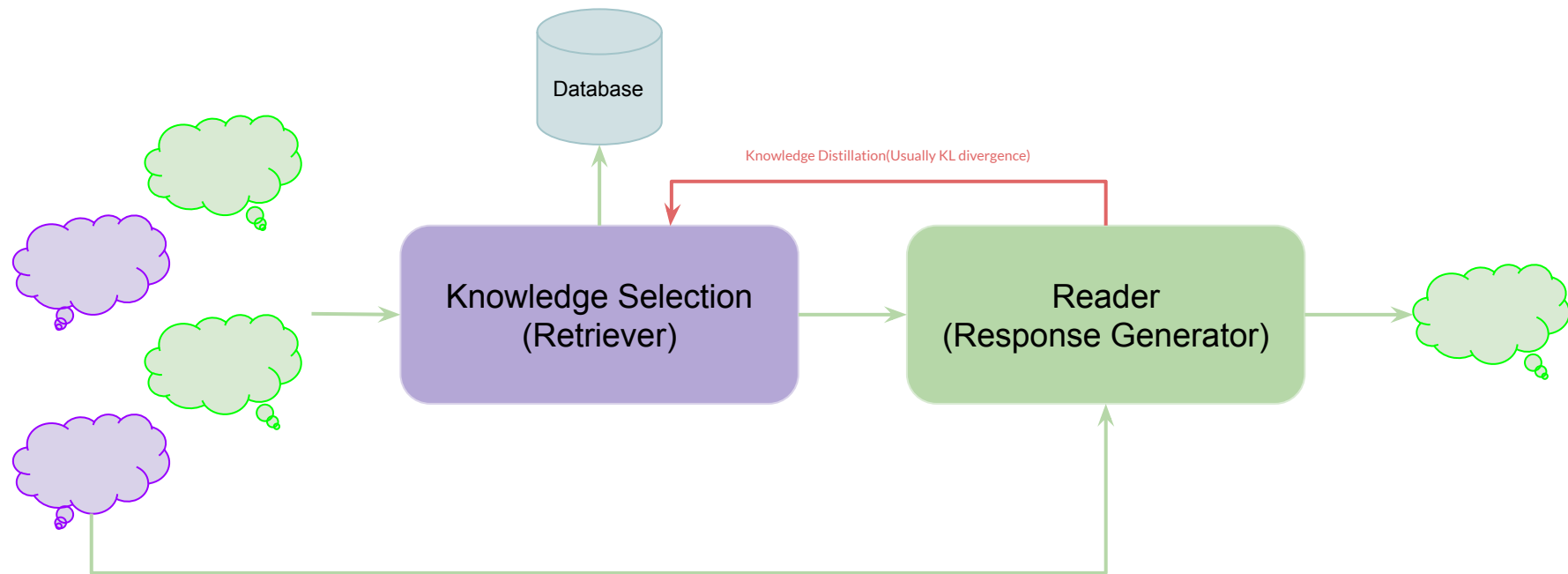
# Background



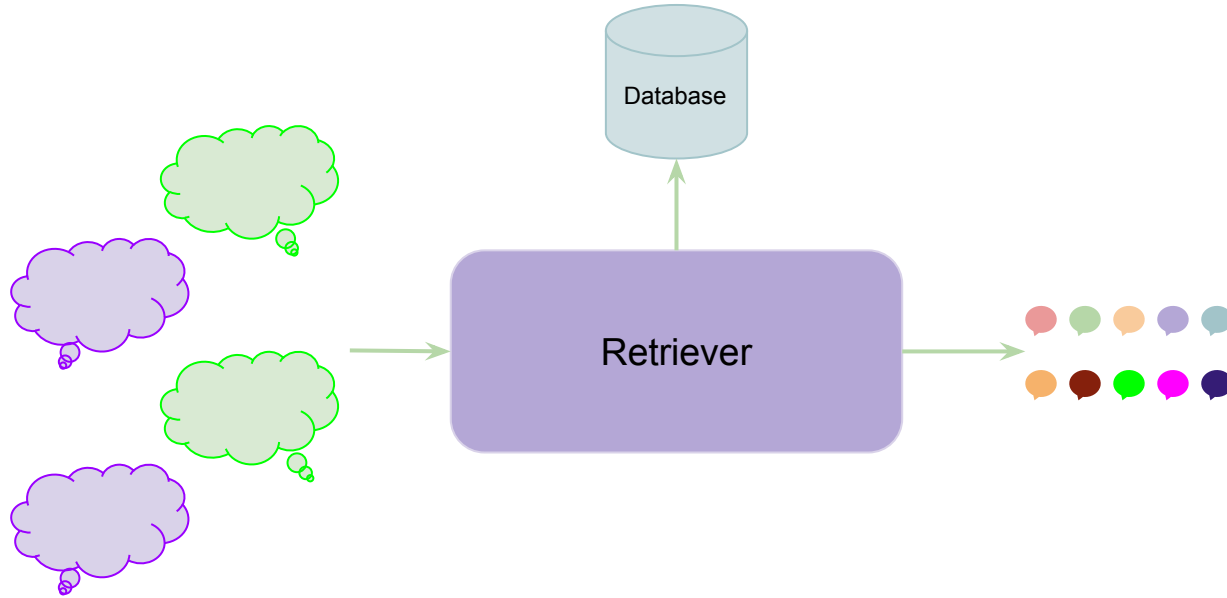
# Knowledge Grounded Conversation



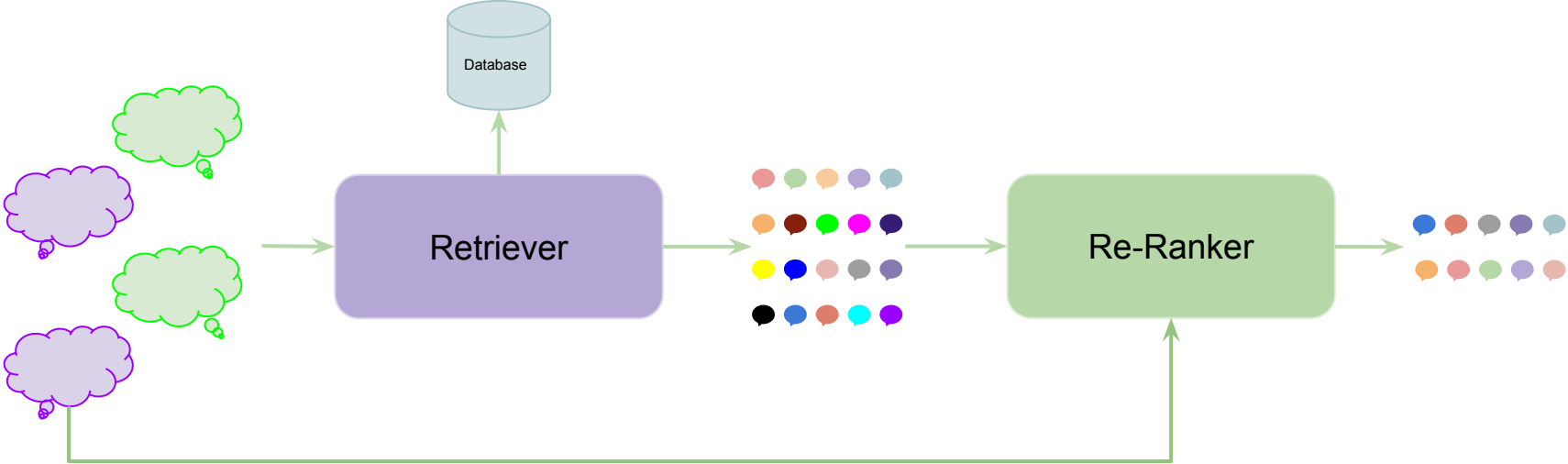
# Knowledge Distillation



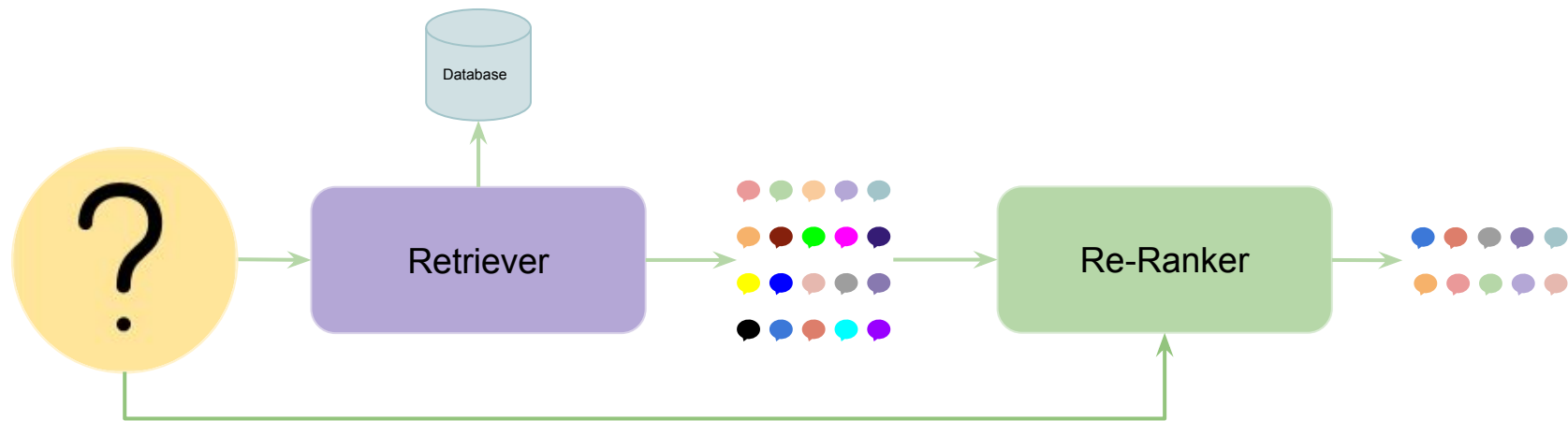
# Re-Ranking



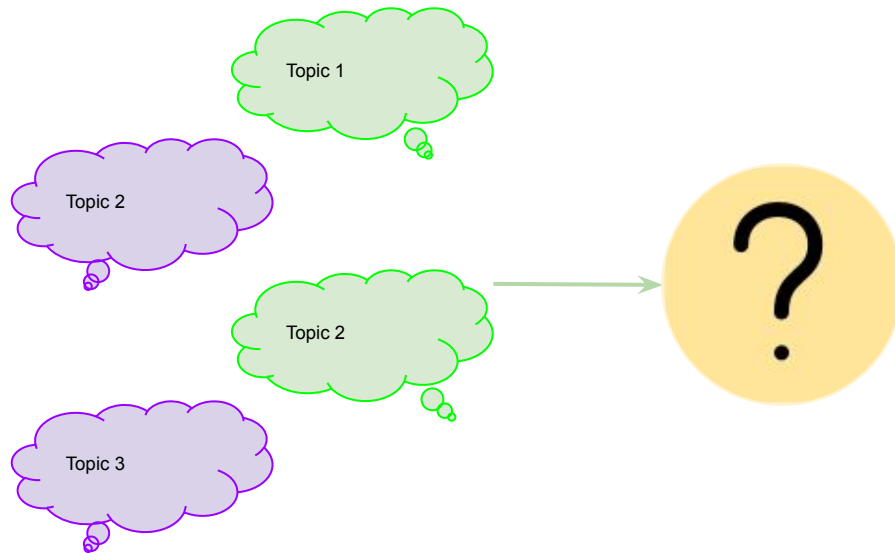
# Re-Ranking



# Query For Conversations

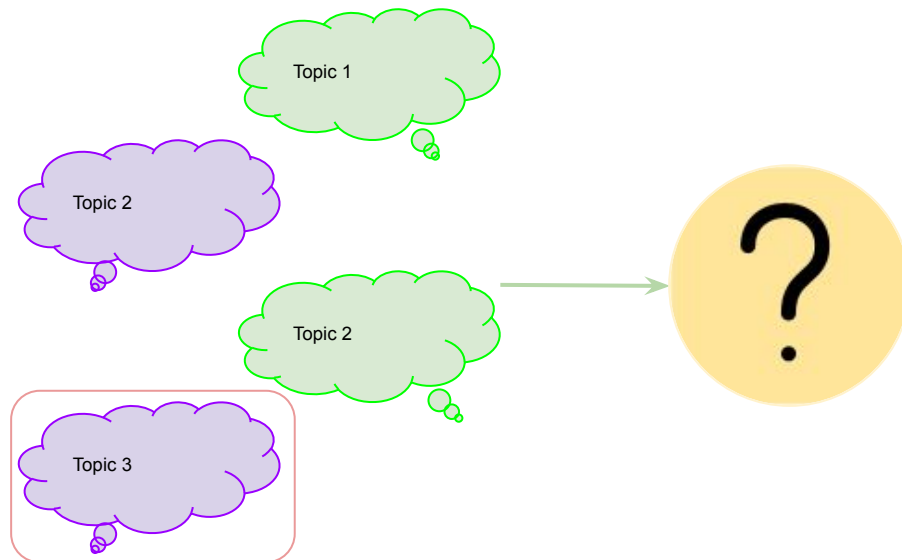


# Query For Conversations

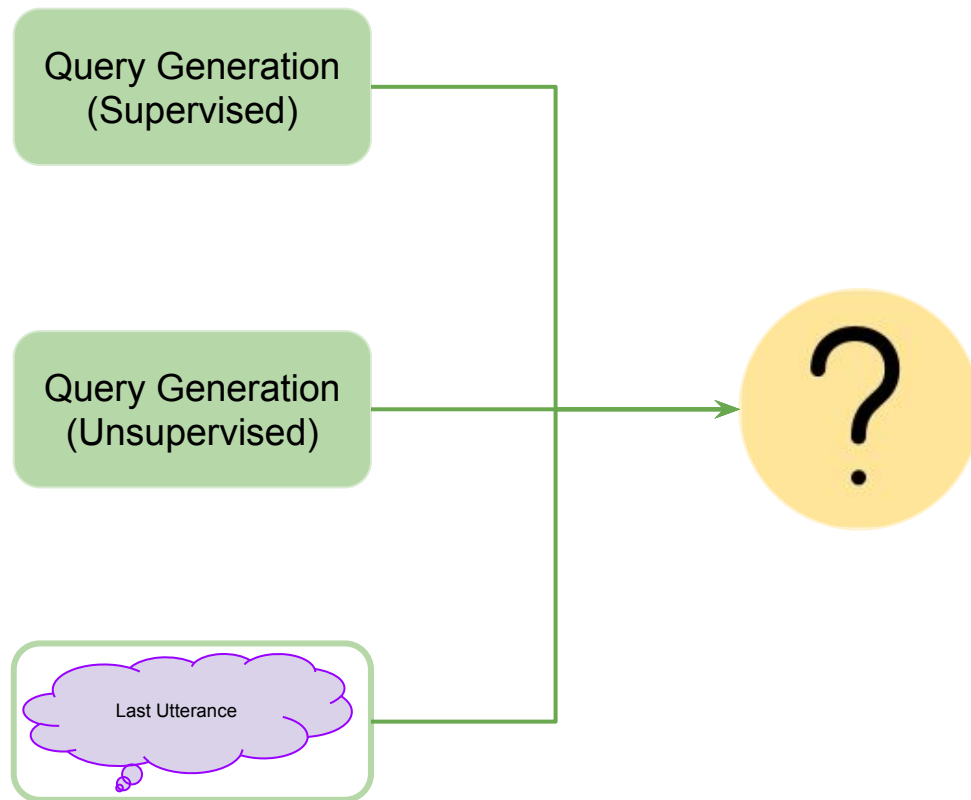




# Query For Conversations



# Query For Conversations



# Query For Conversations

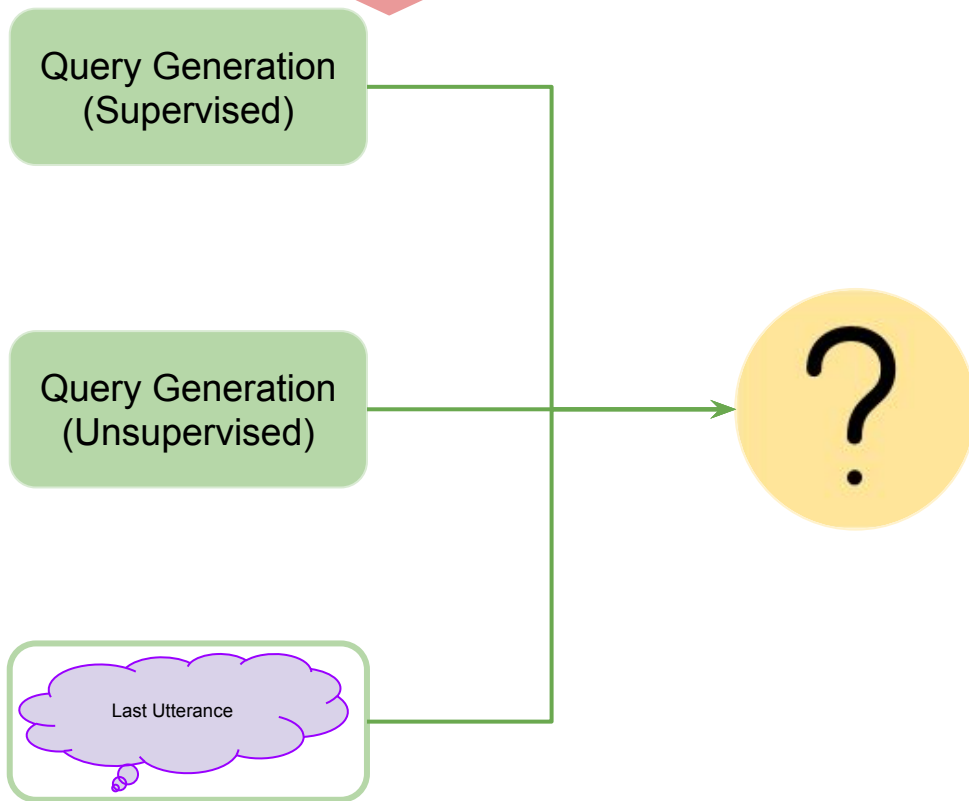
Datasets Like QReCC  
have queries created by  
human annotators

Query Generation  
(Supervised)

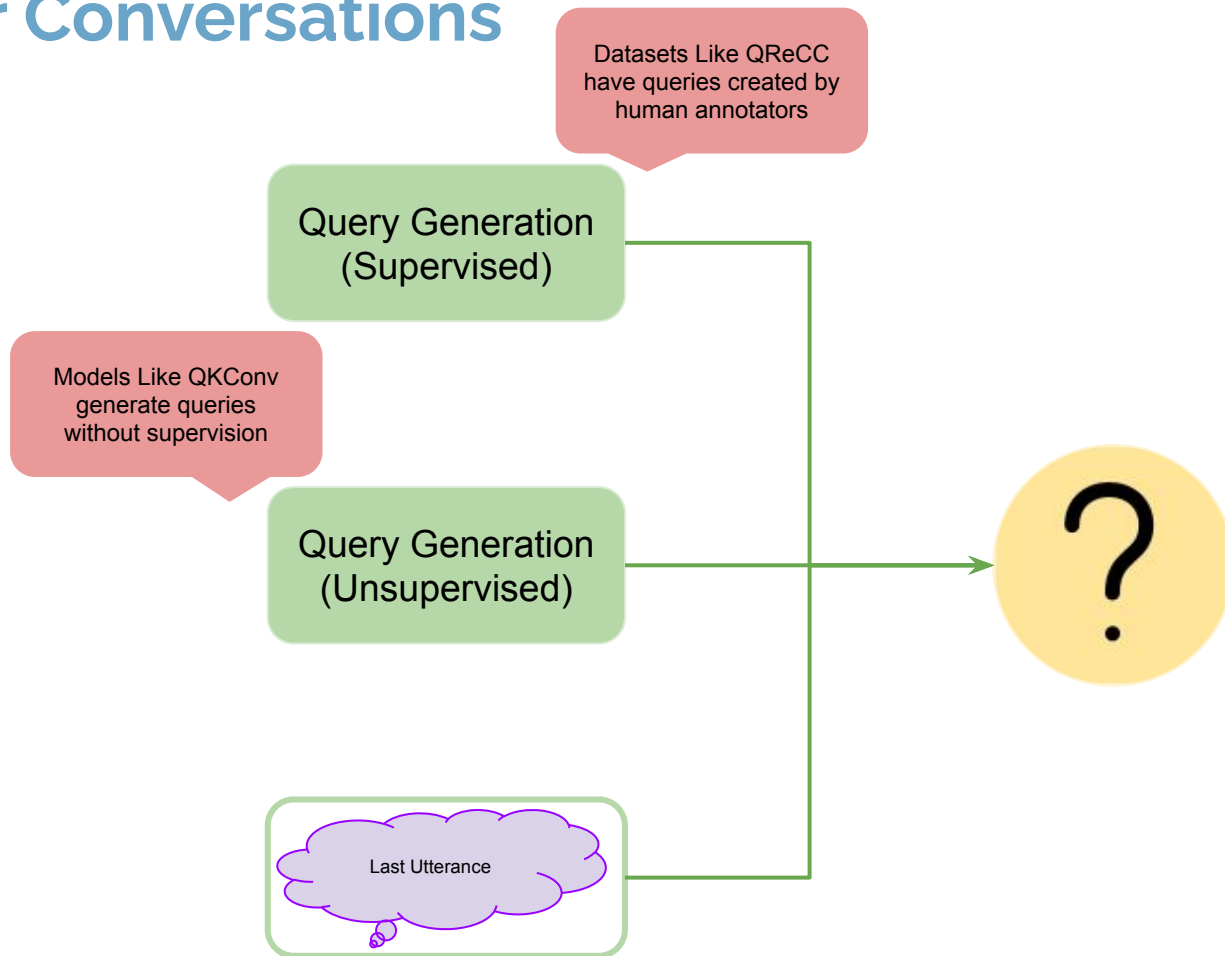
Query Generation  
(Unsupervised)

Last Utterance

?



# Query For Conversations



# Methodology



# Wizard of Wikipedia

Conversation



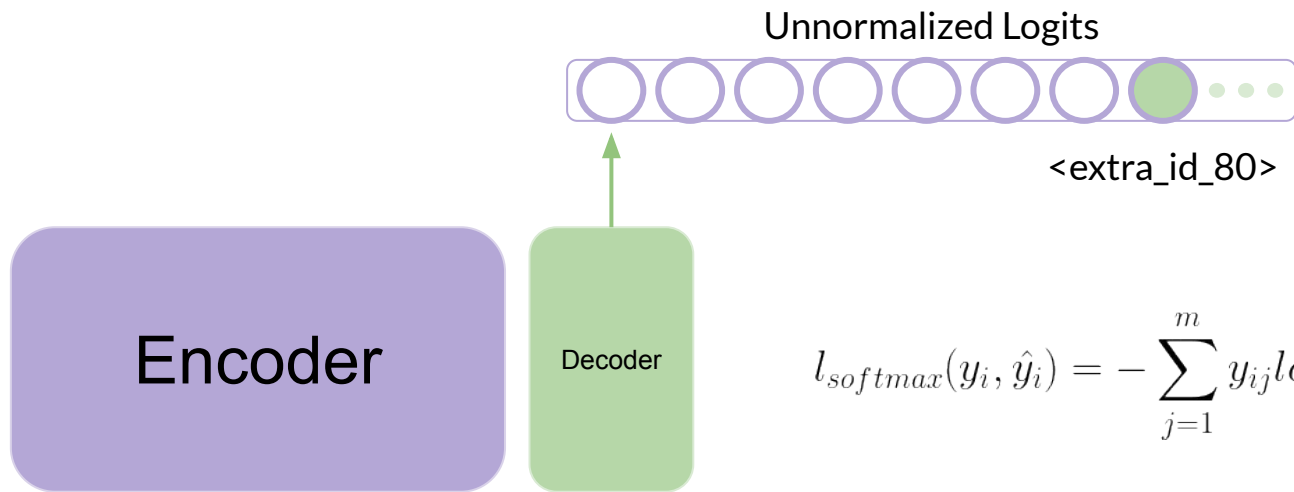
Response



Knowledge



# Rank-T5



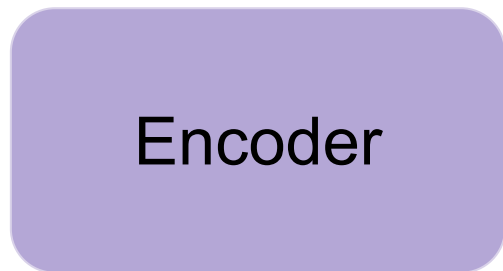
$$l_{softmax}(y_i, \hat{y}_i) = - \sum_{j=1}^m y_{ij} \log \left( \frac{e^{\hat{y}_{ij}}}{\sum_{j'} e^{\hat{y}_{ij'}}} \right)$$

$\hat{y}_{ij}$  = score of j-th passage  
for i-th query

$y_{ij}$  = 1 if the passage j is a  
provenance to query i

# Rank-T5

→ Good Performance Compared to other Re-Rankers



Question

Title

Passage



Unnormalized Logits



<extra\_id\_80>

$$l_{softmax}(y_i, \hat{y}_i) = - \sum_{j=1}^m y_{ij} \log \left( \frac{e^{\hat{y}_{ij}}}{\sum_{j'} e^{\hat{y}_{ij'}}} \right)$$

$\hat{y}_{ij}$  = score of j-th passage for i-th query

$y_{ij}$  = 1 if the passage j is a provenance to query i



# Rank-T5

- Good Performance Compared to other Re-Rankers
- Aligns well with the objective proposed in this work

Encoder

Question

Title

Passage

Decoder

Unnormalized Logits



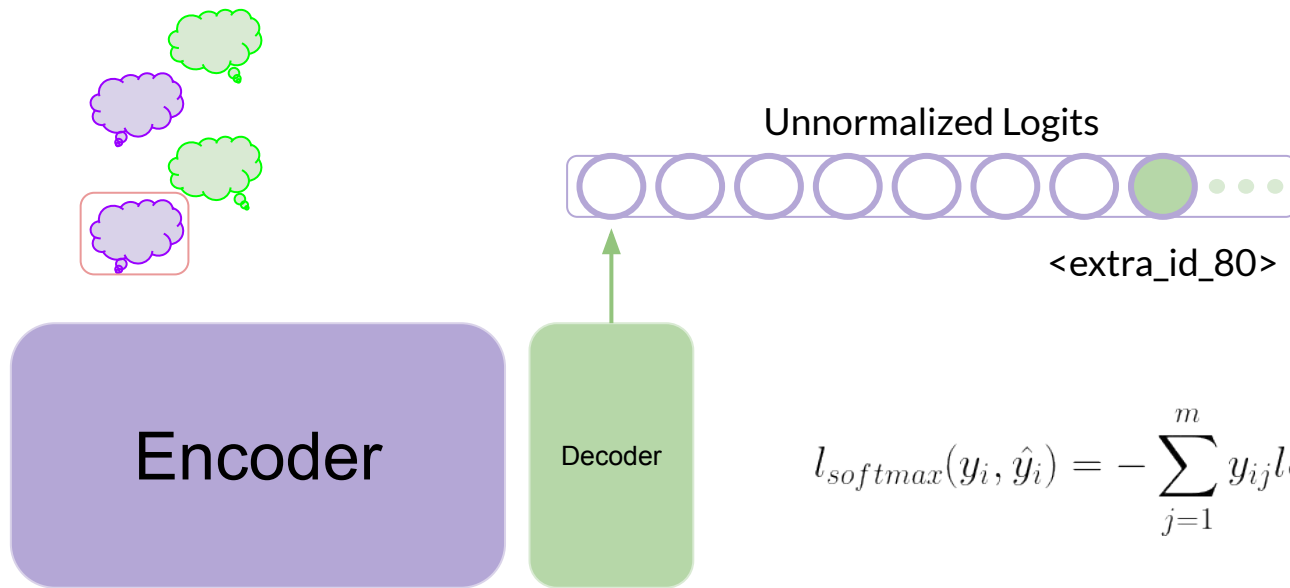
<extra\_id\_80>

$$l_{softmax}(y_i, \hat{y}_i) = - \sum_{j=1}^m y_{ij} \log \left( \frac{e^{\hat{y}_{ij}}}{\sum_{j'} e^{\hat{y}_{ij'}}} \right)$$

$\hat{y}_{ij}$  = score of j-th passage for i-th query

$y_{ij}$  = 1 if the passage j is a provenance to query i

# Rank-T5



Question

Title

Passage

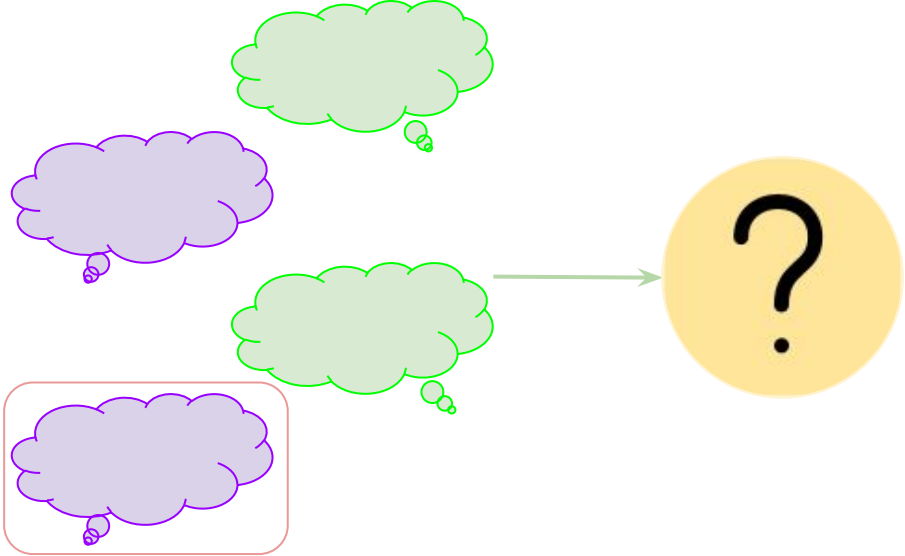
$$l_{softmax}(y_i, \hat{y}_i) = - \sum_{j=1}^m y_{ij} \log \left( \frac{e^{\hat{y}_{ij}}}{\sum_{j'} e^{\hat{y}_{ij'}}} \right)$$

$\hat{y}_{ij}$  = score of j-th passage  
for i-th query

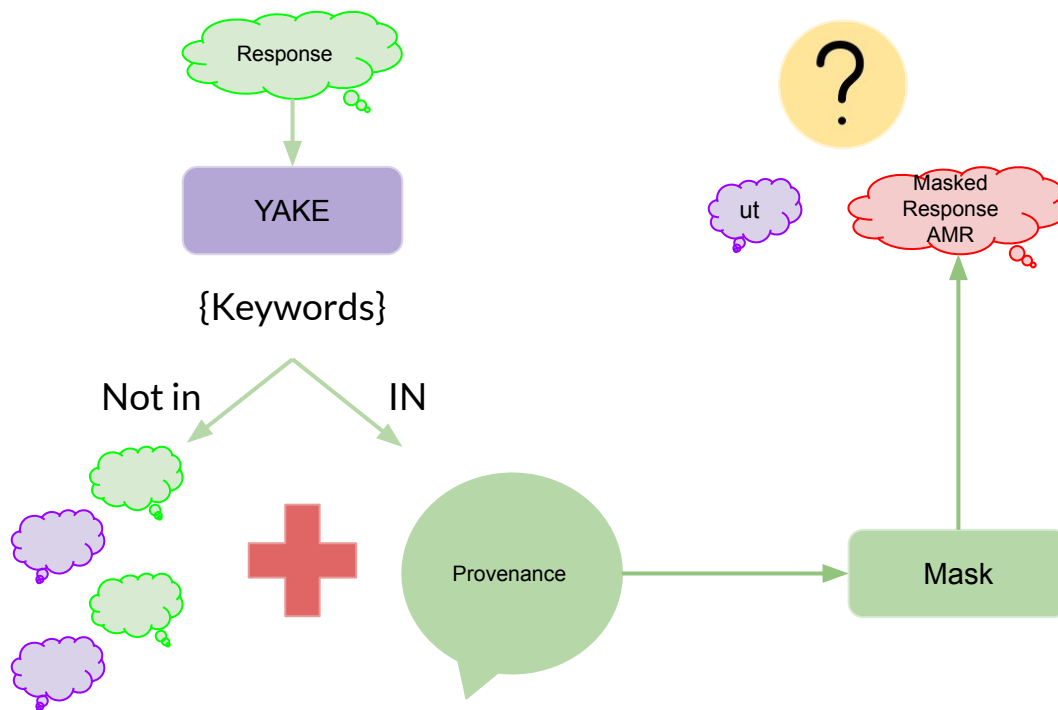
$y_{ij}$  = 1 if the passage j is a  
provenance to query i

# Rank-T5

	MRR	R@1	R@2	R@3	R@4	R@5	nDCG@1	nDCG@2	nDCG@3	nDCG@4	nDCG@5
Rank-T5 query as last utterance	88.57	81.12	91.59	95.29	97.20	98.75	81.12	87.72	89.58	90.40	91.00



# Masked Response



# Masked Response

Encoder

question: Masked Response

title: Passage Title

context: Passage

How does social interaction relate to transition as mentioned? <eou> Kids <extra\_id\_3> to interact with their peers.Record shows that the first kindergarten centers were opened late 18th <extra\_id\_2> in <extra\_id\_1> and <extra\_id\_0>

Decoder

Kids **learn** to interact with their peers.Record shows that the first kindergarten centers were opened late 18th **century** in **Bavaria** and **Strasbourg**

# Masked Response

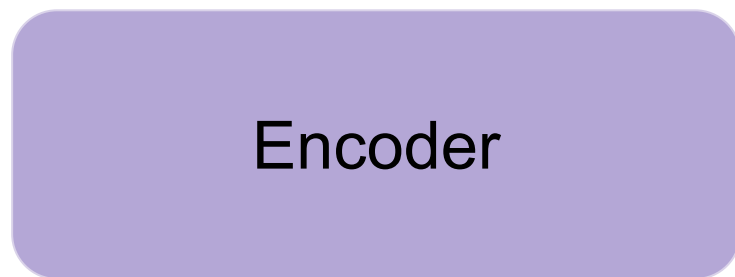
**question:** How does social interaction relate to transition as mentioned? <eu> Kids<extra id 3> to interact with their peers. Record shows that the first kindergarten centers were opened late 18th<extra id 2>

in<extra id 1> and<extra id 0> **title:** Kindergarten **passage:** Kindergarten (; from German, which literally means "garden for the children") is a preschool educational approach traditionally based on playing, singing, practical activities such as drawing, and social interaction as part of the transition from home to school. At first such institutions were created in the late 18th century in Bavaria and Strasbourg to serve children whose parents both worked out of the home. The term was coined by the German Friedrich Fröbel, whose approach globally influenced early-years education. Today, the term is used in many countries to describe a variety of educational institutions and learning spaces for children ranging from two to seven years of age, based on a variety of teaching methods. In 1779, Johann Friedrich Oberlin and Louise Scheppler founded in Strasbourg an early establishment for caring for and educating pre-school children whose parents were absent during the day. At about the same time, in 1780, similar infant establishments were established in Bavaria. In 1802, Princess Pauline zur Lippe established a preschool center in Detmold, the capital of the then principality of Lippe, Germany (now in the State of North Rhine-Westphalia). In 1816, Robert Owen, a philosopher and pedagogue, opened the first British and probably globally the first infants school in New Lanark, Scotland.</s>

# Keyword Estimation

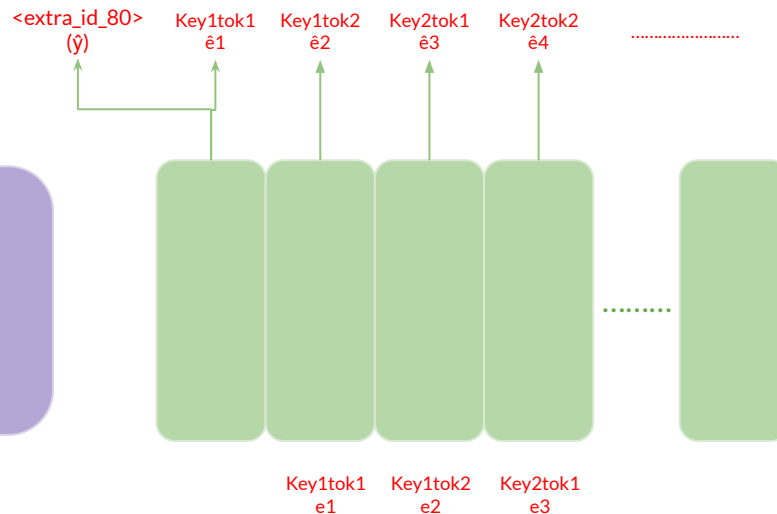
$$\text{RankScore}(q_i, p_j) = \hat{y}_{ij}$$

$$\text{KEScore}(q_i, p_j) = \sum_k \hat{e}_{ik} = \hat{z}_{ij}$$



question: Masked Response   title: Passage Title   context: Passage

Unnormalised Logit Score of



# Keyword Estimation

$$l_{softmax}(y_i, \hat{y}_i) = - \sum_{j=1}^m y_{ij} \log \left( \frac{e^{\hat{y}_{ij}}}{\sum_{j'} e^{\hat{y}_{ij'}}} \right)$$

$$l_{softmax}(y_i, \hat{z}_i) = - \sum_{j=1}^m y_{ij} \log \left( \frac{e^{\hat{z}_{ij}}}{\sum_{j'} e^{\hat{z}_{ij'}}} \right)$$

$$l_{kl}(\hat{Z} || \hat{Y}) = \sum_{j=1}^m \frac{e^{\hat{z}_{ij}/\tau}}{\sum_{j'} e^{\hat{z}_{ij'}/\tau}} \log \left( \frac{\frac{e^{\hat{y}_{ij}}}{\sum_{j'} e^{\hat{y}_{ij'}}}}{\frac{e^{\hat{z}_{ij}/\tau}}{\sum_{j'} e^{\hat{z}_{ij'}/\tau}}} \right)$$

$$l_{SKL} = l_{KL}(\text{stopgrad}(\hat{Z}) || \hat{Y}) + l_{KL}(\text{stopgrad}(\hat{Y}) || \hat{Z})$$

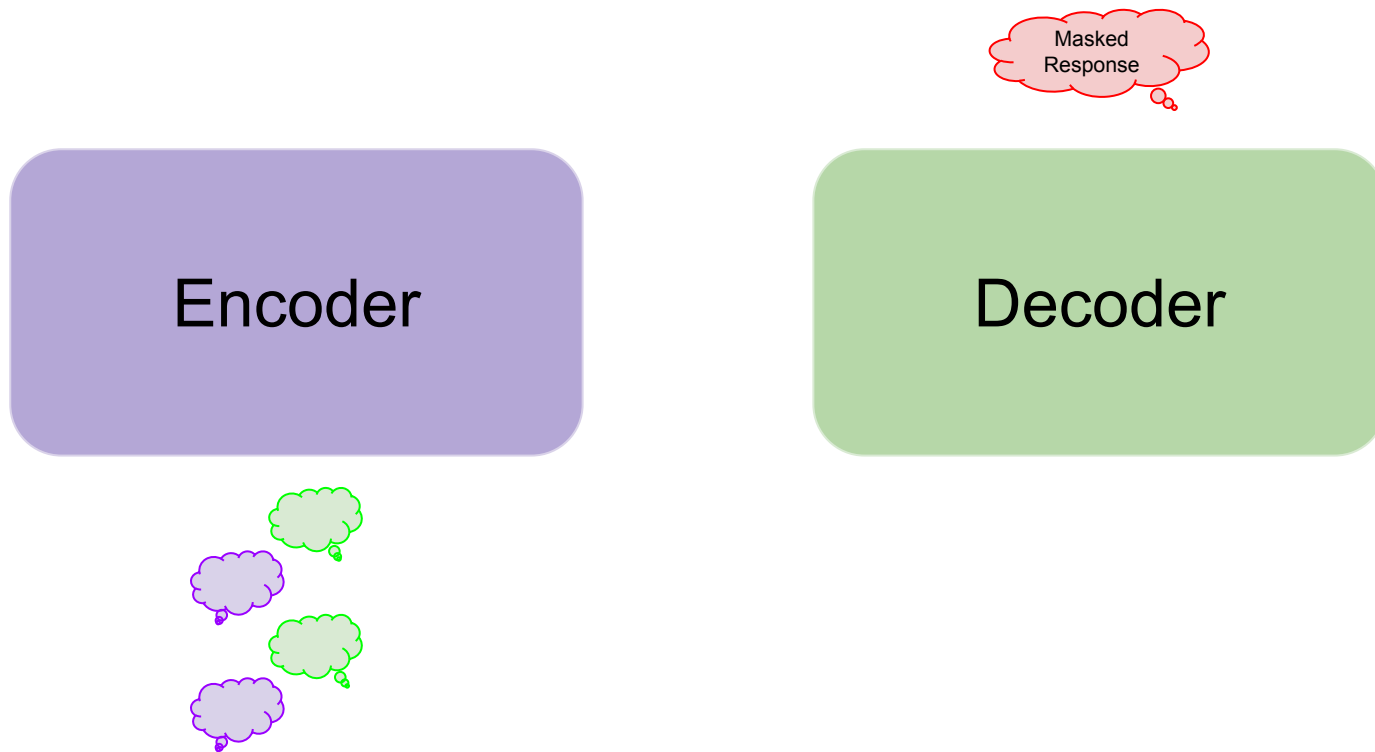
$$l_1 = l_{softmax}(y_i, \hat{y}_i) + l_{softmax}(y_i, \hat{z}_i)$$

$$l_2 = l_{softmax}(y_i, \hat{y}_i) + l_{KL}(\hat{Z} || \hat{Y})$$

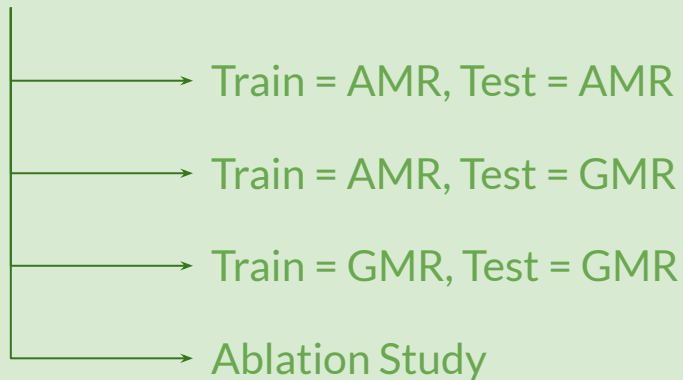
$$l_3 = l_{softmax}(y_i, \hat{y}_i) + \lambda \cdot l_{SKL}$$



# Generated Masked Response



# Experiments and Results



# Results (test\_q = ut+amr, train\_q = ut+amr)

	MRR	R@1	R@2	R@3	R@4	R@5	nDCG@1	nDCG@2	nDCG@3	nDCG@4	nDCG@5
Rank-T5 query as last utterance	88.57	81.12	91.59	95.29	97.20	98.75	81.12	87.72	89.58	90.40	91.00
Rank-T5 w/o KE loss	94.79	90.94	97.09	98.39	99.18	99.59	90.94	94.82	95.47	95.81	95.97
Rank-T5 With L1 loss	94.79	91.00	96.93	98.39	98.99	99.59	90.99	94.74	95.47	95.73	95.96
Rank-T5 L2 loss (t=2)	94.65	90.72	96.90	98.42	99.10	99.56	90.72	94.62	95.38	95.67	95.85
Rank-T5 L2 loss (t=3)	94.96	91.29	96.98	98.56	99.16	99.83	91.29	94.88	95.67	95.93	96.18
Rank-T5 L2 loss (t=5)	94.40	90.34	96.69	98.23	99.08	99.37	90.34	94.34	95.12	95.48	95.60
Rank-T5 L3 loss (t=1)	95.46	91.53	97.41	98.55	99.28	99.84	91.53	95.61	95.96	96.07	96.90

# Results (test\_q = ut+gmr, train\_q = ut+amr)

	MRR	R@1	R@2	R@3	R@4	R@5	nDCG@1	nDCG@2	nDCG@3	nDCG@4	nDCG@5
Rank-T5 query as last utterance	88.57	81.12	91.59	95.29	97.20	98.75	81.12	87.72	89.58	90.40	91.00
Rank-T5 w/o KE loss	85.29	76.14	88.08	93.33	96.60	98.23	76.14	83.68	86.30	87.71	88.34
Rank-T5 With L1 loss	85.65	76.41	89.04	93.99	96.76	98.39	76.41	84.38	86.85	88.05	88.68
Rank-T5 L2 loss (t=2)	86.93	78.45	90.21	94.50	97.03	98.45	78.45	85.87	88.01	89.11	89.66
Rank-T5 L2 loss (t=3)	87.02	78.62	90.32	94.53	96.98	98.45	78.62	86.00	88.11	89.16	89.73
Rank-T5 L2 loss (t=5)	86.74	78.12	90.04	94.56	97.03	98.56	78.12	85.64	87.90	88.97	89.56
Rank-T5 L3 loss (t=1)	87.23	78.94	90.42	94.70	97.17	98.56	78.94	86.19	88.32	89.39	89.92

# Results (test\_q = ut+gmr, train\_q = ut+gmr)

	MRR	R@1	R@2	R@3	R@4	R@5	nDCG@1	nDCG@2	nDCG@3	nDCG@4	nDCG@5
Rank-T5 query as last utterance	88.57	81.12	91.59	95.29	97.20	98.75	81.12	87.72	89.58	90.40	91.00
Rank-T5 w/o KE loss	89.69	83.00	92.13	95.78	<b>97.88</b>	<b>99.05</b>	83.00	88.76	90.59	91.49	<b>91.94</b>
Rank-T5 With L1 loss	89.30	82.18	92.19	96.06	97.71	98.91	82.18	88.50	90.43	91.14	91.61
Rank-T5 L2 loss (t=2)	89.52	82.81	91.78	95.73	97.77	98.97	82.81	88.47	90.44	91.32	91.79
Rank-T5 L2 loss (t=3)	89.44	82.54	91.94	96.06	97.82	98.94	82.54	88.47	90.53	91.29	91.72
Rank-T5 L2 loss (t=5)	89.56	82.59	92.36	96.03	98.07	99.13	82.59	88.75	90.59	91.47	91.88
Rank-T5 L3 loss (t=1)	<b>89.82</b>	<b>83.92</b>	<b>92.38</b>	<b>96.19</b>	97.93	98.88	<b>83.92</b>	<b>88.89</b>	<b>90.79</b>	<b>91.54</b>	91.91

# Results {Ablation Study} (q = gmr)

	MRR	R@1	R@2	R@3	R@4	R@5	nDCG@1	nDCG@2	nDCG@3	nDCG@4	nDCG@5
Rank-T5 query as last utterance	88.57	81.12	91.59	95.29	97.20	98.75	81.12	87.72	89.58	90.40	91.00
Rank-T5 w/o KE loss	82.86	72.58	85.58	91.78	95.13	97.50	72.58	80.78	83.88	85.33	86.24
Rank-T5 With L1 loss	83.22	73.04	86.32	91.78	95.24	97.61	73.04	81.42	84.15	85.63	86.55
Rank-T5 L2 loss (t=2)	82.46	71.98	85.34	91.54	94.86	97.14	71.98	80.40	83.51	84.94	85.82
Rank-T5 L2 loss (t=3)	81.67	70.51	85.12	90.94	94.86	97.61	70.51	79.73	82.64	84.33	85.39
Rank-T5 L2 loss (t=5)	83.70	73.67	86.86	92.41	95.59	97.82	73.67	81.99	84.77	86.14	87.00
Rank-T5 L3 loss (t=1)	85.29	76.06	88.47	93.30	96.25	98.34	76.06	83.89	86.31	87.57	88.38

# Results {Ablation Study} % of GMR in Train

	MRR	R@1	R@2	R@3	R@4	R@5	nDCG@1	nDCG@2	nDCG@3	nDCG@4	nDCG@5
Rank-T5 L2 loss (t=3) 50% GMR	89.44	82.45	92.25	95.95	97.85	98.91	82.45	88.63	90.48	91.30	91.72
Rank-T5 L2 loss (t=3) 0-50% GMR	89.44	82.54	91.94	96.06	97.82	98.94	82.54	88.47	90.53	91.29	91.72
Rank-T5 L2 loss (t=3) 100% GMR	88.85	81.80	91.32	94.94	97.44	98.78	81.80	87.81	89.62	90.69	91.21

# References

- [KILT: a Benchmark for Knowledge Intensive Language Tasks](#)
- [Wizard of Wikipedia: Knowledge-Powered Conversational agents](#)
- [Leveraging Passage Retrieval with Generative Models for Open Domain Question Answering](#)
- [Distilling Knowledge from Reader to Retriever for Question Answering](#)
- [Query Enhanced Knowledge-Intensive Conversation via Unsupervised Joint Modeling](#)
- [Open-Domain Question Answering Goes Conversational via Question Rewriting](#)
- [RankT5: Fine-Tuning T5 for Text Ranking with Ranking Losses](#)