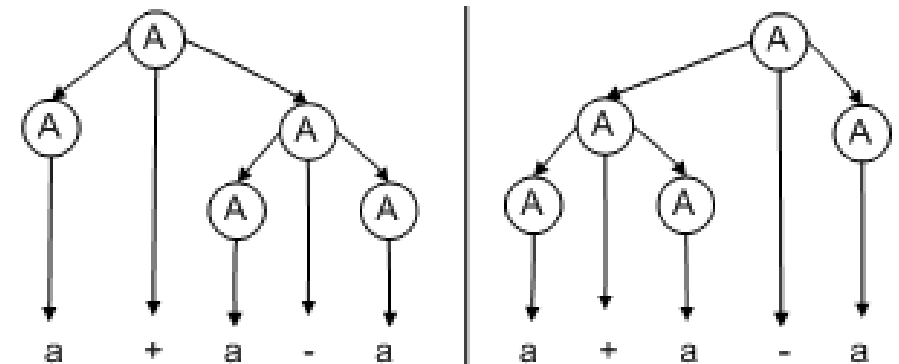


# Syntax/Context Free Grammar

(Natural Language Processing)

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# Grammar

Britannica Dictionary definition of GRAMMAR:

- The set of rules that explain how words are used in a language
- Speech or writing judged by how well it follows the rules of grammar

# Syntax

- In linguistics, syntax is the set of rules, principles, and processes that control the structure of sentences in a given language, specifically the word order.
- To the movies we are going: any sense?
- Eats boy a the cookie: any sense?

# Syntax

- In linguistics, syntax is the set of rules, principles, and processes that control the structure of sentences in a given language, specifically the word order.
- **Incorrect** - To the movies we are going.
- **Correct** - We are going to the movies.
- **Incorrect** - Eats boy a the cookie.
- **Correct** - The boy eats a cookie.

# Why do we need Syntax?

- Languages are recursive
  - *recursion* is a phenomenon where a linguistic rule can be applied to the result of the application of the same rule.
    - $S \rightarrow S \text{ and } S$
    - $NP \rightarrow N \text{ NP}$
  - Ex:
    - Alex has a red car.
    - Alex, whom you know very well, has a red car.
    - Alex, whom you know very well, has a red car which is parked there.

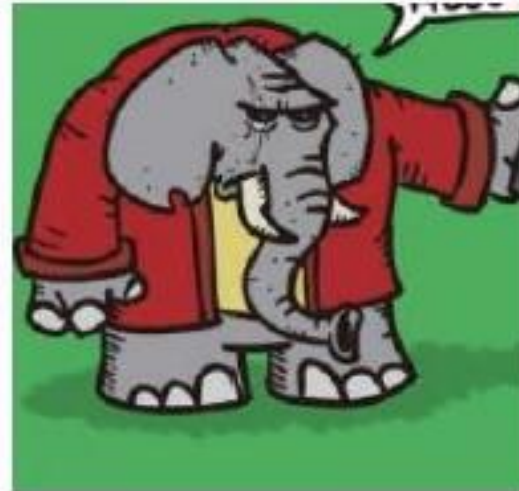
# Why do we need Syntax?

- Languages are highly ambiguous

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- Languages are highly ambiguous

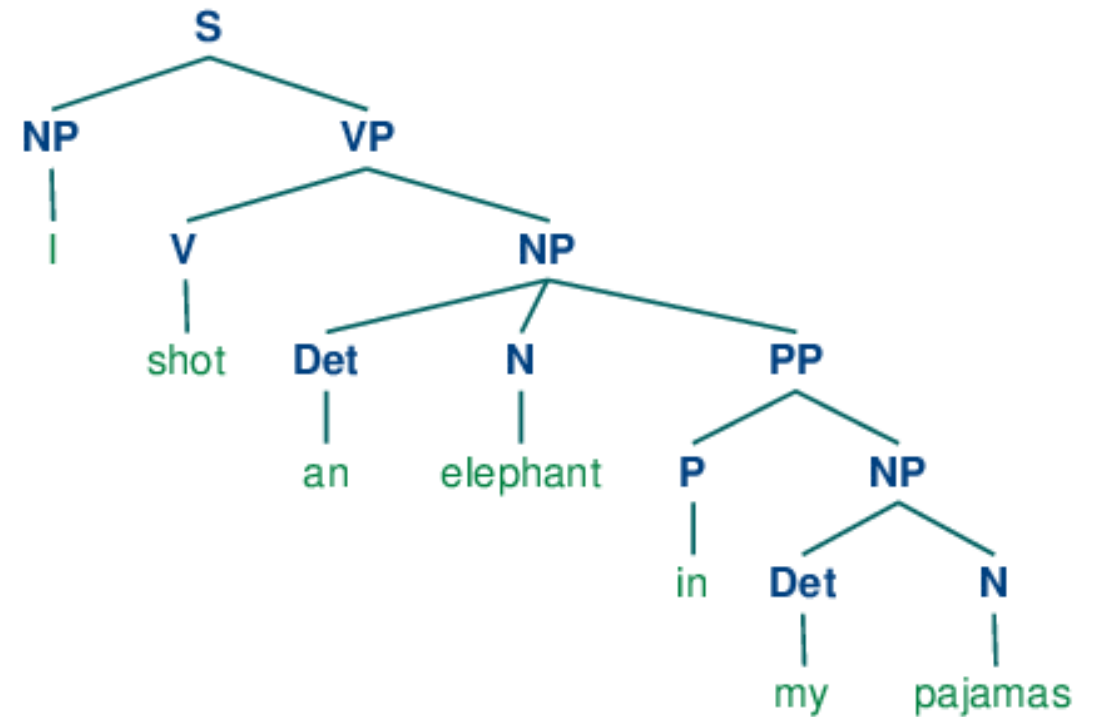
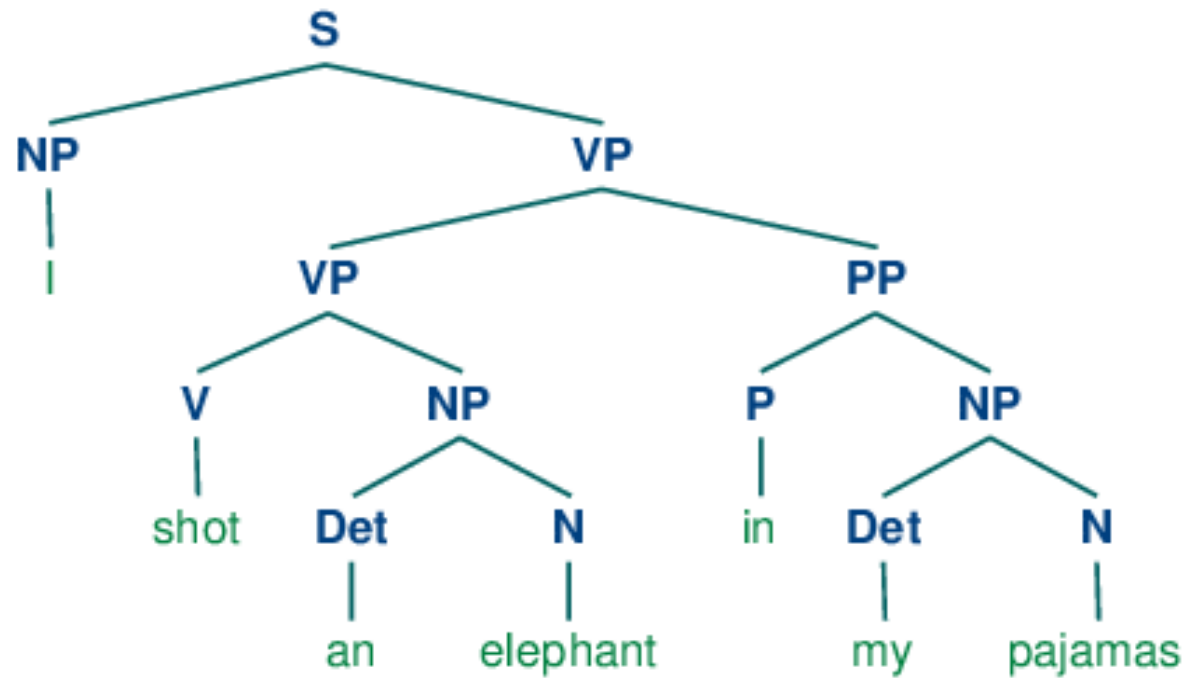
One morning in Africa,  
I shot an elephant in my pajamas;  
how he got into my pajamas I'll never know.



Famous joke by the American comedian Groucho Marx!

# Why do we need Syntax?

- Languages are highly ambiguous





# NLP is all about ambiguities

- to middle school kids: what does this sentence mean?

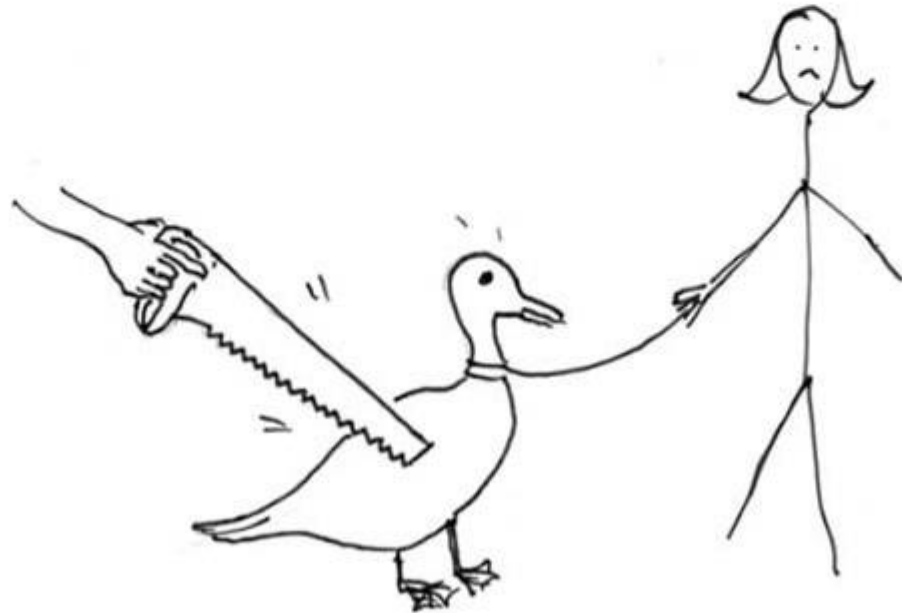
I saw her duck.



# NLP is all about ambiguities

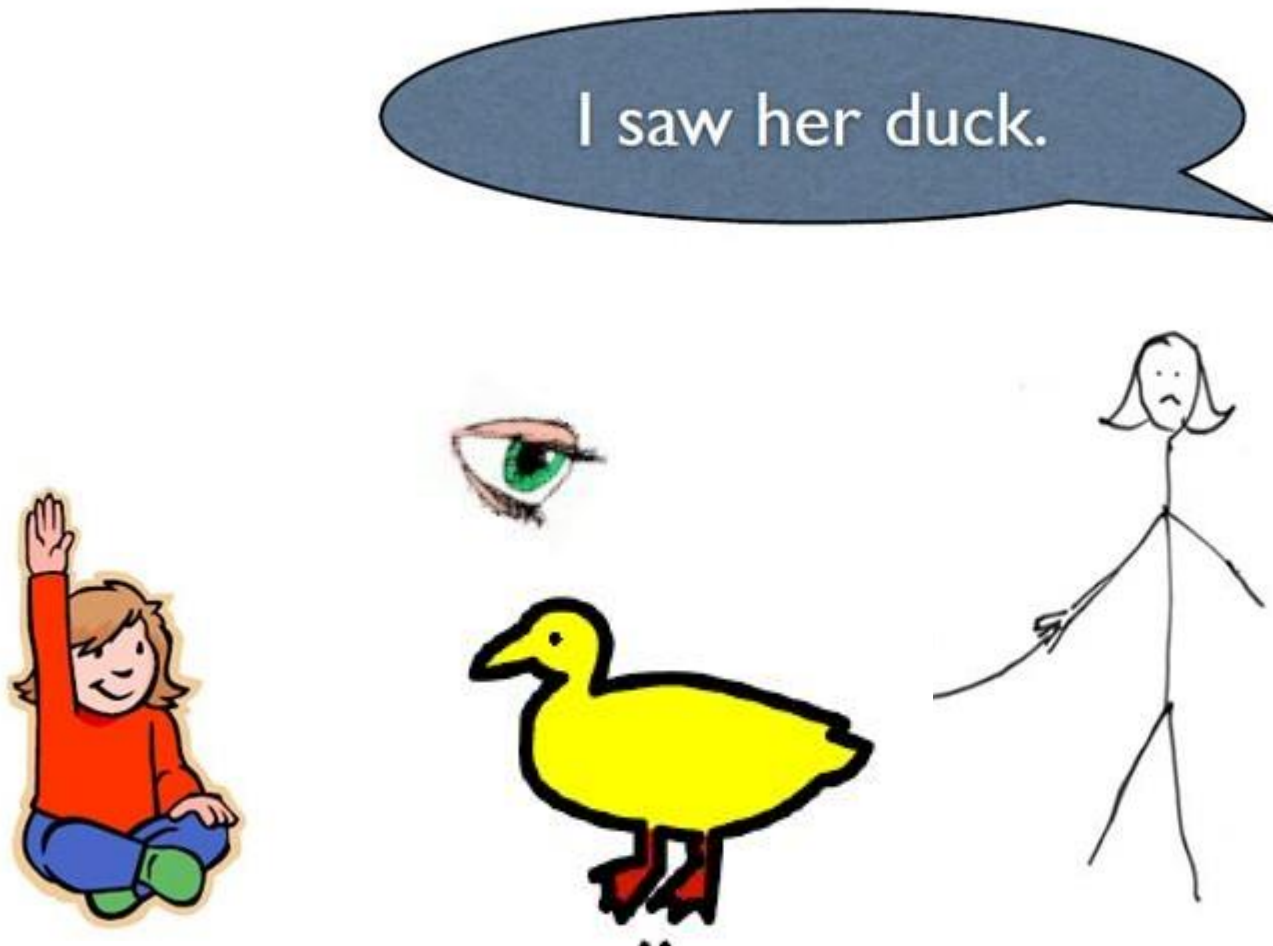
- to middle school kids: what does this sentence mean?

I saw her duck.



# NLP is all about ambiguities

- to middle school kids: what does this sentence mean?



# NLP is all about ambiguities

- I saw a man on a hill with a telescope.
  1. There's a man on a hill, and I'm watching him with my telescope.
  2. There's a man on a hill, who I'm seeing, and he has a telescope.
  3. There's a man, and he's on a hill that also has a telescope on it.
  4. I'm on a hill, and I saw a man using a telescope.
  5. There's a man on a hill, and I'm sawing him with a telescope.

# Syntactic Analysis

- Syntax expresses the way in which words are arranged together.
- The kind of implicit knowledge of your native language that you had mastered by the time you were 3 or 4 years old without explicit instruction
  - Do these word sequences fit together?  
*I saw you yesterday*  
*you yesterday I year*  
*colorless green ideas sleep furiously* (Chomsky)  
*furiously sleep ideas green colorless*
- NLP uses syntax to produce a structural analysis of the input sentence

# Context Free Grammars

- A *context-free grammar* (CFG) is a list of rules that define the set of all well-formed sentences in a language.
- Each rule has a left-hand side, which identifies a syntactic category, and a right-hand side, which defines its alternative component parts, reading from left to right.

$$S \rightarrow NP VP$$



# Context Free Grammars

- Why Context-Free?
  - The notion of **context** in CFGs has nothing to do with the ordinary meaning of the word context in language.
  - All it really means is that the non-terminal on the left-hand side of a rule can be replaced regardless of context
    - Context-sensitive grammars allow context to be placed on the left-hand side of the rewrite rule
- In programming languages, and other uses of CFGs in Computer Science, notably XML, CFGS are
  - Unambiguous
    - Assign at most, 1 structural description to a string
  - Parsable in time linearly proportional to the length of the string

# Context Free Grammars

- Capture constituency and ordering
  - Ordering is
    - What are the rules that govern the ordering of words and bigger units in the language
  - Constituency is
  - How do words group into units and what we say about how the various kinds of units behave
  - A constituent is a sequence of words that behave as a unit
    - John talked [to the children] [about drugs].
    - John talked [about drugs] [to the children].
    - \*John talked drugs to the children about (random reorder)
  - Constituents can be expanded or substituted for:
    - I sat [on the box/right on top of the box/there]
  - Other properties: Coordination, regular internal structure, no intrusion, fragments, semantics, ...



# Context Free Grammar

- A Context-Free Grammar is a 4-tuple where

$$G = (N, \Sigma, R, S).$$

# Context Free Grammar consists of:

- **Non-terminal symbols**  
S, NP, VP, etc. representing the constituents  
or categories of phrases
- **Terminal symbols**  
*car, man, house*, representing words in the lexicon
  - The rewrite rules will include lexical insertion rules  
(e.g.  $N = car \mid man \mid house$ )
- **Rewrite rules / productions**  
 $S \rightarrow NP VP \mid VP$   
(note use of  $\mid$  symbol to give alternate rhs of rules)
- A designated start symbol S
- A **derivation** is a sequence of rewrite rules applied to a string  
that exactly covers the items in that string

# Derivation of Syntax from grammar rules

*the*

*man*

*eats*

*the*

*apple*

Context Free Grammar Rules:

$S \rightarrow NPVP$

$NP \rightarrow DT\ NN$

$VP \rightarrow VB\ NP$

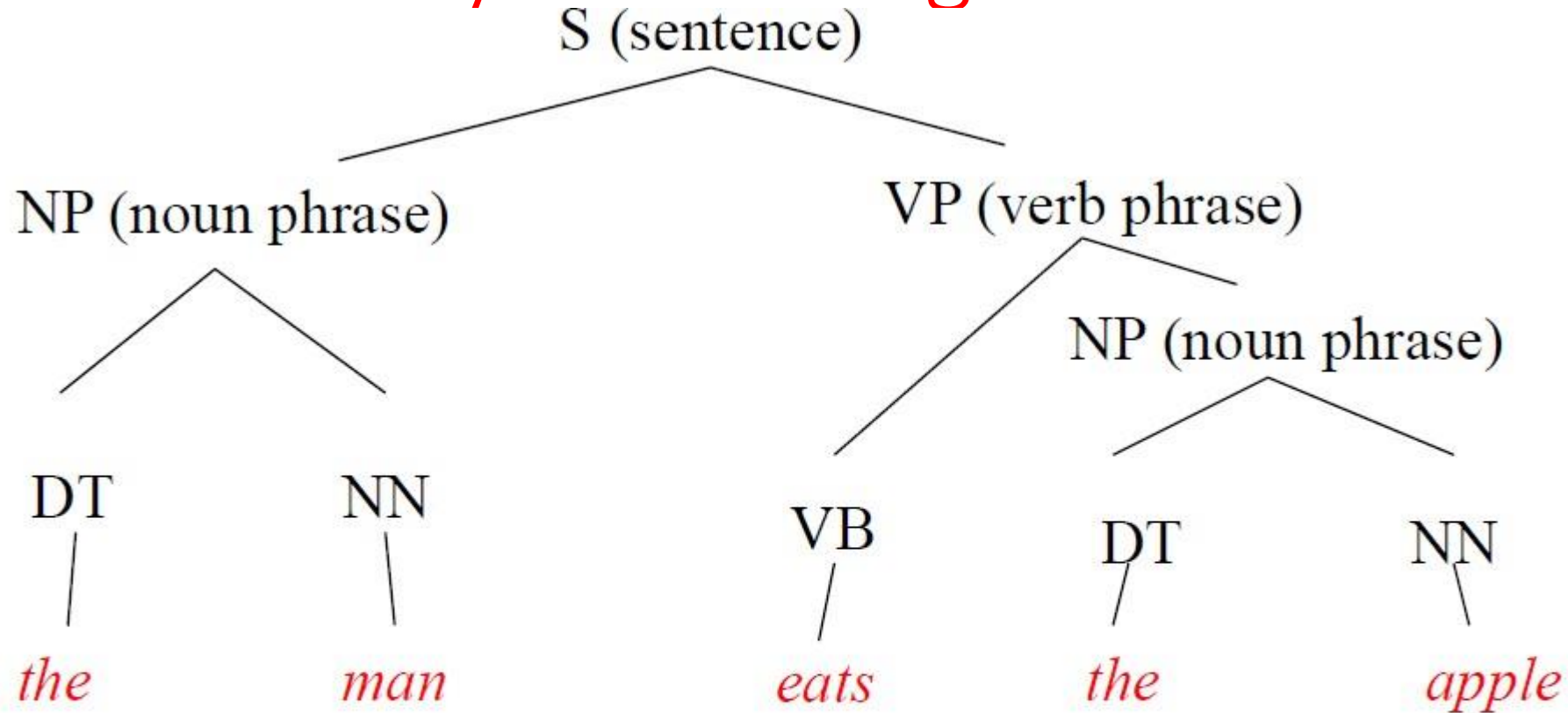
$VP \rightarrow VB$

$DT \rightarrow the \mid \dots$

$NN \rightarrow man \mid apple \mid \dots$  (add words)

$VB \rightarrow eats \mid \dots$

# Derivation of Syntax from grammar rules



Context Free Grammar Rules:

$S \rightarrow NPVP$

$NP \rightarrow DT\ NN$

$VP \rightarrow VB\ NP$

$VP \rightarrow VB$

$DT \rightarrow the \mid \dots$

$NN \rightarrow man \mid apple \mid \dots$  (add words)

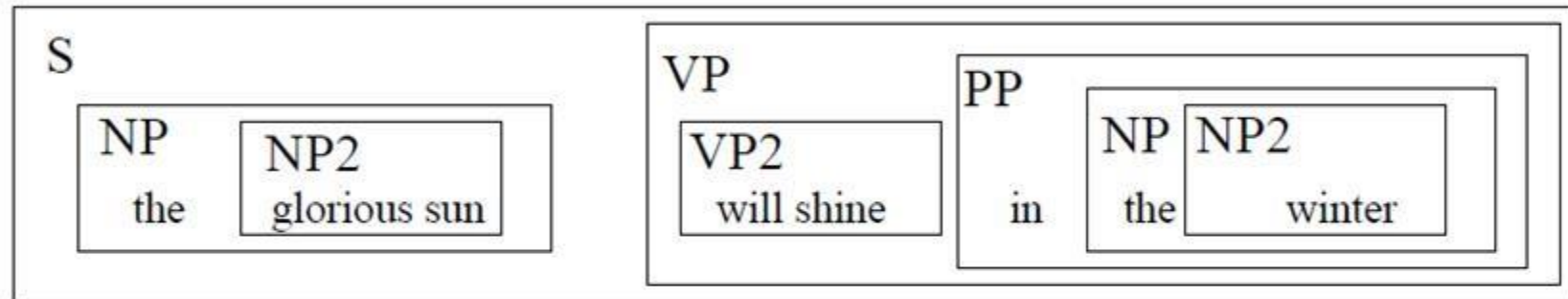
$VB \rightarrow eats \mid \dots$

# Notations for (constituents) syntactic Structure

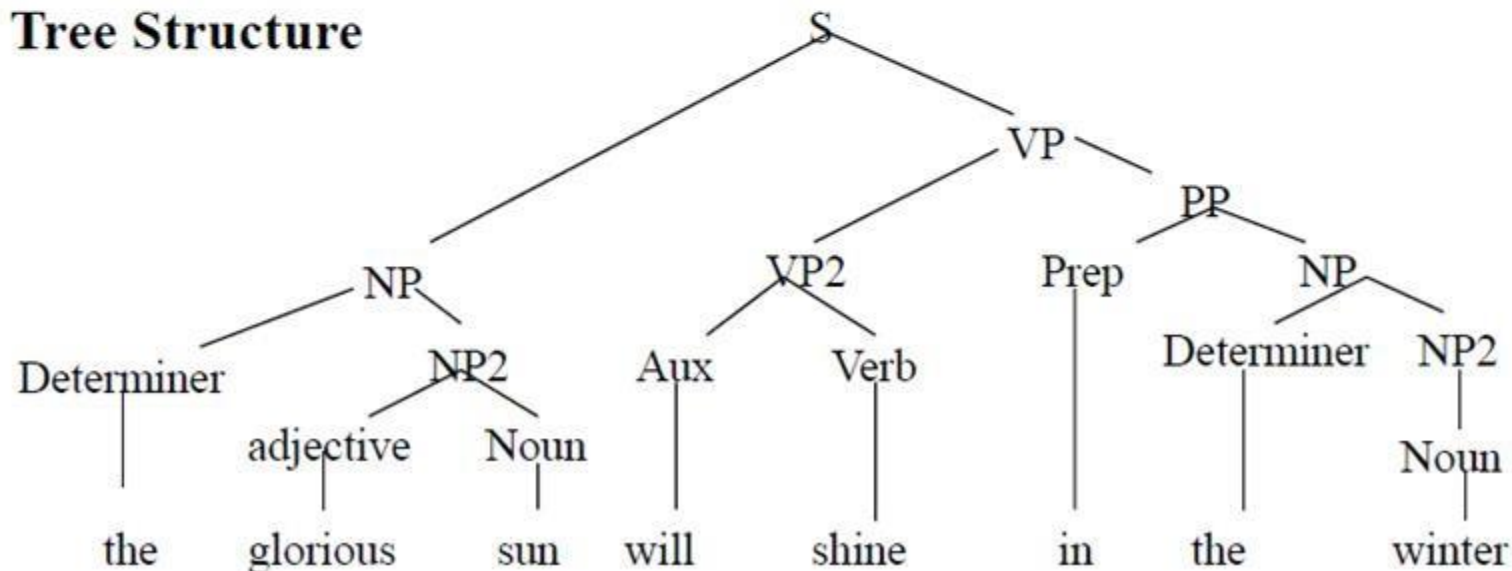
## Bracketed text

[s[NP the [ NP2 glorious sun]] [VP [VP2 will shine] [PP in [ NP the [ NP2 winter]]]]]

## Nested Boxes



## Tree Structure





# Generativity vs Parsing

- You can view these rules as either synthesis or analysis machines
  - Generate strings in the language
  - Reject strings not in the language
  - Impose structures (trees) on strings in the language
- The latter two are the analysis tasks of parsing
  - Parsing is the process of finding a derivation (i. e. sequence of productions) leading from the START symbol to a TERMINAL symbol (or TERMINALS to START symbol)
  - Shows how a particular sentence *could be* generated by the rules of the grammar
  - If sentence is structurally ambiguous, more than one possible derivation is produced

# Key Constituents for English

- English has headed phrase structure
  - X-bar theory: in natural languages, phrases are headed by particular kinds of word that has modifiers and qualifiers around them (specifiers, adjuncts, and complements)
- Verb Phrases  $VP \rightarrow \dots VB^* \dots$
- Noun Phrases  $NP \rightarrow \dots NN^* \dots$
- Adjective Phrases  $ADJP \rightarrow \dots JJ^* \dots$
- Adverb Phrases  $ADVP \rightarrow \dots RB^* \dots$
- Sentences (and clauses):  $SBAR \rightarrow S \mid SINV \mid SQ \dots$ 
  - Sentences, inverted sentences, direct questions, ... can also appear in larger clause structure SBAR where sentence is preceded by *that*
- Plus minor phrase types:
  - QP (quantifier phrase) in NP, PP (prepositional phrase), CONJP (multi word constructions: *as well as*), INTJ (interjections), etc.

# Sentences

- Sentences
  - Declaratives: *A plane left* (Information, word order is subject then verb)  
 *$S \rightarrow NP VP$*
  - Imperatives: *Leave!* (Give a command, Give Instructions)  
 *$S \rightarrow VP$*
  - Yes-No Questions: *Did the plane leave?*  
 *$S \rightarrow Aux NP VP$*
  - WH Questions: *When did the plane leave?*  
 *$S \rightarrow WH Aux NP VP$*



# Exercise

$S \rightarrow NP, VP$	$Adj \rightarrow angry big larger$
$VP \rightarrow Vbe, Adj$	$P \rightarrow at on under$
$NP \rightarrow Det, N$	$Det \rightarrow a an the$
$N \rightarrow Adj, N$	$Vbe \rightarrow is$
$Adj \rightarrow Adj, PP$	$N \rightarrow table bull snake$
$PP \rightarrow P, NP$	

Write down three (03) structurally different, grammatical sentences generated by this grammar.

# Noun Phrases

- Noun phrases have a **head noun** with pre and post-modifiers
  - Determiners, Cardinals, Ordinals, Quantifiers and Adjective Phrases are all optional, indicated here with parentheses  
NP -> (DT) (Card) (Ord) (Quan) (AP) **Noun**  
Noun -> NN | NP | NPS | NNS
  - Post-modifiers include prepositional phrases, gerundive phrases, and relative clauses
    - the **man** [from Moscow]
    - any **flights** [arriving after 11pm] (gerundive)
    - the **spy**[who came in from the cold] (relative clause)

Some examples on these slides are from the Jurafsky and Martin text and from Jim Martin's online course materials.

# Recursive Rules

- One type of Noun phrase is a Noun Phrase followed by a Prepositional phrase

NP  $\rightarrow$  NP PP

PP  $\rightarrow$  Prep NP

- Of course, this is what makes syntax interesting

*flights from Denver*

*flights from Denver to Miami*

*flights from Denver to Miami in February*

*flights from Denver to Miami in February on a Friday*

*flights from Denver to Miami in February on a Friday under \$300*

*flights from Denver to Miami in February on a Friday under \$300 with  
lunch*

- Syntax trees for these examples also need rules for NP  $\rightarrow$  Noun, etc.

# Verb Phrases

- Simple Verb phrases

VP -> Verb	<i>leave</i>
Verb NP	<i>leave Boston</i>
Verb NP PP	<i>leave Boston in the morning</i>
Verb PP	<i>leave in the morning</i>

- Verbs may also be followed by a clause

VP -> Verb S

*I think I would like to take a 9:30 flight*

- The phrase or clause following a verb is sometimes called the complementizer

# Conjunctive Constructions

- $S \rightarrow S \text{ and } S$ 
  - John went to NY and Mary followed him
- $NP \rightarrow NP \text{ and } NP$
- $VP \rightarrow VP \text{ and } VP$
- ...
- In fact the right rule for English is  
 $X \rightarrow X \text{ and } X$

# Problems

- Context-Free Grammars can represent many parts of natural language adequately
- Here are some of the problems that are difficult to represent in a CFG:
  - Agreement
  - Subcategorization
  - Movement (for want of a better term)



# Agreement

- This dog
  - Those dogs
  - This dog eats
  - Those dogs eat
  - In English,
    - subjects and verbs have to agree in person and number
    - Determiners and nouns have to agree in number
  - Many languages have agreement systems that are far more complex than this.
  - Solution can be either to add rules with agreement or to have a layer on the grammar called the features
- \*This dogs
  - \*Those dog
  - \*This dog eat
  - \*Those dogs eats

# Subcategorization

- Subcategorization expresses the constraints that a particular verb (sometimes called the predicate) places on the number and syntactic types of arguments it wants to take (occur with).
  - Sneeze: John sneezed
  - Find: Please find [a flight to NY]<sub>NP</sub>
  - Give: Give [me]<sub>NP</sub>[a cheaper fare]<sub>NP</sub>
  - Help: Can you help [me]<sub>NP</sub>[with a flight]<sub>PP</sub>
  - Prefer: I prefer [to leave earlier]<sub>TO-VP</sub>
  - Told: I was told [United has a flight]<sub>s</sub>



# Subcategorization

- Should these be correct?
  - John sneezed the book
  - I prefer United has a flight
  - Give with a flight
- The various rules for VPs *overgenerate*.
  - They permit the presence of strings containing verbs and arguments that don't go together
  - For example  $VP \rightarrow V NP$  therefore  
*Sneezed the book* is a VP since “sneeze” is a verb and “the book” is a valid NP
- Now *overgeneration* is a problem for a generative approach.
  - The grammar should represent **all and only** the strings in a language
- From a practical point of view... not so clear that there's a problem

# Movement

- Consider the verb “booked” in the following example:

– [[My travel agent]<sub>NP</sub> [booked [the flight]<sub>NP</sub>]<sub>VP</sub>]<sub>S</sub>



- i.e. “book” is a straightforward transitive verb. It expects a single NP arg within the VP as an argument, and a single NP arg as the subject.

# Example

```
import nltk
import nltk.grammar

grammar1 = nltk.CFG.fromstring("""
    S -> NP VP
    VP -> V NP | V NP PP
    PP -> P NP
    NP -> "John" | "Mary" | "Bob" | Det N | Det N PP | P NP

    V -> "saw" | "ate" | "walked"
    Det -> "a" | "an" | "the" | "my"
    N -> "man" | "dog" | "cat" | "telescope" | "park"
    P -> "in" | "on" | "with" | "by"
    ProN -> "John" | "Mary" | "Bob"
    """)

#grammar1 = nltk.data.load('file:simple.cfg')
sent = "Mary saw Bob with the telescope".split()
rd_parser = nltk.RecursiveDescentParser(grammar1)
for tree in rd_parser.parse(sent):
    print tree

#NP -> Det N | Det N PP | P NP | ProN
#NP -> "John" | "Mary" | "Bob" | Det N | Det N PP | P NP
# Mary saw Bob with the telescope
```

- (1) a. this dog  
b. \*these dog

- (2) a. these dogs  
b. \*this dogs

- (3) a. the dog runs  
b. \*the dog run

- (4) a. the dogs run  
b. \*the dogs runs

S	->	NP VP
NP	->	Det N
VP	->	V
Det	->	'this'
N	->	'dog'
V	->	'runs'

# Questions!

- Consider the following fragment of English grammar.

- $S \rightarrow NP VP$
  - $NP \rightarrow D N$
  - $VP \rightarrow V \mid V NP \mid V PP$
  - $PP \rightarrow P NP$
- $D \rightarrow a \mid the$   
 $N \rightarrow boy \mid rabbit \mid bird \mid cat \mid tree$   
 $V \rightarrow saw \mid gave \mid flew \mid ran$   
 $P \rightarrow with \mid into \mid from \mid at$

- What additional rule(s) would you include to accommodate the following sentences?

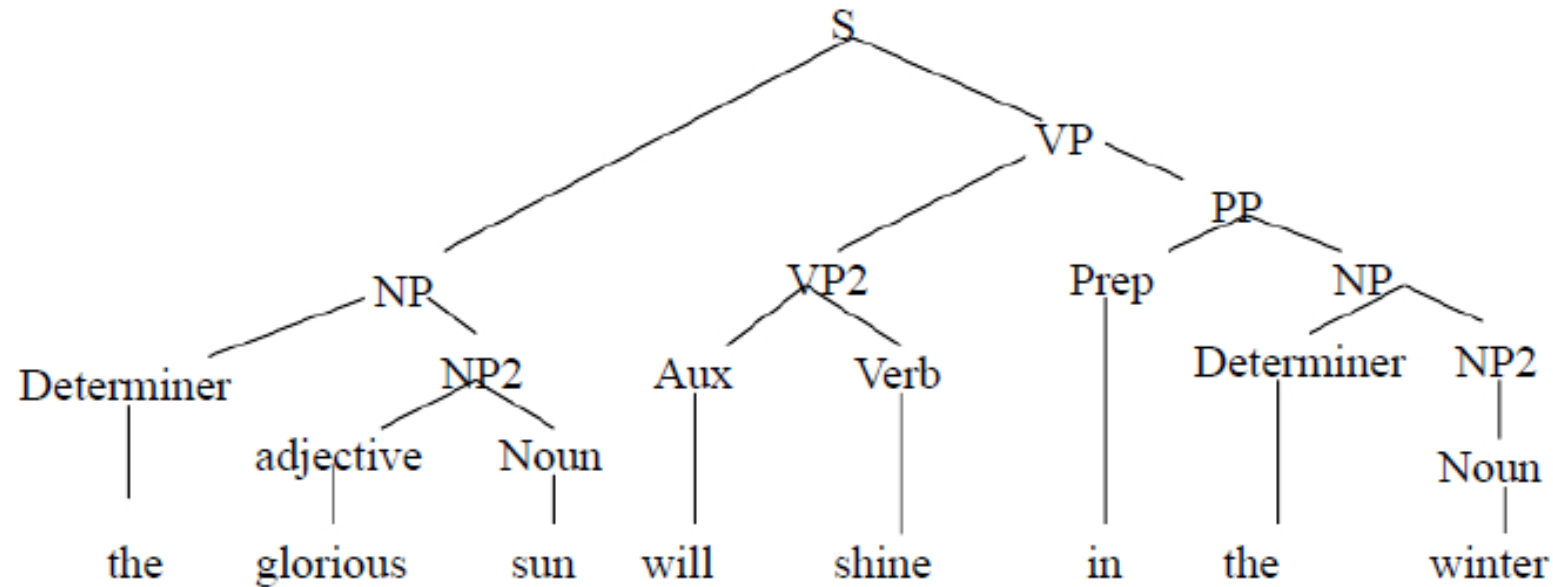
1. John saw Marry
2. The man said the dog chased the cat

# Dependency Grammars

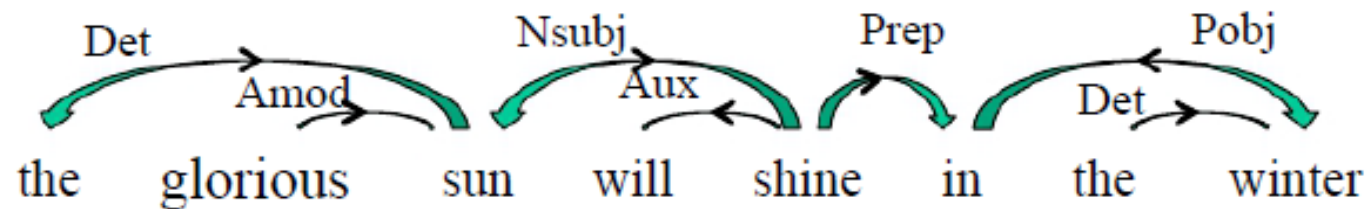
- Dependency grammars offer a different way to represent syntactic structure
  - CFGs represent constituents in a parse tree that can derive the words of a sentence
  - Dependency grammars represent syntactic dependency relations between words that show the syntactic structure
  - Typed dependency grammars label those relations as to what the syntactic structure is
- Syntactic structure is the set of relations between a word (aka **the head word**) and **its dependents**.

# Examples

- Context Free Grammar Tree Structure



- Dependency Relation Structure



# Projective vs. Non-Projective

- In the dependency graph as depicted in the previous example, with the words in sentence order, if no arcs cross, then it is a projective tree
- If there are crossing arcs, then it is a non-projective tree

