

# Deep Learning for 3D Data

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## Application Areas

- **PointNet**
  - A deep learning framework for 3D point cloud data
- **Applications**
  - Object Classification
  - Object Recognition
    - Biometric Recognition
  - Object Part Segmentation
  - Semantic Scene Parsing

→ R. Q. Charles, H. Su, M. Kaichun and L. J. Guibas, "**PointNet**: Deep Learning on Point Sets for 3D Classification and Segmentation," 2017 IEEE Conference on Computer Vision and Pattern Recognition (CVPR), Honolulu, HI, 2017, pp. 77-85, DOI: 10.1109/CVPR.2017.16.

→ **Slide credit**: R. Q. Charles, H. Su, M. Kaichun and L. J. Guibas (presentation at CVPR 2017)

## Outline

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- **Overview of 3D deep learning**  
– *Why 3D?*
- 3D deep learning tasks
- 3D deep learning algorithms

## Overview of 3D Deep Learning

## Introduction – *Why 3D?*

- The world around us is comprised of 3D geometry (objects)



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## Introduction – *Why 3D?*

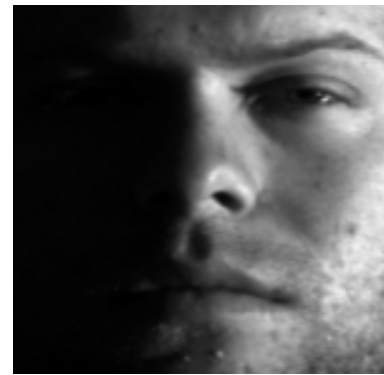
- Use of 2D images to represent 3D world - *issues*



Very high contrast



Very low contrast



Bad Illumination

Issues with respect to Image Quality

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## Introduction – *Why 3D?*

- Use of **2D images** to represent **3D** world - *issues*



Images of FERET database: different poses

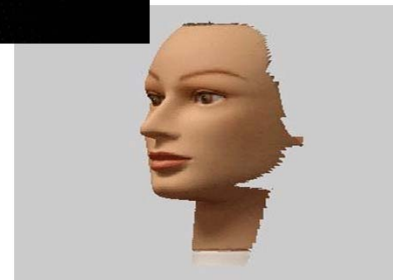
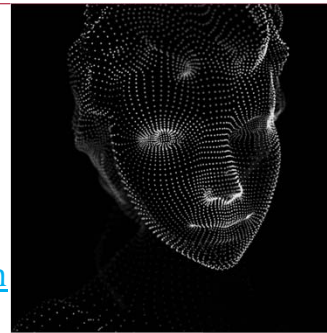
### Rotational Issues

*Image source: Li, K., Huang, Q. Cross-pose face recognition by integrating regression iteration and interactive subspace. J Wireless Com Network 2019, 105 (2019).  
[https://doi.org/10.1186/s13638-019-1429-](https://doi.org/10.1186/s13638-019-1429-7)*

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## Introduction – *Why 3D?*

- 3D data contains (x, y, z) values (can have color/texture as well)
- Insensitive to imaging problems such as lighting and shadows
- Can provide geometric information of the object
- 3D data can handle more general pose variations

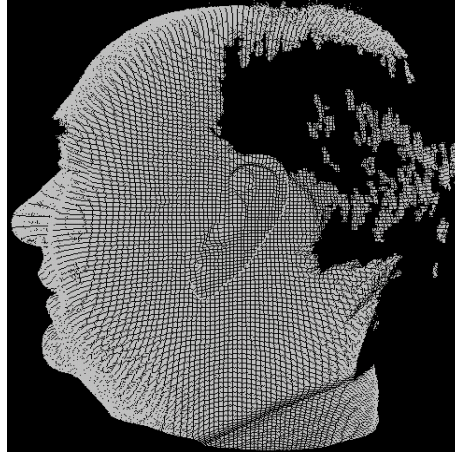


*Image source: <http://cool-3d-pictures.blogspot.com/2010/10/3d-face.html>  
<https://in.pinterest.com/pin/519321400778202577/>*

## Examples of 2D and 3D Data



2D Image



3D Image

## Broad applications of 3D Data



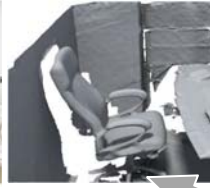
Robotics



## Broad applications of 3D data



Robotics



Augmented Reality

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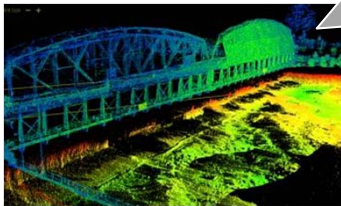
## Broad applications of 3D data



Robotics



Augmented Reality



Autonomous driving

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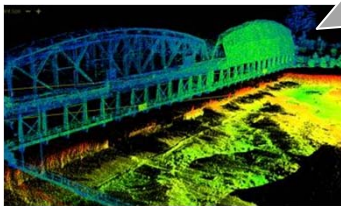
## Broad Applications of 3D Data



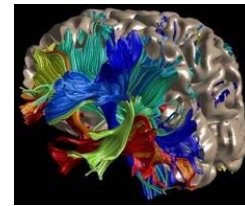
Robotics



Augmented Reality



Autonomous driving



Medical Image Processing

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## Lacking 3D data has been the major bottleneck

Status as of 2010:

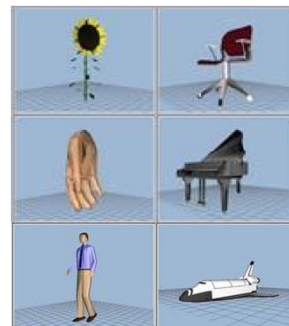


Stanford bunny



Utah teapot

1800 models in 90 categories



Princeton shape benchmark  
[Shilane et al. 04]

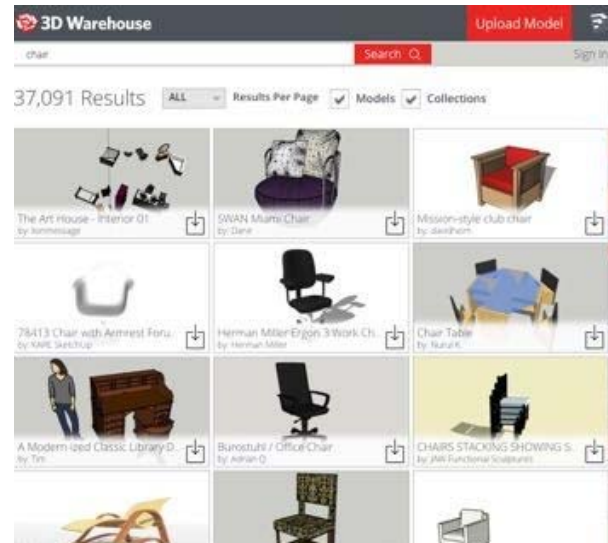
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## Recent Rise of Internet 3D models

- Nowadays millions of 3D models in online repositories

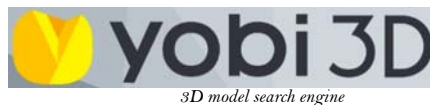


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## Recent Rise of Internet 3D models

- Growing market of crowd-sourcing for 3D modeling



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## Recent rise of Internet 3D models

- Growing market of crowd-sourcing for 3D modeling

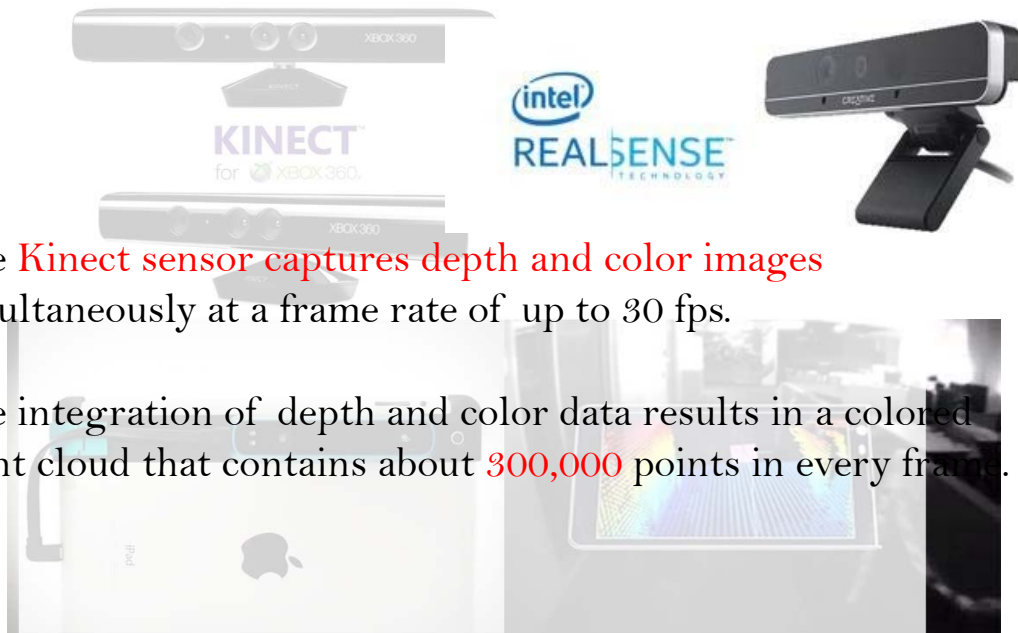


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The **Kinect sensor captures depth and color images** simultaneously at a frame rate of up to 30 fps.

The integration of depth and color data results in a colored point cloud that contains about **300,000** points in every frame.

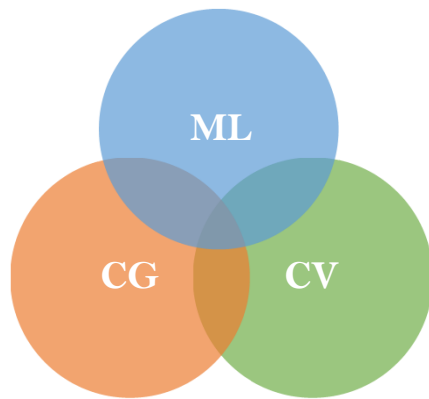


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## The Surge of 3D Deep Learning

- Arguably started from **2015** along with of big 3D datasets (ShapeNet & ModelNet)
- Very active due to **huge industry interests!**



- Robotics
- Autonomous driving
- Virtual/augmented reality
- Smart manufacturing
- ...

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## Outline

- Overview of 3D deep learning
- **Deep Learning Tasks**
- 3D Deep Learning algorithms
  - 3D Representation issues
  - Deep learning on different 3D representations
    - Deep learning on regular structures
    - Deep learning on meshes
    - *Deep learning on point cloud and parametric models*

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## 3D Deep Learning Tasks

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## 3D Deep Learning Tasks

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- **3D geometry analysis**
- **3D synthesis**
- **3D-assisted image analysis**

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## 3D Deep Learning Tasks

### 3D geometry analysis



Classification



Parsing  
(object/scene)



Correspondence

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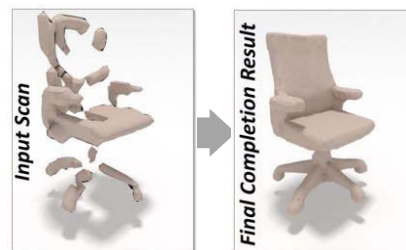
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## 3D Deep Learning Tasks

### 3D synthesis



Monocular  
3D reconstruction



Shape completion



Shape modeling

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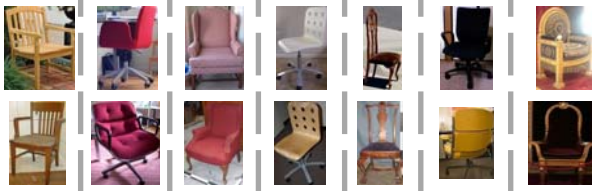
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## 3D Deep Learning Tasks

### 3D-assisted image analysis



Query



Results

Cross-view image retrieval



Intrinsic decomposition

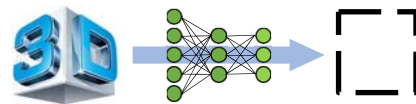
Process of separating an image into its formation components such as **reflectance** (color) and **shading** (illumination).

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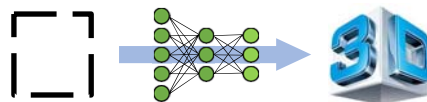
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## All about **Data** and **Network**

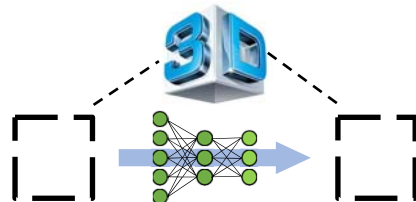
### 3D geometry analysis



### 3D synthesis



### 3D-assisted image analysis

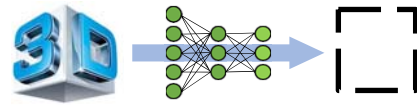


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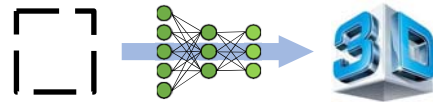
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## All about **Data** and **Network**

- **3D geometry analysis**



- **3D synthesis**



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## Outline

- Overview of 3D deep learning
- Deep Learning Tasks
- **3D Deep Learning algorithms**
  - **3D Representation issues**
  - Deep learning on different 3D representations
    - Deep learning on regular structures
    - Deep learning on meshes
    - Deep learning on point cloud and parametric models

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# 3D Deep Learning algorithms

## 3D Representation issues

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## 3D Representation Issues

- Images: **Unique representation** with regular data structure



1	44	33	12	20	23	35	14
51	16	40	32	46	48	28	17
29	60	3	63	49	55	36	7
52	22	26	41	38	10	61	53
2	24	19	11	34	43	5	8
57	9	37	42	25	21	27	18
30	56	50	64	4	59	6	13
58	47	45	31	39	15	62	54

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# The Representation Issues of 3D Deep Learning

3D has many representations:

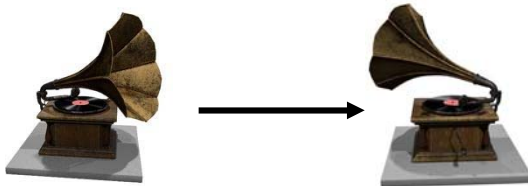
- multi-view RGB(D) images
- volumetric
- polygonal mesh
- point cloud
- primitive-based CAD models

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# The Representation Issues of 3D Deep Learning

3D has many representations:



Novel view image synthesis

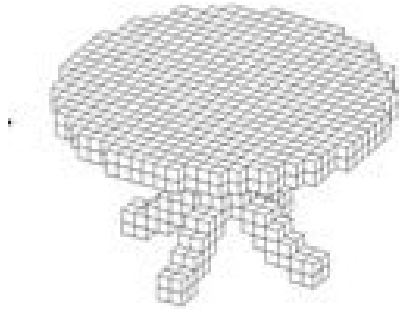
- multi-view RGB(D) images**
- volumetric
- Depth image
- polygonal mesh
- point cloud
- primitive-based CAD models

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## The Representation Issues of 3D Deep Learning

3D has many representations:



multi-view RGB(D) images

**volumetric**

Depth image

polygonal mesh

point cloud

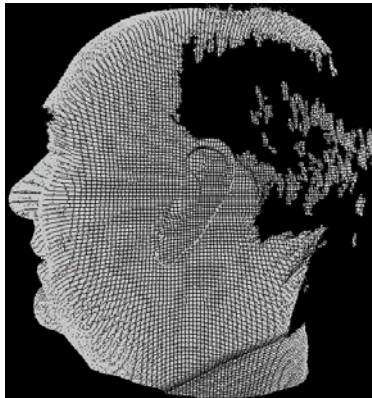
primitive-based CAD models

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## The Representation Issues of 3D Deep Learning

3D has many representations:



multi-view RGB(D) images

volumetric

**Depth image**

polygonal mesh

point cloud

primitive-based CAD models

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## The Representation Issues of 3D Deep Learning



3D has many representations:

multi-view RGB(D) images

volumetric

Depth image

**polygonal mesh**

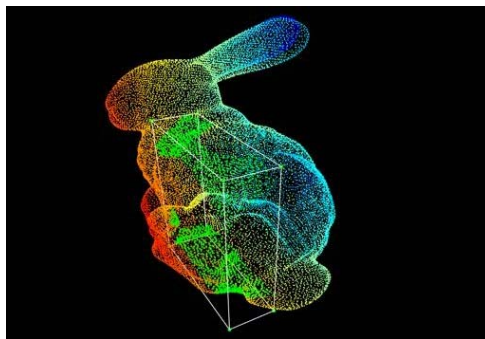
point cloud

primitive-based CAD models

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## The Representation Issues of 3D Deep Learning



3D has many representations:

multi-view RGB(D) images

volumetric

Depth image

polygonal mesh

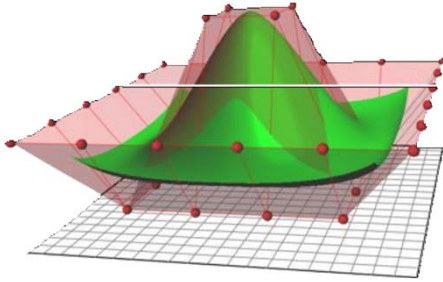
**point cloud**

primitive-based CAD models

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## The Representation Issues of 3D Deep Learning



3D has many representations:

multi-view RGB(D) images

volumetric

Depth image

polygonal mesh

point cloud

primitive-based CAD models

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## The Representation Issues of 3D Deep Learning

• 3D has many representations:

**Rasterized form**  
(regular grids)

– multi-view RGB(D) images

– volumetric

– depth images

**Geometric form**  
(irregular)

– polygonal mesh

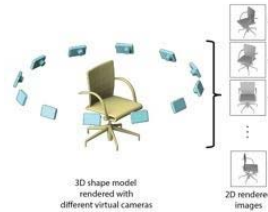
– point cloud

– primitive-based CAD models

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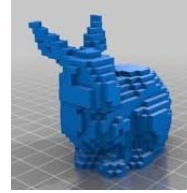
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## 3D Deep Learning Algorithms (By Representations)



Multi-view

[Su et al. 2015]  
[Kalogerakis et al. 2016]  
...



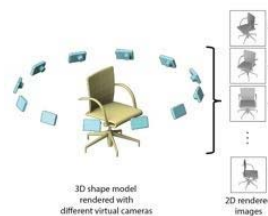
Volumetric

[Maturana et al. 2015]  
[Wu et al. 2015] (GAN)  
[Qi et al. 2016]  
[Liu et al. 2016]  
[Wang et al. 2017] (O-Net)  
[Tatarchenko et al. 2017] (OGN)  
...

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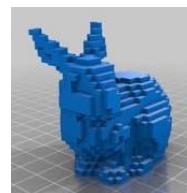
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## 3D Deep Learning Algorithms (By Representations)



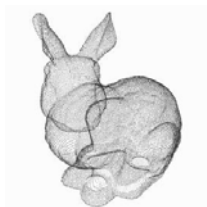
Multi-view

[Su et al. 2015]  
[Kalogerakis et al. 2016]  
...



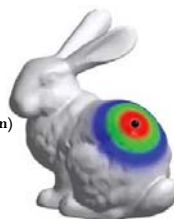
Volumetric

[Maturana et al. 2015]  
[Wu et al. 2015] (GAN)  
[Qi et al. 2016]  
[Liu et al. 2016]  
[Wang et al. 2017] (O-Net)  
[Tatarchenko et al. 2017] (OGN)  
...



Point cloud

[Qi et al. 2017] (PointNet)  
[Fan et al. 2017] (PointSetGen)



Mesh (Graph CNN)

[Henaff et al. 2015]  
[Deffierard et al. 2016]  
[Yi et al. 2017] (SyncSpecCNN)  
...



Part assembly

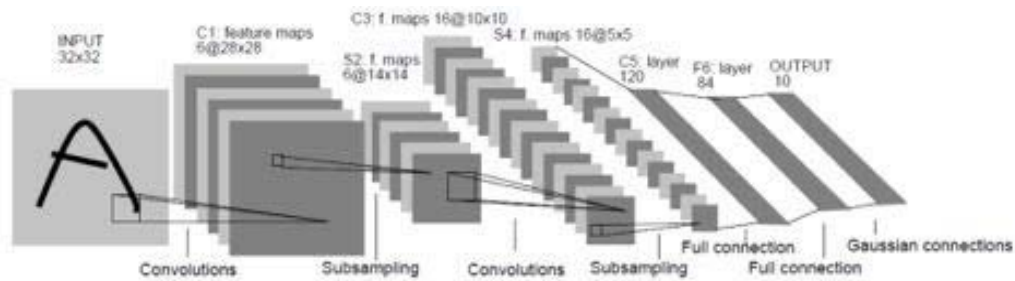
[Tulsiani et al. 2017]  
[Li et al. 2017] (GRASS)

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## Fundamental Challenges of 3D Deep Learning

- Can we directly apply CNN on 3D data?

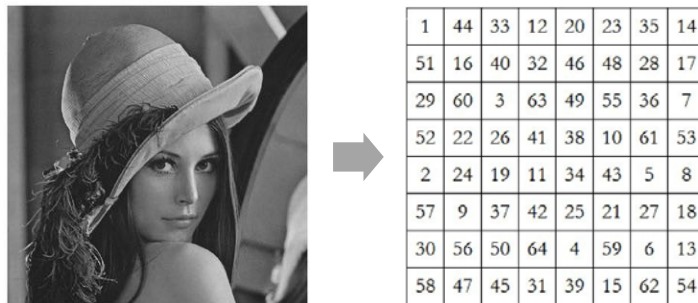


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## Fundamental Challenges of 3D Deep Learning

- Can we directly apply CNN on 3D data?



$$(f * g)[n] = \sum_{m=-M}^M f[n-m]g[m]$$

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## Fundamental Challenges of 3D Deep Learning

### Rasterized form (regular grids)

- Can directly apply CNN
- But has other challenges

### • 3D has many representations:

- multi-view RGB(D) images
- depth image
- volumetric
- polygonal mesh
- point cloud
- primitive-based CAD models

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## Fundamental Challenges of 3D Deep Learning

### • 3D has many representations:

- multi-view RGB(D) images
- Volumetric

### Geometric form (irregular)

Cannot directly apply CNN

- polygonal mesh
- point cloud
- primitive-based CAD models

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## Outline

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## PointNet: Deep Learning on Point Sets for 3D Classification and Segmentation

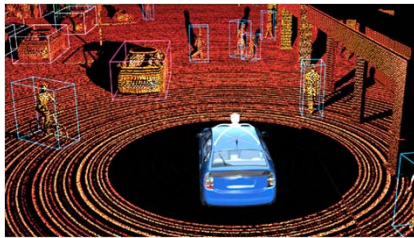
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## Motivation

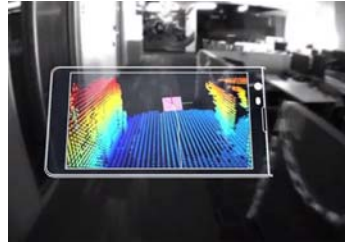
- Big Data + Deep Representation Learning

### Robot Perception



source: Scott J Grunewald

### Augmented Reality



source: Google Tango

### Shape Design



source: solidsolutions

## Emerging 3D Applications

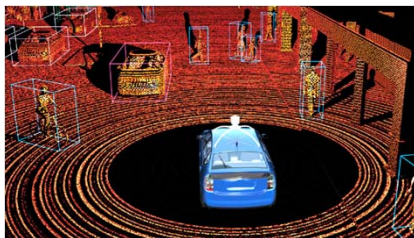
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## Motivation

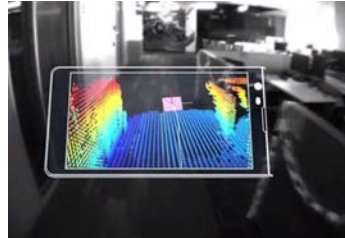
- Big Data + Deep Representation Learning

### Robot Perception



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### Augmented Reality



source: Google Tango

### Shape Design



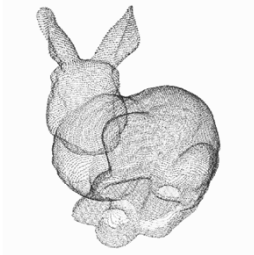
source: solidsolutions

**Need for 3D Deep Learning!**

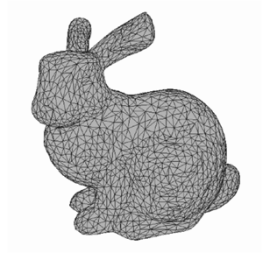
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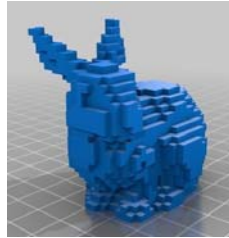
## 3D Representations



Point Cloud



Mesh



Volumetric



Projected View  
RGB(D)

...

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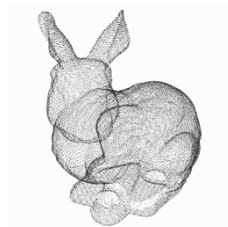
## 3D Representation: Point Cloud

- **Point cloud** is close to raw sensor data



LiDAR

Depth Sensor



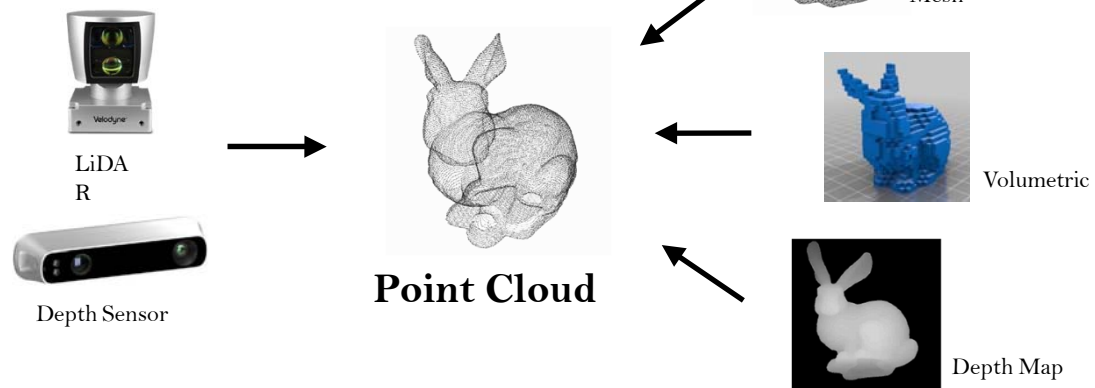
Point Cloud

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## 3D Representation: Point Cloud

- Point cloud is close to raw sensor data
- Point cloud is canonical



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## Previous Works

- Most existing point cloud features are **handcrafted** towards specific tasks

Feature Name	Supports Texture / Color	Local / Global / Regional	Best Use Case
PFH	No	L	
FPFH	No	L	2.5D Scans (Pseudo single position range images)
VFH	No	G	Object detection with basic pose estimation
CVFH	No	R	Object detection with basic pose estimation, detection of partial objects
RIFT	Yes	L	Real world 3D-Scans with no mirror effects. RIFT is vulnerable against flipping.

Source: <https://github.com/PointCloudLibrary/pcl/wiki/Overview-and-Comparison-of-Features>

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## Previous Works – *Use of Deep Learning*

- Point cloud is an important type of geometric data structure.
- Due to its irregular format, most researchers transform such data to regular 3D voxel grids or collections of images.
- This renders data unnecessarily voluminous and causes issues.

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## Previous Works

- Point cloud is **converted to other representations** before it is fed to a deep neural network

Conversion	Deep Net
Voxelization	3D CNN
Projection/Rendering	2D CNN
Feature extraction	Fully Connected

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## Research Question

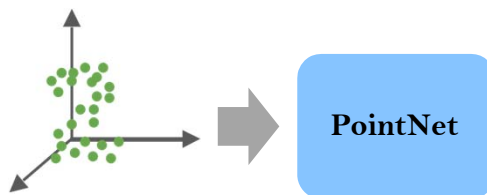
- Can we achieve effective **feature learning directly on** point clouds?
- Yes, using PointNet
  - **PointNet** provides a neural network that directly consumes point clouds, which well respects the permutation invariance of points in the input.

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## PointNet

- End-to-end learning for **scattered, unordered** point data

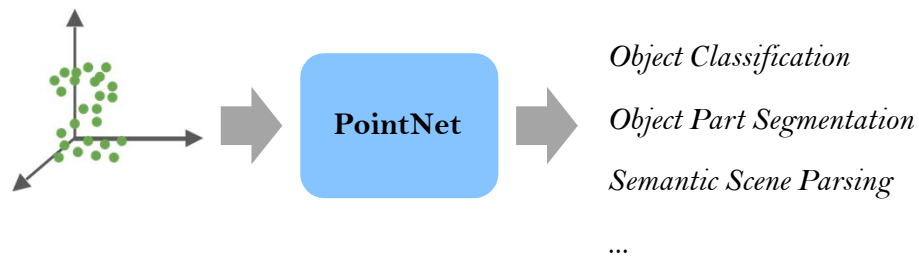


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## PointNet

- End-to-end learning for **scattered, unordered** point data
- **Unified** framework for various tasks

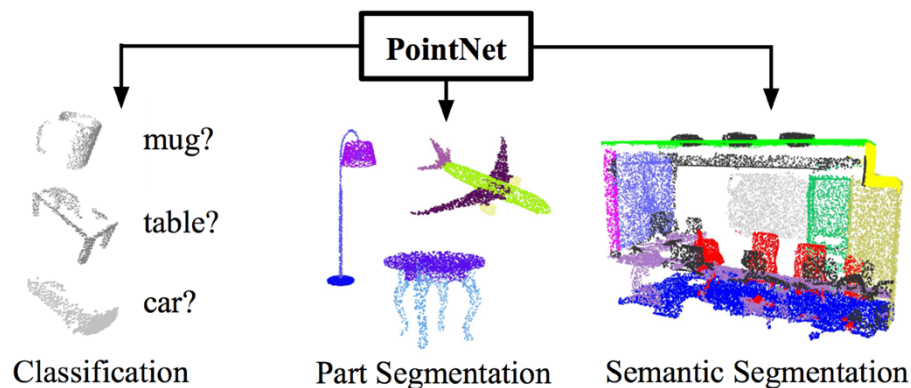


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Dr. Surya Prakash (CSE, IIT Indore)

## PointNet

- End-to-end learning for **scattered, unordered** point data
- **Unified** framework for various tasks



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## Challenges

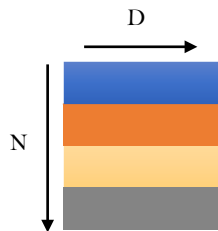
- Unordered point set as input
  - Model needs to be invariant to  $N!$  permutations.
- Invariance under geometric transformations
  - Point cloud rotations should not alter classification results.

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## Unordered Input

- Point cloud:  $N$  orderless points, each represented by a  $D$  dim vector

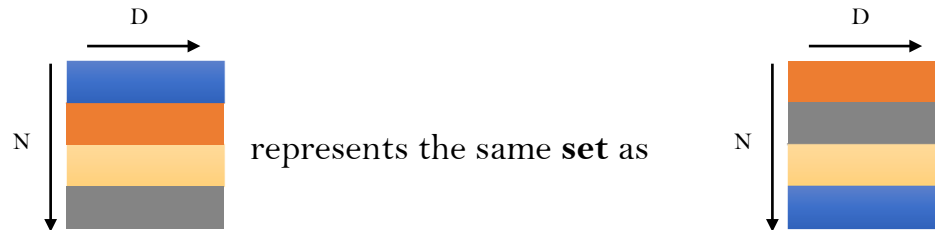


60

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## Unordered Input

- Point cloud:  $N$  orderless points, each represented by a  $D$  dim vector

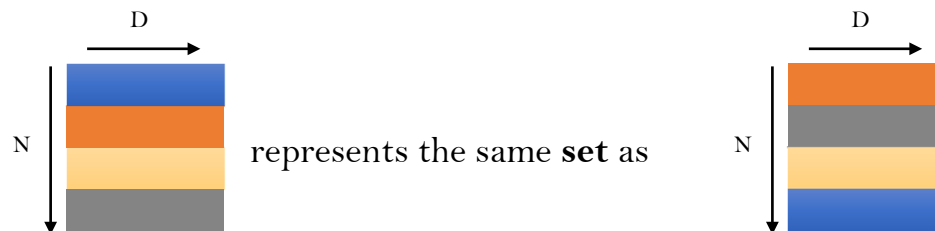


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## Unordered Input

- Point cloud:  $N$  orderless points, each represented by a  $D$  dim vector



**Model needs to be invariant to  $N!$  permutations**

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## Unordered Input

```

1 80.993805 84.056732 38.899254
2 80.993805 83.522430 39.102684
3 81.182098 83.522430 38.899254
4 81.993805 85.522430 37.154747
5 82.201813 85.522430 36.899254
6 81.715118 84.522430 37.899254
7 81.993805 83.773407 37.899254
8 81.993805 83.522430 37.993004
9 81.993805 84.522430 37.582420
10 82.559235 84.522430 36.899254
11 82.910248 83.522430 36.899254
12 83.412994 88.522430 33.899254
13 83.725555 87.522430 33.899254
14 83.993805 88.673065 32.899254
15 83.993805 87.522430 33.492638
16 84.345428 87.522430 32.899254
17 82.993805 86.522430 35.447838

```

*File-1 (.asc)*



*File-2 (.asc)*

```

1 82.910248 83.522430 36.899254
2 83.412994 88.522430 33.899254
3 83.725555 87.522430 33.899254
4 83.993805 88.673065 32.899254
5 83.993805 87.522430 33.492638
6 84.345428 87.522430 32.899254
7 82.993805 86.522430 35.447838
8 83.361481 86.522430 34.899254
9 82.993805 85.487213 35.899254
10 83.681488 85.522430 34.899254
11 82.993805 84.522430 36.334862
12 83.317535 84.522430 35.899254
13 83.654144 83.522430 35.899254
14 83.993805 84.575714 34.899254
15 83.993805 83.522430 35.421043
16 84.337799 83.522430 34.899254
17 83.993805 86.628998 33.899254

```

Both the files represent the same face though the order of the points is different

First 10 points of the *first .asc file* have been appended at the end in the *second .asc file*.

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## Permutation Invariance: Symmetric Function

$$f(x_1, x_2, \dots, x_n) \equiv f(x_{\pi_1}, x_{\pi_2}, \dots, x_{\pi_n}), \quad x_i \in \mathbb{R}^D$$

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## Permutation Invariance: Symmetric Function

$$f(x_1, x_2, \dots, x_n) \equiv f(x_{\pi_1}, x_{\pi_2}, \dots, x_{\pi_n}), \quad x_i \in \mathbb{R}^D$$

### Examples:

$$f(x_1, x_2, \dots, x_n) = \max\{x_1, x_2, \dots, x_n\}$$

$$f(x_1, x_2, \dots, x_n) = x_1 + x_2 + \dots + x_n$$

...

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## Permutation Invariance: Symmetric Function

$$f(x_1, x_2, \dots, x_n) \equiv f(x_{\pi_1}, x_{\pi_2}, \dots, x_{\pi_n}), \quad x_i \in \mathbb{R}^D$$

### Examples:

$$f(x_1, x_2, \dots, x_n) = \max\{x_1, x_2, \dots, x_n\}$$

$$f(x_1, x_2, \dots, x_n) = x_1 + x_2 + \dots + x_n$$

...

**How can we construct a family of symmetric functions by neural networks?**

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## Permutation Invariance: Symmetric Function

- Observe:

$f(x_1, x_2, \dots, x_n) = \gamma \circ g(h(x_1), \dots, h(x_n))$  is symmetric if  $g$  is symmetric

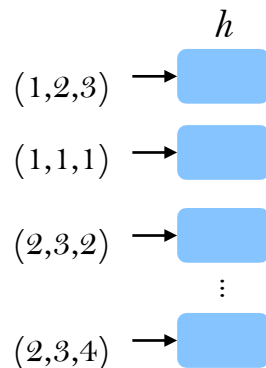
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## Permutation Invariance: Symmetric Function

- Observe:

$f(x_1, x_2, \dots, x_n) = \gamma \circ g(h(x_1), \dots, h(x_n))$  is symmetric if  $g$  is symmetric



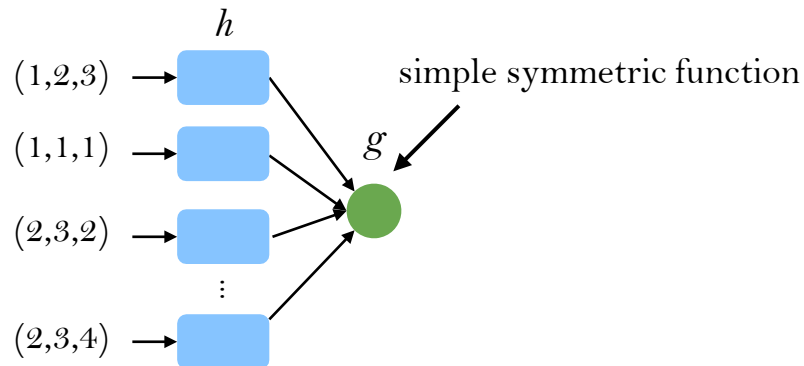
68

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## Permutation Invariance: Symmetric Function

- Observe:

$f(x_1, x_2, \dots, x_n) = \gamma \circ g(h(x_1), \dots, h(x_n))$  is symmetric if  $g$  is symmetric



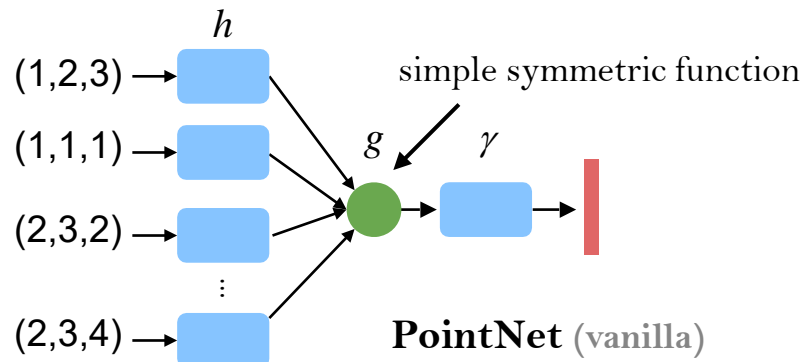
69

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## Permutation Invariance: Symmetric Function

- Observe:

$f(x_1, x_2, \dots, x_n) = \gamma \circ g(h(x_1), \dots, h(x_n))$  is symmetric if  $g$  is symmetric



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## Permutation Invariance: Symmetric Function

Original space

Embedding in higher dimensional space

$(1,2,3) \rightarrow (1, 2, 3, 0, 0, 0, 0, 0, 0, 0, 0, 0)$

$(1,1,1) \rightarrow (0, 0, 0, 1, 1, 1, 0, 0, 0, 0, 0, 0)$

$(2,3,2) \rightarrow (0, 0, 0, 0, 0, 0, 2, 3, 2, 0, 0, 0)$

$(2,3,4) \rightarrow (0, 0, 0, 0, 0, 0, 0, 0, 0, 2, 3, 4)$

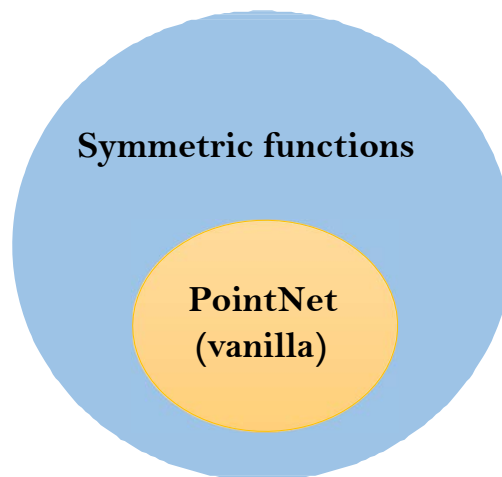
$(1, 2, 3, 1, 1, 1, 2, 3, 2, 2, 3, 4) \leftarrow \max$

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## Permutation Invariance: Symmetric Function

- What symmetric functions can be constructed by PointNet?



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## Universal Set Function Approximator

### ■ Theorem:

- A Hausdorff continuous symmetric function  $f : 2^X \rightarrow \mathbb{R}$  can be arbitrarily approximated by PointNet.

$$\left| f(S) - \gamma \left( \text{MAX}_{x_i \in S} \{h(x_i)\} \right) \right| < \epsilon$$

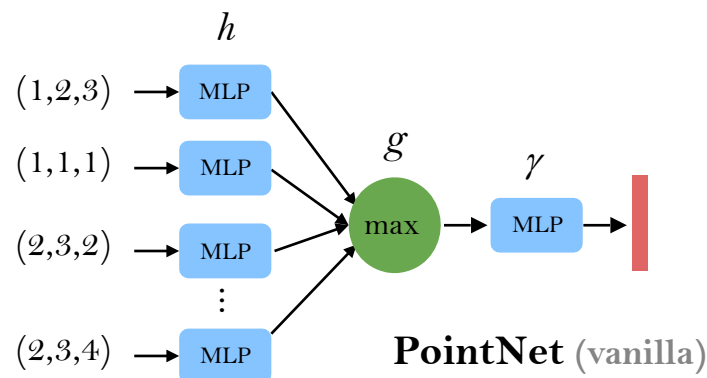
$S \subseteq \mathbb{R}^d$       **PointNet (vanilla)**

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## Basic PointNet Architecture

- It uses **multi-layer perceptron (MLP)** and **max pooling**:



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## Challenges

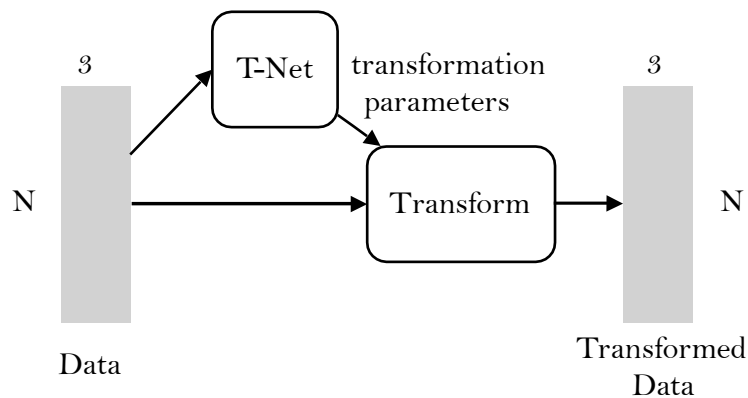
- Unordered point set as input
  - Model needs to be invariant to  $N!$  permutations.
- Invariance under geometric transformations
  - Point cloud rotations should not alter classification results.

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## Input Alignment by Transformer Network

- Idea: Data dependent transformation for automatic alignment

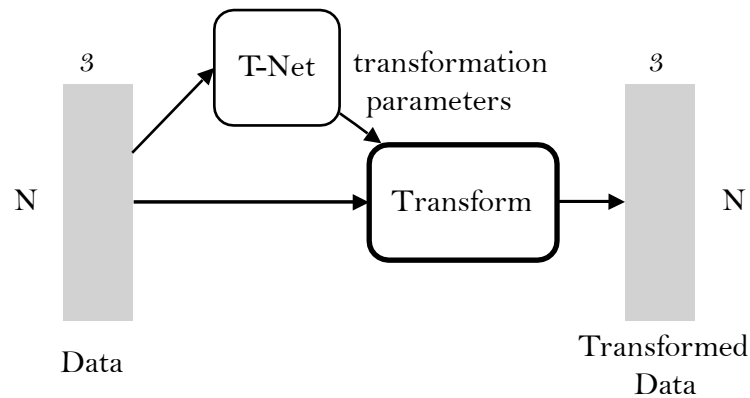


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## Input Alignment by Transformer Network

- Idea: Data dependent transformation for automatic alignment

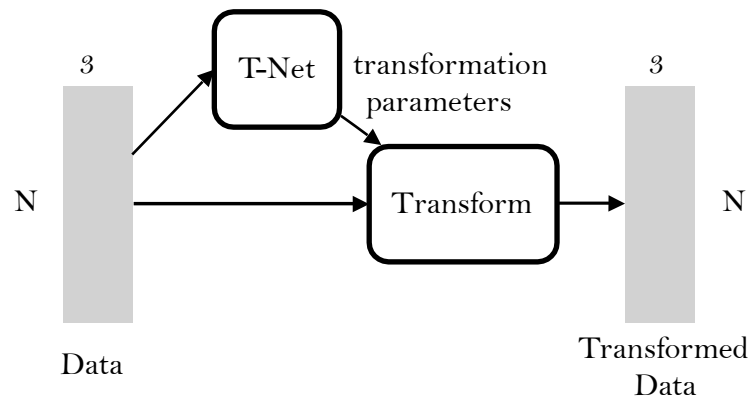


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## Input Alignment by Transformer Network

- Idea: Data dependent transformation for automatic alignment

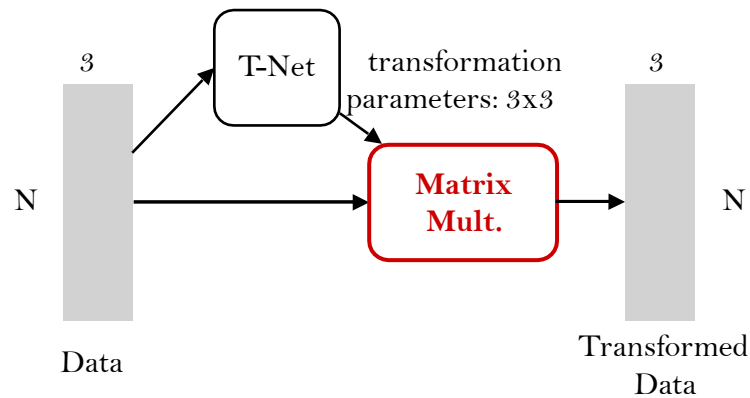


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## Input Alignment by Transformer Network

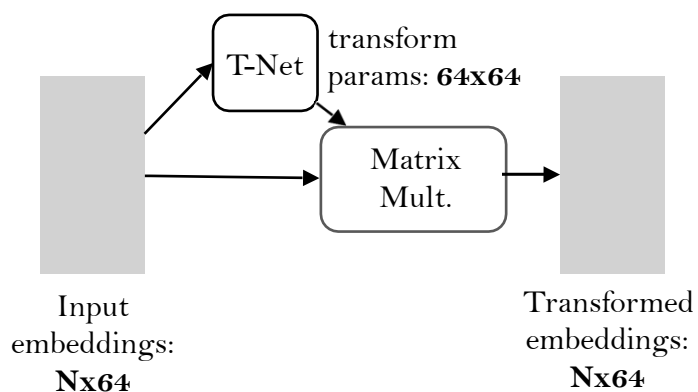
- The transformation is just matrix multiplication!



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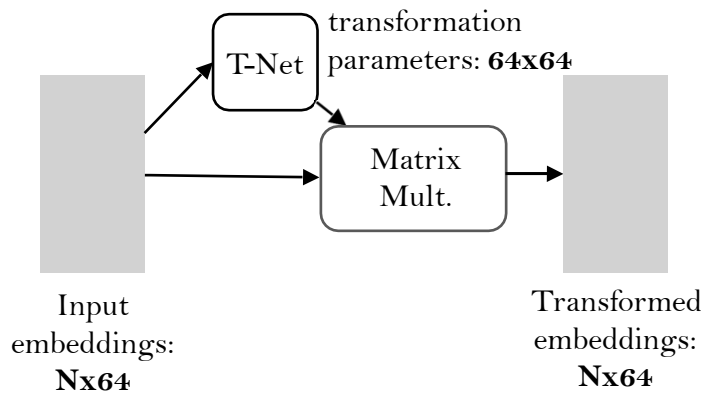
## Embedding Space Alignment



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## Embedding Space Alignment



### Regularization:


Transform matrix  $A$   $64 \times 64$   
close to orthogonal:

$$L_{reg} = \|I - AA^T\|_F^2$$

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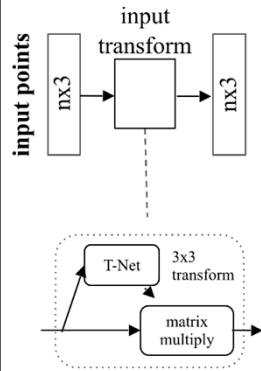
## PointNet Classification Network

input points  

 $n \times 3$

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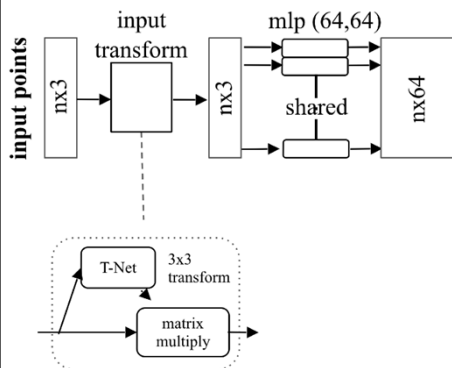
## PointNet Classification Network



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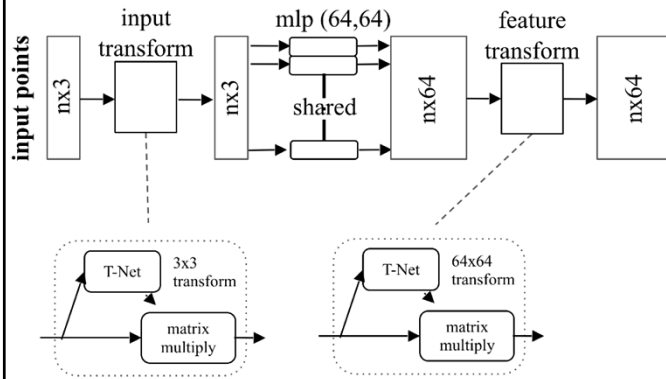
## PointNet Classification Network



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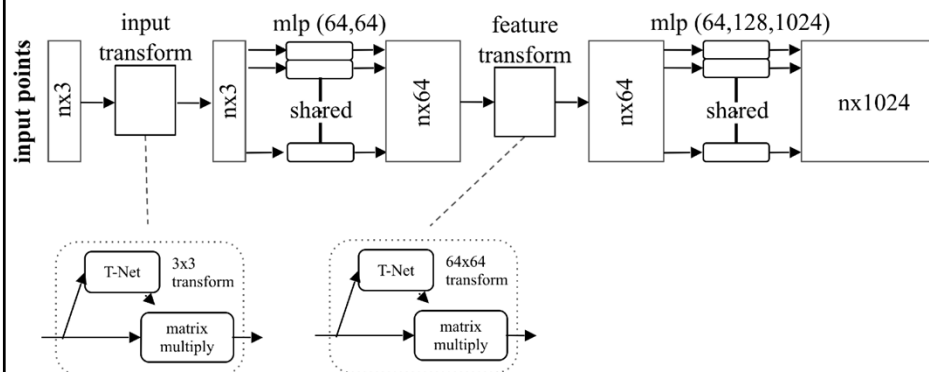
## PointNet Classification Network



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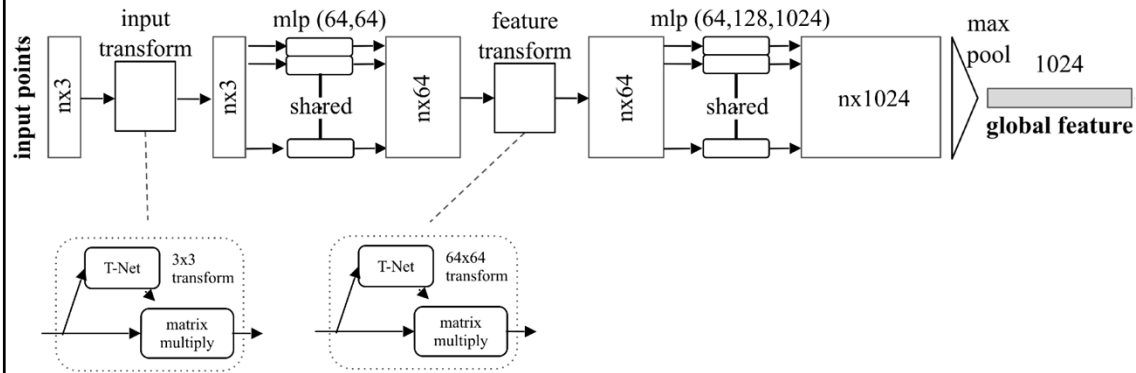
## PointNet Classification Network



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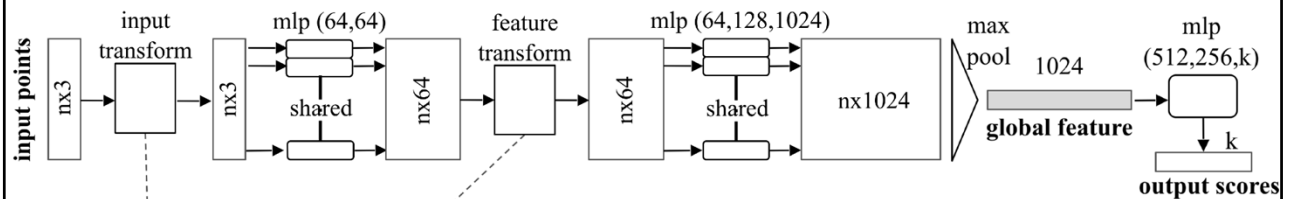
## PointNet Classification Network



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## PointNet Classification Network

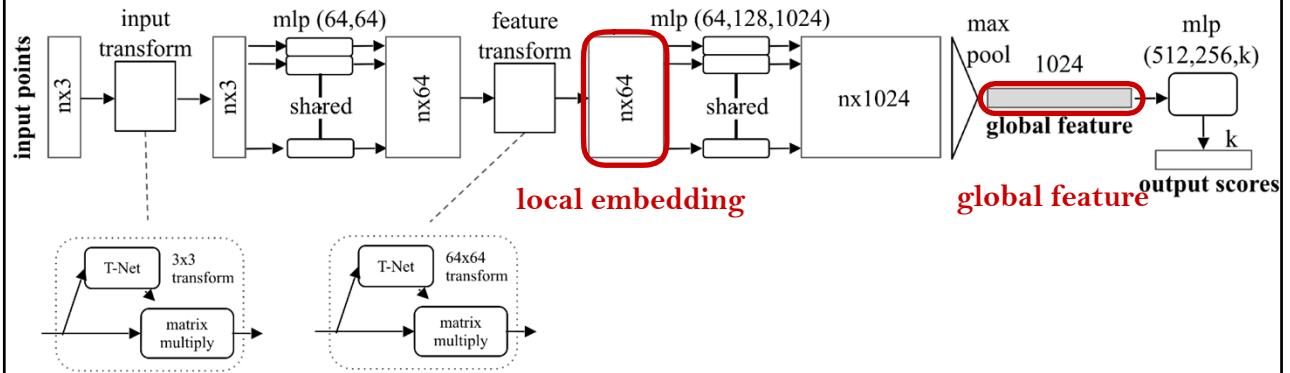


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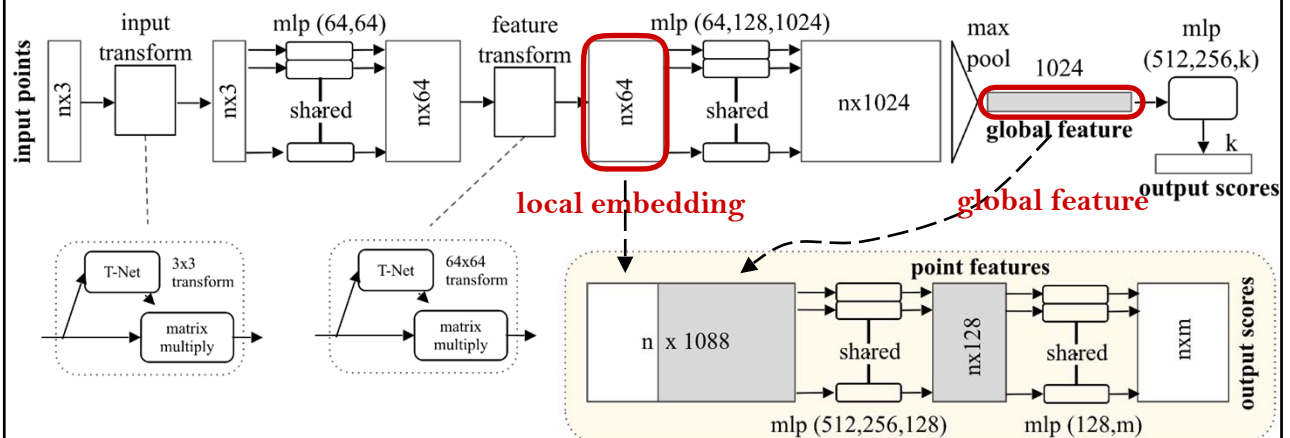
## Extension to PointNet Segmentation Network



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## Extension to PointNet Segmentation Network



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# Results

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## Results on Object Classification

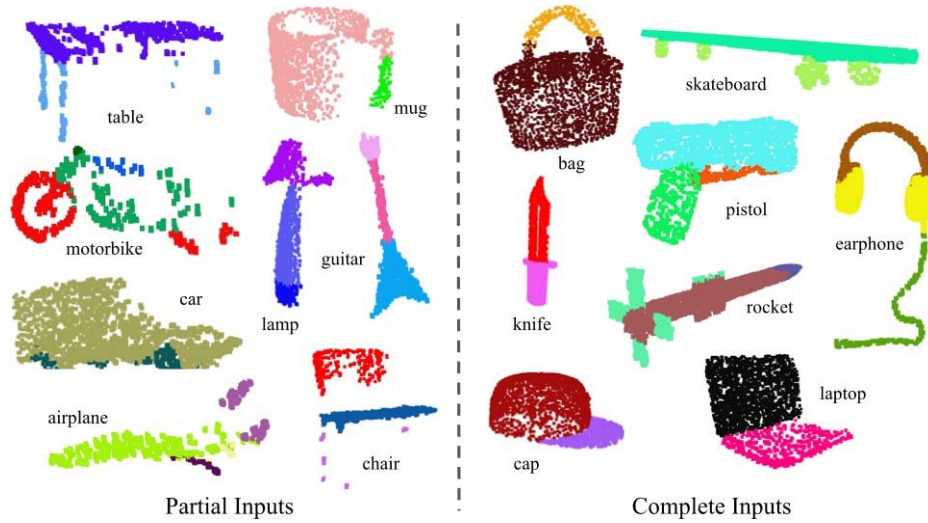
	input	#views	accuracy avg. class	accuracy overall
	mesh	-	68.2	
3D CNNs	3DShapeNets [29]	1	77.3	84.7
	VoxNet [18]	12	83.0	85.9
	Subvolume [19]	20	86.0	<b>89.2</b>
	LFD [29]	10	75.5	-
	MVCNN [24]	80	<b>90.1</b>	-
	Ours baseline	-	72.6	77.4
	Ours PointNet	1	86.2	<b>89.2</b>

Dataset: ModelNet40; metric: 40-class classification accuracy (%)

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## Results on Object Part Segmentation



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## Results on Object Part Segmentation

	mean	aero	bag	cap	car	chair	ear phone	guitar	knife	lamp	laptop	motor	mug	pistol	rocket	skate board	table
# shapes		2690	76	55	898	3758	69	787	392	1547	451	202	184	283	66	152	5271
Wu [28]	-	63.2	-	-	-	73.5	-	-	-	74.4	-	-	-	-	-	-	74.8
Yi [30]	81.4	81.0	78.4	77.7	<b>75.7</b>	87.6	61.9	<b>92.0</b>	85.4	<b>82.5</b>	<b>95.7</b>	<b>70.6</b>	91.9	<b>85.9</b>	53.1	69.8	75.3
3DCNN	79.4	75.1	72.8	73.3	70.0	87.2	63.5	88.4	79.6	74.4	93.9	58.7	91.8	76.4	51.2	65.3	77.1
Ours	<b>83.7</b>	<b>83.4</b>	<b>78.7</b>	<b>82.5</b>	74.9	<b>89.6</b>	<b>73.0</b>	91.5	<b>85.9</b>	80.8	95.3	65.2	<b>93.0</b>	81.2	<b>57.9</b>	<b>72.8</b>	<b>80.6</b>

Dataset: ShapeNetPart; metric: mean IoU (%)

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## Results on Semantic Scene Parsing

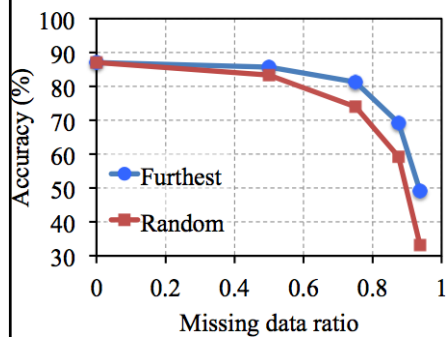


Dataset: Stanford 2D-3D-S (Matterport scans)

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## Robustness to Data Corruption



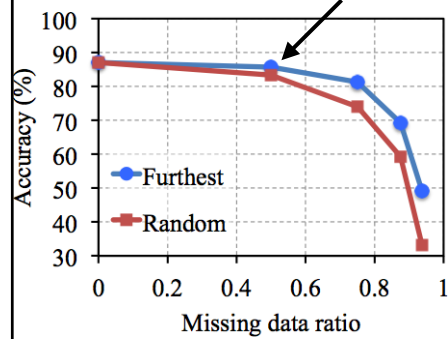
Dataset: ModelNet40; metric: 40-class classification accuracy (%)

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## Robustness to Data Corruption

Less than 2% accuracy drop with 50% missing data

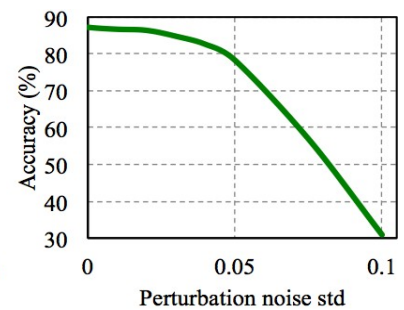
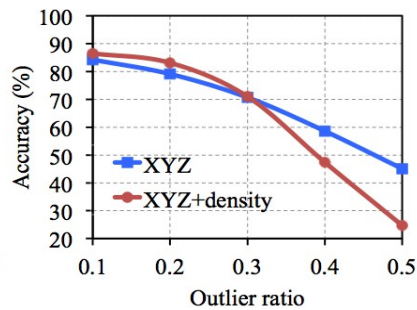
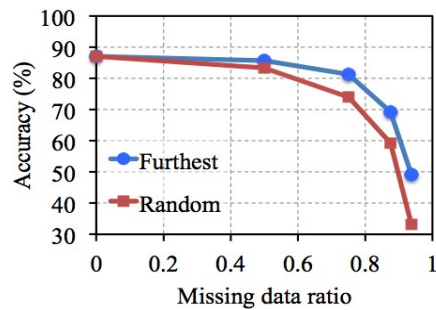


Dataset: ModelNet40; metric: 40-class classification accuracy (%)

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## Robustness to Data Corruption

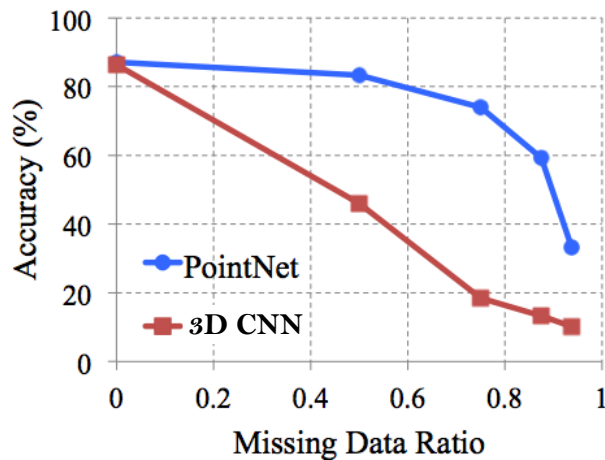


Dataset: ModelNet40; metric: 40-class classification accuracy (%)

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## Robustness to Data Corruption

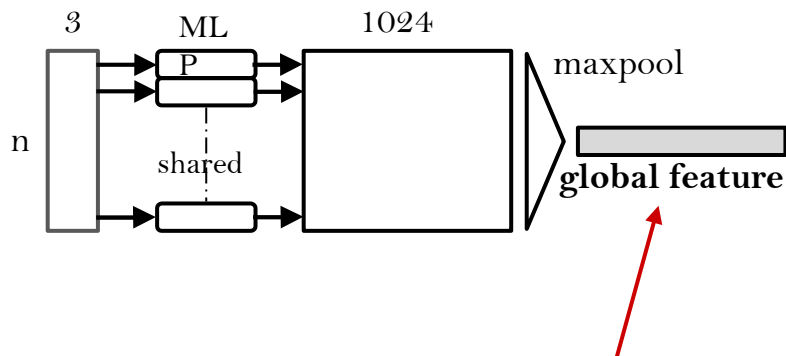


*Why is PointNet so robust to missing data?*

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## Visualizing Global Point Cloud Features



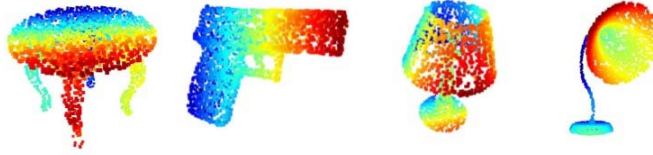
Which input points are contributing to the global feature?  
(critical points)

100

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## Visualizing Global Point Cloud Features

Original Shape:



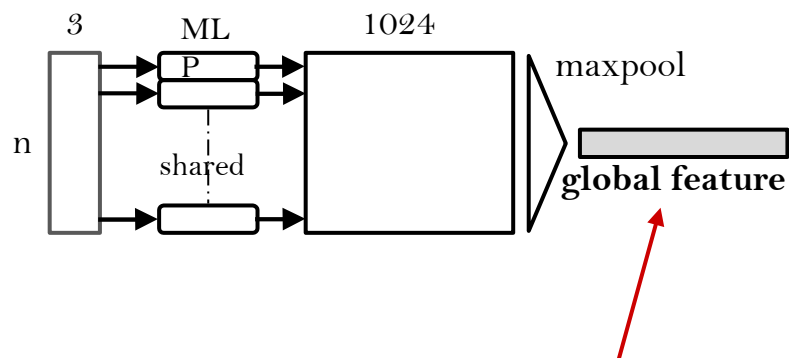
Critical Point Sets:



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## Visualizing Global Point Cloud Features

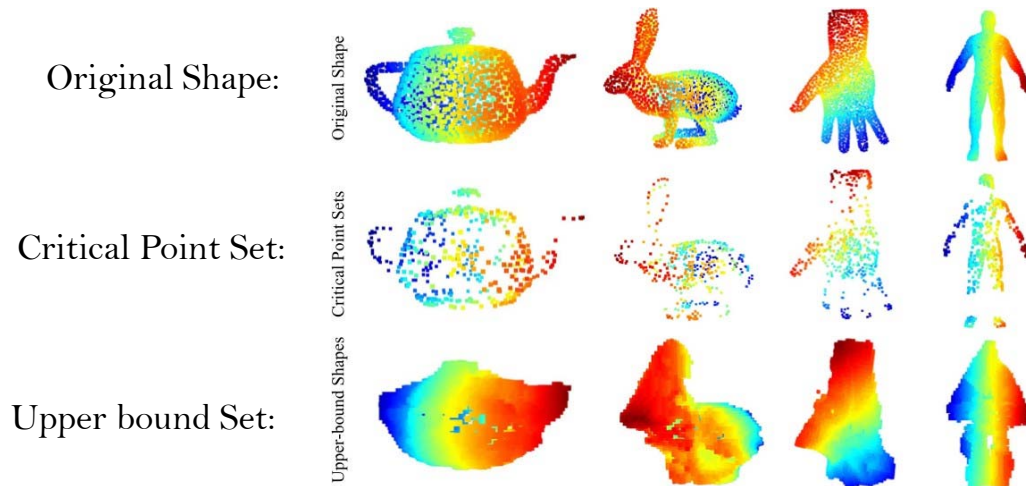


Which points won't affect the global feature?

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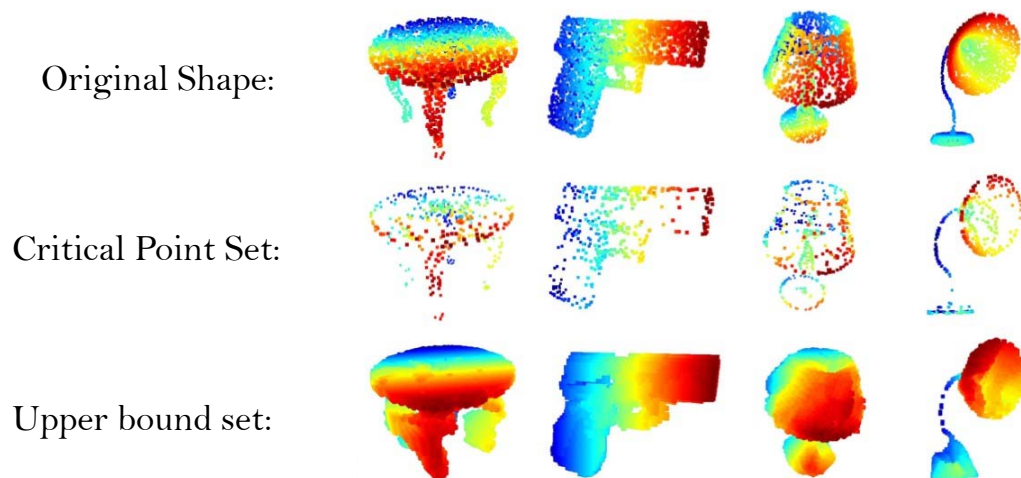
## Visualizing Global Point Cloud Features



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## Visualizing Global Point Cloud Features



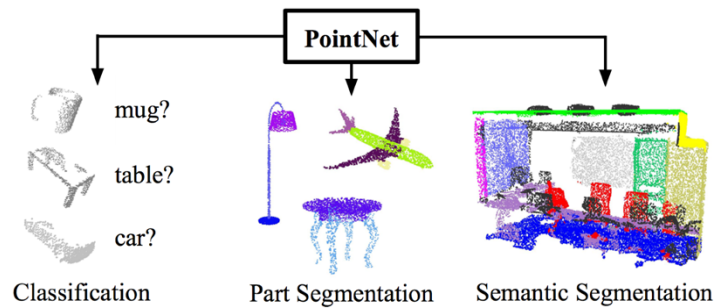
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## Conclusion

- **PointNet** is a deep neural network that directly consumes point cloud.
- A unified approach to various 3D recognition tasks.



- Code & Data Available@<http://stanford.edu/~rqi/pointnet>

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## References

- R. Q. Charles, H. Su, M. Kaichun and L. J. Guibas, "**PointNet: Deep Learning on Point Sets for 3D Classification and Segmentation**," 2017 IEEE Conference on Computer Vision and Pattern Recognition (CVPR), Honolulu, HI, 2017, pp. 77-85, DOI: 10.1109/CVPR.2017.16.
- **Slide credit:** R. Q. Charles, H. Su, M. Kaichun and L. J. Guibas (presentation at CVPR 2017)

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# Thank You