Interactive proof

Schwartz-Zippel lemma







Polynomial expansion

$$g(x) = 6x^3 + 49x^2 + 128x + 105$$

$$f(x) = (x+3)(3x+5)(2x+7)$$

Verification: pick a random value r test f(r) - g(r) = 0

If
$$f(x) - g(x) \neq 0$$
, but $f(r) - g(r) = 0$,

$$\rightarrow r$$
 is a root of $f(x) - g(x)$,

$$\rightarrow \Pr[r \text{ is a root}] = \frac{3}{|\text{random space}|}$$

Sumcheck protocol

$$H = \sum_{b_1, \dots, b_k \in \{0, 1\}} f(b_1, \dots, b_k)$$

Multivariate polynomial $f(x_1, ..., x_k)$

Number of evaluations in the sum: 2^k Time to compute each evaluation: $T = (d + 1)^k \cdot k$ Total time to compute the sum: $2^k \cdot T$

Sumcheck protocol

$$f(x_1, \dots, x_k)$$



Check:

$$H = f_1(0) + f_1(1)$$

$$f_1(r_1) = f_2(0) + f_2(1)$$

$$f_i(r_i) = f_{i+1}(0) + f_{i+1}(1)$$

$$f_{k-1}(r_{k-1}) = f_k(0) + f_k(1)$$

$$f_k(r_k) = f(r_1, ... r_k)$$

$$f(x_1, \dots, x_k) \qquad H = \sum_{b_1, \dots, b_k \in \{0, 1\}} f(b_1, \dots, b_k)$$

$$f_1(x_1) = \sum_{b_2, \dots, b_k \in \{0, 1\}} f(x_1, b_2, \dots, b_k)$$

$$f_2(x_2) = \sum_{b_3, \dots, b_k \in \{0,1\}} f(r_1, x_2, b_3 \dots, b_k)$$



$$f_{i+1}(x_{i+1}) = \sum_{b_{i+2},\dots,b_k \in \{0,1\}} f(r_1,\dots,r_i,x_{i+1},b_{i+2}\dots,b_k)$$
......

$$f_k(x_k) = f(r_1, ..., r_{k-1}, x_k)$$

Complexity

- Correctness: 1
- Soundness: $\frac{d(k+1)}{|\mathbb{F}|}$

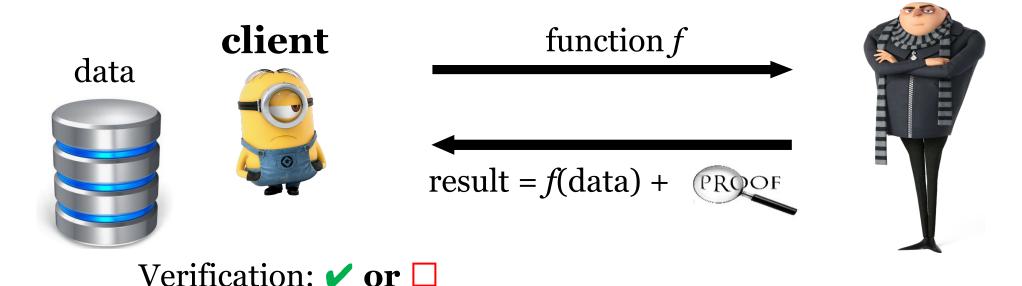
- Prover time: $O(2^k)$ if d=1
- Proof size: O(dk)
- Verification time: O(dk) + T

Total time to compute the sum: $2^k \cdot T$

GKR protocol

Verifiable Computation (VC)

server



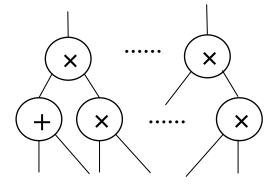
Correctness/completeness: $\Pr[\text{result} = f(\text{data}) \text{ and proof is honest and verification is } \checkmark] = 1$ Soundness/security: $\Pr[\text{result} \neq f(\text{data}) \text{ and verification is } \checkmark] \leq \frac{1}{2^{100}}$

Gate label and multi-linear extension

Output (result)

layer o

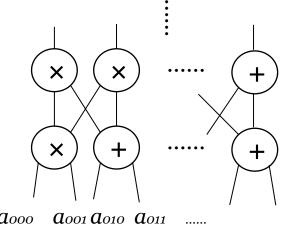
layer 1



layer D-2

layer D-1

layer D



Input (data)

S: number of gates in each layer D: depth of the circuit

What's the # of variables in V_D ? $s = \log S$

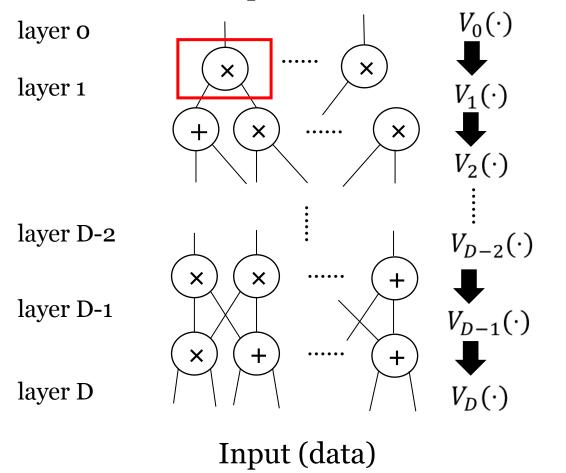
If V_D is multilinear, how many coefficients? $2^s=S$

 V_D is unique, multi-linear extension

$$V_D(\cdot)$$
 $V_D(0,0,0) = a_0$
 $V_D(0,0,1) = a_1$
 $V_D(0,1,0) = a_2$

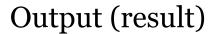
Relation of consecutive layers





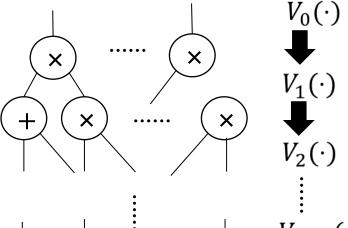
$$V_0(0) = V_1(0,0) \times V_1(0,1)$$

Checking relation efficiently



layer o

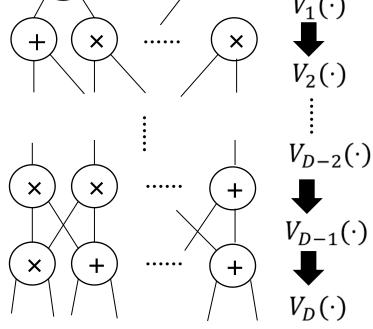
layer 1



layer D-2

layer D-1

layer D



Input (data)

$$V_{i}(\vec{g}) = \sum_{\vec{u}, \vec{v} \in \{0,1\}^{s}} (add_{i}(\vec{g}, \vec{u}, \vec{v})(V_{i+1}(\vec{u}) + V_{i+1}(\vec{v})) + mult_{i}(\vec{g}, \vec{u}, \vec{v})V_{i+1}(\vec{u})V_{i+1}(\vec{v}))$$

Wiring predicate:

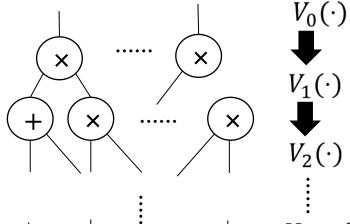
 $add_i(\vec{g}, \vec{u}, \vec{v}) = 1iff(\vec{g}, \vec{u}, \vec{v})$ connects to an add gate $mult_i(\vec{q}, \vec{u}, \vec{v}) = 1iff(\vec{q}, \vec{u}, \vec{v})$ connects to a mult gate

Sumcheck

Output (result)

layer o

layer 1



layer D-2

layer D-1

layer D

Input (data)

$$\begin{split} V_{i}(\vec{g}) &= \sum_{\vec{u}, \vec{v} \in \{0,1\}^{S}} f_{i, \vec{g}}(\vec{u}, \vec{v}) \\ &= \sum_{\vec{u}, \vec{v} \in \{0,1\}^{S}} (add_{i}(\vec{g}, \vec{u}, \vec{v})(V_{i+1}(\vec{u}) + V_{i+1}(\vec{v})) + \\ &\qquad \qquad mult_{i}(\vec{g}, \vec{u}, \vec{v})V_{i+1}(\vec{u})V_{i+1}(\vec{v})) \end{split}$$

Sumcheck protocol

$$f(x_1, \dots, x_k)$$



Check:

$$H = f_1(0) + f_1(1)$$

$$f_1(r_1) = f_2(0) + f_2(1)$$

$$f_i(r_i) = f_{i+1}(0) + f_{i+1}(1)$$

$$f_{k-1}(r_{k-1}) = f_k(0) + f_k(1)$$

$$f_k(r_k) = f(r_1, ... r_k)$$

$$f(x_1, \dots, x_k) \qquad H = \sum_{b_1, \dots, b_k \in \{0, 1\}} f(b_1, \dots, b_k)$$

$$f_1(x_1) = \sum_{b_2, \dots, b_k \in \{0, 1\}} f(x_1, b_2, \dots, b_k)$$

$$f_2(x_2) = \sum_{b_3, \dots, b_k \in \{0,1\}} f(r_1, x_2, b_3 \dots, b_k)$$



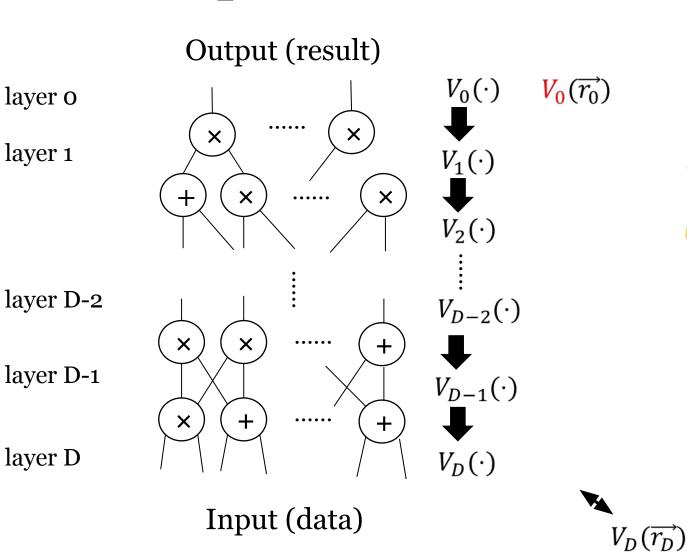
$$f_{i+1}(x_{i+1}) = \sum_{b_{i+2},\dots,b_k \in \{0,1\}} f(r_1,\dots,r_i,x_{i+1},b_{i+2}\dots,b_k)$$
......

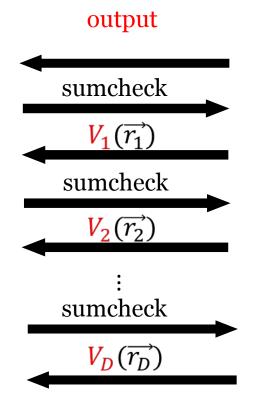
$$f_k(x_k) = f(r_1, ..., r_{k-1}, x_k)$$

Reduction

- Receive output $V_0(0)$
- Run sumcheck: last round, need to check $f(r_1, ... r_{2s})$
- $f(r_1, \dots r_{2s}) = add_i (0, r_1, \dots r_{2s}) (V_{i+1}(r_1, \dots r_s) + V_{i+1}(r_{s+1}, \dots r_{2s})) + mult_i (0, r_1, \dots r_{2s}) (V_{i+1}(r_1, \dots r_s) \times V_{i+1}(r_{s+1}, \dots r_{2s}))$
- Compute add_i $(0, r_1, ... r_{2s})$, $mult_i$ $(0, r_1, ... r_{2s})$ locally, receive $V_{i+1}(r_1, ... r_s)$, $V_{i+1}(r_{s+1}, ... r_{2s})$ from the prover and check the equality
- Combine $V_{i+1}(r_1, ... r_s), V_{i+1}(r_{s+1}, ... r_{2s})$ into $V_{i+1}(\vec{r})$
- Recurse to next layer

GKR protocol





Correctness and soundness

- Correctness: 1
- Soundness: $O(\frac{D \log C}{|\mathbb{F}|})$

Complexity

- Proof size: $O(D \log C)$
- Verification time: $O(D \log C + n) + time to compute add and mult$
 - Worst case: O(C)
 - Structured circuits: $O(D \log C + n)$ e.g., matrix multiplication, data parallel circuits
- Prover time: O(C), [XZZPS, crypto 2019]
- No setup, no cryptographic assumption

Applications

- Verifiable computation
- Complexity theory
- Zero knowledge proof