

# A basis for selecting the spectral distribution of light for measuring leaf photosynthetic rates: evaluating leaves grown under light with different spectral distributions

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## Abstract

The spectral photon flux density distributions (SPDs) of light during growth and for measurements do not only directly affect the net photosynthetic rate ( $P_n$ ), but also interacts on it. This paper summarizes a plausible mechanism of the interaction, some situations in which the interaction should be considered, and recommendations for selecting appropriate measuring light to evaluate photosynthesis. The  $P_n$  should be measured under *in situ* conditions, depending on the purpose of the study. For prospective studies focusing on plant growth after measurements,  $P_n$  should be measured under the SPD of light that the plant will be subjected to. In retrospective studies aiming to elucidate the causes of differences among experimental groups, measurements should be made under the SPDs of the lights the plants were grown under. In descriptive studies,  $P_n$  should be evaluated under several SPDs of measuring light to understand the general photosynthetic characteristics of the leaves. The  $P_n$  under a single-pattern SPD of measuring light is only one aspect of the photosynthetic characteristics of a leaf. The obtained results must be discussed in relation to the SPD of measuring light so as not to make biased evaluations.

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**Abbreviations** BR-light: blue and red LED light, Chl: chlorophyll, ETR: electron transport rate, FR: far-red, LED: light-emitting diode,  $P_n$ : net photosynthetic rate, PFD: photon flux density, PPFD: photosynthetic photon flux density, PSI: photosystem I, PSII: photosystem II, SPD: relative spectral PFD distribution

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## Introduction

The photosynthetic rate is one of the most important and fundamental aspects for plant growth. In many studies this rate is measured, evaluated, and compared among the leaves of plants cultivated under different conditions. The measured rates are also used to calculate other photosynthesis-related indices, such as photosynthetic light-, water-, and nitrogen-use efficiencies. In agricultural and horticultural studies, the effectiveness of treatments is sometimes discussed based on the measured photosynthetic rates and calculated indices. Therefore, accurate measurements of photosynthetic rates are essential.

A number of studies have reported that the relative spectral photon-flux-density (PFD) distribution (SPD) of light used for measurement (i.e. measuring light or actinic light) affects leaf net photosynthetic rates ( $P_n$ ) (e.g. McCree, 1972; Inada, 1976). To eliminate this direct effect from the comparison,  $P_n$  is usually measured under a common

SPD of measuring light irrespective of leaf growth conditions in agricultural and horticultural studies. One of the most widely-used measuring lights is a mixture of blue and red light (BR-light) provided by light-emitting diodes (LEDs) installed in commercial photosynthesis analysis systems (e.g. LI6400 and LI6400XT, LI-COR Inc., Lincoln, NE; GFS-3000, Heinz Walz GmbH, Effeltrich, Germany). The use of artificial light sources enables precise control of the photosynthetic PFD (PPFD) and SPD incident on the leaf, and therefore, ensures reproducibility and reliability among experiments.

Walters (2005) noted that photosynthetic rates measured with a SPD of light different from that of the growth light do not necessarily reflect the functioning of photosynthesis under the actual growth conditions. Indeed, we have experimentally demonstrated this problem in  $P_n$  measurements in our recent study (Murakami et al., 2016). In that experiment, cucumber seedlings were grown under white LED without and with supplemental far-red (FR) LED light (W and WFR, respectively), and the  $P_n$  of the leaves was subsequently compared under BR-light and under light with a SPD resembling that of sunlight ('artificial' sunlight). The  $P_n$  of W-grown-leaves was greater than that of WFR-grown-leaves under BR-light, while the rates were comparable under the artificial sunlight (Murakami et al., 2016). Based on the results obtained from measurement under BR-light, the prospective leaf photosynthetic rate of WFR-grown-plants may be evaluated to be smaller than that of W-grown-plants, despite the comparable rates under sunlight.

The effect of the SPD of growth light on  $P_n$  depends on the SPD of measuring light. In other words, the interaction between the SPDs of growth light and measuring light affect the  $P_n$  of a leaf. In this short article, we describe a plausible mechanism for this interaction, based on the excitation energy distribution balance between the photosystems. We then suggest situations in which the interaction should be particularly considered. We also discuss good practice for selecting measuring light with an appropriate SPD for  $P_n$  measurements. Several mechanisms other than the excitation balance, such as stomatal responses (Shimazaki et al., 2007), photoinhibition (Zavafer et al., 2015), and vertical PFD profile within a leaf (Terashima et al., 2009) affect photosynthesis via the SPD of measuring light. Although these subjects are not discussed in this article, several cited articles are available for these topics.

## Plausible origin of the interaction

Fundamental knowledge about photosynthetic electron transport is required to understand the mechanism of the interaction. Light energy absorbed by a leaf drives photosynthetic electron transport,  $O_2$  evolution, and  $CO_2$  uptake. In higher plants, the photosynthetic electron transport chain is anchored by photochemical reactions that occur at the two photosystems; PSII and PSI. The excitation energy derived from absorbed photons and transferred to the reaction centers of the photosystems is consumed by photochemical reactions. The serial photochemical reactions at PSII and PSI enable electron transfer from water to  $NADP^+$ , via the so-called Z scheme.

The two photosystems—PSII and PSI—represent different spectral light absorption distributions due to their different compositions of binding pigments, mainly chl *a* and chl *b*. Within the chlorophyll (chl) absorption band (approximately 350–750 nm), longer wavelengths of light (> 680–690 nm) are estimated to be preferentially absorbed by PSI, and PSI is drastically overexcited (Fig. 1; Evans and Anderson, 1987; Hogewoning et al., 2012; Wientjes et al., 2013; Laisk et al., 2014). This is because only chl *a* can absorb longer wavelengths of light, and PSI contains more chl *a* than does PSII. In contrast, monochromatic light at shorter wavelengths (< 680–690 nm) is estimated to be preferentially absorbed by PSII, or evenly absorbed by both photosystems (Fig. 1; Evans and Anderson, 1987; Hogewoning et al., 2012; Wientjes et al., 2013; Laisk et al., 2014). These wavelength dependencies of the excitation balance between PSII and PSI determine the excitation energy distribution (EED) under a given SPD of light. Light with a given SPD is sometimes categorized as either PSII- or PSI-light according to whether the excitation energy is preferentially distributed to PSII or PSI (e.g. Chow et al., 1990; Melis, 1991; Pfannschmidt et al., 2001, 2009; Dietzel et al., 2008, 2011; Hogewoning et al., 2012).

Because the photosynthetic electron transport reactions occur in series, the electron transport rate (ETR) through the thylakoid membrane is limited by the slowest step (Fig. 2). When there is excess excitation energy at PSII (i.e. under PSII-light), the smaller amount of excitation energy distributed to PSI results in lower photochemical reaction

rates at PSI than at PSII. This shortage in the EED to PSI limits the ETR, thereby leading to lower photochemical quantum yield of PSII and balanced ETR between PSII and PSI (Fig. 2C). When PSI absorbs excess excitation energy (i.e. under PSI-light), the excitation energy distributed to PSII limits the ETR and lowers the yield of PSI (Fig. 2B). In both cases, the excess energy is dissipated mainly as heat and fluorescence. Consequently, the entire photosynthetic quantum yield—ETR per absorbed photons by the leaf—becomes smaller. Therefore, balancing the EED between PSII and PSI is essential for plants to retain a high photosynthetic quantum yield. Imbalanced excitation between the photosystems is supposed to damage leaves by generating reactive oxygen species, which cause oxidative damage to chloroplast components (for reviews, see Asada, 1999, 2006).

Apparently, the EED at a given SPD of light is also affected by the composition of the thylakoid components, especially the stoichiometry between PSII and PSI. The stoichiometry appears to adjust to the SPD of the growth light. Under PSII-light, the relative amount of the reaction center complex of PSII to that of PSI in leaves decreases; conversely, under PSI-light, the relative amount increases to achieve a balance (e.g. Melis, 1991; Pfannschmidt et al., 1999). These adjustments in the EED properties might help the leaves to maintain a high photosynthetic quantum yield under different growth lights (Chow et al., 1990). As a result of these adjustments in the EED properties of a leaf, the spectrum of excitation balance (Fig. 1) differs depending on the SPD of the growth light. The categories of light, that is, ‘PSII-light’ and ‘PSI-light’, are defined for a given leaf on a relative scale, not an absolute scale. For instance, the SPD of light that is evenly absorbed by PSII and PSI in PSII-light-grown leaves (Fig. 2A), can overexcite PSII in PSI-light-grown leaves (Fig. 2C). Therefore, the terms ‘PSII-light’ and ‘PSI-light’ are used only in a relative context. In the short term within an hour, an imbalance in the EED is, at least partly, relieved by the reversible allocation of the light-harvesting antenna complexes of PSII (LHCII) between PSII and PSI (state transition; for a review, see Goldschmidt-Clermont and Bassi, 2015). Although a slight imbalance in the EED might be compensated for by state transitions, the long-term adjustments in the EED properties are thought to occur when state transitions are insufficient to counterbalance the uneven EED (Dietzel et al., 2008).

The SPD of growth light affects the EED properties of a leaf. This modifies the ETR responses, and therefore the  $P_n$ , to the SPD of measuring light. Thus, the SPDs of growth light and measuring light not only directly affect the  $P_n$ , but also indirectly affect it through their interaction. This expected interaction has been reported in several studies (Chow et al., 1990; Walters and Horton, 1995; Hogewoning et al., 2012; Murakami et al., 2016). In their pioneering study, Chow et al. (1990) grew *Pisum sativum* plants under light provided by cool-white fluorescent lamps with yellow Plexiglas (PSII-light) and incandescent bulbs with red Plexiglas (PSI-light) and measured the photosynthetic quantum yield of  $O_2$  evolution— $O_2$  evolution rate per absorbed photons by the leaf—under the PSII- and PSI-lights reciprocally. When measured under PSII-light, the yield was higher in the PSII-light-grown leaves; when measured under PSI-light, the yield was higher in the PSI-light-grown leaves. Similar trends in the photosynthetic quantum yield of  $O_2$  evolution (in *Arabidopsis thaliana*; Walters and Horton, 1995), in the photosynthetic quantum yield of  $CO_2$  uptake (in *Cucumis sativus*; Hogewoning et al., 2012), and in  $P_n$  (in *C. sativus*, see also Introduction; Murakami et al., 2016) were observed.

These reports suggested that the EED properties of a leaf might be tuned to the PSII/PSI-biased level of growth light. It is expected that a leaf will perform a higher ETR per absorbed photons by the leaf under measuring light with a PSII/PSI-biased level similar to that of the growth light (Fig. 3). When leaves grown under different SPDs of light are compared and evaluated under a specific SPD of measuring light, the results will inevitably be biased depending on the SPD of the measuring light.

## Some situations in which the interaction should be concerned

The interaction may have a considerable impact on  $P_n$ , especially when it is measured under low PPFDs and/or high  $CO_2$  concentrations, where the ETR is a limiting factor for photosynthetic  $CO_2$  fixation (von Caemmerer and Farquhar, 1981). Under such conditions, any bias in the ETR is directly reflected in  $P_n$ . Therefore, the interaction should be considered carefully when measuring  $P_n$  under such conditions.

The mechanisms of how EED properties adapt to SPD have not yet been fully elucidated (see Murakami et al.,

2016). Considering the sensitive adjustments of photosystem stoichiometry in response to the SPD of growth light (e.g. Walters and Horton, 1995), the interaction should always be taken into account whenever the  $P_n$  and related indices are compared among leaves grown under different SPDs of light. Particular attention should be paid to the effects of the interaction on  $P_n$ , at least when the measured leaves are expected to represent different EED properties.

Several studies published over the last decade have investigated the effects of the SPD of the growth light on plant growth and photosynthesis (e.g. Matsuda et al., 2004, 2007, 2008; Hogewoning et al., 2010a, 2010b, 2012; Shibuya et al., 2015; Trouwborst et al., 2016). Growth light provided by most artificial light sources contains little FR light. In general, fluorescent lamps, metal halide lamps, high-pressure sodium lamps, and blue, red, and white LEDs used for assimilation lighting all emit typical PSII-light (Fig. 4). This is because FR light is hardly ‘photosynthetically active’ and promotes excessive stem elongation. However, several recent papers have suggested the significance of supplemental FR light on plant growth and development (for a review, see Demotes-Mainard et al., 2016). Since FR light overexcites PSI, leaves grown under PSII-light with supplemental FR light are more similar to PSI-leaves in terms of their EED properties, compared with leaves of plants grown without supplemental FR light. Therefore, comparing the  $P_n$  of leaves of plants grown with and without supplemental FR light using BR-light as the measuring light might lead to a biased evaluation because of the interaction, as demonstrated in our recent report (Murakami et al., 2016).

Such biases can also occur when evaluating the vertical profiles of leaf photosynthetic characteristics of plants cultivated in greenhouses. While leaves in the upper layers are acclimated to sunlight, those in the lower layers are acclimated to light that has penetrated through the upper leaves. Due to the higher transmittance in the FR waveband of a leaf, the transmitted light incident on lower leaves contains a relatively greater proportion of FR light (Fig. 4) and is therefore PSI-light. Consequently, when measured using BR-light, the  $P_n$  of upper leaves might be overestimated while that of lower leaves might be underestimated.

## Selecting appropriate SPDs of measuring light for evaluating photosynthetic rates

Ideally, photosynthetic rates and related indices should be measured under *in situ* conditions. In prospective studies focusing on plant growth after measurements, the measurements should be made under the SPD of light that the plant will be subjected to. For instance, when evaluating plants grown under artificial lighting that will be transplanted (e.g. Kozai, 2007), the measurements should be made under sunlight because the plants will be transferred to a greenhouse and cultivated under sunlight. However, the measurements under sunlight may be less reliable because of fluctuations in the incident PPFD. In such cases, SPD-controllable light sources might be helpful (e.g. Yano and Fujiwara, 2012; Fujiwara et al., 2013) to make *in situ* evaluations under PPFD-stable conditions and to ensure reproducibility and reliability of the results. In retrospective studies that aim to explain differences among experimental groups, the measurements should be made under the SPDs of light that the plants received during the treatments. For instance, when differences in dry weight between plants grown under white LEDs and those grown under fluorescent lamps are analyzed and  $P_n$  is used as an explanatory variable, the measurements should be made using the white LEDs for the plants grown under white LEDs and using the fluorescent lamps for those grown under fluorescent lamps. In both prospective and retrospective studies, these simple *in situ* measurements will eliminate the problems caused by the interaction between the growth and the measuring light.

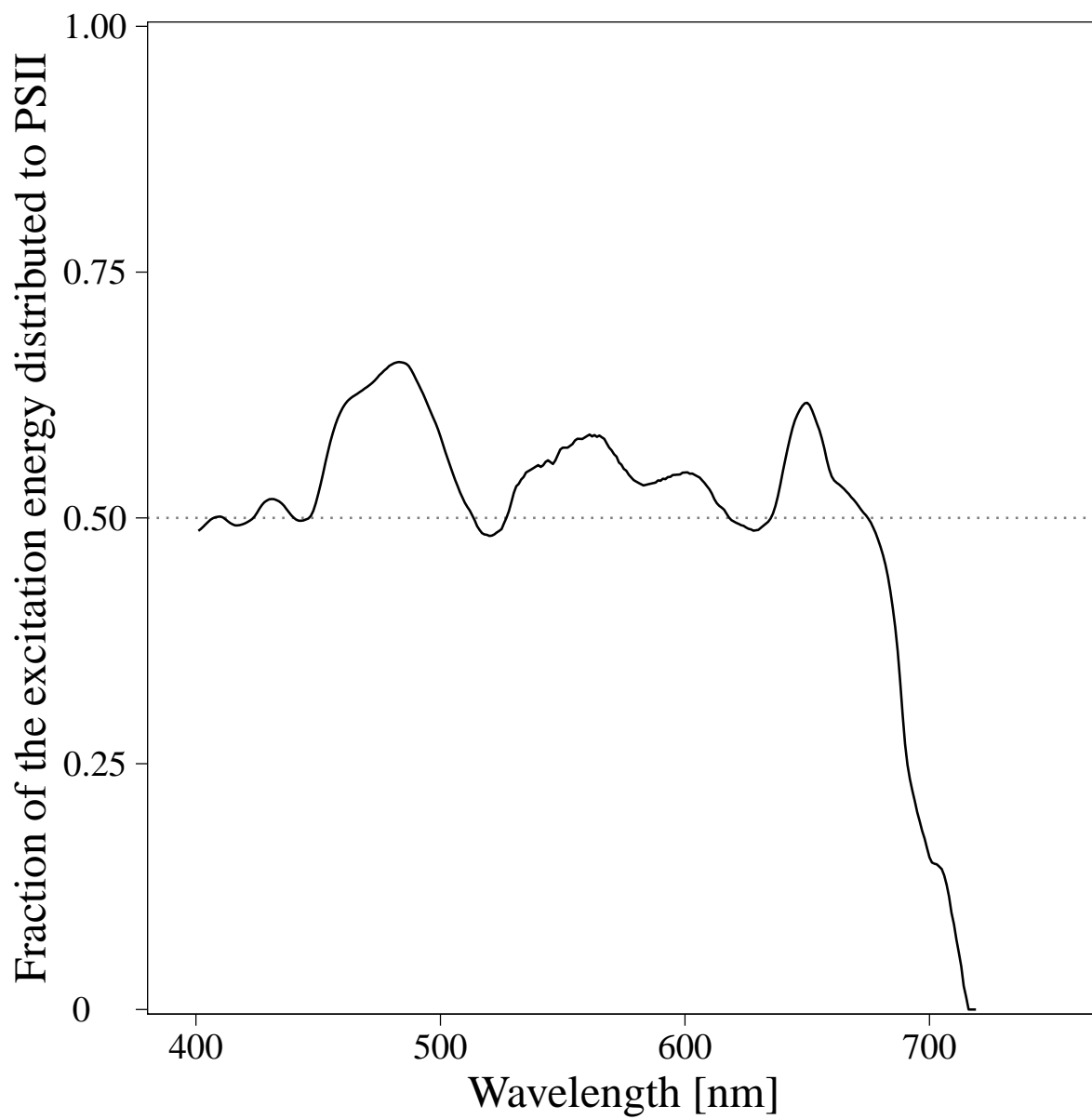
On the other hand, in descriptive studies that hardly make assumption about the *in situ* conditions,  $P_n$  measurements should be made under several SPDs of light including PSII- and PSI-light. It is better for researchers to report on the ‘general’ characteristics of the leaves in these studies. Therefore, the interaction should be tested so as not to make biased evaluations. If there is any interaction, the results should be descriptively reported and should not be generalized. When the measurements are made only under a single-pattern of SPD of measuring light because there is no other option, the light source must be described in the materials and methods section so that the reader knows the SPD.

## 173 **Concluding remarks**

174 The  $P_n$  under a single-pattern SPD of measuring light is only one aspect of the photosynthetic characteristics of a  
175 leaf. Therefore, the obtained results must be discussed in relation to the SPD of the measuring light. Photosynthesis  
176 should be evaluated under *in situ* conditions or multi-pattern SPDs of measuring light so that the evaluation is not  
177 biased by the interaction between the SPDs of the growth and measuring lights. Imitating the various SPDs of light  
178 incident on the leaf for *in situ* evaluation might be difficult or impossible for technical reasons. In addition, mea-  
179 suring the  $P_n$  under multi-pattern SPDs is time-, resource-, and labor-consuming. Although these two approaches  
180 might not always be used to evaluate leaf  $P_n$ , the interaction should always be considered to make circumspect  
181 conclusions.

## 182 **Acknowledgements**

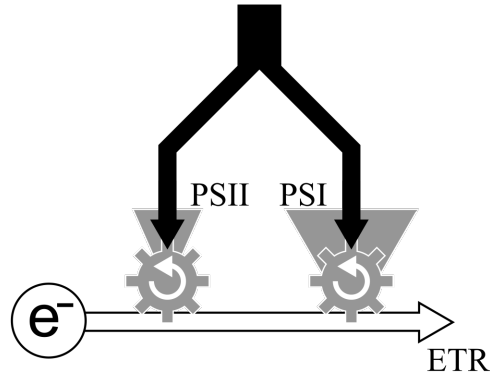
183 We thank Dr Sander W. Hogewoning (Plant Lighting B.V., the Netherlands) for providing data of absorbance spectra  
184 of photosystems. This work was supported by JSPS KAKENHI Grant Number 26-9372.



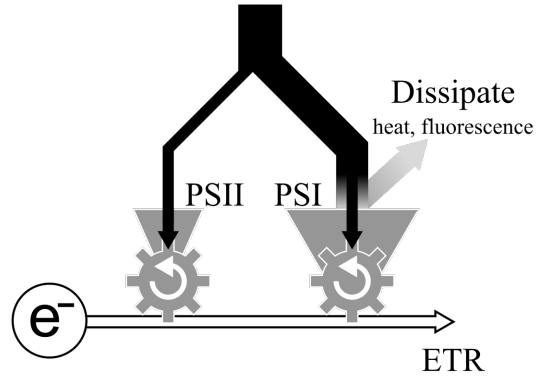
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186 Fig. 1 Typical excitation balance between photosystems in response to wavelength of measuring light. Spectrum  
 187 was calculated from absorbance spectra of PSII and PSI complexes in solvent (Hogewoning et al. 2012).

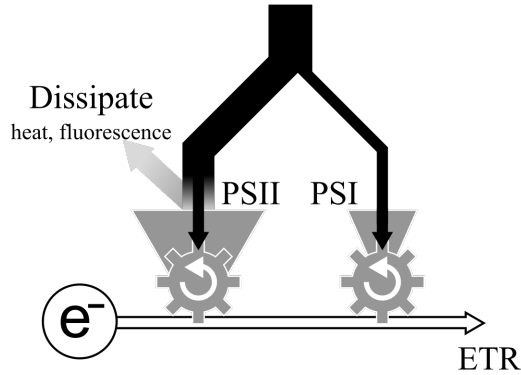
(a) GL: PSII-light, ML: PSII-light



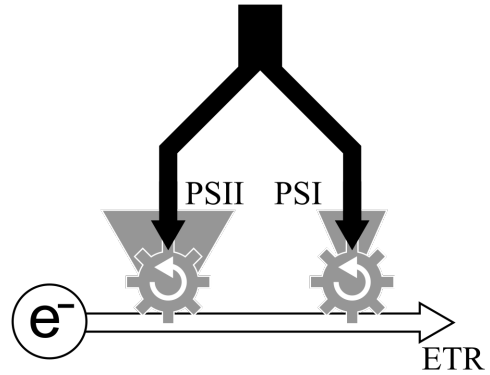
(b) GL: PSII-light, ML: PSI-light



(c) GL: PSI-light, ML: PSII-light

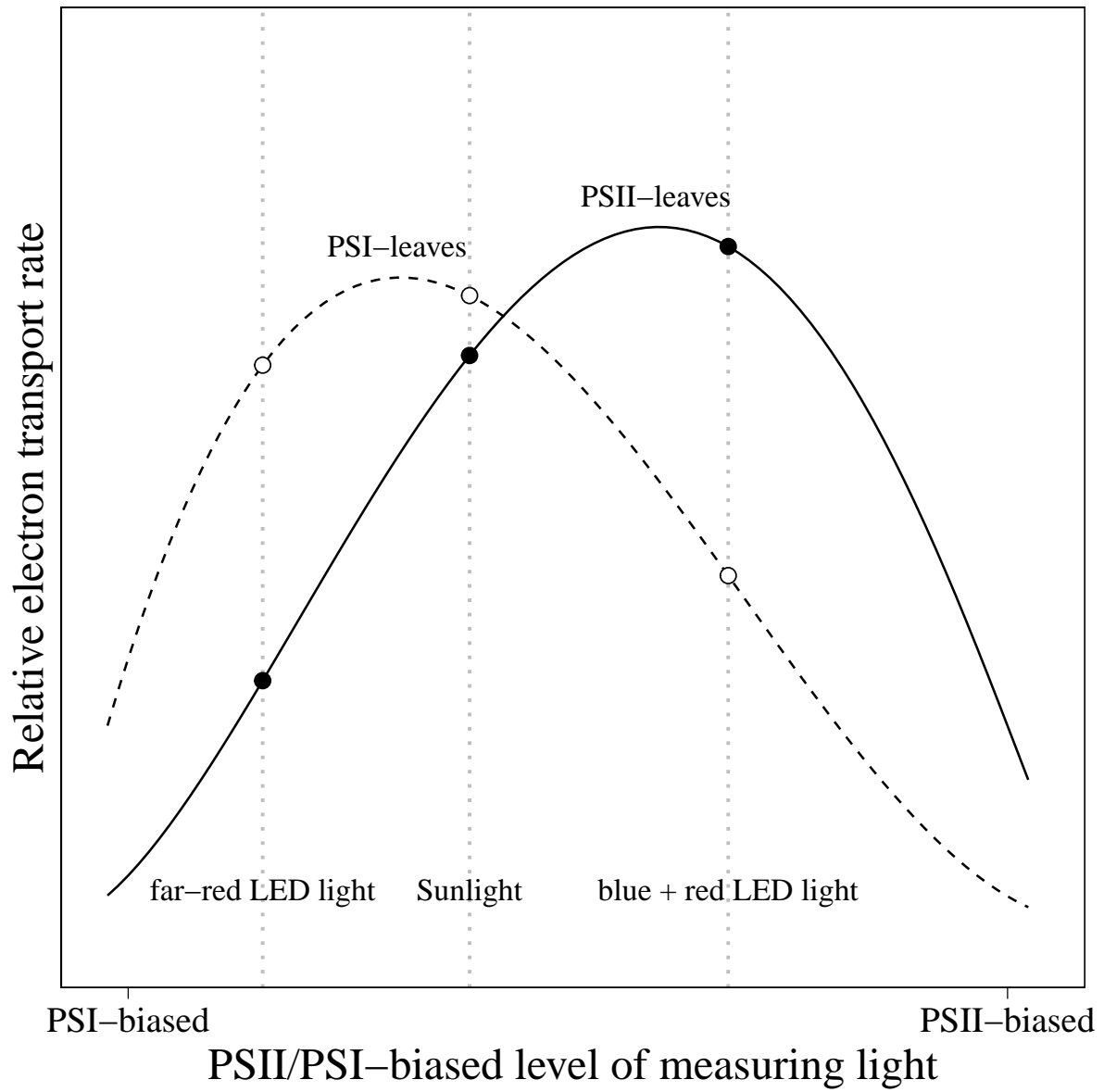


(d) GL: PSI-light, ML: PSI-light



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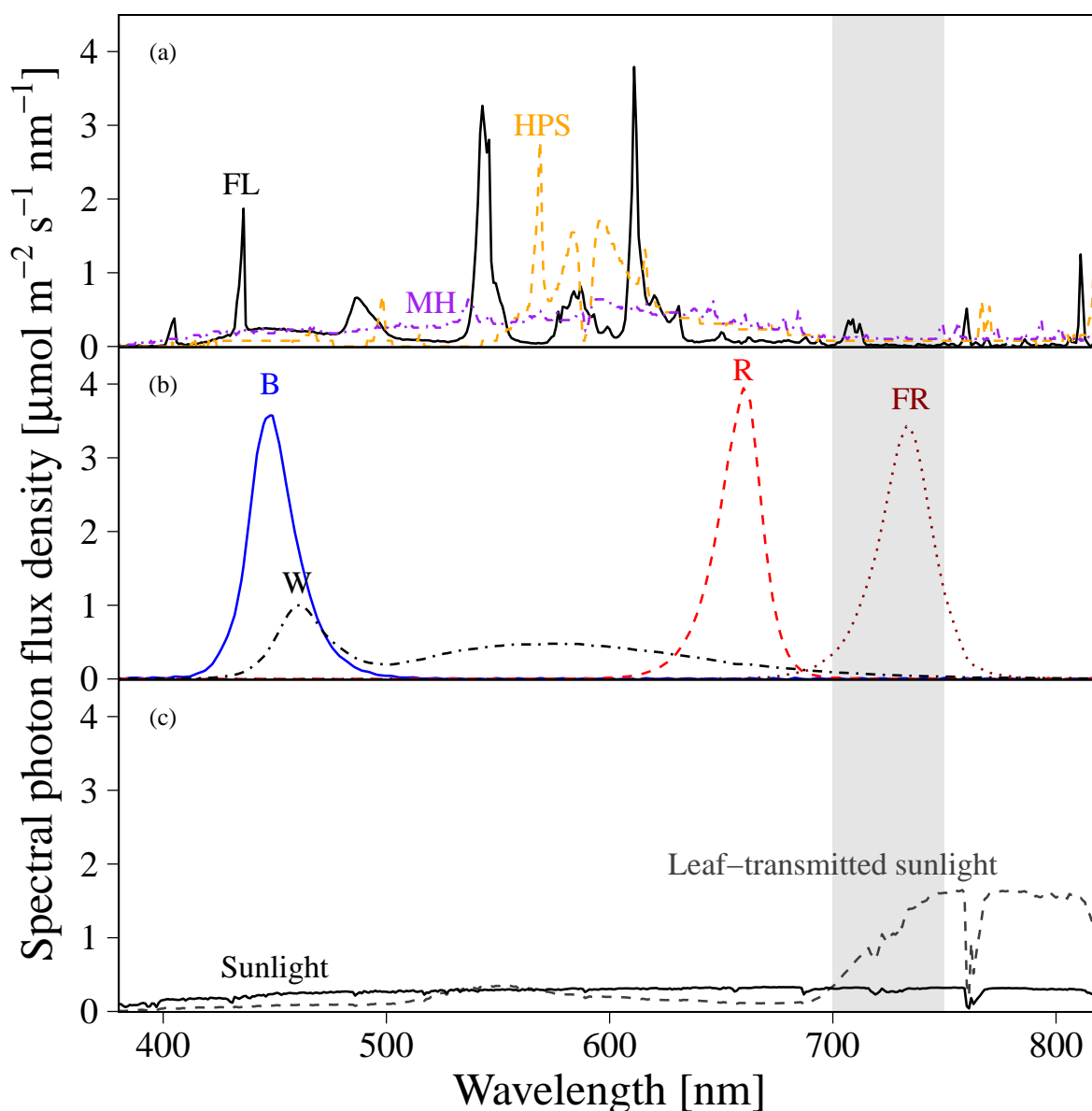
189 Fig. 2 Conceptual diagrams of effects of excitation energy distribution between photosystems (PSII and PSI) on  
 190 photosynthetic electron transport rate (ETR). Figure shows electron flow (white lines) and energy flow (black lines)  
 191 in leaves grown under PSII-light (a, b) and PSI-light (c, d) and measured using PSII-light (a, c) and PSI-light (b, d).  
 192 Excitation energy distribution properties of leaves are adjusted depending on growth light. GL: growth light, ML:  
 193 measuring light.



194

195 Fig. 3 Conceptual diagram of photosynthetic electron transport rates per absorbed photons by leaves grown under  
 196 PSII-light (PSII-leaves) and PSI-light (PSI-leaves) in response to the PSII/PSI-biased level of measuring light.





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198 Fig. 4 Spectral photon flux density distributions of light provided by (a) fluorescent lamp (FL; FPL55EX-N<sup>1</sup>); metal  
 199 halide lamp (MH; M220FCELS-W/BUD<sup>1</sup>); high-pressure sodium lamp (HPS; NH220FLS<sup>1</sup>), (b) blue (B; HBL3-  
 200 3S55-LE<sup>2</sup>), red (R; SRK3-3A80-LE<sup>2</sup>), far-red (FR; L735-36AU<sup>3</sup>), and white (W; NSPW310DS<sup>4</sup>) light-emitting  
 201 diodes, and (c) incident and leaf-transmitted sunlight. Distributions at a photon flux density of 100  $\mu\text{mol m}^{-2} \text{s}^{-1}$   
 202 within 400–750 nm are shown. Grey shading indicates far-red waveband (700–750 nm). Distribution of sunlight  
 203 is from International Electrotechnical Commission Standard 60904-3 (IEC Standard, 2007). Distribution of leaf-  
 204 transmitted sunlight was calculated from that of incident sunlight and transmittance spectrum of cucumber leaf  
 205 (Murakami et al. 2016).<sup>1</sup>IWASAKI ELECTRIC CO., LTD, Tokyo, Japan; <sup>2</sup>Tricon Co., Shimane, Japan; <sup>3</sup>Epitex  
 206 Inc., Kyoto, Japan; <sup>4</sup>Nichia Chemical Industries Ltd., Tokushima, Japan.

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