

量子力学与统计物理

Quantum mechanics and statistical physics

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第四章,表象与矩阵力学

第三讲,公正变换

$$\psi(x,t) = \sum_{m} a_{m} \phi_{m} = \sum_{n} b_{n} \varphi_{n}$$

任意态矢量 $\psi(x,t)$

在A表象:
$$\{\phi_{\alpha}\}$$

在B表象: $\{\varphi_n\}$

$$a = \begin{pmatrix} a_1(t) \\ \vdots \\ a_{\alpha}(t) \\ \vdots \end{pmatrix}$$

$$b = \begin{pmatrix} b_1(t) \\ \vdots \\ b_n(t) \\ \vdots \end{pmatrix}$$
公正变换

幺正变换

1、定义:

什么是幺正变换?

答:通过《正矩阵联系起来的变换!

什么是幺正矩阵?

答:对于一个矩阵,如果它的厄米共轭矩阵等 于它的逆矩阵,则称为《正矩阵

$$S^{\dagger} = (S^T)^* = (S^*)^T = S^{-1}$$



注:厄米共轭=复共轭+转置

也可定义为:
$$S^{\dagger}S = SS^{\dagger} = I$$

例此,二维平面去量绕原点的旋转变换矩阵是幺正矩阵

$$\begin{cases} x' = x \cos \theta - y \sin \theta \\ y' = x \sin \theta + y \cos \theta \end{cases}$$

$$\begin{bmatrix} y' = x \sin \theta + y \cos \theta \\ \begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

$$\begin{bmatrix} x \\ y \end{bmatrix}$$

$$R_{\theta} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \qquad R_{\theta}^{+} = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix}$$

$$R_{\theta}^{+}R_{\theta} = R_{\theta}R_{\theta}^{+} = \begin{bmatrix} \cos\theta & -\sin\theta \\ & & \\ \sin\theta & \cos\theta \end{bmatrix} \begin{bmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = I$$

即正交变换矩阵,是一种特殊的幺正矩阵(实幺正矩阵)

同理,在同一个去量空间中,从一种生标基到另一种生标基之间的变换矩阵,是幺正矩阵

$$\begin{pmatrix} \mathbf{e}_{x} \\ \mathbf{e}_{y} \\ \mathbf{e}_{z} \end{pmatrix}$$

$$S_{n\beta} = (\mathbf{e}_{n}, \mathbf{e}_{\beta}) = \mathbf{e}_{n} \cdot \mathbf{e}_{\beta}$$

$$\beta = x, y, z$$

$$n = r, \theta, \varphi$$

2. 量子力学中,不同表象基组之间的变换矩阵是幺正矩阵

Proof:

将 \hat{A} 的本征函数系 $\{\psi_{\alpha}(x)\}$ 按 \hat{B} 的本征函数集 $\{\varphi_{n}(x)\}$ 展开:

$$\psi_{\beta}(x) = \sum_{n} S_{n\beta} \varphi_{n}(x) = \sum_{n} \varphi_{n}(x) S_{n\beta} \Rightarrow \psi = \varphi S, \quad (1)$$

$$\psi_{\alpha}^{*}(x) = \sum_{m} S_{m\alpha}^{*} \varphi_{m}^{*}(x) = \sum_{m} \varphi_{m}^{*}(x) S_{m\alpha}^{*} \Rightarrow \psi^{*} = \varphi^{*} S^{*}, \quad (2)$$

$$(\psi_1 \ \psi_2 \ \dots \ \psi_{\beta}) = (\varphi_1 \ \varphi_2 \ \dots \ \varphi_n) \begin{pmatrix} S_{11} & S_{12} & \dots & S_{1\beta} \\ S_{21} & S_{22} & \dots & S_{2\beta} \\ \dots & \dots & \dots & \dots \\ S_{n1} & S_{n2} & \dots & S_{n\beta} \end{pmatrix}, (1)$$

用基 $\{\varphi_n(x)\}$ 展开基 $\{\psi_{\alpha}(x)\}$

展开系数为:

$$\psi_{\beta}(x) = \sum_{n} S_{n\beta} \varphi_{n}(x), \quad (1)$$

$$S_{n\beta} = \int \varphi_n^*(x) \psi_{\beta}(x) dx, \quad (3)$$

$$\psi_{\alpha}^{*}(x) = \sum_{m}^{\infty} S_{m\alpha}^{*} \varphi_{m}^{*}(x), \qquad (2)$$

$$S_{m\alpha}^* = \int \varphi_m(x) \psi_\alpha^*(x) dx, \quad (4)$$

归一化

注意展开系数的下标顺序, 左右不能随意颠倒,分别 对应矩阵元的行与列

$$\delta_{\alpha\beta} = \int \psi_{\alpha}^{*}(x)\psi_{\beta}^{\nu}(x)dx = \sum_{mn} \int S_{\alpha m}^{*} \varphi_{m}^{*}(x)S_{\beta n}\varphi_{n}(x)dx$$

$$= \sum_{mn} S_{m\alpha}^* S_{n\beta} \int \varphi_m^*(x) \varphi_n(x) dx = \sum_{mn} S_{m\alpha}^* S_{n\beta} \delta_{nm} = \sum_{m} S_{m\alpha}^* S_{m\beta}$$

$$= \sum_{m} (S^{\dagger})_{m\alpha}^{T} S_{m\beta} = \sum_{m} S_{\alpha m}^{\dagger} S_{m\beta} = (S^{\dagger}S)_{\alpha\beta} \Longrightarrow S^{\dagger}S = I$$

利用完备性关系
$$\sum_{\alpha} \psi_{\alpha}^{*}(x) \psi_{\alpha}(x') = \delta(x - x')$$

$$\mathbf{S}_{n\alpha}^* = \int \varphi_n(x) \psi_\alpha^*(x) dx, \ \mathbf{S}_{m\alpha} = \int \varphi_m^*(x) \psi_\alpha(x) dx$$

同理有

$$(SS^{\dagger})_{mn} = \sum_{\alpha} S_{m\alpha} S_{\alpha n}^{\dagger} = \sum_{\alpha} S_{m\alpha} S_{n\alpha}^{*}$$

$$= \sum_{\alpha} \int \varphi_{m}^{*}(x') \psi_{\alpha}(x') dx' \int \varphi_{n}(x) \psi_{\alpha}^{*}(x) dx$$

$$= \int \int \varphi_{m}^{*}(x') \varphi_{n}(x) \sum_{\alpha} \psi_{\alpha}^{*}(x) \psi_{\alpha}(x') dx' dx$$

$$= \int \int \varphi_{n}^{*}(x') \varphi_{m}(x) \delta(x - x') dx' dx$$

$$= \int \varphi_{n}^{*}(x) \varphi_{m}(x) dx = \delta_{nm} \Rightarrow SS^{\dagger} = I$$

$$S^{\dagger}S = SS^{\dagger} = I$$

$$S_{n\beta} = \int \varphi_n^*(x) \psi_{\beta}(x) dx$$

由基变换的矩阵形式 (其中基构成行矩阵), 有

$$\psi = \varphi S \Rightarrow \varphi = \psi S^{-1} = \psi S^{\dagger}$$

上面的推导过程, 用矩阵元表达, 则为

$$\psi_{\beta} = \sum_{n} \varphi_{n} S_{n\beta}$$
, 同时右乘 $S_{\beta m}^{\dagger}$, 并对 β 求和, 得:

$$\sum_{\beta} \psi_{\beta} S_{\beta m}^{\dagger} = \sum_{n} \sum_{\beta} \varphi_{n} S_{n\beta} S_{\beta m}^{\dagger} = \sum_{n} (SS^{\dagger})_{nm} \varphi_{n}$$

$$= \sum_{n} \delta_{nm} \varphi_{n} = \varphi_{m} \Rightarrow \varphi_{m} = \sum_{\beta} \psi_{\beta} S_{\beta m}^{\dagger}$$

3. 同一力学量在不同表象之间的变换是幺正变换

Proof:

算符 \hat{F} 在A表象 $\{\psi_{\beta}\}$ 中的矩阵表示为 $F_{\alpha\beta}$,在B表象 $\{\varphi_{m}\}$ 中的矩阵表示为 F'_{mm}

$$F_{\alpha\beta} = \int \psi_{\alpha}^{*}(x)\hat{F}\psi_{\beta}(x)dx \iff F'_{mn} = \int \varphi_{m}^{*}(x)\hat{F}\varphi_{n}(x)dx$$

其中

$$\psi_{\beta}(x) = \sum_{n} \varphi_{n}(x) S_{n\beta}$$

$$\varphi_{m}(x) = \sum_{\beta} \psi_{\beta}(x) S_{\beta m}^{\dagger}$$

$$S_{n\beta} = \int \varphi_{n}^{*}(x) \psi_{\beta}(x) dx$$

1)
$$\varphi_{m}^{*}(x) = \left[\sum_{\alpha} \psi_{\alpha}(x) S_{\alpha m}^{\dagger}\right]^{*} = \sum_{\alpha} \psi_{\alpha}^{*}(x) S_{m\alpha}, \quad \varphi_{n}(x) = \sum_{\beta} \psi_{\beta}(x) S_{\beta n}^{\dagger}$$

$$\therefore F_{mn}' = \int \varphi_{m}^{*}(x) \hat{F} \varphi_{n}(x) dx = \int \sum_{\alpha} \psi_{\alpha}^{*}(x) S_{m\alpha} \hat{F} \sum_{\beta} \psi_{\beta}(x) S_{\beta n}^{\dagger} dx$$

$$= \sum_{\alpha\beta} S_{m\alpha} \int \psi_{\alpha}^{*}(x) \hat{F} \psi_{\beta}(x) dx S_{\beta n}^{\dagger} = \sum_{\alpha\beta} S_{m\alpha} F_{\alpha\beta} S_{\beta n}^{\dagger} = (SFS^{\dagger})_{mn}$$

$$\Rightarrow F' = SFS^{\dagger}$$

2) 同理:
$$\psi_{\alpha}^{*}(x) = \sum_{m} S_{\alpha m}^{\dagger} \varphi_{m}^{*}(x), \quad \psi_{\beta}(x) = \sum_{n} S_{n\beta} \varphi_{n}(x)$$

$$\therefore F_{\alpha\beta} = \int \psi_{\alpha}^{*}(x) \hat{F} \psi_{\beta}(x) dx = \sum_{m,n} S_{\alpha m}^{\dagger} \int \varphi_{m}^{*}(x) \hat{F} \varphi_{n}(x) dx S_{n\beta}$$

$$= \sum_{m} S_{\alpha m}^{\dagger} F_{mn}' S_{n\beta} = (S^{\dagger} F' S)_{\alpha\beta} \Rightarrow F = S^{\dagger} F' S$$

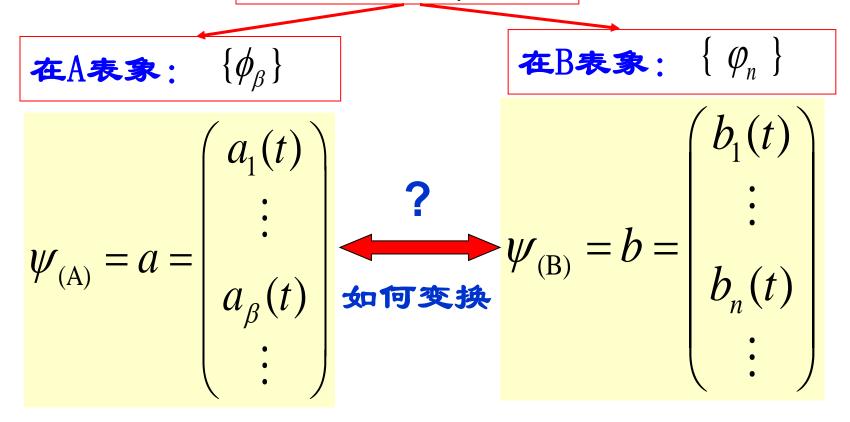
事实上,由于5是幺正矩阵,以上两个结果可以互推

$$F' = SFS^{\dagger} \iff F = S^{\dagger}F'S$$

7

4. 态矢量在不同表象中的变换是幺正变换

任意态矢量 $\psi(x,t)$



$$\psi(x,t) = \sum_{\beta} a_{\beta}(t)\phi_{\beta}(x) \qquad \psi(x,t) = \sum_{n} b_{n}(t)\phi_{n}(x)$$

注意从这里开始,A表象基矢符号跟前面不同

$$\sum_{\alpha} a_{\alpha}(t)\phi_{\alpha}(x) = \sum_{n} b_{n}(t)\varphi_{n}(x) \qquad S_{n\beta} = \int \varphi_{n}^{*}(x)\phi_{\beta}(x)dx$$
$$S_{n\beta}^{*} = \int \varphi_{n}(x)\phi_{\beta}^{*}(x)dx$$

两边左乘 $\phi_{\beta}^{*}(x)$,并对 x 积分

$$S_{n\beta}^* = \int \varphi_n(x) \phi_{\beta}^*(x) dx$$
$$= S_{\beta n}^{\dagger} = \int \phi_{\beta}^*(x) \varphi_n(x) dx$$

$$\sum_{\alpha} a_{\alpha}(t) \int \phi_{\beta}^{*}(x) \phi_{\alpha}(x) dx = \sum_{n} b_{n}(t) \int \phi_{\beta}^{*}(x) \phi_{n}(x) dx$$



$$a_{\beta} = \sum_{n} S_{\beta n}^{\dagger} b_{n} \iff a = S^{\dagger} b$$

周理可证
$$b_m = \sum_{\alpha} S_{m\alpha} a_{\alpha} \Leftrightarrow b = Sa$$

矢量变换与基矢变换进行对比

1) B表象 $\rightarrow A$ 表象

态矢:
$$a_{\beta} = \sum_{n} S_{\beta n}^{\dagger} b_{n}$$
, 或 $a = S^{\dagger} b$

基矢:
$$\phi_{\beta} = \sum_{n} \varphi_{n} S_{n\beta}$$
, 或 $\phi = \varphi S$

2) *A*表象 → *B*表象

态矢:
$$b_m = \sum_{\alpha} S_{m\alpha} a_{\alpha}$$
, 或 $b = Sa$

基矢:
$$\varphi_n = \sum_{\beta} \phi_{\beta} S_{\beta n}^{\dagger}$$
, 或 $\varphi = \phi S^{\dagger}$

课外阅读: 下式可以看做是基矢行矩阵与系数列矩阵的乘积

$$\psi(x,t) = \sum_{\alpha} a_{\alpha}(t)\phi_{\alpha}(x) = \phi a = \sum_{n} b_{n}(t)\varphi_{n}(x) = \phi b, \quad (1)$$

利用
$$\varphi = \phi S^{\dagger}, \ b = Sa, \ SS^{\dagger} = S^{\dagger}S = I$$

す
$$\psi = \phi a = \phi S^{\dagger} S a = (\phi S^{\dagger})(S a) = \phi b$$

这正符合(1)式

$$\phi a = \sum_{\alpha} a_{\alpha} \phi_{\alpha} = \sum_{n} b_{n} \varphi_{n} = \varphi b$$

$$(\phi_{1} \ \phi_{2} \ \dots \phi_{\alpha} \ \dots) \begin{pmatrix} a_{1} \\ a_{2} \\ \dots \\ a_{\alpha} \\ \dots \end{pmatrix} = (\varphi_{1} \ \varphi_{2} \ \dots \varphi_{n} \ \dots) \begin{pmatrix} b_{1} \\ b_{2} \\ \dots \\ b_{n} \\ \dots \end{pmatrix}$$

満写为
$$b = Sa$$
 (即简写成: $a = \psi_{(A)}$, $b = \psi_{(B)}$)

$$a = S^{\dagger}b = S^{-1}b$$

5. 幺正变换的两个重要性质

性质]: 幺正变换不改变算符的本征值

算符 \hat{F} 在A表象中的矩阵为F,本征矢为A

本征方程
$$Fa = \lambda a$$

(1)

 \hat{F} 在B 表象中的矩阵为F', 本征矢为b

本征方程
$$F'b = \lambda'b$$
 $b = Sa$

$$b = Sa$$

$$F' = SFS^{\dagger}$$

$$\left(SFS^{\dagger}\right)Sa = \lambda'Sa$$



$$SFa = \lambda' Sa$$

$$SFa = \lambda'Sa \longrightarrow Fa = \lambda'a$$

(2)

比较(1)、(2) 式,可知 $\lambda' = \lambda$

例:设在某表象 h_0 中,系统的哈密顿量为

$$H = \begin{pmatrix} 2\varepsilon & 0 & \varepsilon \\ 0 & 2\varepsilon & 0 \\ \varepsilon & 0 & 2\varepsilon \end{pmatrix}$$

求由H到对角化矩阵 h_0 的变换矩阵S

$$h_0 = S^{\dagger} H S$$

解:
$$\begin{pmatrix} 2\varepsilon & 0 & \varepsilon \\ 0 & 2\varepsilon & 0 \\ \varepsilon & 0 & 2\varepsilon \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix} = E \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix}$$

$$= \begin{pmatrix} \varepsilon & 0 & 0 \\ 0 & 2\varepsilon & 0 \\ 0 & 0 & 3\varepsilon \end{pmatrix}$$

得: $E_1 = \varepsilon$ $E_2 = 2\varepsilon$

$$E_2 = 2\varepsilon$$

$$E_3 = 3\varepsilon$$

$$\psi_{1} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\0\\-1 \end{pmatrix} \qquad \psi_{2} = \begin{pmatrix} 0\\1\\0 \end{pmatrix} \qquad \psi_{3} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\0\\1 \end{pmatrix} \implies S = \frac{1}{\sqrt{2}} \begin{pmatrix} 1&0&1\\0&\sqrt{2}&0\\-1&0&1 \end{pmatrix}$$

性质2: 幺正变换不改变矩阵的迹

矩阵A的对角元素之和称为矩阵A的迹,用 $\mathrm{tr}(A)$ 表示。则

$$\operatorname{tr}(A) = \sum_{n} A_{nn}$$

有性质:

$$tr(AB)=tr(BA)$$

$$tr(\mathbf{AB}) = \sum_{i=1}^{n} (\mathbf{AB})_{ii} = \sum_{i=1}^{n} \sum_{j=1}^{m} \mathbf{A}_{ij} \mathbf{B}_{ji} = \sum_{j=1}^{m} \sum_{i=1}^{n} \mathbf{B}_{ji} \mathbf{A}_{ij} = \sum_{j=1}^{m} (\mathbf{BA})_{jj} = tr(\mathbf{BA})$$

Proof:

$$F' = SFS^{\dagger}$$

$$\operatorname{tr}(F') = \operatorname{tr}(SFS^{\dagger}) = \operatorname{tr}(S^{\dagger}SF) = \operatorname{tr}(F)$$

例1:设算符 \hat{F} 在表象A中的矩阵为

$$F = \begin{pmatrix} 0 & e^{i\theta} \\ e^{-i\theta} & 0 \end{pmatrix} \qquad \mathbf{其中}\theta \, \mathbf{为常数}, \, \, \mathbf{求};$$

- (1) \hat{F} 的本征值和在A表象中的正交归一本征函数;
- (2) 求使矩阵F对角化的幺正矩阵S。

解: (1) \widehat{F} 在 A 表象中的本征方程为

$$\begin{pmatrix} 0 & e^{i\theta} \\ e^{-i\theta} & 0 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \lambda \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$

$$\begin{pmatrix} -\lambda & e^{i\theta} \\ e^{-i\theta} & -\lambda \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = 0$$

可改写为
$$\begin{cases} -\lambda a_1 + e^{i\theta} a_2 = 0 \\ e^{-i\theta} a_1 - \lambda a_2 = 0 \end{cases}$$

(1)

上式有非平庸解的条件是
$$\begin{vmatrix} -\lambda & e^{i\theta} \\ e^{-i\theta} & -\lambda \end{vmatrix} = \lambda^2 - 1 = 0$$

解得 $\lambda = +1$, -1

将 $\lambda = +1$ 代入方程(1)可得: $a_1 = e^{i\theta}a_2$

则本征函数为
$$\psi_1 = a_2 \begin{pmatrix} e^{i\theta} \\ 1 \end{pmatrix}$$

利用归一化条件 $\psi^+\psi=1$ 得: $a_2=\frac{1}{\sqrt{2}}$

$$\psi_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} e^{i\theta} \\ 1 \end{pmatrix}$$

$$\psi_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} e^{i\theta} \\ -1 \end{pmatrix}$$

(2) 为找出能使矩阵 F 对角化的幺正矩阵 S ,我们将本征函数 ψ_1 、 ψ_2 按列排列,得:

$$\psi_{1} = \frac{1}{\sqrt{2}} \begin{pmatrix} e^{i\theta} \\ 1 \end{pmatrix} \qquad \psi_{2} = \frac{1}{\sqrt{2}} \begin{pmatrix} e^{i\theta} \\ -1 \end{pmatrix}$$

$$S = \frac{1}{\sqrt{2}} \begin{pmatrix} e^{i\theta} & e^{i\theta} \\ 1 & -1 \end{pmatrix} \qquad S^{+} = \frac{1}{\sqrt{2}} \begin{pmatrix} e^{-i\theta} & 1 \\ e^{-i\theta} & -1 \end{pmatrix}$$

验证:

$$F' = S^{\dagger} F S = \frac{1}{2} \begin{pmatrix} \exp(-i\theta) & 1 \\ \exp(-i\theta) & -1 \end{pmatrix} \begin{pmatrix} 0 & \exp(i\theta) \\ \exp(-i\theta) & 0 \end{pmatrix} \begin{pmatrix} \exp(i\theta) & \exp(i\theta) \\ 1 & -1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$
$$F' = \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

例2: 已知在 x 表象中有对易关条 $x\hat{p}_x - \hat{p}_x x = i\hbar$ 先求它在p表象中的形式,然后证明: 在任一 表象Q中. 这种对易关系也保持不变

解: 在P表象中:
$$\hat{x} = i\hbar \frac{\partial}{\partial p_x}$$
 $\hat{p}_x = p_x$
$$\hat{x}\hat{p}_x\psi(p_x) = i\hbar \frac{\partial}{\partial p_x} (p_x\psi(p_x))$$
$$= i\hbar \psi(p_x) + p_x i\hbar \frac{\partial}{\partial p_x} (\psi(p_x))$$

$$\hat{p}_x \hat{x} \psi(p_x) = p_x i \hbar \frac{\partial}{\partial p_x} (\psi(p_x))$$

$$\hat{x}\hat{p}_x\psi(p_x) - \hat{p}_x\hat{x}\psi(p_x) = i\hbar\psi(p_x)$$

 $\hat{x}p_{x} - p_{x}\hat{x} = i\hbar$

Proof: 设,X和P算符经公正变换后,在Q表象中表示为:

$$x' = S^{-1}xS$$

$$\hat{p}'_x = S^{-1}\hat{p}_xS$$

$$x'\hat{p}'_{x} - \hat{p}'_{x}x' = S^{-1}xSS^{-1}\hat{p}_{x}S - S^{-1}\hat{p}_{x}SS^{-1}xS$$

$$= S^{-1}x\hat{p}_{x}S - S^{-1}\hat{p}_{x}xS$$

$$= S^{-1}(x\hat{p}_{x} - \hat{p}_{x}x)S$$

$$= i\hbar S^{-1}S$$

$$= i\hbar$$

推广:

1、量子体系进行任一幺正变换不改变它的全部物理内容。

2、两个量子体系,如能用某个幺正变换联系起来,则它们在物理上就是等价的。

作业1: 已知力学量算符 \hat{S}_x 在某表象中的矩阵为

$$S_x = \begin{bmatrix} \mathbf{0} & \frac{\hbar}{2} \\ \frac{\hbar}{2} & \mathbf{0} \end{bmatrix}$$

求能使它对角化的幺正矩阵 S

作业2: 求由坐标表象向动量表象变换的幺正矩阵 S

作业3: 证明宇称算符的矩阵即是厄密矩阵又是幺正矩阵