



量子力学与统计物理

Quantum mechanics and
statistical physics

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第三章：量子力学中的力学量

第四、五讲：对易关系
不确定性原理

引入:

$$\hat{F}\hat{G} = \hat{G}\hat{F} \quad ?$$

问题: 1. 哪些算符之间对易, 哪些不是?

问题: 2. 对易的物理含义是什么?

不对易的物理含义又是什么?

1. 对易关系与对易子

设 \hat{F} 和 \hat{G} 为两个算符

若 $\hat{F}\hat{G} = \hat{G}\hat{F}$, 则称 \hat{F} 与 \hat{G} 对易

若 $\hat{F}\hat{G} \neq \hat{G}\hat{F}$, 则称 \hat{F} 与 \hat{G} 不对易

引入对易子:

$$[\hat{F}, \hat{G}] = \hat{F}\hat{G} - \hat{G}\hat{F}$$

若 $[\hat{F}, \hat{G}] = 0$, 则 \hat{F} 与 \hat{G} 对易

若 $[\hat{F}, \hat{G}] \neq 0$, 则 \hat{F} 与 \hat{G} 不对易

2. 对易子的运算法则（重要，要求活学活用）

$$\langle 1 \rangle [\hat{A}, \hat{B}] = -[\hat{B}, \hat{A}];$$

$$\langle 2 \rangle [\hat{A}, \hat{A}] = 0;$$

$$\langle 3 \rangle [\hat{A}, c] = 0 \quad (c \text{ 为复常数});$$

$$\langle 4 \rangle [\hat{A}, \hat{B} + \hat{C}] = [\hat{A}, \hat{B}] + [\hat{A}, \hat{C}];$$

$$\langle 5 \rangle [\hat{A}, \hat{B}\hat{C}] = \hat{B}[\hat{A}, \hat{C}] + [\hat{A}, \hat{B}]\hat{C};$$

$$\langle 6 \rangle [\hat{A}\hat{B}, \hat{C}] = \hat{A}[\hat{B}, \hat{C}] + [\hat{A}, \hat{C}]\hat{B}。$$

证明 $\langle 5 \rangle$ ：等式右边 = $\hat{B}\hat{A}\hat{C} - \hat{B}\hat{C}\hat{A} + \hat{A}\hat{B}\hat{C} - \hat{B}\hat{A}\hat{C} = \hat{A}\hat{B}\hat{C} - \hat{B}\hat{C}\hat{A}$

等式左边 = $\hat{A}\hat{B}\hat{C} - \hat{B}\hat{C}\hat{A}$ ，等式成立。

3. 坐标与动量对易关系——基本对易关系

求算符 $\hat{x} = x$ 与 $\hat{p}_x = -i\hbar \frac{\partial}{\partial x}$ 之间的对易关系

解：(1) $x\hat{p}_x\psi = x(-i\hbar \frac{\partial}{\partial x})\psi = -i\hbar x \frac{\partial}{\partial x} \psi$

(2) $\hat{p}_x x\psi = (-i\hbar \frac{\partial}{\partial x})x\psi = -i\hbar \psi - i\hbar x \frac{\partial}{\partial x} \psi$

$$(x\hat{p}_x - \hat{p}_x x)\psi = i\hbar \psi$$

$\therefore \psi$ 是任意波函数

$$\therefore x\hat{p}_x - \hat{p}_x x = i\hbar$$

$$\text{即 } [x, \hat{p}_x] = i\hbar$$

同理可证： $y\hat{p}_y - \hat{p}_y y = i\hbar$, $z\hat{p}_z - \hat{p}_z z = i\hbar$

结论：

(1) 坐标算符与其共轭动量算符不对易（同一个空间分量）

现证明：

(2) 坐标算符与非共轭动量算符对易

$$\begin{cases} x\hat{p}_y - \hat{p}_y x = 0 \\ x\hat{p}_z - \hat{p}_z x = 0 \end{cases}, \begin{cases} y\hat{p}_x - \hat{p}_x y = 0 \\ y\hat{p}_z - \hat{p}_z y = 0 \end{cases}, \begin{cases} z\hat{p}_x - \hat{p}_x z = 0 \\ z\hat{p}_y - \hat{p}_y z = 0 \end{cases}$$

$$\Leftrightarrow x_i \hat{p}_j - \hat{p}_j x_i = 0, i \neq j, x_1 = x, x_2 = y, x_3 = z$$

$$\text{证: } y\hat{p}_x \psi = y(-i\hbar \frac{\partial}{\partial x})\psi = -i\hbar y \frac{\partial}{\partial x} \psi$$

$$\hat{p}_x y\psi = (-i\hbar \frac{\partial}{\partial x})y\psi = -i\hbar y \frac{\partial}{\partial x} \psi \quad \Rightarrow y\hat{p}_x - \hat{p}_x y = 0$$

课堂作业!

(3) 各动量算符之间相互对易

$$\hat{p}_x \hat{p}_y - \hat{p}_y \hat{p}_x = 0, \hat{p}_y \hat{p}_z - \hat{p}_z \hat{p}_y = 0, \hat{p}_z \hat{p}_x - \hat{p}_x \hat{p}_z = 0$$

(4) 各坐标算符相互对易

$$xy - yx = 0, xz - zx = 0, yz - zy = 0$$

坐标、动量对易关系小结：

$$\left. \begin{aligned} [\hat{x}, \hat{y}] &= 0 \\ [\hat{y}, \hat{z}] &= 0 \\ [\hat{z}, \hat{x}] &= 0 \end{aligned} \right\}$$



$$[x_\alpha, x_\beta] = 0, \alpha, \beta = 1, 2, 3$$
$$(x_1 = x, x_2 = y, x_3 = z)$$

$$\left. \begin{aligned} [\hat{p}_x, \hat{p}_y] &= 0 \\ [\hat{p}_y, \hat{p}_z] &= 0 \\ [\hat{p}_z, \hat{p}_x] &= 0 \end{aligned} \right\}$$



$$[\hat{p}_\alpha, \hat{p}_\beta] = 0, \alpha, \beta = 1, 2, 3$$
$$(\hat{p}_1 = \hat{p}_x, \hat{p}_2 = \hat{p}_y, \hat{p}_3 = \hat{p}_z)$$

$$[x, \hat{p}_x] = i\hbar \quad [x, \hat{p}_y] = [x, \hat{p}_z] = 0$$

$$[y, \hat{p}_y] = i\hbar, \quad [y, \hat{p}_x] = [y, \hat{p}_z] = 0$$

$$[z, \hat{p}_z] = i\hbar \quad [z, \hat{p}_x] = [z, \hat{p}_y] = 0$$



$$[x_\alpha, \hat{p}_\beta] = i\hbar \delta_{\alpha\beta} = \begin{cases} i\hbar, & \alpha = \beta \\ 0, & \alpha \neq \beta \end{cases}$$
$$(\alpha, \beta = 1, 2, 3)$$

基本对易关系通式：

$$\delta_{\alpha\beta} = \begin{cases} 1, & \alpha = \beta \\ 0, & \alpha \neq \beta \end{cases}, \quad \alpha, \beta = 1, 2, 3$$

$$[x_{\alpha}, x_{\beta}] = x_{\alpha}x_{\beta} - x_{\beta}x_{\alpha} = 0$$

$$[\hat{p}_{\alpha}, \hat{p}_{\beta}] = \hat{p}_{\alpha}\hat{p}_{\beta} - \hat{p}_{\beta}\hat{p}_{\alpha} = 0$$

$$[x_{\alpha}, \hat{p}_{\beta}] = x_{\alpha}\hat{p}_{\beta} - \hat{p}_{\beta}x_{\alpha} = i\hbar\delta_{\alpha\beta}$$



量子力学基本对易关系

由于力学量一般都是坐标和动量的函数，知道以上基本对易关系，再结合对易子运算法则，可求出其他力学量之间的对易关系。

4. 其他对易关系的推导

坐标与角动量对易关系

例：证明 $[\hat{L}_x, y] = i\hbar z$

$$\begin{aligned} [y\hat{p}_z - z\hat{p}_y, y] &= -[y, y\hat{p}_z - z\hat{p}_y] \\ &= -[y, y\hat{p}_z] + [y, z\hat{p}_y] \\ &= -y[y, \hat{p}_z] - [y, y]\hat{p}_z + z[y, \hat{p}_y] + [y, z]\hat{p}_y \\ &= -0 - 0 + z(i\hbar) + 0 \\ &= i\hbar z \end{aligned}$$

坐标与角动量对易关系

$$[\hat{L}_x, y] = i\hbar z$$

$$[\hat{L}_x, x] = 0$$

$$[\hat{L}_x, z] = -i\hbar y$$

$$[\hat{L}_y, z] = i\hbar x$$

$$[\hat{L}_y, y] = 0$$

$$[\hat{L}_y, x] = -i\hbar z$$

$$[\hat{L}_z, z] = 0$$

$$[\hat{L}_z, y] = -i\hbar x$$

$$[\hat{L}_z, x] = i\hbar y$$

$$\begin{aligned} [\hat{L}_\alpha, x_\beta] &= i\hbar \varepsilon_{\alpha\beta\gamma} x_\gamma \\ &\equiv \sum_{\gamma=1}^3 i\hbar \varepsilon_{\alpha\beta\gamma} x_\gamma \\ (\alpha, \beta, \gamma &= 1, 2, 3) \end{aligned}$$

$$(1) \varepsilon_{123} = 1$$

$$(2) \varepsilon_{\alpha\alpha\beta} = \varepsilon_{\alpha\beta\alpha} = \dots = 0$$

任意两个下标相同，则为零

$$(3) \varepsilon_{\alpha\beta\gamma} = -\varepsilon_{\beta\alpha\gamma} = -\varepsilon_{\alpha\gamma\beta}$$

任意两个相邻下标的对换，
改变正负符号

$$\left. \begin{aligned} \hat{L}_x &= y\hat{p}_z - z\hat{p}_y \\ \hat{L}_y &= z\hat{p}_x - x\hat{p}_z \\ \hat{L}_z &= x\hat{p}_y - y\hat{p}_x \end{aligned} \right\} \Rightarrow$$

$$\hat{L}_\alpha = \varepsilon_{\alpha\beta\gamma} x_\beta \hat{p}_\gamma \equiv \sum_{\beta, \gamma} \varepsilon_{\alpha\beta\gamma} x_\beta \hat{p}_\gamma$$

$$\begin{cases} x_1 = x, x_2 = y, x_3 = z \\ \alpha, \beta, \gamma = 1, 2, 3 \end{cases}$$

角动量与动量对易关系

证： $[\hat{L}_x, \hat{p}_y] = i\hbar \hat{p}_z, (\hat{L}_x = y\hat{p}_z - z\hat{p}_y, \hat{p}_y = -i\hbar \frac{\partial}{\partial y})$

$$\begin{aligned} [\hat{L}_x, \hat{p}_y] &= \hat{L}_x \hat{p}_y - \hat{p}_y \hat{L}_x = (y\hat{p}_z - z\hat{p}_y) \hat{p}_y - \hat{p}_y (y\hat{p}_z - z\hat{p}_y) \\ &= y\hat{p}_z \hat{p}_y - z\hat{p}_y \hat{p}_y - \hat{p}_y y\hat{p}_z + \hat{p}_y z\hat{p}_y \\ &= y\hat{p}_y \hat{p}_z - z\hat{p}_y \hat{p}_y - \hat{p}_y y\hat{p}_z + z\hat{p}_y \hat{p}_y \\ &= y\hat{p}_y \hat{p}_z - \hat{p}_y y\hat{p}_z, \end{aligned}$$

$$y\hat{p}_y - \hat{p}_y y = i\hbar \Rightarrow \hat{p}_y y = y\hat{p}_y - i\hbar,$$

$$[\hat{L}_x, \hat{p}_y] = y\hat{p}_y \hat{p}_z - y\hat{p}_y \hat{p}_z + i\hbar \hat{p}_z = i\hbar \hat{p}_z$$

$$[\hat{L}_\alpha, \hat{p}_\beta] = i\hbar \varepsilon_{\alpha\beta\gamma} \hat{p}_\gamma \equiv i\hbar \sum_{\gamma=1}^3 \varepsilon_{\alpha\beta\gamma} \hat{p}_\gamma$$

角动量之间的对易关系

$$[\hat{L}_\alpha, \hat{L}_\beta] = i\hbar \varepsilon_{\alpha\beta\gamma} \hat{L}_\gamma$$

证：

$$\begin{aligned} [\hat{L}_x, \hat{L}_y] &= [y\hat{p}_z - z\hat{p}_y, z\hat{p}_x - x\hat{p}_z] \\ &= [y\hat{p}_z, z\hat{p}_x - x\hat{p}_z] - [z\hat{p}_y, z\hat{p}_x - x\hat{p}_z] \\ &= [y\hat{p}_z, z\hat{p}_x] - [y\hat{p}_z, x\hat{p}_z] - [z\hat{p}_y, z\hat{p}_x] + [z\hat{p}_y, x\hat{p}_z] \\ &= [y\hat{p}_z, z\hat{p}_x] + [z\hat{p}_y, x\hat{p}_z] \\ &= y[\hat{p}_z, z\hat{p}_x] + [y, z\hat{p}_x]\hat{p}_z + z[\hat{p}_y, x\hat{p}_z] + [z, x\hat{p}_z]\hat{p}_y \\ &= y[\hat{p}_z, z\hat{p}_x] + [z, x\hat{p}_z]\hat{p}_y \\ &= yz[\hat{p}_z, \hat{p}_x] + y[\hat{p}_z, z]\hat{p}_x + x[z, \hat{p}_z]\hat{p}_y + [z, x]\hat{p}_z\hat{p}_y \\ &= y[\hat{p}_z, z]\hat{p}_x + x[z, \hat{p}_z]\hat{p}_y \\ &= -i\hbar y\hat{p}_x + i\hbar x\hat{p}_y \\ &= i\hbar(x\hat{p}_y - y\hat{p}_x) = i\hbar\hat{L}_z \end{aligned}$$

矢量形式：

$$\hat{\mathbf{L}} \times \hat{\mathbf{L}} = i\hbar \hat{\mathbf{L}}$$

$$\begin{aligned} [y\hat{p}_z, x\hat{p}_z] &= y\hat{p}_z x\hat{p}_z - x\hat{p}_z y\hat{p}_z \\ &= (yx - xy)\hat{p}_z\hat{p}_z = 0 \end{aligned}$$

$$[y, z\hat{p}_x]\hat{p}_z = z[y, \hat{p}_x]\hat{p}_z + [y, z]\hat{p}_z\hat{p}_x$$

$$z[\hat{p}_y, x\hat{p}_z] = zx[\hat{p}_y, \hat{p}_z] + z[\hat{p}_y, x]\hat{p}_z$$

角动量与角动量平方的对易关系

$$\left. \begin{aligned} [\hat{L}_x, \hat{L}^2] &= 0 \\ [\hat{L}_y, \hat{L}^2] &= 0 \\ [\hat{L}_z, \hat{L}^2] &= 0 \end{aligned} \right\} \quad [\hat{L}_\alpha, \hat{L}_\beta] = i\hbar \varepsilon_{\alpha\beta\gamma} \hat{L}_\gamma$$

【证明】

$$\begin{aligned} [\hat{L}^2, \hat{L}_x] &= [\hat{L}_x^2, \hat{L}_x] + [\hat{L}_y^2, \hat{L}_x] + [\hat{L}_z^2, \hat{L}_x] \\ &= \hat{L}_y [\hat{L}_y, \hat{L}_x] + [\hat{L}_y, \hat{L}_x] \hat{L}_y + \hat{L}_z [\hat{L}_z, \hat{L}_x] + [\hat{L}_z, \hat{L}_x] \hat{L}_z \\ &= -i\hbar \hat{L}_y \hat{L}_z - i\hbar \hat{L}_z \hat{L}_y + i\hbar \hat{L}_z \hat{L}_y + i\hbar \hat{L}_y \hat{L}_z \\ &= 0 \end{aligned}$$

小结:

$$\langle 1 \rangle [\hat{A}, \hat{B}] = -[\hat{B}, \hat{A}];$$

$$\langle 2 \rangle [\hat{A}, \hat{A}] = 0;$$

$$\langle 3 \rangle [\hat{A}, c] = 0 \quad (c \text{ 为复常数});$$

$$\langle 4 \rangle [\hat{A}, \hat{B} + \hat{C}] = [\hat{A}, \hat{B}] + [\hat{A}, \hat{C}];$$

$$\langle 5 \rangle [\hat{A}, \hat{B}\hat{C}] = \hat{B}[\hat{A}, \hat{C}] + [\hat{A}, \hat{B}]\hat{C};$$

$$\langle 6 \rangle [\hat{A}\hat{B}, \hat{C}] = \hat{A}[\hat{B}, \hat{C}] + [\hat{A}, \hat{C}]\hat{B}。$$

$$[x_{\alpha}, x_{\beta}] = 0$$

$$[\hat{p}_{\alpha}, \hat{p}_{\beta}] = 0$$

$$[\hat{L}_i, \hat{L}^2] = 0$$

$$[x_{\alpha}, \hat{p}_{\beta}] = i\hbar\delta_{\alpha\beta}$$

$$[\hat{L}_{\alpha}, x_{\beta}] = i\hbar\varepsilon_{\alpha\beta\gamma}x_{\gamma}$$

$$[\hat{L}_{\alpha}, \hat{p}_{\beta}] = i\hbar\varepsilon_{\alpha\beta\gamma}\hat{p}_{\gamma}$$

$$[\hat{L}_{\alpha}, \hat{L}_{\beta}] = i\hbar\varepsilon_{\alpha\beta\gamma}\hat{L}_{\gamma}$$

例：试证明 (1) $[\hat{L}_z, \hat{L}_\pm] = \pm \hbar \hat{L}_\pm$

(2) $[\hat{L}^2, \hat{L}_\pm] = 0$, 式中 $\hat{L}_\pm = \hat{L}_x \pm i\hat{L}_y$

证：

$$(1) [\hat{L}_z, \hat{L}_\pm] = [\hat{L}_z, \hat{L}_x \pm i\hat{L}_y]$$

$$= [\hat{L}_z, \hat{L}_x] \pm [\hat{L}_z, i\hat{L}_y]$$

$$= [\hat{L}_z, \hat{L}_x] \pm i[\hat{L}_z, \hat{L}_y]$$

$$= i\hbar \hat{L}_y \pm i(-i\hbar \hat{L}_x) = \pm \hbar \hat{L}_\pm$$

$$(2) [\hat{L}^2, \hat{L}_\pm] = [\hat{L}^2, \hat{L}_x \pm i\hat{L}_y] = [\hat{L}^2, \hat{L}_x] \pm i[\hat{L}^2, \hat{L}_y]$$

$$= 0 \pm i0 = 0$$

$$[\hat{L}_\alpha, \hat{L}_\beta] = i\hbar \varepsilon_{\alpha\beta\gamma} \hat{L}_\gamma$$

练习：令 $\hat{l}_{\pm} = \hat{l}_x \pm \mathrm{i}\hat{l}_y$ （升、降算符）

证明 $[\hat{l}_+, \hat{l}_-] = 2\hbar\hat{l}_z$

$$\hat{l}_{\pm}\hat{l}_{\mp} = \hat{l}^2 - \hat{l}_z^2 \pm \hbar\hat{l}_z$$

作业

1. 试证明：

$$[\hat{L}_z, \hat{L}^2] = 0$$

2. 求 \hat{L}_x 与 \hat{p}_z 的对易子