## Eksamens noter - Divide and Conquer

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# 2.3 Designing algorithms

## 2.3.1 The divide-and-conquer approach

- The divide-and-conquer paradigm involves three steps at each level of the recursion:
  - Divide the problem into a number of subproblems that are smaller instances of the same problem
  - Conquer the subproblems by solving them recursively. If the subproblem sizes are small enough, however, just solve the subroblems in a straightforward manner
  - Combine the solutions to the subproblems into the solution for the original problem
- The *merge sort* algorithm closely follows the divide-and-conquer paradigm:
  - **Divide:** Divide the n-element sequence to be sorted into two subsequences of n/2 elements each
  - Conquer: Sort the two subsequences recursively using merge sort
  - Combine: Merge the two sorted subsequences to produce the sorted answer

#### 2.3.2 Analyzing divide-and-conquer algorithms

- When an algorithm contains a recursive call to itself, we can often describe its running time by a recurrence equation or recurrence, which describes the overall running time on a problem of size n in terms of the running time on smaller inputs. When can then use mathematical tools to solve the recurrence and provide bounds on the performance of the algorithm
- We let T(n) be the running time on a problem of size n. If the problem size is small enough, say  $n \leq c$  for some constant c, the straightforward solution takes  $\Theta(1)$ . Suppose that our division of the problem yields a subproblems, each of which is 1/b the size of the original. It takes time T(n/b) to solve one subproblem of size n/b, and so it takes time aT(n/b) to solve a of them. If we take D(n) time to divide the problem into subproblems and C(n) time to combine the solutions to the subproblems into the solution to the original problem, we get the recurrence

$$T(n) = \begin{cases} \Theta(1) & \text{in } \le c \\ aT(n/b) + D(n) + C(n) & \text{otherwise} \end{cases}$$

#### Analysis of merge sort

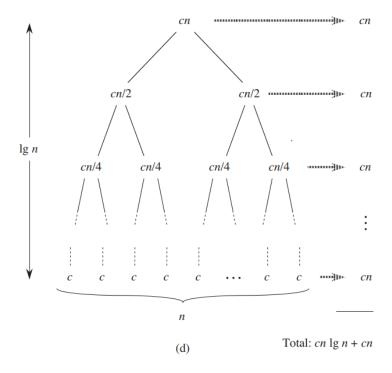
- We reason as follows to set up the recurrence for T(n), the worst-case running time of merge sort on n numbers. Merge sort on just one element takes constant time. When we have n > 1 elements ,we break down the running time as follows:
  - **Divide:** The divide step just computes the middle of the subarray, which takes constant time. Thus,  $D(n) = \Theta(1)$
  - Conquer: We recursively solve two subproblems, each of size n/2, which contributes 2T(n/2) to the running time
  - Combine: Merging n-elements takes  $\Theta(n)$ , and so  $C(n) = \Theta(n)$
- Letting  $D(n) + C(n) = \Theta(1) + \Theta(n) = \Theta(n)$  and adding this to the 2T(n/2) term from the "conquer" step gives the recurrence for the worst-case running time T(n) of merge sort:

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1\\ 2T(n/2) + \Theta(n) & \text{if } n > 1 \end{cases}$$

• We can use an recursion tree to understand why  $T(n) = \Theta(n \lg n)$ . This is done, by first rewritting T(n):

$$T(n) = \begin{cases} c & \text{if } n = 1\\ 2T(n/2) + cn & \text{if } n > 1 \end{cases}$$

where c represents the time required to solve problems of size 1 as well as the time per array element of the divide and combine steps (it is unlikely that the same constant represents both. We can get around this by letting c be the larger/lesser of these times and understanding that our recurrence gives an upper/lower bound on the running time).



Next, we add the costs across each level of the tree. In general, the level i below the top has  $2^i$  nodes, each contributing a cost of  $c(n/2^i)$ , so that the ith level below the top has total cost  $2^i c(n/2^i) = cn$ .

The toal number of levels of the recursion tree is  $\lg n + 1$ , where n is the number of leaves, corresponding to the input size. An informal inductive argument justifies this claim:

- The base case occurs when n=1, in which case the tree has only one level. Since  $\lg 1=0$ , we have that  $\lg n+1$  gives the correct number of levels.
- Assume, that the number of levels of a recursion tree with  $2^i$  leaves is  $\lg 2^i + 1 = i + 1$ . Because we are assuming that the input size is a power of 2, the next input size to consider is  $2^{i+1}$ . A tree with  $n = 2^{i+1}$  leaves has one more level than a tree with  $2^i$  leaves, and so the total number of levels is  $(i+1) + 1 = \lg 2^{i+1} + 1$ .

To compute the total cost represented by the recurrence T(n), we add up the costs of all the levels; the tree has  $\lg n + 1$  levels, each costing cn, for a total of  $cn(\lg n + 1) = cn \lg n + cn = \Theta(n \lg n)$ .