



# Automatic text classification using machine learning and optimization algorithms

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## Abstract

In the recent years, the volume of text documents in the form of digital way has grown up extremely in size. As significance, there is a need to be competent to automatically bring together and classify the documents based on their content. The main goal of text classification is to partition the unstructured set of documents into their respective categories based on its content. The main aim of this research work is to automatically classify the documents which are stored in the personal computer into their relevant categories. This work has two significant phases. In the first phase, the important features are selected for classification and the second phase is the classification of text documents. For selecting the optimal features, this research work proposes a new algorithm, optimization technique for feature selection (OTFS) algorithm. To estimate the proficiency of proposed feature selection algorithm, the OTFS algorithm was compared with the existing approaches artificial bee colony, firefly algorithm, ant colony optimization and particle swarm optimization. In the second phase, this research work proposed machine learning-based automatic text classification (MLearn-ATC) algorithm for text classification. In classification, the MLearn-ATC algorithm was compared with widely used classification techniques probabilistic neural network, support vector machine, K-nearest neighbor and Naïve Bayes. From this, the output of first phase is used as the input for classification phase. The decisive results establish that the proposed algorithms achieve the better accuracy for optimizing the features and classifying the text documents based on their content.

**Keywords** Text mining · Information retrieval · Document classification · Content analysis · Feature selection · Bio-inspired algorithms · PSO · ACO · ABC · FA · OTFS algorithm · Machine learning algorithms · NB · KNN · SVM · PNN · MLearn-ATC

## 1 Introduction

The process of document classification is to allocate the documents into their predefined category based on their content. Let the assortment of documents  $D = d_1, d_2, d_3, \dots, d_n$  and therefore the predefined classes  $C = c_1, c_2, c_3, \dots, c_n$ . Then, the classification assigns the documents  $d_n$  into one category  $c_n$  or more. If the documents are assigned to one category, it is known as the single-label

classification, and if the documents are consigned to one or more category, it is identified as multilabel classification. At this moment, the volume of information over the Internet is growing in an exponential way (Ikonomakis et al. 2005). Hence, to define the proper category for an unstructured document, the classifier is used to classify the text documents automatically. Machine learning algorithms play a significant role in automatic text classification. It builds the classifier automatically by learning the features of the classes from the predefined collection of training documents (Sebastiani 2002). The text classification is applied in various areas like spam filtering, email routing, topic tracking, sentiment analysis and web page classification.

To perform the text classification task, the preprocessing and feature selection are very important stages. The most important delinquent of text classification task is to handle the high-dimensional set of features. Hence, the unnecessary features may reduce the performance of classification

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accuracy and the negative effects on computational complexity. Feature selection is the method of picking out the important and optimal features from the set of high-dimensional features. Although a number of existing techniques are available for feature selection stage, to select the optimized features the optimization techniques are used in this research work.

### 1.1 Motivation

The main challenge of text classification task is to retrieve the optimal features from high-dimensional feature space and classify the documents based on their content. Nowadays, the volume of information on the World Wide Web is developing faster. In this scenario, users can be able to download and store the varieties of documents on their system. If they want to search the particular content from their personal computers, they have to search manually and the search time will increase. To overcome those type of issues, the documents need to be in an organized manner. The main motivation of this research work to classify the documents based on their content and estimate the performance of classification algorithms.

### 1.2 Contribution

This research work proposes new algorithms for feature selection and text classification. First, the proposed technique was applied to the preprocessed dataset to select the features and the machine learning techniques was enforced to classify the text documents. The main contribution of this research work is as follows:

- This work proposed a novel framework for automatic text classification and concentrated on classifying the desktop documents based on their content.
- For automatic text classification, this work proposed two algorithms for feature selection phase and a text classification phase.
- For selecting the high quality of features, the optimization technique is used as a feature selection algorithm.
- For classifying the documents, this research work proposed the text classification algorithm based on machine learning techniques.
- For experimental analysis, benchmark datasets along with Real datasets are considered.

The rest of this paper is structured as follows: in Sect. 2, the various related works for feature selection and text classification methods are given. Section 3 designates the methods for automatic text classification. The proposed feature selection OTFS algorithm is illustrated in Sect. 4. The proposed classification algorithm MLearn-ATC is given in Sect. 5. The implementation details of this

research work are given in Sect. 6. Section 7 demonstrates the results and discussion of this research work. As a final point, Sect. 8 deliberates the conclusion of the paper and recommends for future enhancement.

## 2 Related works

This section concisely reviews and focuses on the important stages on the text classification system. The important stages are feature selection and the machine learning algorithms for building the classification model.

Most of the text feature selection search techniques were used to solve the text classification system like best first width search (Lipovetzky and Geffner 2017), genetic search and greedy search algorithm in fisheries (Dey Sarkar et al. 2014). Hamdani et al. (2011) presented their proposed algorithm based on genetic algorithm with bi-coded chromosome representation. This algorithm uses the homogeneous and heterogeneous population, and it reduces the computational cost. The authors explained that their proposed algorithm gave the best results. Aghdam et al. (2009) developed a novel algorithm established on the ant colony optimization technique for classifying the documents. Their novel algorithm was associated with CHI, IG and GA using Reuters-21578 corpus. They have presented the proposed algorithm achieved well results than CHI, IG and GA.

The authors (Alghamdi et al. 2012) established a novel fusion algorithm reputable on the trace-oriented feature analysis and ant colony optimization intended for document Classification. To validate their proposed algorithm, the authors were used Reuters and Brown datasets. Based on their experimental results, the ACO-TOFA gave better results than TOFA. Subanya and Rajalaxmi (2014) proposed a novel model for feature selection which is established on artificial bee colony (ABC) algorithm for predicting the cardiovascular disease. To validate their proposed model, they used a SVM classifier. The authors showed that the novel method yielded the enhanced accuracy against the existing feature selection algorithms (Soroosh Danaee et al. 2018; Tamilmani and Sivakumari 2020; Radha and MeenaPreethi 2019).

Younus et al. (2015) developed an innovative text feature selection technique which is situated on PSO optimization algorithm for Arabic text classification. They verified their proposed work with five existing algorithms. From their experimental results, the proposed algorithm gave the better accuracy than other five methods. Ahmad et al. (2017) offered a novel feature selection algorithm based on ACO algorithm for analyzing the sentiments. To evaluate the proposed algorithm, the KNN classifier was used. The results were compared with the widely used feature selection technique. Based on the experimental results, the proposed algorithm gave the better accuracy.

Zhang et al. (2018) established the new algorithm for feature selection created on binary particle swarm optimization (BPSO) and Evolutionary Algorithm (EA). Based on the binary search, the position of the particle was updated. They showed the proposed algorithm produced better results than extended nearest neighbor, Naïve Bayes, KNN, Naïve Bayes, and linear discriminant analysis. Suguna and Thanushkodi (2011) were proposed the new independent RSAR hybrid of artificial bee colony (ABC) algorithm. In their research work, they have used quick reduct algorithm (Chouchoulas and Shen 2001) to discover the new reduced feature set. Their experiments were conducted on five datasets from UCI machine learning with the existing algorithms. They have concluded the proposed algorithm yielded better accuracy. Yang (2010) employed the new feature selection algorithm firefly-based wrapper method. In the proposed method, the fitness value was updated based on the penalty function. Marie-Sainte and Alalyani (2020) proposed the novel feature selection algorithm based on the firefly technique especially for Arabic text classification. They concluded the proposed algorithm gave the best accuracy when compared to the existing techniques.

Gulin and Frolov (2016) presented the recent studies and the objectives of the text classification. They have described the six baseline text classification elements which comprise the collection and analysis of documents, feature selection and extraction, and the classification model. Li and Wang (2004) explained the supervised learning techniques for text classification. They have explained the Naive Bayes, decision tree, k-nearest neighbor, support vector machines and Neural networks. From these methods, support vector machines and decision tree algorithms are used for the text classification widely.

Vo and Ock (2015) presented the KNN classifier established with the similarity and distance functions such as Cosine or Euclidean distance. They justified these methods were given the better accuracy. Xu (2018) used the two event models like multivariate Bernoulli and multinomial model for Naïve Bayes. They had suggested that the multimodal method was more appropriate for the huge volume of databases.

### 3 Methods

Automatic document classification is the procedure of assigning a text documents to predefined number of classes or categories automatically by learning the features of particular classes. The main goal of this research work is to attain the related documents based on the related content and reduce the time complexity. In order to accomplish this task, this research work has two significant stages such as feature selection and text classification.

#### 3.1 Document preprocessing

Document preprocessing is the necessary step to represent the documents effectively (Isa et al. 2008). The main aim of preprocessing is to diminish the storage space and the time of processing the query request (Mirończuk and Protasiewicz 2018). In order to achieve this task, the tokenization, stemming and stop word removal are used.

#### 3.2 Document representation

To represent the documents as vector, in this research work the LSA (latent semantic analysis) technique is used. It is used to discover the similarities among the documents by estimating the document vectors (Azam and Yao 2012). It will represent the text documents as a matrix like row and column. The terms or words in the documents are signified by the rows, and the number of documents is represented by the column.

$$\vec{D}_n = \frac{\vec{T}_1 + \vec{T}_2 + \dots + \vec{T}_n}{n} \quad (1)$$

where  $\vec{D}$  is the document vector and the term vector is denoted as  $\vec{T}$ . Then, the term frequency and the inverse document frequency will be calculated for the intersection of term and documents. The number of documents  $D = \{d_1, d_2, \dots, d_n\}$  and the term  $t = \{t_1, t_2, \dots, t_n\}$  occur in document  $d_1, d_2, \dots$  etc.; the raw count is denoted by  $r_{t,d}$ . The TF has been defined as

$$\text{TF}(t, d) = \log(1 + r_{t,d}) \quad (2)$$

Let  $N$  be the total amount of text documents in the document corpora, the IDF is well defined as

$$\text{IDF}(t, D) = \log \frac{N}{|d \in D : t \in d|} \quad (3)$$

Hence, the intersection among the term and documents, i.e., TF-IDF, is computed as follows:

$$\text{TFIDF}(t, d, D) = \text{TF}(t, d) \cdot \text{IDF}(t, D) \quad (4)$$

To enhance the term document matrix, the singular value decomposition (SVD) the technique is used by LSA. It will crumble the term document matrix into three matrices, to put emphasis on the relations between the terms and documents. The SVD is calculated as follows:

$$M = XSN^T \quad (5)$$

where  $M$  is an  $m \times n$  matrix, orthogonal matrix  $X$  is denoted as  $m \times m$ ,  $S$  is an  $n \times n$  diagonal matrix, and  $N$  is an orthogonal matrix of  $n \times n$ .

#### 3.3 Document similarity

After converting the text documents into the document vector, there is a need to determine the similarity values

between the documents for the classification process. In this research work, the cosine similarity metric is used to discover the dependency among the documents. Based on the uppermost value of cosine similarity, the documents will be classified. Let the number of  $N$  documents be  $d_1, d_2, d_3, \dots, d_n$ , then the similarity will be calculated as,

$$\text{COS}_{\text{sim}}(d_1, d_2) = \frac{\vec{d}_1 \cdot \vec{d}_2}{|\vec{d}_1| |\vec{d}_2|} \quad (6)$$

where  $\vec{d}_1, \vec{d}_2$  are the multidimensional document vectors. Every single dimension signifies a term along with its weight between documents, as is nonnegative. So, that the similarity measure is nonnegative and bounded within  $\{0, 1\}$ . The utmost value of this measure symbolizes that the documents are more similar.

### 3.4 Types of features

There are four types of features used in this research work. They are collected from different sources as follows:

#### a. Term Features

The term features are collected by using the preprocessing techniques such as stemming and stop word removal. The following steps can be explained the way to obtain the term features.

- **Tokenization** Tokenization is the procedure of piercing a continuous text content into words, terms, symbols or some further communicative features known as tokens. The list of tokens is an input for the next stage of text processing. The motivation of using the tokenization method is to recognize the meaningful keywords from the unstructured documents.
- **Stop word Removal** At document level, some of the words arise very often, but those words are fundamentally meaningless words. Those words are used to associate the words well organized to make a complete sentence. In general, this is expected that stop words do not give any contributions to the content or context of text documents since the high frequency of occurrences and their existence in a text documents offer a problem in understanding the contents of the document. Stop words very often use connecting words such as 'the,' 'of,' 'from,' 'and,' 'are,' 'can' and 'this.' These words are not beneficial for further text classification process, so they should be eliminated.
- **Stemming** Stemming is the method of finding the root word from the different types of word called the stem. For illustration, the idioms: 'Friendly,' 'Friends' may all be condensed to a common illustration 'Friend' by using suffix-stripping algorithm. This technique is most

frequently used approach in text classification system for intelligent information retrieval (IR) (Porter 1980).

#### b. Concept Features

Concept features are collected by using NLP tool, Tree Tagger. The Tree Tagger is a NLP implementation for interpreting the text with part-of-speech and descriptor data. This tool was established by Helmut Schmid at the Computational Linguistics Institute, Stuttgart University.

#### c. Word Sense

Sense of the particular word is obtained from word sense disambiguation (WSD). It is used to recognize the sense of the particular word which is used in a sentence, in case the particular word holds multiple meanings. In WSD, the WordNet database is used. WordNet is an English lexical database to group the set of synonyms.

#### d. Semantic features

From the Wikipedia and Google search, the semantic features are collected. Semantic features signify the elementary meaning of conceptual components for the lexical item.

## 4 Feature selection

Feature selection plays an important role in text classification system; it is the task of choosing the subset of features. This can help to build the accurate and cost-effective text classification task (Lin et al. 2016). In classical approach, there are four important steps to be included in the feature selection such as (a) subset generation, (b) subset evaluation, (c) stopping criterion and (d) results validation (Liu and Yu 2005). The generation of subset is used to generate the candidate subset of features for the estimation. The generated subset is assessed based on evaluation criterion, and then the subset is associated with the previously generated subset. This process is continual until the stopping criteria will be reached. Then, the selected features are confirmed with the document datasets.

For selecting the text features, the typical methods are available like mutual information, document frequency, Gini index, Chi-square statistic, etc. Even though these methods are selecting features and subset of features to an extent, they are having the limitations. In order to achieve the optimal features, the recent research introduces the bio-inspired algorithms or metaheuristic algorithms for feature selection. These algorithms have looked onto the spectacles in the living creatures. By the use of optimization algorithms, we can accomplish the optimized features from the huge volume

of document datasets. For selecting the optimized features, this research work proposes a novel algorithm OTFS based on the artificial bee colony algorithm.

#### 4.1 Optimization technique for feature selection (OTFS)

Feature selection is the task, picking the distinctive features among the group of features and it will be eradicating the

extraneous features. This algorithm is used the sequential forward selection algorithm (SFS). This selection technique is the modest greedy search algorithm. It will start the process from the empty set, and it will add the features sequentially for finding the global objective function when combined with the already selected features. This algorithm is established on artificial bee colony algorithm. The general structure ABC algorithm as follows:

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##### Algorithm: OTFS

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Step 1: Initialization Stage

Step 2: Load the documents

Step 3: Make the initial populations

$$a_{ij} = a_j^{\min} + \text{Rand} (0,1) X (a_j^{\max} - a_j^{\min})$$

Step 4: Employed Bee

Step 5: Assign the feature subset configuration to all employed bee

Step 6: Develop the new feature subsets

Step 7: Assign the feature subset to the classifiers and estimate the accuracy

Step 8: Calculate the fitness function by using (7)

Step 9: Regulate the neighbors of chosen food sources by employed bees by the use of Modification Rate (MR) parameter

Step 10: Assign the feature subset to the classifiers and estimate the accuracy

Step 11: Calculate the fitness function by using (7)

Step 12: Compare the old and new fitness values

Step 13: If the fitness values are best to optimal, then add the neighbor to the food source

Step 14: Else

Step 15: The limit will be incremented until limit > maximum limit, then discard the food sources

Step 16: Onlooker Bee Phase

Step 17: Select a feature based on the fitness

Step 18: Memorize the food sources

Step 19: Determine the abandoned food sources

Step 20: Estimate the fitness function by using (7)

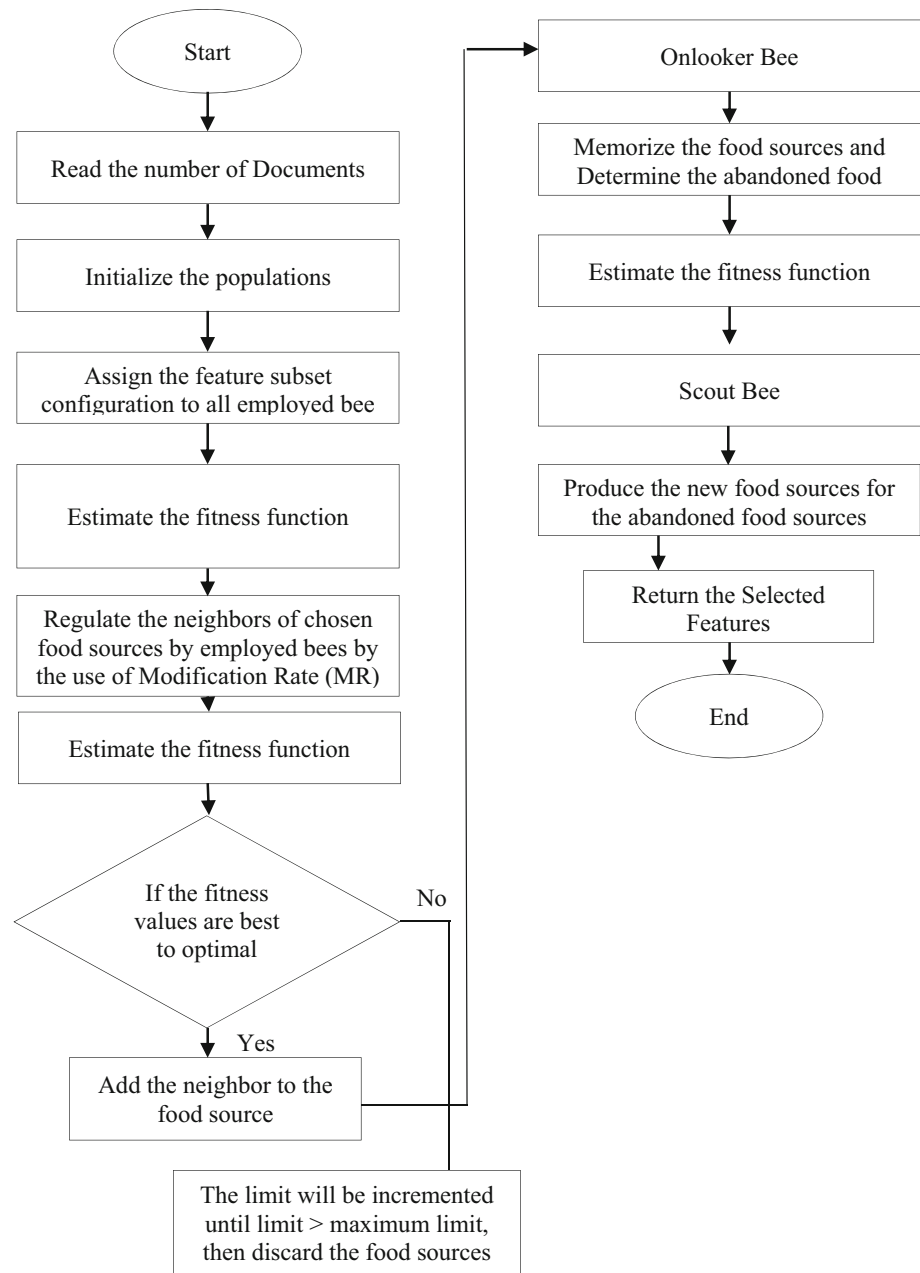
Step 21: Scout Bee Phase

Step 22: Produce the new food sources for the abandoned food sources

Step 23: Commit to memory the best solution obtained so far

Step 24: until (Cycle = Maximum Cycle (1000) or CPU time)

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**Fig. 1** Optimization technique for feature selection (OTFS)

The explanation of the proposed algorithm is as follows: for finding the optimal features, this research work uses the forward selection technique. This technique will initialize the food sources with the total number of features  $N$ . Here, the documents are considered as the food sources. Then, the subset of feature of food sources is passed to the classifier to find out the accuracy such as the fitness of food sources. The fitness is calculated as follows:

$$\text{fitness} = \begin{cases} \frac{1}{1 + c_f} & \text{if } c_f \geq 0 \\ \frac{1}{1 + \text{abs}(c_f)} & \text{if } c_f < 0 \end{cases} \quad (7)$$

where the  $c_f$  is the cost function. Then, the employed bee

will find out the neighbors of food sources. The new food source position is calculated as follows:

$$fp_{ij} = IP_{ij} + \emptyset_{ij}(IP_{ij} - IP_{kj}) \quad (8)$$

where  $fp_{ij}$  is a new food source position,  $k$  is  $\{1, 2, \dots, P_s\}$  and  $j$  is  $\{1, 2, \dots, D\}$ .  $D$  is the dimensional vector. These are selected randomly based on the size of the population.  $\emptyset_{ij}$  is the random number between 1 and  $-1$ . Then, the employed bees explore the food sources to its neighbors. Based on that, the bit vector representation is performed by using the modification rate. To modify the bit position, the random number will be generated between the range of 0 and 1. Suppose this value is less than the modification rate



value, then the feature is selected to form the subset and the position value is filled with 1. Otherwise, the position will not be modified. Then, the feature subset is passed to the classifier to estimate the accuracy and the new accuracy will be stored as the fitness of neighbor. The neighbor fitness value is better when compared to the existing one and the value will be stored. Or else, the limit value will be incremented. If the limit value is greater than the maximum limit, the food source will be discarded and it is considered as the irrelevant source.

Then, the onlooker bees will collect the food sources information visited by the employed bees and will choose the better fitness value. Remember the best food source. Finally, the abandoned food sources are determined and the new food sources are produced to them by using scout bees until the maximum number of cycle will be reached (Fig. 1).

## 5 Classification

Text classification is important process in the recent life due to the growing amount of information. Nowadays, the Real datasets are multilabeled; hence, the text classification is more important. To handle all the types of documents, this research work proposes the new machine learning-based classification algorithm, MLearn-ATC. This algorithm classifies the multilabeled documents based on the probabilistic neural networks (PNN).

This algorithm contains three layers such as input, pattern and summed layers. In the input layer, there is no computation. It will distribute the input documents into the neurons of pattern layer. Then, the pattern layer will receive the input  $\alpha$ , and then the Gaussian value is estimated as follows:

$$\phi_{ij}(\alpha) = \frac{1}{(2\pi)^{d/2\sigma^2}} \exp \left[ -\frac{(\alpha - \alpha_{ij})^T (\alpha - \alpha_{ij})}{2\sigma^2} \right] \quad (9)$$

where  $\sigma$  is the smoothing parameter and  $\alpha_{ij}$  is the neuron vector. To improve the functionality of smoothing vector, this research work uses the orthogonal matrix. The main objective to use this technique is to pick out the demonstrative neurons of pattern layer from the training documents. For the  $n^{\text{th}}$  training document in class  $C_i$  is signified by the vector  $\alpha_{ik}$ . The maximum possibility of documents to be classified to the related class  $C_i$  is as follows:

$$P_{ij}(\alpha_{ik}) = \frac{1}{(2\pi)^{d/2\sigma^2}} \frac{1}{N_i} \sum_{j=1}^{N_i} \cdot \exp \left[ -\frac{(\alpha_{ik} - \alpha_{ij})^T (\alpha_{ik} - \alpha_{ij})}{2\sigma^2} \right] \\ = \sum_{j=1}^{N_i} \phi_{ij}(\alpha_{ik}) \quad (10)$$

where

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### Algorithm: MLearn-ATC

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Let  $C$  is the number of categories,  $N$  – total number of documents,  $d$  is the dimensions of the pattern vector

Step 1: Read the input documents  $D = \{d_1, d_2, \dots, d_n\}$

Step 2: For each class, define the Gaussian function and define the summed Gaussian function for output

Step 3: Estimate the Gaussian kernel for all the input vectors by using (9)

Step 4: Generate the random values for smoothing parameter.

Step 5: Choose the neurons based on the orthogonal matrix

Step 6: calculate the conditional probability by using (10)

Step 7: Select the class with the high conditional probability value

Step 8: Assign the class from Step 5 to the new class of input documents

Step 9: Until to reach the correctly classified class

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**Table 1** Confusion matrix

	$D \in \text{Category}$	$D \notin \text{Category}$
Category accepted by the classifier	TP	FP
Category rejected by the classifier	FN	TN

$$\phi_{ij}(\alpha_{ik}) = \frac{1}{(2\pi)^{d/2\sigma^d}} \frac{1}{N_i} \exp \left[ -\frac{(\alpha_{ik} - \alpha_{ij})^T (\alpha_{ik} - \alpha_{ij})}{2\sigma^2} \right]$$

$P_{ij}(\alpha_{ik})$  is the smoothing parameter of nonlinear function. To transform the nonlinear to linear orthogonal the auxiliary variables are used in between the links. So Eq. (10) can be rewritten as,

$$P = \Phi \Theta \quad (11)$$

where

$$\Phi = [1, 1, \dots, 1]^T$$

$$P = [p_i(\alpha_{i1}), p_i(\alpha_{i2}), \dots, p_i(\alpha_{iN_i})]^T$$

$$\Theta = \begin{bmatrix} \phi_{i1}(\alpha_{i1}) & \phi_{i2}(\alpha_{i1}) & \dots & \phi_{iN_i}(\alpha_{i1}) \\ \phi_{i1}(\alpha_{i2}) & \phi_{i2}(\alpha_{i2}) & \dots & \phi_{iN_i}(\alpha_{i2}) \\ \phi_{i1}(\alpha_{iN_i}) & \phi_{i2}(\alpha_{iN_i}) & \dots & \phi_{iN_i}(\alpha_{iN_i}) \end{bmatrix}$$

Applying the orthogonal to the matrix  $\Phi$  is given as follows:

$$\Phi = OU = [O_1, O_2, O_3, \dots, O_{N_i}]U \quad (12)$$

where the  $[O_1, O_2, O_3, \dots, O_{N_i}]$  is an orthogonal matrix and the triangular matrix  $U$  is defined as follows:

$$= \begin{bmatrix} 1 & u_{12} & u_{13} & \dots & u_{1N_i} \\ 0 & 1 & u_{23} & \dots & u_{2N_i} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 1 & \dots & u_{N_i-1N_i} \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix}$$

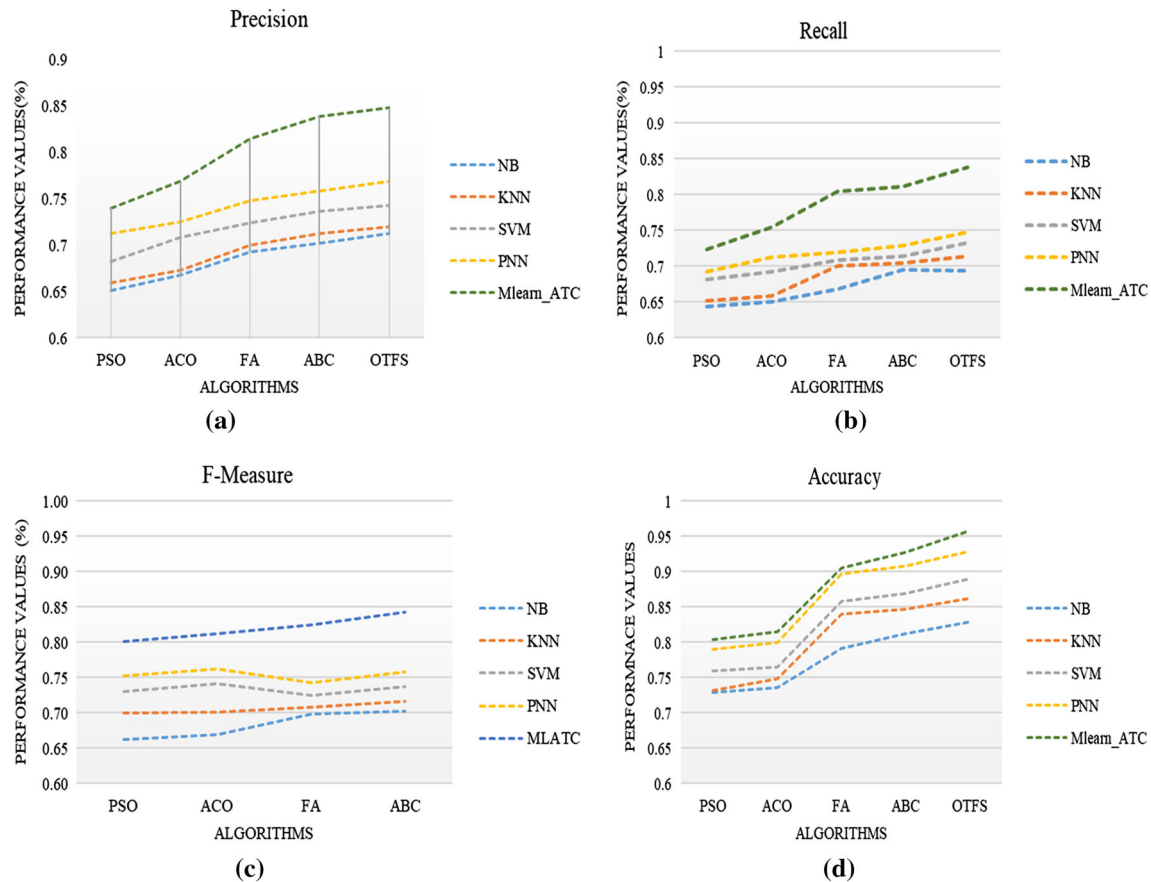
In the class  $C_i$ , the main significance of the candidate  $j$ th neuron is calculated as follows“

$$\Gamma_j = O_j^T O_j \quad (13)$$

**Table 2** Comparison of performance values—Reuters dataset

Optimization algorithms	Machine learning algorithms	Performance measures			
		Precision	Recall	F-measure	Accuracy
PSO	NB	0.651	0.644	0.662	0.728
	KNN	0.659	0.652	0.700	0.731
	SVM	0.682	0.681	0.730	0.759
	PNN	0.712	0.692	0.752	0.789
	MLearn-ATC	0.739	0.724	0.832	0.804
ACO	NB	0.667	0.641	0.654	0.735
	KNN	0.672	0.658	0.665	0.748
	SVM	0.708	0.693	0.700	0.764
	PNN	0.724	0.713	0.718	0.799
	MLearn-ATC	0.768	0.754	0.761	0.814
FA	NB	0.692	0.634	0.662	0.791
	KNN	0.699	0.701	0.700	0.839
	SVM	0.723	0.709	0.716	0.857
	PNN	0.747	0.719	0.733	0.897
	MLearn-ATC	0.814	0.804	0.808	0.905
ABC	NB	0.701	0.695	0.698	0.812
	KNN	0.712	0.704	0.708	0.847
	SVM	0.736	0.714	0.725	0.869
	PNN	0.758	0.729	0.743	0.907
	MLearn-ATC	0.838	0.811	0.824	0.927
OTFS	NB	0.712	0.694	0.703	0.829
	KNN	0.719	0.714	0.716	0.862
	SVM	0.742	0.733	0.737	0.89
	PNN	0.768	0.748	0.758	0.928
	MLearn-ATC	0.847	0.839	0.843	0.938





**Fig. 2** a Precision. b Recall. c F-Measure. d Accuracy

**Table 3** Micro-F1 score

Algorithm	NB	KNN	SVM	PNN	MLearn-ATC
PSO	0.724	0.759	0.764	0.771	0.804
ACO	0.741	0.758	0.769	0.799	0.825
ABC	0.754	0.792	0.801	0.816	0.837
FA	0.768	0.815	0.822	0.847	0.854
OTFS	0.799	0.857	0.862	0.872	0.901

**Table 4** Macro-F-measure

Algorithm	NB	KNN	SVM	PNN	MLearn-ATC
PSO	0.671	0.68	0.701	0.715	0.719
ACO	0.701	0.71	0.719	0.722	0.729
FA	0.711	0.719	0.724	0.731	0.732
ABC	0.724	0.729	0.731	0.735	0.748
OTFS	0.735	0.741	0.749	0.751	0.755

Based on this, all neurons in the pattern layer have the identical smoothing parameter and the highest value of  $\Gamma_j$

represents that the number of neurons are neighboring to the consistent neurons. So it is decided that the high value of  $\Gamma_j$  is the most important neuron. The neurons in the summation layer can compute the possibility of  $\alpha$  to be classified into the particular class  $C_i$  by analyzing the output of the all neurons which belongs to the similar class.

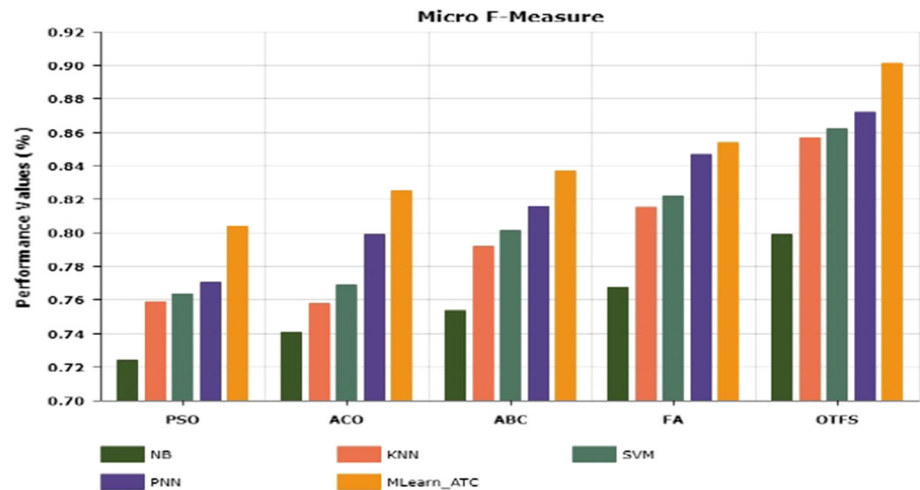
$$p_i(\alpha) = \frac{1}{(2\pi)^{d/2\sigma^2}} \frac{1}{N_i} \sum_{j=1}^{N_i} \exp \left[ -\frac{(\alpha - \alpha_{ij})^T (\alpha - \alpha_{ij})}{2\sigma^2} \right] \quad (14)$$

Then, finally the output layer classifies the pattern with respect to the Bayes rule which is based on the all the neurons of the summation layer.

$$\hat{C}(\alpha) = \arg \max \{p_i(\alpha)\} \quad \text{where } i = 1, 2, \dots, m \quad (15)$$

where  $\hat{C}(\alpha)$  signifies the predictable class of the pattern with respect to the training samples.

**Fig. 3** Micro- $F$ -measure value comparison



**Fig. 4** Macro-values comparison



## 6 Implementation details

In this section, the enormous investigations were implemented to prove the efficiency of our proposed feature selection (OTFS) and classification (MLearn-ATC) algorithm. This research work compared the proposed feature selection algorithm with a widely used optimization technique for feature selection, and the proposed classification algorithm was related to widely used machine learning classification technique.

### 6.1 Experimental setup

All the experiments are carried out on a 2.00 GHz Intel CPU with 1 GB of memory, Windows 10. We implement the algorithm to attain the accurate categories of documents and verify the success of text classification.

The proposed algorithm was investigated with the three different datasets such as Real dataset taken from my personal computer (Laptop), benchmark dataset like

Reuters and 20Newsgroup dataset. It is used to report the problems faced while selecting the optimized features and classifying the text documents. Furthermore, the efficiency of the proposed feature selection algorithm (OTFS) has been verified by comparing with various feature selection techniques, namely particle swarm optimization (PSO), ant colony optimization (ACO), artificial bee colony (ABC) and firefly algorithm (FA). To validate the proposed feature selection algorithm, the machine learning-based text classification algorithm (MLearn-ATC) was proposed. The effectiveness of MLearn-ATC algorithm was compared with Naïve Bayes (NB), K-nearest neighbor (KNN), support vector machine (SVM) and probabilistic neural network (PNN). The objective functions such as precision, recall, f-measure, classification accuracy, micro- and macro-F1 measures are considered for achieving the global optimal solution in text classification system.

**Table 5** Comparison of performance values—20Newsgroup dataset

Optimization algorithms	Machine learning algorithms	Performance measures			
		Precision	Recall	<i>F</i> -measure	Accuracy
PSO	NB	0.659	0.621	0.639	0.751
	KNN	0.661	0.635	0.648	0.769
	SVM	0.698	0.658	0.677	0.808
	PNN	0.724	0.712	0.718	0.829
	MLearn-ATC	0.795	0.738	0.765	0.845
ACO	NB	0.664	0.625	0.644	0.769
	KNN	0.669	0.645	0.657	0.785
	SVM	0.705	0.668	0.686	0.812
	PNN	0.736	0.725	0.730	0.856
	MLearn-ATC	0.801	0.759	0.779	0.869
FA	NB	0.671	0.638	0.654	0.795
	KNN	0.684	0.668	0.676	0.806
	SVM	0.712	0.698	0.705	0.839
	PNN	0.759	0.736	0.747	0.874
	MLearn-ATC	0.825	0.799	0.812	0.881
ABC	NB	0.694	0.645	0.669	0.811
	KNN	0.71	0.697	0.703	0.82
	SVM	0.719	0.701	0.710	0.847
	PNN	0.761	0.745	0.753	0.886
	MLearn-ATC	0.845	0.8	0.822	0.917
OTFS	NB	0.705	0.698	0.701	0.825
	KNN	0.724	0.71	0.717	0.841
	SVM	0.729	0.719	0.724	0.869
	PNN	0.799	0.759	0.778	0.899
	MLearn-ATC	0.896	0.825	0.859	0.937

## 6.2 Datasets

For the experimentation, in this research work three different datasets were used. For all the datasets, we applied a preprocessing technique which is explained in the above section.

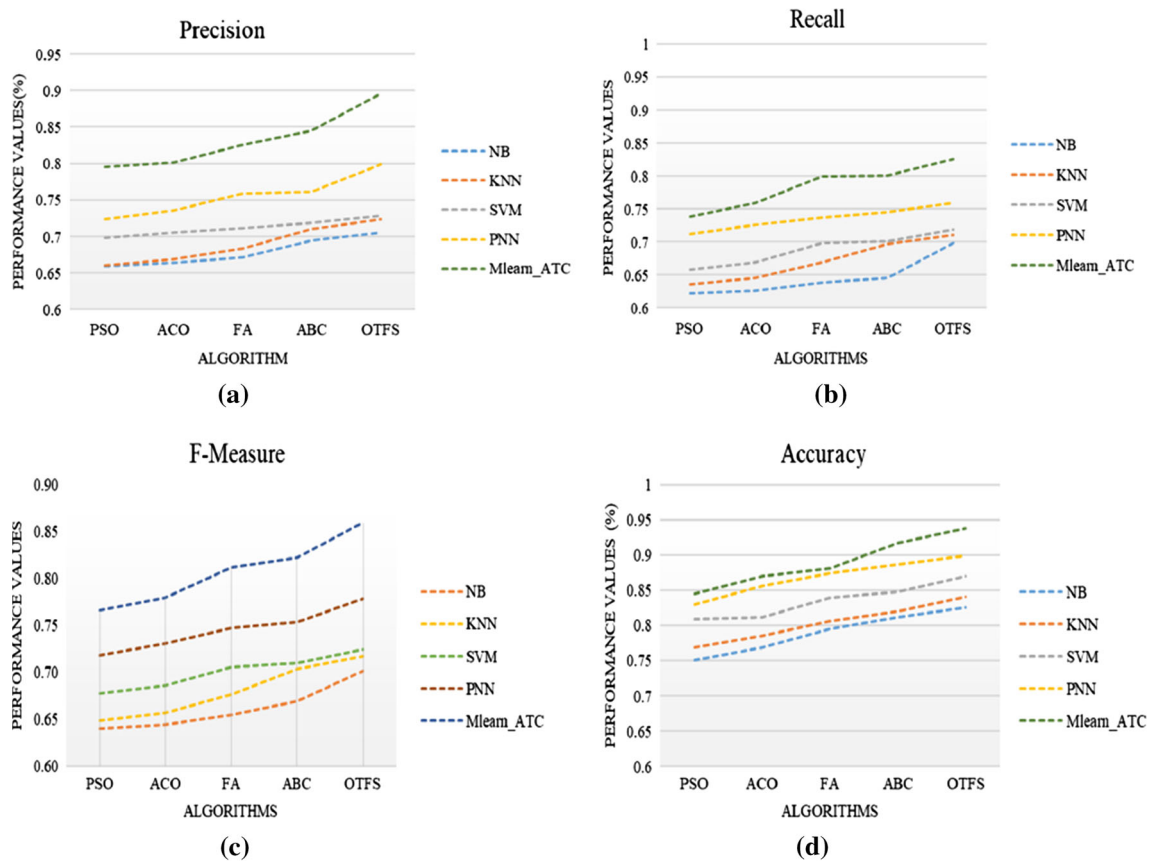
- **Reuters:** In this experimentation, the performances of feature selection with classification algorithm are verified with the Reuters-21578 benchmark dataset. Reuters-21578 was collected from the Reuters Newswire in the year 1987. It contains 21578 documents with five sets of categories. Each category set contains different number of categories from 39 to 267.
- **20Newsgroup:** The 20Newsgroup was collected from 20 different types of newsgroups, and the document corpus contains 20 categories with approximately 20,000 numbers of documents.
- **Real Dataset:** This dataset was collected from the personal computer (Laptop) with different categories of documents. This dataset contains a huge volume of documents with different domains such as computer science and medical-related files. This research work

only focused on the computer science domains. This category comprises different subdomains like text mining, data mining, and networks etc. It contains both training and testing documents which are randomly selected by the user.

## 6.3 Performance measures

In order to estimate the prognostic performance of text feature selection methods and classification algorithms, precision, recall, *F*-measure and accuracy are exploited as the evaluation metrics. To determine the performance of classifiers, the confusion matrix is important. The confusion matrix is shown in Table 1.

where  $D$  denotes the documents. True positive (TP) is defined as: the similar documents are classified in the same category, and true negative (TN) is defined as: the dissimilar documents are classified in the different categories. False positive (FP) is denoted as: the dissimilar documents are classified in the same category, and false negative (FN) is signified as: the similar documents are classified in the different categories.



**Fig. 5** **a** Precision. **b** Recall. **c** *F*-Measure. **d** Accuracy

**Table 6** Micro-*F*-measure—20Newsgroup dataset

Algorithm	NB	KNN	SVM	PNN	MLearn-ATC
PSO	0.731	0.748	0.759	0.785	0.798
ACO	0.745	0.761	0.769	0.798	0.814
FA	0.756	0.798	0.809	0.815	0.825
ABC	0.769	0.798	0.814	0.859	0.86
OTFS	0.796	0.849	0.857	0.893	0.927

**Table 7** Macro-*F*-measure—20Newsgroup dataset

Algorithm	NB	KNN	SVM	PNN	MLearn-ATC
PSO	0.654	0.672	0.691	0.703	0.725
ACO	0.691	0.698	0.709	0.712	0.717
FA	0.708	0.705	0.714	0.723	0.729
ABC	0.711	0.716	0.722	0.733	0.730
OTFS	0.725	0.731	0.740	0.746	0.749

Precision ( $P$ ) is the percentage of the true positives in contradiction of the sum of true positives and false positives as follows:

$$P = \frac{TP}{TP + FP} \quad (16)$$

Recall ( $R$ ) is the proportion of the true positives in contradiction of the true positives and false negatives as follows:

$$R = \frac{TP}{TP + FN} \quad (17)$$

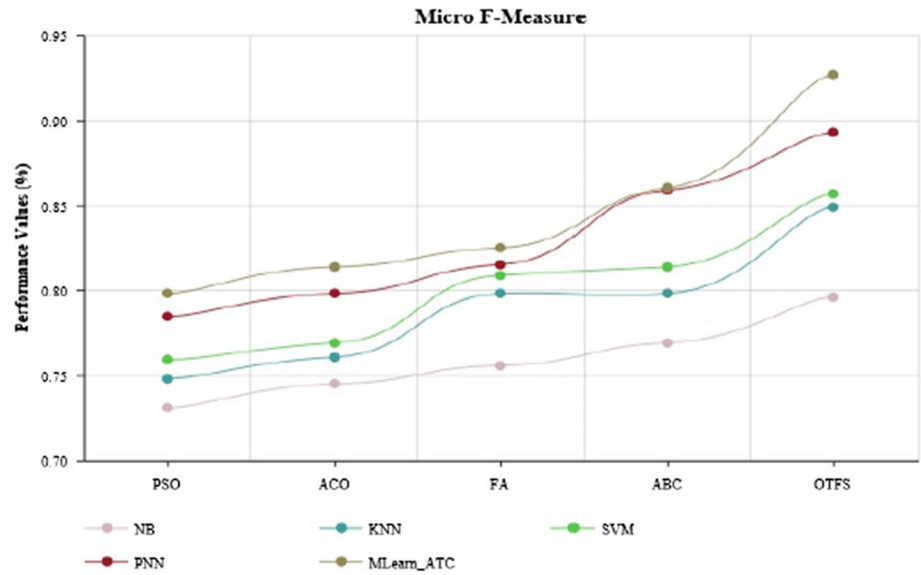
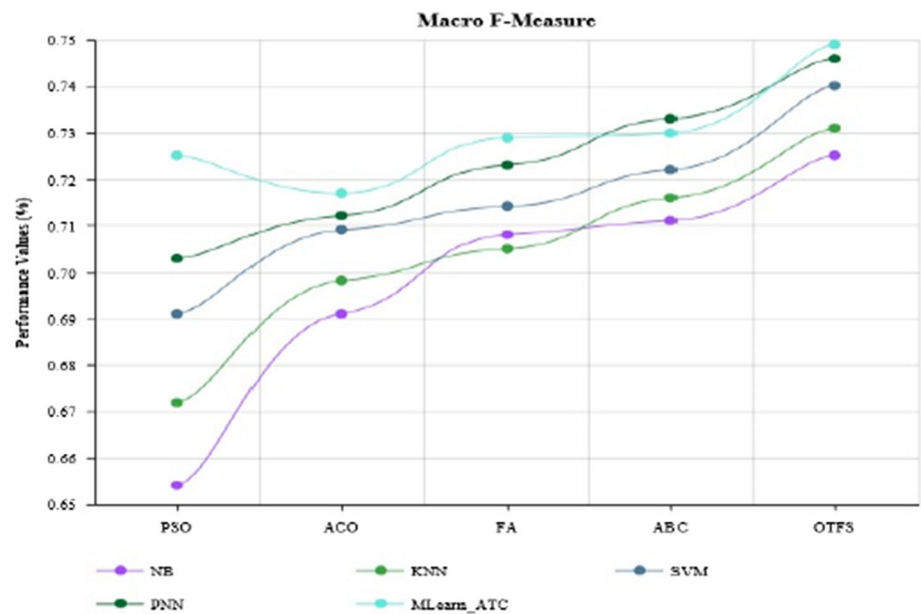
*F*-measure (FM) takes values between 0 and 1. It is the harmonic mean of precision and recall as determined as follows:

$$FM = \frac{2 * P * R}{P + R} \quad (18)$$

Classification accuracy (AC) is the most important metric for evaluating the performance of classifiers. It is the amount of true positives and true negatives over the total number of instances as follows:

$$AC = \frac{TN + TP}{TP + FP + FN + TN} \quad (19)$$

To evaluate the proficiency of feature selection metrics, the widely used micro- and macro-*F1* measures are used in this research work. For multiclass classification, this

**Fig. 6** Micro-*F*-measure value comparison**Fig. 7** Macro-*F*-measure comparison

measures are significant to evaluate class accuracy. In micro-averaging, for overall categories the global values are calculated.

$$P_{\text{Micro}} = \frac{\sum_i^n \text{TP}}{\sum_i^n \text{TP} + \text{FP}} \quad (20)$$

$$R_{\text{Micro}} = \frac{\sum_i^n \text{TP}}{\sum_i^n \text{TP} + \text{FN}} \quad (21)$$

$$\text{Micro } F1 = \frac{2 \times P_{\text{Micro}} \times R_{\text{Micro}}}{P_{\text{Micro}} + R_{\text{Micro}}} \quad (22)$$

In macro-averaging, for each category the global values are computed and then the global values are averaged for all the categories.

$$P_{\text{Macro}} = \frac{\sum_i^n P}{n} \quad (23)$$

$$R_{\text{Macro}} = \frac{\sum_i^n R}{n} \quad (24)$$

$$\text{Macro } F1 = \frac{\sum_i^n FM}{n} \quad (25)$$

where  $n$  denotes the total number of classes and  $i$  denotes the document category.

**Table 8** Comparison of performance values—Real dataset

Optimization algorithms	Machine learning algorithms	Performance measures			
		Precision	Recall	<i>F</i> -measure	Accuracy
PSO	NB	0.657	0.621	0.638	0.794
	KNN	0.679	0.687	0.683	0.812
	SVM	0.712	0.701	0.706	0.837
	PNN	0.796	0.793	0.794	0.841
	MLearn-ATC	0.821	0.804	0.812	0.897
ACO	NB	0.661	0.622	0.641	0.784
	KNN	0.679	0.699	0.689	0.818
	SVM	0.724	0.718	0.721	0.847
	PNN	0.801	0.797	0.799	0.854
	MLearn-ATC	0.829	0.817	0.823	0.899
FA	NB	0.671	0.654	0.662	0.796
	KNN	0.695	0.701	0.698	0.819
	SVM	0.729	0.724	0.726	0.857
	PNN	0.811	0.801	0.806	0.859
	MLearn-ATC	0.83	0.824	0.827	0.9
ABC	NB	0.694	0.657	0.675	0.801
	KNN	0.708	0.718	0.713	0.836
	SVM	0.744	0.764	0.754	0.878
	PNN	0.824	0.809	0.816	0.888
	MLearn-ATC	0.869	0.837	0.853	0.909
OTFS	NB	0.709	0.691	0.700	0.811
	KNN	0.711	0.738	0.724	0.84
	SVM	0.763	0.774	0.768	0.879
	PNN	0.834	0.812	0.823	0.904
	MLearn-ATC	0.897	0.845	0.870	0.961

## 7 Results and discussion

In this section, the various experiments were implemented to prove the effectiveness of our proposed feature selection (OTFS) and classification (MLearn-ATC) algorithm. This proposed algorithm was scrutinized with the three different document datasets: Reuters-21578, 20Newsgroup and Real dataset to address the problem of text classification. Each dataset contains different number of documents with different categories.

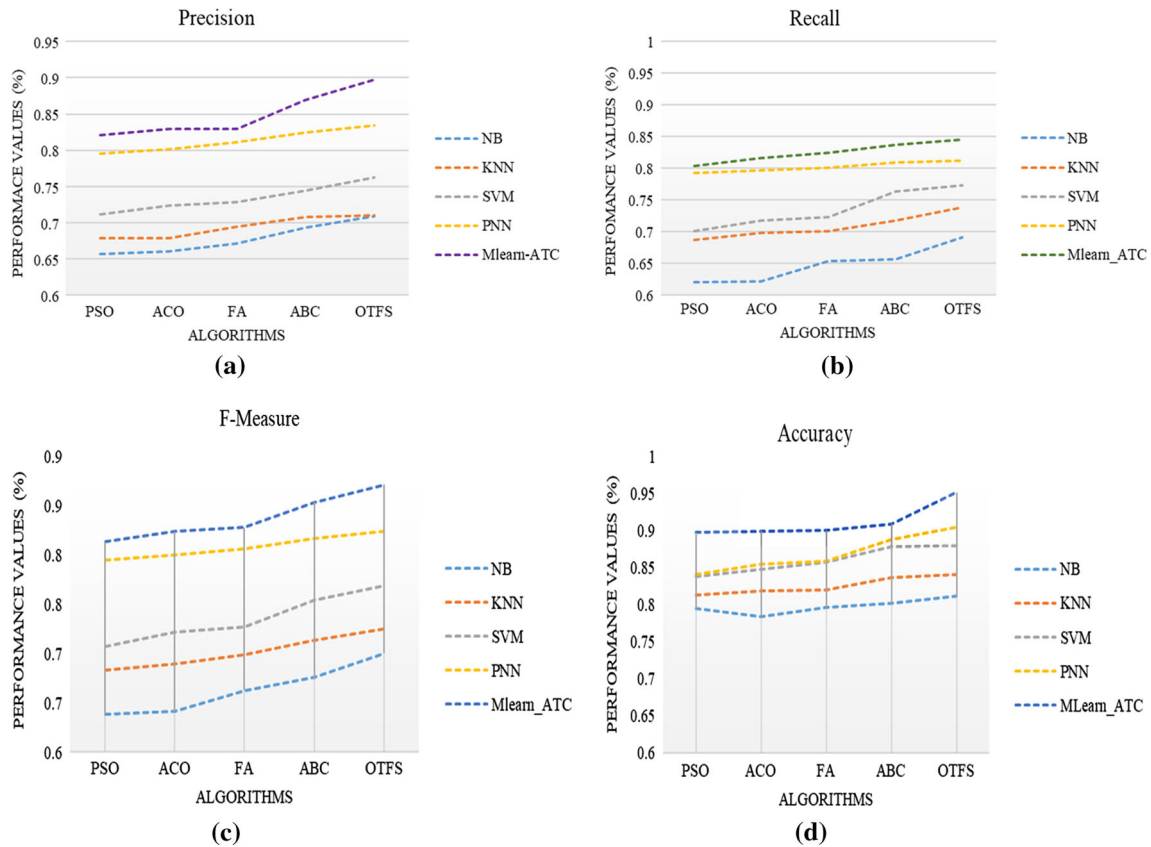
### 7.1 Results on Reuters dataset

The comparison of performance measures on Reuters dataset is shown in Table 2. From this table, we inferred that the proposed feature selection algorithm OTFS picks out the optimal features from the huge volume of documents for the classification task when compared to the other existing optimization techniques. The optimized features are given into the classification task. The proposed machine learning algorithm classifies the document based on their content. The MLearn-ATC classifies the

documents with the higher accuracy. When compared to the existing algorithm, the accuracy of proposed algorithms is increased by 7% for the Reuters dataset. Moreover, the precision, recall and f-measure also increased when compared to the existing techniques. From the accuracy, we inferred that the proposed feature selection and classification algorithm increases gradually.

The overall values of precision, recall, f-measure and accuracy values are shown in Fig. 2a–d. To calculate the global values for all the categories, macro- and micro-averaging values are to be calculated on Reuters dataset given in Tables 3 and 4, respectively. Compared to the existing algorithms, the both proposed algorithms yield better accuracy. From this, we inferred that the overall measures of proposed algorithm are increased gradually when compared to the existing techniques. The graphical representation of macro- and micro-*F1* score is shown in Figs. 3 and 4 for the Reuters dataset.





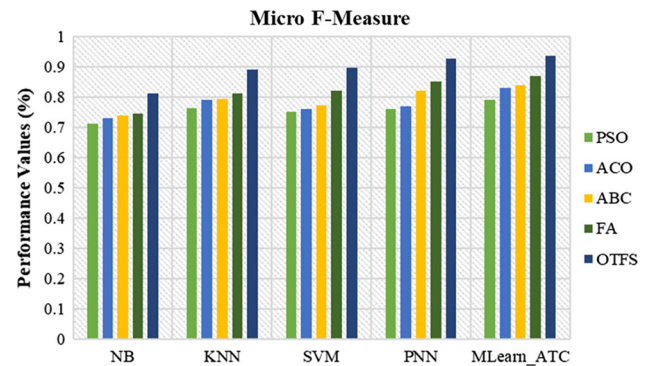
**Fig. 8** **a** Precision. **b** Recall. **c** *F*-Measure. **d** Accuracy

**Table 9** Micro-*F1* score

Algorithm	NB	KNN	SVM	PNN	MLeam-ATC
PSO	0.712	0.763	0.752	0.762	0.793
ACO	0.732	0.792	0.762	0.770	0.832
ABC	0.741	0.796	0.774	0.821	0.839
FA	0.745	0.812	0.823	0.853	0.869
OTFS	0.813	0.891	0.899	0.928	0.937

**Table 10** Macro-*F1* score

Algorithm	NB	KNN	SVM	PNN	MLeam-ATC
PSO	0.691	0.701	0.708	0.711	0.719
ACO	0.699	0.699	0.701	0.71	0.724
ABC	0.701	0.711	0.724	0.729	0.731
FA	0.715	0.718	0.724	0.728	0.730
OTFS	0.728	0.729	0.731	0.733	0.741



**Fig. 9** Micro-*F*-measure comparison

## 7.2 Results on 20Newsgroup dataset

The comparison of performance measure on 20Newsgroup dataset is given in Table 5. It is observed that the proposed feature selection algorithm selects the optimized features from the huge volume of documents, when compared to the existing feature selection techniques. The selected features will be given to the classification task. The proposed classification algorithms yield better accuracy when compared to other machine learning algorithms. The proposed algorithm is increased 10% in terms of its accuracy. The

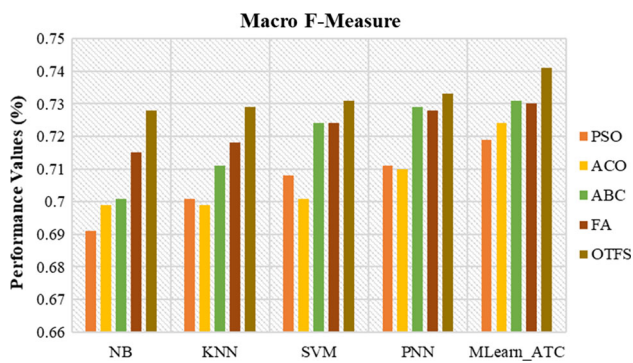


Fig. 10 Macro-F-measure comparison

performance values differ from dataset to dataset. Hence, based on the documents and its contents, the proposed algorithm will classify the documents.

The overall precision, recall, F-measure and accuracy are shown in Fig. 5a–d. From this graph, we concluded that the performance values of proposed algorithms yield better accuracy when the OTFS selects the optimal features. The proposed OTFS algorithm selects the more optimal features from the huge of document dataset. That features will be given as the input to the text classification task. Hence, to select the global optimal features the OTFS algorithm is used and MLearn-ATC will give higher accuracy for text classification.

The micro- and macro-F-measures are given in Tables 6 and 7, respectively. The proposed algorithm for feature selection with the proposed text classification produces the better accuracy when compared to the other existing techniques. These measures are important to the text classification system to analyze the overall performance of the proposed system. The graphical representation of macro- and micro-F1 scores is shown in Figs. 6 and 7 for the 20Newsgroup dataset.

### 7.3 Results on Real dataset

Table 8 lists the performance comparison of Real dataset which is taken by desktop computer (laptop). Based on the performance measures, the proposed feature selection algorithms select the exact features from the documents. Then, the selected feature is considered as the input of classification task. The MLearn-ATC outperforms and it is increased by 10% of classification accuracy for the Real dataset, when compared to the existing techniques.

The overall performance of precision, recall, F-measure and accuracy of text classification is illustrated in Fig. 8a–d. From this, the OTFS algorithm selects the global optimal features from the Real dataset which is taken by the personal computer. Those features will be given into the text classification system. The classification algorithm classifies

the documents based on its content. Overall, the proposed algorithm yields the better accuracy while comparing the existing techniques.

The micro- and macro-F-measure values are given in Tables 9 and 10. This will discuss about the overall feature selection and text classification performance. From this, the proposed feature selection and text classification system yields the better accuracy when compared to the existing systems. The graphical representation of macro- and micro-F1 score is shown in Figs. 9 and 10 for the Real dataset.

## 8 Conclusion and future work

The unstructured text classification is an important issue for the researchers in the area of text mining and information retrieval. The machine learning techniques are used to resolve this text classification problem with some enhancements. The main purpose of this research work is to assess the machine learning and evolutionary algorithms to obtain the global optimal solution. For this analysis, this research work proposed two algorithms for feature selection and text classification such as optimization technique for feature selection (OTFS) and machine learning-based automatic text classification (MLearn-ATC). The OTFS algorithm was employed to select the global optimal features from huge volume of unstructured document collection. This algorithm gives better accuracy compared with particle swarm optimization (PSO), ant colony optimization (ACO), artificial bee colony (ABC) and firefly algorithm (FA). The MLearn-ATC algorithm was used to classify the documents based on their content of the particular documents. Based on the contents of the documents, the documents are classified into the particular domain. This algorithm yields better accuracy when compared with Naïve Bayes (NB), K-nearest neighbor (KNN), support vector machine (SVM) and probabilistic neural network (PNN).

In future, this method can be accomplished on a multi-core CPU. It can also be extended to any other evolutionary algorithms to obtain the best optimal results. The objectives may be introduced with different functions to achieve the excellent results of text classification system. The concern for future work is to classify the documents based on the content automatically for all the domains. Furthermore, this task utilizes the minimum time and memory.

### Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

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