

# Digital Audio Effects

Having learned to make basic sounds from basic waveforms and more advanced synthesis methods lets see how we can add some digital audio effects. These may be applied:

- As part of the audio creation/synthesis stage — to be subsequently filtered, (re)synthesised
- At the end of the *audio chain* — as part of the production/mastering phase.
- Effects can be applied in different orders and sometimes in a *parallel* audio chain.
- The order of applying the same effects can have drastic differences in the output audio.
- Selection of effects and the ordering is a matter for the sound you wish to create. There is no absolute rule for the ordering.



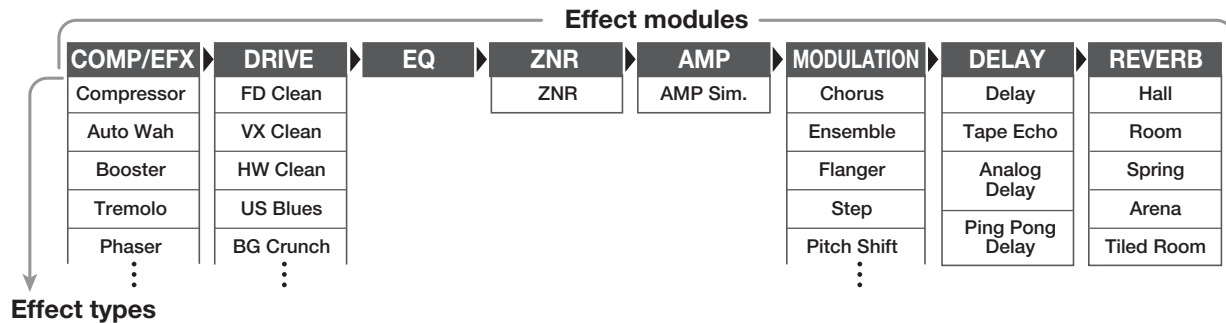
Back

Close

# Typical Guitar (and other) Effects Pipeline

Some ordering is *standard* for some audio processing, E.g:  
 Compression → Distortion → EQ → Noise Redux → Amp Sim →  
 Modulation → Delay → Reverb

Common for guitar effects pedal.



# Classifying Effects

Audio effects can be classified by the way do their processing:

**Basic Filtering** — Lowpass, Highpass filter etc,, Equaliser: see [CM2202 Notes](#)

**Time Varying Filters** — [Wah-wah, Phaser](#)

**Delays** — [Vibrato, Flanger, Chorus, Echo](#)

**Modulators** — [Ring modulation, Tremolo, Vibrato](#)

**Non-linear Processing** — Compression, Limiters, [Distortion](#),  
Exciters/Enhancers

**Spacial Effects** — Panning, Reverb (see [CM2202 Notes](#) ), Surround  
Sound

Some of the above [studied here](#).<sup>3</sup>

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<sup>3</sup>See [these notes](#) for further information. This additional information may be [useful for Coursework](#) but is **NOT examinable**



Back

Close

# Time-varying Filters

Some common effects are realised by simply time varying a filter in a couple of different ways:

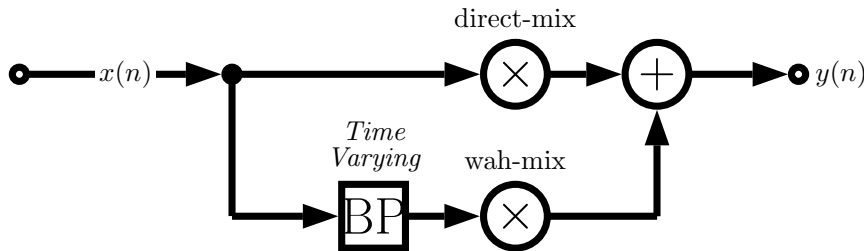
**Wah-wah** — A bandpass filter with a time varying centre (resonant) frequency and a small bandwidth. Filtered signal mixed with direct signal.

**Phasing** — A notch filter, that can be realised as set of cascading IIR filters, again mixed with direct signal.

[Back](#)[Close](#)

# Wah-wah Example

The signal flow for a wah-wah is as follows:



where **BP** is a time varying frequency bandpass filter.

- A **phaser** is similarly implemented with a notch filter replacing the bandpass filter.
- A variation is the **M-fold wah-wah** filter where  $M$  tap delay bandpass filters spread over the entire spectrum change their centre frequencies simultaneously.
- A **bell effect** can be achieved with around a hundred  $M$  tap delays and narrow bandwidth filters



Back

Close

# Time Varying Filter Implementation: State Variable Filter

In our audio application of time varying filters we now want independent control over the cut-off frequency and damping factor of a filter.

(Borrowed from analog electronics) we can implement a **State Variable Filter** to solve this problem.

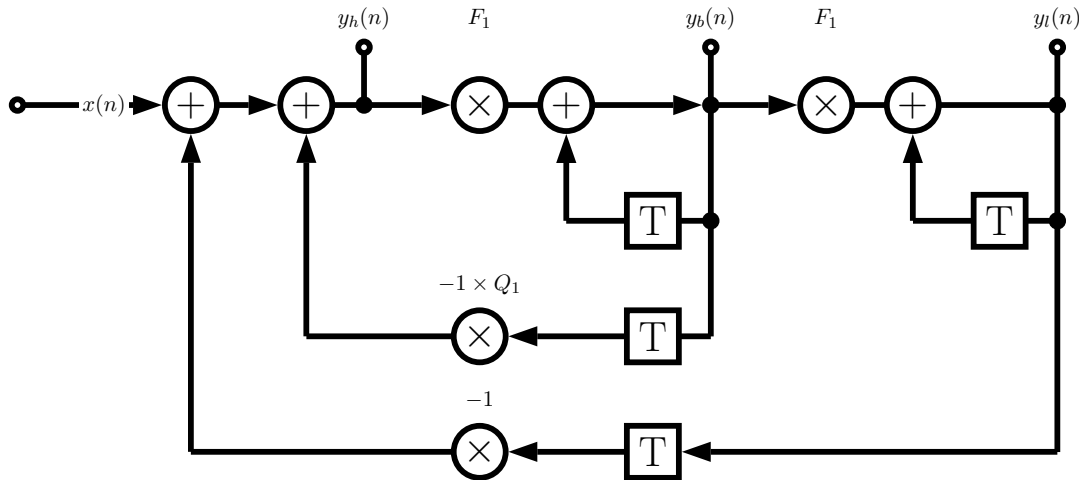
- One further advantage is that we can **simultaneously** get lowpass, bandpass and highpass filter output.



Back

Close

# The State Variable Filter



where:

- $x(n)$  = input signal
- $y_l(n)$  = lowpass signal
- $y_b(n)$  = bandpass signal
- $y_h(n)$  = highpass signal



# The State Variable Filter Algorithm

The algorithm difference equations are given by:

$$y_l(n) = F_1 y_b(n) + y_l(n-1)$$

$$y_b(n) = F_1 y_h(n) + y_b(n-1)$$

$$y_h(n) = x(n) - y_l(n-1) - Q_1 y_b(n-1)$$

with tuning coefficients  $F_1$  and  $Q_1$  related to the cut-off frequency,  $f_c$ , and damping,  $d$ :

$$F_1 = 2 \sin(\pi f_c / f_s), \quad \text{and} \quad Q_1 = 2d$$



Back

Close



# MATLAB Wah-wah Implementation

We simply implement the State Variable Filter with a variable frequency,  $f_c$ . The code listing is [wah\\_wah.m](#):

```
% wah_wah.m    state variable band pass
%
% BP filter with narrow pass band, Fc oscillates up and
% down the spectrum
% Difference equation taken from DAFX chapter 2
%
% Changing this from a BP to a BR/BS (notch instead of a bandpass) converts
% this effect to a phaser
%
% y1(n) = F1*yb(n) + y1(n-1)
% yb(n) = F1*yh(n) + yb(n-1)
% yh(n) = x(n) - y1(n-1) - Q1*yb(n-1)
%
% vary Fc from 500 to 5000 Hz

infile = 'acoustic.wav';

% read in wav sample
[ x, Fs, N ] = wavread(infile);
```



Back

Close

```

%%%%%%%%% EFFECT COEFFICIENTS %%%%%%%%%%
%%%%%%%%%
% damping factor
% lower the damping factor the smaller the pass band
damp = 0.05;

% min and max centre cutoff frequency of variable bandpass filter
minf=500;
maxf=3000;

% wah frequency, how many Hz per second are cycled through
Fw = 2000;
%%%%%%%%%

% change in centre frequency per sample (Hz)
delta = Fw/Fs;

% create triangle wave of centre frequency values
Fc=minf:delta:maxf;
while(length(Fc) < length(x) )
    Fc= [ Fc (maxf:-delta:minf) ];
    Fc= [ Fc (minf:delta:maxf) ];
end

% trim tri wave to size of input
Fc = Fc(1:length(x));

```



Back

Close

```
% difference equation coefficients
% must be recalculated each time Fc changes
F1 = 2*sin((pi*Fc(1))/Fs);
% this dictates size of the pass bands
Q1 = 2*damp;

yh=zeros(size(x));           % create empty out vectors
yb=zeros(size(x));
yl=zeros(size(x));

% first sample, to avoid referencing of negative signals
yh(1) = x(1);
yb(1) = F1*yh(1);
yl(1) = F1*yb(1);

% apply difference equation to the sample
for n=2:length(x),
    yh(n) = x(n) - yl(n-1) - Q1*yb(n-1);
    yb(n) = F1*yh(n) + yb(n-1);
    yl(n) = F1*yb(n) + yl(n-1);
    F1 = 2*sin((pi*Fc(n))/Fs);
end

%normalise
maxyb = max(abs(yb));
yb = yb/maxyb;
```



Back

Close

```
% write output wav files
wavwrite(yb, Fs, N, 'out_wah.wav');

figure(1)
hold on
plot(x, 'r');
plot(yb, 'b');
title('Wah-wah and original Signal');
```

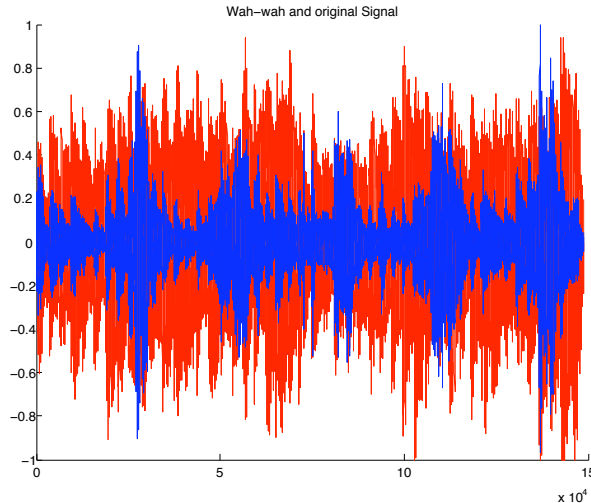


Back

Close

## Wah-wah MATLAB Example (Cont.)

The output from the above code is (red plot is original audio):



Click here to hear: [original audio](#), [wah-wah filtered audio](#).

# Delay Based Effects

Many useful audio effects can be implemented using a delay structure:

- Sounds reflected of walls
  - In a cave or large room we here an echo and also reverberation takes place – this is a different effect — **see later**
  - If walls are closer together repeated reflections can appear as parallel boundaries and we hear a modification of sound colour instead.
- Vibrato, Flanging, Chorus and Echo are examples of delay effects

[Back](#)[Close](#)

# Basic Delay Structure

We build basic delay structures out of some very basic FIR and IIR filters:

- We use *FIR* and *IIR comb filters*
- Combination of FIR and IIR gives the **Universal Comb Filter**

[Back](#)[Close](#)

# FIR Comb Filter

This simulates a single delay:

- The input signal is delayed by a given time duration,  $\tau$ .
- The delayed (processed) signal is added to the input signal some amplitude gain,  $g$
- The difference equation is simply:

$$y(n) = x(n) + gx(n - M) \quad \text{with } M = \tau/f_s$$

- The transfer function is:

$$H(z) = 1 + gz^{-M}$$

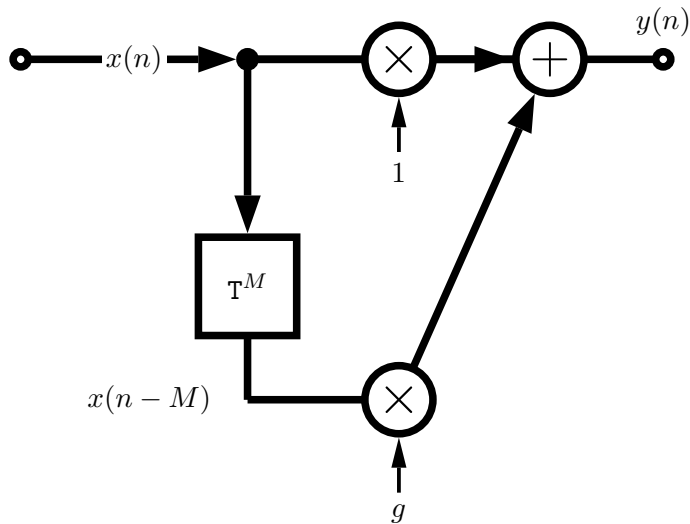


Back

Close



# FIR Comb Filter Signal Flow Diagram



Back

Close

# FIR Comb Filter MATLAB Code

[fircomb.m](#):

```
x=zeros(100,1);x(1)=1; % unit impulse signal of length 100

g=0.5; %Example gain

Delayline=zeros(10,1); % memory allocation for length 10

for n=1:length(x);
    y(n)=x(n)+g*Delayline(10);
    Delayline=[x(n);Delayline(1:10-1)];
end;
```



Back

Close

# IIR Comb Filter

This simulates a single delay:

- Simulates *endless reflections* at both ends of cylinder.
- We get an endless series of responses,  $y(n)$  to input,  $x(n)$ .
- The input signal circulates in delay line (delay time  $\tau$ ) that is fed back to the input..
- Each time it is fed back it is attenuated by  $g$ .
- Input sometime scaled by  $c$  to **compensate** for high amplification of the structure.
- The difference equation is simply:

$$y(n) = Cx(n) + gy(n - M) \quad \text{with } M = \tau / f_s$$

- The transfer function is:

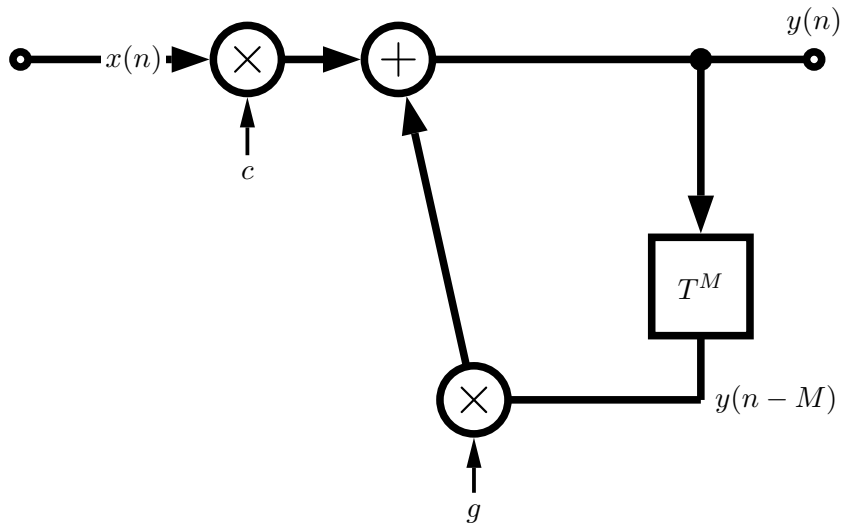
$$H(z) = \frac{c}{1 - gz^{-M}}$$



Back

Close

# IIR Comb Filter Signal Flow Diagram



# IIR Comb Filter MATLAB Code

iircomb.m:

```
x=zeros(100,1);x(1)=1; % unit impulse signal of length 100

g=0.5;

Delayline=zeros(10,1); % memory allocation for length 10

for n=1:length(x);
    y(n)=x(n)+g*Delayline(10);
    Delayline=[y(n);Delayline(1:10-1)];
end;
```



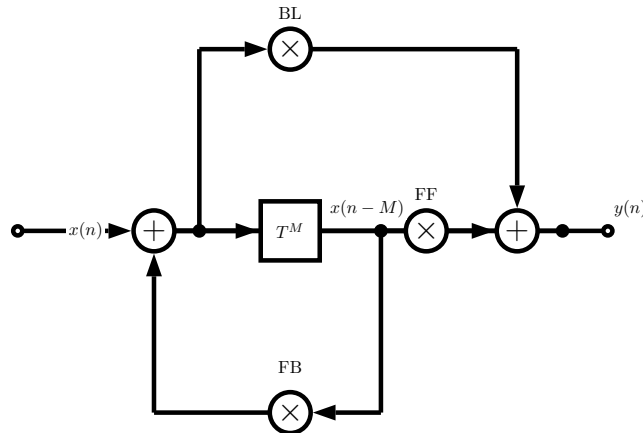
Back

Close

# Universal Comb Filter

The combination of the FIR and IIR comb filters yields the **Universal Comb Filter**:

- Basically this is an **allpass filter** with an  $M$  sample delay operator and an additional multiplier, FF.



- Parameters: FF = feedforward, FB = feedbackward, BL = blend



Back

Close

# Universal Comb Filter Parameters

Universal in that we can form any comb filter, an allpass or a delay:

	BL	FB	FF
FIR Comb	1	0	$g$
IIR Comb	1	$g$	0
Allpass	$a$	$-a$	1
delay	0	0	1



Back

Close

# Universal Comb Filter MATLAB Code

## unicomb.m:

```
x=zeros(100,1);x(1)=1; % unit impulse signal of length 100

BL=0.5;
FB=-0.5;
FF=1;
M=10;

Delayline=zeros(M,1); % memory allocation for length 10

for n=1:length(x);
    xh=x(n)+FB*Delayline(M);
    y(n)=FF*Delayline(M)+BL*xh;
    Delayline=[xh;Delayline(1:M-1)];
end;
```



Back

Close



# Vibrato - A Simple Delay Based Effect

- **Vibrato** — Varying the time delay periodically
- If we vary the distance between and observer and a sound source (*cf. Doppler effect*) we here a change in pitch.
- Implementation: A Delay line and a low frequency oscillator (LFO) to vary the delay.
- Only listen to the delay — no forward or backward feed.
- Typical delay time = 5–10 Ms and LFO rate 5–14Hz.



Back

Close

# Vibrato MATLAB Code

[vibrato.m](#) function, Use [vibrato\\_eg.m](#) to call function:

```
function y=vibrato(x,SAMPLERATE,Modfreq,Width)

ya_alt=0;
Delay=Width; % basic delay of input sample in sec
DELAY=round(Delay*SAMPLERATE); % basic delay in # samples
WIDTH=round(Width*SAMPLERATE); % modulation width in # samples
if WIDTH>DELAY
    error('delay greater than basic delay !!!');
    return;
end;

MODFREQ=Modfreq/SAMPLERATE; % modulation frequency in # samples
LEN=length(x); % # of samples in WAV-file
L=2+DELAY+WIDTH*2; % length of the entire delay
Delayline=zeros(L,1); % memory allocation for delay
y=zeros(size(x)); % memory allocation for output vector
```

```

for n=1:(LEN-1)
    M=MODFREQ;
    MOD=sin(M*2*pi*n);
    ZEIGER=1+DELAY+WIDTH*MOD;
    i=floor(ZEIGER);
    frac=ZEIGER-i;
    Delayline=[x(n);Delayline(1:L-1)];
    %---Linear Interpolation-----
    y(n,1)=Delayline(i+1)*frac+Delayline(i)*(1-frac);
    %---Allpass Interpolation-----
    %y(n,1)=(Delayline(i+1)+(1-frac)*Delayline(i)-(1-frac)*ya_alt);
    %ya_alt=ya(n,1);
end

```

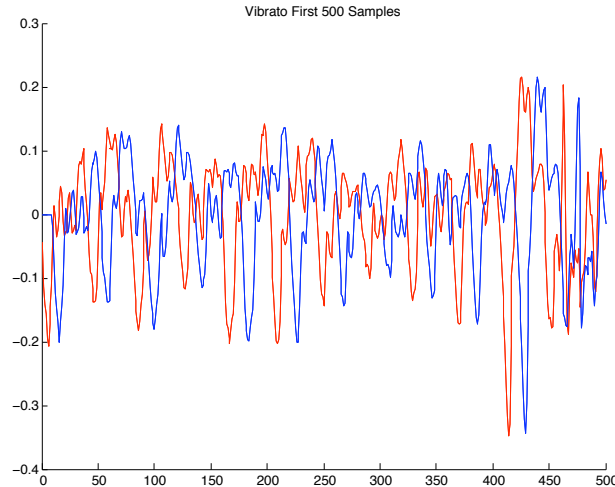


Back

Close

## Vibrato MATLAB Example (Cont.)

The output from the above code is (red plot is original audio):



Click here to hear: [original audio](#), [vibrato audio](#).



Back

Close

# Comb Filter Delay Effects: Flanger, Chorus, Slapback, Echo

- A few popular effects can be made with a comb filter (FIR or IIR) and some modulation
- Flanger, Chorus, Slapback, Echo same basic approach but *different sound* outputs:

Effect	Delay Range (ms)	Modulation
Resonator	0 ... 20	None
Flanger	0 ... 15	Sinusoidal ( $\approx 1$ Hz)
Chorus	10 ... 25	Random
Slapback	25 ... 50	None
Echo	> 50	None

- Slapback (or doubling) — quick repetition of the sound,  
Flanging — continuously varying LFO of delay,  
Chorus — **multiple copies** of sound delayed by small random delays



Back

Close

# Flanger MATLAB Code

## flanger.m:

```
% Creates a single FIR delay with the delay time oscillating from  
% Either 0-3 ms or 0-15 ms at 0.1 - 5 Hz
```

```
infile='acoustic.wav';  
outfile='out_flanger.wav';
```

```
% read the sample waveform  
[x,Fs,bits] = wavread(infile);
```

```
% parameters to vary the effect %  
max_time_delay=0.003; % 3ms max delay in seconds  
rate=1; %rate of flange in Hz
```

```
index=1:length(x);
```

```
% sin reference to create oscillating delay  
sin_ref = (sin(2*pi*index*(rate/Fs)))';
```

```
%convert delay in ms to max delay in samples  
max_samp_delay=round(max_time_delay*Fs);
```

```
% create empty out vector  
y = zeros(length(x),1);
```



Back

Close

```
% to avoid referencing of negative samples
y(1:max_samp_delay)=x(1:max_samp_delay);

% set amp suggested coefficient from page 71 DAFX
amp=0.7;

% for each sample
for i = (max_samp_delay+1):length(x),
    cur_sin=abs(sin_ref(i));    %abs of current sin val 0-1
    % generate delay from 1-max_samp_delay and ensure whole number
    cur_delay=ceil(cur_sin*max_samp_delay);
    % add delayed sample
    y(i) = (amp*x(i)) + amp*(x(i-cur_delay));
end

% write output
wavwrite(y,Fs,outfile);
```

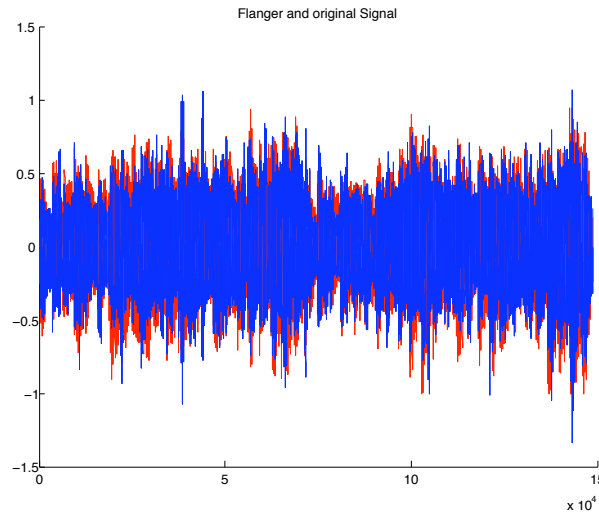


Back

Close

# Flanger MATLAB Example (Cont.)

The output from the above code is (red plot is original audio):



Click here to hear: [original audio](#), [flanged audio](#).



# Modulation

**Modulation** is the process where parameters of a sinusoidal signal (amplitude, frequency and phase) are modified or varied by an audio signal.

We have met some example effects that could be considered as a class of modulation already:

**Amplitude Modulation** — Wah-wah, Phaser

**Frequency Modulation** — Audio synthesis technique (**Already Studied**)

**Phase Modulation** — Vibrato, Chorus, Flanger

We will now introduce some Modulation effects.



Back

Close

# Ring Modulation

**Ring modulation** (RM) is where the audio *modulator* signal,  $x(n)$  is multiplied by a sine wave,  $m(n)$ , with a *carrier* frequency,  $f_c$ .

- This is very simple to implement digitally:

$$y(n) = x(n).m(n)$$

- Although audible result is easy to comprehend for simple signals things get more complicated for signals having numerous partials
- If the modulator is also a sine wave with frequency,  $f_x$  then one hears the sum and difference frequencies:  $f_c + f_x$  and  $f_c - f_x$ , for example.
- When the input is *periodic* with at a fundamental frequency,  $f_0$ , then a spectrum with amplitude lines at frequencies  $|kf_0 \pm f_c|$
- Used to create robotic speech effects on old sci-fi movies and can create some odd almost non-musical effects if not used with care.  
(Original speech)



Back

Close

# MATLAB Ring Modulation

Two examples, a sine wave and an audio sample being modulated by a sine wave, [ring\\_mod.m](#)

```
filename='acoustic.wav';  
  
% read the sample waveform  
[x,Fs,bits] = wavread(filename);  
  
index = 1:length(x);  
  
% Ring Modulate with a sine wave frequency Fc  
Fc = 440;  
carrier= sin(2*pi*index*(Fc/Fs))';  
  
% Do Ring Modulation  
y = x.*carrier;  
  
% write output  
wavwrite(y,Fs,bits,'out_ringmod.wav');
```

Click here to hear: [original audio](#), [ring modulated audio](#).



Back

Close

# MATLAB Ring Modulation: Two sine waves

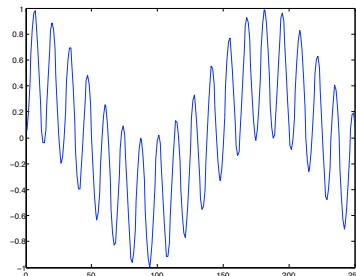
```
% Ring Modulate with a sine wave frequency Fc
Fc = 440;
carrier= sin(2*pi*index*(Fc/Fs))';

%create a modulator sine wave frequency Fx
Fx = 200;
modulator = sin(2*pi*index*(Fx/Fs))';

% Ring Modulate with sine wave, freq. Fc
y = modulator.*carrier;

% write output
wavwrite(y,Fs,bits,'twosine_ringmod.wav');
```

Output of Two sine wave ring modulation ( $f_c = 440$ ,  $f_x = 380$ )



Click here to hear: [Two RM sine waves \( \$f\_c = 440\$ ,  \$f\_x = 200\$ \)](#)

# Amplitude Modulation

**Amplitude Modulation** (AM) is defined by:

$$y(n) = (1 + \alpha m(n)) \cdot x(n)$$

- Normalise the peak amplitude of  $M(n)$  to 1.
- $\alpha$  is *depth of modulation*  
 $\alpha = 1$  gives maximum modulation  
 $\alpha = 0$  turns off modulation
- $x(n)$  is the audio **carrier** signal
- $m(n)$  is a low-frequency oscillator **modulator**.
- When  $x(n)$  and  $m(n)$  both sine waves with frequencies  $f_c$  and  $f_x$  respectively we have **three** frequencies: carrier, difference and sum:  $f_c, f_c - f_x, f_c + f_x$ .



Back

Close

# Amplitude Modulation: Tremolo

A common audio application of AM is to produce a **tremolo** effect:

- Set modulation frequency of the sine wave to below 20Hz

The MATLAB code to achieve this is [tremolo1.m](#)

```
% read the sample waveform
filename='acoustic.wav';
[x,Fs,bits] = wavread(filename);

index = 1:length(x);

Fc = 5;
alpha = 0.5;

trem=(1+ alpha*sin(2*pi*index*(Fc/Fs)))';
y = trem.*x;

% write output
wavwrite(y,Fs,bits,'out_tremolo1.wav');
```

Click here to hear: [original audio](#), [AM tremolo audio](#).

[Back](#)[Close](#)

# Tremolo via Ring Modulation

If you ring modulate with a triangular wave (or try another waveform) you can get tremolo via RM, [tremolo2.m](#)

```
% read the sample waveform
filename='acoustic.wav';
[x,Fs,bits] = wavread(filename);

% create triangular wave LFO
delta=5e-4;
minf=-0.5;
maxf=0.5;

trem=minf:delta:maxf;
while(length(trem) < length(x) )
    trem=[trem (maxf:-delta:minf)];
    trem=[trem (minf:delta:maxf)];
end

%trim trem
trem = trem(1:length(x))';

%Ring mod with triangular, trem
y= x.*trem;

% write output
wavwrite(y,Fs,bits,'out_tremolo2.wav');
```

Click here to hear: [original audio](#), [RM tremolo audio](#).

# Non-linear Processing

Non-linear Processors are characterised by the fact that they create (intentional or unintentional) harmonic and inharmonic frequency components not present in the original signal.

Three major categories of non-linear processing:

**Dynamic Processing:** control of signal envelop — aim to minimise harmonic distortion Examples: Compressors, Limiters

**Intentional non-linear harmonic processing:** Aim to introduce strong harmonic distortion. Examples: Many electric guitar effects such as distortion

**Exciters/Enhancers:** add additional harmonics for subtle sound improvement.



Back

Close



# Overdrive, Distortion and Fuzz

Distortion plays an important part in electric guitar music, especially rock music and its variants.

Distortion can be applied as an effect to other instruments including vocals.

**Overdrive** — Audio at a low input level is driven by higher input levels in a non-linear curve characteristic

**Distortion** — a wider tonal area than overdrive operating at a higher non-linear region of a curve

**Fuzz** — complete non-linear behaviour, harder/harsher than distortion



Back

Close

# Overdrive

For overdrive, **Symmetrical soft clipping** of input values has to be performed. A simple three layer **non-linear soft saturation** scheme may be:

$$f(x) = \begin{cases} 2x & \text{for } 0 \leq x < 1/3 \\ \frac{3-(2-3x)^2}{3} & \text{for } 1/3 \leq x < 2/3 \\ 1 & \text{for } 2/3 \leq x \leq 1 \end{cases}$$

- In the lower third the output is linear — multiplied by 2.
- In the middle third there is a non-linear (quadratic) output response
- Above 2/3 the output is set to 1.



Back

Close

# MATLAB Overdrive Example

The MATLAB code to perform symmetrical soft clipping is,  
[symclip.m](#):

```
function y=symclip(x)
% y=symclip(x)
% "Overdrive" simulation with symmetrical clipping
% x      - input
N=length(x);
y=zeros(1,N); % Preallocate y
th=1/3; % threshold for symmetrical soft clipping
        % by Schetzen Formula
for i=1:1:N,
    if abs(x(i))< th, y(i)=2*x(i);end;
    if abs(x(i))>=th,
        if x(i)> 0, y(i)=(3-(2-x(i)*3).^2)/3; end;
        if x(i)< 0, y(i)=-(3-(2-abs(x(i))*3).^2)/3; end;
    end;
    if abs(x(i))>2*th,
        if x(i)> 0, y(i)=1;end;
        if x(i)< 0, y(i)=-1;end;
    end;
end;
```



Back

Close

# MATLAB Overdrive Example (Cont.)

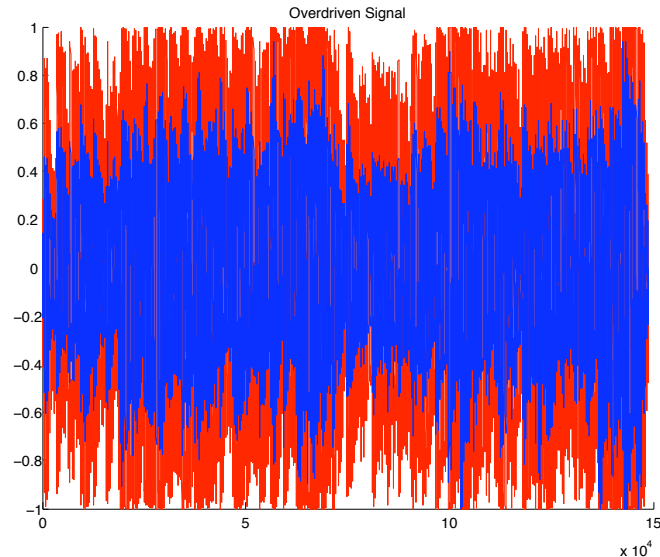
An **overdriven signal** looks like this , [overdrive\\_eg.m](#):

```
% read the sample waveform
filename='acoustic.wav';
[x,Fs,bits] = wavread(filename);

% call symmetrical soft clipping
% function
y = symclip(x);

% write output
wavwrite(y,Fs,bits,...
        'out_overdrive.wav');

figure(1);
hold on
plot(y,'r');
plot(x,'b');
title('Overdriven Signal');
```



Click here to hear: [original audio](#), [overdriven audio](#).

# Distortion/Fuzz

A non-linear function commonly used to simulate distortion/fuzz is given by:

$$f(x) = \frac{x}{|x|}(1 - e^{\alpha x^2/|x|})$$

- This a non-linear exponential function:
- The gain,  $\alpha$ , controls level of distortion/fuzz.
- Common to mix part of the distorted signal with original signal for output.



Back

Close

# MATLAB Fuzz Example

The MATLAB code to perform symmetrical soft clipping is,  
fuzzexp.m:

```
function y=fuzzexp(x, gain, mix)
% y=fuzzexp(x, gain, mix)
% Distortion based on an exponential function
% x      - input
% gain   - amount of distortion, >0->
% mix    - mix of original and distorted sound, 1=only distorted
q=x*gain/max(abs(x));
z=sign(-q).*(1-exp(sign(-q).*q));
y=mix*z*max(abs(x))/max(abs(z))+(1-mix)*x;
y=y*max(abs(x))/max(abs(y));
```

**Note:** function allows to **mix** input and fuzz signals at output



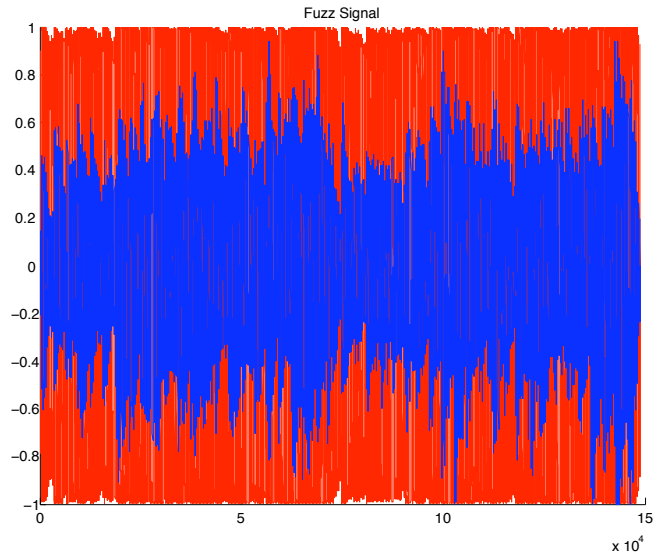
Back

Close

# MATLAB Fuzz Example (Cont.)

An **fuzzed up signal** looks like this , fuzz\_eg.m:

```
filename='acoustic.wav';  
  
% read the sample waveform  
[x,Fs,bits] = wavread(filename);  
  
% Call fuzzexp  
gain = 11; % Spinal Tap it  
mix = 1; % Hear only fuzz  
y = fuzzexp(x,gain,mix);  
  
% write output  
wavwrite(y,Fs,bits,'out_fuzz.wav');
```



Click here to hear: [original audio](#), [Fuzz audio](#).