

Graphic/Image File Formats

Common graphics and image file formats:

- <http://www.dcs.ed.ac.uk/home/mxr/gfx/> — comprehensive listing of various formats.
- See [*Encyclopedia of Graphics File Formats*](#) book in library
- Most formats incorporate *compression*, including **lossless** or **lossy**
- Graphics, video and audio compression techniques in next Chapter.



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Graphic/Image Data Structures

“A picture is worth a thousand words, but it uses up three thousand times the memory.”

- A digital image consists of many picture elements, termed **pixels**.
- The number of pixels determine the quality of the image (**resolution**).
- Higher resolution always yields better quality.
- A *bit-map* representation stores the graphic/image data in the same manner that the computer monitor contents are stored in video memory.



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Monochrome/Bit-Map Images



Figure 1: Sample Monochrome Bit-Map Image

- Each pixel is stored as a single bit (0 or 1)
- A 640 x 480 monochrome image requires 37.5 KB of storage.
- *Dithering* is often used for displaying monochrome images

Gray-scale Images



Figure 2: Example of a Gray-scale Bit-map Image

- Each pixel is usually stored as a byte (value between 0 to 255)
- A dark pixel may have a value of 10; a bright one may be 240
- A 640 x 480 greyscale image requires over 300 KB of storage.

Dithering

- Dithering is often used when converting greyscale images to monochrome ones e.g. for printing
- The main strategy is to replace a **pixel value** (from 0 to 255) by a **larger pattern** (e.g. 4×4) such that the number of printed dots approximates the greyscale level of the original image
- If a pixel is replaced by a 4×4 array of dots, the intensities it can approximate from 0 (no dots) to 16 (full dots).
- Given a 4×4 dither matrix e.g.

$$\begin{pmatrix} 0 & 8 & 2 & 10 \\ 12 & 4 & 14 & 6 \\ 3 & 11 & 1 & 9 \\ 15 & 7 & 13 & 5 \end{pmatrix}$$

we can re-map pixel values from 0–255 to a new range 0–16 by dividing the value by $(256/17)$ (and rounding down to integer).

Dithering (cont.)

- A simple approach: replace each pixel by a 4×4 dots (monochrome pixels). If the remapped intensity is $>$ the dither matrix entry, put a dot at the position (set to 1) otherwise set to 0.
- Note that the size of the dithered image may be much larger. Since each pixel is replaced by 4×4 array of dots, the image becomes **16 times** as large.
- To keep the image size: an **ordered dither** produces an output pixel with value 1 iff the remapped intensity level **just at the pixel position** is greater than the corresponding matrix entry.



24-bit Colour Images



Figure 3: Example of 24-Bit Colour Image

- Each pixel is represented by three bytes (e.g., RGB)
- Supports $256 \times 256 \times 256$ possible combined colours (16,777,216)
- A 640×480 24-bit colour image would require 921.6 KB of storage
- Some colour images are 32-bit images,
 - the extra byte of data for each pixel is used to store an *alpha* value representing special effect information

8-bit Colour Images



Figure 4: Example of 8-Bit Colour Image

- One byte for each pixel
- Supports 256 out of the millions possible
- Acceptable colour quality
- Requires Colour Look-Up Tables (LUTs)
- A 640 x 480 8-bit colour image requires 307.2 KB of storage (the same as 8-bit greyscale)

Colour Look-Up Tables (LUTs)

- Store only the index of the colour LUT for each pixel
- Look up the table to find the colour (RGB) for the index
- LUT needs to be built when converting 24-bit colour images to 8-bit: grouping similar colours (each group assigned a colour entry)
- Possible for **palette animation** by changing the colour map

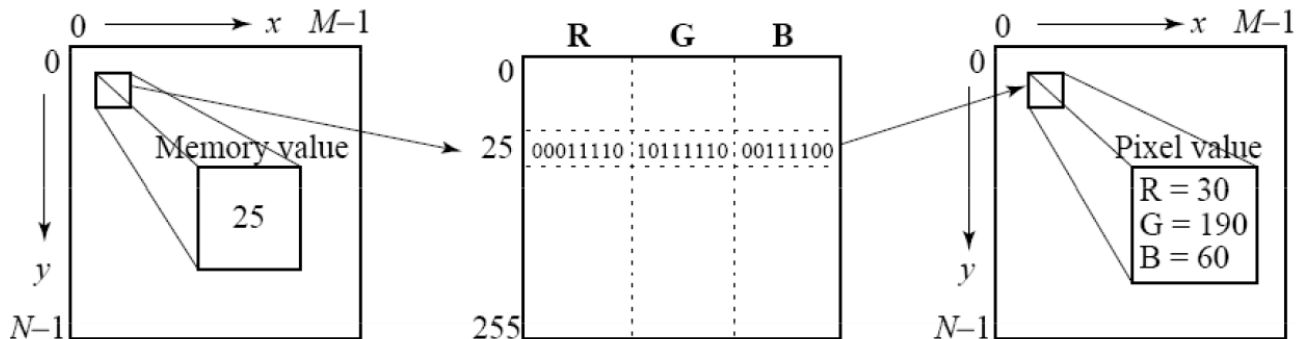


Figure 5: Colour LUT for 8-bit Colour Images

Standard System Independent Formats

GIF (GIF87a, GIF89a)

- Graphics Interchange Format (GIF) devised by the UNISYS Corp. and CompuServe, initially for transmitting graphical images over phone lines via modems
- Uses the Lempel-Ziv Welch algorithm (a form of Huffman Coding), modified slightly for image scan line packets (line grouping of pixels) so **lossless** — **Algorithm Soon**
- Limited to only 8-bit (256) colour images, suitable for images with few distinctive colours (e.g., graphics drawing)
- Supports *interlacing*
- GIF89a: supports **simple animation**, **transparency index** etc.



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JPEG

- A standard for photographic image compression created by the Joint Photographic Experts Group
- Takes advantage of limitations in the human vision system to achieve high rates of compression
- **Lossy** compression which allows user to set the desired level of quality/compression
- **Algorithm Soon** — Detailed discussions in next chapter on compression.



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TIFF

- Tagged Image File Format (TIFF), stores many different types of images (e.g., monochrome, greyscale, 8-bit & 24-bit RGB, etc.) → tagged
- Developed by the Aldus Corp. in the 1980's and later supported by the Microsoft
- TIFF is a lossless format (when not utilising the new JPEG tag which allows for JPEG compression)
- It does not provide any major advantages over JPEG and is not as user-controllable it appears to be declining in popularity



PNG

- PNG stands for Portable Network Graphics, meant to supersede GIF standard
- Features of PNG
 - Support up to **48 bits** per pixel – more accurate colours
 - Support description of **gamma-correction** and **alpha-channel** for controls such as transparency
 - Support progress display through 8×8 blocks.



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Postscript/Encapsulated Postscript

- A typesetting language which includes text as well as vector/structured graphics and bit-mapped images
- Used in several popular graphics programs (Illustrator, FreeHand)
- Does not provide compression, files are often large
- Although able to link to external compression applications



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System Dependent Formats

Microsoft Windows: BMP

- A system standard graphics file format for Microsoft Windows
- Used in Many PC Graphics programs, Cross-platform support
- It is capable of storing 24-bit bitmap images



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Macintosh: PAINT and PICT

- PAINT was originally used in MacPaint program, initially only for 1-bit monochrome images.
- PICT format was originally used in MacDraw (a vector based drawing program) for storing structured graphics
- Still an underlying Mac format



X-window: XBM

- Primary graphics format for the X Window system
- Supports 24-bit colour bitmap
- Many public domain graphic editors, e.g., *xv*
- Used in X Windows for storing icons, pixmaps, backdrops, etc.



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Basics of Colour: Image and Video

Light and Spectra

- Visible light is an electromagnetic wave in the 400nm - 700 nm range.
- Most light we see is not one wavelength, it's a combination of many wavelengths (Fig. 6).

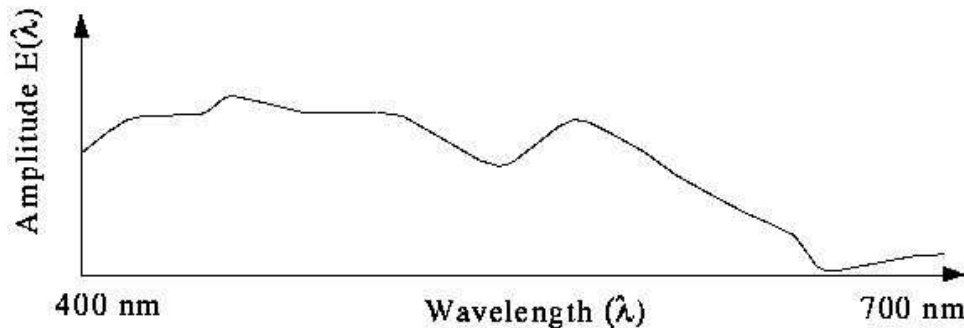


Figure 6: Light Wavelengths

- The profile above is called a *spectra*.

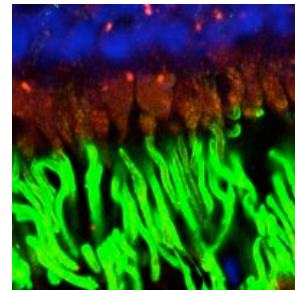
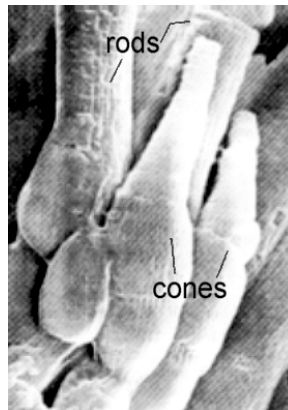
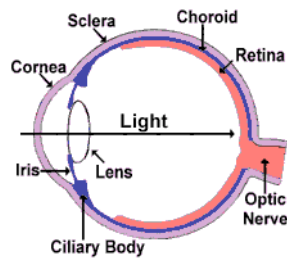


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The Human Eye

- The eye is basically similar to a camera
- It has a lens to focus light onto the Retina of eye
- Retina full of **neurons**
- Each neuron is either a *rod* or a *cone*.
- Rods are not sensitive to colour.



Cones and Perception

- Cones come in 3 types: red, green and blue. Each responds differently to various frequencies of light. The following figure shows the spectral-response functions of the cones and the luminous-efficiency function of the human eye.

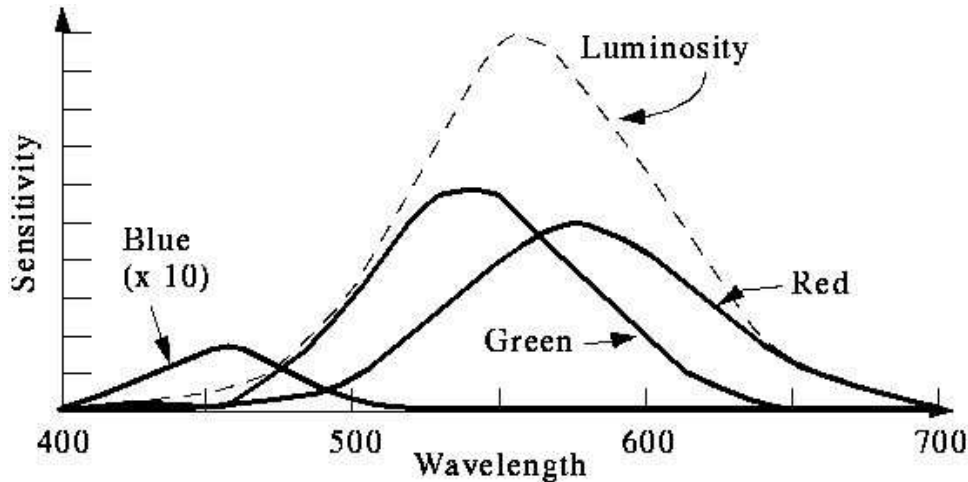


Figure 7: Cones & Luminous-efficiency Function of the Human Eye

- Human eyes can't tell lights with different spectra as long as they have the same stimuli.

RGB Colour Space



Figure 8: Original Color Image

- Colour Space is made up of Red, Green and Blue intensity components



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Red, Green, Blue (RGB) Image Space



Red, Green, Blue (RGB) Respective Intensities

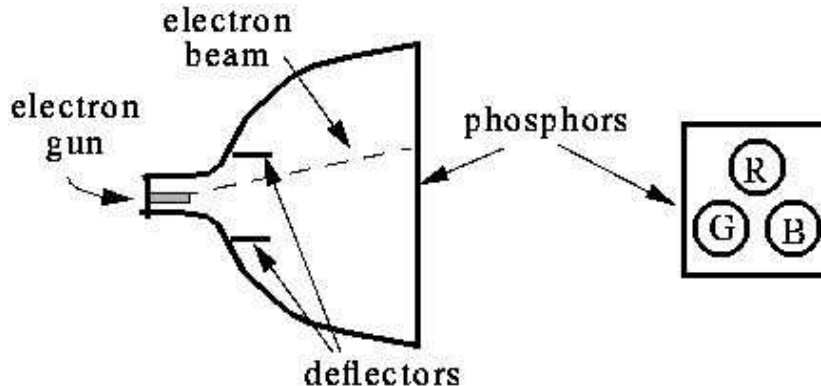


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CRT Displays

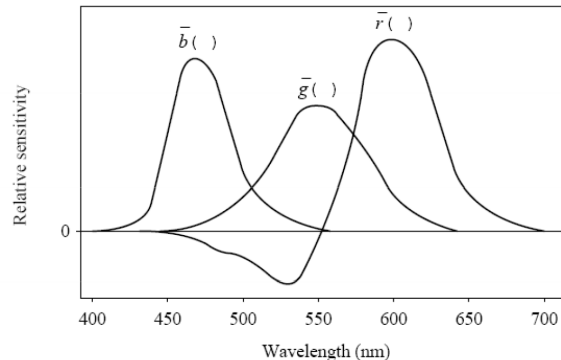
- CRT displays have three phosphors (RGB) which produce a combination of wavelengths when excited with electrons.



- The *gamut* of colours is all colours that can be reproduced using the three primaries
- The gamut of a colour monitor is smaller than that of color models, E.g. CIE (LAB) Model — [see later](#).

Colour-Matching Functions

- To match a given colour with the three **colour primaries**, a subject is asked to separately adjust the brightness of the three primaries until the resulting light match most closely to the desired colour
- The amounts of R/G/B the subject selects to match each single-wavelength light forms the **color-matching curves**:



CIE Chromaticity Diagram

Does a set of primaries exist that span the space with only positive coefficients?

- Yes, but not the pure colours.
- In 1931, the CIE defined three standard primaries (X, Y, Z). The Y primary was intentionally chosen to be identical to the luminous-efficiency function of human eyes.
- All visible colours are in a *horseshoe* shaped cone in the X - Y - Z space. Consider the plane $X+Y+Z=1$ and project it onto the X - Y plane, we get the *CIE chromaticity diagram* as shown overleaf.

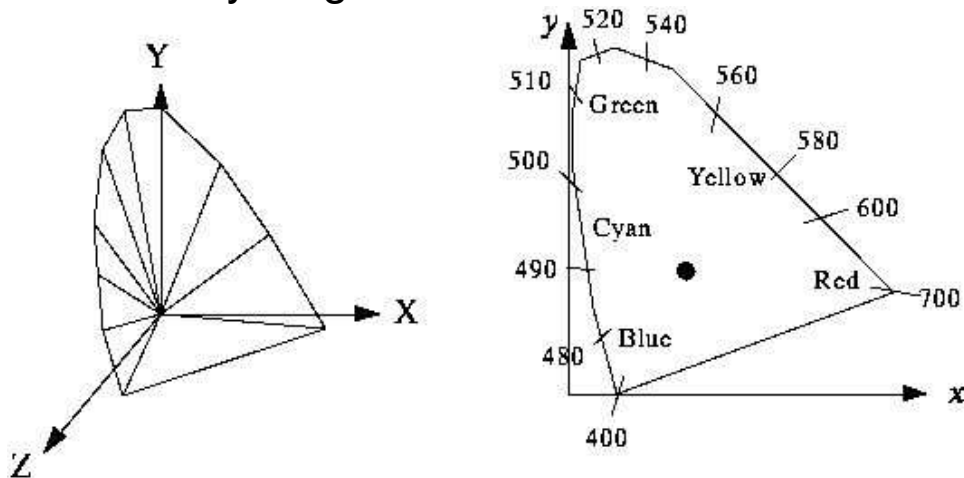


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CIE Chromaticity Diagram (Cont.)

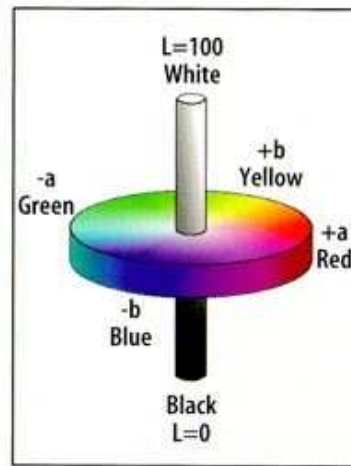
- CIE chromaticity diagram:



- The edges represent the *pure* colours (sine waves at the appropriate frequency)
- White (a blackbody radiating at 6447 kelvin) is at the *dot*
- When added, any two colours (points on the CIE diagram) produce a point on the line between them.

L*a*b* (Lab) Colour Model

- A refined CIE model, named CIE L*a*b* in 1976
- **Luminance:** **L**
- **Chrominance:** **a** – ranges from green to red, **b** – ranges from blue to yellow
- Used by *Photoshop*



Lab model



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Lab Image Space



Original Color Image



L, A, B Image Intensities

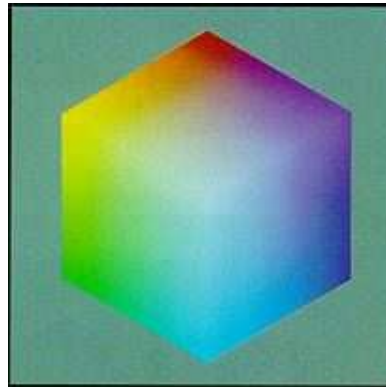


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Colour Image and Video Representations

- Recap: A black and white image is a 2-D array of integers.
- Recap: A colour image is a 2-D array of (R,G,B) integer triplets. These triplets encode how much the corresponding phosphor should be excited in devices such as a monitor.
- Example is shown:



Beside the RGB representation, YIQ and YUV are the two commonly used in video.



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YIQ Colour Model

- YIQ is used in colour TV broadcasting, it is downward compatible with B/W TV.

- Y (luminance) is the CIE Y primary.

$$Y = 0.299R + 0.587G + 0.114B$$

- the other two vectors:

$$I = 0.596R - 0.275G - 0.321B \quad Q = 0.212R - 0.528G + 0.311B$$

- The YIQ transform:

$$\begin{bmatrix} Y \\ I \\ Q \end{bmatrix} = \begin{bmatrix} 0.299 & 0.587 & 0.114 \\ 0.596 & -0.275 & -0.321 \\ 0.212 & -0.528 & -0.311 \end{bmatrix} \begin{bmatrix} R \\ G \\ B \end{bmatrix}$$

- I is red-orange axis, Q is roughly orthogonal to I.
- Eye is most sensitive to Y, next to I, next to Q. In NTSC, 4 MHz is allocated to Y, 1.5 MHz to I, 0.6 MHz to Q.



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YIQ Colour Space



Original Color Image



Y, I, Q Image Intensities

YUV Color Model

- Established in 1982 to build digital video standard
- Video is represented by a sequence of fields (odd and even lines). Two fields make a frame.
- Works in PAL (50 fields/sec) or NTSC (60 fields/sec)
- Uses the Y, U, V colour space

$$Y = 0.299R + 0.587G + 0.114B, U = B - Y \quad V = R - Y$$

- The YUV Transform:

$$\begin{bmatrix} Y \\ U \\ V \end{bmatrix} = \begin{bmatrix} 0.299 & 0.587 & 0.114 \\ -0.299 & -0.587 & 0.886 \\ 0.701 & -0.587 & -0.114 \end{bmatrix} \begin{bmatrix} R \\ G \\ B \end{bmatrix}$$

YCrCb (CCIR 601) Colour Model

- Similar to YUV
- YUV is normalised by a scaling

$$Cb = (B - Y)/1.772$$

$$Cr = (R - Y)/1.402$$

$$\begin{bmatrix} Y \\ Cr \\ Cb \end{bmatrix} = \begin{bmatrix} 0.299 & 0.587 & 0.114 \\ -0.169 & -0.331 & 0.500 \\ 0.500 & -0.419 & -0.081 \end{bmatrix} \begin{bmatrix} R \\ G \\ B \end{bmatrix}$$



YCrCb Colour Space



Original Color Image



Y, Cr, Cb Image Intensities



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The CMY Colour Model

- Cyan, Magenta, and Yellow (CMY) are complementary colours of RGB (Fig. 9). They can be used as *Subtractive Primaries*.
- CMY model is mostly used in printing devices where the colour pigments on the paper absorb certain colours (e.g., no red light reflected from cyan ink).

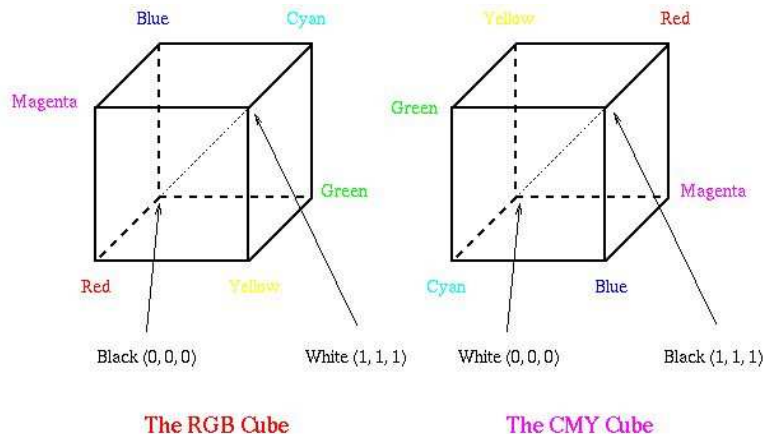


Figure 9: The RGB and CMY Cubes

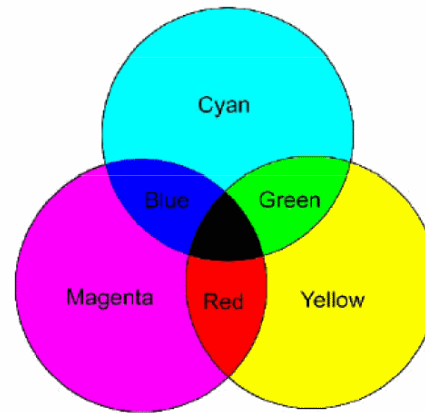
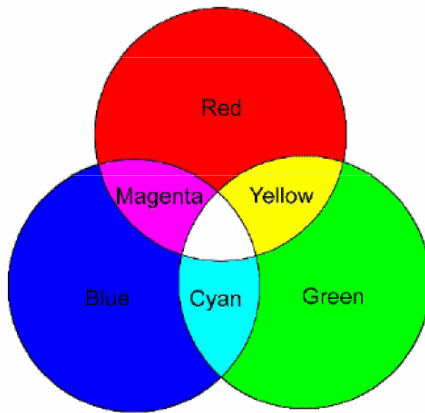


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The CMY Colour Model (cont.)

- Combinations of **additive** and **subtractive** colours.



Conversion between RGB and CMY

E.g., convert **White** from (1, 1, 1) in RGB to (0, 0, 0) in CMY.

$$\begin{bmatrix} C \\ M \\ Y \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} - \begin{bmatrix} R \\ G \\ B \end{bmatrix}$$

$$\begin{bmatrix} R \\ G \\ B \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} - \begin{bmatrix} C \\ M \\ Y \end{bmatrix}$$

CMYK Color Model

- Sometimes, an alternative CMYK model (K stands for *Black*) is used in colour printing (e.g., to produce darker black than simply mixing CMY). where

$$K = \min(C, M, Y),$$

$$C = C - K,$$

$$M = M - K,$$

$$Y = Y - K.$$

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CMYK Colour Space



Original Color Image



C, M, Y, K image Intensities

Summary of Colour

- Colour images are encoded as triplets of values.
- Three common systems of encoding in video are RGB, YIQ, and YCrCb.
- Besides the hardware-oriented colour models (i.e., RGB, CMY, YIQ, YUV), HSB (Hue, Saturation, and Brightness, e.g., used in Photoshop) and HLS (Hue, Lightness, and Saturation) are also commonly used.
- YIQ uses properties of the human eye to prioritise information. Y is the black and white (luminance) image, I and Q are the colour (chrominance) images. YUV uses similar idea.
- YUV/YCrCb is a standard for digital video that specifies image size, and decimates the chrominance images (for 4:2:2 video) — [more soon](#).



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Basics of Video

Types of Colour Video Signals

- **Component video** – each primary is sent as a separate video signal.
 - The primaries can either be RGB or a luminance-chrominance transformation of them (e.g., YIQ, YUV).
 - Best colour reproduction
 - Requires more bandwidth and good synchronisation of the three components
- **Composite video** – colour (chrominance) and luminance signals are mixed into a single carrier wave. Some interference between the two signals is inevitable.
- **S-Video** (Separated video, e.g., in S-VHS) – a compromise between component analog video and the composite video. It uses two lines, one for luminance and another for composite chrominance signal.



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NTSC Video

- 525 scan lines per frame, 30 frames per second (or be exact, 29.97 fps, 33.37 msec/frame)
- Aspect ratio 4:3
- Interlaced, each frame is divided into 2 fields, 262.5 lines/field
- 20 lines reserved for control information at the beginning of each field
 - So a maximum of 485 lines of visible data
 - Laser disc and S-VHS have actual resolution of ≈ 420 lines
 - Ordinary TV – ≈ 320 lines



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NTSC Video Colour and Analog Compression

- Colour representation:
 - NTSC uses YIQ colour model.
 - Composite = $Y + I \cos(F_{sc} t) + Q \sin(F_{sc} t)$,
where F_{sc} is the frequency of colour subcarrier
 - Basic Compression Idea

Eye is most sensitive to Y, next to I, next to Q.

- This is STILL Analog Compression:
In NTSC,
 - * 4 MHz is allocated to Y,
 - * 1.5 MHz to I,
 - * 0.6 MHz to Q.
- Similar (easier to work out) Compression (Part of) in digital compression — **more soon**

PAL Video

- 625 scan lines per frame, 25 frames per second (40 msec/frame)
- Aspect ratio 4:3
- Interlaced, each frame is divided into 2 fields, 312.5 lines/field
- Colour representation:
 - PAL uses YUV (YCrCb) colour model
 - composite =

$$Y + 0.492 \times U \sin(F_{sc} t) + 0.877 \times V \cos(F_{sc} t)$$
 - In PAL, 5.5 MHz is allocated to Y, 1.8 MHz each to U and V.



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MATLAB Colour functions

Example MATLAB's image processing toolbox colour space functions:

Colormap manipulation :

`colormap` — Set or get colour lookup table
`rgbplot` — Plot RGB colourmap components
`cmpermute` — Rearrange colours in colormap.

Colour space conversions :

`hsv2rgb/rgb2hsv` — Convert HSV values/RGB colour space
`lab2double/lab2uint16/lab2uint8` — Convert Lab colour values to double etc.
`ntsc2rgb/rgb2ntsc` — Convert NTSC (YIQ)/RGB colour values
`ycbcr2rgb/rgb2ycbcr` — Convert YCbCr/RGB colour



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Chroma Subsampling

Chroma subsampling is a method that stores color information at lower resolution than intensity information.

Why is this done? — **COMPRESSION**

- Human visual system (HVS) more sensitive to variations in brightness than colour.
- So devote more bandwidth to Y than the color difference components Cr/I and Cb/Q.
 - HVS is less sensitive to the position and motion of color than luminance
 - Bandwidth can be optimised by storing more luminance detail than color detail.
- Reduction results in almost no perceivable visual difference.

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How to Chroma Subsample?

Use **color difference components**. The signal is divided into:

luma (Y) component and

Chroma — two color difference components which we subsample in some way to reduce its bandwidth

How to subsample for chrominance?

The subsampling scheme is commonly expressed as a three part ratio (e.g. 4:2:2):



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Chroma Subsample 3 Part Ratio Explained

Each part of the three part ratio is respectively:

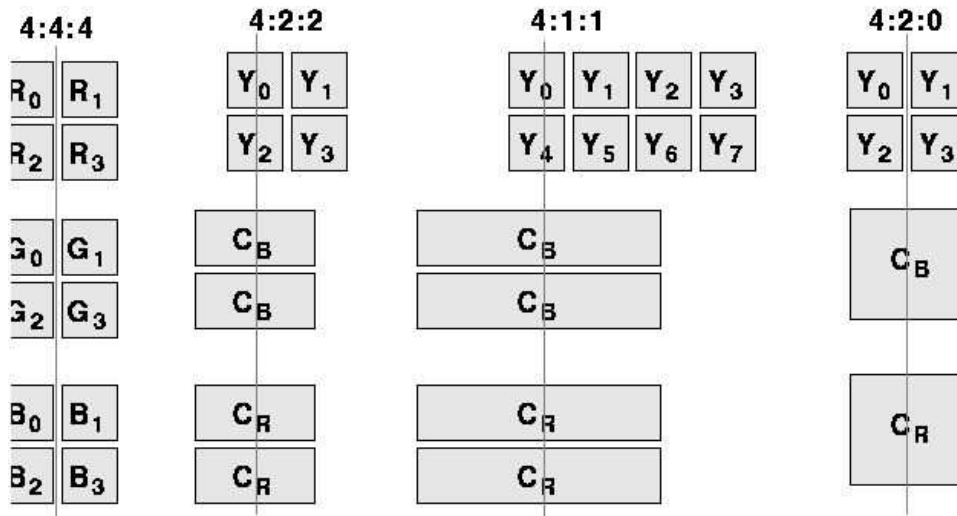
- 1: **Luma (Y) or Red (R)** — horizontal sampling reference
(originally, as a multiple of 3.579 MHz in the NTSC analog television system — rounded to 4)
- 2: **Cr/I/G** — horizontal factor (relative to first digit)
- 3: **Cb/Q/B** – horizontal factor (relative to first digit), except when **zero**.
 - **Zero** indicates that Cb (Q/B) horizontal factor is equal to second digit, **and**,
 - Both Cr (I/G) and Cb (Qb) are subsampled 2:1 vertically.



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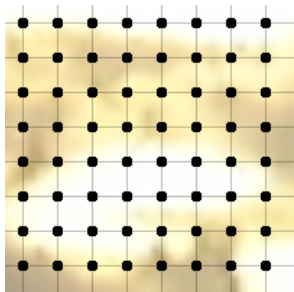
Chroma Subsampling Examples



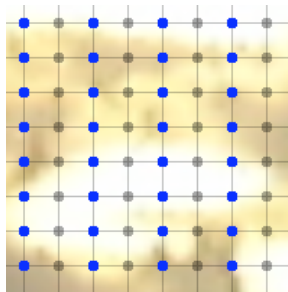
- 4:4:4 — no subsampling in any band — equal ratios.
- 4:2:2 → Two chroma components are sampled at half the sample rate of luma, horizontal chroma resolution halved.
- 4:1:1 → Horizontally subsampled by a factor of 4.
- 4:2:0 → Subsampled by a factor of 2 in both the horizontal and vertical axes

Chroma Subsampling: How to Compute?

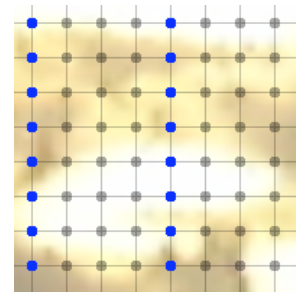
- Simply different frequency sampling of digitised signal
- Digital Subsampling: For 4:4:4, 4:2:2 and 4:1:1
Perform 2x2 (or 1x2, or 1x4) chroma subsampling
 - Subsample horizontal and, where applicable, vertical directions
 - *i.e.* Choose every second, fourth pixel value.



4:4:4



4:2:2



4:1:1

Subsampling

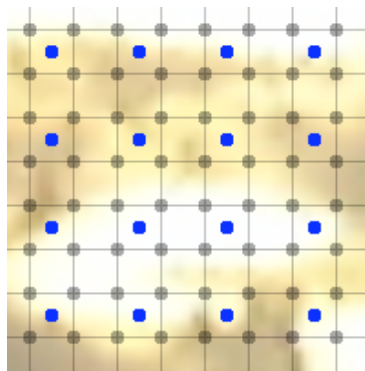


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Chroma Subsampling: How to Compute? (Cont.)

- For 4:2:0, Cb and Cr are effectively centred vertically halfway between image rows.:
 - Break the image into 2x2 pixel blocks and
 - Stores the **average** color information for each 2x2 pixel group.



4:2:0 Subampling

Chroma Subsampling in MATLAB

The MATLAB function `imresize()` readily achieves all our subsampling needs:

`IMRESIZE` Resize image.

`IMRESIZE` resizes an image of any type using the specified interpolation method. Supported interpolation methods include:

```
'nearest' --- (default) nearest neighbour interpolation
'bilinear' bilinear interpolation
```

`B = IMRESIZE(A,M,METHOD)` returns an image that is `M` times the size of `A`. If `M` is between 0 and 1.0, `B` is smaller than `A`. If `M` is greater than 1.0, `B` is larger than `A`.

`B = IMRESIZE(A,[MROWS MCOLS],METHOD)` returns an image of size `MROWS-by-MCOLS`.

After MATLAB colour conversion to YUV/YIQ:

- Use `nearest` for 4:2:2 and 4:2:1 and scale the `MCOLS` to half or quarter the size of the image.
- Use `bilinear` (to average) for 4:2:0 and set scale to half.

See next Lab worksheet



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Digital Chroma Subsampling Errors (1)

This sampling process introduces two kinds of errors:

1. A minor problem is that colour is typically stored at only half the horizontal and vertical resolution as the original image — *subsampling*.

This is not a real problem:

- Recall: The human eye has lower resolving power for colour than for intensity.
- Nearly all digital cameras have lower resolution for colour than for intensity, so there is no high resolution colour information present in digital camera images.



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Digital Chroma Subsampling Errors (2)

2. Another issue: The subsampling process demands two conversions of the image:

- From the original RGB representation to an intensity+colour (YIQ/YUV) representation , and
- Then back again (YIQ/YUV \rightarrow RGB) when the image is displayed.
- Conversion is done in integer arithmetic — some round-off error is introduced.
 - This is a much smaller effect,
 - But (slightly) affects the colour of (typically) one or two percent of the pixels in an image.



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Aliasing in Images

Stair-stepping — Stepped or jagged edges of angled lines, e.g., at the slanted edges of letters.

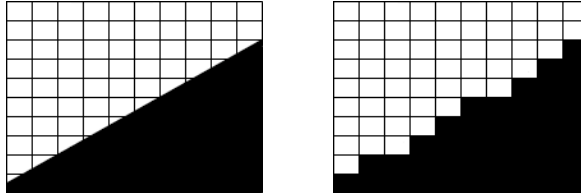


Image Zooming — changing resolution or not acquiring image in adequate resolution, e.g. digital zoom on cameras, digital scanning. (see zoom_alias.m)



Explanation: Simply Application of Nyquist's Sampling Theorem: Zooming in by a factor n divides the sample resolution by n



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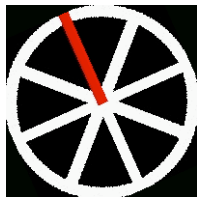
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Aliasing in Video

Temporal aliasing - e.g., rotating wagon wheel spokes apparently reversing direction, (see [aliasing_wheel.m](#) + [spokesR.gif](#)):



Frame 1



Frame2



Frame3



Frame4



Frame5

[Below Nyquist Video](#) [At Nyquist Video](#) [Above Nyquist Video](#)

Raster scan aliasing — e.g., twinkling or strobing effects on sharp horizontal lines, (see [raster_aliasing.m](#) + [barbara.gif](#)):

[Strobing Alias Video](#) [Strobing Alias Frequency Distributions Video](#)

Interlacing aliasing — Some video is interlaced, this effectively halves the sampling frequency. e.g.: [Interlacing Aliasing effects](#)

Image Aliasing — Stair-stepping/Zooming aliasing effects as images.

Explanation: Simply Application of Nyquist's Sampling Theorem



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